### **Introduction to Mobile Robotics**

### **Path and Motion Planning**



# **Motion Planning**

Latombe (1991):

"... eminently necessary since, by definition, a robot accomplishes tasks by moving in the real world."

#### **Goals:**

- Collision-free trajectories
- The robot should reach the goal location "as quickly" as possible

# **Best Path Planning Algorithms for Mobile** Robots

What is the best path planning algorithm for mobile robots

#### ChatGPT

The choice of the best path planning algorithm for mobile robots depends on various factors, including the specific characteristics of the robot, the environment it operates in, and the computational resources available. Here are some commonly used path planning algorithms for mobile robots:

#### 1. Dijkstra's Algorithm:

- Suitable for grid-based environments.
- Finds the shortest path based on cumulative cost.
- May not be the most efficient for continuous spaces due to discretization.

#### 2. A (A-star) Algorithm:\*

- Combines elements of Dijkstra's algorithm and greedy best-first search.
- · Heuristic function helps prioritize paths likely to lead to the goal, improving efficiency.
- Well-suited for grid-based and continuous environments.

#### 3. Rapidly-Exploring Random Trees (RRT):

- Effective for robots with non-holonomic constraints and in high-dimensional spaces.
- Randomly samples the configuration space and grows a tree towards the goal.
- Well-suited for complex, obstacle-filled environments.

#### 4. Probabilistic Roadmaps (PRM):

- · Suitable for high-dimensional configuration spaces.
- · Randomly samples the configuration space and connects the points to form a roadmap.
- Efficient for both global and local planning.

#### 5. Dynamic Window Approach:

- · Suited for robots with differential drive or holonomic constraints.
- Takes into account the robot's dynamic onsidering its current and possible future velocities.

# ... in Dynamic Environments

- How to react to unforeseen obstacles?
  - efficiency
  - reliability
- Dynamic Window Approaches
   [Simmons, 96], [Fox et al., 97], [Brock & Khatib, 99]
- Grid-map-based planning [Konolige, 00]
- Nearness-Diagram-Navigation
   [Minguez at al., 2001, 2002]
- Vector-Field-Histogram+
   [Ulrich & Borenstein, 98]
- A\*, D\*, D\* Lite, ARA\*, ...
- Many more (also more recent publications) in the context of robotics and self-driving cars

# Challenges

 Calculate the optimal path taking potential uncertainties in the actions (and the state) into account

Quickly generate actions in the case of unforeseen objects

# **Further Challenges**

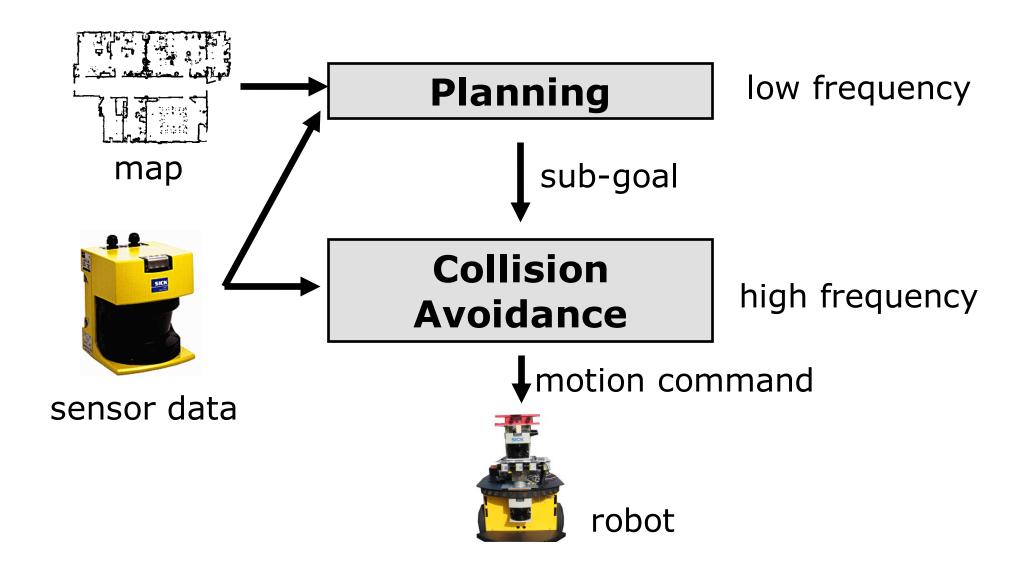
Discrete and continuous spaces

• How do you communicate your intention in mixed environments?

How do we properly take risks into account?

• How do we perform planning on resource-constrained systems/computers?

# **Classic Two-layered Architecture**

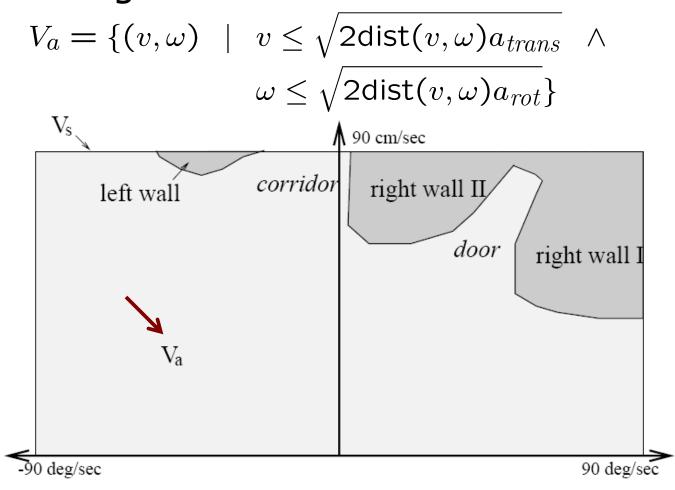


# Dynamic-Window Approach to Collision Avoidance

- Collision avoidance: Determine collision-free trajectories using geometric operations
- Here: Robot moves on circular arcs
- Motion commands (v,ω)
- Which (v,ω) are admissible and reachable?

#### **Admissible Velocities**

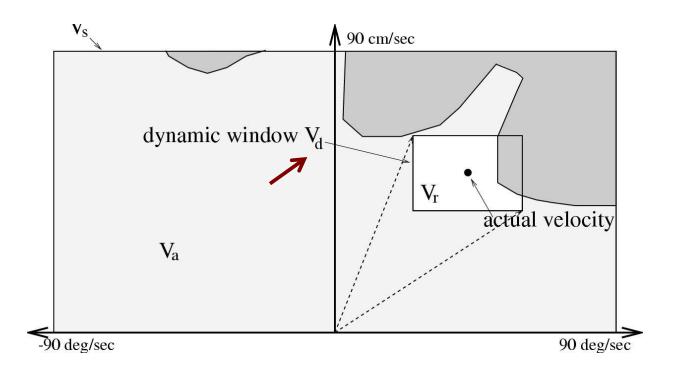
 Speeds are admissible if the robot would be able to stop before reaching the obstacle



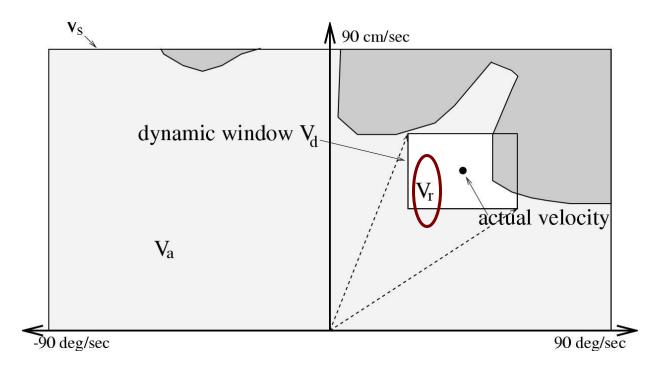
#### Reachable Velocities

Speeds that are reachable by acceleration

$$V_d = \{(v, \omega) \mid v \in [v - a_{trans}t, v + a_{trans}t] \land \omega \in [\omega - a_{rot}t, \omega + a_{rot}t]\}$$



# **DWA Search Space**



- $V_s$  = all possible speeds of the robot.
- V<sub>a</sub> = obstacle-free area.
- $V_d$  = speeds reachable within a certain time frame based on possible accelerations.

$$V_r = V_s \cap V_a \cap V_d$$

- How to choose  $\langle v, \omega \rangle$ ?
- Steering commands are chosen by a heuristic navigation function.
- This function tries to minimize the travel-time by "driving fast into the right direction."

