

कारोबार त्वस्थापन प्रणाली प्रयोगकर्ता **USER MANUAL**



CONFIDENTIAL

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1.1 Document Control

1.2 Version History

Version No.	Date	Author	Comments/ Changes from prior version
1.0	2018/12/09	NEPSE	

1.3 Document Reviewers/ Approvers

Name	Designation	Reviewer & Approver	Reviewer & Approver Comments



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2 Introduction

2.1 Purpose

The purpose of this document is to instruct users on the functionalities of Order Management System that can be used to perform the desired task using the system. It details step by step procedure of all implemented functionalities in Order Management System.

2.2 Target Audience

This Manual has been prepared to provide guidance to the users of Order Management System. This document is targeted for external users of NEPSE (Brokers) carrying out trading transactions on day to day basis.

2.3 Glossary

Term	Definition
TMS	Trading Management System
NOTS	NEPSE Online Trading System
DNA	Direct NOTS Access
LTP	Last Traded Price
CLI	Client
CNC	Cash & Carry

3 Application Creation and UCC Generation

- नयाँ लगानीकर्ताले आफ्नो सम्पूर्ण व्यक्तिगत विवरण (KYC) सम्बन्धित ब्रोकरलाई दिनुपर्ने हुन्छ । त्यसपछि सम्बन्धित ब्रोकरले तपाइको Account र UCC बनाई दिनुहुन्छ ।
- पुरानो लगानीकर्ताले समेत देहायको विवरण सम्बन्धित ब्रोकरलाई प्रदान गरी अनिवार्य रूपमा UCC Generation गरेपछि मात्र Account प्राप्त गर्न सकिन्छ ।
- व्यक्तिगत लगानीकर्ता : नागरिकता न, नागरिकता जारी मिति, नागरिकता जारी जिल्ला
- संस्थागत लगानीकर्ता : Registration Number, Registration Date, Registered District.

4 TMS Login

4.1 Create Password

- यदि तपाईं पहिलो पल्ट Login गर्दै हुनुहुन्छ भने, तपाईं ले तल Fig. 4.1.1 दिईएको जस्तो Email (तपाईंको Registered Email) मा प्राप्त गर्नु हुन्छ ।

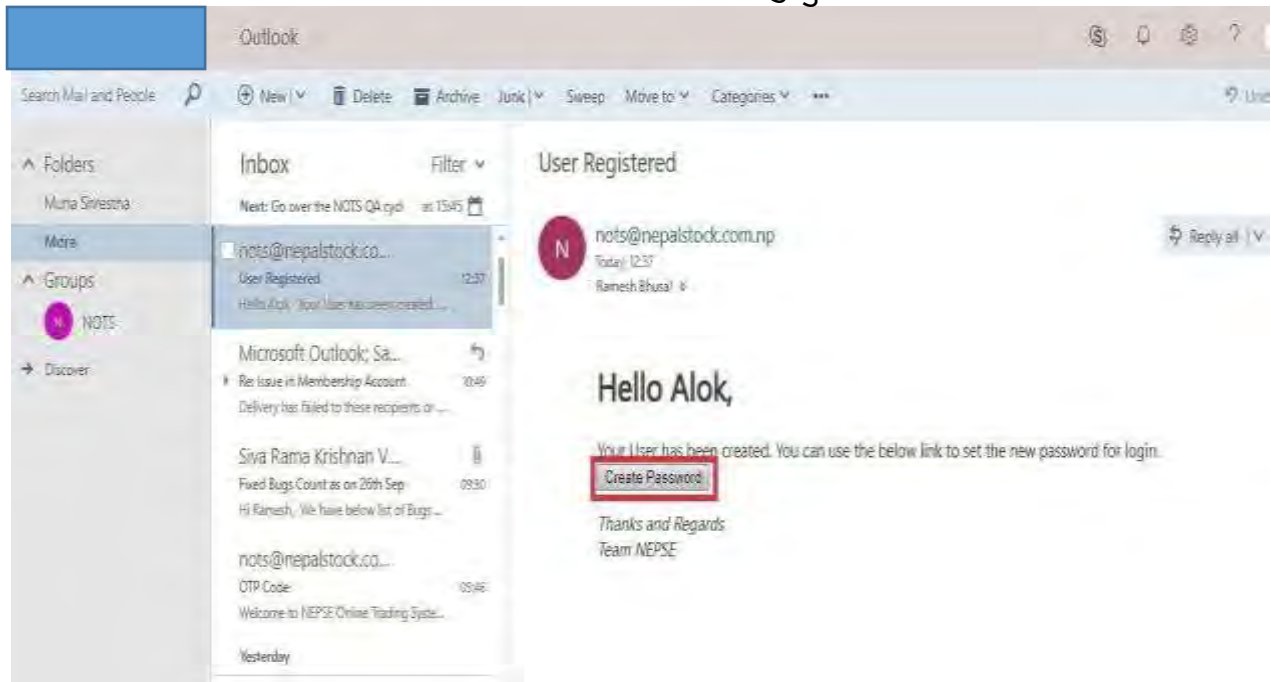


Fig. 4.1.1. Create Password Link

नोट : Registration गर्दा तपाईंको Email ठिक छ छैन verify गर्नुहोला / यदि पहिलेनै registered हो भने आफ्नो correct Email account ब्रोकरलाई दिनु भएको छ वा छैन यकिन गर्नुहोला ।

- Create Password link मा Click गर्नुहोस | Fig. 4.1.2 मा देखाए जस्तो URL मा password र Confirm Password राख्नुहोस |



Minimum Caps	1
Minimum Special Characters	1
Minimum Digits	1
Password Length	5 ~ 10

Fig. 4.1.2. Create Password

नोट: Password length 5-10 र कम्तीमा one caps, one special character and 1 digit हुनुपर्छ /

- Submit मा Click गर्नुहोस | तल देखाए जस्तो success message देख्नसक्नुहुन्छ |



- नया Password set भैसकेपछि Fig. 4.1.3 मा देखाएजस्तो email confirmation आउँछ

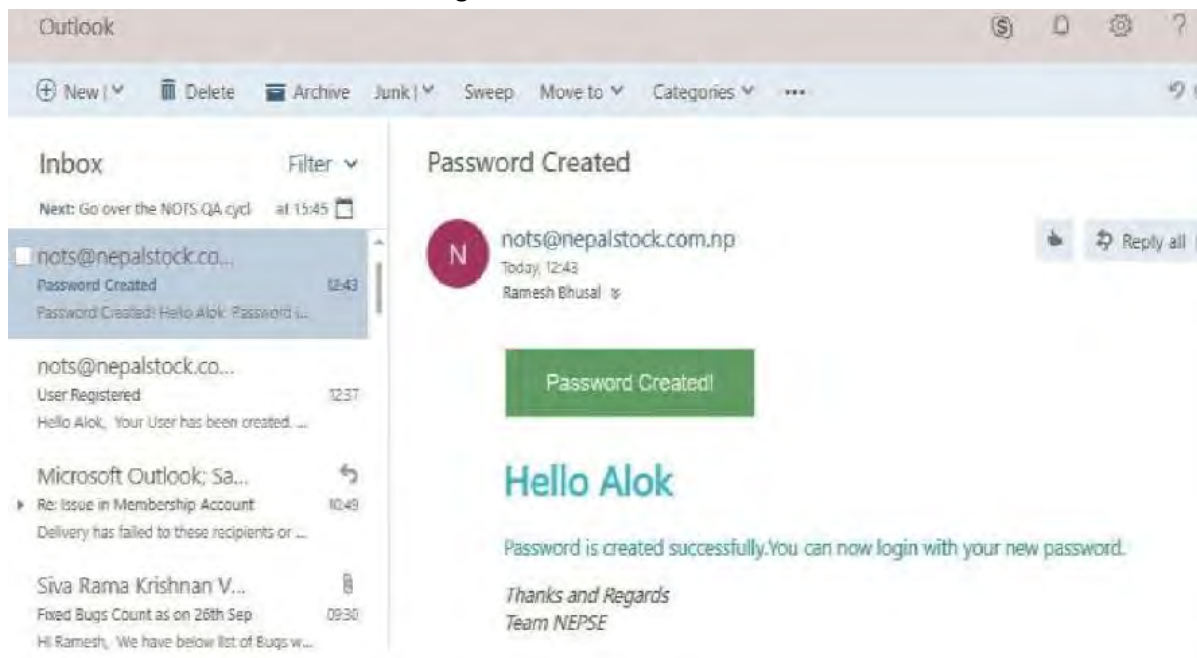


Fig. 4.1.3. Email Confirmation for Password Creation

4.2 TMS Login

- TMS Login गर्न ब्रोकरले दिएको address (URL) प्रयोग गर्नुपर्ने छ ।

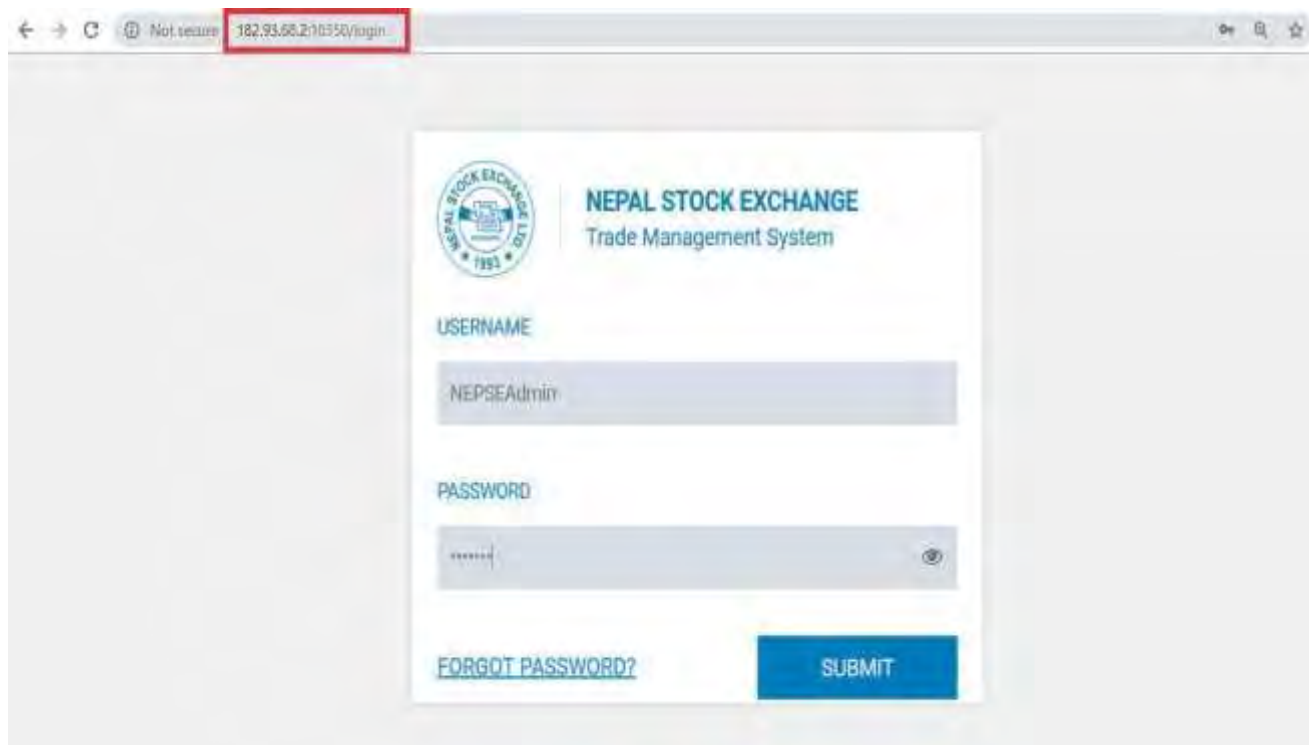


Fig. 4.2.1. TMS Login

नोट : आफ्नो सम्बन्धित ब्रोकरसंग TMS Login URL verify गर्नुहोला /

4.2.1 Forgot Password (Reset Password)

- यदि तपाईंले password बिर्सिनु भयो password reset गर्न आफ्नो TMS URL को login पेजमा जानुहोस ।
- forgot password मा Click गर्नुहोस ।

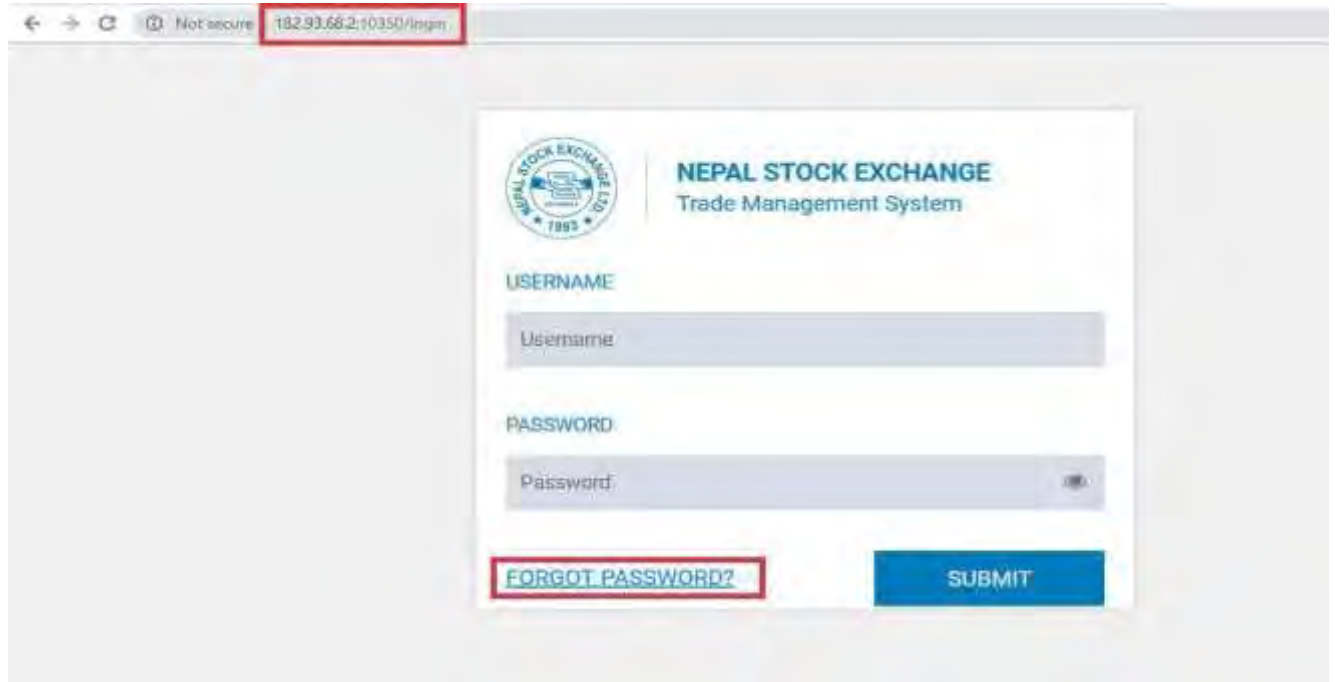
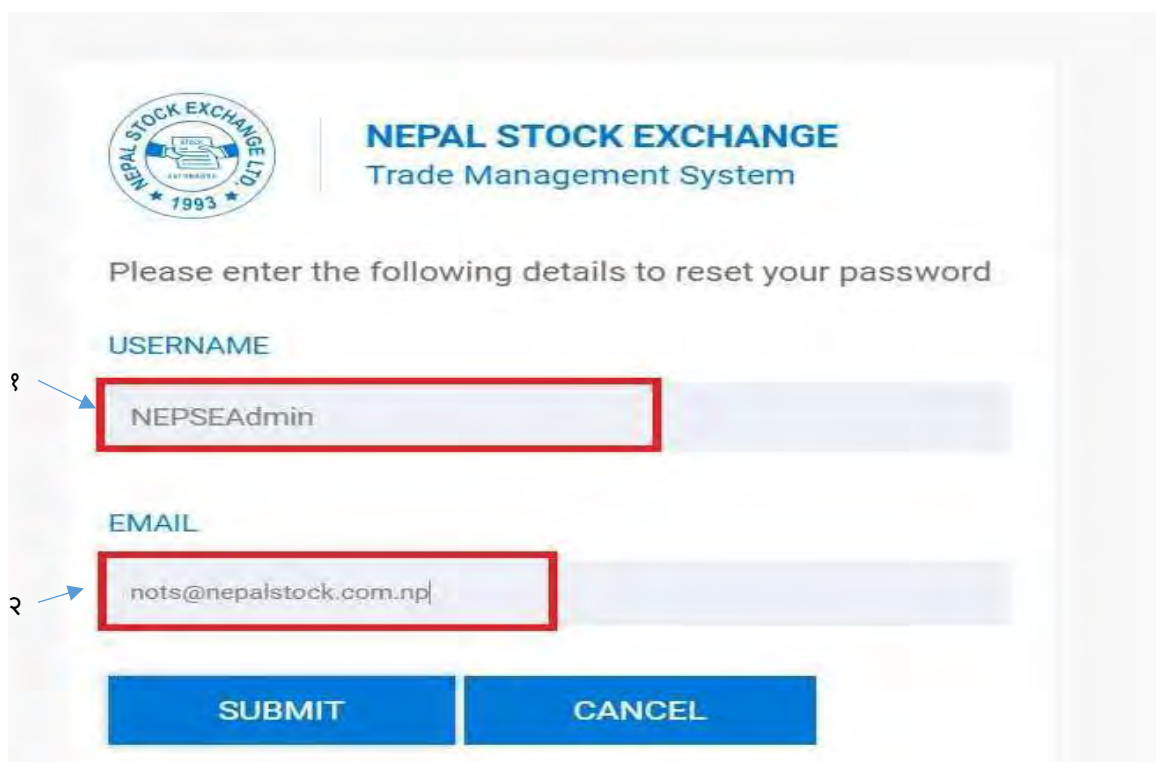


