# **Computer Graphic Note**

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### 1 Class 1: Overview

### 1. Frame Buffer

- Pixel: One element of a frame buffer
- Pixel depth: Number of bytes per-pixel in the buffer
- Resolution: Width × Height
- Buffer size: Total memory allocated for frame buffer
- Exam Question: Given Resolution and Pixel depth, Asked Buffer size
- Z Value: For solving the hidden-surface removal(HSR) problem

## 2 Class 2,3: Rasterization

- 1. For each pixel on screen, the sample point of that pixel is the center point of that pixel, which have **integer** coordinates. For examples, (3, 2).
- 2. A simple problem: Rasterizing Lines

**Program Description**: Given two endpoints,  $P = (x_0, y_0)$ ,  $R = (x_1, y_1)$  find the pixels that make up the line.

Note that: Lines are infinitely thin so they rarely fall on pixel sample point.

A Feasible Description: Rasterize lines as closest pixels to actual lines, with 2 requirement

- No Gap
- Minimize error(distance to true line)

To make this question simplify: Only consider situation that  $|x_1 - x_0| \ge |y_1 - y_0| \ge 0 \land |x_1 - x_0| \ne 0$ , which means the  $-1 \le slope \le 1$ . Otherwise we just exchange x and y.

**A basic Algorithm**:  $k = \frac{y_1 - y_0}{x_1 - x_0}$ ,  $d = y_0 - kx_0$ , for each  $x_0 \le x \le x_1$ , y = ROUND(kx + d). This method by brute force is inefficient because of the multiplication and the function ROUND().

**Basic Incremental Algorithm**: for each  $x_0 \le x_i < x_{i+1} \le x_1$ ,  $y_{i+1} = y_i + k$ , However, the successsive addition of a real number can lead to a **cumulative error buildup**!

#### **Midpoint Line Algorithm:**

- For  $0 \le k \le 1$
- For one approximate point P = (x, y), we only have 2 choices for the next point E = (x + 1, y) and NE = (x + 1, y + 1), we should choose the one which is closer to k(x + 1) + d
- Calculate the middle point  $M = (x + 1, y + \frac{1}{2})$
- If the **Intersection point** Q is below M, take E as next, otherwise take NE as next.
- Note that: we consider this equation:

$$f(x, y) = ax + by + c = (y_1 - y_0)x - (x_1 - x_0)y + (x_1y_0 - y_1x_0)$$

We assume a > 0

• For a point (x, y)

if f(x, y) = 0, (x, y) lies on the line.

if f(x, y) < 0, (x, y) lies upon the line.

if f(x, y) > 0, (x, y) lies below the line.

- So we have to test  $f(M) = a(x+1) + b(y+\frac{1}{2}) + c = f(Former) + a + \frac{b}{2}$
- Assum a > 0, if f(M) > 0 choose NE otherwise choose E
- Update f(Former):

If we choose E, f(Former) = f(Former) + a

If we choose NE, f(Former) = f(Former) + a + b

Note that: a and b are constant integer, so here is no cumulative error issuse

3. A harder problem: Triangles Rasterization

### Why Triangle:

- Triangles (tris) are a simple explicit 3D surface representation.
- Convex and concave polygons (polys) can be decomposed into triangles.
- Tris are planar and unambiguously defined by three vertex(verts) coordinates (coords).

**Definition**: Find and draw pixel samples inside tri edges and interpolate parameters defined at verts

- 4. Rasterizxation and Hidden Surface Removal(HSR) Algorithm Classes:
  - Image order rasterization: ray tracing/ ray casting traverse pixel, process each in world-space

transform rays from image-space to world-space

Object order rasterization: scan-line / LEE
 <u>traverse</u> triangles, process each in image-space
 <u>transform</u> objects from model-space to image-space

#### 5. LEE Linear Expression Evaluation Algorithm:

• We already discussed in *Midpoint Line Algorithm* that how to determine a point is on the left(up) or right(below) the line, just *a quick review here*:

Assume the lien have a positive slope

For an Edge Equation *E*, for point (x, y): E(x, y) = dY(x - X) - dX(y - Y)

if E(x, y) = 0, (x, y) lies on the line.

if E(x, y) < 0, (x, y) lies right(below) the line.

if E(x, y) > 0, (x, y) lies left(up) the line.

#### • For **Rasterization**:

Compute LEE result for all three edges.

Pixels with **consistent sign** for all three edges are inside the *tri*.

Include edge pixels on left or right edges.

- LEE need to check every pixel in the bounding box.
- LEE is very good in parallel(SIMD) system.
- Furthermore: Given 3 random *verts* how to find CW edge cycle Determine L/R and Top/Bot edges for edge-pixel ownership

#### 6. Scan Line Rasterizer:

- Sort vets by Y
- Setup edge DDAs for edges
- Sort edges by L or R(The long edge on left or right)
- Start from Top Vertice, and switch DDA when hit the middle vertice.

#### 7. Interpolate Z:

A general 3D plane equation has 4 terms: Ax + By + Cz + D = 0

(A, B, C) is the normal of that plane, so  $(X, Y, Z)_0 \times (X, Y, Z)_1 = (A, B, C)$ 

Then plug any vertex coord into equation and solve for D.

