

Set Error Capture [ On ]

Set Variable [ \$\$LevelAUM; Value:"" ]

Set Variable [ \$\$Rate\_HUFT; Value:"" ]

// Set Field [ IARs::AUM Level; "" ]

\*\*\*\*\*

#STANDARD SCHEDULE MODEL COMPENSATION

\*\*\*\*\*

If [ \$\$Process = "Quarterly" ]

\*\*\*\*\*

#\$CalcFee = Annual billing amount

\*\*\*\*\*

If [ Transactions::Billing Plan = "HUFT" ]

\*\*\*\*\*

#HUFT Quarterly

\*\*\*\*\*

Set Variable [ \$\$HUFT\_AnnualFee; Value:Case ( Transactions::Account Value ≤ 1000000 ; Transactions::Account Value \* .015 ; Transactions::Account Value ≤ 3000000 ; (15000) + ((Transactions::Account Value - 1000000)\* .0125) ; Transactions::Account Value ≤ 5000000 ; (40000) + ((Transactions::Account Value - 3000000)\* .01) ; (60000) + ((Transactions::Account Value - 5000000)\* .0085) ) ]

Set Variable [ \$\$HUFT\_Bips; Value:Case ( Transactions::Account Value ≤ 1000000 ; .015 ; Transactions::Account Value ≤ 3000000 ; .0125 ; Transactions::Account Value ≤ 5000000 ; .01 ; .0085 ) ]

Go to Object [ Object Name: "AUM" ]

Set Variable [ \$\$LevelAUM; Value:Get (ActiveFieldContents) ]

If [ IsEmpty ( \$\$LevelAUM ) ]

New Window [ Style: Card; Name: "AUM Level Required"; Using layout: "C10 AUM Asset Level Selection" (IARs); Close: Yes; Minimize: No; Maximize: No; Resize: No; Menu Bar: No; Dim parent window: Yes; Toolbars: No ]

Pause/Resume Script [ Indefinitely ]

End If

#Reserve values for Variable model calculations

Set Variable [ \$\$LWMCalcFee; Value:\$HUFT\_AnnualFee ]

Set Field [ Transactions::LWM PRO Amount; Transactions::Account Value ]

Else

\*\*\*\*\*

#All other Quarterly billing plans

\*\*\*\*\*

Set Variable [ \$\$CalcFee; Value:Transactions::Account Value \* ( Transactions::Fee\_Rate \* .0001 ) ]

#Reserve values for Variable model calculations

If [ \$\$PayType = "Variable" ]

Set Variable [ \$\$LWMCalcFee; Value:\$CalcFee ]

Set Field [ Transactions::LWM PRO Amount; Transactions::Account Value ]

End If

End If

#\*\*\*\*\*

*#Determine if client is billed for minimum fee or standard billing*

*#Specifically for FOLIO, TDA does not have minimum fees*

#\*\*\*\*\*

If [ Transactions::Custodian = "Folio" ]

    If [ \$\$CalcFee < Fee Schedules::Min\_Annual\_Fee ]

*#Minimum fee*

        Set Field [ Transactions::Annual\_Fee; Fee Schedules::Min\_Annual\_Fee ]

    // Set Field [ Transactions::Min\_Q\_Fee; Fee Schedules::Min\_Annual\_Fee / 4 ]

Else

*#Standard fee*

    If [ Transactions::Billing Plan = "HUFT" ]

        Set Field [ Transactions::Annual\_Fee; \$\$LWMCalcFee ]

    Else

        Set Field [ Transactions::Annual\_Fee; \$\$CalcFee ]

    End If

End If

Else

*#Standard fee for TDA, no Folio*

    If [ Transactions::Billing Plan = "HUFT" ]

        Set Field [ Transactions::Annual\_Fee; \$\$LWMCalcFee ]

    Else

        Set Field [ Transactions::Annual\_Fee; \$\$CalcFee ]

    End If

End If

#\*\*\*\*\*

*#Quarterly fee calculated*

#\*\*\*\*\*

Set Field [ Transactions::Advisor\_Q\_Fee; Transactions::Annual\_Fee / 4 ]

#\*\*\*\*\*

*#Test for empty IAR ComLevel and set \$\$ComLevel*

#\*\*\*\*\*

Go to Object [ Object Name: "ComLevel" ]

##\$ComLevel

If [ IsEmpty ( Get (ActiveFieldContents) ) ]

    If [ Transactions::Billing Plan = "HUFT" ]

*#Do nothing*

    Else

*#If test fails it checks for Tamp compensation level*

        Go to Object [ Object Name: "TAMP" ]

