

# Computer Graphic Note

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## 1 Class 1: Overview

### 1. Frame Buffer

- Pixel: One element of a frame buffer
- Pixel depth: Number of bytes per-pixel in the buffer
- Resolution: Width  $\times$  Height
- Buffer size: Total memory allocated for frame buffer
- **Exam Question:** Given Resolution and Pixel depth, Asked Buffer size
- Z Value: For solving the hidden-surface removal(HSR) problem

## 2 Class 2,3: Rasterization

1. For each pixel on screen, the sample point of that pixel is the center point of that pixel, which have **integer** coordinates. For examples, (3, 2).
2. A simple problem: Rasterizing Lines

**Program Description:** Given two endpoints,  $P = (x_0, y_0)$ ,  $R = (x_1, y_1)$  find the pixels that make up the line.

**Note that:** Lines are infinitely thin so they rarely fall on pixel sample point.

**A Feasible Description:** Rasterize lines as **closest** pixels to actual lines, with 2 requirement

- No Gap
- Minimize error(distance to true line)

**To make this question simplify:** Only consider situation that  $|x_1 - x_0| \geq |y_1 - y_0| \geq 0 \wedge |x_1 - x_0| \neq 0$ , which means the  $-1 \leq slope \leq 1$ . Otherwise we just exchange  $x$  and  $y$ .

**A basic Algorithm:**  $k = \frac{y_1 - y_0}{x_1 - x_0}$ ,  $d = y_0 - kx_0$ , for each  $x_0 \leq x \leq x_1$ ,  $y = ROUND(kx + d)$ . This method by brute force is inefficient because of the multiplication and the function  $ROUND()$ .

**Basic Incremental Algorithm:** for each  $x_0 \leq x_i < x_{i+1} \leq x_1$ ,  $y_{i+1} = y_i + k$ , However, the successive addition of a real number can lead to a **cumulative error buildup!**

**Midpoint Line Algorithm:**

- For  $0 \leq k \leq 1$
- For one approximate point  $P = (x, y)$ , we only have 2 choices for the next point  $E = (x + 1, y)$  and  $NE = (x + 1, y + 1)$ , we should choose the one which is closer to  $k(x + 1) + d$
- Calculate the middle point  $M = (x + 1, y + \frac{1}{2})$
- If the **Intersection point**  $Q$  is below  $M$ , take  $E$  as next, otherwise take  $NE$  as next.
- Note that: we consider this equation:

$$f(x, y) = ax + by + c = (y_1 - y_0)x - (x_1 - x_0)y + (x_1y_0 - y_1x_0)$$

We assume  $a > 0$

- For a point  $(x, y)$ 
    - if  $f(x, y) = 0$ ,  $(x, y)$  lies on the line.
    - if  $f(x, y) < 0$ ,  $(x, y)$  lies upon the line.
    - if  $f(x, y) > 0$ ,  $(x, y)$  lies below the line.
  - So we have to test  $f(M) = a(x + 1) + b(y + \frac{1}{2}) + c = f(Former) + a + \frac{b}{2}$
  - Assume  $a > 0$ , if  $f(M) > 0$  choose  $NE$  otherwise choose  $E$
  - Update  $f(Former)$ :
    - If we choose  $E$ ,  $f(Former) = f(Former) + a$
    - If we choose  $NE$ ,  $f(Former) = f(Former) + a + b$
- Note that:  $a$  and  $b$  are **constant integer**, so here is no **cumulative error issue**

3. A harder problem: Triangles Rasterization

**Why Triangle:**

- Triangles (*tris*) are a simple explicit 3D surface representation.
- Convex and concave polygons (*polys*) can be decomposed into triangles.
- Tris are planar and unambiguously defined by three vertex(*verts*) coordinates (*coords*).

**Definition:** Find and draw **pixel** samples **inside** *tri* edges and interpolate parameters defined at *verts*

4. **Rasterization and Hidden Surface Removal(HSR) Algorithm Classes:**

- Image order rasterization: ray tracing/ ray casting  
traverse pixel, process each in world-space

transform rays from image-space to world-space

- Object order rasterization: scan-line / LEE  
traverse triangles, process each in image-space  
transform objects from model-space to image-space

