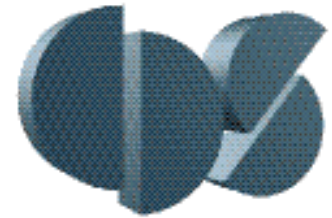


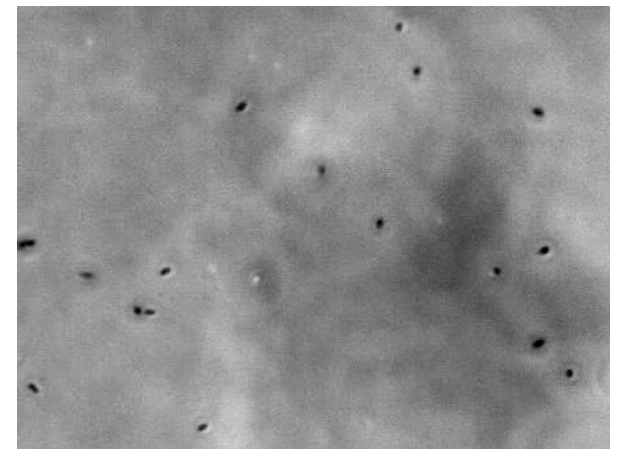


Systems Engineering and Architecture



Richard M. Murray
Control and Dynamical Systems
California Institute of Technology

Design Principles in Biological Systems
21 April 2008

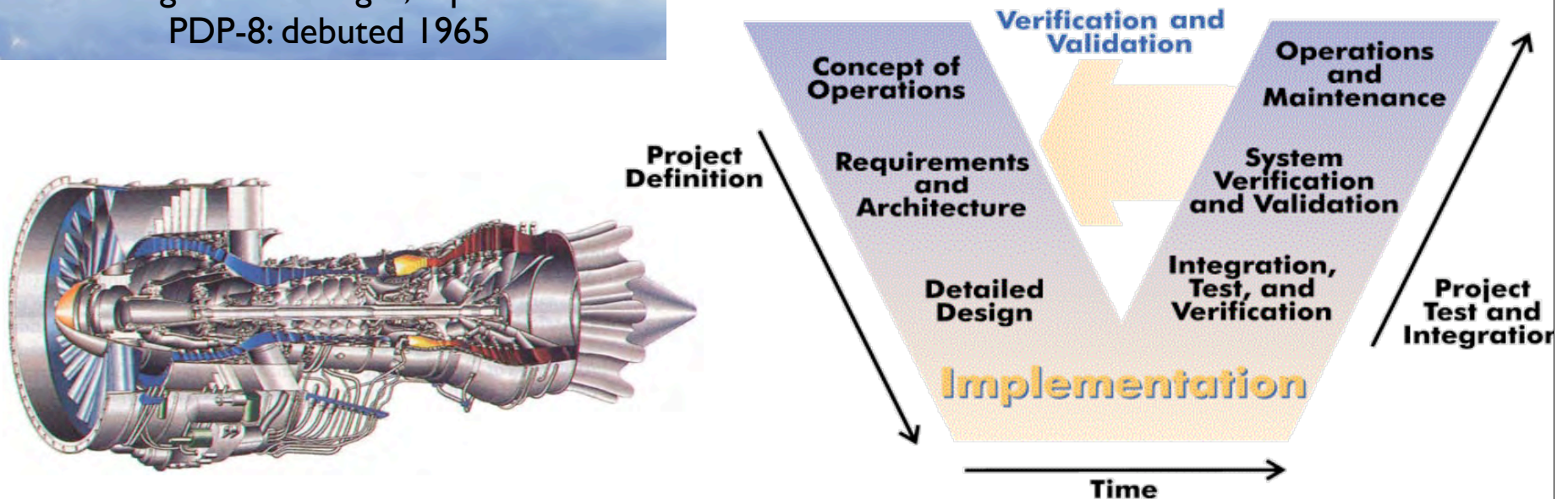


Product Systems Engineering



Systems engineering methodology

- requirements capture and analysis
- systems architecture and design
- functional analysis
- interface design and specification
- communications protocol design & specs
- simulation and modeling
- verification and validation
- fault modeling



Systems of Systems Engineering

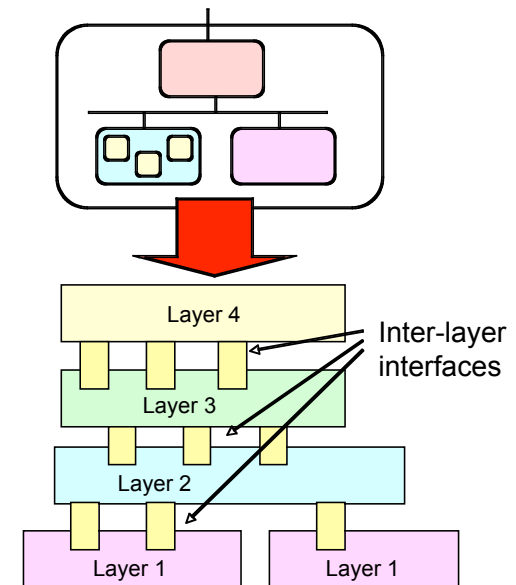
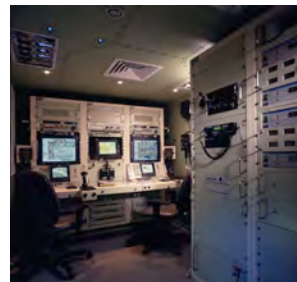


Little centralized control over the design

- Individual systems build for specific purpose
- No global requirements document + *evolution*