        ##\$ComLevel

```
Set Variable [ $$ComLevel; Value:Right ( Get ( ActiveFieldContents ) ; 1 )]
```

```
If [ IsEmpty ( $$ComLevel ) ]
```

```
    Show Custom Dialog [ Title: "Compensation Level Error"; Message: "This record does not have a required Compensation
    Level. Please note the account number and adjust when running reports, continue with the import." & ¶ &
    Transactions::Account Number & ¶ &
    Transactions::Account Name; Default Button: "OK", Commit: "Yes" ]
```

```
End If
```

```
End If
```

```
End If
```

```
#*****
```

```
#Set IAR annual compensation rate for current quarter
```

```
#*****
```

```
If [ Transactions::Billing Plan = "HUFT" ]
```

```
    Go to Object [ Object Name: "AUM" ]
```

```
    Set Variable [ $$LevelHUFT; Value:Get (ActiveFieldContents)]
```

```
    If [ $$Process = "Quarterly" ]
```

```
        Set Field [ Transactions::IAR_Rate; Case (
            $$HUFT_Bips = .015 and $$LevelHUFT = "$0 to $25M" ; .0086 ;
            $$HUFT_Bips = .015 and $$LevelHUFT = "$25M to $50M" ; .0093 ;
            $$HUFT_Bips = .015 and $$LevelHUFT = "$50M to $100M" ; .01 ;
            $$HUFT_Bips = .015 and $$LevelHUFT = "Over $100M" ; .0106 ;
            $$HUFT_Bips = .0125 and $$LevelHUFT = "$0 to $25M" ; .007 ;
            $$HUFT_Bips = .0125 and $$LevelHUFT = "$25M to $50M" ; .0075 ;
            $$HUFT_Bips = .0125 and $$LevelHUFT = "$50M to $100M" ; .08 ;
            $$HUFT_Bips = .0125 and $$LevelHUFT = "Over $100M" ; .0085 ;
            $$HUFT_Bips= .01 and $$LevelHUFT = "$0 to $25M" ; .0052 ;
            $$HUFT_Bips = .01 and $$LevelHUFT = "$25M to $50M" ; .0056 ;
            $$HUFT_Bips = .01 and $$LevelHUFT = "$50M to $100M" ; .006 ;
            $$HUFT_Bips = .01 and $$LevelHUFT = "Over $100M" ; .0064 ;
            $$HUFT_Bips = .0085 and $$LevelHUFT = "$0 to $25M" ; .0052 ;
            $$HUFT_Bips = .0085 and $$LevelHUFT = "$25M to $50M" ; .0056 ;
            $$HUFT_Bips = .0085 and $$LevelHUFT = "$50M to $100M" ; .006 ;
            $$HUFT_Bips = .0085 and $$LevelHUFT = "Over $100M" ; .0064
        )]
```

```
Else
```

```
    Set Field [ Transactions::IAR_Rate; Case (
        $$RateHUFT = .015 and $$LevelHUFT = "$0 to $25M" ; .0086 ;
        $$RateHUFT = .015 and $$LevelHUFT = "$25M to $50M" ; .0093 ;
        $$RateHUFT = .015 and $$LevelHUFT = "$50M to $100M" ; .01 ;
        $$RateHUFT = .015 and $$LevelHUFT = "Over $100M" ; .0106 ;
        $$RateHUFT = .0125 and $$LevelHUFT = "$0 to $25M" ; .007 ;
        $$RateHUFT = .0125 and $$LevelHUFT = "$25M to $50M" ; .0075 ;
        $$RateHUFT = .0125 and $$LevelHUFT = "$50M to $100M" ; .08 ;
        $$RateHUFT = .0125 and $$LevelHUFT = "Over $100M" ; .0085 ;
        $$RateHUFT = .01 and $$LevelHUFT = "$0 to $25M" ; .0052 ;
        $$RateHUFT = .01 and $$LevelHUFT = "$25M to $50M" ; .0056 ;
        $$RateHUFT = .01 and $$LevelHUFT = "$50M to $100M" ; .006 ;
        $$RateHUFT = .01 and $$LevelHUFT = "Over $100M" ; .0064 ;
        $$RateHUFT = .0085 and $$LevelHUFT = "$0 to $25M" ; .0052 ;
        $$RateHUFT = .0085 and $$LevelHUFT = "$25M to $50M" ; .0056 ;
        $$RateHUFT = .0085 and $$LevelHUFT = "$50M to $100M" ; .006 ;
        $$RateHUFT = .0085 and $$LevelHUFT = "Over $100M" ; .0064
    )]
```

```
End If
```

```

Else
    Go to Object [ Object Name: "ComLevel" ]
    Set Variable [ $$ComLevel; Value:Right ( Get ( ActiveFieldContents ) ; 1 ) ]
    Go to Object [ Object Name: "Lev" & $$ComLevel ]
    Set Field [ Transactions::IAR_Rate; Get ( ActiveFieldContents ) ]
End If

#Set IAR quarter compensation amount
Set Field [ Transactions::IAR_Amount; Case ( Transactions::Account Value < 25000 ; 0 ; ( Transactions::Account Value * Transactions::
    IAR_Rate ) / 4 ) ]
$$Compensation
Set Variable [ $$Compensation; Value:Transactions::IAR_Amount ]

#Set quarter/month amounts for summary field calculations
Set Field [ Transactions::QtrMon1; Transactions::IAR_Amount * .5 ]
Set Field [ Transactions::QtrMon2; Transactions::IAR_Amount * .25 ]
Set Field [ Transactions::QtrMon3; Transactions::IAR_Amount * .25 ]

Else If [ $$Process = "Pro-rata" ]

#Reserve for Variable Comp calculations
Set Field [ Transactions::LWM_PRO_Amount; Transactions::Amount ]

If [ Transactions::IAR_Code = "" ]
    If [ $$Custodian = "Folio" ]
        Go to Object [ Object Name: "AccountsRepCode" ]
        Set Variable [ $$TransRepCode; Value:Get ( ActiveFieldContents ) ]
        Set Field [ Transactions::IAR_Code; Trim ( $$TransRepCode ) ]
    End If
End If

#Bps to %
Set Field [ Transactions::Fee Rate Percent; Transactions::Fee_Rate * .0001 ]

#Annual Fee
If [ Transactions::Billing Plan = "HUFT" ]
    If [ $$HUFTAssets ≤ 1000000 ]

        Set Field [ Transactions::Annual_Fee; Transactions::Amount * .015 ]

    Else If [ $$HUFTAssets > 1000000 and $$HUFTAssets ≤ 3000000 ]

        If [ ( $$HUFTAssets - 1000000 ) ≥ Transactions::Amount ]
            Set Field [ Transactions::Annual_Fee; Transactions::Amount * .0125 ]
        Else
            Set Field [ Transactions::Annual_Fee; (( $$HUFTAssets - 1000000 ) * .0125 ) + 15000 ]
        End If
    End If
End If