- Heuristic navigation function.
- Planning restricted to <x,y>-space.
- No planning in the velocity space.

Navigation Function: [Brock & Khatib, 99]

$$NF = \alpha \cdot vel + \beta \cdot nf + \gamma \cdot \Delta nf + \delta \cdot goal$$

- Heuristic navigation function.
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Maximizes velocity

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Maximizes velocity

Considers cost to reach the goal

Follows grid-based path computed by A\*

- Heuristic navigation function.
- Planning restricted to <x,y>-space.
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Navigation Function:

**Goal nearness** 

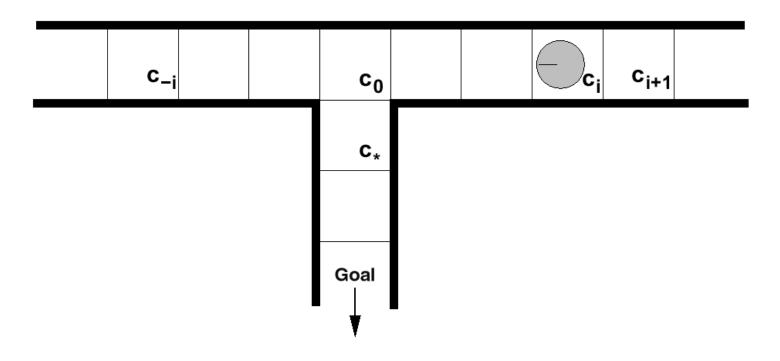
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Maximizes velocity

Considers cost to reach the goal

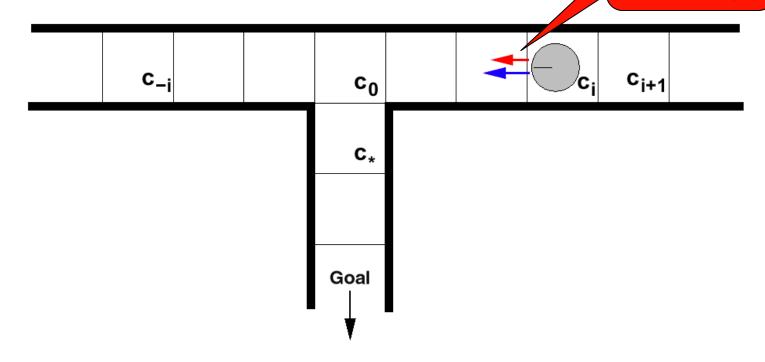
Follows grid-based path computed by A\*

- React quickly
- Low computational requirements
- Guides a robot along a collision-free path
- Successfully used in a lot of real-world scenarios
- The resulting trajectories are sometimes sub-optimal
- Local minima might prevent the robot from reaching the goal location

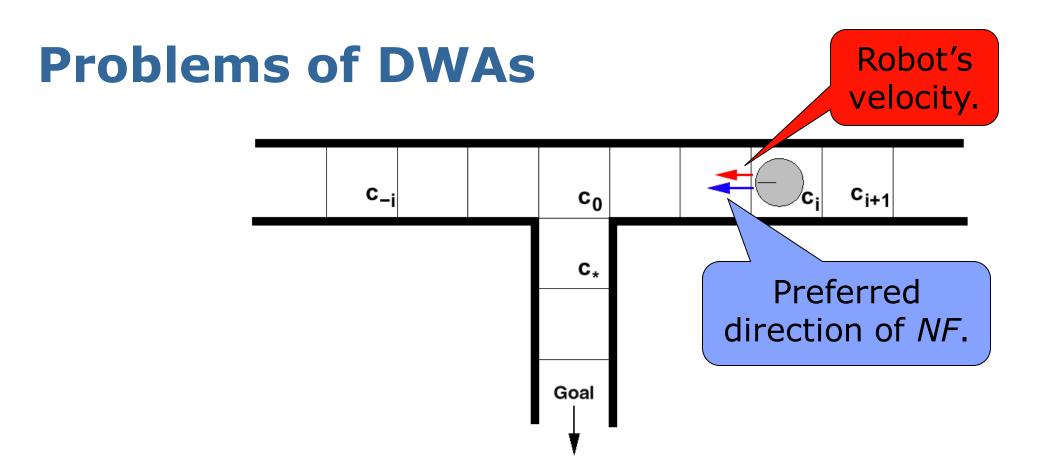


$$NF = \alpha \cdot vel + \beta \cdot nf + \gamma \cdot \Delta nf + \delta \cdot goal$$

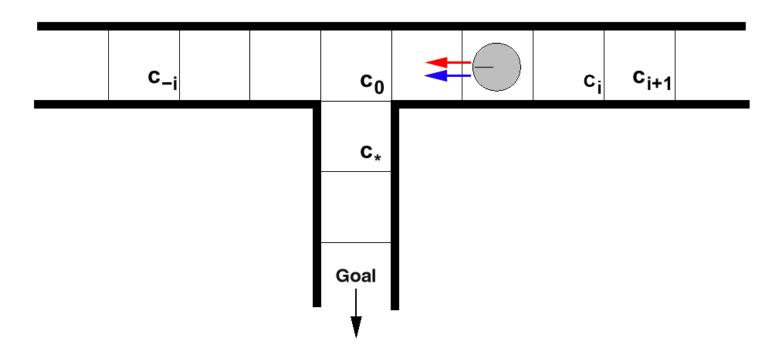
Robot's velocity.



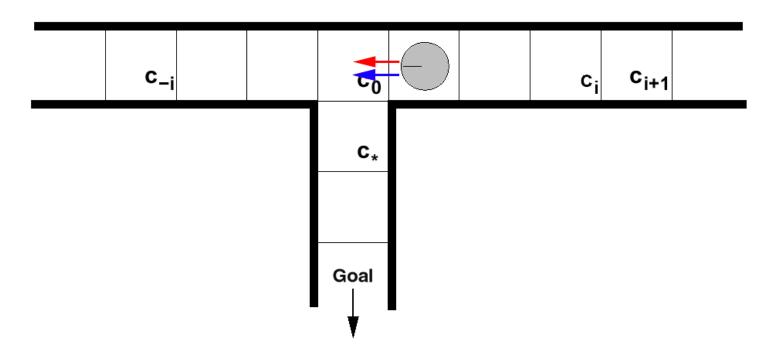
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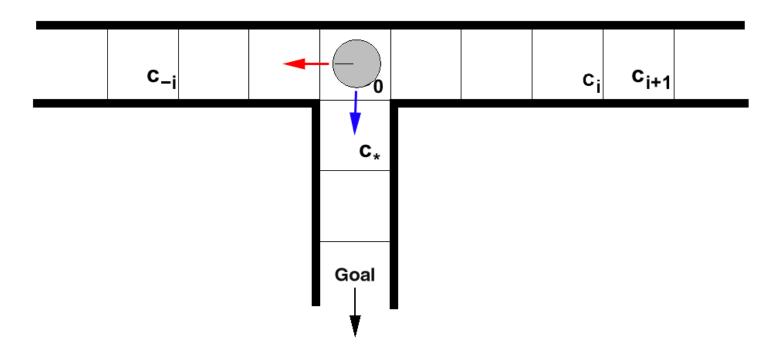
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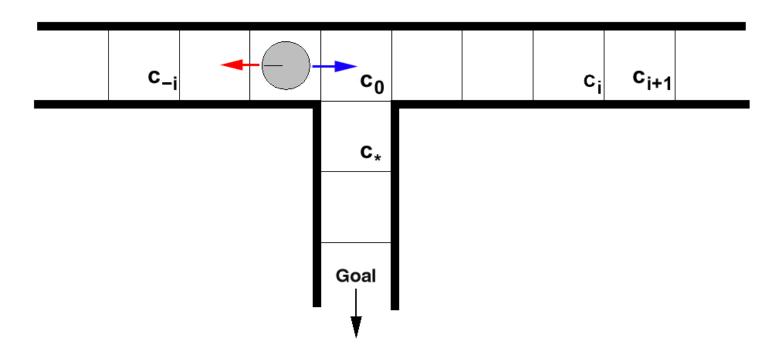