#### 5. LEE Linear Expression Evaluation Algorithm:

- We already discussed in *Midpoint Line Algorithm* that how to determine a point is on the left(up) or right(below) the line, just *a quick review here*:  
Assume the line have a positive *slope*  
For an Edge Equation  $E$ , for point  $(x, y)$ :  $E(x, y) = dY(x - X) - dX(y - Y)$   
if  $E(x, y) = 0$ ,  $(x, y)$  lies on the line.  
if  $E(x, y) < 0$ ,  $(x, y)$  lies right(below) the line.  
if  $E(x, y) > 0$ ,  $(x, y)$  lies left(up) the line.
- For **Rasterization**:  
Compute LEE result for all three edges.  
Pixels with **consistent sign** for all three edges are inside the *tri*.  
Include **edge pixels** on left or right edges.
- LEE need to check every pixel in the bounding box.
- LEE is very good in parallel(SIMD) system.
- Furthermore: Given 3 random *verts* how to find CW edge cycle  
Determine L/R and Top/Bot edges for edge-pixel ownership

#### 6. Scan Line Rasterizer:

- Sort verts by Y
- Setup edge DDAs for edges
- Sort edges by L or R(The long edge on left or right)
- Start from Top Vertice, and switch DDA when hit the middle vertice.

#### 7. Interpolate Z:

A general 3D plane equation has 4 terms:  $Ax + By + Cz + D = 0$   
 $(A, B, C)$  is the normal of that plane, so  $(X, Y, Z)_0 \times (X, Y, Z)_1 = (A, B, C)$   
Then plug any vertex coord into equation and solve for  $D$ .  
Given  $(A, B, C, D)$  and any point  $(x, y)$  can solve  $z$

#### 8. Used Z-buffer to remove hidden surfaces

Initial Z-buffer to MAXINT at the begining of every frame  
Interpolate vertex  $Z$  values to get  $Z_{pix}$   
Only write new pixel to the buffer if  $Z_{pix} < Z_{buffer}$   
Notice that  $Z$  should always **bigger or equal to zero!**

#### 9. Hidden Line Removal(HLR):

Simple z-buffer does not work when the render only draws edge(outlines of polygons).  
Need edge-crossing and object sorting methods.

#### 10. Painter's Algorithm: render in order front to back

A object is in front of another object means:  
 $Z$  of all verts of one object is less than the other.  
This algorithm not work if  $Z$ -sort is ambiguous.

#### 11. Warnock Algorithm:

Subdivide screen until a leaf region has a simple front/back relationship.  
Leaf regions have one or zero surfaces visible, and the smallest region is usually a pixel

Usually use quad tree subdivision

## 12. BSP-Tree:

View-Independent binary tree(pre-calculated) allows a view-dependent front-to-back or back-to-front traversal of surfaces.

Use Painter Algorithm to do back-to-front traversal.

Useful for **transparency** - full depth-sort of all surface.

## 13. Culling:

- Culling with portals: pre-compute the invisible part.
- Culling by View Frustum: Skip a triangle iff all its vertices are beyond **the same screen edge!**  
**Pitfall:** If the vertices are beyond different edge, some part of the *tri* might still in the screen. Image a giant *tri* that cover the whole screen.
- Backface Culling: For **closed(water-tight)** objects, surfaces with **oriented-normals facing away** from the camera are never visible.  
**Pitfall:** BF Culling only work for water-tight object!
- Frustum: Only visible triangles are drawn into the frame buffer.

### 3 Class 4,5,6,7: Transformations

- Linear transformations (*Xforms*) define a mapping of coordinates (*coords*) in one coordinate frame to another.

$$V_b = X_{ba} V_a$$

- Homogeneous Vector** ( $V$ ) is  $4 \times 1$  columns  $(x, y, z, w)^T$
- Homogeneous Transforms** ( $X$ ) is  $4 \times 4$  matrix
- From Homogeneous Vector to 3D Vector:

$$x = \frac{x}{w}, y = \frac{y}{w}, z = \frac{z}{w}$$

- Why** we use Homogeneous Vector?

We want to uniform the transform matrix including translation, scaling, rotation in the same form of matrix.

#### 3.1 Transformation Matrix

- Translation:

$$T(t_x, t_y, t_z) \Rightarrow \begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix}, T^{-1}(t_x, t_y, t_z) = T(-t_x, -t_y, -t_z)$$

- Scaling:

$$S(s_x, s_y, s_z) \Rightarrow \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}, S^{-1}(s_x, s_y, s_z) = S\left(\frac{1}{s_x}, \frac{1}{s_y}, \frac{1}{s_z}\right)$$

- Rotation, CCW:

$$R_x(\theta) \Rightarrow \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos\theta & -\sin\theta & 0 \\ 0 & \sin\theta & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}, R_x^{-1}(\theta) = R_x^T(\theta)$$

$$R_y(\theta) \Rightarrow \begin{bmatrix} \cos\theta & 0 & \sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\theta & 0 & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}, R_y^{-1}(\theta) = R_y^T(\theta)$$

$$R_z(\theta) \Rightarrow \begin{bmatrix} \cos\theta & -\sin\theta & 0 & 0 \\ \sin\theta & \cos\theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}, R_z^{-1}(\theta) = R_z^T(\theta)$$

- Pitfall: commutative property is for S,R only.