Fig. 4.3.1. Click Forgot Password

- Username र registered email राख्नुहोस ।





- १ तपाईंको username
२. तपाईंको password

Fig. 4.3.2. Enter Details for Forgot Password

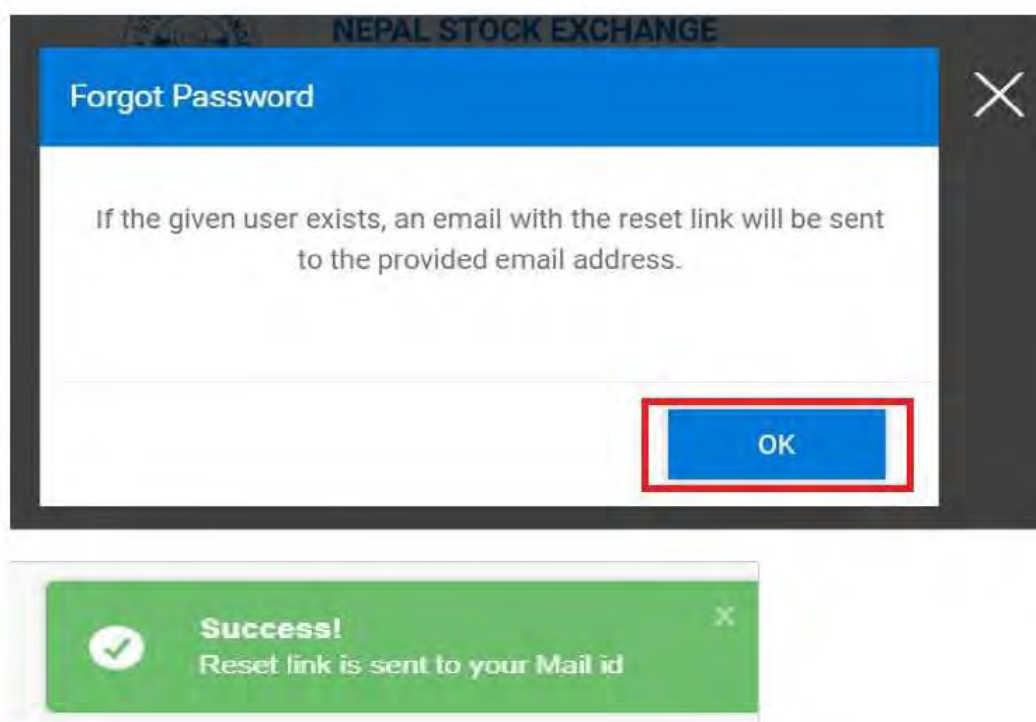


Fig. 4.3.3. Link Sent to Mail

- Password reset link Fig. 4.3.4 मा देखाए जस्तै तपाईंको email मा आउँछ |

Reset Password



NOTS

Today, 01:06 PM

NOTS ✕



Reply all | v

Hello NEPSEAdmin,

Please click the button below or use the following url to reset your password.

[Reset Password](#)

Note: The url link is valid for 2 day(s).

Thanks and Regards
Team NEPSE



Fig. 4.3.4. Password Reset Link in Mail

Reset link मा Click गर्नुहोस | तल देखाए जस्तै URL मा password राखी submit गर्नुहोस |

NEPAL STOCK EXCHANGE
Trade Management System

NEW PASSWORD

CONFIRM NEW PASSWORD

Minimum Caps	1
Minimum Special Characters	1
Minimum Digits	2
Password Length	5 ~ 15

SUBMIT



Fig. 4.3.5. Reset Password

- Password reset confirmation भएको जानकारी तपाईंको email आउँछ।



Password Changed



NOTS

Today, 01:11 PM

NOTS



Reply all | v

Password Changed Successfully!

Hello NEPSEAdmin

Password changed successfully.

User can now login with the new password

Thanks and Regards

Team NEPSE

Fig. 4.3.6. Email Confirmation for Changed Password

5 Order Management

5.1 DNA Login

- Order राख्नु आघि तपाईंको ब्रोकरले DNA Login भएको छ छैन हेर्नुहोस् | DNA Login भएको वा नभएको एकिन गर्न तपाईंको screen को top right मा हरियो बत्ती बलेको हुनुपर्छ |



- यदि छैन भने तपाईंको सम्बन्धित ब्रोकरलाई सम्पर्क गरी DNA Login गर्न लगाउनुहोस् |
- DNA Login नभएको अवस्थामा तपाईंले Order Entry गर्दा यस्तो खालको Error Message आउछ “ME not logged in” |

नोट: DNA login ले TMS र नेप्सेको सिस्टम बीच कारोबारको लागी connection गराउने काम गर्दछ |

5.2 Order Entry

5.2.1 Buy Order

- Order Management -> Buy order मा जानुहोस् | Fig 5.2.1.1 मा देखाएको Screen बाट लगानीकर्ताले Order राख्न सक्छन् |
- यो Screen बाट लगानीकर्ताले Order राख्न सक्नु हुनेछ |
- यसमा धेरै प्रकारका market sessions हुन्छन् जुन आफ्नो समय अनुसार enable हुन्छन् | जस्तै continuous, pre-open, odd-lot Order राख्न सकिन्छ Fig 5.2.1.1 मा देखाए जस्तै Buy को लागि screen को top right Corner मा click गर्नुहोस् | Buy को लागि screen मा निलो रंग आफै आइराखेको हुन्छ |

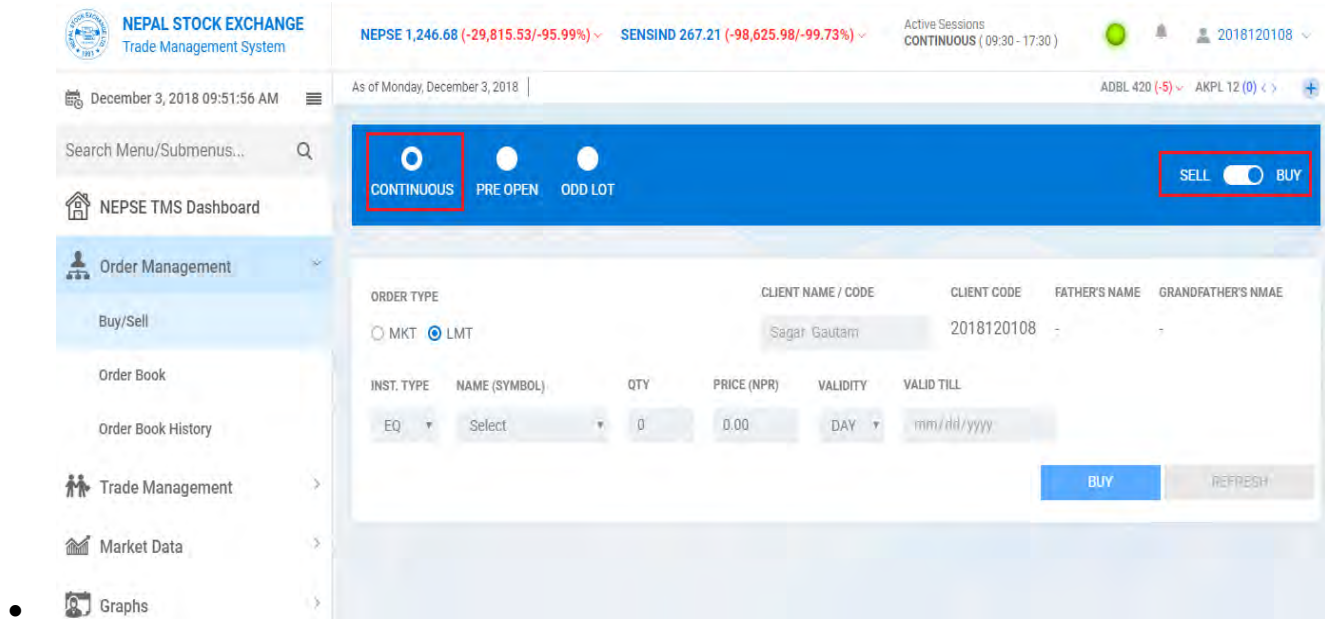


Fig. 5.2.1.1 Order Placement Screen

- सम्बन्धित लगानीकर्ताको Client Name र Client Code आफै आउछ ।
- order राख्नुभन्दा अगाडी order type select गर्नुहोला । order type दुई प्रकारका छन्
१. Limit २. market
Limit मा दिएको valid price range अनुसार मूल्य राख्न सकिन्छ ।
market मा price राख्न मिल्दैन । बजारको मूल्य अनुसार आफै कायम हुन्छ ।

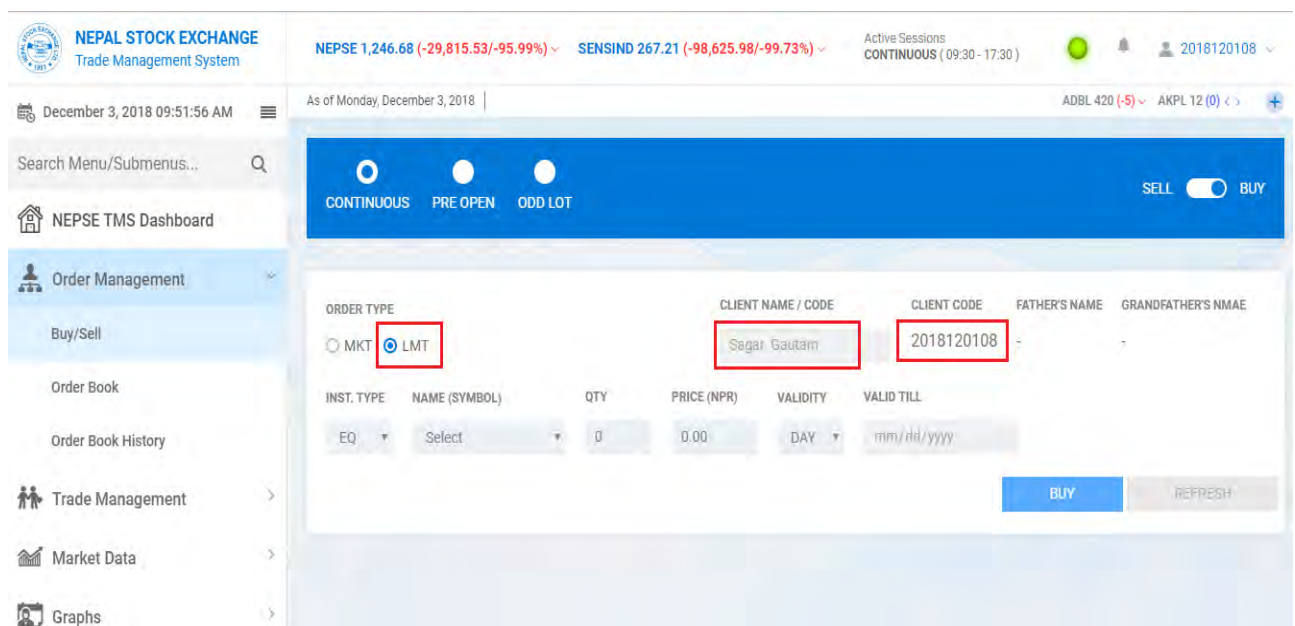
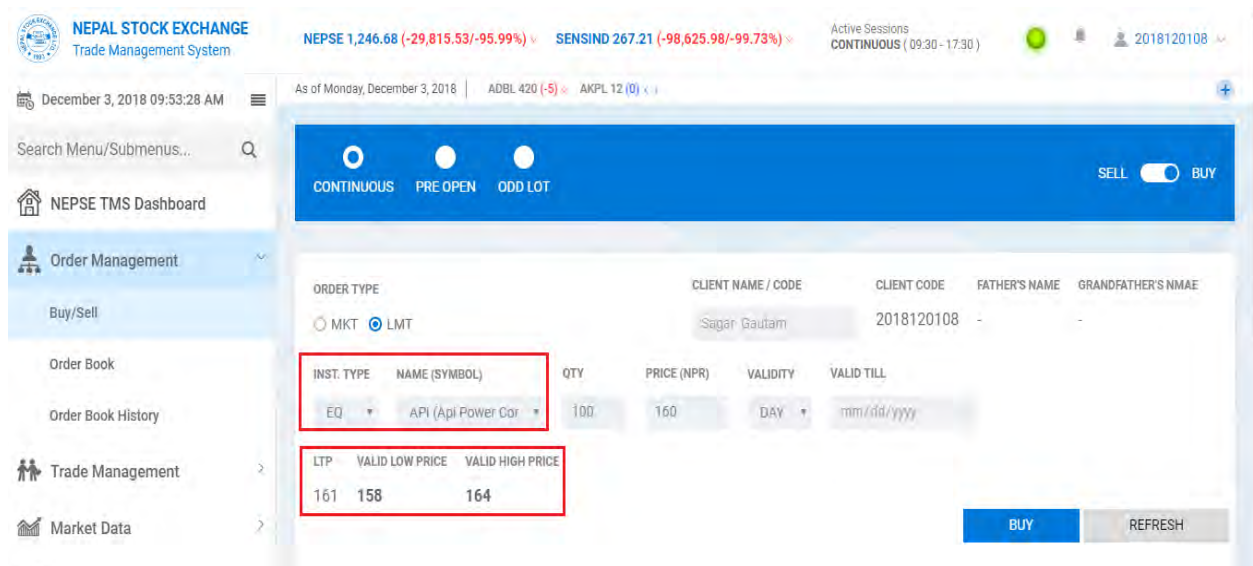


Fig. 5.2.1.2. Place Buy Order

- Instrument Type मा Equity छान्नुहोस् | Security Symbol छान्नुहोस् | Security छानी सकेपछि सम्बन्धित trading parameters देख्न सकिन्छ | हेर्नुहोस् Fig . 5.2.1.3 |



NEPAL STOCK EXCHANGE
Trade Management System

December 3, 2018 09:53:28 AM

Search Menu/Submenus...

