

# Topic 1.0

## Symmetric encryption – Overview and historical ciphers

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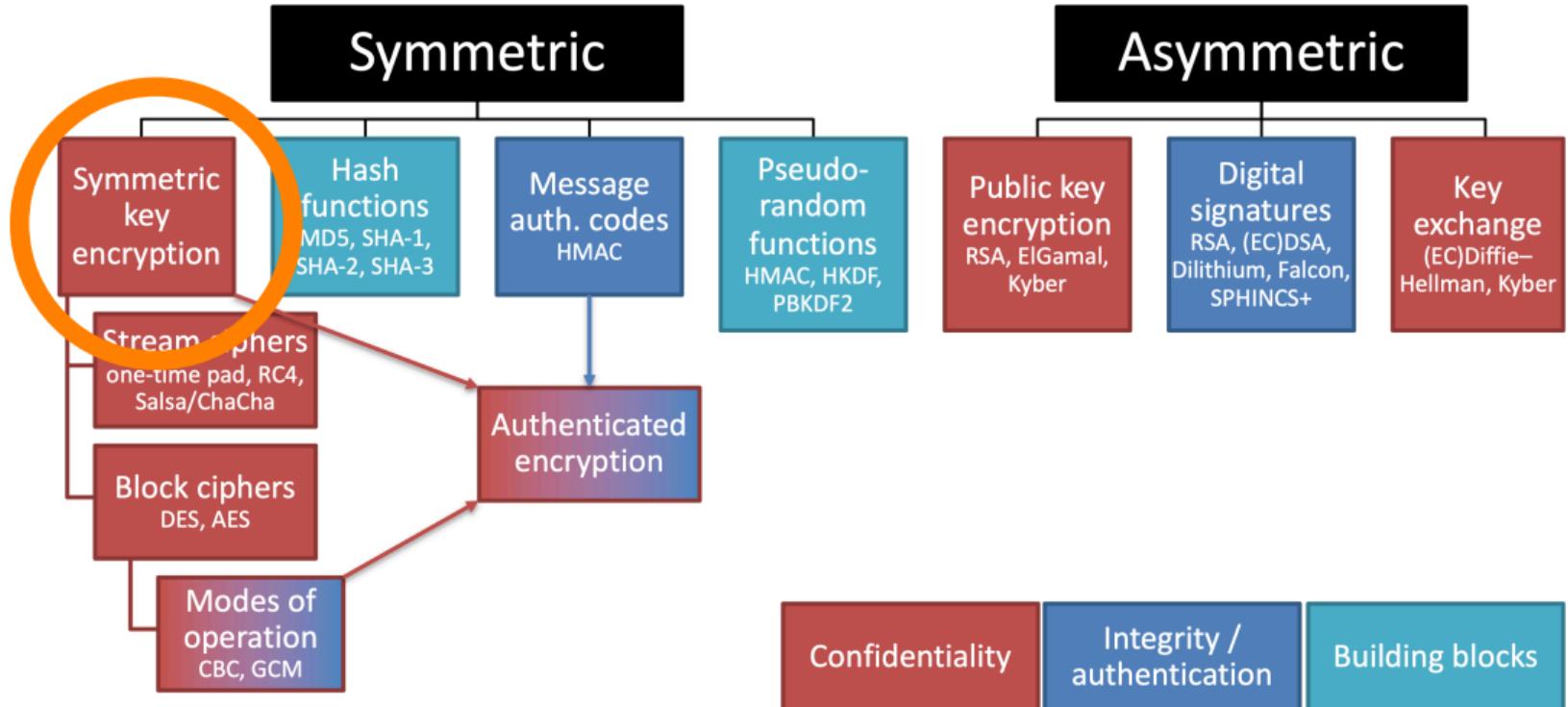
Douglas Stebila

CO 487/687: Applied Cryptography  
Fall 2024

UNIVERSITY OF  
**WATERLOO**



# Map of cryptographic primitives



# Outline

Caesar cipher and shift cipher

Defining symmetric-key encryption

Substitution cipher

Transposition cipher

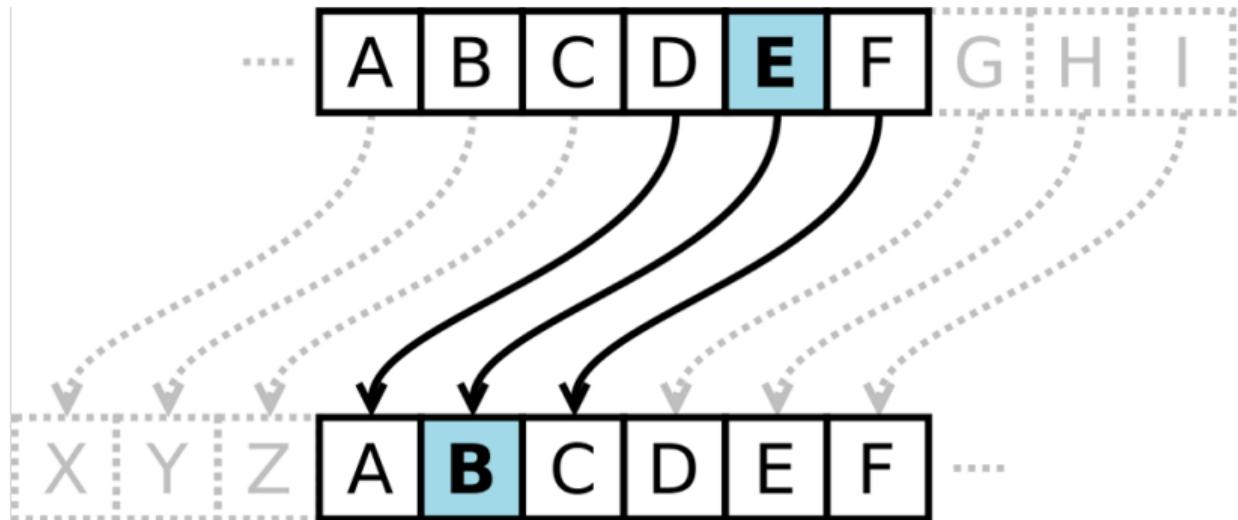
Defining security

Vigenère cipher

One-time pad a.k.a. Vernam cipher

Other historical ciphers

# Caesar cipher



[https://en.wikipedia.org/wiki/Caesar\\_cipher](https://en.wikipedia.org/wiki/Caesar_cipher)

