# The non-independence of variants in judgment data

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(Joint work with Daniel Ezra Johnson)

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# Linguistic variants

- Contexts where there is "more than one way of saying the same thing". A many-to-one mapping of form to meaning.
- (1)  $walking [n] \sim [\mathfrak{g}]$
- (2) a. Lo queremos ver. it want.1PL see.INFIN 'We want to see it.'
  - b. Queremos verlo.

1. Intro.

- A standard assumption in the Labovian lang. change literature is that linguistic variants are interdependent in speakers' probabilistic knowledge (Cedergren & Sankoff 1974, Sankoff & Labov 1979, Kroch 1989, 2001).
- Required in models of production data, where, for a context with n variants, the probability of use of variant n  $(v_n)$  will be

$$1 - \sum_{i=1}^{n-1} Pr(v_i)$$

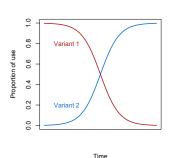


Figure 1: Change in usage of two variants



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- Recent results suggest that that acceptability judgments for competing variants closely mirror usage frequencies (Manning, 2003; Bresnan and Ford, 2010; Bader and Häussler, 2010; Melnick et al., 2011).
- A question that arises in this light is whether acceptability of competing variants show interdependence in designs in which judges evaluate variants independently.

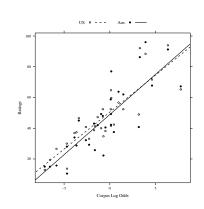


Figure 2: Mean PP-frame ratings for 30 items by corpus log odds (Bresnan and Ford, 2010)

- To test this, we need to examine two variables (between- or within-subjects) for which we have good theoretical reasons for assuming independence. In ditransitives, the effects of focus placement and word order on acceptability, for example, are not independent:
- (3) Asterix handed  $Obelix_{([+F])}$  the  $potion_{([+F])}$ .
- (4) Obelix handed the  $potion_{([+F])}$  to  $Obelix_{([+F])}$ .
  - But age and word order presumably are.

- Perhaps related is Campbell-Kibler's (2011) results suggesting that social information can attach to variants independently.
- Rating on a range of social attributes based on (ING)
- 3 guises: [m], [m], and [obscured by noise]
- Independent social evaluations for [m] and [m]
  - -[m]: intelligent, educated, articulate, not a student
  - -[m]: casual, not gay

#### Main claims and outline:

■ Main claims: Results from three large-sample judgment experiments, suggest that within-subject and between-subject factors affect variants partially independently. We suggest that judges implicitly compare variants in judgment tasks when they are close competitors, with largely overlapping sets of meanings ("true variants").

#### • Outline:

- Part 1: Introduction
- Part 2: English quotative constructions (N=123)
- Part 3: English particle verb constructions (N=237)
- Part 4: Object order in Norwegian passives (N=500)
- Part 5: Conclusion

# Change in English quotative verbs

- Change in English verbs of quotation, very well described in corpus-based literature (Butters, 1982; Blyth et al., 1990; Tagliamonte and Hudson, 1999; Buchstaller, 2004; Tagliamonte and D'Arcy, 2007).
- (5) She said/was like, "Shut up."

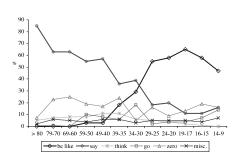


Figure 3: Change in quotative expressions in Toronto (Tagliamonte & D'Arcy 2007)

# A judgment experiment

- 123 self-described native speakers of Am. English, aged 18-73 (M=31.1, SD=11.6); 73 women, from different dialect areas, all Uni. educated.)
- A 2x6 design crossing verb (be like vs. say) with 6 context conditions biasing stative vs. eventive interpretations of the verb (progressives, imperatives, force . . . to, do-pseudoclefts, for-adverbials) or a "baseline" condition (Dowty, 1979; Rothstein, 1999).
- Web-based magnitude estimation experiment.

