



# Introduction to Computer Graphics

## *Transformations*

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# Objectives

- Introduce concepts such as dimension and basis
- Introduce coordinate systems for representing vectors spaces and frames for representing affine spaces
- Discuss change of frames and bases

# Linear Independence

- A set of vectors  $v_1, v_2, \dots, v_n$  is *linearly independent* if the equation

$$\alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n = 0$$

can *only* be satisfied by

$$\alpha_1 = \alpha_2 = \dots = 0$$

- If a set of vectors is linearly *independent*, we cannot represent one in terms of the others
- If a set of vectors is linearly *dependent*, at least one can be written in terms of the others

# Dimension

- In a vector space, the maximum number of linearly independent vectors is fixed and is called the *dimension* of the space
- In an  $n$ -dimensional space, any set of  $n$  linearly independent vectors *form* a *basis* for the space
- Given a basis  $v_1, v_2, \dots, v_n$ , any vector  $v$  can be written as

$$v = \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n$$

where the  $\{\alpha_i\}$  are unique

# Representation

- Until now we have been able to work with geometric entities without using any frame of reference, such a coordinate system
- Need a frame of reference to relate points and objects to our physical world.
  - For example, where is a point?  
We can't answer it without a reference system
- Introduce...
  - *World coordinates*
  - *Camera coordinates*

# Coordinate Systems

- Consider a basis  $v_1, v_2, \dots, v_n$
- A vector is written  $v = \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n$
- The list of scalars  $\{\alpha_1, \alpha_2, \dots, \alpha_n\}$  is **the representation of  $v$ , with respect to the given basis**
- We can write the representation as a row or column array of scalars

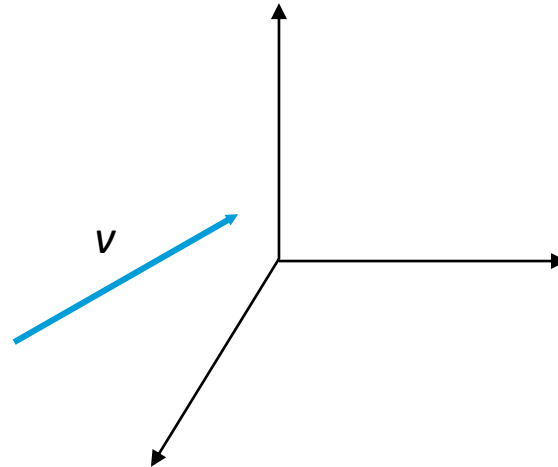
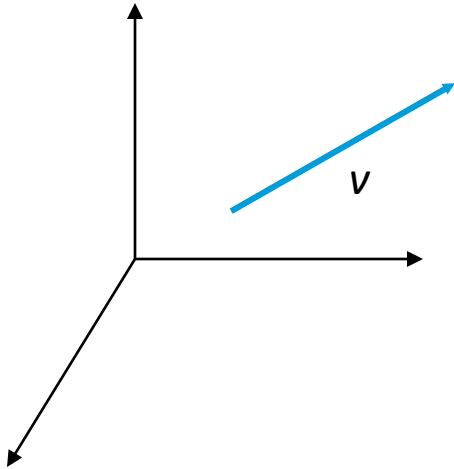
$$A = [\alpha_1 \ \alpha_2 \ \dots \ \alpha_n]^T = \begin{bmatrix} \alpha_1 \\ \alpha_2 \\ \dots \\ \alpha_n \end{bmatrix}$$

# Example

- $V = 2 v_1 + 3 v_2 - 4 v_3$
- $A = [ 2 \ 3 \ -4 ]$ 
  - Note that this representation is **with respect to** a **particular basis**
- For example, in OpenGL we start by representing vectors using the *world basis* but later the system needs a representation in terms of the *camera* or *eye basis*

# Coordinate Systems

- Which is correct?

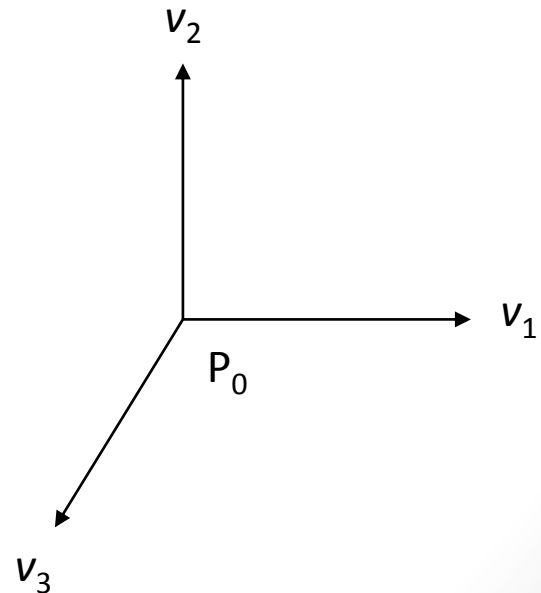


- Both of them are correct, because vectors have no fixed location.



# Frame of reference

- Coordinate System is **insufficient to present points**
- If we work in an affine space we can **add a single point, the *origin*, to the basis vectors to form a *frame***



# Representation in a Frame

- Frame determined by  $(P_0, v_1, v_2, \dots, v_n)$
- Within this frame, every vector can be written as

$$v = \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n$$

- Every point can be written as

$$p = P_0 + \beta_1 v_1 + \beta_2 v_2 + \dots + \beta_n v_n$$

# Homogeneous Coordinates

The general form of **three dimensional homogeneous coordinates** is  $\mathbf{p} = [x \ y \ z \ w]^T$

We go back to a three dimensional point (for  $w \neq 0$ ) by

$$x \leftarrow x/w$$

$$y \leftarrow y/w$$

$$z \leftarrow z/w$$

\*If  $w = 0$ , the representation is that of a vector

Note that homogeneous coordinates replaces **points** in three dimensions by **lines through the origin** in four dimensions

# Homogeneous Coordinates and Computer Graphics

- Homogeneous coordinates are key to all computer graphics systems
  - All standard transformations (rotation, translation, scaling) can be implemented by matrix multiplications with  $4 \times 4$  matrices
  - Hardware pipeline works with 4 dimensional representations
  - \*For *orthographic* viewing, we can maintain  $w = 0$  for vectors and  $w = 1$  for points
  - \*For *perspective* we need a *perspective division*