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1.0: Symmetric encryption – Overview and historical ciphers

# Caesar cipher



ATTACK AT DAWN



XQQXZH XQ AXTK

# Caesar cipher

**Encrypt( $m$ ) where  $m \in \{A, \dots, Z\}^*$**

```
1:  for  $i = 1, \dots, |m|$ 
2:       $x \leftarrow \text{Encode}(m_i)$ 
3:       $y \leftarrow x + 23 \bmod 26$ 
4:      (or equivalently  $y \leftarrow x - 3 \bmod 26$ )
5:       $c_i \leftarrow \text{Decode}(y)$ 
6:  return  $c$ 
```

**Decrypt( $c$ ) where  $c \in \{A, \dots, Z\}^*$**

```
1:  for  $i = 1, \dots, |c|$ 
2:       $x \leftarrow \text{Encode}(c_i)$ 
3:       $y \leftarrow x - 23 \bmod 26$ 
4:      (or equivalently  $y \leftarrow x + 3 \bmod 26$ )
5:       $m_i \leftarrow \text{Decode}(y)$ 
6:  return  $m$ 
```

A	B	C	D	E	F	G	H	I	J	K	L	M
0	1	2	3	4	5	6	7	8	9	10	11	12
N	O	P	Q	R	S	T	U	V	W	X	Y	Z
13	14	15	16	17	18	19	20	21	22	23	24	25

Encode / Decode:

# Terminology

message/plaintext

ciphertext

Note difference between “encrypt” and “encode”, and “decrypt” and “decode”:

- **encode and decode** map letters to numbers without trying to add security – just mapping to a more convenient space
- **encrypt and decrypt** try to add security

Is the Caesar cipher secure?

No.

A screenshot of a web browser window showing an article from The Register. The title of the article is "Mafia boss undone by clumsy crypto". The author is Little Caesar, and the date is Wed 19 Apr 2006 14:14 UTC. The article discusses how Bernardo Provenzano, a Mafia don, used a modified Caesar cipher in his notes to obscure sensitive information, which helped Italian investigators track him down after years on the run.

**BOOTNOTES**

This article is more than 1 year old

## Mafia boss undone by clumsy crypto

Little Caesar

John Leyden

Wed 19 Apr 2006 14:14 UTC

Clues left in the clumsily encrypted notes of a Mafia don have helped Italian investigators to track his associates and ultimately contributed to his capture after years on the run.

The recently busted Bernardo Provenzano, reputed to be the "boss of bosses" of the Sicilian Mafia, used a modified form of the Caesar cipher to obscure "sensitive information" in notes left to either his family or underlings.

According to a biography (written by Italian journalists Salvo Palazzolo and Ernesto Oliva) on [bernardoprovenzano.net](http://bernardoprovenzano.net), the content of these notes varied from meal requests to his family to orders to his lieutenants where numbers were used to disguise people's names.

Provenzano, 73, was arrested last week in a farm close to his home town of Corleone on the Italian island of Sicily after almost 40 years on the run. He's accused of numerous homicides including the 1992 murder of two judges, a crime that earned him a life sentence in absentia. Provenzano who earned the nickname Binnu u tratturi (Binnu the tractor) because of his rep for mowing down enemies, latterly took to writing instructions incorporating basic encryption on small scraps of paper, known locally as pizzini.

The classic Caesar cipher moves every letter in the alphabet three characters later (so A becomes D and B becomes E, etc.). The so-called Binnu code assigns a number in order to each letter in the Italian alphabet and adds three to that number in the ciphertext so that "A" is 4, "B" is 5 and so on.

[https://www.theregister.com/2006/04/19/mafia\\_don\\_clueless\\_crypto/](https://www.theregister.com/2006/04/19/mafia_don_clueless_crypto/)

Is the Caesar cipher secure?

No.

But also: what does it mean to be secure?

# 4+8+7 things to remember from CO 487

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Things to remember

Principle 1.

**Avoid security  
by obscurity.**

Assume the adversary knows everything about the system—including how the algorithm works—except secret keys (“Kerckhoff’s principle”).

# Shift cipher

Idea: modify Caesar cipher to obey Kerckhoff's principle by introducing a secret key.

## Key space

$$\mathcal{K} = \{0, \dots, 25\}$$

Randomly sample key  $k \leftarrow_{\$} \mathcal{K}$

## Encrypt( $\mathbf{k}, m$ ) where $m \in \{A, \dots, Z\}^*$

```
1: for i = 1, ..., |m|
2:   x ← Encode(mi)
3:   y ← x + k mod 26
4:   ci ← Decode(y)
5: return c
```

## Decrypt( $\mathbf{k}, c$ ) where $c \in \{A, \dots, Z\}^*$

```
1: for i = 1, ..., |c|
2:   x ← Encode(ci)
3:   y ← x - k mod 26
4:   mi ← Decode(y)
5: return m
```

Is the shift cipher secure?

# Breaking the shift cipher

Assumptions:

- We know the encryption/decryption algorithm being used but not any secret keys (Kerckhoff's principle)
- We are given a ciphertext to break ("ciphertext-only attack")

Can you recover the plaintext?

Two approaches:

1. Try all 26 possible secret key values  $k = 0, \dots, 25$ . ("Brute-force attack" or "Exhaustive key search")
2. Frequency analysis.

## Brute-force attack a.k.a. exhaustive key search

Given sufficient amounts of ciphertext  $c$ , decrypt  $c$  using each possible key  $k$  until  $c$  decrypts to a plaintext message which “makes sense”.

How do we know when we’ve found the right key  $k$  that yields a plaintext that “makes sense”?

Have to make some assumption on the plaintext space:

- That it’s a passage of English text:
  - In principle, 30 characters of ciphertext are sufficient on average to yield a unique plaintext that is a sensible English message. In practice, a few hundred characters are needed.
  - Need tools that can “recognize” sensible English.
- That it’s a PDF file:
  - The first bytes of this PDF file are %PDF-1.3

## Frequency analysis

Idea: compare the distribution of letters in the ciphertext with the distribution of letters in the underlying plaintext space.