Here assume **uniform scaling** in all dimensions.

If S is not an uniform scaling matrix. S,R don't have commutative property.

## 3.2 Spaces Transformation

### 1. NDC to Output Device

$$X_{sp} \Rightarrow \begin{bmatrix} \frac{xs}{2} & 0 & 0 & \frac{xs}{2} \\ 0 & -\frac{ys}{2} & 0 & \frac{ys}{2} \\ 0 & 0 & MAXINT & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Note that:

Output Device is **RH coords** and origin in **upper left**.  $X \in [0, xs), Y \in [0, ys), Z \in [0, MAXINT]$

NDC is **LH coords** and origin at screen center.  $X, Y \in [-1, 1], Z \in [0, 1]$

### 2. Perspective Projection

$$X_{pi} \Rightarrow \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & \frac{1}{d} & 0 \\ 0 & 0 & \frac{1}{d} & 1 \end{bmatrix}$$

**What is  $d$  And Why** there are two  $\frac{1}{d}$ ?

- Assume camera is on  $(0, 0, -d)$ , perspective(also image) plane is  $z = 0$ , a object in world space is  $(X, Y, Z)$
- Defined FOV(field of view) as the angle the camera can see.
- Note:  $X \in [-1, 1]$ , so the distant( $d$ ) from Forcus point to view plane can be calculate by this equation:

$$\frac{1}{d} = \tan\left(\frac{FOV}{2}\right)$$

- Futher More: The object project to view plane can be calculate by these equations:

$$\frac{X}{Z+d} = \frac{x}{d} \Rightarrow x = \frac{X}{\frac{Z}{d}+1}$$

$$\frac{Y}{Z+d} = \frac{y}{d} \Rightarrow y = \frac{Y}{\frac{Z}{d}+1}$$

$$\frac{Z}{Z+d} = \frac{z}{d} \Rightarrow z = \frac{Z}{\frac{Z}{d}+1}$$

$$(x, y, z) = \left( \frac{X}{\frac{Z}{d}+1}, \frac{Y}{\frac{Z}{d}+1}, \frac{Z}{\frac{Z}{d}+1} \right)$$

- Write this 3D vector to Homogeneous Vector:

$$(x, y, z, w) = \left( X, Y, Z, \frac{Z}{d}+1 \right)$$

- Futher: We forcus on the **range** of Z now is  $z \in (-\infty, d)$ .

But in NDC we hope  $z \in [0, 1)$  So:

We delete all vector that  $Z < 0$ , because they cannot project to the view plane.

For  $z \geq 0$ , we define  $z' = \frac{z}{d}$

- $(x, y, z', w) = \left( X, Y, \frac{Z}{d}, \frac{Z}{d}+1 \right) = X_{pi} * (X, Y, Z, 1)$

**Pitfall: Do Z interpolation in Perspective Plane!**

**Why** we need the fareset plane?

Asymptotic curve of  $Z$  vs.  $z$ , that  $z$  increase slower when  $Z$  is large.

It might map different  $Z$  to the same  $z$

### 3.3 Camera Matrix

1. Assume camera position is  $c$ , camera look-at point is  $l$ , here  $c$  and  $l$  are both in world coordinate. And the world up vector is  $\vec{up}$
2. Camera Z-axis **in world coordinate** is

$$\vec{Z} = \frac{\vec{cl}}{\|\vec{cl}\|}$$

3. Camera Y-axis **in world coordinate** is the orthogonal(vertical) part of world-up vector to Z-axis which is

$$\vec{up}' = \vec{up} - (\vec{up} \cdot \vec{Z})\vec{Z}$$

$$\vec{Y} = \frac{\vec{up}'}{\|\vec{up}'\|}$$

4. Camera X-axis **in world coordinate** is orthogonal to both Y and Z axes. So:

$$\vec{X} = \vec{Y} \times \vec{Z}$$

5. Build the  $X_{wi}$  from camera space to world space:

X-axis vector  $[1, 0, 0]$  in camera space should be  $\vec{X}$  in world space, also for Y,Z-axis vectors, So:

$$X_{wi} \Rightarrow \begin{bmatrix} X_x & Y_x & Z_x & 0 \\ X_y & Y_y & Z_y & 0 \\ X_z & Y_z & Z_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

6. Also we need to add the translation of the camera to the Matrix:

$$X_{wi} \Rightarrow \begin{bmatrix} X_x & Y_x & Z_x & c_x \\ X_y & Y_y & Z_y & c_y \\ X_z & Y_z & Z_z & c_z \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

7. Now we can get the invert matrix  $X_{iw}$ :

$$X_{iw} \Rightarrow \begin{bmatrix} X_x & X_y & X_z & -X \cdot c \\ Y_x & Y_y & Y_z & -Y \cdot c \\ Z_x & Z_y & Z_z & -Z \cdot c \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

8. In this proof we know that: If we know the X,Y,Z-axis in world coordinate for a specific space, we can easily build and invert the translation from or to that space.

