

YSC4231: Parallel, Concurrent and Distributed Programming

Final Project

Congratulations on making it to the end of the PCDP module! We're nearly there, and here comes your final project. I hope you'll have fun with it.

1 Deliverables

Your deliverables for this project must include:

1. A link to a tagged GitHub release with the implementation based on the provided project template.
2. A PDF file with the report describing your discoveries, experiments, design decisions, and related thoughts. The text below will provide many hints on how to structure your implementation. You are encouraged to elaborate on those in your narrative.

2 The Problem

In this project you'll continue mastering distributed programming using Scala actors. The ultimate goal is to implement a *distributed fault-tolerant replicated key-value store*. Sounds cool, right?

A key-value store is one of the simplest forms of a database. Its entries are key-value pairs (like in a hash-map), the key part acting as a unique identifier, and the value being arbitrary data. In recent years, distributed versions of key-value stores have become very popular. Your task in this assignment is to **implement a distributed, replicated storage of key-value pairs, comprised of multiple nodes interacting with each other and duplicating (replicating) the stored data**. The purpose of replication is twofold: (a) to ensure certain level of **fault-tolerance (if one or more replicas fail, others will continue to function)** and (b) to provide **better throughput, allowing multiple clients to send requests concurrently to different nodes** (think of our Amazon example from the lectures). Each node (replica) in this distributed system will be represented by one actor. You will also have to define some helper actors.

Your system will include a **primary node (the primary replica, implemented as an actor)**, which will be **responsible for replicating all changes to a set of secondary nodes** (the secondary replicas). The primary and the secondary replica nodes will form a distributed database, where potential replica nodes might join and leave at arbitrary times.

The **primary replica will be the only one accepting modification events (messages with insertion and removal operations) and replicate its current state to the secondaries (for the purpose of back-up)**. **Both the primary and the secondary replicas will accept lookup (read) messages**, although the secondary nodes will be **allowed to give results that are "out-of-date"** since it *takes time* for the replicas to keep up with the changes on the primary replica.

2.1 Assumed Simplifications

Compared to a full real-world solution several restricting assumptions are made about the system to make the problem easier to solve:

- **Updates are only possible on a dedicated node, the primary replica.**
- The **primary (leader) node does not fail** during the uptime of the system.
- Membership of replicas is handled reliably by a provided subsystem.
- The update rate is low, meaning that no incoming requests need to be rejected due to system overload.
- In case of rejecting an update the **store is allowed to be left in a possibly inconsistent state**, so it may require a subsequent succeeding write to the same key to repair it.
- Clients are expected not to reuse request identifiers before the request has been fully processed and responded to.

A detailed discussion of these restrictions and on how lifting them would affect the solution is given in last section (lifting these restrictions it is not the part of this assignment).

2.2 The Setup

The template repository link for this project is available on Canvas. The files you need to modify are:

- `Replica.scala`
- `Replicator.scala`

The project template comes with a number of tests, which you should use to validate the correctness of your implementation. As previously, **you are encouraged to add your own tests to the suite, but this time you are not required to do so to get the full grade.**

This is a complex project! Make sure to read the *whole description before attempting to solve some parts of it*. In Section 9 of this manuscript you will find a step-by-step list of which subtasks you need to solve in order to have a complete solution. If you are unable to arrive at a full solution due to time constraints, don't despair: you will have learnt a lot along your way. Partial solutions will also be graded.

Good luck!