NEPSE TMS Dashboard

Order Management

Buy/Sell

Order Book

Order Book History

Trade Management

Market Data

As of Monday, December 3, 2018

ADBL 420 (-5) AKPL 12 (0)

NEPSE 1,246.68 (-29,815.53/-95.99%) SENSIND 267.21 (-98,625.98/-99.73%)

Active Sessions
CONTINUOUS (09:30 - 17:30)

2018120108

CONTINUOUS PRE OPEN ODD LOT

SELL BUY

ORDER TYPE

MKT LMT

CLIENT NAME / CODE

Sagar Gautam

CLIENT CODE

2018120108

FATHER'S NAME

GRANDFATHER'S NMAE

INST. TYPE

EQ

NAME (SYMBOL)

API (Apl Power Cor)

QTY

100

PRICE (NPR)

160

VALIDITY

DAY

VALID TILL

mm/dd/yyyy

LTP

161

VALID LOW PRICE

158

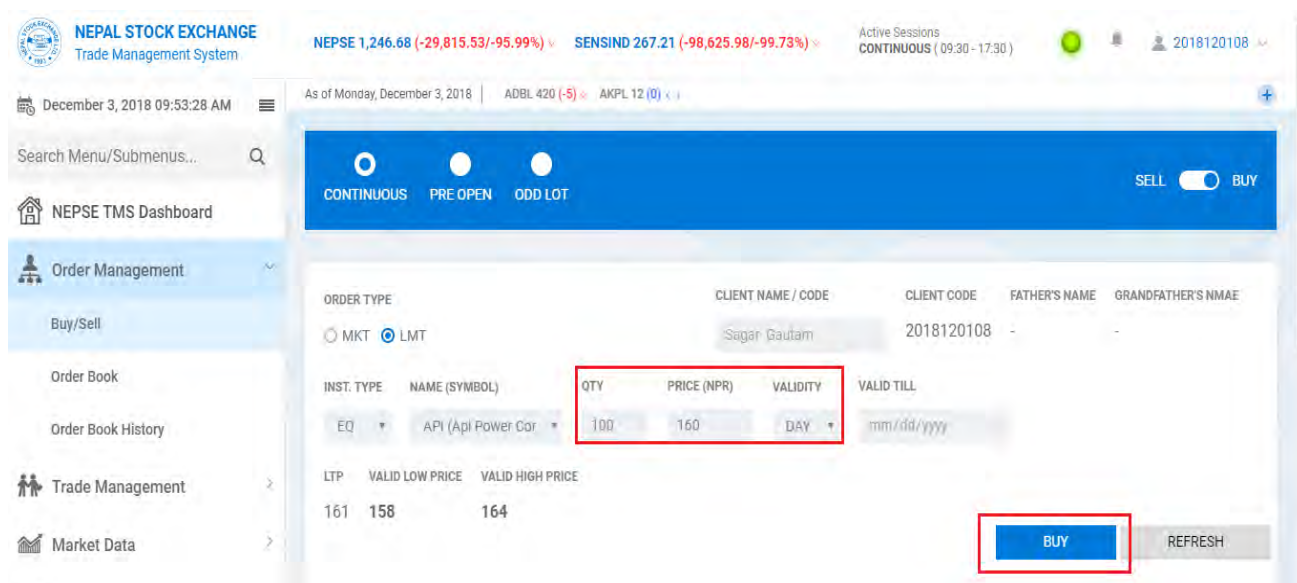
VALID HIGH PRICE

164

BUY REFRESH

Fig. 5.2.1.3. Select Instrument Type

नोट :-Price Valid Low Price & Valid High Price को बीचमा र multiple of tick size मा हुनुपर्छ /Quantity multiple of Board Lot Quantity मा हुनुपर्छ सम्बन्धित /security को Last Traded Price लाई LTP भनिन्छ /



NEPAL STOCK EXCHANGE
Trade Management System

December 3, 2018 09:53:28 AM

Search Menu/Submenus...

NEPSE TMS Dashboard

Order Management

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CONTINUOUS PRE OPEN ODD LOT

SELL BUY

ORDER TYPE

MKT LMT

CLIENT NAME / CODE

Sagar Gautam

CLIENT CODE

2018120108

FATHER'S NAME

GRANDFATHER'S NMAE

INST. TYPE

EQ

NAME (SYMBOL)

API (Apl Power Cor)

QTY

100

PRICE (NPR)

160

VALIDITY

DAY

VALID TILL

mm/dd/yyyy

LTP

161

VALID LOW PRICE

158

VALID HIGH PRICE

164

BUY REFRESH

Fig. 5.2.1.4. Enter Quantity and Price

- Order राखिसकेपछि 5.2.1.5 मा जस्तै success message देख्न सकिन्छ ।

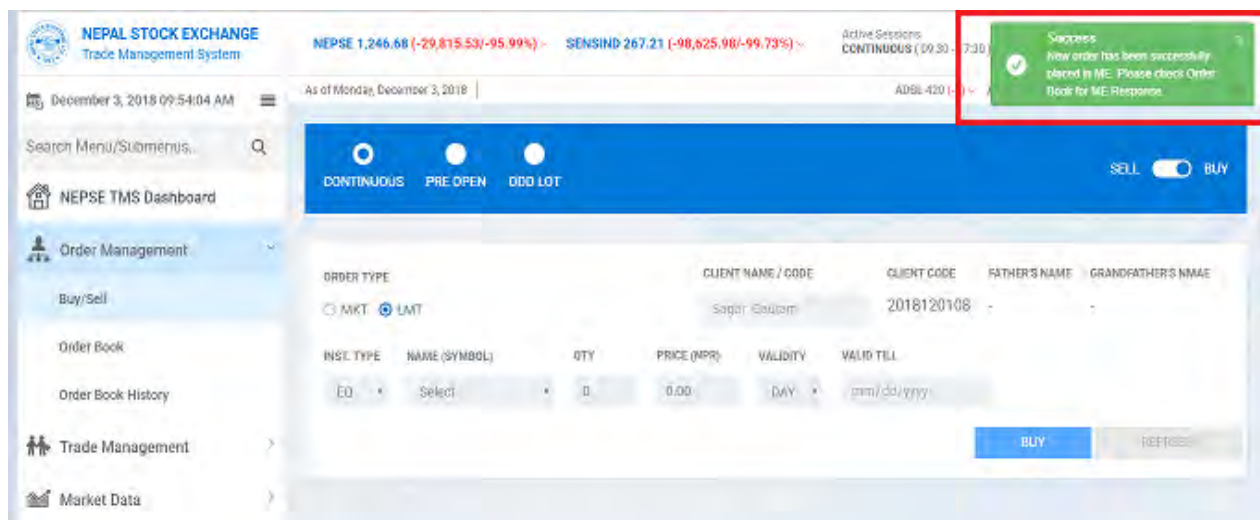


Fig. 5.2.1.5. Order Placing Successful

- Order राखिसकेपछि सम्बन्धित लगानीकर्ताको order book मा हेर्न सकिन्छ । Order Management- > Order Book. मा जानुहोस हेर्नुहोस । Fig 5.1.2.6.
- सम्बन्धित लगानीकर्ताको सबै orders हेर्नसक्नुहुन्छ जबसम्म |order Matched/Partially matched/rejected हुँदैन तबसम्म Order status 'Open' रहन्छ ।

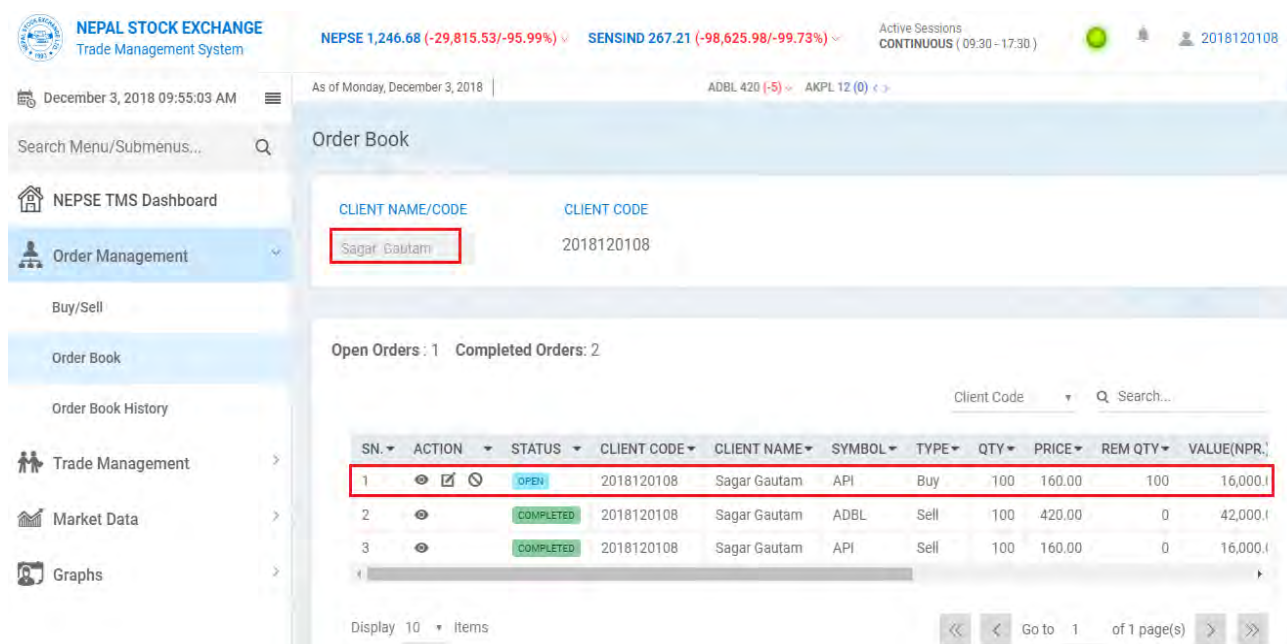
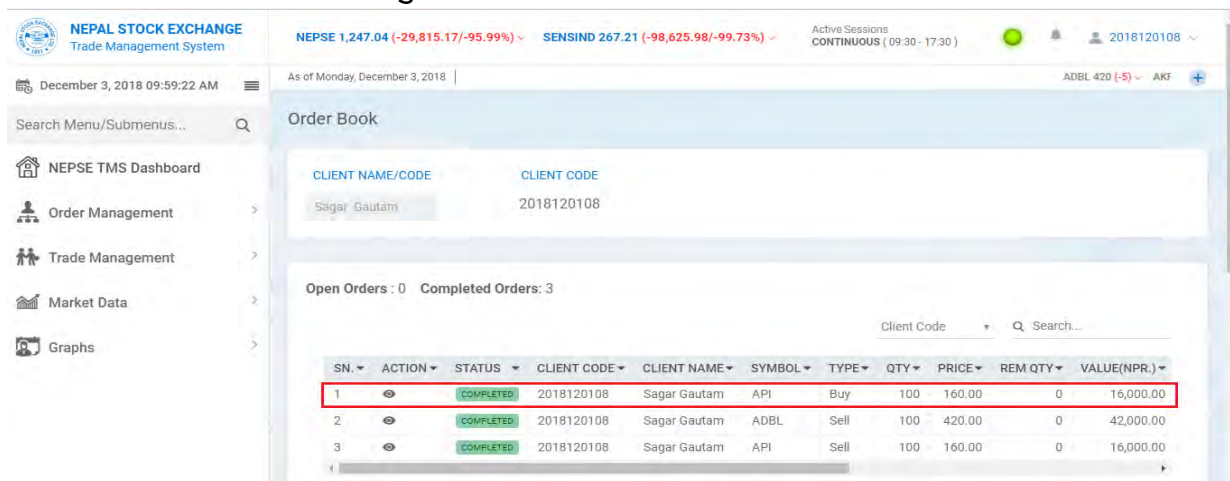


Fig. 5.1.2.6. Order Book

5.2.1.1 Completely Matched Buy Order

- उही security को लागी counter sell order राख्नुहोस | quantity र best price मिल्यो भने order completely matched हुन्छ र status completed हुन्छ | counter order फरक लगानीकर्ताले राख्नुपर्छ |



Order Book

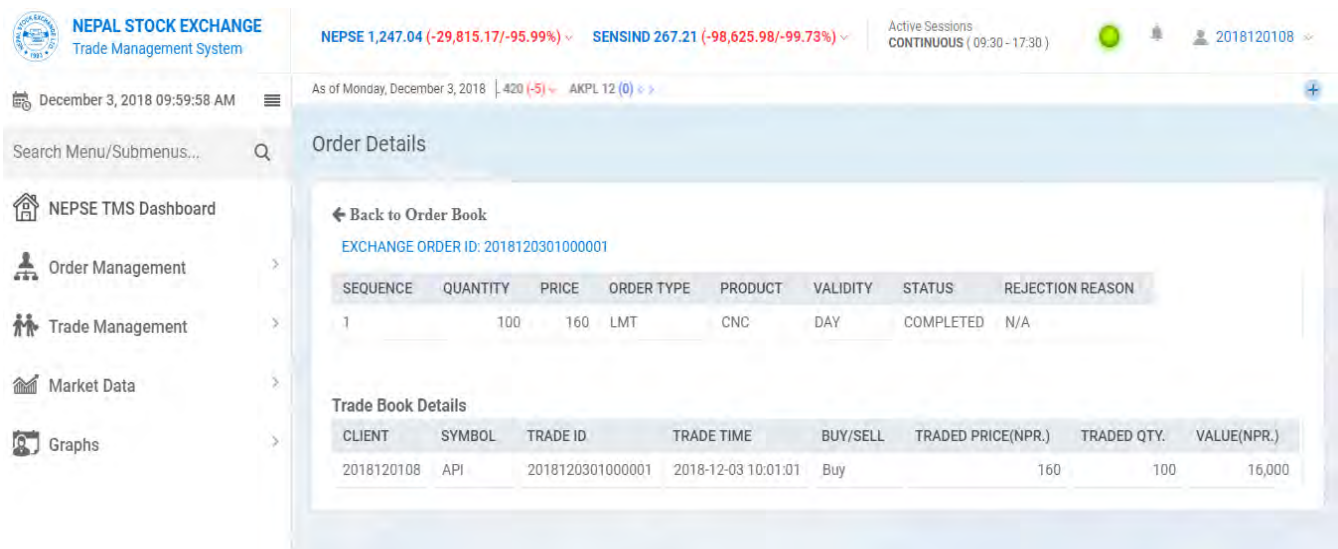
CLIENT NAME/CODE: Sagar Gautam 2018120108