This method can also be used to **proof the general rotation matrix**.

9. Orbit a Model about a Point

The idea is the same as place camera.

Need to care about **which space** you current in!

## 4 Class8-10 Illumination and Shading

### 1. Global vs. Local Illumination

Global: Models indirect illumination and occlusions Local: Only models direct illumination

### 2. Irradiance

$$E = \int_{\Omega} I(x, \omega) \cos \theta dx$$

Note:  $I(x, \omega)$  is the light intensity arriving from all directions and entering the hemisphere  $\Omega$  over unit surface area.

Also we only care the vertical(normal) part of the light, we dismiss all lights parallel to the surface by using  $\cos \theta$

### 3. Simplified lighting:

Assume all lights are distant-point light.

- Source have **uniform** intensity distribution
- Neglect distance fallout
- Direction to source is constant within scene
- Using 2 parameters to define a light:  
*direction*( $x, y, z$ ) vector from surface to light source  
*intensity*( $r, g, b$ ) of the light

### 4. specular reflection and diffuse reflection

- Color shift by attenuation of RGB components for all reflection
- Specular Reflection Model(View-Dependent):

$$L_j(V) = L_e \cdot K_s \cdot (V \cdot R)^{spec}$$

$$R = 2(N \cdot L)N - L$$

Note:  $V$  and  $R$  should be normalized.

Direction: Reflection occurs mainly in the "mirror"  $R$  direction, but there is some spread in similar directions  $V$ .

*spec* controls the distribution of intensity about  $R$ . Higher value of *spec* make the surface smoother

$K_s$  controls the color attenuation of Surface.

- Diffuse Reflection Models(View-Independent):

$$L_j = L_e \cdot K_d(L \cdot N)$$

Direction: All **output** directions are the same. But we only care vertical **input** light.

$L$  and  $N$  should be normalized.

$K_d$  is the surface attenuation component.

- Ambient Light

$$L_j = L_a \cdot K_a$$

Direction: All input and output directions are the same.

Only one ambient light is needed and allowed.

- Complete Shading Equation:

$$Color = (K_s \sum L_e \cdot (V \cdot R)^{spec}) + (K_d \sum L_e \cdot (L \cdot N)) + (L_a \cdot K_a)$$

### 5. Detail about HW4(Lighting Implementation)



- $\vec{L}$  denotes the direction to a infinity-far point-light source
- $\vec{E}$  denotes the camera direction. If camera is far away,  $\vec{E}$  is constant(In HW4.)
- $\vec{N}$  is specified at triangle vertices.
- $\vec{R}$  must be computed for each lighting calculation (at **a point**).

Calculation of  $\vec{R}$ :

$$\vec{R} = 2(\vec{N} \cdot \vec{L})\vec{N} - \vec{L}$$

Avoiding sqrt-root in this calculation

- Choosing a Shading Space: We need all  $\vec{L}, \vec{E}, \vec{N}, \vec{R}$  in some affine(pre-perspective) space  
Suggest use **Image Space** for HW4.  
**Model space** is also a reasonable choice since Normal vectors are already in that space. This is most **efficient!**
- **Image Space Lighting (ISL)**  
Create a Transformation stack from model space to image space.  
Need to **normalized the Scale and delete translation** for each matrix, **only maintain the rotation**, before push into this stack!
- **Check** the sign of  $\vec{N} \cdot \vec{E}$  and  $\vec{N} \cdot \vec{L}$ :  
Both positive: Compute lighting model.  
Both negative: **Flip normal**( $\vec{N}$ ) and compute lighting model.  
Different sign: Skip it.
- **Check** the sign of  $\vec{R} \cdot \vec{E}$ : If negative, set to 0.
- **Check** color overflow(> 1.0): Set to 1.
- **Compute Color** at all pixels:  
**Per Face** - flat shading  
**Per Vertex** - interpolate vertex colors, Gouraud Shading(specular highlights are undersampled, aliased).  
**Per Pixel** - interpolate normals, Phong Shading (Expensive computation, but better sampling)  
Set **Shading Modes Parameter** for different lighting calculation.
- **Pitfall in Phong** Interpolation:  
Need to **normalize** the interpolation normal vector.