3 Client Interaction with the Key-Value Store

Clients are external entities (actors *etc.*) contacting the replica set (your cluster of nodes) for reading and writing key-value pairs. It is your responsibility to maintain the consistency guarantees for clients that are described in the next section. Each node participating in the key-value store (primary and secondaries) provides an interface for clients. This interface is a set of messages and corresponding answers that together form the key-value protocol. The key-value protocol itself contains two subsets of operations:

1. Update operations (insertion and removal)
2. Lookup operation

Clients that contact the primary node directly can use all operations on the key-value store, while clients contacting the secondaries can *only use lookups*. The two set of operations in detail are:

3.1 Update Commands

- `Insert(key, value, id)` - This message instructs the primary to insert the `(key, value)` pair into the storage and replicate it to the secondaries: `id` is a client-chosen unique identifier for this request.
- `Remove(key, id)` - This message instructs the primary to remove the `key` (and its corresponding `value`) from the storage and then remove it from the secondaries.
- A successful `Insert` or `Remove` request results in a reply the form of an `OperationAck(id)` message where the `id` field matches the corresponding `id` field of the operation that has been acknowledged.
- A failed `Insert` or `Remove` command results in an `OperationFailure(id)` reply. A failure is defined as the inability to confirm the operation *within 1 second*. See the sections on replication and persistence below for more details, as well as Section 10 on how to implement repeated or delayed message sending.

3.2 Lookup

- `Get(key, id)` - Instructs the replica to look up the “current” (what current means is described in detail in the next section) value assigned with the `key` in the storage and reply with the stored value.
- A `Get` operation results in a `GetResult(key, valueOption, id)` message where the `id` field matches the value in the `id` field of the corresponding `Get` message. The `valueOption` field should contain `None` if the key is not present in the replica or `Some(value)` if a value is currently assigned to the given key in that replica.

4 System Behaviour and Guarantees of Consistency

Imagine the scenario in which one client sends the following messages to the primary replica (starting from empty storage), waiting for successful acknowledgement of each operation before proceeding with the next (see further below for the case of not awaiting confirmation):

```
Insert("key1", "a", 100)
Insert("key2", "1", 200)
Insert("key1", "b", 300)
Insert("key2", "2", 400)
```

4.1 Message ordering guarantees for clients of the primary replica

A second client reading directly from the primary is not allowed to see:

- `key1` containing `b` and then containing `a` (since `a` was written before `b` for `key1`)
- `key2` containing `2` and then containing `1` (since `1` was written before `2` for `key2`)

In other words, this second client sees the updates in order (although it might miss some updates, so it might see the value `2` for `key2` immediately without seeing the intermediate value `1`). In contrast, the second client may observe

- `key1` containing `b` and then `key2` containing `1`
- `key2` containing `2` and then `key1` containing `a`

This means that the ordering guarantee only applies between reads and write to *the same key*, not across keys. The store may choose to provide stronger semantics to respect ordering across different keys, but clients will not be able to rely on this; the reason is that lifting the restriction of having only one non-failing primary replica would require breaking these stronger guarantees.

4.2 Message ordering guarantees for clients contacting secondaries

If another client is reading from one of the secondary replicas (during a conversation, the replica does not change) the exact same requirements apply as if that client was reading from the primary, with the following addition:

- it must be guaranteed that a client reading from a secondary replica will eventually see the following (at some point in the future):
 - `key1` containing `b`
 - `key2` containing `2`

4.3 Ordering guarantees for clients messaging different replicas

If a second client asks different replicas for the same key, it may observe different values during the time window when an update is disseminated. The client asking for `key1` might see

- answer `b` from one replica, and, subsequently
- answer `a` from a different replica

As per the rule stated in the previous section, and assuming that the client keeps asking repeatedly, eventually all reads will result in the value `b` if no other updates are done on `key1`. *Eventual consistency*¹ means that **given enough time, all replicas settle on the same view**. This also means that when you design your system clients contacting multiple replicas at the same time are not required to see any particular ordering. You do not need to design your solution for such scenarios and the tests will not cover these either.

4.4 Durability guarantees of updates for clients messaging the primary replica

The previous two sections defined possible outcomes that the clients are allowed to experience on key updates. In this section, we will see what guarantees acknowledgement messages must obey (on the primary replica). Whenever the primary replica receives an update operation (either `Insert` or `Remove`), it must reply with an `OperationAck(id)` or `OperationFailure(id)` message, to be sent at most 1 second after the update command was processed (the `ActorSystem`'s timer resolution is deemed to be sufficiently precise for this).