```

**End If**

**Else If** [  $$$$HUFTAssets > 3000000$  and  $$$$HUFTAssets \leq 5000000$  ]

**If** [ (  $$$$HUFTAssets - 3000000$  )  $\geq$  Transactions::Amount ]

**Set Field** [ Transactions::Annual\_Fee; Transactions::Amount \* .01 ]

**Else**

**Set Field** [ Transactions::Annual\_Fee; (  $$$$HUFTAssets - 3000000$  ) \* .01 + 40000 ]

**End If**

**Else If** [  $$$$HUFTAssets > 5000000$  ]

**If** [ (  $$$$HUFTAssets - 5000000$  )  $\geq$  Transactions::Amount ]

**Set Field** [ Transactions::Annual\_Fee; Transactions::Amount \* .0085 ]

**Else**

**Set Field** [ Transactions::Annual\_Fee; (  $$$$HUFTAssets - 5000000$  ) \* .0085 + 60000 ]

**End If**

**End If**

**Set Field** [ Transactions::Annual\_Fee; Case (   
 $$$$HUFTAssets \leq 1000000$  ; Transactions::Amount \* .015 ;   
 $$$$HUFTAssets \leq 3000000$  ; Transactions::Amount \* .0125 ;   
 $$$$HUFTAssets \leq 5000000$  ; Transactions::Amount \* .01 ;   
Transactions::Amount \* .0085   
) ]