Example: air operations center (think ATC)

- Multiple aircraft, designed over the last 50 years (with lots of variations in capabilities)
- Ground control stations + imagery analysis design to run independent of AOC
- All running on COTS computers, networks



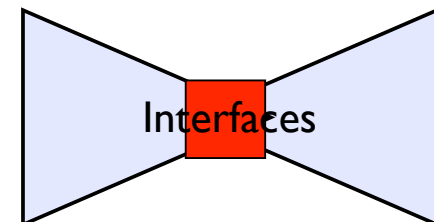
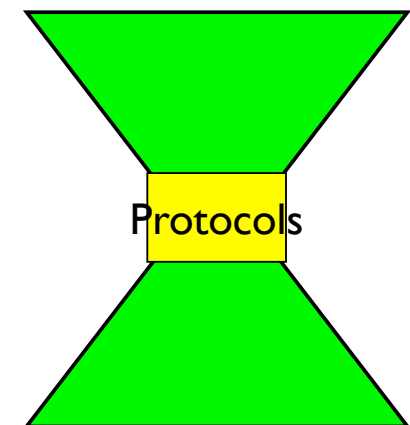
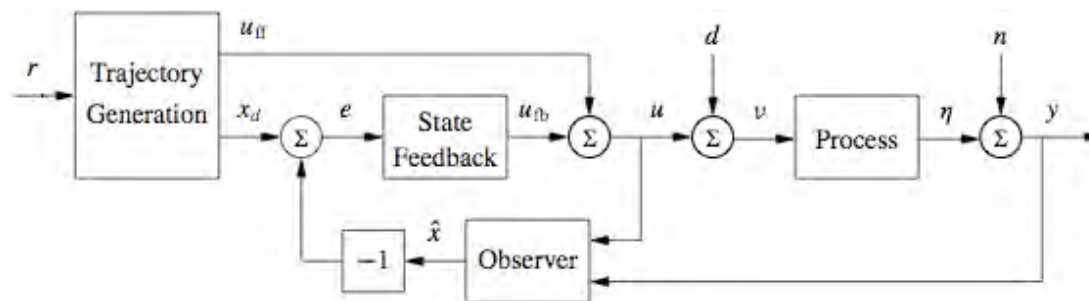
The Role of Architecture

How do we define architecture?

- IEEE: “The fundamental organization of a system embodied in its components, their relationships to each other, and to the environment, and the principles guiding its design and evolution.”
- Doyle (following Gerhart and Kirschner): “The constraints that deconstrain”
- Partha (following from building architecture): Integration of structure and function

Some useful concepts

- *Functional decomposition*: how do we break down a system into functionally independent modules
- *Interfaces and standards*: how do we specify consistent interfaces that let us integrate functional modules
- *Protocols*: how do we build layered abstractions that allow designers to ignore the details above and below



Design Example: "Alice"

DARPA Grand Challenge

- 150 miles of autonomous desert driving
- Key challenge: uncertainty route/env
- Diversity: 198 teams \rightarrow 120 \rightarrow 43 \rightarrow 23

Alice

- 50 Caltech undergraduates, 1 year
- 5 cameras: 2 stereo pairs, roadfinding
- 5 LADARs: long, med*2, short, bumper
- 2 GPS units + 1 IMU (LN 200)

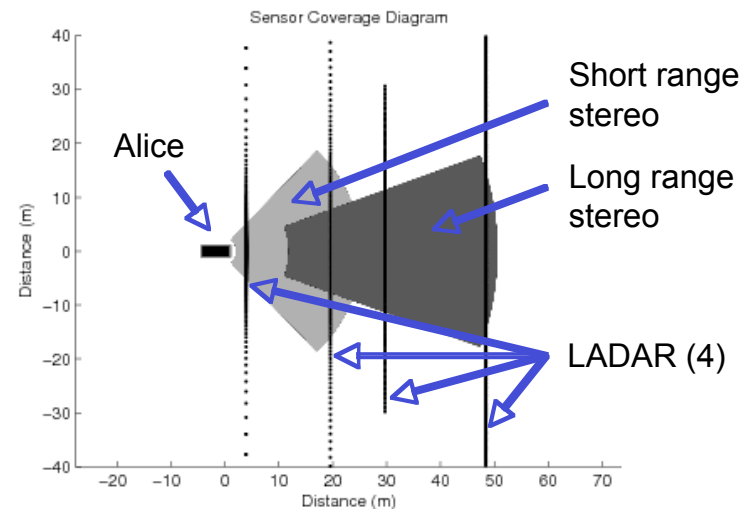


Computing

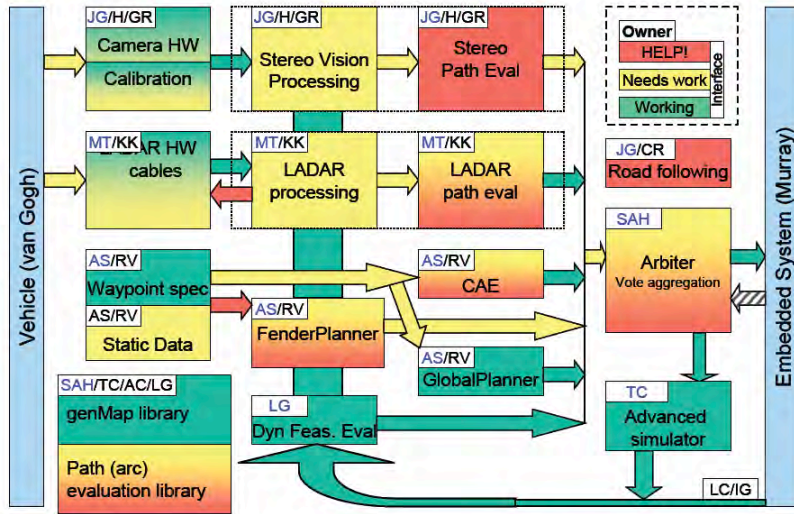
- 6 Dell PowerEdge Servers (P4, 3GHz)
- 1 IBM Quad Core AMD64 (fast!)
- 1 Gb/s switched ethernet