#### Results

- Apparent time increase in acceptance of be like, but no decrease in acceptability of say.
- Not expected on an approach where both variants are affected inversely in an equal way.

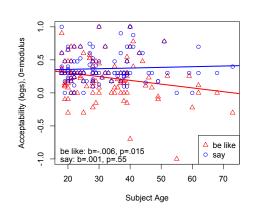


Figure 4: Acceptability of quotatives by participant age (Haddican et al., 2015)



#### Non-competition between be like and say

- But we might worry whether *be like* and *say* are true competitors, given different meanings they can have.
- First, a reported thought interpretation is available for be like but not say:
- (6) Obelix was like, "Ok, fine."

  'Obelix seemed to be thinking, "Ok, fine."

  'Obelix said, "Ok, fine."
- (7) Obelix said, "Ok, fine."

  \*'Obelix seemed to be thinking, "Ok, fine."'

  'Obelix said, "Ok, fine."'

#### Non-competition between be like and say

- Second, for *be like* but not *say* the quote cannot be *wh*-questioned (Flagg, 2007):
- (8) What was Obelix like?

  \* 'What did Obelix say?'
  OK: 'What was Obelix's state?'
- (9) What did Aaron say?
  - Third, unlike say, be like does not allow for quote-raising (Flagg, 2007):
- (10) "Hand me the potion", Asterix said.
- (11) \*"Hand me the potion", Asterix was like.

#### Non-competition between be like and say

- Fourth, reported speech *be like* but not *say* has a paraphrase implicature:
- (12) a. Word for word, she said, "I-didn't-plagiarize."
  - b. She said exactly, "I promise to be there."
- (13) a. #Word for word, she was like, "I-didn't-plagiarize." b. #She was exactly like, "I promise to be there."
  - This meaning is cancellable:
- (14) A: She was like, "I-didn't-plagiarize."
  - B: Word for word?
  - A: Yes.
- (15) She was like, "I like apples." In fact, that was exactly what she said.

## Quotatives summary

- In judgement data, an increase in acceptance of be like quotatives (in apparent time) does not co-occur with a decrease in acceptability of another variant say.
- This does not align with findings from corpus data, where age effects on *be like* and *say* mirror each other.
- We have noted that the sets of meanings that quotative expressions with *be like* and *say* can have may diverge.
- If, in judging variants, subjects implicitly consider the availability of competitors, then the fact that *be like* and *say* are not true variants may be relevant.

# The particle verb alternation

[VOP] (16)Kim cut the melon open.

(17)[VPO] Kim cut open the melon.

- Two main syntactic approaches. The complex head approach (Johnson, 1991; Dehé, 2002).
- (18)  $\left[ V_{P} \right] V_{V} V_{P}$  Obj  $\left[ V_{P} \right] V_{V}$ 
  - The small clause approach (Kayne, 1985; Den Dikken, 1995, 2010; Svenonius, 2010; Haddican and Johnson, 2014). (Note (19)!)
- $[_{VP} V [_{PP} Obj P]]$ (19)
- (20)Van Gogh glued on his ear again. (ok: restitutive?)

## Weight effects

- A frequently reported finding in corpus data —"light" objects favor VOP, "heavy" objects favor VPO (Kroch and Small, 1978; Gries, 2001, 2003; Lohse et al., 2004).
- For head-initial Ls, "end-weight" (Behaghel, 1909; Quirk et al., 1972).
- Lohse et al. (2004) explain weight effect in terms of a more general processing constraint: processing is facilitated when the material intervening between members of a syntactic dependency is minimized (Hawkins, 1995, 2004). Both imply effect on VOP only.
- (21) Kim cut the big heavy melon open.

