## Regular Expressions

Definitions
Equivalence to Finite Automata

#### RE's: Introduction

- Regular expressions are an algebraic way to describe languages.
- They describe exactly the regular languages.
- □ If E is a regular expression, then L(E) is the language it defines.
- We'll describe RE's and their languages recursively.

#### RE's: Definition

- □ Basis 1: If a is any symbol, then a is a RE, and  $L(a) = \{a\}$ .
  - Note: {a} is the language containing one string, and that string is of length 1.
- □ Basis 2:  $\epsilon$  is a RE, and L( $\epsilon$ ) = { $\epsilon$ }.
- $\square$  Basis 3:  $\varnothing$  is a RE, and L( $\varnothing$ ) =  $\varnothing$ .

# RE's: Definition – (2)

- □ Induction 1: If  $E_1$  and  $E_2$  are regular expressions, then  $E_1+E_2$  is a regular expression, and  $L(E_1+E_2) = L(E_1) \cup L(E_2)$ .
- □ Induction 2: If  $E_1$  and  $E_2$  are regular expressions, then  $E_1E_2$  is a regular expression, and  $L(E_1E_2) = L(E_1)L(E_2)$ .

Concatenation: the set of strings wx such that w Is in  $L(E_1)$  and x is in  $L(E_2)$ .

# RE's: Definition -(3)

□ Induction 3: If E is a RE, then E\* is a RE, and L(E\*) = (L(E))\*.

*Closure*, or "Kleene closure" = set of strings  $w_1w_2...w_n$ , for some  $n \ge 0$ , where each  $w_i$  is in L(E).

Note: when n=0, the string is  $\epsilon$ .

# Precedence of Operators

- Parentheses may be used wherever needed to influence the grouping of operators.
- □ Order of precedence is \* (highest), then concatenation, then + (lowest).

## Examples: RE's

- $\Box L(\mathbf{01}) = \{01\}.$
- $\Box L(\mathbf{01} + \mathbf{0}) = \{01, 0\}.$
- $\square L(\mathbf{0}(\mathbf{1}+\mathbf{0})) = \{01, 00\}.$ 
  - Note order of precedence of operators.
- $\Box L(\mathbf{0}^*) = \{\epsilon, 0, 00, 000, \dots \}.$
- □ L(( $\mathbf{0}+\mathbf{10}$ )\*( $\epsilon+\mathbf{1}$ )) = all strings of 0's and 1's without two consecutive 1's.

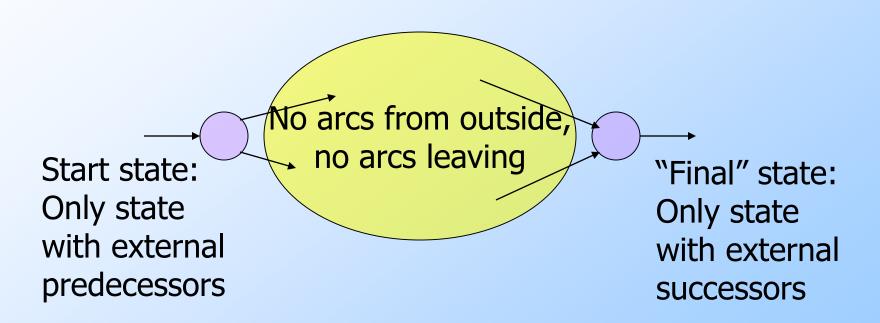
# Equivalence of RE's and Automata

- We need to show that for every RE, there is an automaton that accepts the same language.
  - □ Pick the most powerful automaton type: the ∈-NFA.
- And we need to show that for every automaton, there is a RE defining its language.
  - □ Pick the most restrictive type: the DFA.

