CMPS 112: Spring 2019

Comparative Programming Languages

Lambda Calculus

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Based on course materials developed by Ranjit Jhala

Your favorite language

- Probably has lots of features:
 - Assignment (x = x + 1)
 - Booleans, integers, characters, strings,...
 - Conditionals
 - Loops, return, break, continue
 - Functions
 - Recursion
 - References / pointers
 - Objects and classes
 - Inheritance
 - ... and more

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Your favorite language

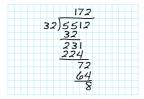
- Probably has lots of features:
 - Assignment (x = x + 1)
 - Booleans, integers, characters, strings,...
 - Conditionals

Which ones can we do without? What is the smallest universal language?

- References / pointers
- Objects and classes
- Inheritance
- ... and more

What is computable?

- Prior to 1930s
 - Informal notion of an effectively calculable function:



One that can be computed by a human with pen and paper, following an algorithm

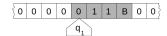
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What is computable?

• 1936: Formalization



Alan Turing: Turing machines



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What is computable?

• 1936: Formalization



Alonzo Church: lambda calculus

The Next 700 Languages

· Big impact on language design!



Whatever the next 700 languages turn out to be, they will surely be variants of lambda calculus.

Peter Landin, 1966

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Your favorite language

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 - Assignment (x = x + 1)
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 - Functions
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 - References / pointers
 - Objects and classes
 - Inheritance
 - ... and more

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The Lambda Calculus

- Features
 - Functions
 - (that's it)

The Lambda Calculus

- · Seriously...
 - Assignment (x = x + 1)
 - Booleans, integers, characters, strings,...
 - Conditionals
 - Loops, return, break, continue
 - Functions
 - Recursion
 - References / pointers
 - Objects and classes
 - Inheritance
 - ... and more

The only thing you can do is:

Define a function

Call a function

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Describing a Programming Language

- Syntax
 - What do programs look like?
- Semantics
 - What do programs *mean*?
 - Operational semantics:
 - How do programs execute step-by-step?

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Syntax: What programs look like

- Programs are *expressions* e (also called λ -terms)
- Variable: x, y, z
- Abstraction (aka nameless function definition):
 - \x -> e "for any x, compute e"
 - x is the formal parameter, e is the body
- Application (aka function call):
 - e1 e2 "apply e1 to e2"
 - e1 is the function, e2 is the argument

Examples

-- The identity function ("for any x compute x")

\x -> x

-- A function that returns the identity function

 $\x \rightarrow (\y \rightarrow y)$

-- A function that applies its argument to

-- the identity function

 $f \rightarrow f (x \rightarrow x)$

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QUIZ: Lambda syntax

Which of the following terms are syntactically incorrect? *

○ B. \x -> x x

O. \x -> x (y x)

O A and C

O All of the above



http://tiny.cc/cmps112-lambda-ind

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QUIZ: Lambda syntax

Which of the following terms are syntactically incorrect? *

A. \(\x → x) → y

○ B. \x -> x x

O. \x -> x (y x)

O A and C

All of the above



http://tiny.cc/cmps112-lambda-grp

Examples

```
-- The identity function ("for any x compute x")
\x -> x

-- A function that returns the identity function
\x -> (\y -> y)

-- A function that applies its argument to
-- the identity function
\f -> f (\x -> x)
```

- · How do I define a function with two arguments?
 - e.g. a function that takes x and y and returns y

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Examples

-- A function that returns the identity function $\xspace \xspace \xs$

OR: a function that takes two arguments and returns the second one!

- How do I define a function with two arguments?
 - e.g. a function that takes x and y and returns y

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Examples

- How do I apply a function to two arguments?
 - e.g. apply $\x -> (\y -> y)$ to apple and banana?