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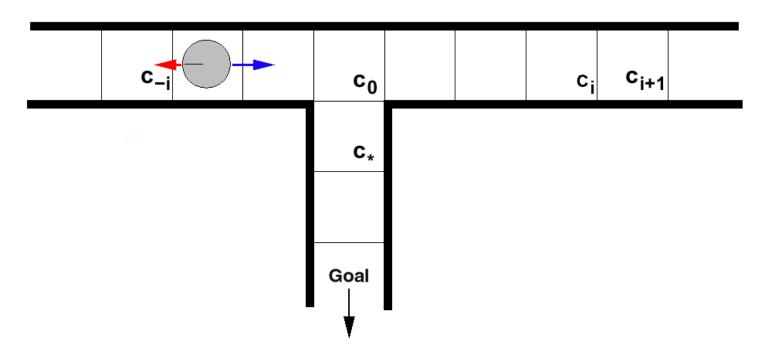


$$NF = \alpha \cdot vel + \beta \cdot nf + \gamma \cdot \Delta nf + \delta \cdot goal$$

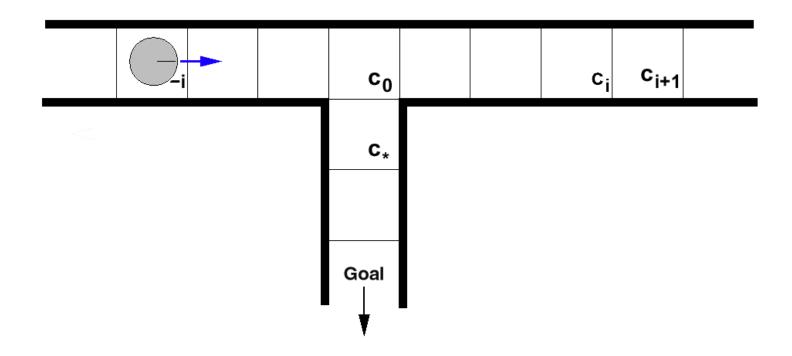
The robot drives too fast at  $c_0$  to enter corridor facing south.



$$NF = \alpha \cdot vel + \beta \cdot nf + \gamma \cdot \Delta nf + \delta \cdot goal$$



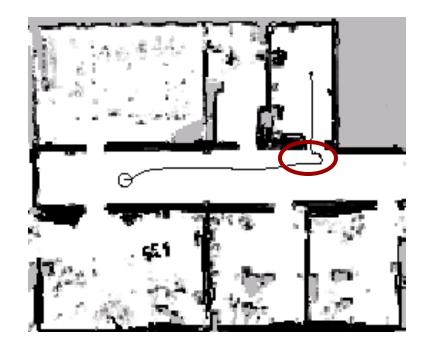
$$NF = \alpha \cdot vel + \beta \cdot nf + \gamma \cdot \Delta nf + \delta \cdot goal$$



Same situation as in the beginning

→ DWAs sometimes have problems reaching the goal

Typical problem in a real-world situation:



 Robot does not slow down early enough to enter the doorway.

# **Motion Planning Formulation**

- The problem of motion planning can be stated as follows. Given:
  - A start pose of the robot
  - A desired goal pose
  - A geometric description of the robot
  - A geometric representation of the environment
- Find a path that moves the robot gradually from the start pose to the goal pose while never touching any obstacle

# **Configuration Space**

 Although the motion planning problem is defined in the regular world, it lives in another space: the configuration space

ullet A robot configuration q is a specification of the positions of all robot points relative to a fixed coordinate system

 Usually, a configuration is expressed as a vector of positions and orientations

# **Configuration Space**

- Free space and obstacle region
- With  $W = \mathbb{R}^m$  being the workspace,  $\mathcal{O} \in \mathcal{W}$  the set of obstacles,  $\mathcal{A}(q)$  the robot in configuration  $q \in \mathcal{C}$

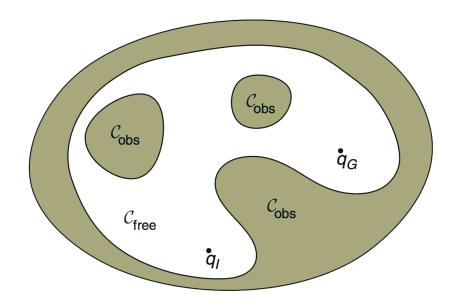
$$\mathcal{C}_{free} = \{q \in \mathcal{C} \mid \mathcal{A}(q) \cap \mathcal{O} = \emptyset\}$$

$$\mathcal{C}_{obs} = \mathcal{C}/\mathcal{C}_{free}$$

We further define

 $q_I$ : start configuration

 $q_G$ : goal configuration



# **Configuration Space**

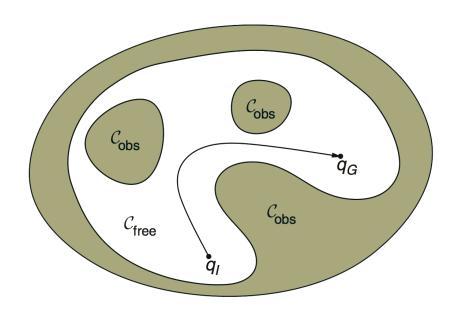
#### Then, motion planning amounts to

Finding a continuous path

$$\tau:[0,1]\to\mathcal{C}_{free}$$

with 
$$\tau(0) = q_I, \, \tau(1) = q_G$$

Given this setting,
 we can do planning
 with the robot being
 a point in C-space!



# **C-Space Discretizations**

- Continuous terrain needs to be discretized for path planning
- There are two general approaches to discretize C-spaces:
  - Combinatorial planning

Characterizes  $C_{free}$  explicitly by capturing the connectivity of  $C_{free}$  into a graph and finds solutions using search

Sampling-based planning

Uses collision-detection to probe and incrementally search the C-space for a solution

#### Search

The problem of **search:** finding a sequence of actions (a path) that leads to desirable states (a goal)

- Uninformed search: besides the problem definition, no further information about the domain ("blind search")
- The only thing one can do is to expand nodes differently
- Example algorithms: breadth-first, uniform-cost, depthfirst, bidirectional, etc.