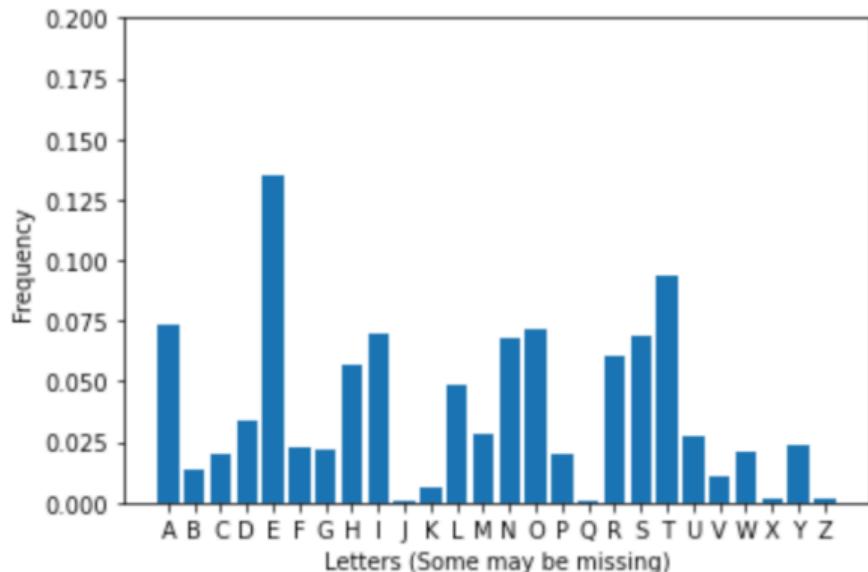
Additional assumption:

- The plaintext is a piece of English language text.

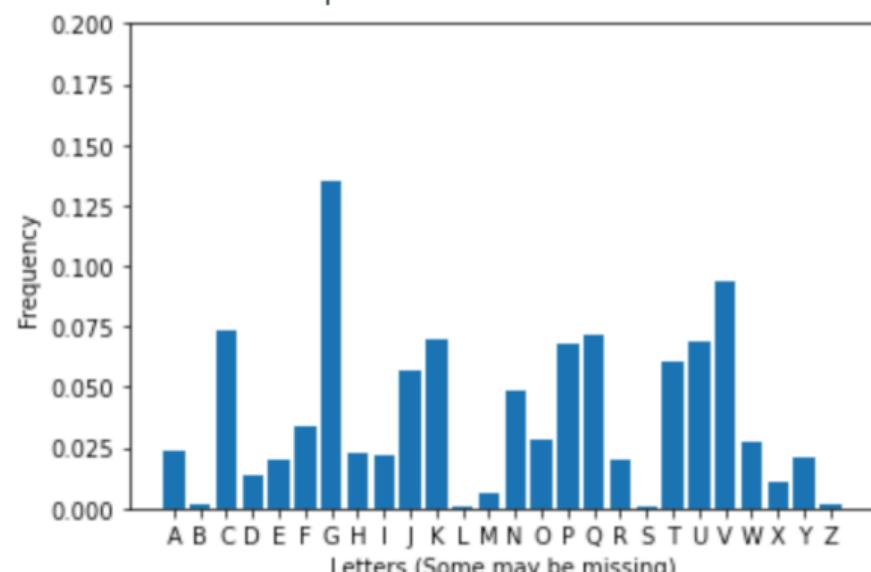
Key observation: Not all letters occur equally frequent in English text.

# Frequency analysis of the shift cipher

Frequencies of letters in English text:



Frequencies of letters in a sample ciphertext from the shift cipher:



Based on the ciphertext letter frequencies, what is the most likely key?  $k = 2$

## Breaking the substitution cipher

The technique of frequency analysis was first described by Abu Yusuf Ya'qub ibn Is-haq ibn as-Sabbah ibn 'omran ibn Ismail al-Kindi, c. 820 A.D.

*One way to solve an encrypted message, if we know its language, is to find a text of the same language long enough to fill one sheet or so and then we count each letter of it. We call the most frequently occurring letter the “first”, the next most occurring the “second”, the following most occurring the “third” and so on, until we finish all different letters in the cleartext. Then we look at the cryptogram we want to solve and we also classify its symbols. We find the most occurring symbol and change it to the form of the “first” letter, the next most common symbol is changed to the form of the “second” letter, and the following most common symbol is changed to the form of the “third” letter and so on, until we account for all the symbols of the cryptogram we want to solve.*

# Interactive notebook for historical ciphers

The screenshot shows a Jupyter Notebook interface with the following content:

- Topic 1.0 - Symmetric encryption - Historical ciphers**
- CO 487/687 Applied Cryptography Fall 2023**
- This Jupyter notebook contains a few tools and examples for working with historical ciphers in Sage.
- Documentation**
  - Tutorial on Sage in general
  - Sage documentation for classical cryptosystems
  - Some interactive example code in Sage
- Frequency analysis**

The following code block defines a function that will produce a frequency analysis graph.

```
# Based on https://wiki.sagemath.org/Interact/cryptography#Frequency_Analysis_Tools
def frequencyAnalysis(text):
    MessageText = AlphabeticalString(text)
    messageText = MessageText.replace(" ", "")
    distribution = encodeText, frequency_distribution()
    L = []
    for i in range(26):
        L.append((i, distribution[i]))
    L.sort(key=lambda x: x[1])
    L.reverse()
    return L
```

Import numpy as np

```
import math
from collections import Counter
xs = np.arange(len(Labels))
plt.bar(xs, ys, align='center')
plt.xlabel('Label')
plt.ylabel('R')
plt.xlabel('Letter (some may be missing)')
plt.xlabel('Frequency')
```

Here's some sample English text: The first few paragraphs of Moby Dick, by Herman Melville.

```
MobyDick = "Call me Ishmael. Some years ago—never mind how long precisely—having little or no money in my purse, and nothing particular
```

Let's see the frequency of the letters in Moby Dick. This should be a good approximation of typical English text.

```
frequencyAnalysis(mobydick)
```

A bar chart showing the frequency of letters in Moby Dick. The x-axis is labeled 'Letter (some may be missing)' and lists the alphabet from A to Z. The y-axis is labeled 'Frequency' and ranges from 0.000 to 0.250. The chart shows that the letter 'E' has the highest frequency at approximately 0.125, followed by 'T' at approximately 0.095, and 'A' at approximately 0.085.