-- first apply to apple, then apply the result to banana

 $(((x \rightarrow (y \rightarrow y)) apple) banana)$

Syntactic Sugar

Convenient notation used as a shorthand for valid syntax

instead of	we write
\x -> (\y -> (\z -> e))	\x -> \y -> \z -> e
\x -> \y -> \z -> e	\x y z -> e
(((e1 e2) e3) e4)	e1 e2 e3 e4

```
\x y -> y -- A function that that takes two arguments
-- and returns the second one...
```

```
(\x y → y) apple banana -- ... applied to two arguments
```

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Semantics: What programs mean

- How do I "run" or "execute" a λ-term?
- Think of middle-school algebra:

```
-- Simplify expression:
(x + 2)*(3*x - 1)
=
???
```

• Execute = rewrite step-by-step following simple rules until no more rules apply

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Rewrite rules of lambda calculus

- 1. α-step (aka renaming formals)
- 2. B-step (aka function call)

But first we have to talk about scope

Semantics: Scope of a Variable

- The part of a program where a variable is visible
- In the expression \x -> e
 - x is the newly introduced variable
 - e is the scope of x
 - any occurrence of x in \x -> e is bound (by the binder \x)

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Semantics: Scope of a Variable

• For example, x is bound in:

$$\x \rightarrow x$$

 $\x \rightarrow (\y \rightarrow x)$

- An occurrence of x in e is free if it's not bound by an enclosing abstraction
- For example, x is free in:

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QUIZ: Variable scope

In the expression $(\x -> x)$ x, is x bound or free? *

- O A. bound
- O B. free
- O. first occurrence is bound, second is free
- O. first occurrence is bound, second and third are free
- E. first two occurrences are bound, third is free



http://tiny.cc/cmps112-scope-ind

QUIZ: Variable scope

In the expression $(\x -> x)$ x, is x bound or free? *

- O A. bound
- O B. free
- O. first occurrence is bound, second is free
- $\ \bigcirc$ D. first occurrence is bound, second and third are free
- \bigcirc E. first two occurrences are bound, third is free



http://tiny.cc/cmps112-scope-grp

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Free Variables

- An variable x is free in e if there exists a free occurrence of x in e
- We can formally define the set of all free variables in a term like so:

```
FV(x) = ???
FV(\x -> e) = ???
FV(e1 e2) = ???
```

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Free Variables

- An variable x is free in e if there exists a free occurrence of x in e
- We can formally define the set of all free variables in a term like so:

```
FV(x) = {x}

FV(\x -> e) = FV(e) \ \x

FV(e1 \ e2) = FV(e1) \ U \ FV(e2)
```

Closed Expressions

- If e has no free variables it is said to be closed
- Closed expressions are also called combinators
 - Q: What is the shortest closed expression?

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Closed Expressions

- If e has no free variables it is said to be closed
- Closed expressions are also called combinators
 - Q: What is the *shortest* closed expression?
 - **A:** \x -> x

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Rewrite rules of lambda calculus

- 1. α -step (aka renaming formals)
- 2. B-step (aka function call)

Semantics: B-Reduction

```
(\x -> e1) e2 =b> e1[x := e2]
```

where e1[x := e2] means "e1 with all free occurrences of x replaced with e2"

- Computation by search-and-replace:
 - If you see an *abstraction* applied to an argument, take the *body* of the abstraction and replace all free occurrences of the *formal* by that argument
 - We say that $(\x -> e1)$ e2 *B-steps* to e1[x := e2]

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Examples

```
(\x -> x) apple
=b> apple
```

Is this right? Ask Elsa!

```
(\f -> f (\x -> x)) (give apple)
=b> ???
```

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Examples

```
(\x -> x) apple
=b> apple
```

Is this right? Ask Elsa!

```
(\f -> f (\x -> x)) (give apple)
=b> give apple (\x -> x)
```

QUIZ: B-Reduction 1

(\x -> (\y -> y)) apple =b> ??? *

- O A. apple
- B. \y -> apple
- C. \x -> apple
- D. \y -> y
- E. \x -> y



http://tiny.cc/cmps112-beta1-ind

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QUIZ: B-Reduction 1

(\x -> (\y -> y)) apple =b> ??? *

- O A. apple
- B. \y -> apple
- C. \x -> apple
- O. \y -> y
- E. \x -> y



http://tiny.cc/cmps112-beta1-grp

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QUIZ: B-Reduction 2

(\x -> x (\x -> x)) apple =b> ??? *

- \bigcirc A. apple (\x -> x)
- O B. apple (\apple -> apple)
- O. apple (\x -> apple)
- O. apple
- E. \x -> x



http://tiny.cc/cmps112-beta2-ind

QUIZ: B-Reduction 2

(\x -> x (\x -> x)) apple =b> ??? *

- A. apple (\x -> x)
- O B. apple (\apple -> apple)
- \bigcirc C. apple (\x -> apple)
- O. apple
- E. \x -> x



http://tiny.cc/cmps112-beta2-grp

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A Tricky One

$$(\x -> (\y -> x)) y$$

=b> \y -> y

Is this right?