A positive `OperationAck` reply must be sent as soon as

- the change in question has been handed down to the `Persistence` actor class (provided) and a corresponding acknowledgement has been received from it (the persistence module is “flaky”—it fails randomly from time to time—and it is your task to keep it alive while retrying unacknowledged persistence operations until they succeed, see the persistence section for details)
- replication of the change in question has been initiated and all of the secondary replicas have acknowledged the replication of the update.

If replicas leave the cluster, which is signalled by sending a new `Replicas` message to the primary, then outstanding acknowledgements of these replicas must be waived. This can lead to the generation of an **`OperationAck` triggered indirectly by the `Replicas` message**.

¹https://en.wikipedia.org/wiki/Eventual_consistency

A negative `OperationFailure` reply must be sent if the conditions for sending an `OperationAck` are not met within the 1 second maximum response time.

4.5 Consistency in the situation of failed persistence or replication

If in the above scenario that the last write fails (*i.e.*, an `OperationFailure` is returned), replication to some replicas may have been successful while it failed on others. Therefore in this case the property that eventually all replicas converge on the same value for `key2` is not provided by this simplified key-value store. In order to restore consistency a later write to `key2` would have to succeed. You are not required to lift this restriction (*i.e.*, it's okay for the store to be temporarily inconsistent).

One consequence of this restriction is that each replica may immediately hand out the updated value to subsequently reading clients, even before the new value has been persisted locally, and no rollback is attempted in case of failure (please, *don't* implement it this way!).

4.6 Result for a pending update

Sending an update request for a key followed by a `Get` request for the same key without waiting for the acknowledgement of the update is allowed to return either the old or the new value (or a third value if another client concurrently updates the same key). An example, assuming only this one client at this time:

```
Insert("key1", "a", 100)
<await confirmation>
Insert("key1", "b", 300)
Get("key1")
```

The replies for the last two requests may arrive in any order, and the reply for the `Get` request may either contain `"a"` or `"b"`.

5 The Mediator

The `Mediator` is an external subsystem that is provided for your use. The `Mediator` follows the following simple protocol (already implemented for you):

- New replicas must first send a `Join` message to the `Mediator` signalling that they are ready to be used.
- The `Join` message will be answered by either a `JoinedPrimary` or `JoinedSecondary` message indicating the role of the new node. The first node to join will get the primary role, other subsequent nodes are assigned the secondary role.
- The mediator will send a `Replicas` message to the primary replica whenever it receives the `Join` message. This message contains the set of available replica nodes including the primary and all the secondaries.

6 The Replicas

Your main bulk of the implementation will be scoped in the actor class `Replica`. Please note that in this project the nodes (*i.e.*, actors) are executed within the same JVM, but the problems and their solutions apply equally when distributing the system across multiple network hosts. When your actor starts, it must send a `Join` message to the `Mediator` and then choose between primary or secondary behavior according to the reply of the `Mediator` to the `Join` message (a `JoinedPrimary` or `JoinedSecondary` message).

The primary replica must provide the following features:

- The primary must accept update and lookup operations from clients following the key-value protocol like `Insert`, `Remove` or `Get` as it is described in Section 3, respecting the consistency guarantees described in Section 4.1.
- The primary must replicate changes to the secondary replicas of the system. It must also react to changes in membership (whenever it gets a `Replicas` message from the `Mediator`) and start replicating to newly joined nodes, and stop replication to nodes that have left; the latter implies terminating the corresponding `Replicator` actor. More details can be found in Section 7.

The secondary replicas must provide the following features:

- The secondary nodes must accept the lookup operation (`Get`) from clients following the key-value protocol while respecting the guarantees described in Section 4.2.
- The replica nodes must accept replication events, updating their current state (see Section 7).

7 The Replication Protocol

Apart from providing the key-value protocol for external clients, you must implement another protocol involving the **primary and secondary replicas and some newly introduced helper nodes**. The key-value store will use this protocol to synchronise its state between nodes.