Given (A, B, C, D) and any point (x, y) can solve z

### 8. Used Z-buffer to remove hidden surfaces

Initial Z-buffer to MAXINT at the begining of every frame

Interpolate vertex Z values to get  $Z_{pix}$ 

Only write new pixel to the buffer if  $Z_{pix} < Z_{buffer}$ 

Notice that Z should always **bigger or equal to zero!** 

#### 9. Hidden Line Removal(HLR):

Simple z-buffer does not work when the render only draws edge(outlines of polygons).

Need edge-crossing and object sorting methods.

### 10. Painter's Algorithm: render in order front to back

A object is in front of another object means:

Z of all verts of one object is less than the other.

This algorithm not work if Z-sort is ambiguous.

#### 11. Warnock Algorithm:

Subdivide screen untril a leaf region has a simple front/back relationship.

Leaf regions have one or zero surfaces visible, and the smallest region is usually a pixel

Usually use quad tree subdivision

#### 12. BSP-Tree:

View-Independent binary tree(pre-calculated) allows a view-dependent front-to-back or back-to-front traversal of surfaces.

Use Painter Algorithm to do back-to-front traversal.

Useful for **transparency** - full depth-sort of all surface.

#### 13. Culling:

- Culling with portals: pre-compute the invisible part.
- Culling by View Frustum: Skip a triangle iff all its vertices are beyond the same screen edge!
   Pitfall: If the vertices are beyond different edge, some part of the *tri* might still in the screen. Image a giant *tri* that cover the whole screen.
- Backface Culling: For **closed(water-tight)** objects, surfaces with **oriented-normals facing away** from the camera are never visible.

Pitfall: BF Culling only work for water-tight object!

• Frustum: Only visible triangles are drawn into the frame buffer.

## 3 Class 4,5,6,7:Transformations

• Linear transformations (*Xforms*) define a mapping of coordinates (*coords*) in one coordinate frame to another.

$$V_b = X_{ba}V_a$$

- **Homogeneous Vector** (*V*) is  $4 \times 1$  columns  $(x, y, z, w)^T$
- **Homogeneous Transforms** (X) is  $4 \times 4$  matrix
- From Homogeneous Vector to 3D Vector:

$$x = \frac{x}{w}, y = \frac{y}{w}, z = \frac{z}{w}$$

• Why we use Homogeneous Vector?

We want to uniform the transform matrix including translation, scaling, rotation in the same form of matrix.

#### 3.1 Transformation Matrix

1. Translation:

$$T(t_x, t_y, t_z) \Rightarrow \begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix}, T^{-1}(t_x, t_y, t_z) = T(-t_x, -t_y, -t_z)$$

2. Scaling:

$$S(s_x, s_y, s_z) \Rightarrow \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}, S^{-1}(s_x, s_y, s_z) = S(\frac{1}{s_x}, \frac{1}{s_y}, \frac{1}{s_z})$$

3. Rotation, CCW:

$$R_{x}(\theta) \Rightarrow \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos\theta & -\sin\theta & 0 \\ 0 & \sin\theta & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}, R_{x}^{-1}(\theta) = R_{x}^{T}(\theta)$$

$$R_{y}(\theta) \Rightarrow \begin{bmatrix} \cos\theta & 0 & \sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\theta & 0 & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}, R_{y}^{-1}(\theta) = R_{y}^{T}(\theta)$$

$$R_{z}(\theta) \Rightarrow \begin{bmatrix} \cos\theta & -\sin\theta & 0 & 0\\ \sin\theta & \cos\theta & 0 & 0\\ 0 & 0 & 1 & 0\\ 0 & 0 & 0 & 1 \end{bmatrix}, R_{z}^{-1}(\theta) = R_{z}^{T}(\theta)$$

4. Pitfall: commutative property is for S,R only.

Here assume uniform scaling in all dimensions.

If S is not an uniform scaling matrix. S,R don't have commutative property.

### 3.2 Spaces Transformation

#### 1. NDC to Output Device

$$X_{sp} \Rightarrow \begin{bmatrix} \frac{xs}{2} & 0 & 0 & \frac{xs}{2} \\ 0 & -\frac{ys}{2} & 0 & \frac{ys}{2} \\ 0 & 0 & MAXINT & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Note that:

Output Device is **RH coords** and origin in **upper left**. $X \in [0, xs), Y \in [0, ys), Z \in [0, MAXINT]$ NDC is **LH coords** and origin at screen center.  $X, Y \in [-1, 1], Z \in [0, 1]$ 

### 2. Perspective Projection

$$X_{pi} \Rightarrow \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & \frac{1}{d} & 0 \\ 0 & 0 & \frac{1}{d} & 1 \end{bmatrix}$$