# “Change” of Coordinate Systems

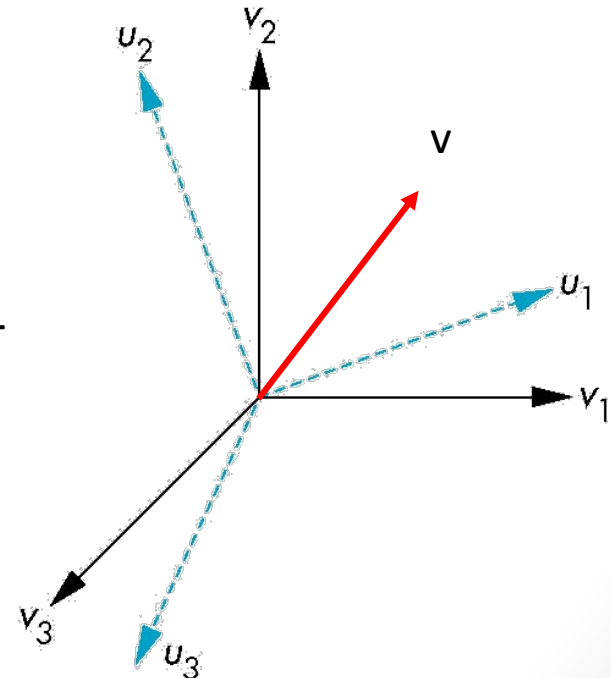
- Consider two representations of a the same vector  $\mathbf{v}$  with respect to two different bases. The representations are

$$\mathbf{a} = [\alpha_1 \ \alpha_2 \ \alpha_3]^T$$

$$\mathbf{b} = [\beta_1 \ \beta_2 \ \beta_3]^T$$

where

$$\begin{aligned}\mathbf{v} &= \alpha_1 \mathbf{v}_1 + \alpha_2 \mathbf{v}_2 + \alpha_3 \mathbf{v}_3 = [\alpha_1 \ \alpha_2 \ \alpha_3] [\mathbf{v}_1 \ \mathbf{v}_2 \ \mathbf{v}_3]^T \\ &= \beta_1 \mathbf{u}_1 + \beta_2 \mathbf{u}_2 + \beta_3 \mathbf{u}_3 = [\beta_1 \ \beta_2 \ \beta_3] [\mathbf{u}_1 \ \mathbf{u}_2 \ \mathbf{u}_3]^T\end{aligned}$$



# “Change” of Coordinate Systems

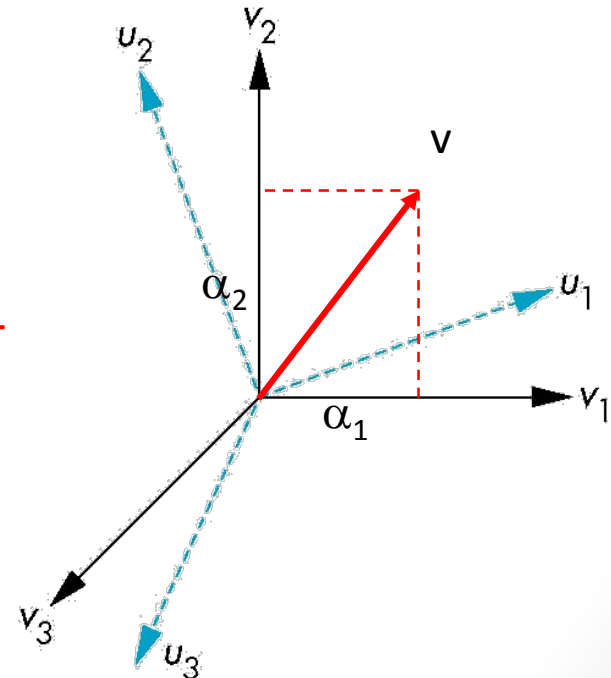
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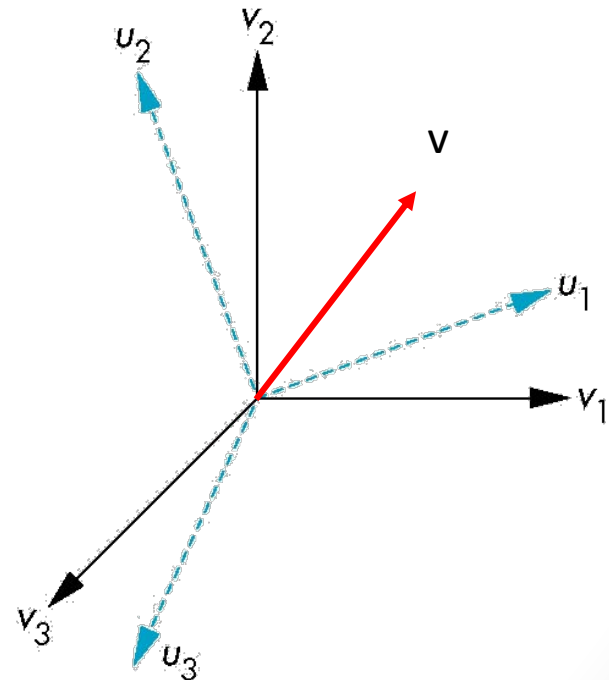
# Representing second basis in terms of first

Each of the basis vectors,  $u_1, u_2, u_3$ , are vectors that can be represented in terms of the first basis

$$u_1 = \gamma_{11}v_1 + \gamma_{12}v_2 + \gamma_{13}v_3$$

$$u_2 = \gamma_{21}v_1 + \gamma_{22}v_2 + \gamma_{23}v_3$$

$$u_3 = \gamma_{31}v_1 + \gamma_{32}v_2 + \gamma_{33}v_3$$



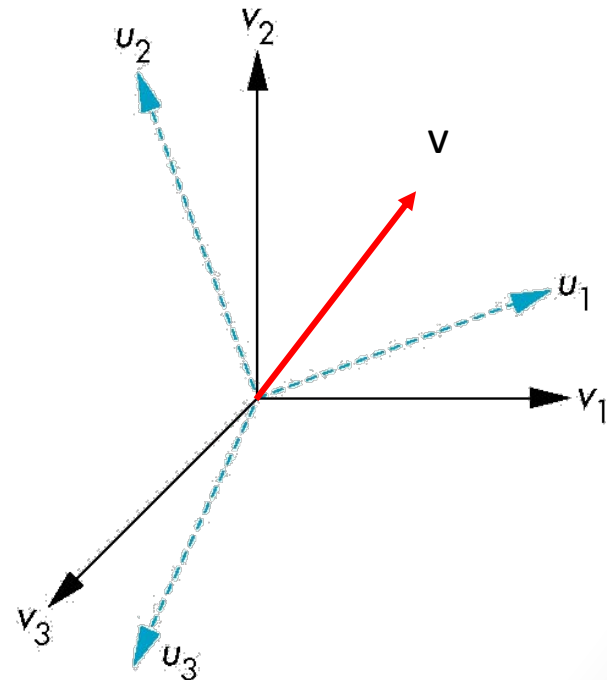
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# Matrix Form