When a new replica joins the system, the primary receives a new **Replicas** message and *must allocate a new actor* of type **Replicator** for the new replica; when a replica leaves the system **its corresponding Replicator must be terminated**. The role of this **Replicator** actor is to accept **update events**, and propagate the changes to its corresponding replica (*i.e.*, there is exactly one **Replicator** per secondary replica). **Also, notice that at creation time of the Replicator, the primary must forward update events for every key-value pair it currently holds to the Replicator.**

Your task for this protocol will be to implement an actor representing a **Replicator** (the template is provided). The protocol includes **two pairs of messages**. The first one is used by the **replica actor** which requests replication of an update:

- **Replicate(key, valueOption, id)** is sent to the **Replicator** to initiate the replication of the given update to the **key**; in case of an **Insert** operation the **valueOption** will be **Some(value)** while in case of a **Remove** operation it will be **None**.
- **Replicated(key, id)** is sent as a reply to the corresponding **Replicate** message once replication of that update has been successfully completed (see **SnapshotAck**).

The second pair is used by the replicator when communicating with its partner replica:

- **Snapshot(key, valueOption, seq)** is sent by the **Replicator** to the appropriate secondary replica to indicate a new state of the given key. The **valueOption** component has the same meaning as for **Replicate** messages.

The **Snapshot** message provides a sequence number (**seq**) to enforce total *ordering* between the updates. Updates for a given secondary replica must be processed in contiguous ascending sequence number order; this ensures that updates for every single key are applied in the correct order. Each **Replicator** uses its own number sequence starting at zero.

When a snapshot arrives at a **Replica** with a sequence number which is greater than the currently expected number, then that snapshot must be ignored (meaning no state change and no reaction).

When a snapshot arrives at a **Replica** with a sequence number which is smaller than the currently expected number, then that snapshot must be ignored and immediately acknowledged as described below.

The sender reference when sending the **Snapshot** message must be the **Replicator** actor (not the primary replica actor or any other).

- **SnapshotAck(key, seq)** is the reply sent by the secondary replica to the **Replicator** as soon as the update is persisted locally by the secondary replica. The replica might never send this reply in case it is unable to **persist the update**.

The acknowledgement is sent immediately for requests whose sequence number is less than the next expected number.

The expected number is set to the maximum of

- the previously expected number
- the sequence number just acknowledged, incremented by one.

You should note that the Replicator may handle multiple snapshots of a given key in parallel (*i.e.*, their replication has been initiated but not yet completed). It is allowed—but not required—to batch changes before sending them to the secondary replica, provided that each replication request is acknowledged properly and in the right sequence when complete.

```
Replicate("a_key", Some("value1"), id1)
Replicate("a_key", Some("value2"), id2)
```

might have reached the **Replicator** before it got around to send a **Snapshot** message for **a_key** to its replica. These two messages could then result in only the following replication message

```
Snapshot("a_key", Some("value2"), seq)
```

skipping the state where **a_key** contains the value **value1**.

Since the replication protocol is meant to symbolise remote replication **you must consider the case that either a Snapshot message or its corresponding SnapshotAck message is lost on the way**. Therefore the **Replicator** must make sure to periodically retransmit all unacknowledged changes. For testing purposes

it is assumed that this happens roughly *every 100 milliseconds*. To allow for batching (see above) we will assume that a lost *Snapshot* message will lead to a resend at most *200 milliseconds* after the *Replicate* request was received (again, the *ActorSystem*'s scheduler service is considered precise enough).

8 Persistence

Each replica is supposed to submit incoming updates to the local *Persistence* actor and wait for its acknowledgement before confirming the update to the requester. In case of the primary, the requester is a client which sent an *Insert* or *Remove* request and the confirmation is an *OperationAck*, whereas in the case of a secondary the requester is a *Replicator* sending a *Snapshot* and expecting a *SnapshotAck* back. The used message types are:

- *Persist(key, valueOption, id)* is sent to the *Persistence* actor to request the given state to be persisted (with the same field description as for the *Replicate* message above).
- *Persisted(key, id)* is sent by the *Persistence* actor as reply in case the corresponding request was successful; no reply is sent otherwise.