## Converting a RE to an $\epsilon$ -NFA

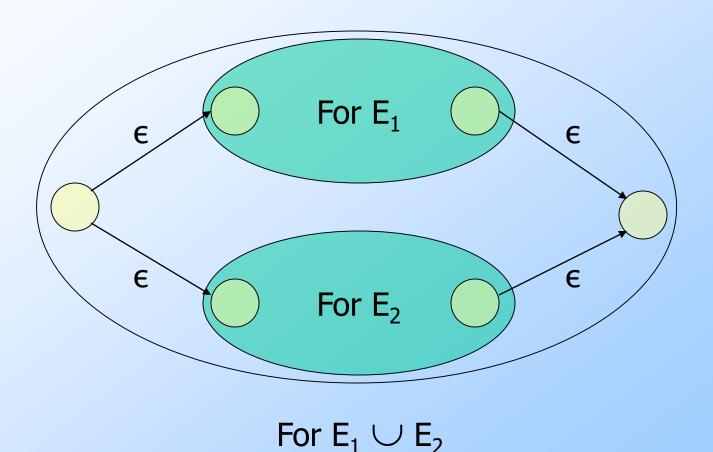
- Proof is an induction on the number of operators (+, concatenation, \*) in the RE.
- We always construct an automaton of a special form (next slide).

### Form of $\epsilon$ -NFA's Constructed



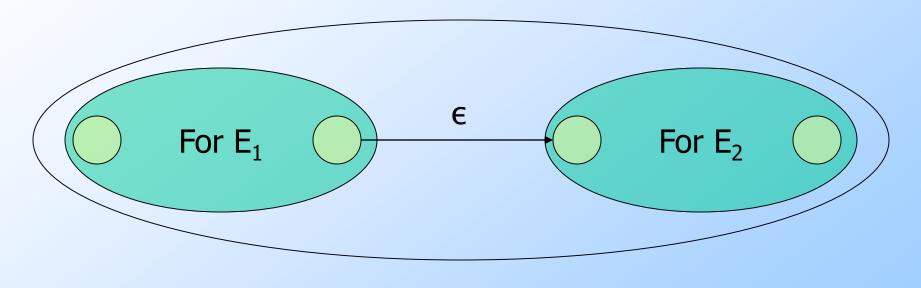
## RE to $\epsilon$ -NFA: Basis

## RE to $\epsilon$ -NFA: Induction 1 — Union



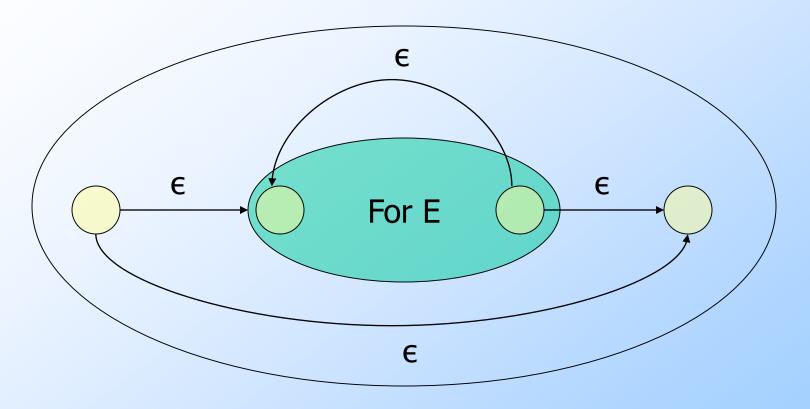
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# RE to ∈-NFA: Induction 2 — Concatenation



For E<sub>1</sub>E<sub>2</sub>

## RE to $\epsilon$ -NFA: Induction 3 — Closure



For E\*

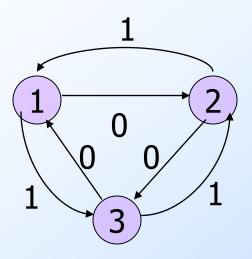
#### DFA-to-RE

- A strange sort of induction.
- ☐ States of the DFA are assumed to be 1,2,...,n.
- We construct RE's for the labels of restricted sets of paths.
  - Basis: single arcs or no arc at all.
  - ☐ Induction: paths that are allowed to traverse next state in order.

#### k-Paths

- A k-path is a path through the graph of the DFA that goes though no state numbered higher than k.
- Endpoints are not restricted; they can be any state.

## Example: k-Paths



0-paths from 2 to 3: RE for labels =  $\mathbf{0}$ .

1-paths from 2 to 3: RE for labels =  $\mathbf{0}+\mathbf{11}$ .

2-paths from 2 to 3: RE for labels = (10)\*0+1(01)\*1

3-paths from 2 to 3: RE for labels = ??