Open Orders : 0 Completed Orders: 3

SN.	ACTION	STATUS	CLIENT CODE	CLIENT NAME	SYMBOL	TYPE	QTY	PRICE	REM QTY	VALUE(NPR.)
1		COMPLETED	2018120108	Sagar Gautam	API	Buy	100	160.00	0	16,000.00
2		COMPLETED	2018120108	Sagar Gautam	ADBL	Sell	100	420.00	0	42,000.00
3		COMPLETED	2018120108	Sagar Gautam	API	Sell	100	160.00	0	16,000.00

Fig. 5.2.1.1.1. Order Status Complete

- Order को Details हेर्न को लागि Action bar को view icon मा Click गर्नुहोस |
- Order completely matched भैसकेपछि total quantity र traded quantity बराबर हुन्छ र remaining quantity 0 हुन्छ हेर्नुहोस | Fig 5.2.1.1.1 |



Order Details

Back to Order Book

EXCHANGE ORDER ID: 2018120301000001

SEQUENCE	QUANTITY	PRICE	ORDER TYPE	PRODUCT	VALIDITY	STATUS	REJECTION REASON
1	100	160	LMT	CNC	DAY	COMPLETED	N/A

Trade Book Details

CLIENT	SYMBOL	TRADE ID	TRADE TIME	BUY/SELL	TRADED PRICE(NPR.)	TRADED QTY.	VALUE(NPR.)
2018120108	API	2018120301000001	2018-12-03 10:01:01	Buy	160	100	16,000

Fig. 5.2.1.1.2. Order Details

- Order matched भैसकेपछि Trade पुरा हुन्छ र सम्बन्धित लगानीकर्ताको Trade Book मा देख्न सकिन्छ | Trade Management-> Trade Book मा जानुहोस |

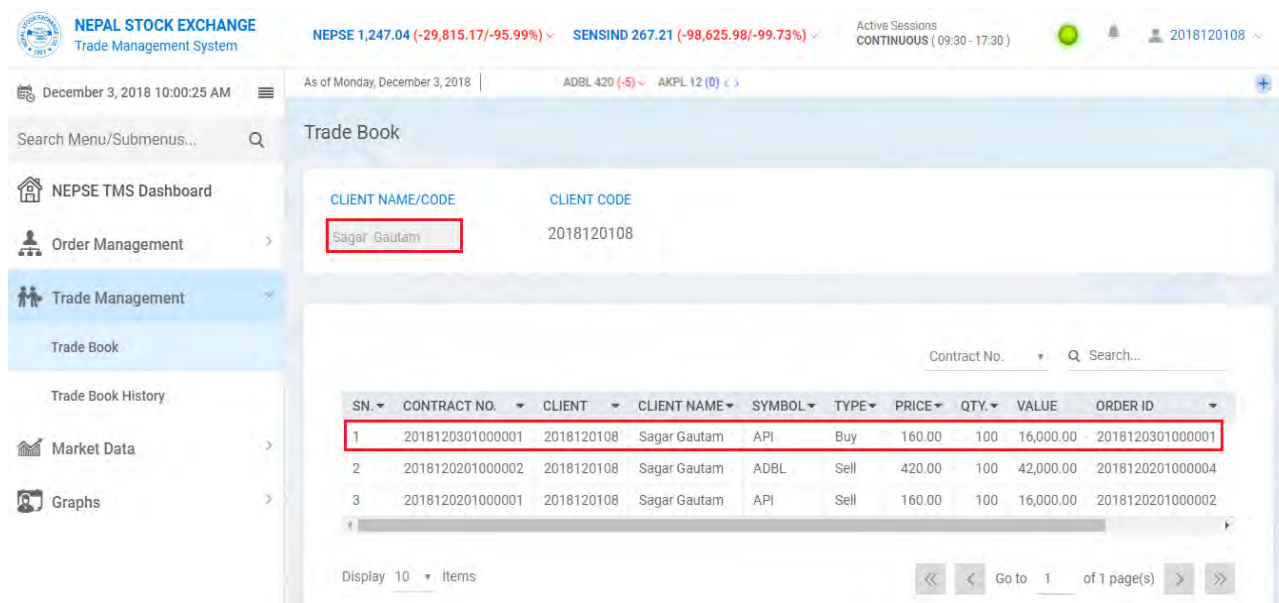


Fig. 5.2.1.1.3. Trade Book

5.2.1.2 Partially Matched Buy Order

- यदि समान मूल्य तर फरक order Quantity भएको खण्डमा Same Security को लागि partially matched हुन्छ।
- अब कुनै Security को लागि Buy Order राख्नुहोस।

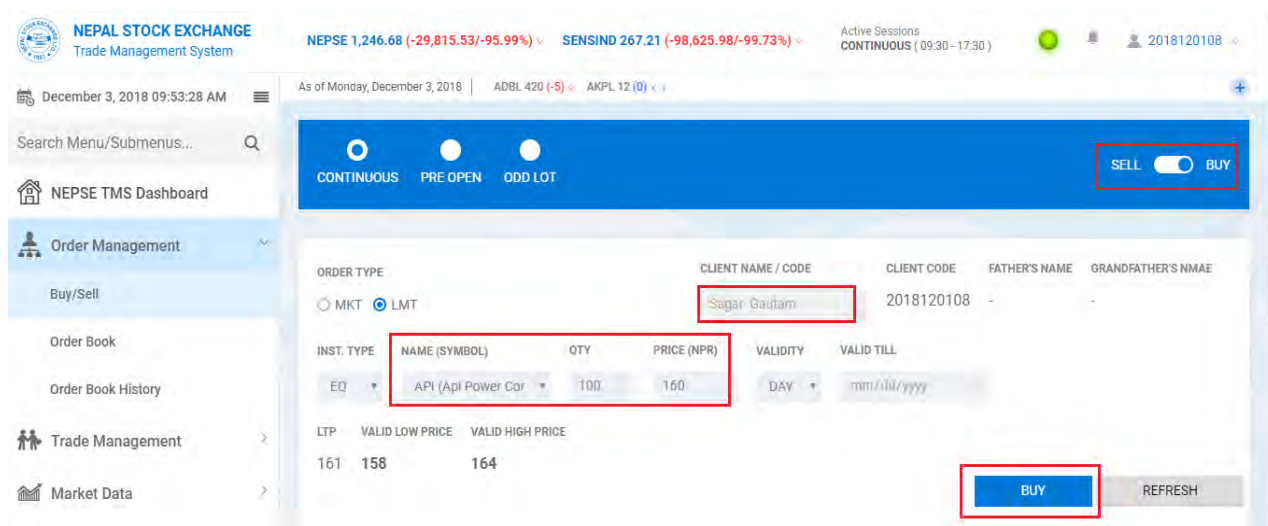


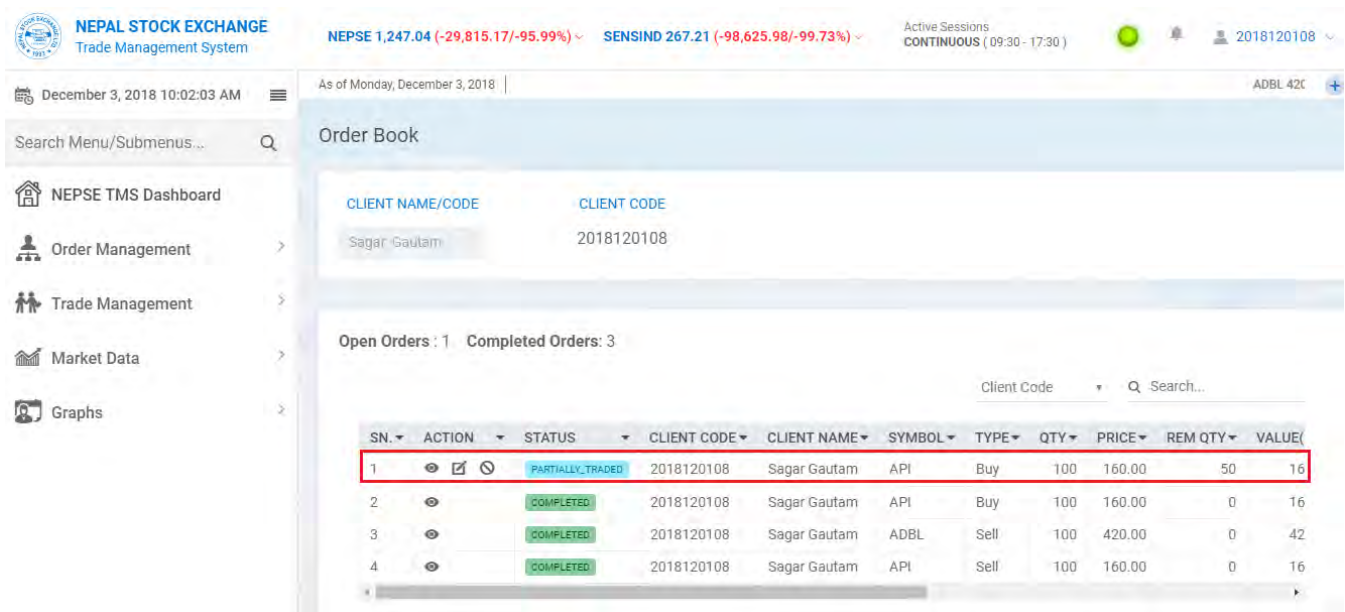
Fig. 5.2.1.2.1. Place order

- Order Successfully राखी सकेपछि सम्बन्धित लगानीकर्ताको Order Book मा गएर बस्छ |Order को Status Open देखिन्छ |

Fig. 5.2.1.2.2. Order Status OPEN

- Counter Sell order उही मूल्यमा आउने बित्तिकै (उही Security तर फरक कित्ता) त्यो order आंशिक (Partial) रुपमा Match हुन्छ। यहाँ Counter Sell order को संख्या 10 हुन्छ, त्यही भएर Traded quantity 10 हुन्छ र बाकि संख्या ५ हुन्छ जुन तल Fig मा देखाइएको छ।

Note: In partially traded order, Remaining quantity= Total quantity- Traded quantity as shown in above figure. Remaining quantity will be traded as per counter orders that will come with the same price. If there is any quantity left to be traded at the end of the day, the remaining quantity will be automatically cancelled.



SN.	ACTION	STATUS	CLIENT CODE	CLIENT NAME	SYMBOL	TYPE	QTY	PRICE	REM QTY	VALUE
1		PARTIALLY TRADED	2018120108	Sagar Gautam	API	Buy	100	160.00	50	16
2		COMPLETED	2018120108	Sagar Gautam	API	Buy	100	160.00	0	16
3		COMPLETED	2018120108	Sagar Gautam	ADBL	Sell	100	420.00	0	42
4		COMPLETED	2018120108	Sagar Gautam	API	Sell	100	160.00	0	16

Fig. 5.2.1.2.3. Order is Partially Traded

- बाकी order संख्याहरु match तब हुन्छ जब Next counter order हरु आउछ र बाकी order संख्या match हुन्छ।
- Match नभएको order quantity मार्केट बन्द भैसकेपछि automatically cancel हुन्छ।
- order partially matched भैसकेपछि सम्बन्धित लगानीकर्ताको trade book मा Match Quantity को कारोवार मात्र देखिन्छ।

← → ↻ ⓘ Not secure 192.168.50.61/tms/me/trade-book

NEPSE 1,247.04 (-29,815.17/-95.99%) SENSIND 267.21 (-98,625.98/-99.73%) Active Sessions CONTINUOUS (09:30 - 17:30)

As of Monday, December 3, 2018 [0] < >

Trade Book

CLIENT NAME/CODE CLIENT CODE

Sagar Gautam 2018120108

Contract No. Search...

SN.	CONTRACT NO.	CLIENT	CLIENT NAME	SYMBOL	TYPE	PRICE	QTY.	VALUE	ORDER ID	TRADE TIME
1	2018120301000002	2018120108	Sagar Gautam	API	Buy	160.00	50	8,000.00	2018120301000003	2018-12-03 10:03:42
2	2018120301000001	2018120108	Sagar Gautam	API	Buy	160.00	100	16,000.00	2018120301000001	2018-12-03 10:01:01
3	2018120201000002	2018120108	Sagar Gautam	ADBL	Sell	420.00	100	42,000.00	2018120201000004	2018-12-02 16:00:23
4	2018120201000001	2018120108	Sagar Gautam	API	Sell	160.00	100	16,000.00	2018120201000002	2018-12-02 15:00:29

Display 10 Items

<< < Go to 1 of 1 page(s) > >>

Fig. 5.2.1.2.4. Trade Book

5.2.2 Sell Order

- Order Management -> Sell order मा जानुहोस | Fig. 5.2.2.1. मा देखाएको Screen बाट लगानीकर्ताले Order राख्न सक्छन् ।
- अहिलेको लागी Continuous market session checked छ छैन हेर्नुहोस अनि Fig 5.2.1.1 मा देखाए जस्तै Sell को लागि screen को top right Corner मा click गर्नुहोस । Sell Order को लागि screen मा रातो रंग देखिन्छ ।

NEPAL STOCK EXCHANGE Trade Management System

NEPSE 1,247.04 (-29,815.17/-95.99%) SENSIND 267.21 (-98,625.98/-99.73%) Active Sessions CONTINUOUS (09:30 - 17:30)

December 3, 2018 10:12:54 AM

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As of Monday, December 3, 2018

ADBL 420 (-5) AKPL 12 (0)

CONTINUOUS PRE OPEN ODD LOT

SELL BUY

ORDER TYPE

CLIENT NAME / CODE CLIENT CODE FATHER'S NAME GRANDFATHER'S NAME

Sagar Gautam 2018120108 - -

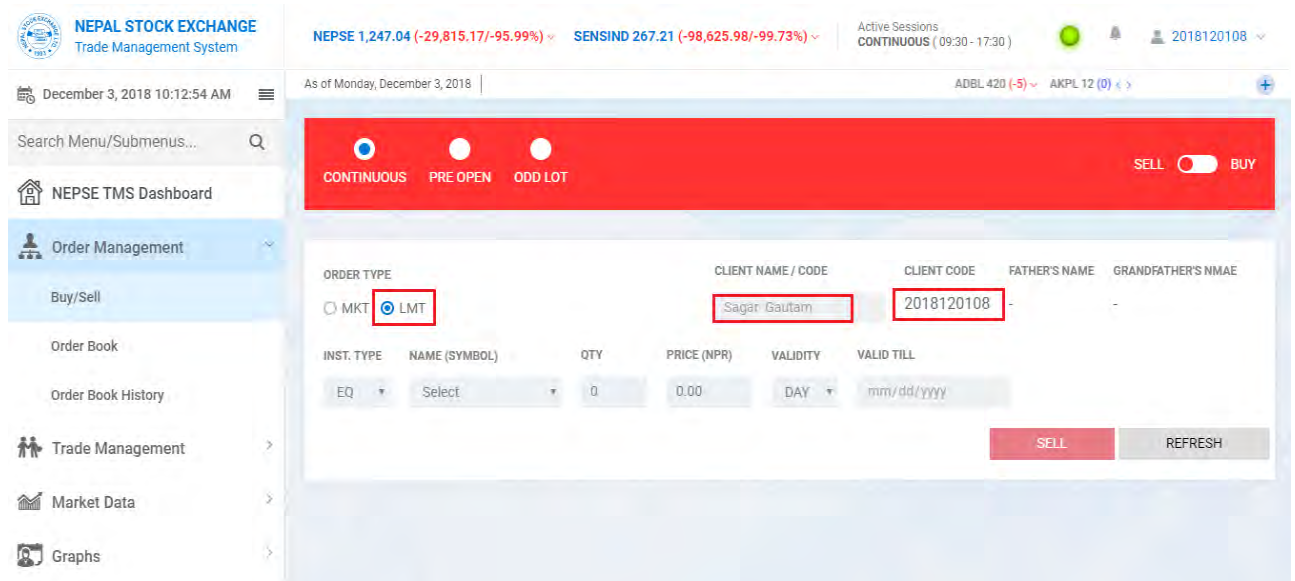
INST. TYPE NAME (SYMBOL) QTY PRICE (NPR) VALIDITY VALID TILL