The provided implementation of this persistence service is a mock in the true sense, since it is rather unreliable: every now and then it will fail with an exception and not acknowledge the current request. It is the job of the *Replica* actor to create and appropriately supervise the *Persistence* actor; for the purpose of this exercise any strategy will work, which means that you can experiment with different designs based on resuming, restarting or stopping and recreating the *Persistence* actor, using one of Akka's mechanisms for actor supervision.² The *Replica*'s code below restarts the failed persistence actor when necessary:

```
override def supervisorStrategy: OneForOneStrategy = OneForOneStrategy() {  
  case _: PersistenceException => Restart  
}
```

For testing purposes it is expected that *Persist* is retried before the 1 second response timeout in case persistence failed. The *id* used in retried *Persist* messages must match the one which was used in the first request for this particular update.

9 Approaching this Project

Since this project is quite large, you are given a set of separate test suites that support the solution step-by-step. Below, you can find a suggestion for how to proceed with the solution, but you can of course choose any order of the tasks.

1. Implement the standalone primary replica actor so that it correctly responds to the key-value protocol messages without considering persistence or replication.
2. Implement the secondary replica role so that it correctly responds to the read-only part of the key-value protocol and accepts the replication protocol, without considering persistence.
3. Implement the replicator so that it correctly mediates between replication requests, snapshots, and acknowledgements.
4. Implement the use of persistence in the secondary replicas.
5. Implement the use of persistence and replication at the primary replica.
6. Implement the sending of the initial state replication to newly joined replicas.

10 Hints

- For implementation of delayed and/or repeating sending of a message (e.g., sending messages after a fixed delay or doing so repeatedly every X milliseconds), consider using Akka's mechanism of *schedulers*.³ For instance, the following code run within an instance of an *Actor* class creates a scheduler that immediately starts sending a message *msg* to the actor *act* every 100 milliseconds:

```
val scheduler = context.system.scheduler  
val c: Cancellable =  
  scheduler.schedule(Duration.Zero, 100 millis, act, msg)
```

²<https://doc.akka.io/docs/akka/2.5.26/general/supervision.html>

³See the documentation: <https://doc.akka.io/docs/akka/2.5.26/scheduler.html>

You can always store the *cancellor* `c` for a scheduled activity, in order to cancelling it later. Cancellation can be done by calling `c`'s method `cancel()`.

- Use `scheduler.scheduleOnce()` method for sending a message *just once* with a specified delay.
- The logic for collecting acknowledgements of persistence and replication can be made such that it is usable both in primary and secondary replicas.

You are encouraged to write (versions of) tests that exercise the behavior under unreliable persistence (*i.e.*, when using a `Persistence` actor created with `flaky = true`) or unreliable communication between primary and secondaries. The latter can be done by having the `Mediator` wrap the secondary nodes' `ActorRefs` in small actors which normally forward messages but sometimes forget to do so. Resending snapshots from the `Replicator` without pause is not the intended solution—the resend rate needs to be kept reasonable. This fact is exploited implicitly by the test suite in step 3.

- When running your tests, you might observe an output like the following one:

```
[ERROR] [11/07/2019 01:21:39.324]
[Step1PrimarySpec-akka.actor.default-dispatcher-3]
[akka://Step1PrimarySpec/user/case2-primary/$a] Persistence failure
keyvalstore.Persistence$PersistenceException: Persistence failure
```

Don't worry about it — it is caused by the “flaky” persistence, and shouldn't affect your implementation, assuming it follows the specification above.

11 Bonus: The Implications of the Restrictions

The aim of this section is to offer further insights for those who want to take the assignment as a starting point for continuing their own studies beyond what the course provides. It is not necessary to read this section in order to complete the project.