**What** is d And **Why** there are two  $\frac{1}{d}$ ?

- Assume camera is on (0,0,-d), perspective(also image) plane is z=0, a object in world space is (X,Y,Z)
- Defined FOV(field of view) as the angle the camera can see.
- Note:  $X \in [-1, 1]$ , so the distant(d) from Forcus point to view plane can be calculate by this equation:

$$\frac{1}{d} = tan(\frac{FOV}{2})$$

• Futher More: The object project to view plane can be calculate by these equations:

$$\frac{X}{Z+d} = \frac{x}{d} \Rightarrow x = \frac{X}{\frac{Z}{d}+1}$$

$$\frac{Y}{Z+d} = \frac{y}{d} \Rightarrow y = \frac{Y}{\frac{Z}{d}+1}$$

$$\frac{Z}{Z+d} = \frac{z}{d} \Rightarrow z = \frac{Z}{\frac{Z}{d}+1}$$

$$(x,y,z) = (\frac{X}{\frac{Z}{d}+1}, \frac{Y}{\frac{Z}{d}+1}, \frac{Z}{\frac{Z}{d}+1})$$

• Write this 3D vector to Homogeneous Vector:

$$(x, y, z, w) = (X, Y, Z, \frac{Z}{d} + 1)$$

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• Futher: We forcus on the **range** of Z now is  $z \in (-\infty, d)$ .

But in NDC we hope  $z \in [0, 1)$  So:

We delete all vector that Z < 0, because they cannot project to the view plane.

For  $z \ge 0$ , we define  $z' = \frac{z}{d}$ 

•  $(x, y, z', w) = (X, Y, \frac{Z}{d}, \frac{Z}{d} + 1) = X_{pi} * (X, Y, Z, 1)$ 

Pitfall: Do Z interpolation in Perspective Plane!

Why we need the farest plane?

Asymptotic curve of Z vs. z, that z increase slower when Z is large.

It might map different Z to the same z

### 3.3 Camera Matrix

- 1. Assume camera position is c, camera look-at point is l, here c and l are both in world coordinate. And the world up vector is  $\vec{up}$
- 2. Camera Z-axis in world coordinate is

$$\vec{Z} = \frac{\vec{cl}}{||\vec{cl}||}$$

3. Camera Y-axis in world coordinate is the orthogonal(vertical) part of world-up vector to Z-axis which is

$$\vec{up'} = \vec{up} - (\vec{up} \cdot \vec{Z})\vec{Z}$$

$$\vec{Y} = \frac{\vec{up'}}{||\vec{up'}||}$$

4. Camera X-axis in world coordinate is orthogonal to both Y and Z axises. So:

$$\vec{X} = \vec{Y} \times \vec{Z}$$

5. Build the  $X_{wi}$  from camera space to world space: X-axis vector [1,0,0] in camera space should be  $\vec{X}$  in world space, also for Y,Z-axis vectors, So:

$$X_{wi} \Rightarrow \begin{bmatrix} X_x & Y_x & Z_x & 0 \\ X_y & Y_y & Z_y & 0 \\ X_z & Y_z & Z_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

6. Also we need to add the translation of the camera to the Matrix:

$$X_{wi} \Rightarrow \begin{bmatrix} X_x & Y_x & Z_x & c_x \\ X_y & Y_y & Z_y & c_y \\ X_z & Y_z & Z_z & c_z \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

7. Now we can get the inverst matrix  $X_{iw}$ :

$$X_{iw} \Rightarrow \begin{bmatrix} X_x & X_y & X_z & -X \cdot c \\ Y_x & Y_y & Y_z & -Y \cdot c \\ Z_x & Z_y & Z_z & -Z \cdot c \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

8. In this proof we know that: If we know the X,Y,Z-axis in world coordinate for a specific space, we can easily build and inverst the translation from or to that space.

This method can also be used to **proof the general rotation matrix**.

9. Orbit a Model about a Point

The idea is the same as place camera.

Need to care about which space you current in!

## 4 Class8-10 Illumination and Shading

1. Global vs. Local Illumination

Global: Models indirect illumination and occlusions Local: Only models direct illumination

2. Irradiance

$$E = \int_{\Omega} I(x, \omega) cos\theta dx$$

Note:  $I(x,\omega)$  is the light intensity arriving from all directions and entering the hemisphere  $\Omega$  over unit serface area.

Also we only care the vertical(normal) part of the light, we dismiss all lights parallel to the surface by using  $\cos \theta$ 

3. Simplified lighting:

Assume all lights are distant-point light.

- Source have **uniform** intensity distribution
- Neglect distance fallout
- Direction to source is constant within scene
- Using 2 parameters to define a light:
   direction(x, y, z) vector from surface to light source
   intensity(r, g, b) of the light
- 4. specular reflection and diffuse reflection
  - Color shift by attenuation of RPG components for all reflection
  - Specular Reflection Model(View-Dependent):

$$L_{j}(V) = L_{e} \cdot K_{s} \cdot (V \cdot R)^{spec}$$

$$R = 2(N \cdot L)N - L$$

Note: *V* and *R* should be normalized.

Direction: Reflection occurs mainly in the "mirror" R direction, but there is some spread in similar directions V.

*spec* controls the distribution of intensity about R. Higher value of *spec* make the surface smoother  $K_s$  controls the color attenuation of Surface.