## 4.1 Class10: Something More About Shading

### 1. Non-Uniform Scaling:

**A non-uniform scaling alters the relationship between the surface orientation and the Normal Vector.**

So we **cannot** use the same matrix M for transformation of the Normals and the vertex coordinates.

We can fix this by using a different transformation  $Q = f(M)$  for transforming the Normals.

### 2. How to create a matrix for Normals:

In HW4, We create a matrix **dismiss all** scale matrix.

For Detail:

As the definition of Normals:

$$\vec{N}^T \cdot \vec{P} = 0$$

After include the transform matrix:

$$(Q\vec{N})^T \cdot (M\vec{P}) = 0$$

By Definition of Matrix Multiplier:

$$\vec{N}^T \cdot Q^T \cdot M \cdot \vec{P} = 0$$

Since we already know  $\vec{N}^T \cdot \vec{P} = 0$  we only need the inner part equal to identity matrix:

$$Q^T \cdot M = I, Q = (M^{-1})^T$$

Note that: If we only used uniform scaling:  $S = I$  after normalization.

If we compute  $Q$  for each  $M$  pushed on the  $X_{im}$  transform stack, the resulting  $X_n$  stack has  $Q$  and therefore allows non-uniform scaling.

### 3. Model Space Lighting(MSL):

Only need to transform Global lighting parameters once per models.

Also need to transform Eye/camera direction into model space.

## 5 Class 11-13: Texture Mapping

### 5.1 Screen-Space Parameter Interpolation

1. In Z-buffer interpolation, we know that linear interpolation for  $z$  is **wrong in image space**, we need to interpolate in **perspective space**.
2. Accurate interpolation of RGB color or Normal vectors should also take perspective into account.  
But we can ignore the color and normal interpolation error.
3. Interpolation for **Texture Function**: checkerboard Example: Using Linear Interpolation for  $u$  &  $v$  is also wrong!
4. How to compute perspective-correct interpolation of  $u, v$  at each pixel.

- For each parameter  $P$ , we used  $P^s$  to denote the value in perspective space.
- Note that: For Z interpolation  $V_z^s = \frac{V_z}{\frac{V_z}{d} + 1} = \frac{V_z \cdot d}{V_z + d}$
- Rescale  $V_z^s$  to  $V_z^s \in [0, Z_{max}]$

$$V_z^s = \frac{V_z \cdot d}{V_z + d} \cdot \left(\frac{Z_{max}}{d}\right) = \frac{V_z \cdot Z_{max}}{V_z + d}$$

- We can also get the invert equation:

$$V_z = \frac{V_z^s \cdot d}{Z_{max} - V_z^s}$$

- For parameter from image space to perspective space:

$$P^s = \frac{P}{\frac{V_z}{d} + 1} = \frac{Pd}{V_z + d}$$

- Also we can get inver equation:

$$P = \frac{P^s(V_z + d)}{d}$$

- We don't have  $V_z$  but we already calculated  $V_z^s$  in HW2, so we can used that:

$$P^s = \frac{P}{\left(\frac{V_z^s}{Z_{max} - V_z^s} + 1\right)}$$

$$P = P^s \cdot \frac{V_z^s}{Z_{max} - V_z^s} + 1$$

- Note that we only have  $V_z^s$  and  $Z_{max}$  in this equation that we already know the value, we don't need to care  $d$  and some other parameter.
- We used  $V_z' = \frac{V_z^s}{Z_{max} - V_z^s}$  to simplify the equation:

$$P^s = \frac{P}{V_z' + 1}$$

$$P = P^s \cdot (V_z' + 1)$$

5. The Step for Parameter interpolation:

Get  $V_z^P$  for each vertex.

Transform  $P$  to perspective space  $P^s$  for each vertex.

Interpolate  $V_z^P$  for each pixel.

Interpolate  $P^s$  for each pixel.

Transform  $P^s$  back to  $P$  by using  $V_z^P$  for each pixel.

## 5.2 Image Texture

1. Scale  $u, v$  to Texture Image Size:

$(u, v)$  coords range over  $[0, 1]$

2D Image is a pixel array of  $xs - 1, ys - 1$

But  $u * (xs - 1)$  might not be Integer so we need to interpolate the color for non-Integer  $(u, v)$  coordinate from nearest 4 point.

$$Color(p) = (1 - s)(1 - t)A + s(1 - t)B + stC + (1 - s)tD$$