EQ Select 0 0.00 DAY mm/dd/yyyy

SELL REFRESH

Fig. 5.2.2.1. Place Sell Order

- सम्बन्धित लगानीकर्ताको Client Name र Client Code आफै आउछ ।



NEPAL STOCK EXCHANGE
Trade Management System

December 3, 2018 10:12:54 AM

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NEPSE 1,247.04 (-29,815.17/-95.99%) SENSIND 267.21 (-98,625.98/-99.73%)

Active Sessions
CONTINUOUS (09:30 - 17:30)

2018120108

As of Monday, December 3, 2018

ADBL 420 (-5) AKPL 12 (0)

CONTINUOUS PRE OPEN ODD LOT

SELL BUY

ORDER TYPE

CLIENT NAME / CODE

CLIENT CODE

FATHER'S NAME

GRANDFATHER'S NMAE

MKT LMT

Sagar Gautam

2018120108

INST. TYPE

NAME (SYMBOL)

QTY

PRICE (NPR)

VALIDITY

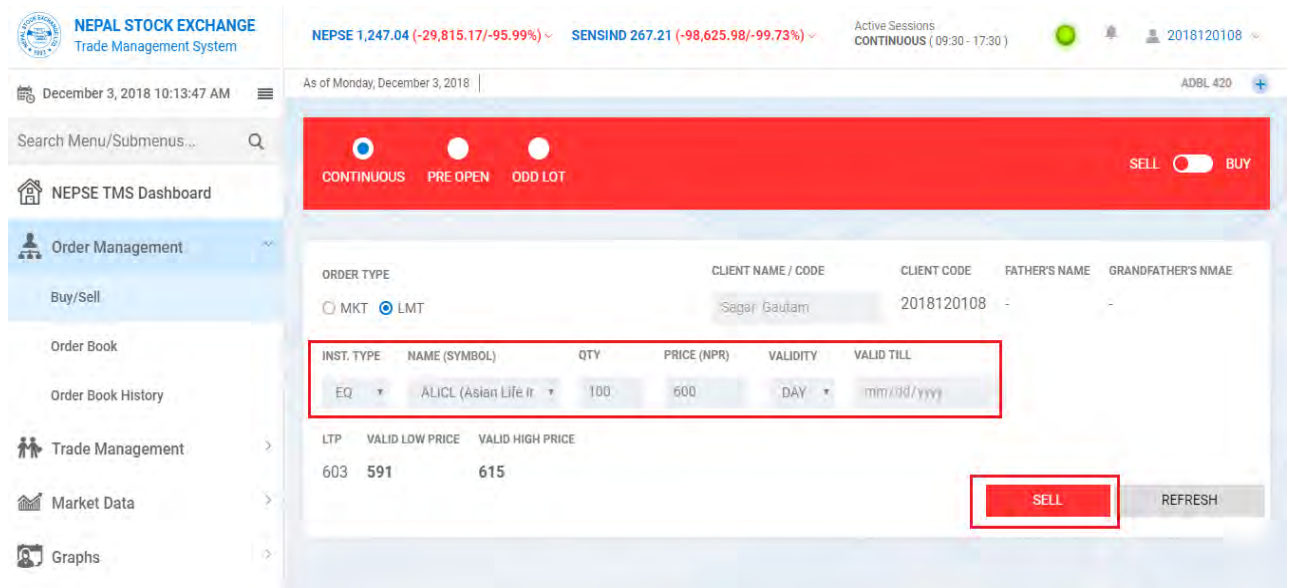
VALID TILL

EQ Select 0 0.00 DAY mm/dd/yyyy

SELL REFRESH

Fig. 5.2.2.2. Select Order Type

- Instrument Type मा Equity छान्नुहोस् | Security Symbol छान्नुहोस् | Security छानी सकेपछि सम्बन्धित trading parameters देख्न सकिन्छ | हेर्नुहोस् Fig . 5.2.2.3 |
- trade quantity, trade price and disclosed quantity (Optional) राख्नुहोस् | disclosed quantity मा राखिएको quantity मात्र देख्न सकिन्छ र कम्तीमा 10% quantity disclosed हुनुपर्छ | validity मा Day छान्नुहोस् र Place order मा click गर्नुहोस् |



NEPAL STOCK EXCHANGE
Trade Management System

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NEPSE 1,247.04 (-29,815.17/-95.99%) SENSIND 267.21 (-98,625.98/-99.73%)

Active Sessions
CONTINUOUS (09:30 - 17:30)

2018120108

As of Monday, December 3, 2018

ADBL 420

CONTINUOUS PRE OPEN ODD LOT

SELL BUY

ORDER TYPE

CLIENT NAME / CODE

CLIENT CODE

FATHER'S NAME

GRANDFATHER'S NMAE

MKT LMT

Sagar Gautam

2018120108

INST. TYPE

NAME (SYMBOL)

QTY

PRICE (NPR)

VALIDITY

VALID TILL

EQ ALICL (Asian Life Insurance Co. Ltd.) 100 600 DAY mm/dd/yyyy

LTP

VALID LOW PRICE

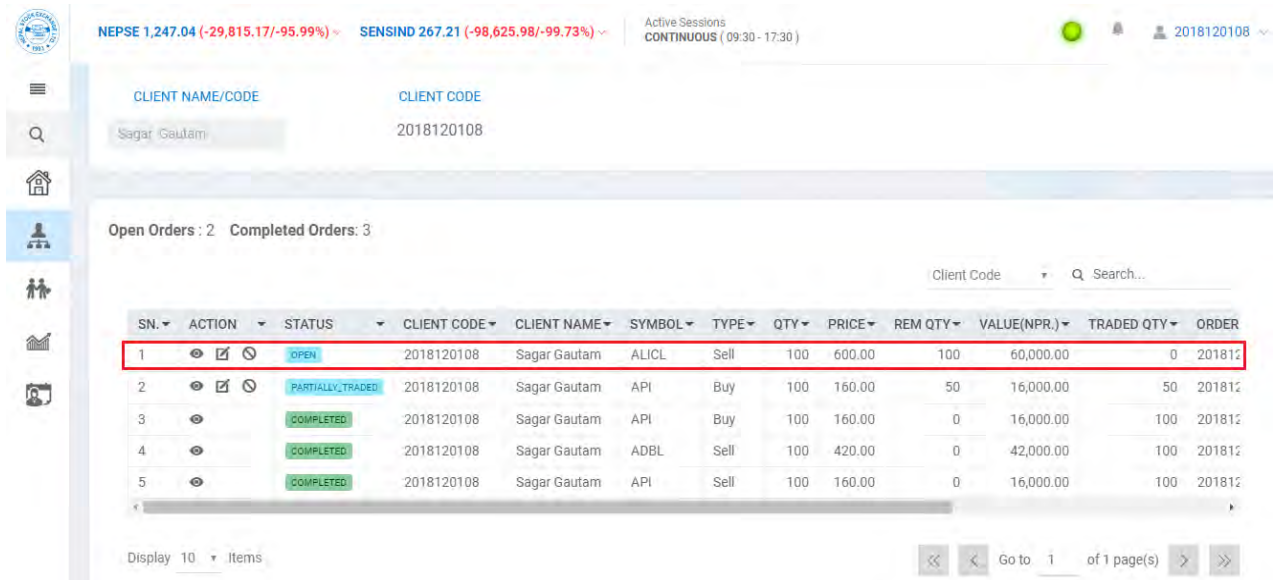
VALID HIGH PRICE

603 591 615

SELL REFRESH

Fig. 5.2.2.3. Enter Quantity and Price

- Order राखिसकेपछि सम्बन्धित लगानीकर्ताको order book मा हेर्न सकिन्छ | Order Management- > Order Book. मा जानुहोस |
- जबसम्म order Matched/Partially matched/rejected हुँदैन तबसम्म Order status 'Open' रहन्छ |



NEPSE 1,247.04 (-29,815.17/-95.99%) SENSIND 267.21 (-98,625.98/-99.73%) Active Sessions CONTINUOUS (09:30 - 17:30)

CLIENT NAME/CODE CLIENT CODE

Sagar Gautam 2018120108

Open Orders : 2 Completed Orders: 3

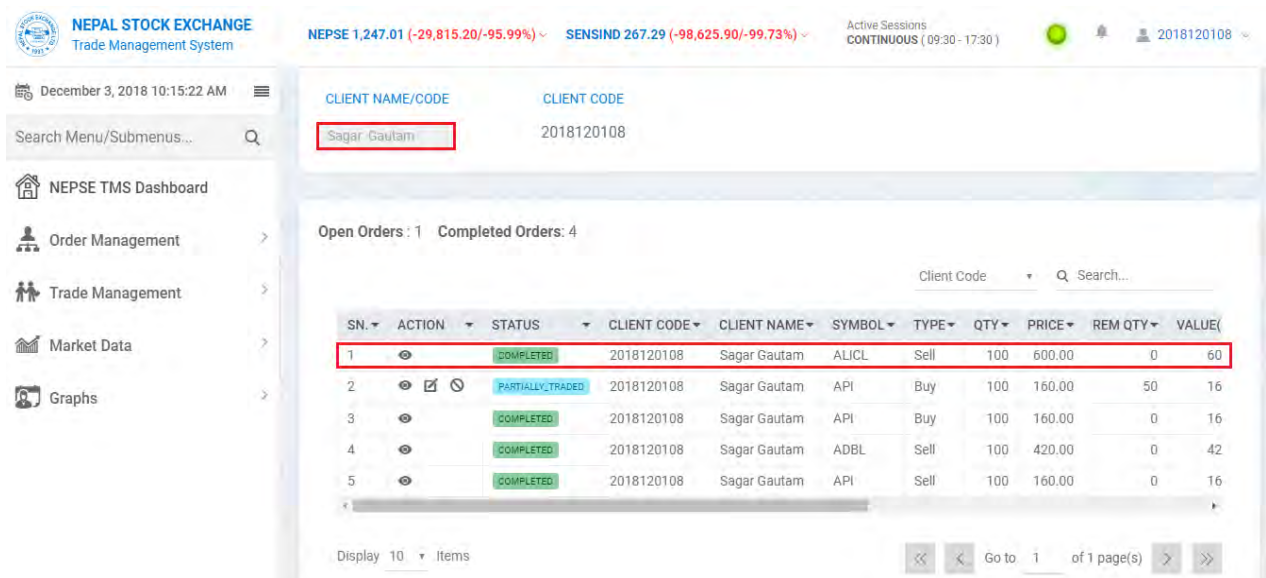
SN.	ACTION	STATUS	CLIENT CODE	CLIENT NAME	SYMBOL	TYPE	QTY	PRICE	REM QTY	VALUE(NPR.)	TRADED QTY	ORDER
1		OPEN	2018120108	Sagar Gautam	ALICL	Sell	100	600.00	100	60,000.00	0	201812
2		PARTIALLY_TRADED	2018120108	Sagar Gautam	API	Buy	100	160.00	50	16,000.00	50	201812
3		COMPLETED	2018120108	Sagar Gautam	API	Buy	100	160.00	0	16,000.00	100	201812
4		COMPLETED	2018120108	Sagar Gautam	ADBL	Sell	100	420.00	0	42,000.00	100	201812
5		COMPLETED	2018120108	Sagar Gautam	API	Sell	100	160.00	0	16,000.00	100	201812

Display 10 Items

Fig. 5.2.2.4. Order Book

5.2.2.1 Completely Matched Sell Order

- उही security को लागी counter Buy order राख्नुहोस | quantity र price मिल्यो भने order completely matched हुन्छ र status completed हुन्छ |counter order फरक लगानीकर्ताले राख्नुपर्छ |



NEPSE 1,247.01 (-29,815.20/-95.99%) SENSIND 267.29 (-98,625.90/-99.73%) Active Sessions CONTINUOUS (09:30 - 17:30)

CLIENT NAME/CODE CLIENT CODE

Sagar Gautam 2018120108

Open Orders : 1 Completed Orders: 4

SN.	ACTION	STATUS	CLIENT CODE	CLIENT NAME	SYMBOL	TYPE	QTY	PRICE	REM QTY	VALUE(NPR.)
1		COMPLETED	2018120108	Sagar Gautam	ALICL	Sell	100	600.00	0	60
2		PARTIALLY_TRADED	2018120108	Sagar Gautam	API	Buy	100	160.00	50	16
3		COMPLETED	2018120108	Sagar Gautam	API	Buy	100	160.00	0	16
4		COMPLETED	2018120108	Sagar Gautam	ADBL	Sell	100	420.00	0	42
5		COMPLETED	2018120108	Sagar Gautam	API	Sell	100	160.00	0	16

Display 10 Items

Fig. 5.2.2.1.1. Trade Complete

- Order completely matched भैसकेपछि total quantity र traded quantity बराबर हुन्छ र remaining quantity 0 हुन्छ। माथि को Figure मा देख्न सक्नुहुन्छ।
- Order Detail हेर्न Action bar को view icon मा Click गर्नुहोस ।

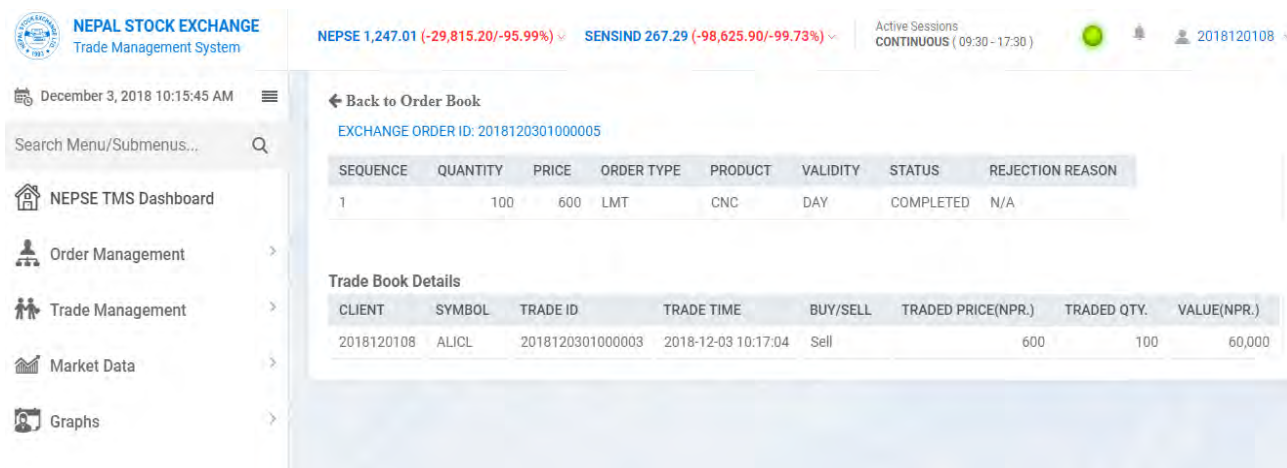


Fig. 5.2.2.1.2. Order Details

- Order matched भैसकेपछि Trade पुरा हुन्छ र सम्बन्धित लगानीकर्ताको Trade Book मा देख्न सकिन्छ ।Trade Management-> Trade Book मा जानुहोस ।