• Diffuse Reflection Models(View-Independent):

$$L_i = L_e \cdot K_d(L \cdot N)$$

Direction: All **output** directions are the same. But we only care vertical **input** light.

L and N should be normalized.

 $K_d$  is the surfface attenuation component.

Ambient Light

$$L_i = L_a \cdot K_a$$

Direction: All input and output directions are the same.

Only one ambient light is needed and allowed.

• Complete Shading Equation:

$$Color = (K_s \sum L_e \cdot (V \cdot R)^{spec}) + (K_d \sum L_e \cdot (L \cdot N)) + (L_a \cdot K_a)$$

5. Detail about HW4(Lighting Implementation)

- $\vec{L}$  denotes the direction to a infinity-far point-light source
- $\vec{E}$  denotes the camera direction. If camera is far away,  $\vec{E}$  is constant(In HW4.)
- $\vec{N}$  is specified at triangle vertices.
- $\vec{R}$  must be computed for each lighting calculation (at **a point**). Calculation of  $\vec{R}$ :

$$\vec{R} = 2(\vec{N} \cdot \vec{L})\vec{N} - \vec{L}$$

Avoiding sqrt-root in this calculation

• Choosing a Shading Space: Wee need all  $\vec{L}, \vec{E}, \vec{N}, \vec{R}$  in some affine(pre-perspective) space Suggest use **Image Space** for HW4.

**Model space** is also a reasonable choice since Normal vectors are already in that space. This is most **efficient**!

• Image Space Lighting (ISL)

Create a Transformation stack from model space to image space.

Need to normalized the Scale and delete translation for each matrix, only maintain the rotation, before push into this stack!

• Check the sign of  $\vec{N} \cdot \vec{E}$  and  $\vec{N} \cdot \vec{L}$ :

Both positive: Compute lighting model.

Both negative: **Flip normal**( $\vec{N}$ ) and compute lighting model.

Different sign: Skip it.

- Check the sign of  $\vec{R} \cdot \vec{E}$ : If negative, set to 0.
- **Check** color overflow(> 1.0): Set to 1.
- Compute Color at all pixels:

Per Face - flat shading

Per Vertex - interpolate vertex colors, Gouraud Shading(specular highlights are undersampled, aliased).

**Per Pixel** - interpolate normals, Phong Shading (Expensive computation, but better sampling)

Set Shading Modes Parameter for different lighting calculation.

• Pitfall in Phong Interpolation:

Need to **normalize** the interpolation normal vector.

### 4.1 Class 10: Something More About Shading

1. Non-Uniform Scaling:

A non-uniform scaling alters the relationship between the surface orientation and the Normal Vector.

So we **cannot** use the same matrix M for transformation of the Normals and the vertex coordinates.

We can fix this by using a different transformation Q = f(M) for transforming the Normals.

2. How to create a matrix for Normals:

In HW4, We create a matrix dismiss all scale matrix.

For Detail:

As the definition of Normals:

$$\vec{N}^T \cdot \vec{P} = 0$$

After include the transform matrix:

$$(Q\vec{N})^T\cdot (M\vec{P})=0$$

By Definition of Matrix Multiplyer:

$$\vec{N}^T \cdot Q^T \cdot M \cdot \vec{P} = 0$$

Since we already know  $\vec{N}^T \cdot \vec{P} = 0$  we only need the inner part equal to identity matrix:

$$Q^T \cdot M = I, Q = (M^{-1})^T$$

Note that: If we only used uniform scaling: S = I after normalization.

If we compute Q for each M pushed on the  $X_{im}$  transform stack, the resulting  $X_n$  stack has Q and therefore allows non-uniform scaling.

3. Model Space Lighting(MSL):

Only need to transform Global lighting parameters once per models.

Also need to transform Eye/camera direction into model space.

## 5 Class 11-13: Texture Mapping

### 5.1 Screen-Space Parameter Interpolation

- 1. In Z-buffer interpolation, we know that linear interpolation for z is **wrong in image space**, we need to interpolate in **perspective space**.
- 2. Accurate interpolation of RGB color or Normal vectors should also take perspective into account. But we can ignore the color and normal interpolation error.
- 3. Interpolation for **Texture Function**: checkerboard Example: Using Linear Interpolation for *u&v* is also wrong!
- 4. How to compute perspective-correct interpolation of u, v at each pixel.
  - For each parameter P, we used  $P^s$  to denote the value in perspective space.
  - Note that: For Z interpolation  $V_z^s = \frac{V_z}{\frac{V_z}{d}+1} = \frac{V_z \cdot d}{V_z + d}$
  - Rescale  $V_z^s$  to  $V_z^s \in [0, Z_{max}]$

$$V_z^s = \frac{V_z \cdot d}{V_z + d} \cdot \left(\frac{Z_{max}}{d}\right) = \frac{V_z \cdot Z_{max}}{V_z + d}$$