Software

- 15 programs with ~100 exec threads
- 100,000+ lines of executable code



Evolution of Alice's Architecture

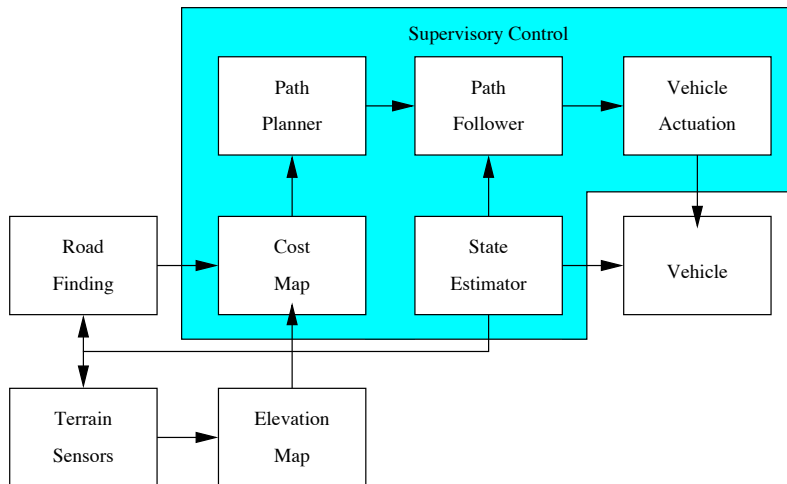


Bob's architecture: arbiter based

- Key idea: independent sensors “vote” for direction that vehicle should drive
- Key feature: once interface protocol for a “voter” is established, can work on many sensor processing approaches in parallel
- Limitation: very limited ability to “reason” about environment; no contingency plans
- Complexity: 20k (est) lines of C++ code

Alice's architecture: cost map + planning

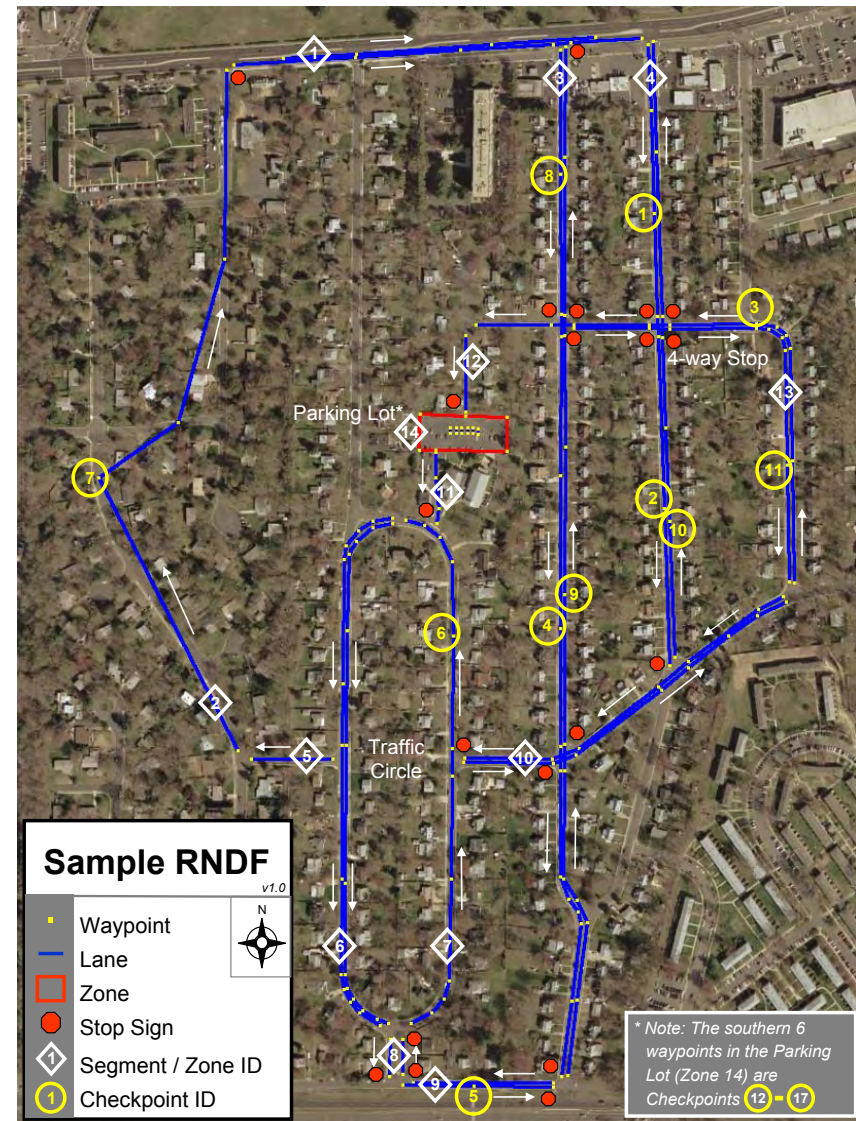
- Higher level reasoning about environment based on cost map
- Key features:
 - Fuse elevation maps to allow parallel development of sensor pathways
 - Supervisor controller for contingencies
- Limitation: much more complex software
- Complexity: 100k lines of code; some reuse
- Built on top of lots of existing code + COTS