The coefficients define a 3 x 3 matrix

$$\mathbf{M} = \begin{bmatrix} \gamma_{11} & \gamma_{12} & \gamma_{13} \\ \gamma_{21} & \gamma_{22} & \gamma_{23} \\ \gamma_{31} & \gamma_{32} & \gamma_{33} \end{bmatrix} \quad \begin{bmatrix} u_1 \\ u_2 \\ u_3 \end{bmatrix} = \mathbf{M} \begin{bmatrix} v_1 \\ v_2 \\ v_3 \end{bmatrix}$$

and the *basis* can be related by

$$\mathbf{a} = \mathbf{M}^T \mathbf{b}$$

# Example of “Change of representation”

Vector  $w$  whose representation under basis  
 $(v_1, v_2, v_3)$

$$w = v_1 + 2v_2 + 3v_3 \quad \mathbf{a} = \begin{bmatrix} 1 \\ 2 \\ 3 \end{bmatrix}$$

\*a new basis

$$\begin{array}{l} u_1 = v_1 \\ u_2 = v_1 + v_2 \\ u_3 = v_1 + v_2 + v_3 \end{array} \quad \Rightarrow \quad \mathbf{M} = \begin{bmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$

# Example of “Change of representation”<sub>cont.</sub>

Matrix

$$\mathbf{M} = \begin{bmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$

$$\mathbf{A} = (\mathbf{M}^T)^{-1} = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix}^{-1} = \begin{bmatrix} 1 & -1 & 0 \\ 0 & 1 & -1 \\ 0 & 0 & 1 \end{bmatrix}$$

$$\mathbf{b} = \mathbf{A}\mathbf{a} = \begin{bmatrix} -1 \\ -1 \\ 3 \end{bmatrix} \leftarrow \mathbf{a} = \begin{bmatrix} 1 \\ 2 \\ 3 \end{bmatrix}$$

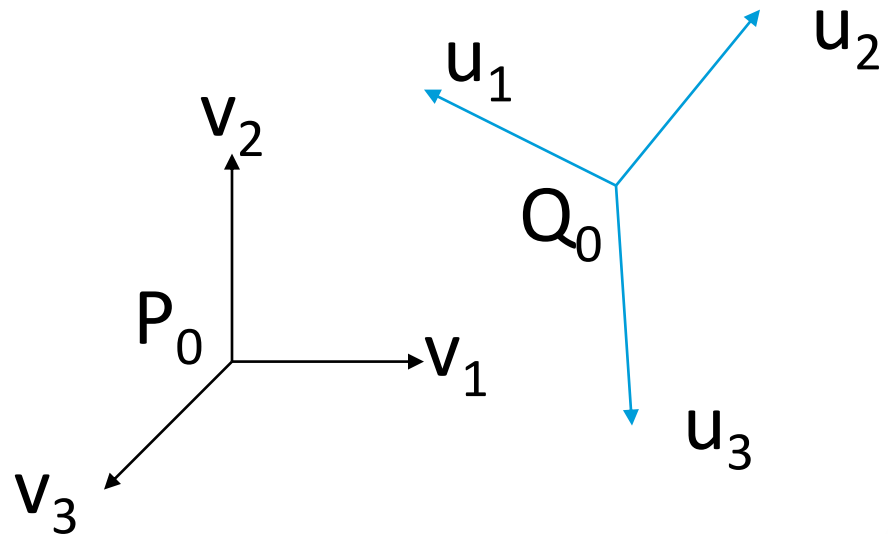
# “Change” of Frames

- We can apply a similar process in **homogeneous coordinates** to the **representations of both points and vectors**

- Consider two frames

$(P_0, v_1, v_2, v_3)$

$(Q_0, u_1, u_2, u_3)$



- Any point or vector can be represented in each

# Representing One Frame in Terms of the Other

Extending what we did with change of bases

$$\mathbf{u}_1 = \gamma_{11}\mathbf{v}_1 + \gamma_{21}\mathbf{v}_2 + \gamma_{31}\mathbf{v}_3$$

$$\mathbf{u}_2 = \gamma_{21}\mathbf{v}_1 + \gamma_{22}\mathbf{v}_2 + \gamma_{23}\mathbf{v}_3$$

$$\mathbf{u}_3 = \gamma_{31}\mathbf{v}_1 + \gamma_{32}\mathbf{v}_2 + \gamma_{33}\mathbf{v}_3$$

$$\mathbf{Q}_0 = \gamma_{41}\mathbf{v}_1 + \gamma_{42}\mathbf{v}_2 + \gamma_{43}\mathbf{v}_3 + \mathbf{P}_0$$

defining a 4 x 4 matrix

$$\mathbf{M} = \begin{bmatrix} \gamma_{11} & \gamma_{12} & \gamma_{13} & 0 \\ \gamma_{21} & \gamma_{22} & \gamma_{23} & 0 \\ \gamma_{31} & \gamma_{32} & \gamma_{33} & 0 \\ \gamma_{41} & \gamma_{42} & \gamma_{43} & 1 \end{bmatrix}$$

# Working with Representations

Within the two frames any point or vector has a representation of the same form

$\mathbf{a} = [\alpha_1 \ \alpha_2 \ \alpha_3 \ \alpha_4]$  in the first frame

$\mathbf{b} = [\beta_1 \ \beta_2 \ \beta_3 \ \beta_4]$  in the second frame

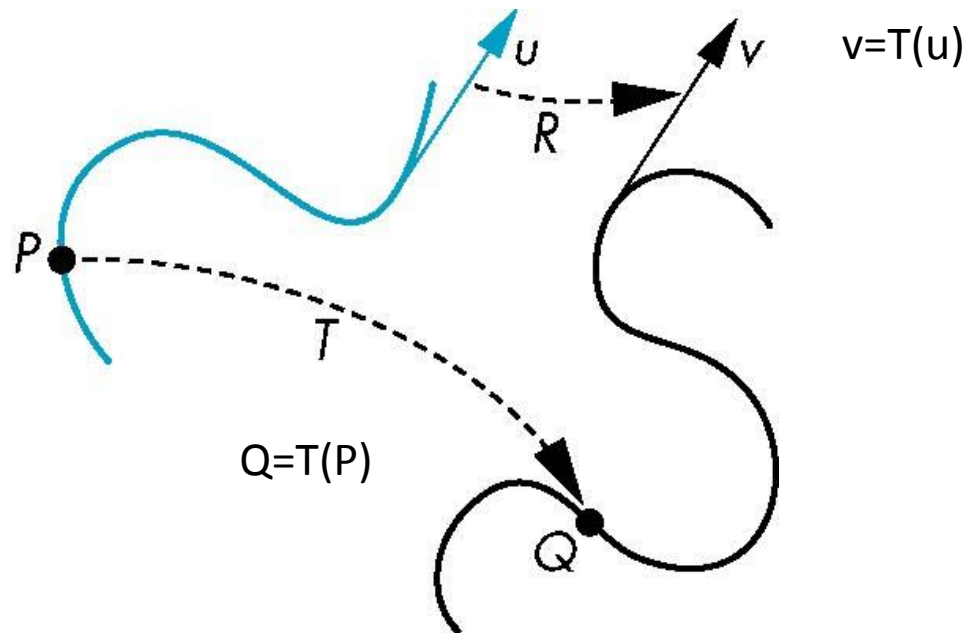
where  $\alpha_4 = \beta_4 = 1$  for points and  $\alpha_4 = \beta_4 = 0$  for vectors  
and