### Search

The problem of **search:** finding a sequence of actions (a path) that leads to desirable states (a goal)

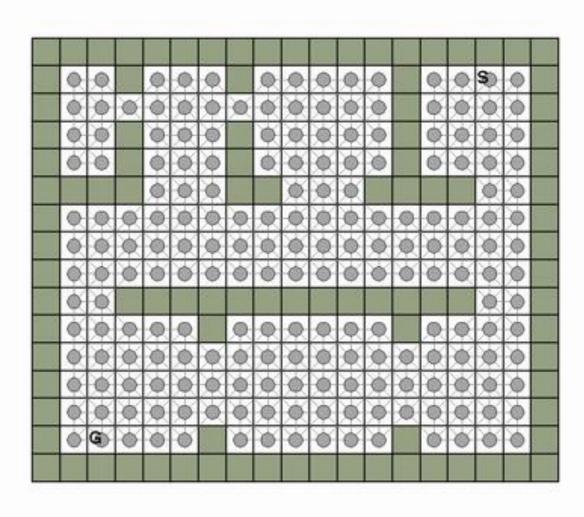
- Informed search: further information about the domain through heuristics
- Capability to say that a node is "more promising" than another node
- Example algorithms: greedy best-first search,  $\mathbf{A}^*$ , many variants of  $\mathbf{A}^*$ ,  $\mathbf{D}^*$ , etc.

### Search

The performance of a search algorithm is often measured in four different ways:

- Completeness: does the algorithm find a solution when there is one?
- Optimality: is the solution the best one of all possible solutions in terms of path cost?
- Time complexity: how long does it take to find a solution?
- Space complexity: how much memory is needed to perform the search?

# **Discretized Configuration Space**



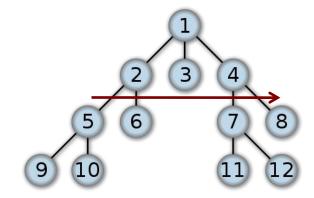
### **Uninformed Search**

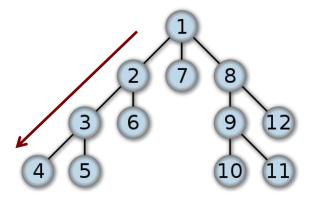
#### Breadth-first

- Complete
- Optimal if action costs equal
- Time and space:  $O(b^d)$

### Depth-first

- Not complete in infinite spaces
- Not optimal
- Time: *O*(*b*<sup>*m*</sup>)
- Space: O(bm) (can forget explored subtrees)

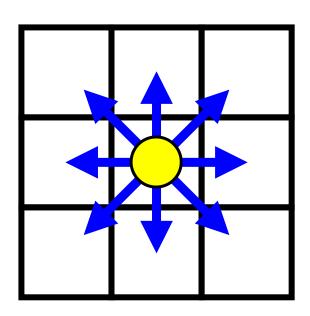




(b: branching factor, d: goal depth, m: max. tree depth)

### **Informed Search: A\***

- What about using A\* to plan the path of a robot?
- Finds the shortest path
- Requires a graph structure
- A limited number of edges
- In robotics: planning on a 2d occupancy grid map



### A\*: Minimize the Estimated Path Costs

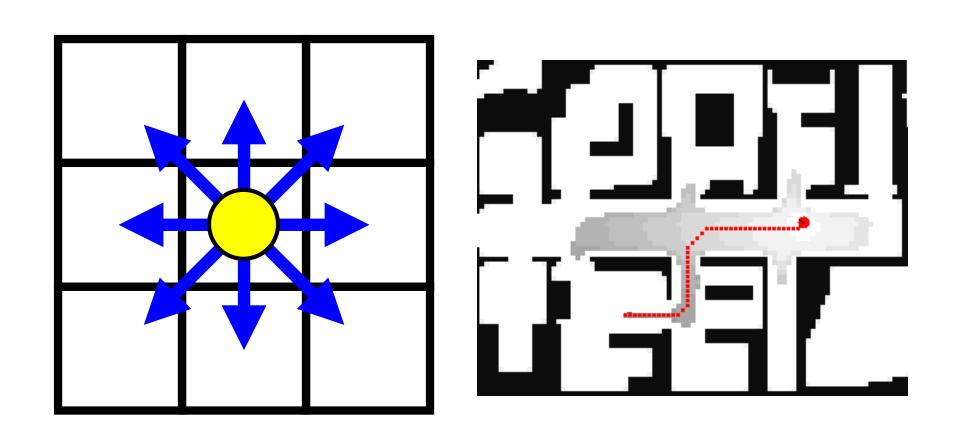
- g(n) = actual cost from the initial state to n.
- h(n) = estimated cost from n to the next goal.
- f(n) = g(n) + h(n), the estimated cost of the cheapest solution through n.
- Let h\*(n) be the actual cost of the optimal path from n to the next goal.
- h is admissible if the following holds for all n:

$$h(n) \leq h^*(n)$$

If h is admissible, A\* yields the optimal solution.

Note: The straight-line distance is admissible in the Euclidean space.

# **Example: Path Planning for Robots in a Grid-World**



### **Deterministic Value Iteration**

- To compute the shortest path from every state to one goal state, use (deterministic) value iteration.
- Very similar to Dijkstra's Algorithm.
- Such a cost distribution is the optimal heuristic for A\*.

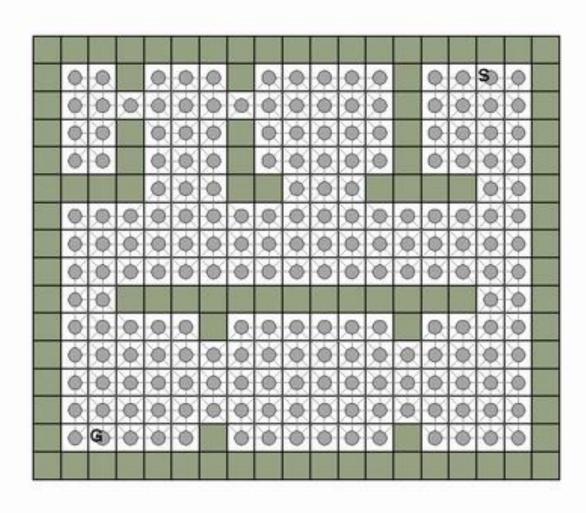


# Typical Assumption in Robotics for A\* Path Planning

- 1. The robot's position is assumed to be known
- 2. The path is computed using an occupancy grid
- 3. The correct motion commands are executed

Are 1. and 3. always true?

# **Discretized Configuration Space**



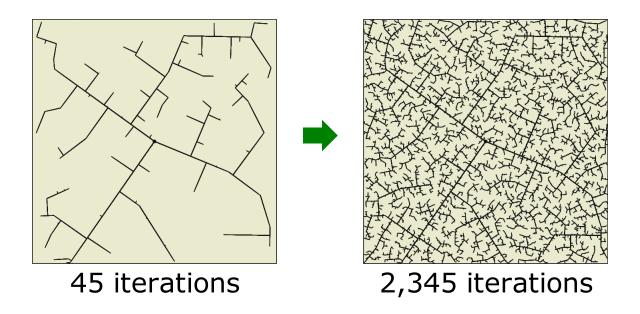
### **Problems**

- What if the robot is (slightly) delocalized?
- Moving on the shortest path often guides the robot along a trajectory close to obstacles.
- Trajectory aligned to the grid structure.