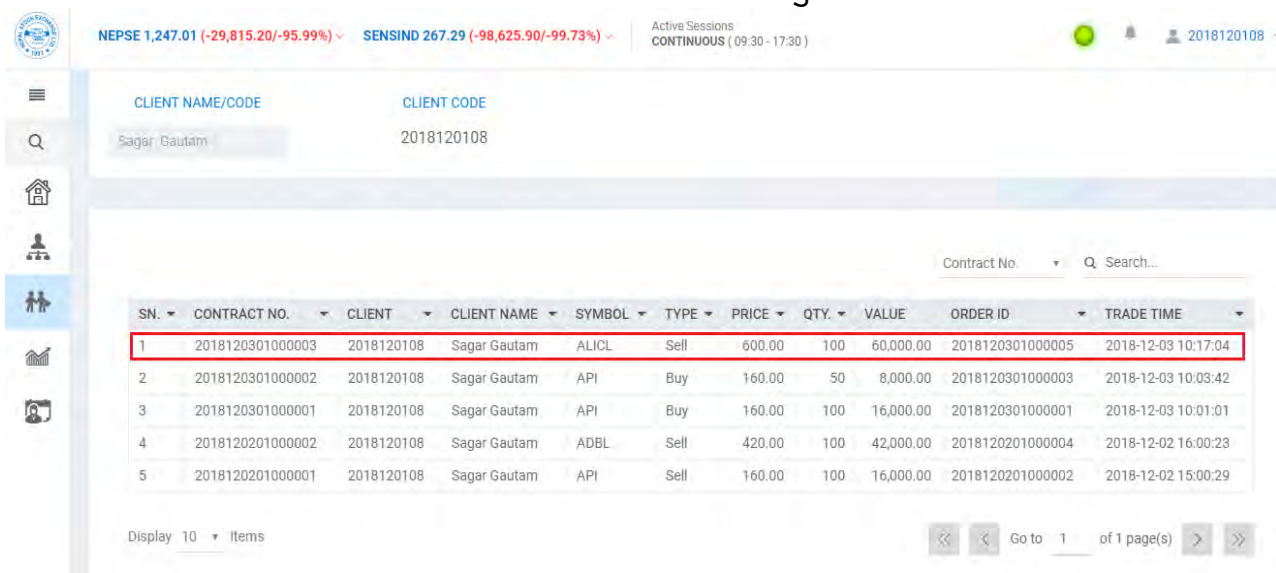
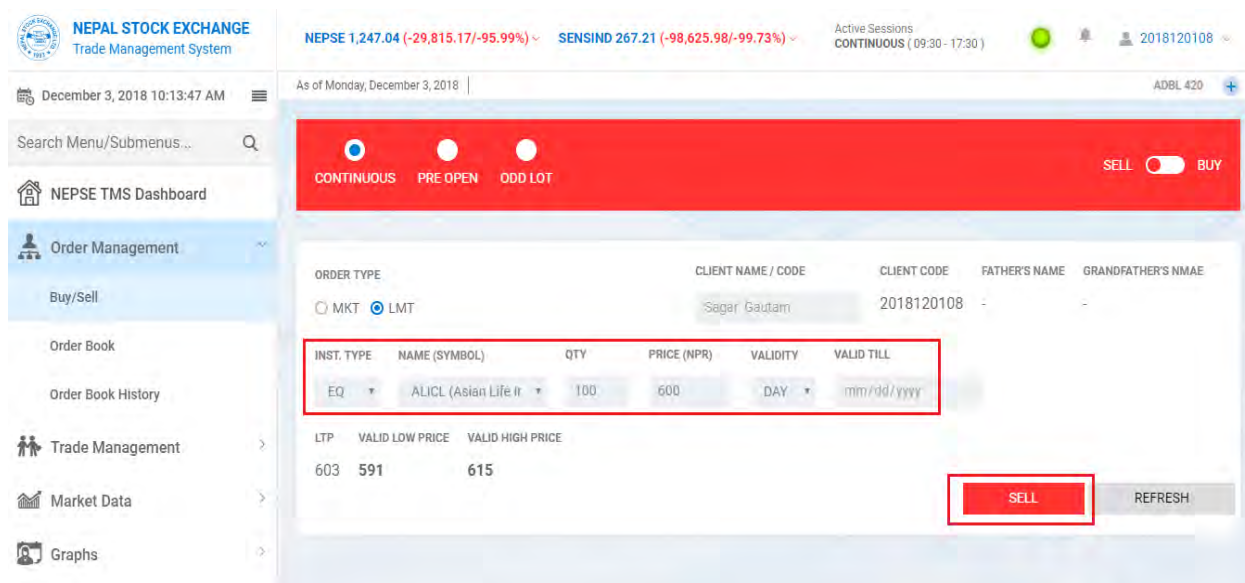


Fig. 5.2.2.1.3. Trade Book

5.2.2.2 Partially Matched Sell Order

- यदि समान मूल्य तर फरक order Quantity भएको खण्डमा Same Security को लागि partially matched हुन्छ।
- अब कुनै Security को लागि Buy Order राख्नुहोस।



December 3, 2018 10:13:47 AM

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Graphs

NEPSE 1,247.04 (-29,815.17/-95.99%) SENSIND 267.21 (-98,625.98/-99.73%) Active Sessions CONTINUOUS (09:30 - 17:30)

As of Monday, December 3, 2018

ADBL 420

CONTINUOUS PRE OPEN ODD LOT

SELL BUY

ORDER TYPE

CLIENT NAME / CODE

CLIENT CODE

FATHER'S NAME

GRANDFATHER'S NMAE

☐ MKT ☒ LMT

Sagar Gautam

2018120108

INST. TYPE	NAME (SYMBOL)	QTY	PRICE (NPR)	VALIDITY	VALID TILL
EQ	ALICL (Asian Life It	100	600	DAY	mm/dd/yyyy

LTP

VALID LOW PRICE

VALID HIGH PRICE

603

591

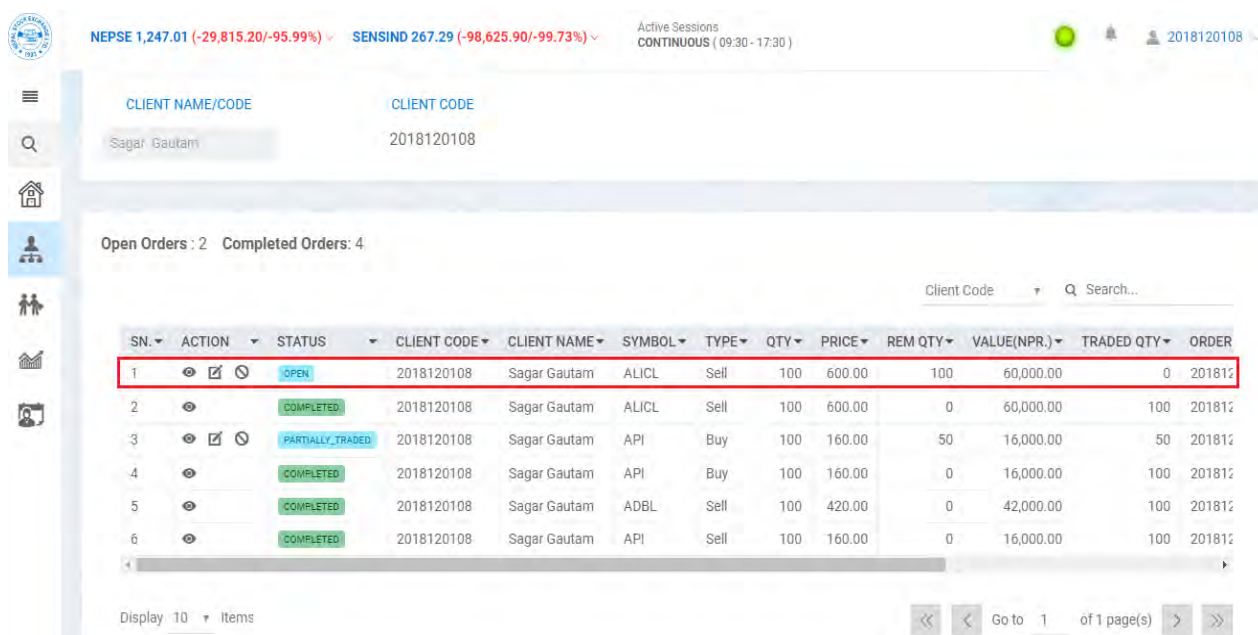
615

SELL

REFRESH

Fig. 5.2.2.2.1. Place Sell Order

- Order सफलतापूर्वक राखी सकेपछि सम्बन्धित लगानीकर्ताको Order Book मा गएर बस्छ |Order को Status Open देखिन्छ |



NEPSE 1,247.01 (-29,815.20/-95.99%) SENSIND 267.29 (-98,625.90/-99.73%) Active Sessions CONTINUOUS (09:30 - 17:30)

CLIENT NAME/CODE

CLIENT CODE

Sagar Gautam

2018120108

Open Orders : 2 Completed Orders : 4

SN	ACTION	STATUS	CLIENT CODE	CLIENT NAME	SYMBOL	TYPE	QTY	PRICE	REM QTY	VALUE(NPR)	TRADED QTY	ORDER
1		OPEN	2018120108	Sagar Gautam	ALICL	Sell	100	600.00	100	60,000.00	0	201812
2		COMPLETED	2018120108	Sagar Gautam	ALICL	Sell	100	600.00	0	60,000.00	100	201812
3		PARTIALLY_TRADED	2018120108	Sagar Gautam	API	Buy	100	160.00	50	16,000.00	50	201812
4		COMPLETED	2018120108	Sagar Gautam	API	Buy	100	160.00	0	16,000.00	100	201812
5		COMPLETED	2018120108	Sagar Gautam	ADBL	Sell	100	420.00	0	42,000.00	100	201812
6		COMPLETED	2018120108	Sagar Gautam	API	Sell	100	160.00	0	16,000.00	100	201812

Display 10 Items

Go to 1 of 1 page(s)

Fig. 5.2.2.2.2. Order Status OPEN

- Now, once a counter buy order comes with different order quantity for same security and at same price, the order will be partially matched .Here, counter sell order is of order quantity 10, so traded quantity is 10 and remaining quantity is 8 as shown in below figure.

CLIENT NAME/CODE











CLIENT CODE

Sagar Gautam

2018120108

Open Orders : 2 Completed Orders : 4

Client Code Search...

SN.	ACTION	STATUS	CLIENT CODE	CLIENT NAME	SYMBOL	TYPE	QTY	PRICE	REM QTY	VALUE(NPR.)	TRADED QTY	ORDER
1	  	PARTIALLY_TRADED	2018120108	Sagar Gautam	ALICL	Sell	100	600.00	50	60,000.00	50	201812
2		COMPLETED	2018120108	Sagar Gautam	ALICL	Sell	100	600.00	0	60,000.00	100	201812
3	  	PARTIALLY_TRADED	2018120108	Sagar Gautam	API	Buy	100	160.00	50	16,000.00	50	201812
4		COMPLETED	2018120108	Sagar Gautam	API	Buy	100	160.00	0	16,000.00	100	201812
5		COMPLETED	2018120108	Sagar Gautam	ADBL	Sell	100	420.00	0	42,000.00	100	201812
6		COMPLETED	2018120108	Sagar Gautam	API	Sell	100	160.00	0	16,000.00	100	201812

Display 10 Items

Go to 1 of 1 page(s)

Fig. 5.2.2.2.3. Order Partially Traded

- Match नभएको order quantity मार्केट बन्द भैसकेपछि automatically cancel हुन्छ।
- order partially matched भैसकेपछि सम्बन्धित लगानीकर्ताको trade book मा Match भएको Quantity मात्र देखिन्छ।

CLIENT NAME/CODE

CLIENT CODE

Sagar Gautam

2018120108

Contract No. Search...

SN.	CONTRACT NO.	CLIENT	CLIENT NAME	SYMBOL	TYPE	PRICE	QTY.	VALUE	ORDER ID	TRADE TIME
1	2018120301000004	2018120108	Sagar Gautam	ALICL	Sell	600.00	50	30,000.00	2018120301000007	2018-12-03 10:19:50
2	2018120301000003	2018120108	Sagar Gautam	ALICL	Sell	600.00	100	60,000.00	2018120301000005	2018-12-03 10:17:04
3	2018120301000002	2018120108	Sagar Gautam	API	Buy	160.00	50	8,000.00	2018120301000003	2018-12-03 10:03:42
4	2018120301000001	2018120108	Sagar Gautam	API	Buy	160.00	100	16,000.00	2018120301000001	2018-12-03 10:01:01
5	2018120201000002	2018120108	Sagar Gautam	ADBL	Sell	420.00	100	42,000.00	2018120201000004	2018-12-02 16:00:23
6	2018120201000001	2018120108	Sagar Gautam	API	Sell	160.00	100	16,000.00	2018120201000002	2018-12-02 15:00:29

Display 10 Items

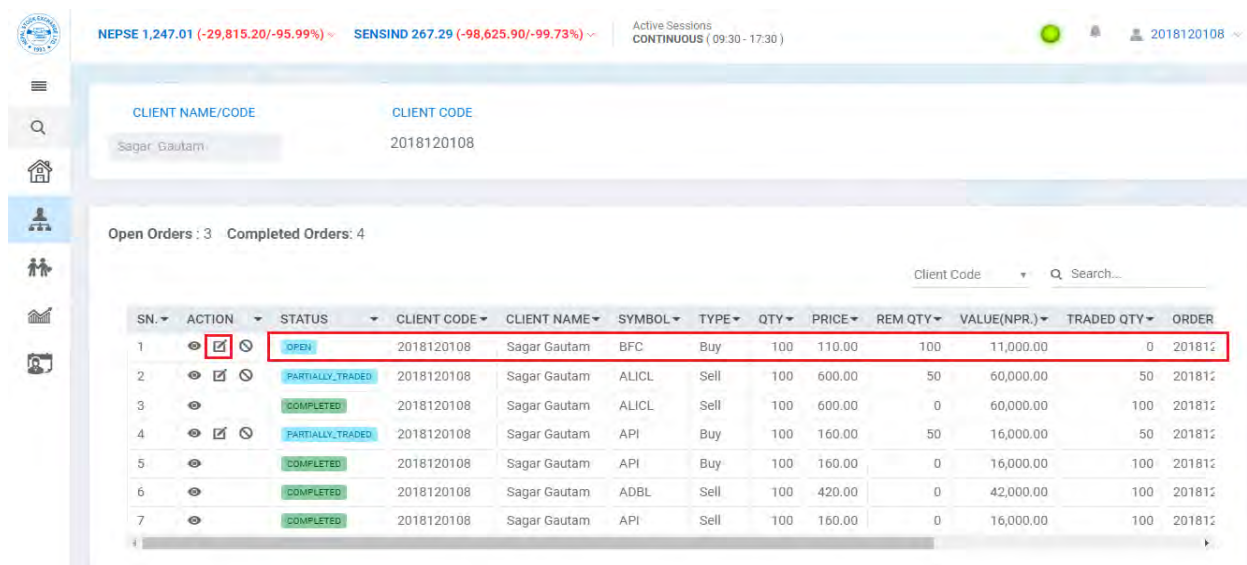
Go to 1 of 1 page(s)