**Else**

**Set Field** [ Transactions::Annual\_Fee; Transactions::Fee Rate Percent \* Transactions::Amount ]

**End If**

*#Quarterly Fee*

**Set Field** [ Transactions::Advisor\_Q\_Fee; Transactions::Annual\_Fee / 4 ]

*#ProRataCalcRatio*

**Set Field** [ Transactions::ProRataCalcRatio; Transactions::CountDays / Transactions::QuarterDays ]

*#Change Bill*

**Set Field** [ Transactions::ChangeBill; Transactions::Advisor\_Q\_Fee \* Transactions::ProRataCalcRatio ]

*#Variable to capture for LWM calculations*

**Set Variable** [  $$$$LWM\_ChangeBill$ ; Value:Transactions::ChangeBill ]

*#Test for empty IAR ComLevel and set \$\$\$ComLevel*

**Go to Object** [ Object Name: "ComLevel" ]

*\$\$\$ComLevel*

**Set Variable** [  $$$$ComLevel$ ; Value:Right ( Get ( ActiveFieldContents ) ; 1 ) ]

**If** [ IsEmpty (  $$$$ComLevel$  ) ]

*#If test fails it checks for Tamp compensation level*

```

Go to Object [ Object Name: "TAMP" ]
$$$ComLevel

Set Variable [ $$$ComLevel; Value:Right ( Get ( ActiveFieldContents ) ; 1 ) ]
End If

#Set IAR annual compensation rate for current quarter
If [ Transactions::Billing Plan = "HUFT" ]
    Go to Object [ Object Name: "AUM" ]
    Set Variable [ $$$LevelHUFT; Value:Get (ActiveFieldContents) ]
    Set Field [ Transactions::IAR_Rate; Case (
        Transactions::Fee Rate Percent = .015 and $$$LevelHUFT = "$0 to $25M" ; .0086 ;
        Transactions::Fee Rate Percent = .015 and $$$LevelHUFT = "$25M to $50M" ; .0093 ;
        Transactions::Fee Rate Percent = .015 and $$$LevelHUFT = "$50M to $100M" ; .01 ;
        Transactions::Fee Rate Percent = .015 and $$$LevelHUFT = "Over $100M" ; .0106 ;
        Transactions::Fee Rate Percent = .0125 and $$$LevelHUFT = "$0 to $25M" ; .007 ;
        Transactions::Fee Rate Percent = .0125 and $$$LevelHUFT = "$25M to $50M" ; .0075 ;
        Transactions::Fee Rate Percent = .0125 and $$$LevelHUFT = "$50M to $100M" ; .08 ;
        Transactions::Fee Rate Percent = .0125 and $$$LevelHUFT = "Over $100M" ; .0085 ;
        Transactions::Fee Rate Percent = .01 and $$$LevelHUFT = "$0 to $25M" ; .0052 ;
        Transactions::Fee Rate Percent = .01 and $$$LevelHUFT = "$25M to $50M" ; .0056 ;
        Transactions::Fee Rate Percent = .01 and $$$LevelHUFT = "$50M to $100M" ; .006 ;
        Transactions::Fee Rate Percent = .0085 and $$$LevelHUFT = "Over $100M" ; .0064 ;
        Transactions::Fee Rate Percent = .0085 and $$$LevelHUFT = "$0 to $25M" ; .0042 ;
        Transactions::Fee Rate Percent = .0085 and $$$LevelHUFT = "$25M to $50M" ; .0045 ;
        Transactions::Fee Rate Percent = .0085 and $$$LevelHUFT = "$50M to $100M" ; .0048 ;
        Transactions::Fee Rate Percent = .0085 and $$$LevelHUFT = "Over $100M" ; .0051
    ) ]
Else
    Go to Object [ Object Name: "Lev" & $$$ComLevel ]
    Set Field [ Transactions::IAR_Rate; Get ( ActiveFieldContents ) ]
End If

#Set IAR quarter compensation amount
Set Field [ Transactions::IAR_Amount; (( Transactions::IAR_Rate * Transactions::Amount ) / 4 ) * Transactions::ProRataCalcRatio ]

If [ Transactions::Amount < 10000 ]
    Set Field [ Transactions::ChangeBill; "" ]
    Set Field [ Transactions::IAR_Amount; "" ]
End If

#Net Change

If [ $$$Custodian = "Folio" ]

    If [ Transactions::Transaction Type = "Dep." ]
        Set Field [ Transactions::Net Change; Transactions::ChangeBill ]
        #This will default to the existing IAR_Amount (net positive)
    Else If [ Transactions::Transaction Type = "With." ]
        Set Field [ Transactions::Net Change; 0 - Transactions::ChangeBill ]
        #This will a withdrawal change on IAR_Amount (net negative)

```

## 10 Import scripts: 10.4 Base Comp Module

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**Set Field** [ Transactions::IAR\_Amount; 0 - Transactions::IAR\_Amount ]

**End If**

**Else If** [ \$\$Custodian = "TDA" ]

**If** [ Transactions::Transaction Type = "Dep." ]

**Set Field** [ Transactions::Net Change; Transactions::ChangeBill ]

*#This will default to the existing IAR\_Amount (net positive)*

**Else If** [ Transactions::Transaction Type = "With." ]

**Set Field** [ Transactions::Net Change; 0 - Transactions::ChangeBill ]

*#This will a withdrawal change on IAR\_Amount (net negative)*

**Set Field** [ Transactions::IAR\_Amount; 0 - Transactions::IAR\_Amount ]

**End If**

**End If**

**End If**