11.1 Updates are accepted by primary replica only

Accepting writes only on one node at any given time simplifies conflict resolution, because request arrival order at that node can be used to serialize updates to a given key. The downside of this is that this node clearly limits the amount of requests that can be handled per second, it is a single point of bottleneck.

In order to scale the possible update rate beyond what a single node can digest it would also be possible to divide the key space into *shards* and distribute them across the members, making each member a primary for *a certain portion* of the key space. The clients would then need to either be told which one the right node is for updating a certain key, or every node could include a “consistent hashing router” which dispatches incoming requests to the right node on the client's behalf. Moving the primary role for a shard from one member to another would then be necessary to rebalance the allocation when nodes join or leave the cluster.

The service that determines the placement of shards does not need to be a single bottleneck, as we can see the distribution of shards by using consistent hashing can be done without going through a central point. The only thing that needs special care is the hand-off period when shards move between nodes.

11.2 The primary doesn't fail

A real system would have to tolerate a failure of the primary node, which otherwise would be a single point of failure, making the system not fault-resilient. In order to support this, the primary role must be *transferred* by the mediator to another node, which transitions from secondary to primary and starts accepting updates. During this transition updates will be left without a reply, but since confirmations are only sent out after all replicas have acknowledged an update, the new primary node will be able to continue where the old one left off. One problem are unconfirmed or even rejected updates which have in fact been accepted by all secondary replicas. The store will in this case be internally consistent, but its contents possibly does not match what the client assumes if it received an `OperationFailure` message. Having received that message signals a possibly inconsistent state due to other reasons as well, and it could be an option to require the client to attempt to repair it by sending another write to the same key if needed.

11.3 Node membership is handled reliably

A service that reliably handles membership is available in the form of the Akka `Cluster` module. The mediator of this assignment can be a `ClusterSingleton` to which replicas register and which uses

DeathWatch to monitor them, or the cluster membership list could be used directly, determining the primary role by sorting the member addresses and using the first one.

11.4 The update rate is reasonably low

Without this restriction the resending mechanism in, eg, the **Replicator** would have to manage the size of the buffer in which snapshots are kept while awaiting their acknowledgement. This means that the replication mechanism could either lose updates or the **Replica** would need to start rejecting requests with **OperationFailure** as soon as a limit on the number of outstanding requests is exceeded.

When considering the next restriction, latency of confirmations is a performance sensitive topic. One further possible optimisation is to distribute the burden of ensuring consistency between the primary and secondary nodes by requiring that only a certain number of secondaries have sent their confirmation before acknowledging an update. In order to restore consistency in case of a replication failure (e.g., if the primary stops working for whatever reason) the secondaries have to ask their peers for the key and a reply is only sent once enough have replied with the same value. If the number of write confirmations is W and the count of agreeing reads is denoted R , then the condition for consistency is $R + W > N$, where N is the total number of replicas. This inequality must be interpreted with some care because N can change during a replication or read process.

11.5 Clients use unique identifiers for their requests

This assumption simplifies your solution (no need to do extra bookkeeping of request identities) and allows for more straightforward testing of the results. Real-world systems frequently state this restriction explicitly in the client specifications of the communication interface.

11.6 Ignoring inconsistencies after **OperationFailure**

This is the most difficult restriction to lift because it touches on the fundamental choice of which flavour of *consistency* eventually shall be reached. The restriction allows the system to stay responsive in case of failure (by providing an **OperationFailure** reply), but making that reply mean that the update will eventually disappear from all nodes is not possible in general.

Assuming that the primary does not fail for a sufficiently long time period after the operation failure, the first step would be to ensure that for a given key only up to one update can be “in flight” at any given time. If the primary determines that this update has failed, it will then replicate the previous state for that key to all replicas, awaiting confirmation in a blocking manner. This design choice prioritises consistency over availability, since it makes the functionality of updating that key unavailable while the system is experiencing a malfunction. By the way, if you’ve read until here, send me an email quoting the word “replication” before the project deadline to claim one extra bonus point (only the first three unique senders will be rewarded).