Problem: the free y in the argument has

been *captured* by \y!

Solution: make sure that all *free variables* of the argument are different from the *binders* in the body.

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Capture-Avoiding Substitution

• We have to fix our definition of B-reduction:

$$(\x -> e1)$$
 e2 =b> e1[x := e2]

where e1[x := e2] means "e1 with all free occurrences of x replaced with e2"

- e1 with all free occurrences of x replaced with e2, as long as no free variables of e2 get captured
- undefined otherwise

Capture-Avoiding Substitution

Formally:

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Rewrite rules of lambda calculus

- 1. α -step (aka renaming formals)
- 2. B-step (aka function call)

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Semantics: α-Reduction

```
\langle x - \rangle = a \rangle \langle y - \rangle = [x := y]
where not (y \text{ in } FV(e))
```

- We can rename a formal parameter and replace all its occurrences in the body
- We say that $(\x -> e)$ a-steps to $(\y -> e[x := y])$

Semantics: α-Reduction

```
\x -> e = a> \y -> e[x := y]
where not (y \text{ in } FV(e))
```

• Example:

```
\x -> x = a> \y -> y = a> \z -> z
```

• All these expressions are α -equivalent

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Example

What's wrong with these?

```
-- (A)
\f -> f x =a> \x -> x x

-- (B)
(\x -> \y -> y) y =a> (\x -> \z -> z) z

-- (C)
\x -> \y -> x y =a> \apple -> \orange -> apple orange
```

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The Tricky One

To avoid getting confused, you can always rename formals, so that different variables have different names!

The Tricky One

```
(\x -> (\y -> x)) y
=a> (\x -> (\z -> x)) y
=b> \z -> y
```

To avoid getting confused, you can always rename formals, so that different variables have different names!

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Normal Forms

A **redex** is a λ -term of the form

$$(\x -> e1) e2$$

A λ -term is in **normal form** if it contains no redexes.

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QUIZ: Normal form

Which of the following terms are not in normal form?*

- A. x
- B. x y
- O. (\x -> x) y
- O. x (\y -> y)
- O E. C and D



http://tiny.cc/cmps112-norm-ind

QUIZ: Normal form

Which of the following terms are not in normal form?*

- A. x
- B. x y
- C. (\x -> x) y
- O. x (\y -> y)
- O E. C and D



http://tiny.cc/cmps112-norm-grp

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Semantics: Evaluation

- A λ -term e evaluates to e' if
 - 1. There is a sequence of stops

where each =?> is either =a> or =b> and N >= 0

2. e' is in normal form

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Example of evaluation

Example of evaluation

```
(\x -> x) apple

=b> apple

(\f -> f (\x -> x)) (\x -> x)

=b> (\x -> x) (\x -> x)

=b> \x -> x

(\x -> x x) (\x -> x)

=?> ???
```

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Example of evaluation

```
(\x -> x) apple

=b> apple

(\f -> f (\x -> x)) (\x -> x)

=b> (\x -> x) (\x -> x)

=b> \x -> x

(\x -> x x) (\x -> x)

=b> (\x -> x) (\x -> x)

=b> \x -> x
```

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Elsa shortcuts

Named λ-terms

```
let ID = \x -> \x -- abbreviation for <math>\x -> \x
```

 To substitute a name with its definition, use a =d> step:

Elsa shortcuts

- Evaluation
 - e1 =*> e2: e1 reduces to e2 in 0 or more steps
 - where each step is =a>, =b>, or =d>
 - e1 =~> e2: e1 evaluates to e2
- What is the difference?

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Non-Terminating Evaluation

$$(\x \rightarrow x \x) (\x \rightarrow x \x)$$

=b> $(\x \rightarrow x \x) (\x \rightarrow x \x)$

- Oh no... we can write programs that loop back to themselves
- And never reduce to normal form!
- \bullet This combinator is called Ω

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Non-Terminating Evaluation

• What if we pass Ω as an argument to another function?

let OMEGA =
$$(\x -> x \x) (\x -> x \x)$$

 $(\x -> \y -> y)$ OMEGA

• Does this reduce to a normal form? Try it at home!

Programming in λ -calculus

- Real languages have lots of features
 - Booleans
 - Records (structs, tuples)
 - Numbers
 - Functions [we got those]
 - Recursion
- Let's see how to encode all of these features with the λ -calculus.