$$\mathbf{a} = \mathbf{M}^T \mathbf{b}$$

The matrix  $\mathbf{M}$  is 4 x 4 and specifies an affine transformation in homogeneous coordinates

# General Transformations

- A transformation maps points to other points and/or vectors to other vectors



# Affine Transformations

- Every linear transformation is equivalent to a change in frames
- Every **affine transformation** is a mapping function between affine spaces which **preserves points, straight lines and planes**.
- However, an affine transformation has **only 12 degrees of freedom** because 4 of the elements in the matrix are fixed and are **a subset** of all possible 4 x 4 linear transformations

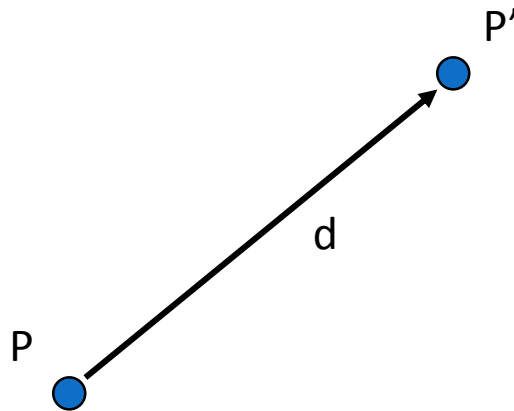


# Affine Transformations

- Parallel lines remain parallel after an affine transformation
- Characteristic of many physically important transformations
  - Rigid body transformations: rotation, translation
  - Scaling and Shearing.
- The importance (in the graphics) is that...
  - We need only transform endpoints of line segments and let implementation draw line segment between the transformed endpoints

# Translation

- Move (translate, displace) a point to a new location



- Displacement determined by a vector  $d$ 
  - Three degrees of freedom
  - $P' = P + d$

# Translation Using Representations

Using the homogeneous coordinate representation in some frame

$$\mathbf{p} = [x \ y \ z \ 1]^T$$

$$\mathbf{p}' = [x' \ y' \ z' \ 1]^T$$


$$\mathbf{d} = [d_x \ d_y \ d_z \ 0]^T$$

Hence  $\mathbf{p}' = \mathbf{p} + \mathbf{d}$  or

$$x' = x + d_x$$

$$y' = y + d_y$$

$$z' = z + d_z$$



note that this expression is in four dimensions and expresses that point = vector + point

# Translation Matrix

We can also express translation using a 4 x 4 matrix  $\mathbf{T}$  in homogeneous coordinates  $\mathbf{p}' = \mathbf{T}\mathbf{p}$  where

$$\mathbf{T} = \mathbf{T}(d_x, d_y, d_z) = \begin{bmatrix} 1 & 0 & 0 & d_x \\ 0 & 1 & 0 & d_y \\ 0 & 0 & 1 & d_z \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

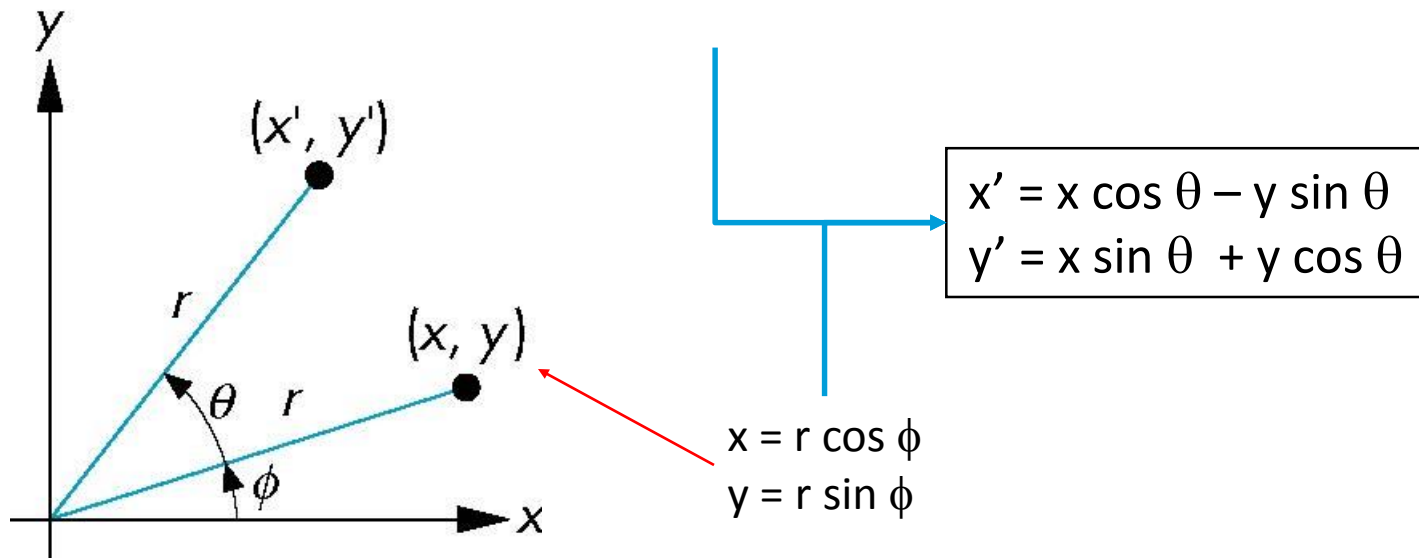
This form is better for implementation because **all affine transformations can be expressed this way** and multiple transformations can be concatenated together

# Rotation (2D)

- Consider rotation about the **origin** by  $\theta$  degrees
  - radius stays the same, angle increases by  $\theta$

$$x' = r \cos (\phi + \theta) = r \cos \phi \cos \theta - r \sin \phi \sin \theta$$

$$y' = r \sin (\phi + \theta) = r \cos \phi \sin \theta + r \sin \phi \cos \theta$$