• We can also get the invert equation:

$$V_z = \frac{V_z^s \cdot d}{Z_{max} - V_z^s}$$

• For parameter from image space to perspective space:

$$P^s = \frac{P}{\frac{V_z}{d} + 1} = \frac{Pd}{V_z + d}$$

• Also we can get inver equation:

$$P = \frac{P^s(V_z + d)}{d}$$

• We don't have  $V_z$  but we already calculated  $V_z^s$  in HW2, so we can used that:

$$P^{s} = \frac{P}{(\frac{V_{z}^{s}}{Z_{max} - V_{z}^{s}} + 1)}$$

$$P = P^s \cdot \frac{V_z^s}{Z_{max} - V_z^s} + 1$$

- Note that we only have  $V_z^s$  and  $Z_{max}$  in this equation that we already know the value, we don't need to care d and some other parameter.
- We used  $V'_z = \frac{V_z^s}{Z_{max} V_z^s}$  to simplify the equation:

$$P^s = \frac{P}{V_z' + 1}$$

$$P = P^s \cdot (V_z' + 1)$$

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5. The Step for Parameter interpolation:

Get  $V_7^p$  for each vertex.

Transform P to perspective space  $P^s$  for each vertex.

Interpolate  $V_7^p$  for each pixel.

Interpolate  $P^s$  for each pixel.

Transform  $P^z$  back to P by using  $V_z^p$  for each pixel.

### 5.2 Texture

- 1. Scale *u*, *v* to Texture Image Size:
  - (u, v) coords range over [0, 1]

2D Image is a pixel array of xs - 1, ys - 1

But u \* (xs - 1) might not be Integer so we need to interpolate the color for non-Integer (u, v) coordinate from nearest 4 Integer point.

$$Color(p) = (1 - s)(1 - t)A + s(1 - t)B + stC + (1 - s)tD$$

- 2. For Phong Shading, using texture function f(u, v) to replace  $k_d$  and  $k_a$
- 3. For Gouraud Shading, using f(u, v) to replace all  $k_s$ ,  $k_d$  and  $k_a$
- 4. Procedural Texture
- 5. Bump Texture:
  - Alter normals at each pixel to create bump.
  - Normal Perturbation( $\vec{P}$ ): N' = N + P,  $\vec{P}$  should be in the same space as N. But  $\vec{P}$  should not be in model space.
  - Better spaces for  $\vec{P}$  are Surface Coordinates, Tangent Space.
- 6. Surface Coordinates:
- 7. Normal Space encodes the surface normal rather than perturbation.
- 8. Noise Texture:
  - Perlin Noise:(Ref(Chinese): https://www.cnblogs.com/leoin2012/p/7218033.html
  - Input: (x, y, z) for 3D and (u, v) in 2D
  - Output: double value between 0 and 1
  - We have 2 Pseudo Random Grid for each Integer Point(x,y,z are integers):
    - Noise Matrix(*d*): The color of Point for noise
    - Gradient Matrix(g): A random unit vector for each Point
  - For each input vector(u, v), if (u, v) isn't Integer, we found 4-corners Integer Point: (i, j), (i + 1, j), (i, j + 1), (i + 1, j + 1)
  - For each Integer Point, we use **dot product** of distant vector(from (u, v) to Integer Point) and gradient vector to get the noise value.
  - In perlin noise every interpolation is in 1-D. So 2-D need first interpolate y-axis(twice) and then interpolate x-axis. 3-D need 7 interpolation. We used linear-interpolation in slides but we can use **Fade** function(easy curves) for better interpolation.
  - Turbulence: Sum noise with diminishing ampitude:

$$turbulence(x) = \sum_{k=0}^{i=0} \frac{1}{2^{i}} |noise(2^{i}x)|$$

- 9. Evironment(Reflection) Mapping:
  - Basic Idea: During rendering, compute the reflection of Eye vector(not the light vector)
  - Ignore the position of surface point in scene. We assume all points are on center point of the scene.
  - Light and scenery are all merge into environment texture.
  - No object inter-reflection or shadow
  - Blur texture to simulate diffuse reflection
  - Sharp texture to simulate specular reflection
- 10. Cube Map:
  - Transform each Eye reflection vector R back to world space

- Find Max component: indicated which face of cube it would intersect
- Compute intersection of R with cube face:

Move all Reflection ray tail to center of cube. Rescale the max component(for example *y*) of vector R to 1.0.

The other 2 component(x, z) indicate the texture-pixel.

#### 11. Refraction Map:

- Use Snell's law to compute refraction vector
- Color aberration simulated with  $f(\lambda)$  refraction angle for multiple color bands

### **5.3** Implementation Of Texutre(HW5)

1. Step1: Texture coordinates: surface point  $\rightarrow (u, v)$ 

Input: vertex in image space

Output: (u, v)

2. Step2:  $(u, v) \rightarrow RGB$  color

Input: (u, v) Output: RGB color from image LUT

- 3. Interpolation of (u, v) need to be in perspective space.
- 4. Interpolation of 4-corner for non-Integer (u, v) is needed.