Try out the interactive Jupyter notebook with Sage code implementing the historical ciphers from this class with frequency analysis graphs.

Download the code on LEARN → Content → Interactive notebooks, then run it on UW's Jupyter notebook server.

See instructions on LEARN.

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# Defining symmetric-key encryption

## Definition (Symmetric-key encryption scheme (SKES))

A **symmetric-key encryption scheme (SKES)** consists of:

- $\mathcal{M}$  – the plaintext space,
- $\mathcal{C}$  – the ciphertext space,
- $\mathcal{K}$  – the key space,
- an encryption algorithm,  $E: \mathcal{K} \times \mathcal{M} \rightarrow \mathcal{C}$
- a decryption algorithm,  $D: \mathcal{K} \times \mathcal{C} \rightarrow \mathcal{M}$

such that  $D(k, E(k, m)) = m$  for all  $m \in \mathcal{M}, k \in \mathcal{K}$ .

Equivalently:  $E_k: \mathcal{M} \rightarrow \mathcal{C}$  and  $D_k: \mathcal{C} \rightarrow \mathcal{M}$ .

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# The substitution cipher

Idea: instead of just shifting all letters by the same amount, have a table randomly mapping each letter to another fixed letter in a reversible way

## Key space

$\mathcal{K}$  = all permutations of  $\{A, \dots, Z\}$

## Encrypt( $k, m$ )

- 1: **for**  $i = 1, \dots, |m|$
- 2:      $c_i \leftarrow$  apply permutation  $k$  to  $m_i$
- 3: **return**  $c$

## Message and ciphertext space

$\mathcal{M} = \mathcal{C} = \{A, \dots, Z\}^*$

## Decrypt( $k, c$ )

- 1: **for**  $i = 1, \dots, |c|$
- 2:      $m_i \leftarrow$  apply inverse permutation  $k^{-1}$  to  $c_i$
- 3: **return**  $m$

# The substitution cipher

## Example

$k = \begin{matrix} a & b & c & d & e & f & g & h & i & j & k & l & m & n & o & p & q & r & s & t & u & v & w & x & y & z \\ D & N & X & E & S & K & O & J & T & A & F & P & Y & I & Q & U & B & R & Z & G & V & C & H & M & W & L \end{matrix}$

$m = \text{the big dog}$

$c = E_k(\text{the big dog})$   
 $= \text{GJS NTO EQO}$

Is the substitution cipher secure?

## Breaking the substitution cipher

Same assumptions as before:

- We know the encryption/decryption algorithm being used but not any secret keys (Kerckhoff's principle).
- We are given a ciphertext to break ("ciphertext-only attack").
- The plaintext is a piece of English language text.

Can you recover the plaintext?

## Breaking the substitution cipher

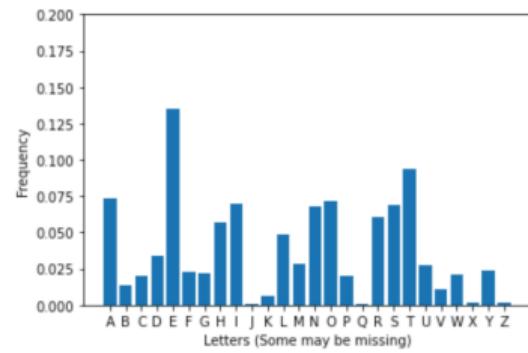
Will exhaustive key search work?

- Number of keys to try is  $26! \approx 4 \times 10^{26} \approx 2^{88}$ .
- If the adversary uses  $10^6$  computers, each capable of trying  $10^9$  keys per second, then exhaustive key search takes about  $10^4$  years.
- So, exhaustive key search is very expensive.

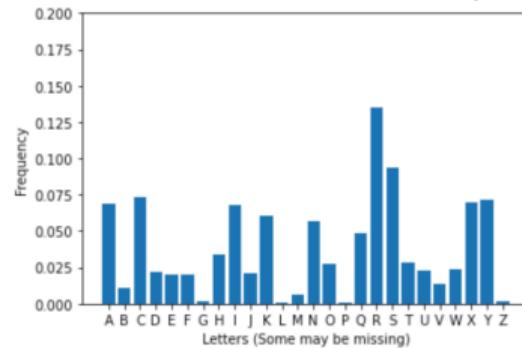
Will frequency analysis work?

# Frequency analysis of the substitution cipher

Frequencies of letters in English text:



Frequencies of letters in a sample ciphertext from the substitution cipher:



Based on the ciphertext letter frequencies, what can you deduce?

Probably  $E \rightarrow R$ ,  $T \rightarrow S$

For this example, the key was:

abcdefghijklmnopqrstuvwxyz  
CVFHRUDNXLMQTIYEPKASOBJGWZ

## Frequency analysis of the substitution cipher

General method for frequency analysis:

1. Sort the characters in the ciphertext by frequency.
2. Guess that the  $i$ th most frequent ciphertext character represents the  $i$ th most frequent English letter.
3. Adjust the guess as needed until the message makes sense.

Can also do frequency analysis on two-letter combinations (“digrams”), three-letter combinations (“trigrams”), etc.

- e.g. **th** occurs more frequently than **zq**.

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# The transposition cipher a.k.a. the permutation cipher

Idea: randomly rearrange the order of the letters

Fix a **block length**  $B \in \mathbb{N}$ .

## Key space

$\mathcal{K}$  = all permutations of  $\{1, \dots, B\}$

## Encrypt( $k, m$ )

```
1: for i = 1, ..., |m|/B
2:   x ← ith block of m
3:   (in other words: x ← m[(i - 1)B ... iB - 1]
4:   y ← apply permutation k to positions of x
5:   ith block of c ← y
6: return c
```

## Message and ciphertext space

$\mathcal{M} = \mathcal{C} = \cup_{i \geq 0} \{A, \dots, Z\}^{iB}$

## Decrypt( $k, c$ )

```
1: for i = 1, ..., |c|/B
2:   y ← ith block of c
3:   x ← apply  $k^{-1}$  to positions of y
4:   ith block of m ← x
5: return m
```

# Transposition cipher

## Example

Let  $B = 5$  and  $k = [41253]$  and encrypt the message  $m = \text{"thisismymessage"}$ .