# **Path Planning**

## **Rapidly Exploring Random Trees**

- Idea: aggressively probe and explore the C-space by expanding incrementally from an initial configuration  $q_{\theta}$
- The explored territory is marked by a **tree rooted at**  $q_{\theta}$



The algorithm: Given C and  $q_0$ 

#### Algorithm 1: RRT

```
G.init(q_0)
```

2 repeat

```
\begin{array}{c|c} \mathbf{3} & q_{rand} \rightarrow \mathrm{RANDOM\_CONFIG}(\mathcal{C}) & \longleftarrow \\ \mathbf{4} & q_{near} \leftarrow \mathrm{NEAREST}(G, q_{rand}) \end{array}
```

 $G.add\_edge(q_{near}, q_{rand})$ 

6 until condition

Sample from a **bounded** region centered around  $q_{\theta}$ 



The algorithm

#### **Algorithm 1:** RRT

- 1  $G.init(q_0)$
- 2 repeat
- $q_{rand} \rightarrow \text{RANDOM\_CONFIG}(\mathcal{C})$
- 4  $q_{near} \leftarrow \text{NEAREST}(G, q_{rand})$
- $G.add\_edge(q_{near}, q_{rand})$
- 6 until condition



$$\rho : \mathcal{C} \times \mathcal{C} \to [0, \infty)$$

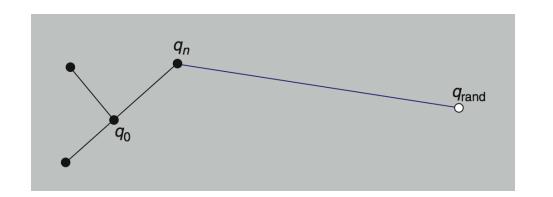
formally a *metric* defined on *C* 



The algorithm

#### **Algorithm 1:** RRT

```
1 G.init(q_0)
2 repeat
3 q_{rand} \rightarrow RANDOM\_CONFIG(C)
4 q_{near} \leftarrow NEAREST(G, q_{rand})
5 G.add\_edge(q_{near}, q_{rand})
6 until condition
```



Connect nearest point  $q_{near}$  with random point  $q_{rand}$  using a **local planner** (straight line in the simplest case)

No collision: add edge

The algorithm

#### Algorithm 1: RRT

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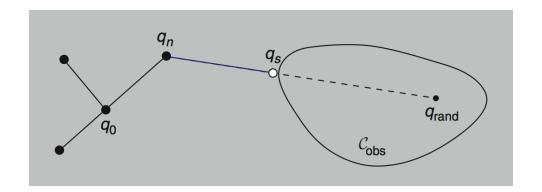
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Connect nearest point  $q_{near}$  with random point  $q_{rand}$  using a **local planner** (straight line in the simplest case)

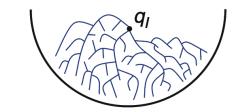
No collision: add edge

Collision: new vertex is  $q_s$  that is as close as possible to  $C_{obs}$ 

- How to perform path planning with RRTs?
  - 1. Start RRT at  $q_I$
  - 2. At every, say, 100th iteration, force  $q_{rand} = q_G$
  - 3. If  $q_G$  is reached, problem is solved
- Why not picking  $q_G$  every time?
- This will fail and waste much effort in running into  $C_{Obs}$  instead of exploring the space

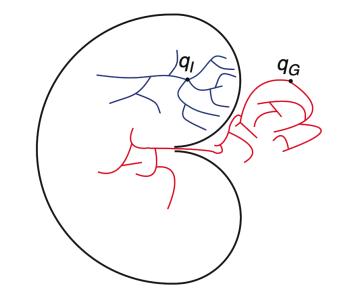
- However, some problems require more effective methods:
   bidirectional search
- Grow **two** RRTs, one from  $q_I$ , one from  $q_G$
- In every other step, try to extend each tree towards the newest vertex of the other tree

#### Filling a well:



 $\cdot q_G$ 

#### A bug trap:



RRTs are popular, many extensions exist: real-time RRTs,

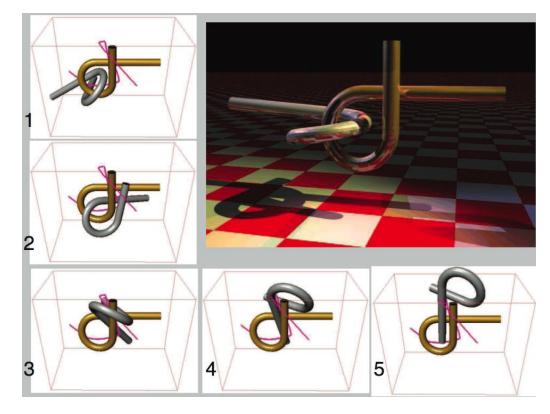
anytime RRTs, for dynamic environments etc.

#### Pros:

- Balance between greedy search and exploration
- Easy to implement

#### Cons:

- Metric sensitivity
- Unknown rate of convergence



Alpha 1.0 puzzle solved with bidirectional RRT

# **Road Map Planning**

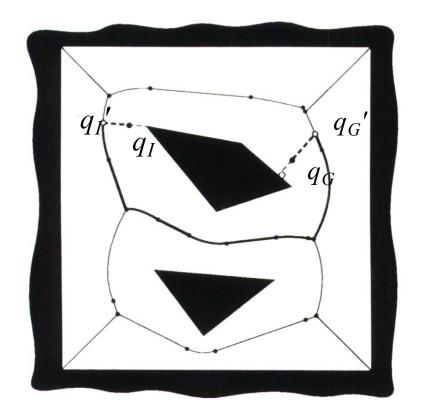
- A **road map** is a **graph in**  $C_{free}$  in which each vertex is a configuration in  $C_{free}$  and each edge is a collision-free path through  $C_{free}$
- Several planning techniques
  - Visibility graphs
  - Voronoi diagrams
  - Exact cell decomposition
  - Approximate cell decomposition
  - Randomized road maps

# **Road Map Planning**

- A **road map** is a **graph in**  $C_{free}$  in which each vertex is a configuration in  $C_{free}$  and each edge is a collision-free path through  $C_{free}$
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## **Generalized Voronoi Diagram**

- **Defined** to be the set of points q whose cardinality of the set of boundary points of  $C_{obs}$  with the same distance to q is greater than 1
- Let us decipher this definition...
- Informally:
   the place with the same maximal clearance from all nearest obstacles



# **Generalized Voronoi Diagram**

### Formally:

Let  $\beta = \partial C_{free}$  be the boundary of  $C_{free}$ , and d(p,q) the Euclidian distance between p and q. Then, for all q in  $C_{free}$ , let

$$clearance(q) = \min_{p \in \beta} d(p, q)$$

be the *clearance* of q, and

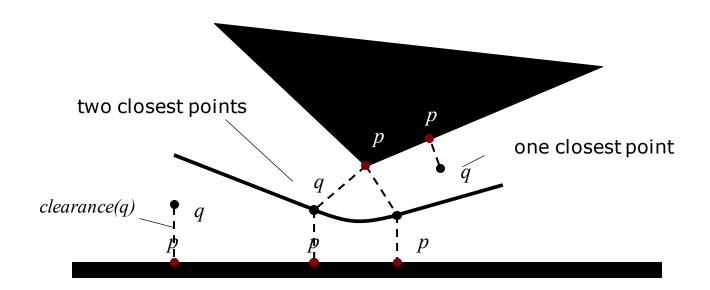
$$near(q) = \{ p \in \beta \mid d(p,q) = clearance(q) \}$$

the set of "base" points on  $\beta$  with the same clearance to q. The **Voronoi diagram** is then the set of q's with more than one base point p

$$V(\mathcal{C}_{free}) = \{ q \in \mathcal{C}_{free} \mid |near(q)| > 1 \}$$

### **Generalized Voronoi Diagram**

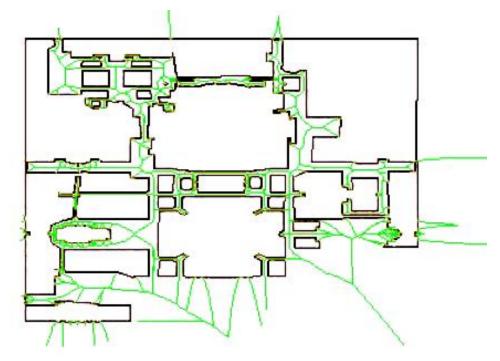
Geometrically:



