# Bin Shi

# Academic Appointments

01/2025- Associate Professor with Tenure

present Center for Mathematics and Interdisciplinary Sciences

Fudan University

11/2024- Associate Professor with Tenure

present Shanghai Institute for Mathematics and Interdisciplinary Sciences

06/2021 - Associate Professor

10/2024 Academy of Mathematics and Systems Science

Chinese Academy of Sciences

01/2019- Postdoctoral Scholar (Hosted by Michael I. Jordan)

05/2021 Department of Electrical Engineering & Computer Science

University of California, Berkeley

# Education

2015-2018 Ph.D in Computer Science

Major: Theoretical Machine Learning

School of Computing and Information Sciences, Florida International University, FL

2013–2015 M.S. in Physics

Major: Theoretical Physics

Department of Physics, University of Massachusetts, Dartmouth, MA

2008–2011 M.S. in Mathematics

Major: Pure Mathematics

Thesis: Nekhoroshev Estimates for Infinite-Dimensional Reversible System with Chain Structure,

Advisor: Xiaoping Yuan

School of Mathematical Science, Fudan University, Shanghai, China

2002-2006 B.S. in Mathematics

Major: Pure and Applied Mathematics

School of Mathematical Science, Ocean University of China, Qingdao, China

#### Research Interests

- Optimization for Machine Learning
- Numerical Analysis and Scientific Computing
- Data Assimilation
- Quantum Algorithms
- Nonlinear Sciences and Stochastic Sciences
- Fluid Dynamics (Turbulence, Geophysical and Astrophysical)

# Journal Publications

#### • A Lyapunov Analysis of Accelerated PDHG Algorithms

Xueying Zeng, Bin Shi

To appear in Journal of Optimization Theory and Applications, 2025+.

## Numerical Solution for Nonlinear 4D Variational Data Assimilation (4D-Var) via ADMM Bowen Li, Bin Shi

Journal of Computational Physics, 538:114163, 2025.

## • Linear Convergence of ISTA and FISTA

Bowen Li, Bin Shi and Ya-Xiang Yuan

Journal of the Operations Research Society of China, 2024, Published Online.

## • On the Hyperparameters in SGD with Momentum

Bin Shi

Journal of Machine Learning Research, 25(236):1-40, 2024.

## Linear convergence of Forward-Backward Accelerated Algorithms without Knowledge of the Modules of the Strong Convexity

Bowen Li, Bin Shi and Ya-Xiang Yuan

SIAM Journal on Optimization, 34(2):2150-2168, 2024.

## • The Sampling Method for Optimal Precursors of ENSO Events

Bin Shi and Junjie Ma

Nonlinear Processes in Geophysics, 31(1):165-174, 2024.

#### • On Learning Rates and Schrödinger Operators

Bin Shi, Weijie J. Su and Michael I. Jordan

Journal of Machine Learning Research, 24(379):1-53, 2023.

## An adjoint-free algorithm for conditional nonlinear optimal perturbations (CNOPs) via sampling

Bin Shi and Guodong Sun

Nonlinear Processes in Geophysics, 30(3):263-276, 2023.

#### • Understanding the Acceleration Phenomenon via High-Resolution Differential Equations

Bin Shi, Simon S. Du, Michael I. Jordan, and Weijie J. Su

Mathematical Programming, Series A, 195(1):79-148, 2022.

#### Conjugate and Cut Points in Ideal Fluid Motion

Theodore D. Drivas, Gerard Misiołek, Bin Shi and Tsuyoshi Yoneda

Annales Mathématiques du Québec, 46(1):207-225, 2022.

# Conference and Workshop Papers

#### • Quantum Optimization via Gradient-Based Hamiltonian Descent

Jiaqi Leng, Bin Shi

ICML 2025.

#### Acceleration via Symplectic Discretization of High-Resolution Differential Equations

**Bin Shi**, Simon S. Du, Weijie J. Su and Michael I. Jordan NeurIPS 2019.

#### A Conservation Law Method in Optimization

Bin Shi, Tao Li and Sundaraja S. Iyengar

Workshop on Optimization for Machine Learning, NeurIPS 2017.

# Monographs

Mathematical Theories of Machine Learning - Theory and Applications

**Bin Shi** and Sundaraja S. Iyengar Springer International Publishing, 2020

## **Preprints**

• Gradient Norm Minimization of Nesterov Acceleration:  $o(1/k^3)$ 

Shuo Chen, **Bin Shi** and Ya-xiang Yuan arXiv preprint https://arxiv.org/abs/2209.08862, submitted

• Optimal Disturbances of Blocking: A Barotropic View

**Bin Shi**, Dehai Luo and Wenqi Zhang arXiv preprint https://arxiv.org/abs/2210.06011, submitted

Proximal Subgradient Norm Minimization of ISTA and FISTA

Bowen Li, **Bin Shi** and Ya-xiang Yuan arXiv preprint https://arxiv.org/abs/2211.01610, submitted

• Revisiting the Acceleration Phenomenon via High-Resolution Differential Equations

Shuo Chen, **Bin Shi** and Ya-Xiang Yuan arXiv preprint https://arxiv.org/abs/2212.05700, submitted

• On Underdamped Nesterov Acceleration

Shuo Chen, **Bin Shi** and Ya-Xiang Yuan arXiv preprint https://arxiv.org/abs/2304.14642, submitted

• Understanding the ADMM Algorithm via High-Resolution Differential Equations

Bowen Li, Bin Shi

arXiv preprint https://arxiv.org/abs/2401.07096, submitted

• Understanding the PDHG Algorithm via High-Resolution Differential Equations

Shuo Chen, Bin Shi

arXiv preprint https://arxiv.org/abs/2403.11139, submitted

• Lyapunov Analysis For Monotonically Forward-Backward Accelerated Algorithms

Mingwei Fu, Bin Shi

arXiv preprint https://arxiv.org/abs/2412.13527, submitted

 A Family of Controllable Momentum Coefficients for Forward-Backward Accelerated Algorithms

Mingwei Fu, **Bin Shi** arXiv preprint https://arxiv.org/abs/2501.10051, submitted

• On Pseudospectral Concentration for Rank-1 Sampling

Kuo Gai, Bin Shi

arXiv preprint https://arxiv.org/abs/2505.10896, submitted

# Grants and Funding

• Co-PI: National Science Foundation of China, #12241105

Developing 4D-Var Strongly Coupled Assimilation System of Climate System Models Based on Statistical Machine Learning

Co-PI: CAS Project for Young Scientists in Basic Research, #YSBR-034

Mathematical Principles of Deep Learning

# Professional Experience

Journal Review Mathematical Reviews/MathSciNet

Mathematical Programming (MP)

SIAM Journal on Optimization (SIOPT)

SIAM Journal on Control and Optimization (SICON)

SIAM Journal on Mathematical Analysis (SIMA)

SIAM Journal on Numerical Analysis (SINA)

Numerische Mathematik (NM)

Mathematics of Computation (MCOM)

Communications in Mathematical Sciences (CMS)

Journal of Machine Learning Research (JMLR)

Transactions on Machine Learning Research (TMLR)

Journal of Computational Mathematics (JCM)

Computational Optimization and Applications (CoA)

Numerical Algorithms (NA)

Journal of Global Optimization (JOGO)

Journal of Optimization Theory and Applications (JOTA)

Journal of the Operations Research Society of China (JORSC)

Journal of Mathematical Fluid Mechanics (JMFM)

**IEEE Access** 

Conf. Review ICML, NeurIPS, ICLR, AISTATS