## 6 Class14-16 Antialiasing

### 6.1 The Source of Aliasing

- 1. Quantization error arise from insufficient accuracy of sample
- 2. Aliasing error arise from insufficient samples
- 3. Nyquist Theorem: Sample at least twice the rate of highest frequency present in the signal.
  - f(t) filtered for cutoff freq  $\omega_F$  (Remove high frequencies before sampling)
  - Sample Rate  $\frac{1}{T_0}$  is greater than  $2\omega_F$

Reconsturct(interpolate) with sinc function

4. Solution: Band-limit the input signal before sampling.

### **6.2** Implement Antialiasing(HW6)

- 1. Antialiasing by jitter supersampling
- 2. Sample a pixel several with different center and weight

### **6.3** Texture Antialiasing

- 1. Sample Rate Mismatch: Texture sampling rate generally does not match screen pixel sample rate (Texel:Pixel ratio)
- 2. Projected texture in screen image should sample near same rate(1:1) to texture map.

1 Texel: Many Pixel: No aliasing problem, But blur. Fix by using higher resolution textures.

1 Pixel: Many Texel: Aliasing problem. Fix by sample rate is twice highest freq in texture.

3. Mip Map: Pre-compute filtered version of texture image at octave scale/size intervals.

Using average color of  $2 \times 2$  texels.

The space cost is only 33% more.

Scale for each level of Mip Map:

$$Scale = \frac{dU}{dX} = \frac{dV}{dY}$$

Pixel Scale is more complex since it is non-axis-aligned(after rotation and projection):

$$PixelScale = (\frac{dU}{dX}, \frac{dU}{dY}, \frac{dV}{dX}, \frac{dV}{dY})$$

An approach to match Scale and PixelScale is choosing the highest PixelScale component.(Blur is better than aliasing!)

- 4. 3D Interpolation: If the Pixel Scale is between 2 Texel Scales, we need to interpolate between 2 texture samples.
- 5. Anisotropic Interpolation: Combine more than  $2 \times 2$  pixel in each texture samples.
- 6. Summed-Area Table(SAT):Compute a texture table T so that each texel has sum of **all texels above and left** SAT provide an approximation approach to get the avagerage color in O(1).

Note that: the texels sample might not be axis-aligned since the pixel to texel projection. But the different is strictly less than  $\frac{1}{2}$ .

## 7 Final Exam Review

### 1. Shading Equation:

$$Color = (K_s \sum L_e \cdot (E \cdot R)^{spec}) + (K_d \sum L_e \cdot (L \cdot N)) + (L_a \cdot K_a)$$

Know the meaning for every terms:

- $K_s, K_a, K_d$
- $L_e, L_a$
- S
- $\bullet$  N, L, R, E
- Equation 1:  $R = 2(N \cdot L)N L$
- 2. Shading Mode:(Flat, Gouraud, Phong)
- 3. Texture
- 4. Calculate the normal: With Non-translate and Non-scale Matrix To Image Space
- 5. Other Topic:
  - Environment Shading

### 8 BRDF

Reference for this section(In Chinese): https://blog.csdn.net/yjr3426619/article/details/81098626

#### 8.1 What is BRDF

• Basic Idea of BRDF: The reflect rate for a surface is based on input vector and camera vector.

$$f(l,v) = \frac{dL_0(v)}{dE}(ForNonPointLight) = \frac{L_o(v)}{E_L cos\theta_i}(ForPointLight)$$

- *l*: input light vector
- v: camera vector
- $E_l$ : Input Irradiance(Color\* $\pi$  in Unity)
- $\bullet$   $\theta_i$ : angle between input vector and surface normal
- Properties of f(l, v)
  - $f(l, v) \ge 0$
  - f(l,v) = f(v,l)
  - $R(l) = \int_{\phi} f(l, v) cos\theta_0 d\omega \le 1$ , Discussed later
- How to calculate color based on BRDF:
  - In Point Light, Point Camera:

$$L_0(v) = \sum_{k} f(l_k) \times E_l cos\theta_{ik}$$

- Directional-hemispherical reflectance:
  - Definition:

$$R(l) = \int_{\phi} f(l, v) cos\theta_0 d\omega$$

- $\bullet$   $\phi$  is the hemispherical in surface normal half
- $\theta_0$  is the angel between camera view and surface normal
- ullet  $\omega$  is the space angle
- $\bullet$  Basically, R(l) is **the sum of the (directional) energy** of all output light in hemisphere.
- The output energy should be equal or less than the input energy so:

$$R(l) \leq 1$$

#### 8.2 How to calculate BRDF

#### 8.2.1 From Lambertian Model

- Lambertian Model: Only Diffuse Color, No Specular Color. All output direction are the Same.
- In this model, we assume the output energy is part of input energy. So We can build this equation:

$$R(l) = C_{diff}$$

So:

$$C_{diff} = R(l) = \int_{\phi} f(l, v) cos\theta_0 d\omega$$

We know f(l, v) and  $C_{diff}$  are both constant number:

$$f(l,v) \cdot \int_{\phi} cos\theta_0 d\omega = C_{diff}$$
  
$$f(l,v) = \frac{C_{diff}}{\pi}$$

#### 8.2.2 A little bit harder: Phong Model

• We assume the ambient light equal to 0 then the shading equation is:

$$L_0 = (cos\theta_i * C_{diff} + (cos\alpha_r)^{spec} * C_{spec}) \times B_L$$

- first part is Lambertian model
- $\bullet$   $\alpha_r$  is the angel between reflection vector and camera vector
- And we have shading equation in BRDF format:

$$L_0(v) = f(l, v) \times E_l cos \theta_{ik}$$

• So we have a basic equation for f(l, v) that:

$$f(l,v) = \frac{C_{diff}}{\pi} + \frac{(cos\alpha_r)^{spec} * C_{spec}}{\pi * cos\theta_i}$$