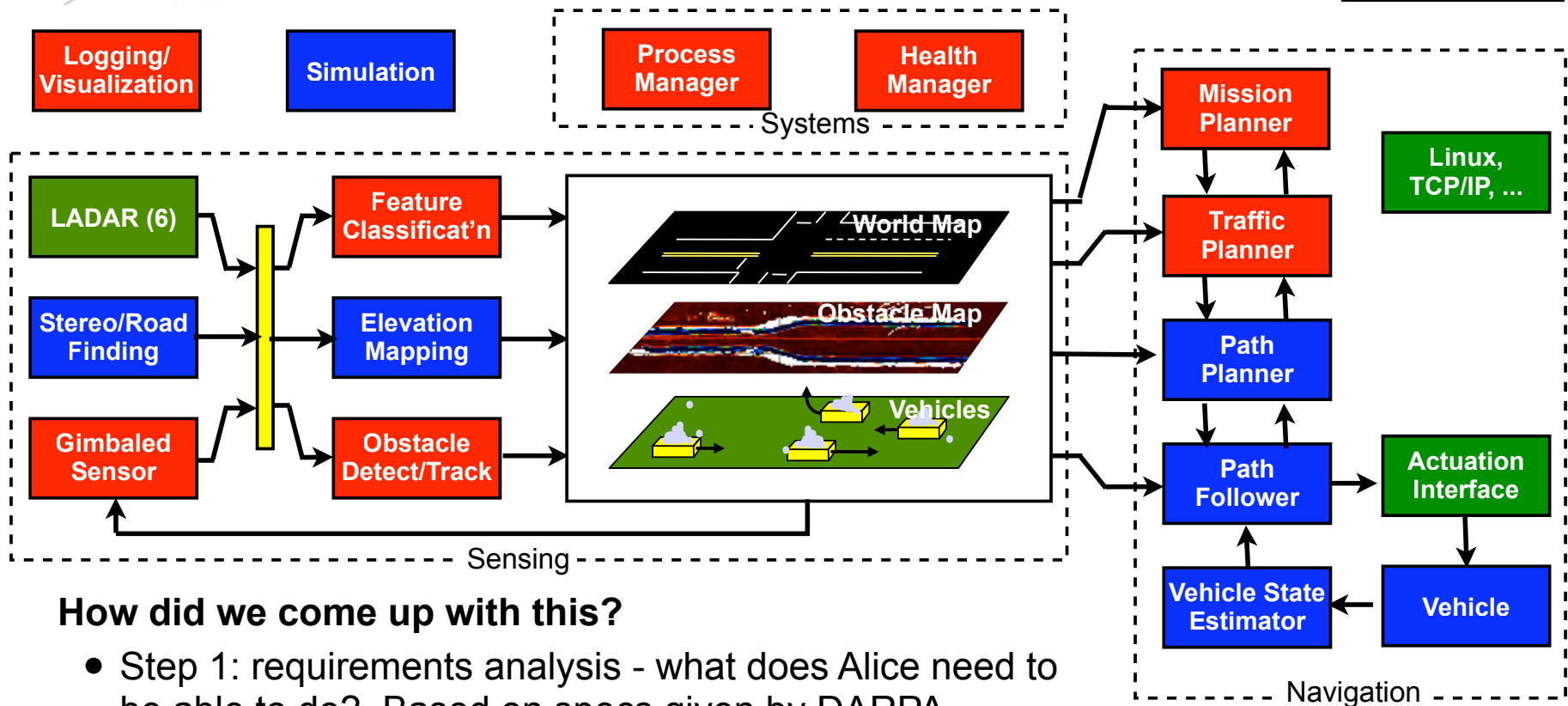
2007 DARPA Grand Challenge (Urban Challenge)

Autonomous Urban Driving

- 60 mile course, less than 6 hours
- City streets, obeying traffic rules
- Follow cars, maintain safe distance
- Pull around stopped, moving vehicles
- Stop and go through intersections
- Navigate in parking lots (w/ other cars)
- U turns, traffic merges, replanning
- Prizes: \$2M, \$1M, \$500K



DGC07 System Architecture (Gen 3)