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λ-calculus: Booleans

- How can we encode Boolean values (TRUE and FALSE) as functions?
- Well, what do we do with a Boolean b?
 - We make a binary choice

if b then e1 else e2

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Booleans: API

· We need to define three functions

```
let TRUE = ???
let FALSE = ???
let ITE = \b x y -> ??? -- if b then x else y
such that
ITE TRUE apple banana =~> apple
```

```
ITE FALSE apple banana =~> banana
(Here, let NAME = e means NAME is an abbreviation for e)
```

Booleans: Implementation

```
let TRUE = \xy \rightarrow x -- Returns first argument
let FALSE = \xy \rightarrow y -- Returns second argument
let ITE = \xy \rightarrow b \xy -- Applies cond. to branches
-- (redundant, but
-- improves readability)
```

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Example: Branches step-by-step

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Example: Branches step-by-step

- Now you try it!
- Can you fill in the blanks to make it happen?
 - http://goto.ucsd.edu:8095/index.html#?demo=ite.lc

```
eval ite_false:
   ITE FALSE e1 e2
   -- fill the steps in!
   =b> e2
```

Example: Branches step-by-step

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Boolean operators

• Now that we have ITE it's easy to define other Boolean operators:

```
let NOT = \b -> ???
let AND = \b1 b2 -> ???
let OR = \b1 b2 -> ???
```

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Boolean operators

 Now that we have ITE it's easy to define other Boolean operators:

```
let NOT = \b -> ITE b FALSE TRUE
let AND = \b1 b2 -> ITE b1 b2 FALSE
let OR = \b1 b2 -> ITE b1 TRUE b2
```

Boolean operators

 Now that we have ITE it's easy to define other Boolean operators:

- (since ITE is redundant)
- Which definition to do you prefer and why?

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Programming in λ -calculus

- Real languages have lots of features
 - Booleans [done]
 - Records (structs, tuples)
 - Numbers
 - Functions [we got those]
 - Recursion

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λ-calculus: Records

- Let's start with records with two fields (aka pairs)?
- Well, what do we do with a pair?
 - 1. Pack two items into a pair, then
 - 2. Get first item, or
 - 3. Get second item.

Pairs: API

· We need to define three functions

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Pairs: Implementation

 A pair of x and y is just something that lets you pick between x and y! (I.e. a function that takes a boolean and returns either x or y)

```
let PAIR = \x y -> (\b -> ITE b x y)
let FST = \p -> p TRUE -- call w/ TRUE, get 1st value
let SND = \p -> p FALSE -- call w/ FALSE, get 2nd value
```

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Exercise: Triples?

 How can we implement a record that contains three values?

```
let TRIPLE = \x y z -> ???
let FST3 = \t -> ???
let SND3 = \t -> ???
let TRD3 = \t -> ???
```

Exercise: Triples?

• How can we implement a record that contains three values?

```
let TRIPLE = \xy z \rightarrow PAIR x (PAIR y z)
let FST3 = \type t \rightarrow FST t
let SND3 = \type t \rightarrow FST (SND t)
let TRD3 = \type t \rightarrow SND (SND t)
```

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Programming in λ -calculus

- Real languages have lots of features
 - Booleans [done]
 - Records (structs, tuples) [done]
 - Numbers
 - Functions [we got those]
 - Recursion

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λ-calculus: Numbers

- Let's start with natural numbers (0, 1, 2, ...)
- What do we do with natural numbers?
 - 1. Count: 0, inc
 - 2. Arithmetic: dec, +, -, *
 - 3. Comparisons: ==, <=, etc

Natural Numbers: API

- We need to define:
- A family of numerals: ZERO, ONE, TWO, THREE, ...
- Arithmetic functions: INC, DEC, ADD, SUB, MULT
- Comparisons: IS_ZERO, EQ

Such that they respect all regular laws of arithmetic, e.g.

```
IS_ZERO ZERO =~> TRUE
IS_ZERO (INC ZERO) =~> FALSE
INC ONE =~> TWO
...
```

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Pairs: Implementation

 Church numerals: a number N is encoded as a combinator that calls a function on an argument N times

```
let ONE = \f x -> f x
let TWO = \f x -> f (f x)
let THREE = \f x -> f (f (f x))
let FOUR = \f x -> f (f (f (f x)))
let FIVE = \f x -> f (f (f (f (f x))))
let SIX = \f x -> f (f (f (f (f x)))))
```