- Notices that: If we need to normalize this equation, let  $R_{spec}(l) = C_{spec}$
- First: When  $\theta_i = \frac{\pi}{2}$ ,  $f(l, v) = +\inf$ , which is implausible, so we times  $\cos \theta_i$  and a constant k:

$$f_{spec}(l, v) = k * \frac{(cos\alpha_r)^{spec} * C_{spec}}{\pi}$$

• Second:

$$C_{spec} = R(l) = \int_{\phi} k * f_{spec}(l, v) cos\theta_0 d\omega = k * C_{spec}/\pi * \int_{\phi} (cos\alpha_r)^{spec} cos\theta_0 d\omega$$

• It's very hard to do this calculation, by experience we can get:

$$k = \frac{spec + 2}{2}$$

• So in Phong Model:

$$f(l,v) = \frac{C_{diff}}{\pi} + \frac{(spec + 2)C_{spec} * (cos\alpha_r)^{spec}}{2\pi}$$

### 8.2.3 A little bit more harder: Blinn-Phong Model

• We define  $\vec{H}$  is the Halfway-Vector(Normalize the vector of the middle point) of input vector and camera vector.

$$H = \frac{L + V}{|L + V|}$$

• Shading equation:

$$L_0 = (cos\theta_i * C_{diff} + (cos\beta)^{spec} * C_{spec}) \times B_L$$

 $\beta$  is the angel between H and surface normal vector.

• Follow the step in Phong Model:

$$k = \frac{spec + 8}{8}$$

• And:

$$f(l,v) = \frac{C_{diff}}{\pi} + \frac{(spec + 8)C_{spec} * (cos\beta)^{spec}}{8\pi}$$

#### 8.2.4 Microfacet Model in Disney Paper

• Equation First:

$$f(l,v) = diffuse + \frac{D(\theta_h)F(\theta_d)G(\theta_l,\theta_v)}{4cos\theta_l cos\theta_v}$$

- Microfacet Model: The surface is an aggregate of many microfacet, each microfacet have different normal and you can only see part of the microfacet, and some microfacet might be blocked by others.
- How many microfacets you can see is define by  $D(\theta_h)$
- How many microfacets is blocked by other microfacets is define by  $G(\theta_l, \theta_v)$
- The fraction of reflaction energy in the total input energy is define by  $F(\theta_d)$
- $\frac{1}{4\cos\theta_l\cos\theta_v}$  is a normalize factor.

### 8.2.5 BRDF With Physics Based Render

- In Disney's paper Section 5.3 5.6, it present how roughness can affect diffuse function and G function.
- Diffuse Function:

$$diffuse = \frac{baseColor}{\pi} (1 + (F_{D90} - 1)(1 - cos\theta_l)^5)(1 + (F_{D90} - 1)(1 - cos\theta_v)^5)$$

$$F_{D90} = 0.5 + 2 * \cos\theta_d^2 * roughness$$

• D Function:

$$D_G TR = \frac{c}{(\alpha^2 cos^2 \theta_h + sin^2 \theta_h)^{\gamma}}$$
$$\alpha = roughness^2$$

• F Function:

$$F_{Schlick} = F_0 + (1 - F_0)(1 - \cos\theta_d)^5$$

• G Function: Using GGX Function

Reference: Microfacet Models for Refraction through Rough Surfaces

$$\alpha_g = (0.5 + roughness/2)^2$$

## 9 Next Step:

- Build the BRDF shading function in unity.
- Find a better diffuse, D,F,G Function
- Put some new variable into diffuse,D,F,G

## 10 finalEquation

$$f_{disneyBRDF}(\vec{L}, \vec{N}, \vec{V}) = (1 - metallic)(\frac{basecolor}{\pi}(f_d * (1 - subsurface) + f_{ss} * subsurface) + f_{sh})$$

$$+ \frac{F_s * G_s * D_s}{4 * \vec{N} \cdot \vec{L} * \vec{N} \cdot \vec{V}} + \frac{clearcoat}{4} * \frac{F_c * G_c * D_c}{4 * \vec{N} \cdot \vec{L} * \vec{N} \cdot \vec{V}}$$

$$f_d = (1 + (F_{D90} - 1)(1 - \vec{N} \cdot \vec{L})^5)(1 + (F_{D90} - 1)(1 - \vec{N} \cdot \vec{V})^5)$$

$$F_{D90} = 0.5 + 2 * \cos^2(\vec{N} \cdot \vec{H}) * roughness$$

$$f_{ss} = 1.25(((1 + (F_{ss90} - 1)(1 - \vec{N} \cdot \vec{L})^5)(1 + (F_{ss90} - 1)(1 - \vec{N} \cdot \vec{V})^5))(\frac{1}{\vec{N} \cdot \vec{L} + \vec{N} \cdot \vec{V}} - 0.5) + 0.5)$$