[VOP]

(22) Kim cut open the big heavy melon. [VPO]

#### Diachronic effects

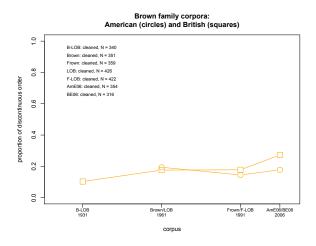


Figure 5: Change toward VOP orders—Brown corpora results

#### Diachronic effects

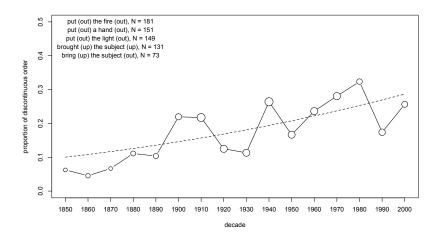


Figure 6: Change toward VOP orders—COHA results



# An experiment

- 113 US vs. 126 UK subjects, age 18-84 (mean 30)
- 2x2x2 design crossing: order, object weight (3 vs. 7 syllables), object focus (new vs. old information).

Object Weight	VOP	VPO
Light	cut the melon open	cut open the melon
Heavy	$\dots cut\ the\ heavy\ juicy$	$\dots cut\ open\ the\ heavy$
	$melon\ open$	$juicy \ melon$

Table 1: Four conditions

- 32 lexicalizations created, also 50% fillers. Normalized using z-scores based on fillers.
- Web-based questionnaire using Ibex Farm (Drummond, 2013).

## Results for participant age and object weight

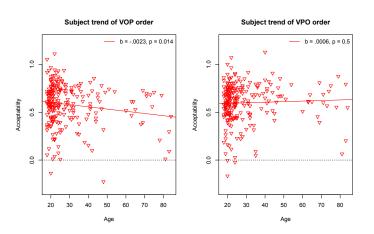


Figure 7: Estimated effects of object weight on acceptability of VOP and VPO orders by speaker

#### Age effects

- The figure shows that this change has co-occurred with an apparent time increase in the acceptability of the VOP order, but no significant change in the acceptability of the VPO order.
- This is not expected on an approach to change in acceptability where both variants are affected inversely in an equal way.

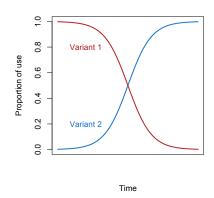


Figure 8: Change in usage of two variants

## Object weight effects

- The effects of weight on the two orders, however, do partially mirror each other.
- Increasing the weight of the object from from three to seven syllables disfavors the Verb-Object-Particle order 50% more than doing so favors the Verb-Particle-Object order.

Object Weight	VOP	VPO
Light	0.618	0.575
Heavy	0.553	0.617
$ \Delta $	0.065	0.042

Table 2: Average acceptability for four conditions

# Object weight effects

- The result for the VOP order can be explained by Lohse et al.'s (2004) processing-based account. A heavier object separating the verb and particle increases the size of the verb-particle processing domain.
- For object weight to affect the VPO order is unexpected from this perspective, since a heavier final object NP should have no effect at all on the size of the processing domain for the relevant dependency relation.
- This suggests that when subjects' evaluations of the acceptability of a given syntactic structure is affected by the availability of a competing structure in the same environment.

# Particle verbs summary

- VOP and VPO show a partially-inverse relationship on average, but no interdependence is seen in diachrony.
- Why not? VOP and VPO are close variants, but word order is sensitive to focus (Bolinger, 1971; Svenonius, 1996; Kayne, 1998; Dehé, 2002; Haddican and Johnson, 2014).
- (23) Q: Who will you pick up? A: I'll pick (?the girls) up (the girls).

  (Svenonius, 1996)
- (24) Q: How are Turid and Ingrid going to get here?
  - A: I'll pick (the girls) up (?the girls).

(Svenonius, 1996)

# Passive symmetry in Norwegian

■ Norwegian is a "symmetric passive" language, meaning that in passives of double object constructions, both theme and goal arguments may passivize, as illustrated in (25).