- For a polygonal  $C_{obs}$ , the Voronoi diagram consists of (n) lines and parabolic segments
- Naive algorithm:  $O(n^4)$ , best:  $O(n \log n)$

# **Voronoi Diagram**

- Voronoi diagrams have been well studied for (reactive) mobile robot path planning
- Fast methods exist to compute and update the diagram in real-time for low-dimensional C's
  - Pros: maximizing clearance is a good idea for an uncertain robot
  - Cons: unnatural attraction to open space, suboptimal paths
- Needs extensions



Also called *Probabilistic Road Maps* 

- **Idea:** Take random samples from C, declare them as vertices if in  $C_{free}$ , try to connect nearby vertices with local planner
- The local planner checks if line-of-sight is collision-free (powerful or simple methods)
- Options for nearby: k-nearest neighbors or all neighbors within specified radius
- Configurations and connections are added to graph until roadmap is dense enough

Example example local planner specified radius

- What does "nearby" mean on a manifold?
- Defining a good metric on C is crucial

- How to uniformly sample C? This is not at all trivial given its topology
- For example, over spaces of rotations: Sampling Euler angles gives more samples near poles, not uniform over SO(3). Use quaternions!
- However, Randomized Road Maps are powerful, popular and many extensions exist: advanced sampling strategies (e.g., near obstacles), PRMs for deformable objects, closed-chain systems, etc.

# **From Road Maps to Paths**

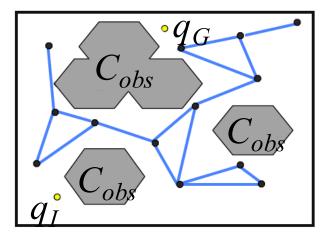
- All methods discussed so far **construct a road map** (without considering the query pair  $q_I$  and  $q_G$ )
- Once the investment is made, the same road map can be reused for all queries (provided world and robot do not change)
  - **1. Find** the cell/vertex that contain/is close to  $q_I$  and  $q_G$  (not needed for visibility graphs)
  - **2. Connect**  $q_I$  and  $q_G$  to the road map
  - **3. Search** the road map for a path from  $q_I$  to  $q_G$

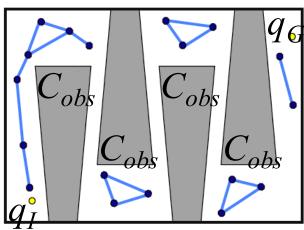
#### Pros:

- Probabilistically complete
- Do not construct C-space
- Apply easily to high dimensional C-spaces
- Randomized road maps have solved previously unsolved problems

#### Cons:

- Do not work well for some problems, e.g., narrow passages
- Not optimal, not complete

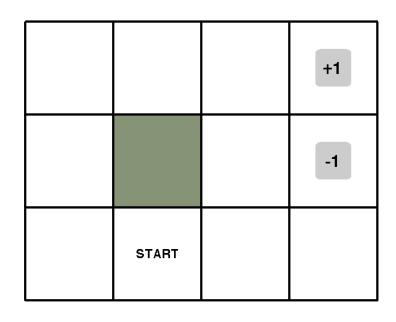




### **Markov Decision Process**

Consider an agent acting in this environment

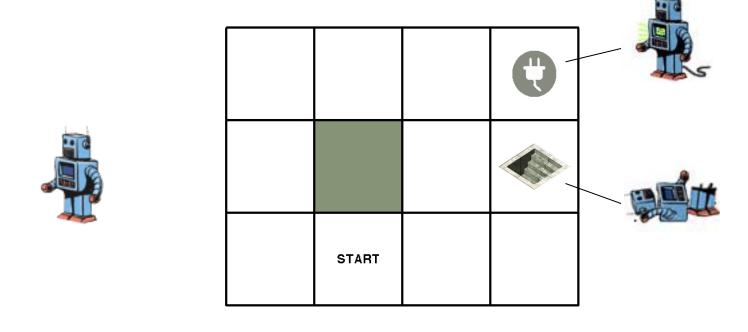




 Its mission is to reach the goal marked by +1 avoiding the cell labelled -1

### **Markov Decision Process**

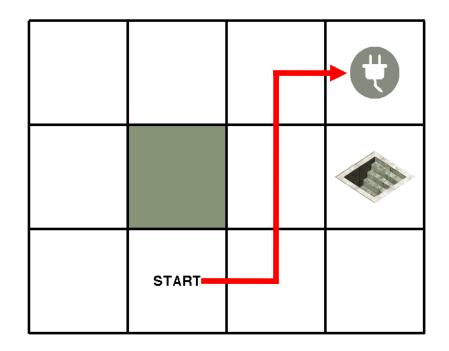
Consider an agent acting in this environment



 Its mission is to reach the goal marked by +1 avoiding the cell labelled -1

### **Markov Decision Process**

Easy! Use a search algorithm such as A\*



 Best solution (shortest path) is the action sequence [Right, Up, Up, Right]

# What is the problem?

- Consider a non-perfect system in which actions are performed with a probability less than 1
- What are the best actions for an agent under this constraint?

- Example: a mobile robot does not exactly perform a desired motion
- Example: human navigation



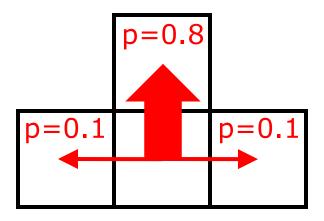
Uncertainty about performing actions!

# **MDP Example**

# Consider the **non-deterministic transition model** (N / E / S / W):

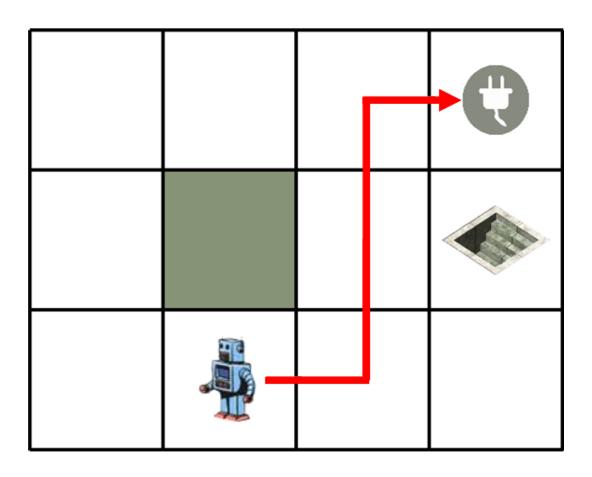
- Intended action is executed with p=0.8
- With p=0.1, the agent moves left or right
- Bumping into a wall "reflects" the robot

#### desired action



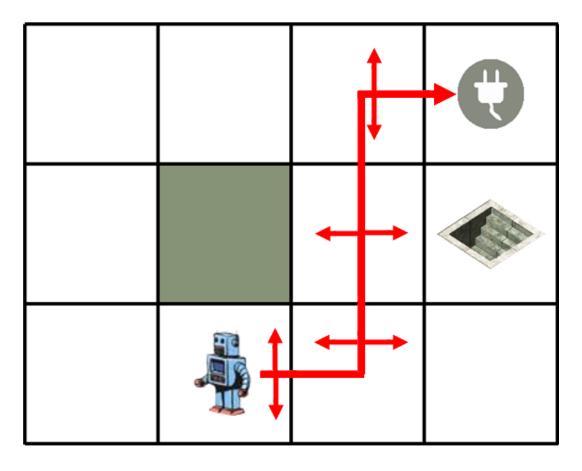
# **MDP Example**

Executing the A\* plan in this environment



# **MDP Example**

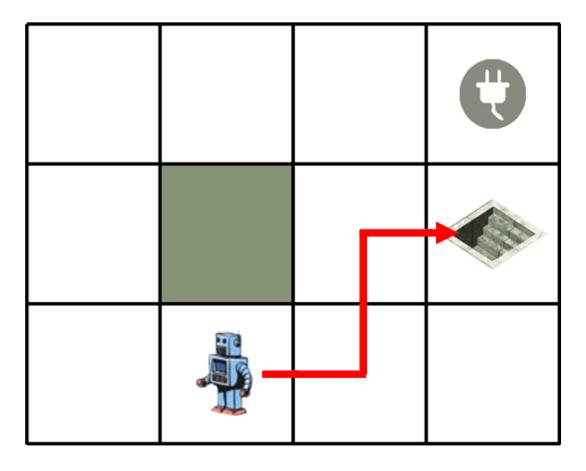
Executing the A\* plan in this environment



But: transitions are non-deterministic!