$$F_{ss90} = \cos^2(\vec{N} \cdot \vec{H}) * roughness$$

$$f_{sh} = (white * (1 - sheenTint) + \frac{baseColor}{lum(baseColor)} * sheenTint) * sheen * (1 - \vec{N} \cdot \vec{H})^5$$

$$C_{tint} = \frac{baseColor}{lum(baseColor)}$$

$$F_s = C_s + (1 - C_s)(1 - \vec{N} \cdot \vec{H})^5$$

$$C_s = (1 - metallic) * 0.08specular((1 - specularTint)white + specularTint \frac{baseColor}{lum(baseColor)}) + metallic * baseColor$$

$$G_s = \frac{1}{\vec{N} \cdot \vec{V} + \sqrt{\frac{2}{G_s} + (\vec{N} \cdot \vec{V})^2 - \alpha_G(\vec{N} \cdot \vec{V})}}$$

$$\alpha_G = \frac{1 + roughness^2}{2}$$

$$D_s = \frac{1}{\pi * roughness^4 * ((\frac{\vec{M} \cdot \vec{X}}{roughness^2/a} + \frac{\vec{M} \cdot \vec{X}}{roughness^2 + a})^2 + (\vec{N} \cdot \vec{H})^2)^2}$$

$$a = \sqrt{1 - 0.9 * anisotropic}$$

$$F_c = 0.04 + 0.96 * (1 - \vec{V} \cdot \vec{H})^5$$

$$O_c = \frac{1}{\vec{N} \cdot \vec{V} + \sqrt{(0.5 + roughness * 0.5)^4 + (\vec{N} \cdot \vec{V})^4 - (0.5 + roughness * 0.5)^2 * (\vec{N} \cdot \vec{V})^2}$$

### 10.1 FullyExpent

 $f_{disneyBRDF}(\vec{L}, \vec{N}, \vec{V}) = (1 - metallic)(\frac{basecolor}{\pi}(((1 + ((0.5 + 2*\cos^2(\vec{N} \cdot \vec{H}) * roughness) - 1)(1 - \vec{N} \cdot \vec{L})^5)(1 + ((0.5 + 2*\cos^2(\vec{N} \cdot \vec{H}) * roughness) - 1)(1 - \vec{N} \cdot \vec{L})^5)(1 + ((0.5 + 2*\cos^2(\vec{N} \cdot \vec{H}) * roughness) - 1)(1 - \vec{N} \cdot \vec{V})^5))*(1 - subsurface) + (1.25(((1 + (\cos^2(\vec{N} \cdot \vec{H}) * roughness - 1)(1 - \vec{N} \cdot \vec{L})^5)(1 + (\cos^2(\vec{N} \cdot \vec{H}) * roughness - 1)(1 - \vec{N} \cdot \vec{V})^5))(\frac{1}{\vec{N} \cdot \vec{L} + \vec{N} \cdot \vec{V}} - 0.5) + 0.5))*subsurface) + ((white * (1 - sheenTint) + \frac{baseColor}{lum(baseColor)}) * sheen*(1 - \vec{N} \cdot \vec{H})^5)) + (1 - metallic) * 0.08specular((1 - specularTint) white + specularTint \frac{baseColor}{lum(baseColor)}) + metallic * baseColor + (1 - (1 - metallic) * 0.08specular((1 - specularTint) white + specularTint \frac{baseColor}{lum(baseColor)}) + metallic * baseColor)(1 - \vec{N} \cdot \vec{H})^5 * \frac{1}{\vec{N} \cdot \vec{V} + \sqrt{\frac{1 + roughness^2}{2} + (\vec{N} \cdot \vec{V})^2 - \frac{1 + roughness^2}{2} (\vec{N} \cdot \vec{V})}} * (\frac{1}{\pi * roughness^4 * ((\frac{\vec{H} \cdot \vec{X}}{roughness^2} \sqrt{1 - 0.9*anisotropic} + \frac{\vec{H} \cdot \vec{Y}}{roughness^2} \sqrt{1 - 0.9*anisotropic}})^2 + (\vec{N} \cdot \vec{H})^2)^2})/(4 * \vec{N} \cdot \vec{L} * \vec{N} \cdot \vec{V}) + \frac{clearcoat}{4} * (0.04 + 0.96 * (1 - \vec{V} \cdot \vec{H})^5)/(\vec{N} \cdot \vec{V} + \sqrt{(0.5 + roughness * 0.5)^4 + (\vec{N} \cdot \vec{V})^4 - (0.5 + roughness * 0.5)^2 * (\vec{N} \cdot \vec{V})^2) *} * (0.1 - 0.09clearCoatGloss)^2 - 1)/(2\pi \ln(0.1 - 0.09clearCoatGloss) * ((0.1 - 0.09clearCoatGloss)^2 * (\vec{N} \cdot \vec{V})^2) + (1 - (\vec{N} \cdot \vec{H})^2))))/(4 * \vec{N} \cdot \vec{L} * \vec{N} \cdot \vec{V})$