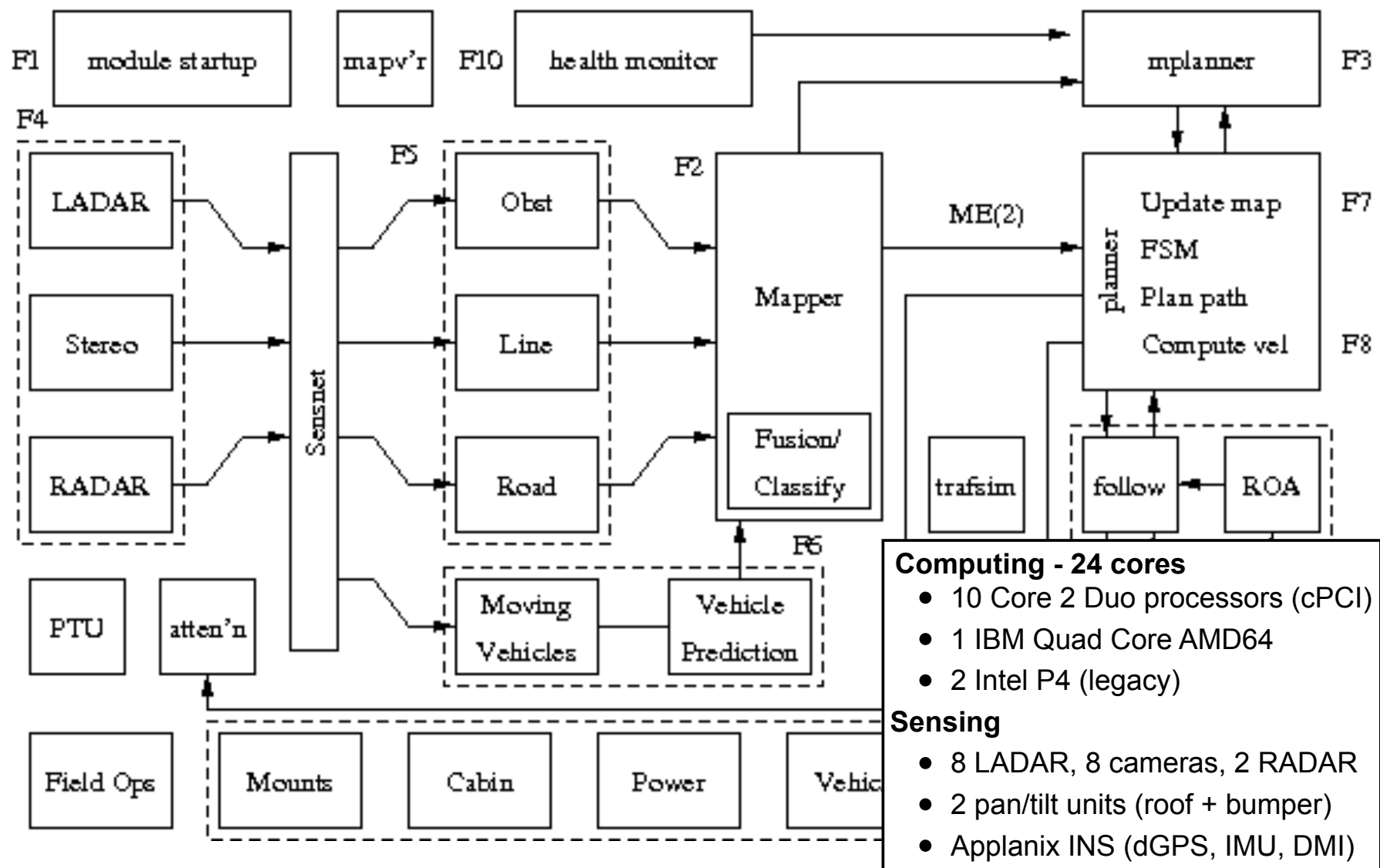
How did we come up with this?

- Step 1: requirements analysis - what does Alice need to be able to do? Based on specs given by DARPA
- Step 2: functional decomposition - what are the basic elements required to function? Designer choice
- Step 3: scenario generation and iteration - can it do what we want? Some simulation; mainly paper-based
- Step 4: interface specs (50% inherited \Rightarrow software reuse)

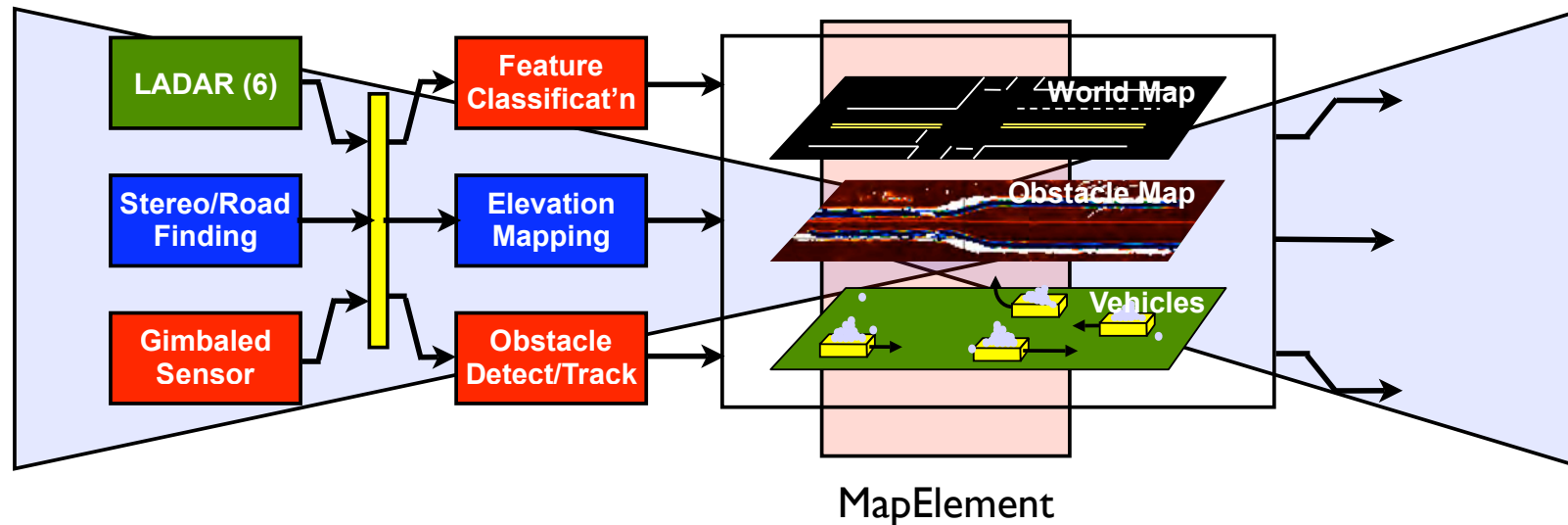
Properties

- Highly modular
- Rapidly adaptable
- Constantly viable
- Robust ???