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λ-calculus: Increment

```
-- Call `f` on `x` one more time than `n` does let INC = n -> (f \times -> ???)
```

• Example

```
eval inc_zero :
   INC ZERO
   =d> (\n f x -> f (n f x)) ZERO
   =b> \f x -> f (ZERO f x)
   =*> \f x -> f x
   =d> ONE
```

λ-calculus: Addition

```
-- Call `f` on `x` exactly `n + m` times let ADD = n -> n INC m
```

• Example

```
eval add_one_zero :
  ADD ONE ZERO
  =~> ONE
```

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λ-calculus: Multiplication

```
-- Call `f` on `x` exactly `n * m` times let MULT = n m \rightarrow n (ADD m) ZERO
```

• Example

```
eval two_times_one :
   MULT TWO ONE
   =~> TWO
```

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Programming in λ -calculus

- Real languages have lots of features
 - Booleans [done]
 - Records (structs, tuples) [done]
 - Numbers [done]
 - Functions [we got those]
 - Recursion

λ-calculus: Recursion

 I want to write a function that sums up natural numbers up to n:

```
n \to ... + n
```

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λ-calculus: Recursion

- · No! Named terms in Elsa are just syntactic sugar
- \bullet To translate an Elsa term to $\lambda\text{-calculus:}$ replace each name with its definition

- Recursion: Inside this function I want to call the same function on $\frac{\text{DEC } n}{n}$
- Looks like we can't do recursion, because it requires being able to refer to functions by name, but in λ -calculus functions are anonymous.
- Right?

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λ-calculus: Recursion

- · Think again!
- Recursion: Inside this function I want to call the same function on DEC n
 - Inside this function I want to call a function on DEC n
 - And BTW, I want it to be the same function
- Step 1: Pass in the function to call "recursively"

λ-calculus: Recursion

• Step 1: Pass in the function to call "recursively"

• Step 2: Do something clever to STEP, so that the function passed as rec itself becomes

```
\n -> ITE (ISZ n) ZERO (ADD n (rec (DEC n)))
```

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λ-calculus: Fixpoint Combinator

 Wanted: a combinator FIX such that FIX STEP calls STEP with itself as the first argument:

```
FIX STEP
=*> STEP (FIX STEP)
(In math: a fixpoint of a function f(x) is a point x, such that f(x) = x)
```

• Once we have it, we can define:

```
let SUM = FIX STEP
```

• Then by property of FIX we have:

```
SUM =*> STEP SUM -- (1)
```

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λ-calculus: Fixpoint Combinator

```
eval sum_one:
 SUM ONE
  =*> STEP SUM ONE
                                    -- (1)
 =d> (\rec n -> ITE (ISZ n) ZERO (ADD n (rec (DEC n)))) SUM ONE
  =b> (n \rightarrow ITE (ISZ n) ZERO (ADD n (SUM (DEC n)))) ONE
                                   -- ^^^ the magic happened!
 =b> ITE (ISZ ONE) ZERO (ADD ONE (SUM (DEC ONE)))
  =*> ADD ONE (SUM ZERO)
                                  -- def of ISZ, ITE, DEC, ...
  =*> ADD ONE (STEP SUM ZERO)
                                  -- (1)
       ((\rec n -> ITE (ISZ n) ZERO (ADD n (rec (DEC n)))) SUM ZERO)
  =b> ADD ONE ((\n -> ITE (ISZ n) ZERO (ADD n (SUM (DEC n)))) ZERO)
  =b> ADD ONE (ITE (ISZ ZERO) ZERO (ADD ZERO (SUM (DEC ZERO))))
  =b> ADD ONE ZERO
  =~> ONE
```

λ-calculus: Fixpoint Combinator

- So how do we define FIX?
- Remember Ω ? It *replicates itself!*

```
(\x \rightarrow x x) (\x \rightarrow x x)
=b> (\x \rightarrow x x) (\x \rightarrow x x)
```

• We need something similar but more involved.

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λ-calculus: Fixpoint Combinator

• The Y combinator discovered by Haskell Curry:

```
let FIX = \stp \rightarrow (\xspace(x x)) (\xspace(x x))
```

How does it work?

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Programming in λ -calculus

- Real languages have lots of features
 - Booleans [done]
 - Records (structs, tuples) [done]
 - Numbers [done]
 - Functions [we got those]
 - Recursion [done]

Next time: Intro to Haskell 91