$k = [41253]$	$m =$	$c =$
	$\begin{array}{ccccc} 1 & 2 & 3 & 4 & 5 \\ \hline t & h & i & s & i \\ s & m & y & m & e \\ s & s & a & g & e \end{array}$	$\begin{array}{ccccc} 4 & 1 & 2 & 5 & 3 \\ \hline S & T & H & I & I \\ M & S & M & E & Y \\ G & S & S & E & A \end{array}$

The ciphertext is  $c = \text{"STHIIMSMEYGSSEA"}$ .

Is the transposition cipher secure?

## Breaking the transposition cipher

Same assumptions as before:

- We know the encryption/decryption algorithm being used but not any secret keys (Kerckhoff's principle).
- We are given a ciphertext to break ("ciphertext-only attack").
- The plaintext is a piece of English language text.

Can you recover the plaintext?

- Exhaustive key search: Cost is  $B!$ . When  $B$  is large, this can be infeasible.
- Frequency analysis: Useless: single-letter frequency distribution stays the same since all we did is rearrange the letters.

## Breaking the transposition cipher

Suppose we add another attack assumption:

- We are given the ability to have a plaintext of our choice encrypted under the secret key (“chosen plaintext attack”).

Now can you recover the plaintext?

Yes! Just ask for the plaintext “abcdefghijklmnopqrstuvwxyz” to be encrypted. Given the corresponding ciphertext, we can determine the permutation and thus the secret key.

Is it reasonable to have the additional assumption of chosen plaintext attack?

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# What does it mean for a SKES to be secure?

Three key questions:

1. What is the adversary's goal?
2. How does the adversary interact with the communicating parties?
3. What are the computational powers of the adversary?

We always make the basic assumption of [Kerckhoff's principle](#): The adversary knows everything about the SKES, except the particular key  $k$  chosen by Alice and Bob. ([Avoid security by obscurity!!](#))

A [security model](#) or [security definition](#) contains three elements: 1) the adversary's goal, 2) how the adversary interacts with the communicating parties, and 3) the computational powers of the adversary.

## Defining security: 1. Adversary's Goal

Some possible goals for the adversary in breaking symmetric key encryption:

1. Recover the secret key.
2. Systematically recover plaintext from ciphertext (without necessarily learning the secret key).
3. Learn **some** partial information about the plaintext from the ciphertext (other than its length).

If the adversary can achieve 1 or 2, the SKES is said to be **totally insecure** (or **totally broken**).

If the adversary cannot learn any partial information about the plaintext from the ciphertext (except possibly its length), the SKES is said to be **semantically secure**.

Hiding length information is very hard. This topic falls under the heading of *traffic analysis*.

## Defining security: 2. Adversary's Interaction

Some possible ways the adversary might be able to interact with communicating parties:

- Passive attacks:
  - **Ciphertext-only attack**: The adversary only sees some encrypted ciphertexts.
  - **Known-plaintext attack**: The adversary also knows some plaintext and the corresponding ciphertext.
- Active attacks:
  - **Chosen-plaintext attack**: The adversary can also choose some plaintext(s) and obtain the corresponding ciphertext(s).
  - **Chosen-ciphertext attack**: The adversary can also choose some ciphertext(s) and obtain the corresponding plaintext(s). Includes the powers of chosen-plaintext attack.
- Other attacks:
  - **Side-channel attacks**: monitor the encryption and decryption equipment (timing attacks, power analysis attacks, electromagnetic-radiation analysis, etc.)
  - **Physical attacks**: bribery, blackmail, rubber hose, etc.

## Defining security: 3. Computational Power of the Adversary

Some possible limits on the computational powers of the adversary:

- **Information-theoretic security**: The adversary has infinite computational resources.
- **Complexity-theoretic security**: The adversary is a “polynomial-time Turing machine”.
- **Computational security**: The adversary has  $X$  number of real computers/workstations/supercomputers. (“computationally bounded”). Equivalently, the adversary can do  $X$  basic operations, e.g., CPU cycles.

Also have to consider:

- **classical adversary**: the adversary does not have access to a quantum computer
- **quantum adversary**: the adversary has access to a quantum computer

## Work Factor

In this course:

- $2^{40}$  operations is considered **very easy**.
- $2^{56}$  operations is considered **easy**.
- $2^{64}$  operations is considered **feasible**.
- $2^{80}$  operations is considered **barely feasible**.
- $2^{128}$  operations is considered **infeasible**.

The Bitcoin network is presently performing hash operations at the rate of  $654 \times 10^{18} \approx 2^{69.1}$  hashes per second (or  $2^{94.1}$  per year), using 0.08% of the world's electricity consumption.

The **Landauer limit** from thermodynamics suggests that exhaustively trying  $2^{128}$  symmetric keys would require  $\gg 3000$  gigawatts of power for one year (which is  $\gg 100\%$  of the world's energy production).

<https://ccaf.io/cbeci/index/comparisons>

1.0: Symmetric encryption – Overview and historical ciphers <https://www.blockchain.com/explorer/charts/hash-rate>

## Definition (Security level)

A cryptographic scheme is said to have a **security level** of  $\ell$  bits if the fastest known attack on the scheme takes approximately  $2^\ell$  operations.

As of 2024, a security level of **128 bits** is desirable in practice.

## Defining semantic security as a “security game” or “security experiment”

To break semantic security under chosen plaintext attack, the adversary has to win the following game:

### Security game: semantic security under chosen plaintext attack

- 1 : A secret key  $k$  is chosen at random.
- 2 : A challenge plaintext  $m^*$  is chosen, and we compute  $c^* \leftarrow E_k(m^*)$ .
- 3 : The adversary is given  $c^*$ .
- 4 : Chosen-plaintext attack: The adversary can select plaintexts  $m$  and gets the corresponding ciphertext  $c = E_k(m)$ .
- 5 : After a bounded amount of computation, the adversary outputs “some meaningful” information about  $m^*$ .