#### k-Path Induction

- Let  $R_{ij}^k$  be the regular expression for the set of labels of k-paths from state i to state j.
- □ Basis: k=0.  $R_{ij}^{0} = sum of labels of arc from i to j.$ 
  - □ ∅ if no such arc.
  - $\square$  But add  $\in$  if i=j.

## Example: Basis

$$\square R_{12}^{0} = \mathbf{0}.$$

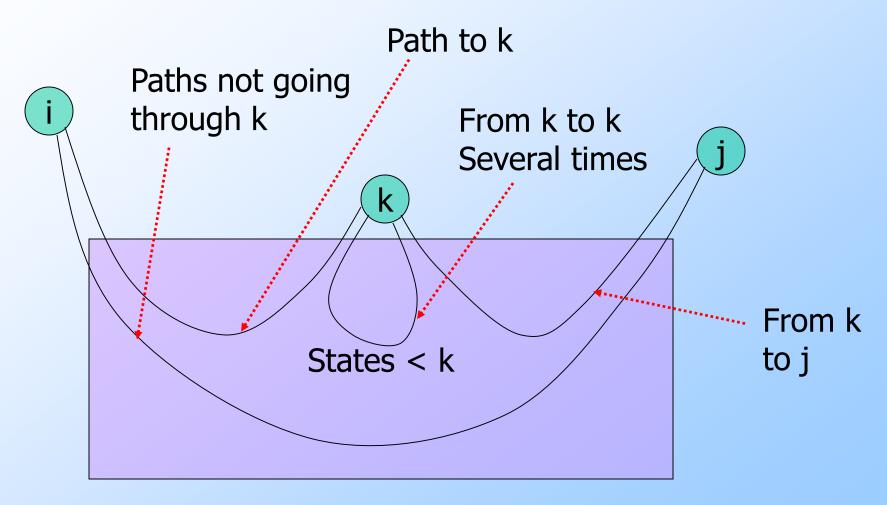
$$\square R_{11}{}^{0} = \varnothing + \varepsilon = \varepsilon.$$

## k-Path Inductive Case

- A k-path from i to j either:
  - 1. Never goes through state k, or
  - 2. Goes through k one or more times.

$$R_{ij}^{\ \ k} = R_{ij}^{\ \ k-1} + R_{ik}^{\ \ k-1}(R_{kk}^{\ \ k-1}) * R_{kj}^{\ \ k-1}.$$
Goes from Doesn't go i to k the through k first time Zero or more times from k to k

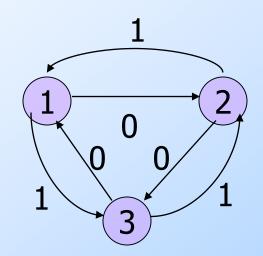
## Illustration of Induction



## Final Step

- □ The RE with the same language as the DFA is the sum (union) of R<sub>ii</sub><sup>n</sup>, where:
  - 1. n is the number of states; i.e., paths are unconstrained.
  - 2. i is the start state.
  - 3. j is one of the final states.

# Example



- $\square R_{23}^2 = (10)*0+1(01)*1$
- $\square R_{33}^2 = \mathbf{0}(\mathbf{01})^*(\mathbf{1+00}) + \mathbf{1}(\mathbf{10})^*(\mathbf{0+11})$

## Summary

□ Each of the three types of automata (DFA, NFA,  $\epsilon$ -NFA) we discussed, and regular expressions as well, define exactly the same set of languages: the regular languages.

## Algebraic Laws for RE's

- Union and concatenation behave sort of like addition and multiplication.
  - + is commutative and associative; concatenation is associative.
  - Concatenation distributes over +.
  - □ Exception: Concatenation is not commutative.

#### **Identities and Annihilators**

- $\square \varnothing$  is the identity for +.
  - $\square R + \varnothing = R$ .
- $\square$   $\in$  is the identity for concatenation.
  - $\square \in \mathbb{R} = \mathbb{R} \in \mathbb{R}$ .
- $\square \varnothing$  is the annihilator for concatenation.
  - $\square \varnothing R = R\varnothing = \varnothing$ .