## **MDP Example**

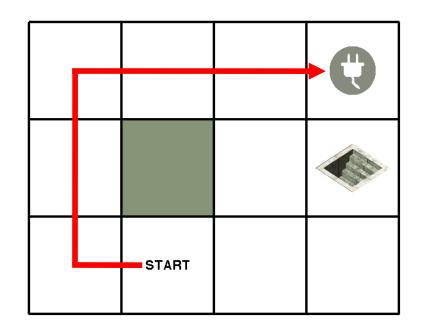
Executing the A\* plan in this environment



This will happen sooner or later...

## **MDP Example**

 Use a longer path with lower probability to end up in cell labelled -1



- This path has the highest overall utility
- Probability  $0.8^6 = 0.2621$

#### **Transition Model**

The probability to reach the next state s' from state s by choosing action a

is called transition model

#### **Markov Property:**

The transition probabilities from s to s' depend only on the current state s and not on the history of earlier states

#### Reward

• In each state s, the agent receives a **reward** R(s)

 The reward may be positive or negative but must be bounded

• This can be generalized to be a function R(s,a,s'). Here: considering only R(s), does not change the problem

#### Reward

- In our example, the reward is -0.04 in all states (e.g. the cost of motion) except the terminal states (that have rewards +1/-1)
- A negative reward gives agents an incentive to reach the goal quickly
- Or: "living in this environment is not enjoyable"

-0.04	-0.04	-0.04	+1
-0.04		-0.04	-1
-0.04	-0.04	-0.04	-0.04

#### **MDP Definition**

- Given a sequential decision problem in a fully observable, stochastic environment with a known Markovian transition model
- Then a Markov Decision Process is defined by the components

```
• Set of states:
```

• Initial state: 
$$s_0$$

• Transition model: 
$$T(s, a, s')$$
  
• Reward function:  $R(s)$ 

• Reward function: 
$$R(s)$$

# **Policy**

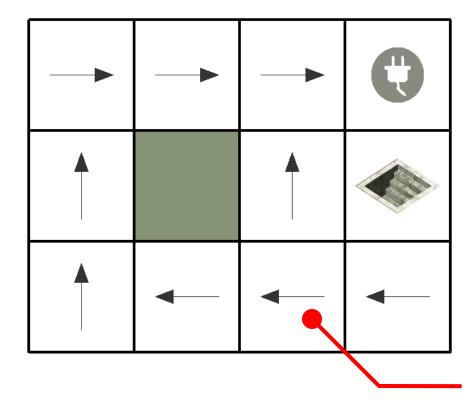
- An MDP solution is called **policy**  $\pi$
- A policy is a mapping from states to actions

$$policy: States \mapsto Actions$$

- In each state, a policy tells the agent what to do next
- Let  $\pi(s)$  be the *action* that  $\pi$  specifies for s
- Among the many policies that solve an MDP, the **optimal policy**  $\pi^*$  is what we seek. We'll see later what *optimal* means

## **Policy**

The optimal policy for our example

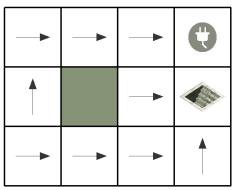


#### **Conservative choice**

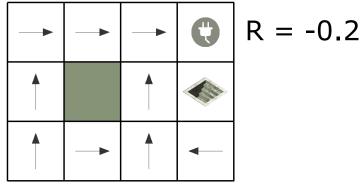
Take long way around as the cost per step of -0.04 is small compared with the penality to fall down the stairs and receive a -1 reward

# **Policy**

 When the balance of risk and reward changes, other policies are optimal

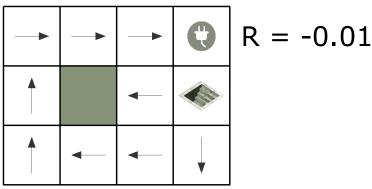


R = -2

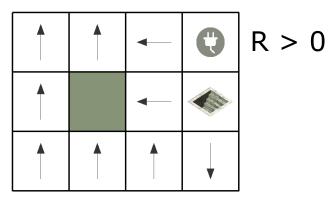


Leave as soon as possible

Take shortcut, minor risks



No risks are taken



Never leave (inf. #policies)

## **Utility of a State**

- The utility of a state U(s) quantifies the benefit of a state for the overall task
- We first define  $U^{\pi}(s)$  to be the **expected utility of all state** sequences that start in s given  $\pi$

$$U^{\pi}(s) = E\left[\sum_{t=0}^{\infty} R(s_t) \mid \pi, s_0 = s\right]$$

U(s) evaluates (and encapsulates) all possible futures from s
 onwards

# **Utility of a State**

• With this definition, we can express  $U^{\pi}(s)$  as a **function of** its next state s'

$$U^{\pi}(s) = E\left[\sum_{t=0}^{\infty} R(s_t) \mid \pi, s_0 = s\right]$$

$$= E\left[R(s_0) + R(s_1) + R(s_2) + \dots \mid \pi, s_0 = s\right]$$

$$= E\left[R(s_0) \mid s_0 = s\right] + E\left[R(s_1) + R(s_2) + \dots \mid \pi\right]$$

$$= R(s) + E\left[\sum_{t=0}^{\infty} R(s_t) \mid \pi, s_0 = s'\right]$$

$$= R(s) + U^{\pi}(s')$$

# **Optimal Policy**

- The utility of a state allows us to apply the **Maximum** Expected Utility principle to define the optimal policy  $\pi^*$
- The **optimal policy**  $\pi^*$  in s chooses the action a that maximizes the expected utility of s (and of s')

$$\pi^*(s) = \underset{a}{\operatorname{argmax}} E\left[U^{\pi}(s)\right]$$

Expectation taken over all policies

# **Optimal Policy**

• Substituting  $U^{\pi}(s)$ 

$$\pi^*(s) = \underset{a}{\operatorname{argmax}} E\left[U^{\pi}(s)\right]$$

$$= \underset{a}{\operatorname{argmax}} E\left[R(s) + U^{\pi}(s')\right]$$

$$= \underset{a}{\operatorname{argmax}} E\left[R(s)\right] + E\left[U^{\pi}(s')\right]$$

$$= \underset{a}{\operatorname{argmax}} E\left[U(s')\right]$$

$$= \underset{a}{\operatorname{argmax}} \sum_{s'} T(s, a, s') U(s')$$

 Recall that E[X] is the weighted average of all possible values that X can take on