We measure the probability the adversary wins the game.

## Indistinguishability-based definition of a Secure SKES

The game on the previous slide using semantic security is valid but hard to work with formally, so we typically deal with the following “indistinguishability”-based definition which can be proven to be equivalent:

### Security game: indistinguishability under chosen plaintext attack (IND-CPA)

- 1 : A secret key  $k$  is chosen at random.
- 2 : Chosen-plaintext attack: The adversary can select plaintexts  $m$  and obtains the corresponding ciphertext  $c = E_k(m)$ .
- 3 : The adversary picks two messages  $m_0$  and  $m_1$  of the same length.
- 4 : The challenger picks random  $b \leftarrow \{0, 1\}$  and gives the adversary  $c^* \leftarrow E_k(m_b)$ .
- 5 : The adversary can again use their chosen-plaintext attack oracle.
- 6 : After a bounded amount of computation, the adversary outputs its guess  $b'$  for the hidden bit

We measure how much better than  $1/2$  is the adversary’s probability of winning the game,

# Indistinguishability-based definition of a Secure SKES

Here is the IND-CPA security game defined in pseudocode, which is how you will normally see it in textbooks or papers. Let  $\mathcal{A}$  be computationally bounded classical adversary.

## Security game: indistinguishability under chosen plaintext attack (IND-CPA)

```
1 :  $k \leftarrow_{\$} \mathcal{K}$            //  $\leftarrow_{\$}$  means "sample uniformly at random from the given set"  
2 :  $(m_0, m_1) \leftarrow \mathcal{A}^{E_k(\cdot)}()$  // The superscript  $E_k(\cdot)$  means the adversary can query an encryption oracle  
3 :  $b \leftarrow_{\$} \{0, 1\}$            // and get the result, modelling a chosen-plaintext attack  
4 :  $c^* \leftarrow E_k(m_b)$   
5 :  $b' \leftarrow \mathcal{A}^{E_k(\cdot)}(c^*)$   
6 : if  $b' = b$  then return 1 else return 0
```

We measure the probability the experiment outputs 1, minus  $1/2$ , which is called the adversary's **advantage**:  $\text{Adv}^{\text{INDCPA}}(\mathcal{A}) = |\text{Prob}[\text{IND-CPA experiment outputs 1}] - \frac{1}{2}|$

# Security against chosen ciphertext attacks

Let  $\mathcal{A}$  be computationally bounded classical adversary.

## Security game: indistinguishability under chosen ciphertext attack (IND-CCA)

- 1 :  $k \leftarrow_{\$} \mathcal{K}$
- 2 :  $(m_0, m_1) \leftarrow \mathcal{A}^{E_k(\cdot), D_k(\cdot)}()$  // Adversary gets encryption and decryption oracles
- 3 :  $b \leftarrow_{\$} \{0, 1\}$
- 4 :  $c^* \leftarrow E_k(m_b)$
- 5 :  $b' \leftarrow \mathcal{A}^{E_k(\cdot), D_k(\cdot \neq c^*)}(c^*)$  // But can't ask to decrypt the challenge ciphertext – that makes it trivial
- 6 : **if**  $b' = b$  **then return 1 else return 0**

$$\text{Adv}^{\text{INDCCA}}(\mathcal{A}) = \left| \text{Prob}[\text{IND-CCA experiment outputs 1}] - \frac{1}{2} \right|$$

## Definition of a Secure SKES

We will generally want symmetric key encryption schemes to satisfy one of the following:

### Definition (IND-CPA security)

Semantic security (equivalently, indistinguishability) under chosen-plaintext attack by a computationally bounded classical adversary.

### Definition (IND-CCA security)

Semantic security (equivalently, indistinguishability) under chosen-ciphertext attack by a computationally bounded classical adversary.

Elements of the definition:

1. Goal: semantic security (or equivalently, indistinguishability)
2. Adversary interaction: chosen-plaintext or chosen-ciphertext attack
3. Computational power: computationally bounded classical computer (or quantum if we can)

# 4+8+7 things to remember from CO 487

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Things to remember

## Principle 2. Know your threat model.

**Security goal:** confidentiality / integrity / authentication / ...

How the adversary **interacts** with honest parties

**Computational power** of adversary: unlimited / asymptotic / bounded, classical / quantum

Plus physical security and more

# 4+8+7 things to remember from CO 487

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## Symmetric key primitives

# Symmetric key encryption

$$\text{Enc}(k, m) \rightarrow c$$

$$\text{Dec}(k, c) \rightarrow m$$

Things to remember

- Provides confidentiality.
- Security goal: without secret key, infeasible to learn any information about plaintext (except possibly its length) even given the power to get arbitrary things encrypted/decrypted (“semantic security under chosen plaintext and ciphertext attack”).
- Secure options as of 2024:
  - block ciphers like AES in CBC mode
  - stream ciphers like ChaCha20 or AES in counter mode

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Defining security

Vigenère cipher

One-time pad a.k.a. Vernam cipher

Other historical ciphers

# Vigenère cipher

Idea: Use different shift ciphers for different parts of the message to reduce effect of frequency analysis (“Polyalphabetic cipher”)

Fix a **block length**  $B \in \mathbb{N}$ .

Key space

$$\mathcal{K} = \{A, \dots, Z\}^B$$

Encrypt( $k, m$ )

```
1: for i = 1, ..., |m|
2:      $c_i \leftarrow m_i + k[i \bmod B] \bmod 26$ 
3: return c
```

Message and ciphertext space

$$\mathcal{M} = \mathcal{C} = \cup_{i \geq 0} \{A, \dots, Z\}^{iB}$$

Decrypt( $k, c$ )

```
1: for i = 1, ..., |c|
2:      $m_i \leftarrow c_i - k[i \bmod B] \bmod 26$ 
3: return m
```

# Vigenère cipher

Example with block length  $B = 6$

$$\begin{array}{r} m = \text{t h i s i s a m e s s a g e} \\ + k = \text{C R Y P T O C R Y P T O C R} \\ \hline c = \text{V Y G H B G C D C H L O I V} \end{array}$$

Is the Vigenère cipher secure?