#### (25) Norwegian

- a. Jens ble gitt bok-en.Jens was given book-the'Jens was given the book.'
- b. Bok-en ble gitt Jens.
  Book-the was given Jens
  'The book was given (to) Jens.'
  (Haddican and Holmberg, 2012)

# Passive symmetry in Norwegian

- Anagnostopoulou (2003) proposed that Th-passivization is fed by short theme movement, as in (26).
- (26) Theme passivization on the locality approach [TP Theme T [ $_{VP}$  v [ $_{XP}$  Theme [ $_{XP}$  Goal [ $_{YP}$  Theme ]]]]]
  - Anagnostopoulou (2003) suggested that this same theme movement feeds Th-G orders in object shift (OS):
- (27) Norwegian double object OS
  - a. Elsa ga ham den ikke.
    Elsa gave him it not 'Elsa didn't give him it.'
    b. %Elsa ga den ham ikke.

## Design

- 500 subjects (age 18-81, mean 39)
- 2x3 design
- 4 items/condition

Context	Th-G	G-Th
Passives	Den ble gitt ham.	Han ble gitt den.
	'It was given him.'	'He was given it.'
Active OS	Elsa ga den ham ikke.	Elsa ga ham den ikke.
	'Elsa didn't give it him.'	'Elsa didn't give him it.'
Active-non-OS	Elsa har ikke gitt den ham.	Elsa har ikke gitt ham den.
	'Elsa hasn't given it him.'	'Elsa hasn't given him it.'

Table 3: Example sentences for six conditions

#### Results

- Theme-goal orders in active contexts *very* marginal in our data.
- Both passive orders accepted readily.
- No correlation between acceptance of Th-G orders in actives and passives.

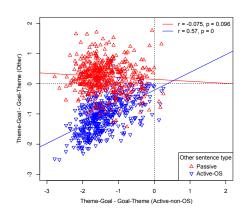
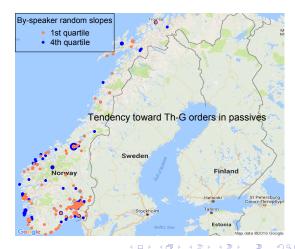


Figure 9: Preference for Th-G over G-Th order (Passive and Active OS compared to Active-non-OS)

#### Results

- Tendency toward Th-G order by speaker.
- No difference in historically dative area of central Norway (Eybórsson et al., 2012).
- No stylistic difference between variants.



#### Diachronic effects: Norwegian passives

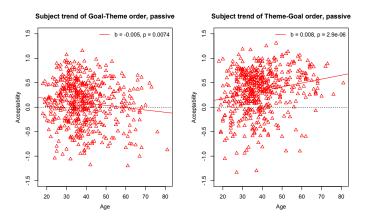


Figure 10: Acceptability of goal-theme (Han ble gitt den) and theme-goal (Den ble gitt han) word orders in the passive, by speaker

#### Diachronic effects: Norwegian passives

- Mirroring slopes for the effect of age is exactly the pattern we expect if grammatical change reflects incremental change in the probability of choosing one abstract representation vs. a competing one—"grammar competition" in Kroch's (1989; 1994) terms.
- Importantly, there is no meaning difference between these variants reported in the literature (Holmberg and Platzack, 1995; Anagnostopoulou, 2003)

#### Main points

- Much has been learned from the standard methodology that treats variants of a linguistic variable as choices (or the input and output of rules/processes). From this perspective, binary variants always appear to respond inversely to the factors affecting variation.
- However, in some respects variants can also behave independently.
- Our results suggest that, for different (within- and between-speaker) effects, one and the same variable can affect the variants independently or not.
- We have suggested that whether or not subjects evaluate a variant in relation to an alternative form is determined by how closely the variants compete for expression.
- A predictive model of these effects should surely be a goal of variationist sociolinguistics.

## Many thanks to ...

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