Fig. 5.2.2.2.4. Trade Book

5.3 Edit Orders (Buy/Sell)

5.3.1 Edit Open Order

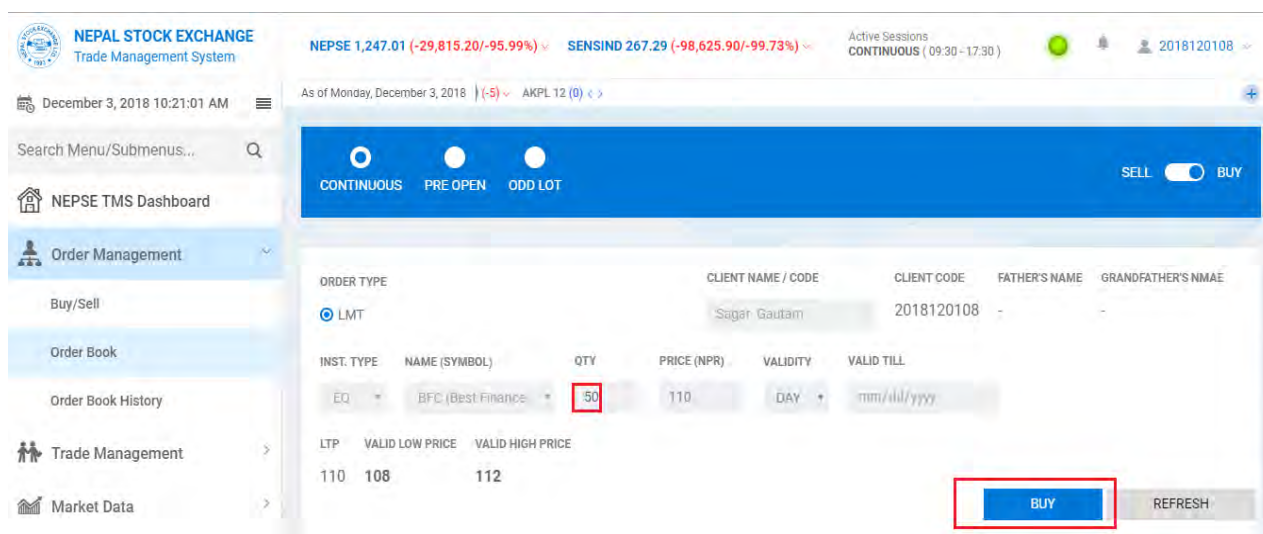
- प्रयोगकर्ताले open मा रहेको order हरु आफै मिलाउन सक्नेछन् । सो गर्नको लागि order book मा click गरी open order tab मा click गरी गर्न सकिन्छ ।
- order मिलाउनको लागि open order मा गई order मा edit मा click गर्नुहोस जुन action bar मा रहेको edit icon मा गएर click गर्नु सकिन्छ ।



SN	ACTION	STATUS	CLIENT CODE	CLIENT NAME	SYMBOL	TYPE	QTY	PRICE	REM QTY	VALUE(NPR)	TRADED QTY	ORDER
1		OPEN	2018120108	Sagar Gautam	BFC	Buy	100	110.00	100	11,000.00	0	2018120108
2		PARTIALLY_TRADED	2018120108	Sagar Gautam	ALICL	Sell	100	600.00	50	60,000.00	50	2018120108
3		COMPLETED	2018120108	Sagar Gautam	ALICL	Sell	100	600.00	0	60,000.00	100	2018120108
4		PARTIALLY_TRADED	2018120108	Sagar Gautam	API	Buy	100	160.00	50	16,000.00	50	2018120108
5		COMPLETED	2018120108	Sagar Gautam	API	Buy	100	160.00	0	16,000.00	100	2018120108
6		COMPLETED	2018120108	Sagar Gautam	ADBL	Sell	100	420.00	0	42,000.00	100	2018120108
7		COMPLETED	2018120108	Sagar Gautam	API	Sell	100	160.00	0	16,000.00	100	2018120108

Fig. 5.3.1.1. Edit Open Order

- edit order मा click गरीसकेपछि एसले लगानीकर्ताले त्यसको respective order को order entry window मा लाग्नेछ ।



NEPAL STOCK EXCHANGE
Trade Management System

December 3, 2018 10:21:01 AM

As of Monday, December 3, 2018 | (-5) AKPL 12 (0)

ORDER TYPE: LMT

CLIENT NAME / CODE: Sagar Gautam

CLIENT CODE: 2018120108

FATHER'S NAME: -

GRANDFATHER'S NAME: -

INST. TYPE: EQ

NAME (SYMBOL): BFC (Best Finance)

QTY: 50

PRICE (NPR): 110

VALIDITY: DAY

VALID TILL: mm/dd/yyyy

LTP: 110

VALID LOW PRICE: 108

VALID HIGH PRICE: 112

BUY

REFRESH

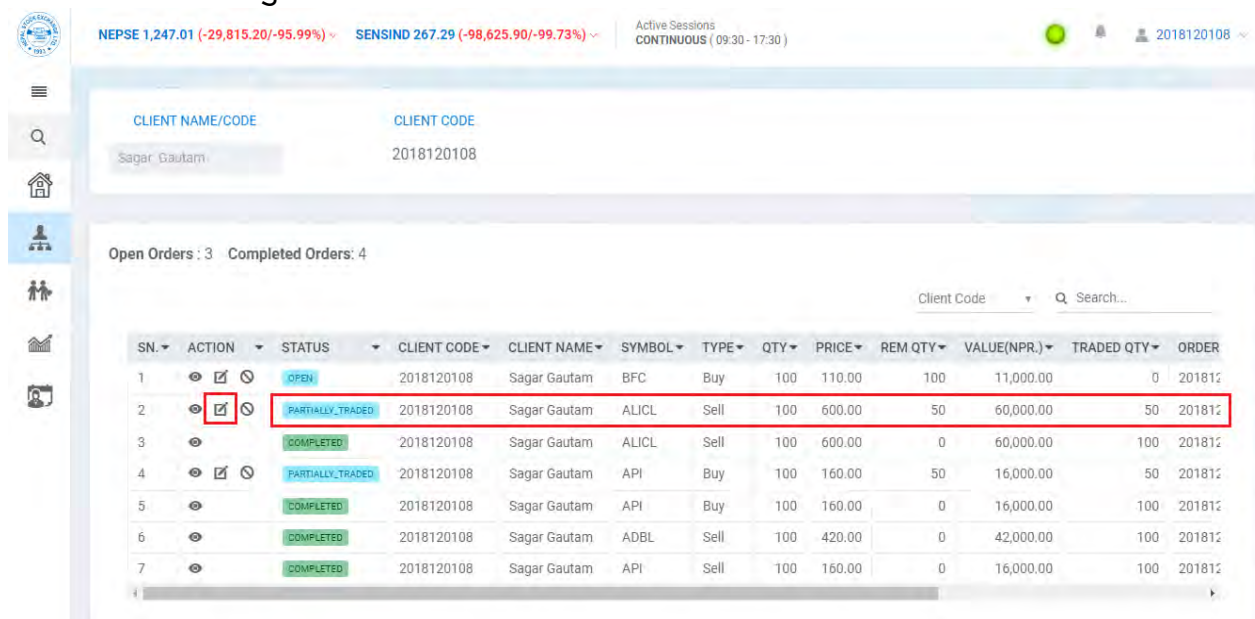
Fig. 5.3.1.2. Edit Order Screen

- आफुले चाहेको अनुसार order मा change गरी place order मा click गर्नुहोस ।
- परिवर्तन भएको order कारोबार को लागी बस्न जान्छ । order को status 'NEW' हुन जान्छ र सो बुझ्न order book मा गई हेर्न सकिन्छ ।

नोट : रहिरहेको order (*existing order*) को quantity, price र validity हरु मात्र परिवर्तन गर्न सकिनेछ ।

5.3.2 Edit Partially Traded Order

- लगानीकर्ताले आंशिक रूपमा match भएको order परिवर्तन गर्न सक्नेछन । सो परिवर्तन order कारोबार हुन बाकी रहेको order को मात्रा मा गर्न सक्नेछन ।
- आंशिक रूपमा match भएको order गई edit icon (जुन action bar मा रहेको छ) मा click गर्नुहोला ।



SN	ACTION	STATUS	CLIENT CODE	CLIENT NAME	SYMBOL	TYPE	QTY	PRICE	REM QTY	VALUE(NPR.)	TRADED QTY	ORDER
1		OPEN	2018120108	Sagar Gautam	BFC	Buy	100	110.00	100	11,000.00	0	201812
2		PARTIALLY_TRADED	2018120108	Sagar Gautam	ALICL	Sell	100	600.00	50	60,000.00	50	201812
3		COMPLETED	2018120108	Sagar Gautam	ALICL	Sell	100	600.00	0	60,000.00	100	201812
4		PARTIALLY_TRADED	2018120108	Sagar Gautam	API	Buy	100	160.00	50	16,000.00	50	201812
5		COMPLETED	2018120108	Sagar Gautam	API	Buy	100	160.00	0	16,000.00	100	201812
6		COMPLETED	2018120108	Sagar Gautam	ADBL	Sell	100	420.00	0	42,000.00	100	201812
7		COMPLETED	2018120108	Sagar Gautam	API	Sell	100	160.00	0	16,000.00	100	201812

Fig. 5.3.2.1. Edit Partially Traded Order

- edit icon clicked गरिसकेपछि एसले लगानीकर्ताले सो order को Order Entry window मा लाग्ने छ ।

नोट : आंशिक रूपमा कारोबार भएको order हरु sell order हुन ।

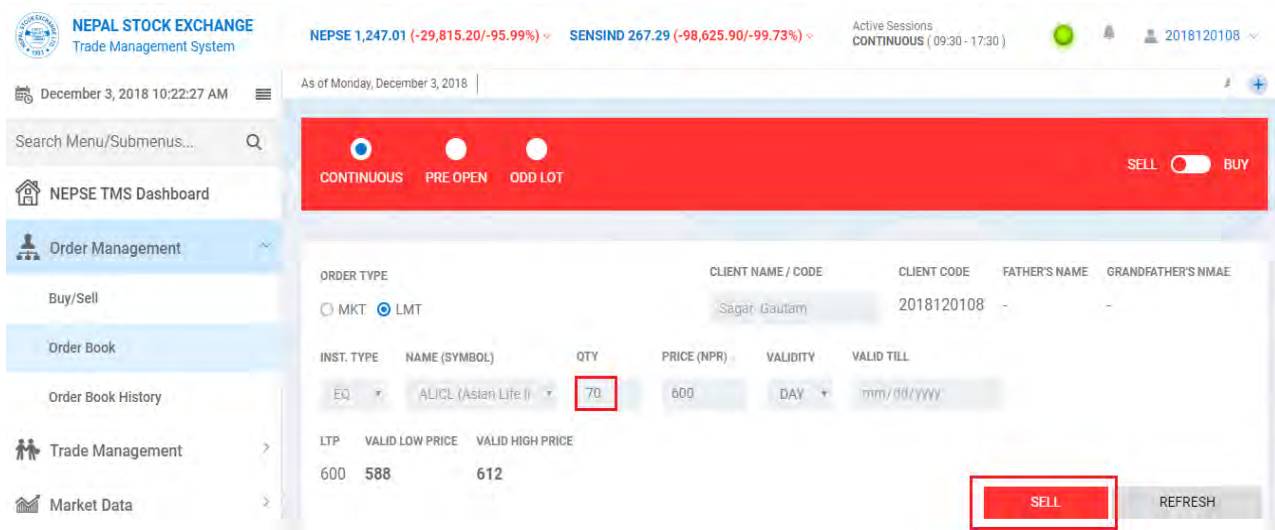


Fig. 5.3.2.2. Edit Order Screen

- लगानीकर्ताले आफुले चाहे अनुसार order मा परिवर्तन गरी place order मा click गर्न सक्नेछन ।
- order चाहेअनुसार परिवर्तन भई कारोबारको लागी बस्नेछ । order book मा गई परिवर्तन गरेको order को status हेर्न सकिनेछ र सो order को status “आंशिक रुपमा कारोबार” नै हुनेछ ।

5.4 Cancelled Order (Buy/Sell)

5.4.1 Cancel Open Order

- लगानीकर्ताले cancel order open manually गर्नसक्छन ।
- त्यसको लागी buy/sell order को cancel छान्नुहोस् । Action bar को cancel icon मा Click गर्नुहोस ।

NEPSE 1,247.01 (-29,815.20/-95.99%) SENSIND 267.29 (-98,625.90/-99.73%) Active Sessions CONTINUOUS (09:30 - 17:30)

CLIENT NAME/CODE Sagar Gautam CLIENT CODE 2018120108

Open Orders : 3 Completed Orders : 4

SN.	ACTION	STATUS	CLIENT CODE	CLIENT NAME	SYMBOL	TYPE	QTY	PRICE	REM QTY	VALUE(NPR.)	TRADED QTY	ORDER
1		OPEN	2018120108	Sagar Gautam	BFC	Buy	100	110.00	100	11,000.00	0	201812
2		PARTIALLY_TRADED	2018120108	Sagar Gautam	ALICL	Sell	100	600.00	50	60,000.00	50	201812
3		COMPLETED	2018120108	Sagar Gautam	ALICL	Sell	100	600.00	0	60,000.00	100	201812
4		PARTIALLY_TRADED	2018120108	Sagar Gautam	API	Buy	100	160.00	50	16,000.00	50	201812
5		COMPLETED	2018120108	Sagar Gautam	API	Buy	100	160.00	0	16,000.00	100	201812
6		COMPLETED	2018120108	Sagar Gautam	ADBL	Sell	100	420.00	0	42,000.00	100	201812
7		COMPLETED	2018120108	Sagar Gautam	API	Sell	100	160.00	0	16,000.00	100	201812

Fig. 5.4.1.1. Cancel an Open Order

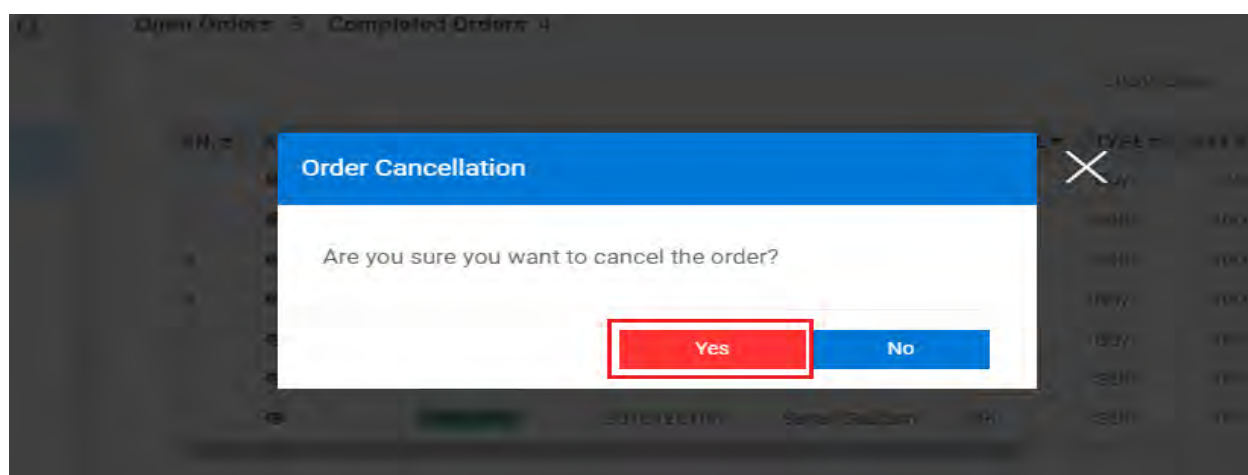


Fig. 5.4.1.2. Confirm Cancellation

- त्यसपछि status को order 'cancelled' मा change हुन्छ ।
- cancelled order को लागी उपलब्ध हुदैन rading

NEPAL STOCK EXCHANGE
Trade Management System

NEPSE 1,247.01 (-29,815.20/-95.99%) SENSIND 267.29 (-98,625.90/-99.73%) Active Sessions CONTINUOUS (09:30 - 17:30)

December 3, 2018 10:23:54 AM

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Open Orders : 2 Completed Orders: 4

Client Code Search...