Architecture, July 2007



Sensing Bowtie



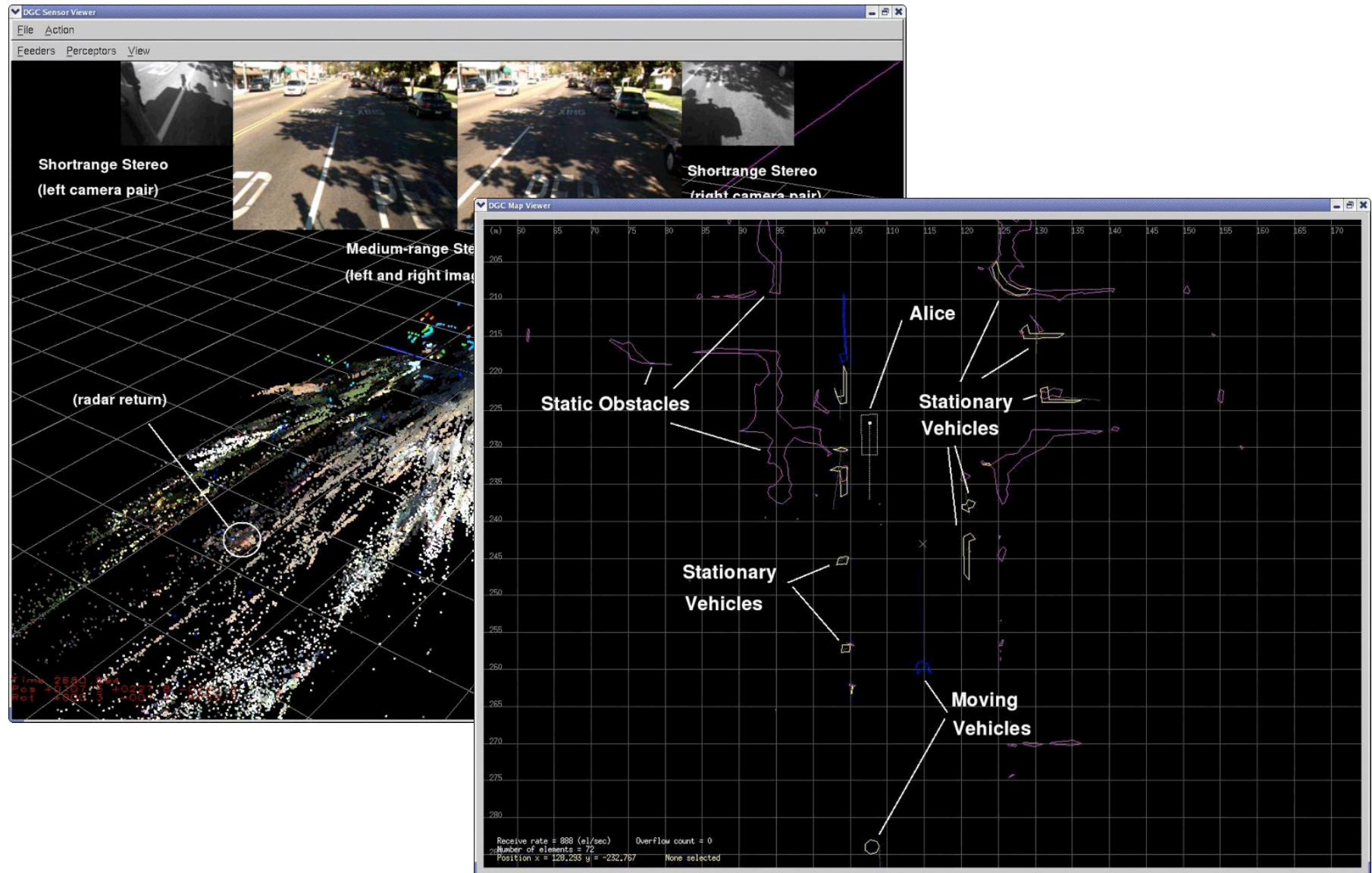
MapElement serves as constraint that deconstrains

- Fix the structure of the elements in the world map
- Left end: sensors → perceptors → MapElements
- Right end: MapElements → environment descriptions → planners

Engineering principle: allow parallel development (people and time) + flexibility

- Fixing the map element structure allows 15 people to work simultaneously
- We can evolve/adapt our design over time, as we get closer to the race

Feeder → Perceptors → Mapper



Planning Hourglass

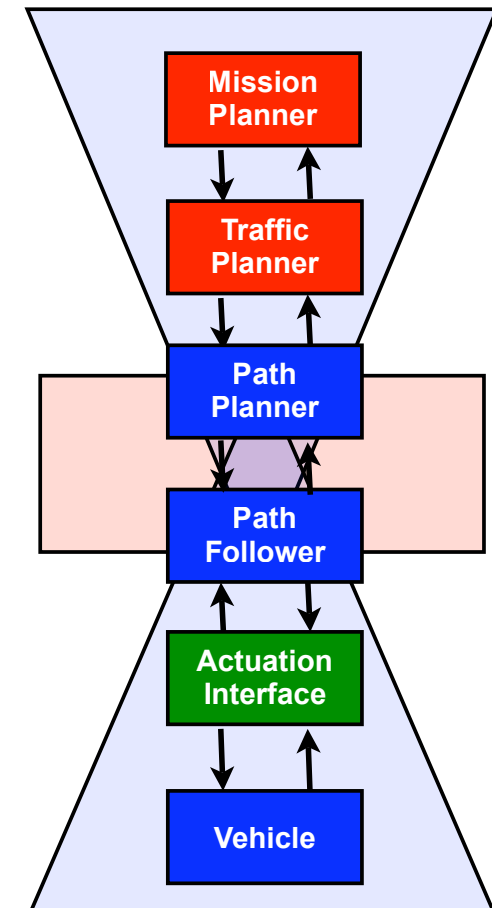


Protocol stack based architecture

- Planners uses directives/responses to communicate
- Each layer is isolated from the ones above and below
- Have 4 different path planners under development, two different traffic planners. Rewriting the controllers as we speak (literally)