## Security of the Vigenère cipher

Totally insecure against a known-plaintext attack. **Why?**

What about security under a ciphertext-only attack?

1. Determine the block length  $B$  (if not already known) using frequency analysis.
2. Determine the key using  $B$  separate frequency analyses.

## Ciphertext-only attack on the Vigenère cipher

Determine the block length  $B$  as follows:

1. Make a guess for  $B$
2. Check your guess as follows.
  - 2.1 Divide the ciphertext letters into  $B$  groups,  $G_0, G_1, \dots, G_{B-1}$ , where the  $i$ th ciphertext letter is placed in group  $G_{i \bmod B}$ .
  - 2.2 Examine the frequency distributions of letters in each group.
  - 2.3 If each distribution “looks like” the expected distribution of letters from sensible English text, then the key length guess is probably correct.

## Ciphertext-only attack on the Vigenère cipher

Once the key length  $B$  is determined, determine the key as follows:

1. The ciphertext letters in each group  $G_i$  were encrypted using a common shift cipher
2. Do shift cipher frequency analysis on each group  $G_i$  to determine  $k_i$

# Outline

Caesar cipher and shift cipher

Defining symmetric-key encryption

Substitution cipher

Transposition cipher

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# One-time pad a.k.a. Vernam cipher

Idea: A modification of the Vigenère cipher where the key is as long as the message.

Invented by Vernam in 1917 for the telegraph system.

Fix a message length  $\ell \in \mathbb{N}$ .

Key space

$$\mathcal{K} = \{A, \dots, Z\}^\ell$$

Encrypt( $k, m$ )

1: **for**  $i = 1, \dots, \ell$

2:       $c_i \leftarrow m_i + k_i \bmod 26$

3: **return**  $c$

Message and ciphertext space

$$\mathcal{M} = \mathcal{C} = \{A, \dots, Z\}^\ell$$

Decrypt( $k, c$ )

1: **for**  $i = 1, \dots, \ell$

2:       $m_i \leftarrow c_i - k_i \bmod 26$

3: **return**  $m$

# One-time pad a.k.a. Vernam cipher

## Example

$$\begin{array}{r} m = \text{t h i s i s a m e s s a g e} \\ + k = \text{Z F K W O G P S M F J D L G} \\ \hline c = \text{S M S P W Y P F Q X C D R K} \end{array}$$

Note: the key is as long as the message.

## One-time pad for binary messages

Fix a message length  $\ell \in \mathbb{N}$ .

Key space

$$\mathcal{K} = \{0, 1\}^\ell$$

Encrypt( $k, m$ )

- 1 : **for**  $i = 1, \dots, \ell$
- 2 :       $c_i \leftarrow m_i \oplus k_i$
- 3 : **return**  $c$

Message and ciphertext space

$$\mathcal{M} = \mathcal{C} = \{0, 1\}^\ell$$

Decrypt( $k, c$ )

- 1 : **for**  $i = 1, \dots, \ell$
- 2 :       $m_i \leftarrow c_i \oplus k_i$
- 3 : **return**  $m$

Note that we can use exclusive-OR ( $\oplus$ ) in both encryption and decryption since addition and subtraction modulo 2 are equivalent.

## Re-use of one-time pads **is bad**

The key for a one-time pad cipher **must not be re-used**:

- If  $c_1 = m_1 + k$  and  $c_2 = m_2 + k$ , then  $c_1 - c_2 = m_1 - m_2$ .
- $c_1 - c_2$  depends only on the plaintext (and not on the key) and hence can leak information about the plaintext.
- If  $m_1$  is known, then  $m_2$  can be easily computed.

## Re-use of one-time pads is bad

Interactive demo: <https://www.douglas.stebila.ca/teaching/visual-one-time-pad/>

# Re-use of one-time pads is bad

$$\begin{array}{ccc} \text{SEND} \\ \text{CASH} \end{array} \oplus \begin{array}{c} \text{[Noise Image]} \\ \text{[Noise Image]} \end{array} = \begin{array}{c} \text{[Noise Image]} \\ \text{[Noise Image]} \end{array}$$
$$\begin{array}{ccc} \text{[Smiley Face Image]} \\ \oplus \end{array} \begin{array}{c} \text{[Noise Image]} \\ \text{[Noise Image]} \end{array} = \begin{array}{c} \text{[Noise Image]} \\ \text{[Noise Image]} \end{array}$$
$$\begin{array}{ccc} \text{[Noise Image]} \\ \oplus \end{array} \begin{array}{c} \text{[Noise Image]} \\ \text{[Noise Image]} \end{array} = \begin{array}{c} \text{SEND} \\ \text{CASH} \end{array}$$

## Security of the one-time pad

- **Perfect secrecy:** The one-time pad is semantically secure against ciphertext-only attack by an adversary with infinite computational resources.
- This can be proven formally using concepts from information theory [Shannon 1949].
- The bad news: Shannon also proved that if plaintexts are  $m$ -bit strings, then any symmetric-key encryption scheme with perfect secrecy must have  $|K| \geq 2^m$ .
- Perfect secrecy (and the one-time pad) is fairly useless in practice.
  - A re-used key can be broken.
  - A non-random key can (in principle) be broken.
  - Hard to safely distribute and manage truly random one-time keys.