# Rotation about the z axis

- Rotation about z axis in three dimensions leaves all points with the same z
  - Equivalent to rotation in two dimensions in planes of constant z

$$x' = x \cos \theta - y \sin \theta$$

$$y' = x \sin \theta + y \cos \theta$$

$$z' = z$$

- or in homogeneous coordinates

$$\mathbf{p}' = \mathbf{R}_z(\theta) \mathbf{p}$$

# Rotation Matrix

$$\mathbf{R} = \mathbf{R}_Z(\theta) = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Rotation about x and y axes

- Same argument as for rotation about z axis
  - For rotation about  $x$  axis,  $x$  is unchanged
  - For rotation about  $y$  axis,  $y$  is unchanged

$$\mathbf{R} = \mathbf{R}_x(\theta) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\mathbf{R} = \mathbf{R}_y(\theta) = \begin{bmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



# Scaling

Expand or contract along each axis (fixed point of origin)

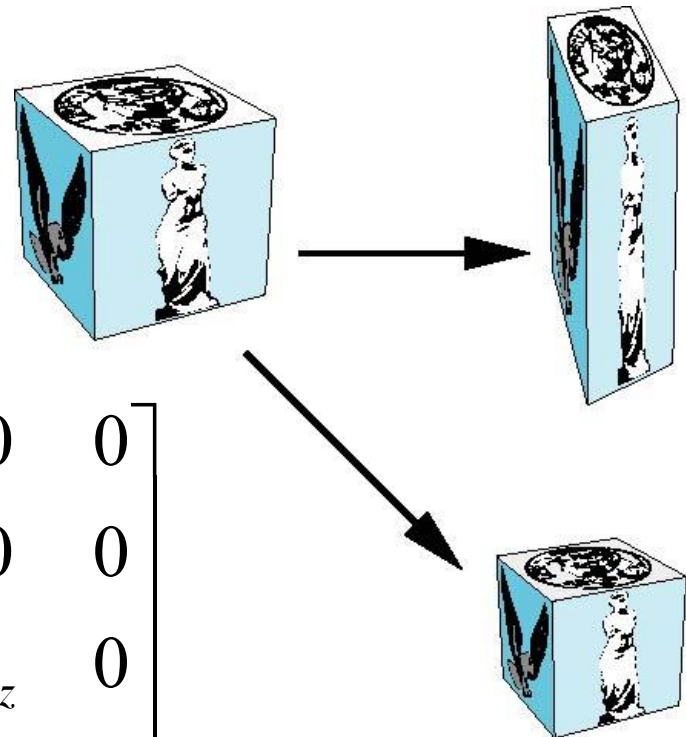
$$x' = s_x x$$

$$y' = s_y y$$

$$z' = s_z z$$

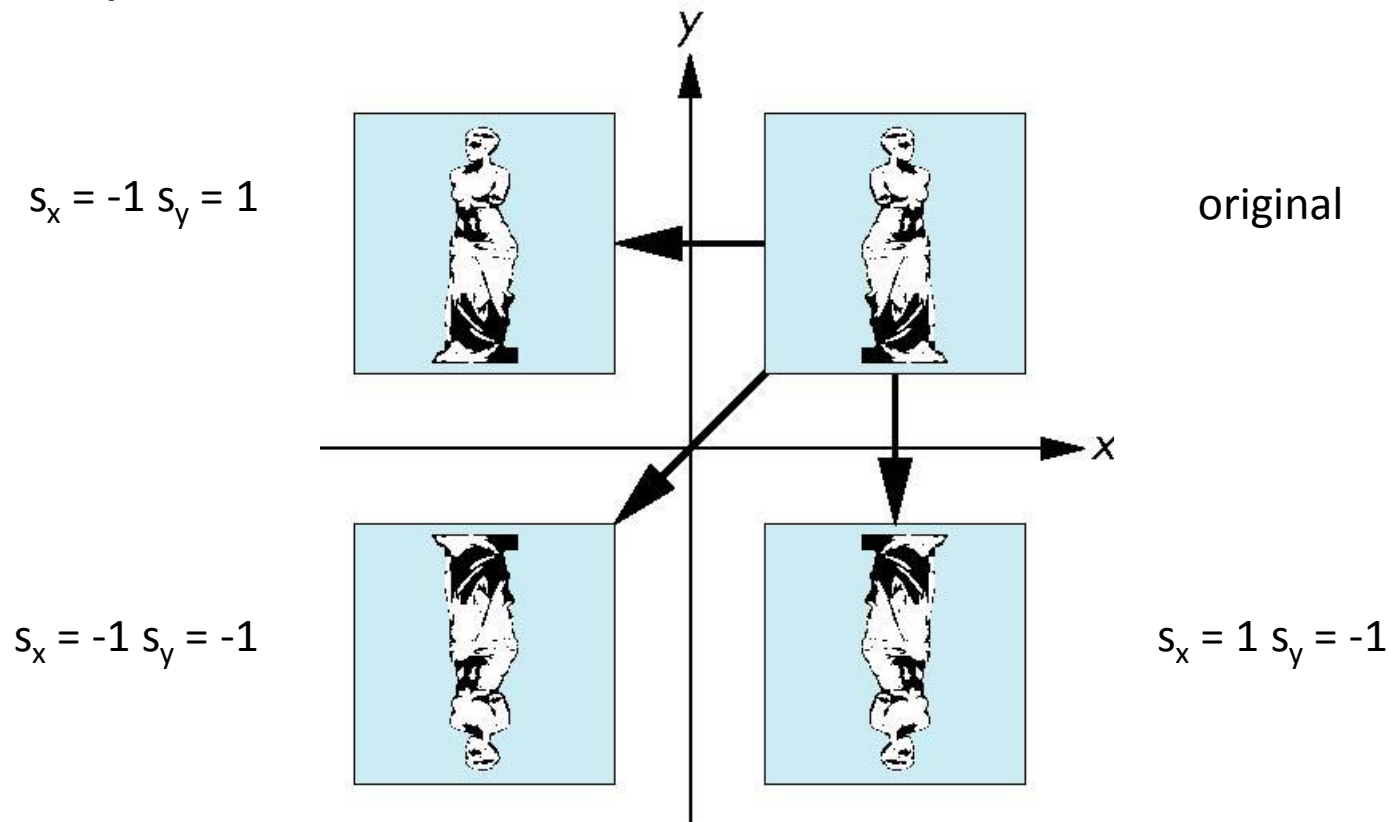
$$\mathbf{p}' = \mathbf{S} \mathbf{p}$$

$$\mathbf{S} = \mathbf{S}(s_x, s_y, s_z) = \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