Engineering principle: protocols isolate interactions

- Define each layer to have a specific purpose; don't rely on knowledge of lower level details
- Important to pass information back and forth through the layers; a fairly in an actuator just generate a change in the path (and perhaps the mission)
- Higher layers (not shown) monitor health and can act as "hormones" (affecting multiple subsystems)



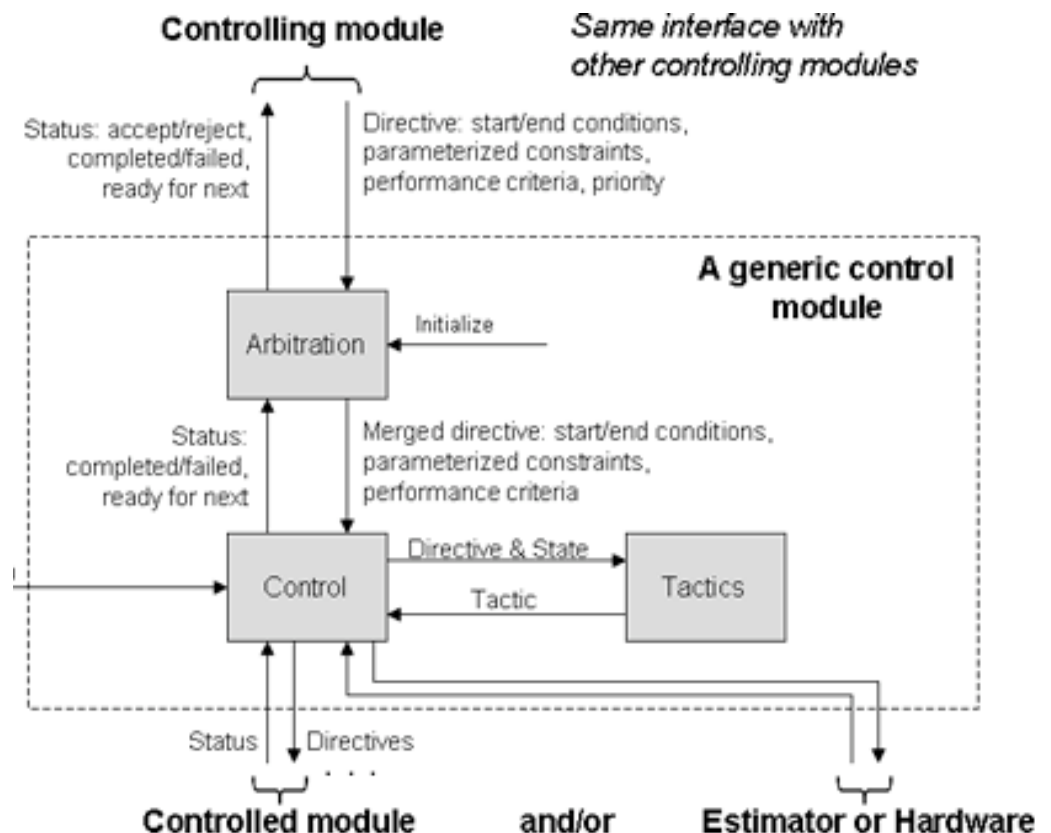
Canonical Software Architecture

Directive/response framework

- Each component communicates with its neighbors through directives and status
- Separate taking directives from other components (in their terms) from a given component's core function and directives (in its own terms)
- Build on JPL “State Analysis” (Rasmussen et al)

Modularity

- Interfaces are defined independently from the module structure, such that when one module gets rebuilt, the modules that it talks to can remain the same
- Each component is divided into three parts
 - Arbitration: accept/reject
 - Control: execute
 - Tactics: success/fail