SN.	ACTION	STATUS	CLIENT CODE	CLIENT NAME	SYMBOL	TYPE	QTY	PRICE	REM QTY	VALUE
1		CANCELLED	2018120108	Sagar Gautam	BFC	Buy	50	110.00	50	5
2		PARTIALLY_TRADED	2018120108	Sagar Gautam	ALICL	Sell	100	600.00	50	60
3		COMPLETED	2018120108	Sagar Gautam	ALICL	Sell	100	600.00	0	60
4		PARTIALLY_TRADED	2018120108	Sagar Gautam	API	Buy	100	160.00	50	16
5		COMPLETED	2018120108	Sagar Gautam	API	Buy	100	160.00	0	16
6		COMPLETED	2018120108	Sagar Gautam	ADBL	Sell	100	420.00	0	42
7		COMPLETED	2018120108	Sagar Gautam	API	Sell	100	160.00	0	16

Display 10 Items

Go to 1 of 1 page(s)

Fig. 5.4.1.3. Order Status Changed to CANCELLED

5.4.2 Cancel Partially Traded Order

- लगानीकर्ताले partially traded buy/sell orderorder | गर्न सक्छन cancel quantityजुन गर्न cancel गर्न बाकि छ त्यो मात्र trade सकिन्छ |
- Order Book मा जानुहोस् |
- partially traded order मा गई | गर्नुहोस् click मा cancel को action bar

NEPSE 1,247.01 (-29,815.20/-95.99%) SENSIND 267.29 (-98,625.90/-99.73%) Active Sessions CONTINUOUS (09:30 - 17:30)

CLIENT NAME/CODE CLIENT CODE

Sagar Gautam 2018120108

Open Orders : 3 Completed Orders: 4

Client Code Search...

SN.	ACTION	STATUS	CLIENT CODE	CLIENT NAME	SYMBOL	TYPE	QTY	PRICE	REM QTY	VALUE(NPR.)	TRADED QTY	ORDER
1		OPEN	2018120108	Sagar Gautam	BFC	Buy	100	110.00	100	11,000.00	0	201812
2		PARTIALLY_TRADED	2018120108	Sagar Gautam	ALICL	Sell	100	600.00	50	60,000.00	50	201812
3		COMPLETED	2018120108	Sagar Gautam	ALICL	Sell	100	600.00	0	60,000.00	100	201812
4		PARTIALLY_TRADED	2018120108	Sagar Gautam	API	Buy	100	160.00	50	16,000.00	50	201812
5		COMPLETED	2018120108	Sagar Gautam	API	Buy	100	160.00	0	16,000.00	100	201812
6		COMPLETED	2018120108	Sagar Gautam	ADBL	Sell	100	420.00	0	42,000.00	100	201812
7		COMPLETED	2018120108	Sagar Gautam	API	Sell	100	160.00	0	16,000.00	100	201812

Fig. 5.4.2.1. Cancel a Partially Traded Order

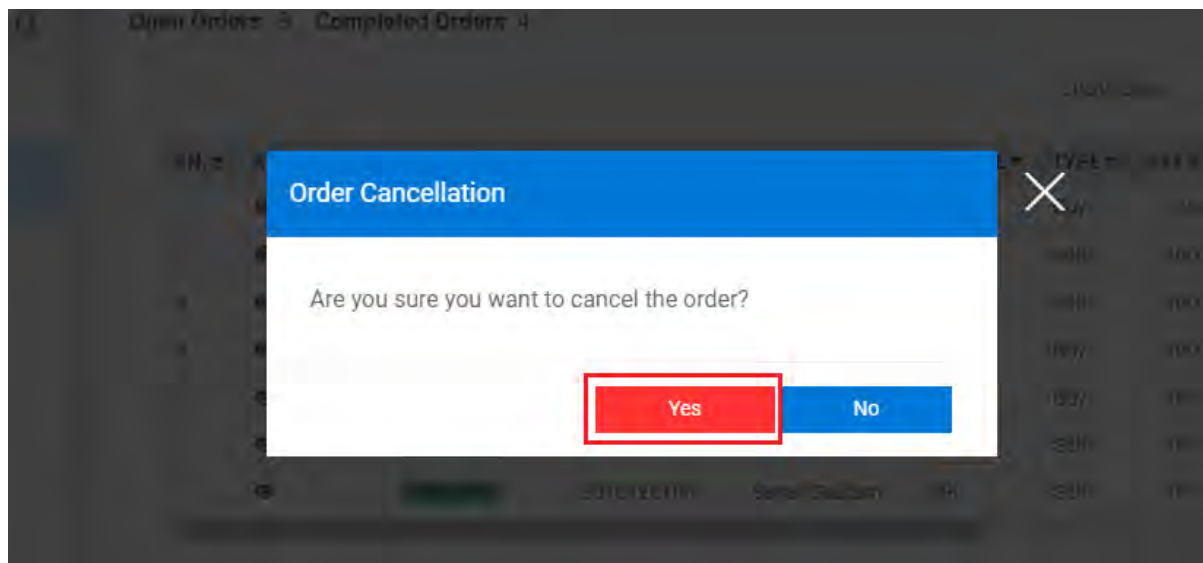


Fig. 5.4.2.2. Confirm Order Cancellation

- status cancelled को order गर्दा order cancel भएर बस्छ | partially traded order को बाकि रहेको | को लागी उपलब्ध हुदैन् quantity trading

NEPAL STOCK EXCHANGE
Trade Management System

NEPSE 1,247.01 (-29,815.20/-95.99%) SENSIND 267.29 (-98,625.90/-99.73%) Active Sessions CONTINUOUS (09:30 - 17:30)

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Open Orders: 1 Completed Orders: 4

SN.	ACTION	STATUS	CLIENT CODE	CLIENT NAME	SYMBOL	TYPE	QTY	PRICE	REM QTY	VALUE
1		CANCELLED	2018120108	Sagar Gautam	ALICL	Sell	100	600.00	50	60
2		CANCELLED	2018120108	Sagar Gautam	BFC	Buy	50	110.00	50	5
3		COMPLETED	2018120108	Sagar Gautam	ALICL	Sell	100	600.00	0	60
4		PARTIALLY_TRADED	2018120108	Sagar Gautam	API	Buy	100	160.00	50	16
5		COMPLETED	2018120108	Sagar Gautam	API	Buy	100	160.00	0	16
6		COMPLETED	2018120108	Sagar Gautam	ADBL	Sell	100	420.00	0	42
7		COMPLETED	2018120108	Sagar Gautam	API	Sell	100	160.00	0	16

Display 10 Items

Go to 1 of 1 page(s)

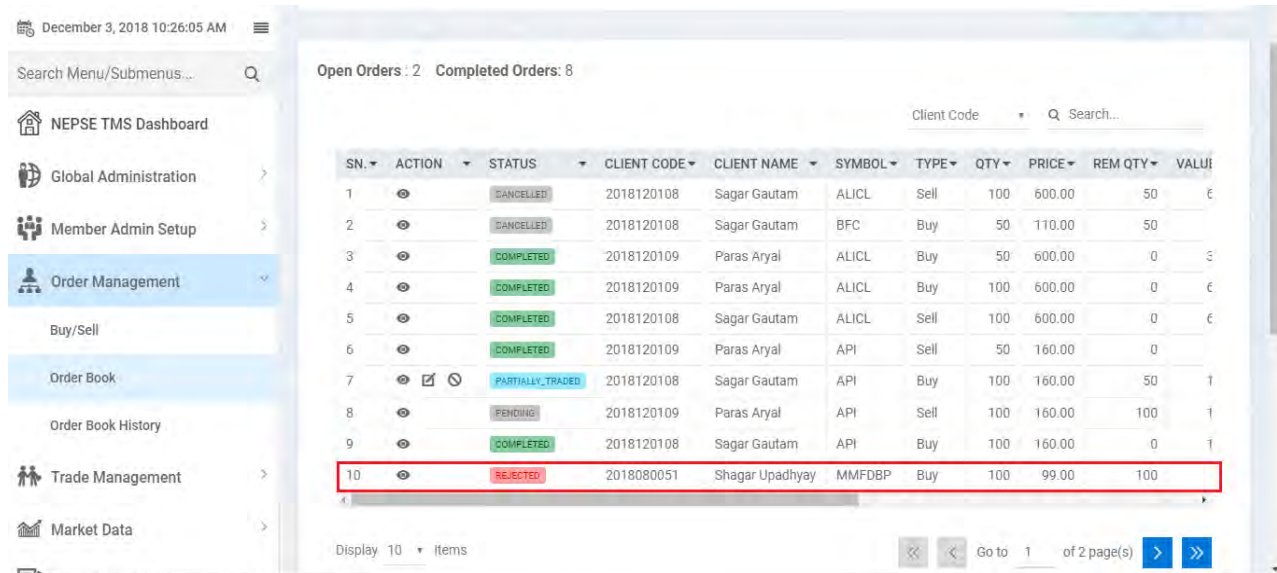
Fig. 5.4.2.2. Order Status Cancelled

5.4.3 Order Cancelled at the End of Day (Buy/Sell)

- भएपछि सबै market close open and partially traded order हर र unmatched order हरु automatically cancelled हुन्छन् |

5.5 Rejected Order (Buy/Sell)

- order reject हुनुको कारणहरु order तोकेको मापदण्ड पुरा नगरेको tms अथवा ME ले order reject हुन्छ ।
- status को order मा जानुहोला जहा order book गर्न Rejected order verify REJECTED देखिन्छ ।



December 3, 2018 10:26:05 AM

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Global Administration

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Market Data

Open Orders : 2 Completed Orders: 8

Client Code Search...

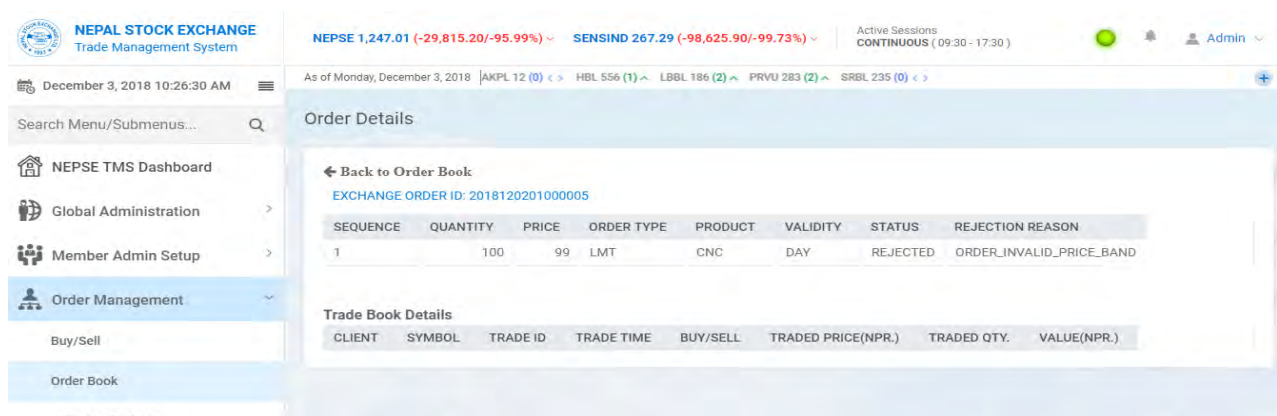
SN.	ACTION	STATUS	CLIENT CODE	CLIENT NAME	SYMBOL	TYPE	QTY	PRICE	REM QTY	VALUE
1		CANCELLED	2018120108	Sagar Gautam	ALICL	Sell	100	600.00	50	€
2		CANCELLED	2018120108	Sagar Gautam	BFC	Buy	50	110.00	50	€
3		COMPLETED	2018120109	Paras Aryal	ALICL	Buy	50	600.00	0	€
4		COMPLETED	2018120109	Paras Aryal	ALICL	Buy	100	600.00	0	€
5		COMPLETED	2018120108	Sagar Gautam	ALICL	Sell	100	600.00	0	€
6		COMPLETED	2018120109	Paras Aryal	API	Sell	50	160.00	0	€
7		PARTIALLY TRADED	2018120108	Sagar Gautam	API	Buy	100	160.00	50	€
8		PENDING	2018120109	Paras Aryal	API	Sell	100	160.00	100	€
9		COMPLETED	2018120108	Sagar Gautam	API	Buy	100	160.00	0	€
10		REJECTED	2018080051	Shagar Upadhyay	MMFDBP	Buy	100	99.00	100	€

Display 10 items

Go to 1 of 2 page(s)

Fig. 5.5.1. Order Rejected

- को Rejected order EXCHANGE ORDER ID हुदैन् ।
- को action bar हेर्ने details को Rejected view icon मा | गर्नु click



December 3, 2018 10:26:30 AM

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Order Book History

NEPSE 1,247.01 (-29.815.20/-95.99%) SENSIND 267.29 (-98,625.90/-99.73%) Active Sessions CONTINUOUS (09:30 - 17:30)

As of Monday, December 3, 2018 AKPL 12 (0) HBL 556 (1) LBBL 186 (2) PRVU 283 (2) SRBL 235 (0)

Order Details

Back to Order Book

EXCHANGE ORDER ID: 2018120201000005

SEQUENCE	QUANTITY	PRICE	ORDER TYPE	PRODUCT	VALIDITY	STATUS	REJECTION REASON
1	100	99	LMT	CNC	DAY	REJECTED	ORDER_INVALID_PRICE_BAND

Trade Book Details

CLIENT	SYMBOL	TRADE ID	TRADE TIME	BUY/SELL	TRADED PRICE(NPR.)	TRADED QTY.	VALUE(NPR.)
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Fig. 5.5.2. Order Details

order विवरण हेर्ने ।

- कारोबार विवरणमा गएर हेर्नुहोला ।