# Reflection

corresponds to negative scale factors



# Inverses

- Although we could compute inverse matrices by general formulas, we can use simple geometric observations
  - Translation:  $\mathbf{T}^{-1}(d_x, d_y, d_z) = \mathbf{T}(-d_x, -d_y, -d_z)$
  - Rotation:  $\mathbf{R}^{-1}(\theta) = \mathbf{R}(-\theta)$ 
    - Holds for any rotation matrix
    - Note that since  $\cos(-\theta) = \cos(\theta)$  &  $\sin(-\theta) = -\sin(\theta)$   
 **$\gg \mathbf{R}^{-1}(\theta) = \mathbf{R}^T(\theta)$**
  - Scaling:  $\mathbf{S}^{-1}(s_x, s_y, s_z) = \mathbf{S}(1/s_x, 1/s_y, 1/s_z)$


# Concatenation

- We can form arbitrary affine transformation matrices by **multiplying them together**.
  - Ex: rotation, translation, and scaling matrices...
- Because the same transformation is applied to many vertices, it is more efficient to pre-compute a matrix  $\mathbf{M} = \mathbf{ABC}$  and compute  $\mathbf{Mp}$  for many vertices  $\mathbf{p}$
- The difficult part is **how to form a desired transformation** from the specifications in the application

# Order of Transformations

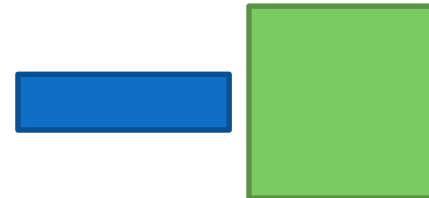
- Note that matrix on the right is the first applied
- Mathematically, the following are equivalent

$$\mathbf{p}' = \mathbf{ABCp} = \mathbf{A(B(Cp))}$$

$\mathbf{Cp} =$  

- Note many references use *row major matrices* to present points.

$$\mathbf{p}^{\mathbf{T}'} = \mathbf{p}^{\mathbf{T}}\mathbf{C}^{\mathbf{T}}\mathbf{B}^{\mathbf{T}}\mathbf{A}^{\mathbf{T}}$$



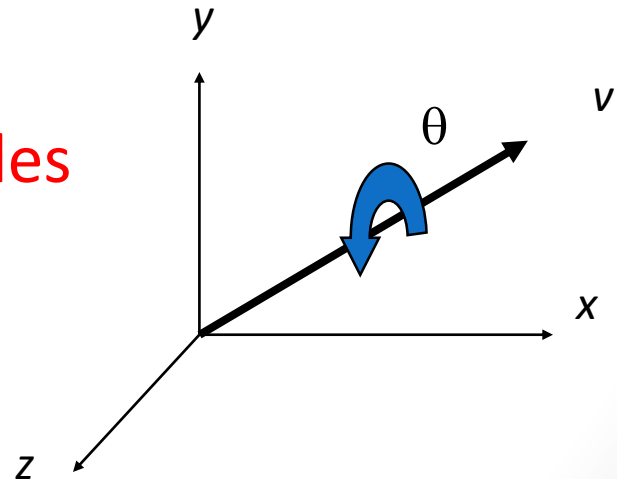
# General Rotation About the Origin

A rotation by  $\theta$  about an arbitrary axis can be decomposed into the concatenation of rotations about the  $x$ ,  $y$ , and  $z$  axes

$$\mathbf{R}(\theta) = \mathbf{R}_1(\theta_1) \mathbf{R}_2(\theta_2) \mathbf{R}_3(\theta_3)$$

$\theta_1 \theta_2 \theta_3$  are called the **Euler angles**

We can use rotations in another order but with different angles



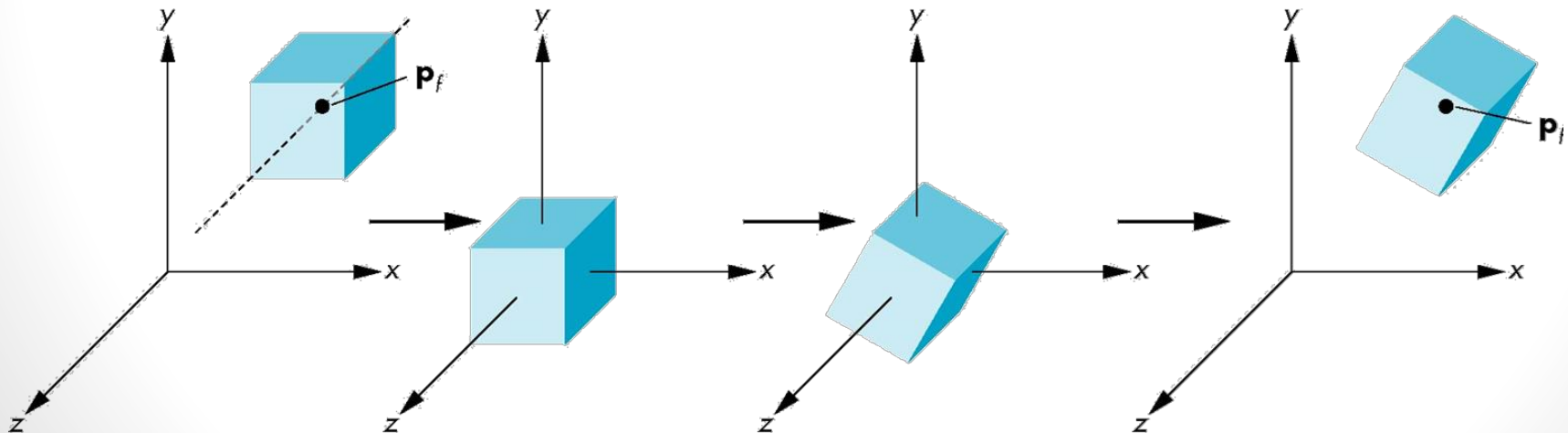
# Rotation About a Fixed Point other than the Origin

Move fixed point to origin

Rotate

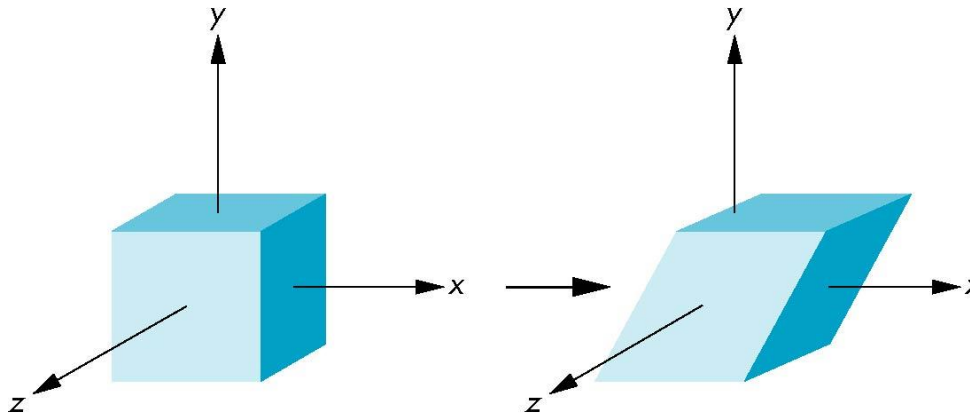
Move fixed point back

$$\mathbf{M} = \mathbf{T}(\mathbf{p}_f) \mathbf{R}(\theta) \mathbf{T}(-\mathbf{p}_f)$$