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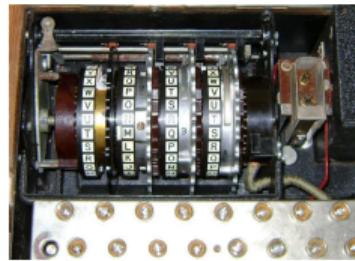
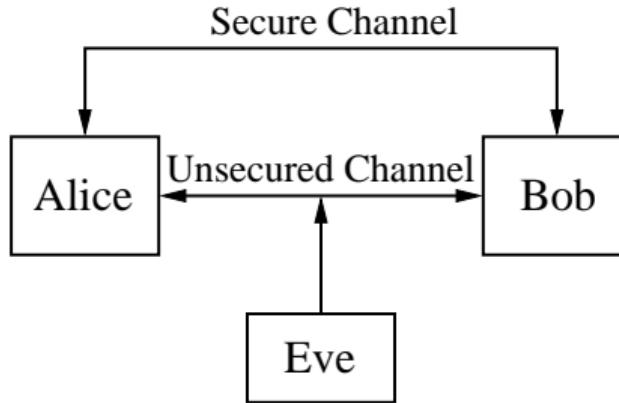
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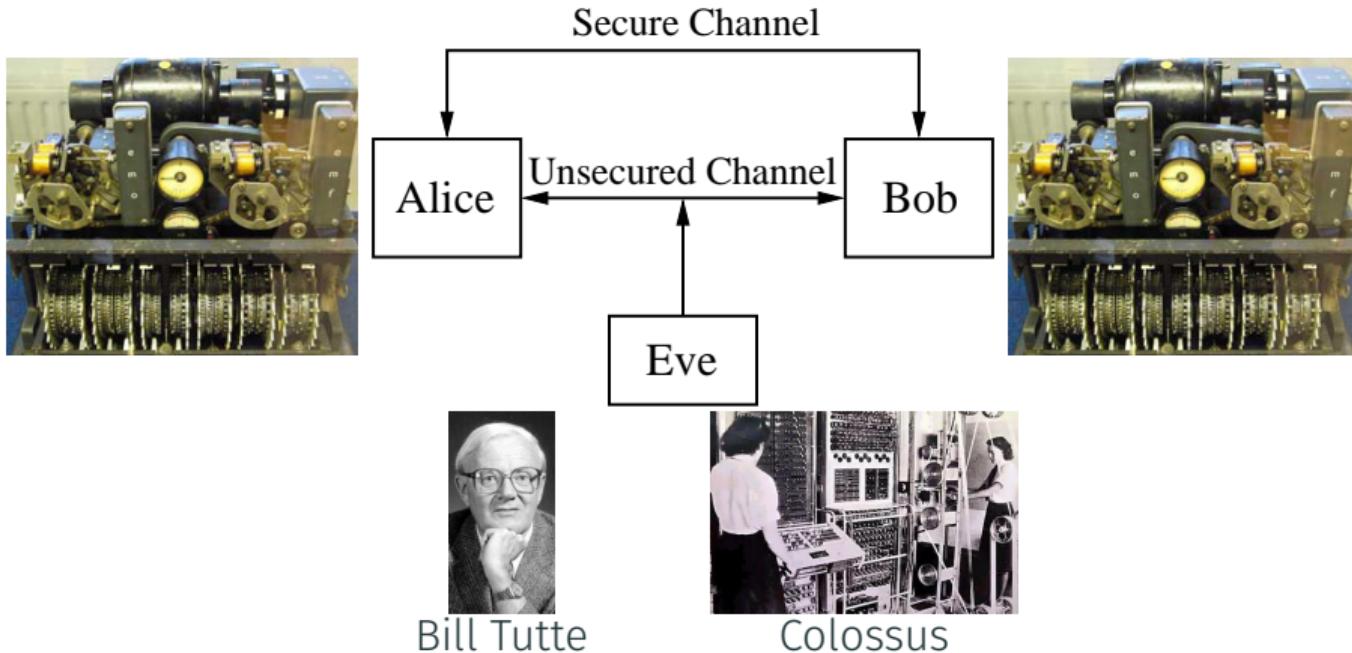
# World War II: Enigma Machine



Alan Turing

See [https://en.wikipedia.org/wiki/Cryptanalysis\\_of\\_the\\_Enigma](https://en.wikipedia.org/wiki/Cryptanalysis_of_the_Enigma)

# World War II: Lorenz Machine



See <http://tinyurl.com/COBillTutte>, <http://billtuttememorial.org.uk/>

# Code Talkers

“A code talker was a person employed by the military during wartime to use a little-known language as a means of secret communication.”

Used by the United States in WWI, WWII, and even up through the Korean War and early into the Vietnam War. Estimates are that 400-500 Native Americans were employed by the US Marine Corps.



[Comanche code talkers of the 4th Signal company during World War I]

# Navajo Code Talkers in WWII

Most well-documented story is that of Navajo code talkers in WWII.

- Navajo has a complex grammar
- Not decipherable even by speakers of closely related languages
- Unwritten language at the time
- Numerous dialects
- At start of WWII, estimated fewer than 30 non-Navajo could understand the language
- Mostly used by the US in the Pacific theatre of war



[Navajo code talkers in the Pacific theatre, June 1944]

## Navajo as a code

**Type one code:** Use Navajo words to represent English letters. (Substitution cipher on the alphabet.) Very slow.

**Type two code:** Translate English text into Navajo. (Substitution cipher, but much more complex.) Develop codebook of Navajo words/phrases to represent technical terms. (E.g. “shark”  $\Rightarrow$  “destroyer”; “hummingbird”  $\Rightarrow$  “fighter plane”.)

To convince the generals, a combat scenario was simulated with code talkers transmitting a 3-line message in 20 seconds, versus 30 minutes on code-wheel encryption machines.

Special dialect developed for military purposes, undecipherable even for native Navajo speakers.

“Were it not for the Navajos, the Marines would never have taken Iwo Jima.” – Major Howard Connor, 5th Marine Division signal officer

[https://en.wikipedia.org/wiki/Code\\_talker](https://en.wikipedia.org/wiki/Code_talker), <https://web.archive.org/web/20100327055830/>  
<https://www.cia.gov/news-information/featured-story-archive/2008-featured-story-archive/navajo-code-talkers/index.html>

# Canadian Cree Code Talkers

- Thousands of Indigenous people volunteered for service for Canada in WWII, many funnelled into the Canadian Army and sent to England.
- Some Cree, Ojibwe, Inuit, Métis, and others were then sent to London to test the idea of having code talkers in the European theatre of war.
- Cree speakers were then trained, selected, and deployed as code talkers with US armed forces.

# Canadian Cree Code Talkers

- Sworn to secrecy after the war and not much information disclosed about Canadian Cree code talkers in the following decades.
- Came to light due to 2003 interview with Charles Tomkins and a 2017 documentary called *Cree Code Talker*.



<https://www.youtube.com/watch?v=7JiUPBKST5M>