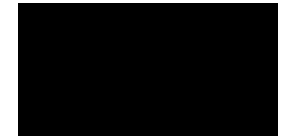
Testing at El Toro



Approximate 300 miles of testing over 2 months

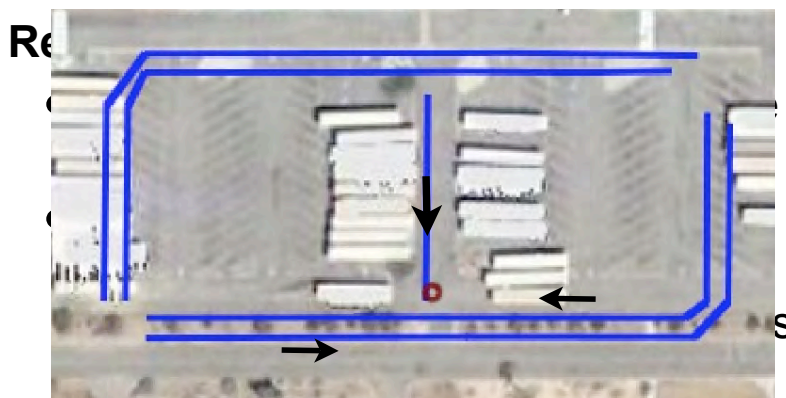
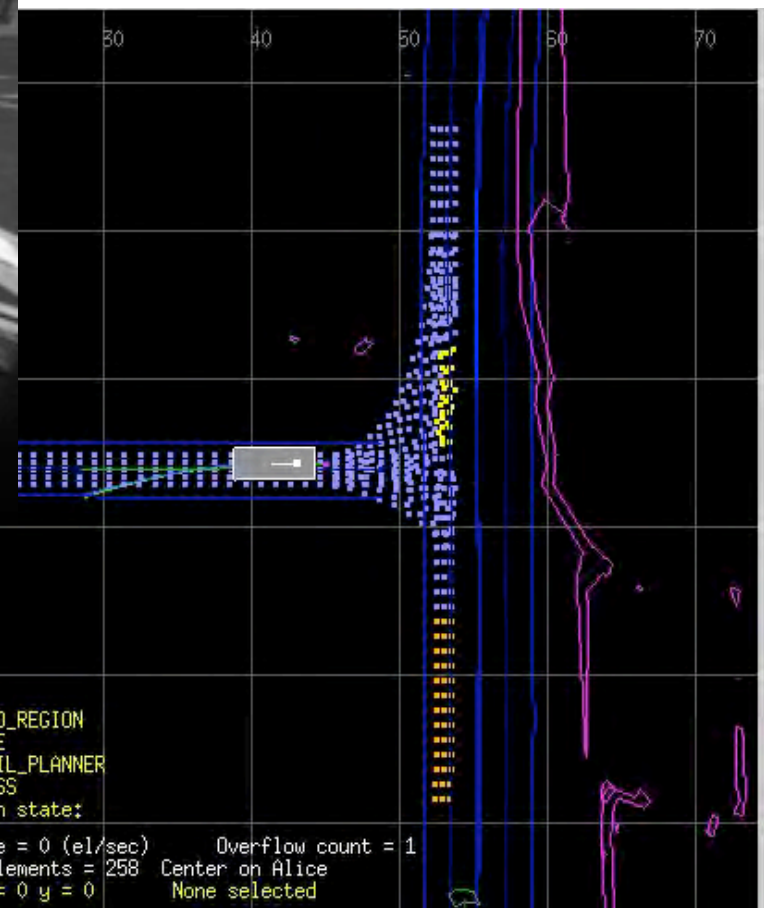
- Longest run without intervention: 11 miles
- Top average speed: ~10 mph

2007 National Qualifying Event



Merging test

- 10-12 cars circling past inters'n
- Count "perfect runs" in 30 min



2007 National Qualifying Event



Driving test

- 2 mile run - roads, parking lots, obstacles on road



Results

- First run - safety buffers too large => slow progress
- Second run - completed course in 22 minutes; minor errors
- 1 of ~8 vehicles completed

Richard's Observations (JPL, Feb 08)

Things that worked

- Basic architecture was sound; capable of completing the race
- Sensing subsystem performed well (some spurious/misclassified obstacles)
- Rail planner was very versatile: could handle on-road/off-road, forward-reverse, etc
- Canonical software architecture worked better than previous supervisory control
- Alice was good at not getting stuck and not running into things

Things that didn't work

- Original planning approach (OTG) was too slow; late switch to rail planner
- Canonical software architecture implementation slowed us down
- Traffic logic was complex; difficult to debug and modify; didn't get stressed until late in the cycle
- Planned project schedule was too aggressive (but probably required to qualify)

Credits (2007)

Technical Contributors (79): Daniel Alvarez, [Mohamed Aly](#), [Jessica Austin](#), Brandt Belson, Philipp Boettcher, Julia Braman, [Joel Burdick](#), William David Carrillo, [Vanessa Carson](#), Arthur Chang, Edward Chen, Steve Chien, Jay Conrod, Iain Cranston, Lars Cremean, [Josh Doubleday](#), Tom Duong, [Stefano di Cairano](#), [Noel duToit](#), Luke Durrant, Josh Feingold, Matthew Feldman, [Tony and Sandie Fender](#), Nicholas Fette, Ken Fisher, Melvin Flores, Brent Goldman, Scott Goodfriend, [Sven Gowal](#), Steven Gray, [Rob Grogan](#), Jerry He, Phillip Ho, [Andrew Howard](#), Mitch Ingham, Nikhil Jain, Michael Kaye, Aditya Khosla, Ryan Lim, [Magnus Linderoth](#), [Laura Lindzey](#), [Christian Looman](#), Ghyrn Loveness, [Jeremy Ma](#), Justin McAllister, Joe McDonnell, [Richard Murray](#), Russell Newman, [Noele Norris](#), [Josh Oremán](#), [Kenny Oslund](#), Robbie Paolini, [Jimmy Paulos](#), Celia Peina, [Humberto Pereira](#), [Rich Petras](#), [Sam Pfister](#), [Christopher Rasmussen](#), Bob Rasumussen, [Dominic Rizzo](#), Miles Robinson, Henrik Sandberg, [Chris Schantz](#), [Kristian Soltesz](#), Chess Stetson, Sashko Stubailo, [Tamas Szalay](#), Klimka Szwaykowska, Daniel Talancon, [Daniele Tamino](#), Pete Trautman, David Trotz, Glenn Wagner, Yi Wang, David Waylonis, [Nok Wongpiromsarn](#), Albert Wu, [Francisco Zabala](#), Johnny Zhang

Major Sponsors: DARPA, Caltech, IST, Big Dog Ventures, Mohr-Davidow



Caltech, September 2007



Richard M. Murray, Caltech CDS