# Shear

- Helpful to add one more basic transformation
- Equivalent to pulling faces in opposite directions





# Shear Matrix

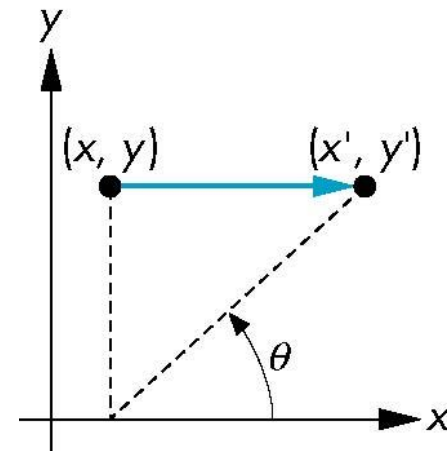
Consider simple shear along  $x$  axis

$$x' = x + y \cot \theta$$

$$y' = y$$

$$z' = z$$

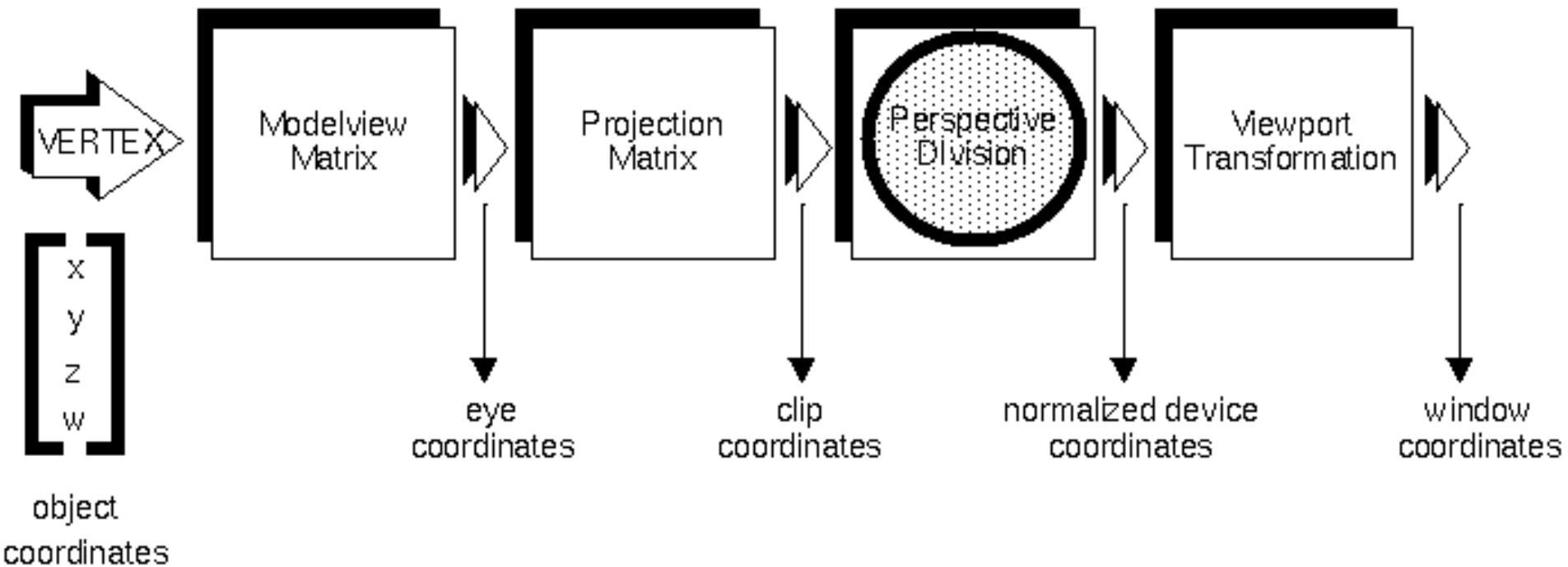
$$\mathbf{H}(\theta) = \begin{bmatrix} 1 & \cot \theta & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



# OpenGL Matrices

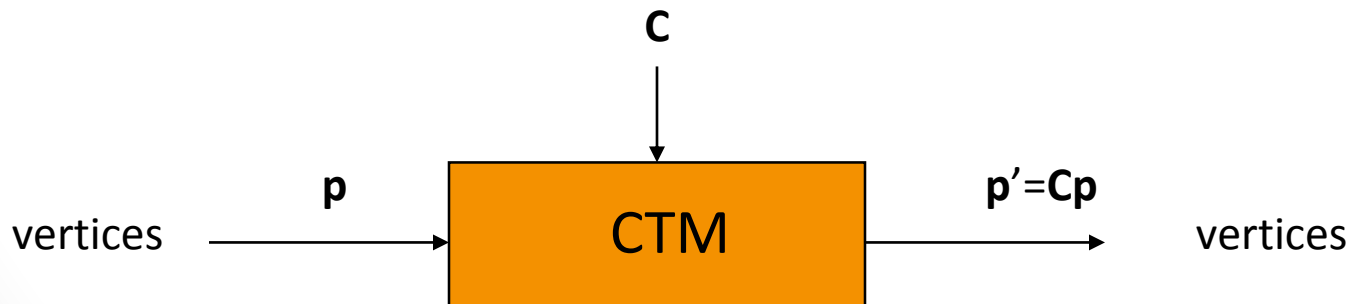
- In OpenGL matrices are part of the state
- Three types
  - Model-View (`GL_MODEL_VIEW`)
  - Projection (`GL_PROJECTION`)
  - Texture (`GL_TEXTURE`) (ignore for now)
- Single set of functions for manipulation
- Select which to manipulated by
  - `glMatrixMode(GL_MODEL_VIEW);`
  - `glMatrixMode(GL_PROJECTION);`

# Stages of Vertex Transformation



# Current Transformation Matrix

- Conceptually there is a 4 x 4 homogeneous coordinate matrix, the *current transformation matrix (CTM)* that is part of the state and is applied to all vertices that pass down the pipeline
- The CTM is defined in the user program and loaded into a transformation unit