## **Utility of a State**

• The **true utility of a state** U(s) is then obtained by application of the optimal policy, i.e.  $U^{\pi^*}(s) = U(s)$ . We find

$$U(s) = \max_{a} E \left[ U^{\pi}(s) \right]$$

$$= \max_{a} E \left[ R(s) + U^{\pi}(s') \right]$$

$$= \max_{a} E \left[ R(s) \right] + E \left[ U^{\pi}(s') \right]$$

$$= R(s) + \max_{a} E \left[ U(s') \right]$$

$$= R(s) + \max_{a} \sum_{s'} T(s, a, s') U(s')$$

## **Utility of a State**

This result is noteworthy:

$$U(s) = R(s) + \max_{a} \sum_{s'} T(s, a, s') U(s')$$

We have found a direct relationship between the utility of a state and the utility of its neighbors

 The utility of a state is the immediate reward for that state plus the expected utility of the next state, provided the agent chooses the optimal action

# **Bellman Equation**

$$U(s) = R(s) + \max_{a} \sum_{s'} T(s, a, s') U(s')$$

- For each state there is a Bellman equation to compute its utility
- There are *n* states and *n* unknowns
- Solve the system using Linear Algebra?
- No! The max-operator that chooses the optimal action makes the system nonlinear
- We must go for an iterative approach

## **Discounting**

We have made a **simplification** on the way:

 The utility of a state sequence is often defined as the sum of discounted rewards

$$U^{\pi}(s) = E\left[\sum_{t=0}^{\infty} \underline{\gamma^t} R(s_t) \mid \pi, s_0 = s\right]$$

with  $\theta \delta \gamma \delta 1$  being the discount factor

- Discounting says that future rewards are less significant than current rewards. This is a natural model for many domains
- The other expressions change accordingly

# **Separability**

We have made an **assumption** on the way:

- Not all utility functions (for state sequences) can be used
- The utility function must have the property of separability (a.k.a. station-arity), e.g. additive utility functions:

$$U([s_0 + s_1 + \ldots + s_n]) = R(s_0) + U([s_1 + \ldots + s_n])$$

 Loosely speaking: the preference between two state sequences is unchanged over different start states

# **Utility of a State**

The state utilities for our example

0.812	0.868	0.918	+1
0.762		0.66	-1
0.705	0.655	0.611	0.388

 Note that utilities are higher closer to the goal as fewer steps are needed to reach it

# **Iterative Computation**

#### Idea:

• The utility is computed iteratively:

$$U_{i+1}(s) \leftarrow R(s) + \max_{a} \sum_{s'} T(s, a, s') U_{i}(s')$$

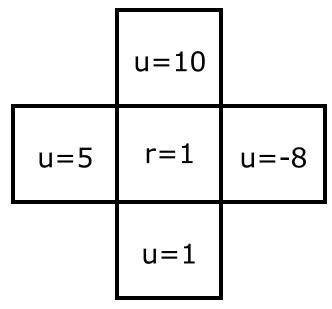
- Optimal utility:  $U^* = \lim_{t \to \infty} U_t$
- Abort, if change in utility is below a threshold

## Value Iteration Example

Calculate utility of the center cell

$$U_{i+1}(s) \leftarrow R(s) + \max_{a} \sum_{s'} T(s, a, s') U_{i}(s')$$

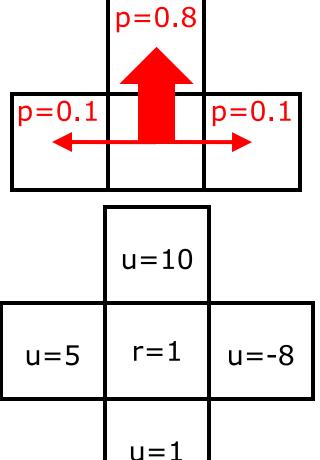
desired action = Up p=0.8 p=0.1 p=0.1Transition Model



State space (u=utility, r=reward)

#### Value Iteration Example

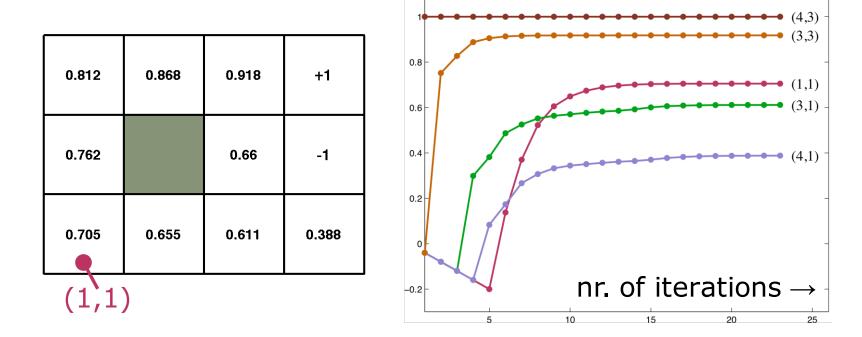
$$U_{i+1}(s) \leftarrow R(s) + \max_{a} \sum_{s'} T(s, a, s') U_i(s')$$



```
= reward + max{}
     0.1 \cdot 1 + 0.8 \cdot 5 + 0.1 \cdot 10 \quad (\leftarrow),
     0.1 \cdot 5 + 0.8 \cdot 10 + 0.1 \cdot -8 (†),
     0.1 \cdot 10 + 0.8 \cdot -8 + 0.1 \cdot 1 \quad (\rightarrow),
     0.1 \cdot -8 + 0.8 \cdot 1 + 0.1 \cdot 5 (1)
= 1 + \max\{5.1(\leftarrow), 7.7(\uparrow),
     -5.3 (\rightarrow), 0.5 (\downarrow)
= 1 + 7.7
= 8.7
```

#### **Value Iteration Example**

In our example



 States far from the goal first accumulate negative rewards until a path is found to the goal

#### Convergence

• The condition close-enough(U,U') in the algorithm can be formulated by

$$RMS = \frac{1}{|S|} \sqrt{\sum_{s} (U(s) - U'(s))^2}$$

$$RMS(U, U') < \epsilon$$

- Different ways to detect convergence:
  - RMS error: root mean square error
  - Max error:  $\|U-U'\|=\max_s |U(s)-U'(s)|$
  - Policy loss

#### **Value Iteration**

- Value Iteration finds the optimal solution to the Markov Decision Problem!
- Converges to the unique solution of the Bellman equation system
- Initial values for U' are arbitrary
- Proof involves the concept of *contraction*.  $\|B U_i B U_i'\| \le \gamma \|U_i U_i'\|$  being the Bellman operator (see textbook)
- VI propagates information through the state space by means of local updates

## **Optimal Policy**

 How to finally compute the optimal policy? Can be easily extracted along the way by

$$\pi^*(s) = \underset{a}{\operatorname{argmax}} \sum_{s'} T(s, a, s') U(s')$$

Note: U(s) and R(s) are quite different quantities. R(s) is the short-term reward for being in s, whereas U(s) is the long-term reward from s onwards

#### **Summary**

- Robust navigation requires combined path planning & collision avoidance.
- Approaches need to consider robot's kinematic constraints and plans in the velocity space.
- Combination of search and reactive techniques show better results than the pure DWA in a variety of situations.
- Using the 5D-approach the quality of the trajectory scales with the performance of the underlying hardware.
- The resulting paths are often close to the optimal ones.

#### **Summary**

- Planning is a complex problem.
- Focus on subset of the configuration space:
  - road maps,
  - grids.
- Sampling algorithms are faster and have a trade-off between optimality and speed.
- Uncertainty in motion leads to the need of Markov Decision Problems.

# What's Missing?

- More complex vehicles (e.g., cars, legged robots, manipulators, ...).
- Moving obstacles, motion prediction.
- High dimensional spaces.
- Heuristics for improved performances.
- Learning.