# CTM operations

- The CTM can be altered either by loading a new CTM or by postmultiplication

Load an identity matrix:  $\mathbf{C} \leftarrow \mathbf{I}$

Load an arbitrary matrix:  $\mathbf{C} \leftarrow \mathbf{M}$

Load a translation matrix:  $\mathbf{C} \leftarrow \mathbf{T}$

Load a rotation matrix:  $\mathbf{C} \leftarrow \mathbf{R}$

Load a scaling matrix:  $\mathbf{C} \leftarrow \mathbf{S}$

Post-multiply by an arbitrary matrix:  $\mathbf{C} \leftarrow \mathbf{C}\mathbf{M}$

Post-multiply by a translation matrix:  $\mathbf{C} \leftarrow \mathbf{C}\mathbf{T}$

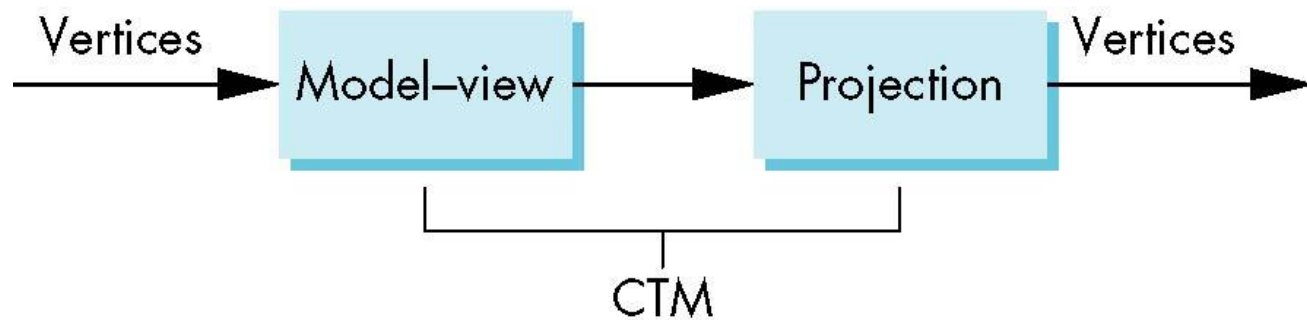
Post-multiply by a rotation matrix:  $\mathbf{C} \leftarrow \mathbf{C}\mathbf{R}$

Post-multiply by a scaling matrix:  $\mathbf{C} \leftarrow \mathbf{C}\mathbf{S}$

# Rotation, Translation, Scaling

## CTM in OpenGL

- OpenGL has a model-view and a projection matrix in the pipeline which are concatenated together to form the CTM
- Can manipulate each after setting the matrix mode: `glMatrixMode(MATRIX_NAME)`



# Rotation, Translation, Scaling

Load an identity matrix:

```
glLoadIdentity()
```

Multiply on right:

```
glRotatef(theta, vx, vy, vz)
```

*theta* is in degrees, (*vx*, *vy*, *vz*) represent the axis of rotation

```
glTranslatef(dx, dy, dz)
```

```
glScalef(sx, sy, sz)
```

Each axis has a float (f) value. We use double (d) format in **glScaledd**

# Arbitrary Matrices

- Can **load** and **multiply** by matrices defined in the application program

```
glLoadMatrixf(m)  
glMultMatrixf(m)
```

- The matrix ***m*** is a one dimension array of 16 elements which are the components of the desired 4 x 4 matrix **stored by columns**
- In **glMultMatrixf**, **m** multiplies the existing matrix on the right



# Rotation about a Fixed Point

Start with identity matrix:  $\mathbf{C} \leftarrow \mathbf{I}$

Move fixed point back:  $\mathbf{C} \leftarrow \mathbf{CT}$

Rotate:  $\mathbf{C} \leftarrow \mathbf{CR}$

Move fixed point to origin:  $\mathbf{C} \leftarrow \mathbf{CT}^{-1}$

Result:  $\mathbf{C} = \mathbf{TRT}^{-1}$

Each operation corresponds to one function call in the program.

Note that **the last operation specified is the first executed in the program**

# Example

- Perform a 45 degrees rotation about the line through the origin and the point (1.0,2.0,3.0) with a fixed point of (4.0, 5.0, 6.0)

```
glMatrixMode(GL_MODELVIEW);  
glLoadIdentity();  
glTranslatef(4.0, 5.0, 6.0);  
glRotatef(45.0, 1.0, 2.0, 3.0);  
glTranslatef(-4.0, -5.0, -6.0);
```



- Remember that last matrix specified in the program is the first applied

# Order of transformations

- The last matrix specified in the program is the first applied
  - $C \leftarrow I$
  - $C \leftarrow CT(4.0, 5.0, 6.0)$
  - $C \leftarrow CR(45.0, 1.0, 2.0, 3.0)$
  - $C \leftarrow CT(-4.0, -5.0, -6.0)$
- **Multiply at the end of CTM**
  - $C \leftarrow CT(4.0, 5.0, 6.0) R(45.0, 1.0, 2.0, 3.0) T(-4.0, -5.0, -6.0)$

# Reading Back Matrices

- Can also access matrices (and other parts of the state) by *enquiry (query)* functions

```
glGetIntegerv  
glGetFloatv  
glGetBooleanv  
glGetDoublev  
glIsEnabled
```

- For matrices, we use as

```
float m[16];  
glGetFloatv(GL_MODELVIEW, m);
```

# Using the Model-View Matrix

- In OpenGL the model-view matrix is used to
  - Position the camera
    - Can be done by rotations and translations but is often easier to use **gluLookAt** (Chapter 5)
  - Build models of objects
- The projection matrix is used to define the view volume and to select a camera lens

# Using the Model-View Matrix

- Although both are manipulated by the same functions, we have to be careful because incremental changes are always made by post-multiplication
  - For example, rotating model-view and projection matrices by the same matrix are not equivalent operations.
  - Post-multiplication of the model-view matrix is equivalent to pre-multiplication of the projection matrix

# Matrix Stacks

- In many situations we want to **save transformation matrices (current state)** for use later
  - Traversing hierarchical data structures (Chapter 9)
  - Avoiding state changes when executing display lists
- OpenGL maintains **stacks** for each type of matrix
  - Access present type (as set by **glMatrixMode**) by

**glPushMatrix()**

**glPopMatrix()**

# Loading, pushing, and popping matrices

Perform a transformation and then return to the same state as before its execution

```
glPushMatrix();  
glTranslatef(...);  
glRotatef(...);  
glScalef(...);  
/* draw object here */  
glPopMatrix();
```



# Check this one for more detail...

- OpenGL Programming - Push and Pop Matrix.pptx

# SUGGESTION! OR OBJECTION?

Let's stop here,

## TAKE A BREAK