# Self-Attention For Generative Models

Ashish Vaswani and Anna Huang

Joint work with: Noam Shazeer, Niki Parmar, Lukasz Kaiser, Illia Polosukhin, Llion Jones, Justin Gilmer, David Bieber, Jonathan Frankle, Jakob Uszkoreit, and others.

#### Learning Representations of Variable Length Data

#### Basic building block of sequence-to-sequence learning

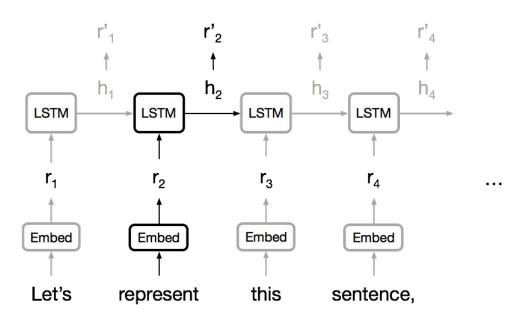
Neural machine translation, summarization, QA, ...

#### Recurrent Neural Networks

Model of choice for learning variable-length representations.

Natural fit for sentences and sequences of pixels.

LSTMs, GRUs and variants dominate recurrent models.



#### But...

recurrent modeling

Sequential computation inhibits parallelization.

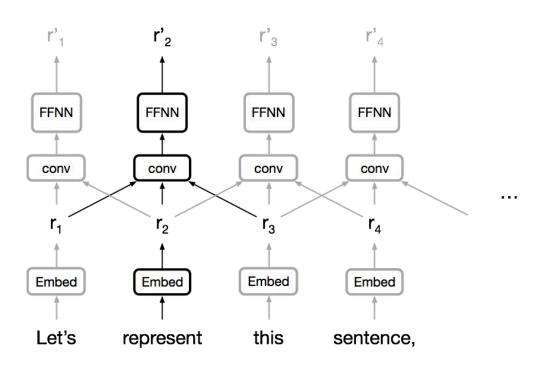
No explicit modeling of long and short range dependencies.

We want to model hierarchy.

hierarchy modeling

RNNs (w/ sequence-aligned states) seem wasteful!

#### Convolutional Neural Networks?



#### Convolutional Neural Networks?

Trivial to parallelize (per layer).

Exploits local dependencies

'Interaction distance' between positions linear or logarithmic.

Convolutional modeling .

Long-distance dependencies require many layers.

#### Attention

Attention between encoder and decoder is crucial in NMT.

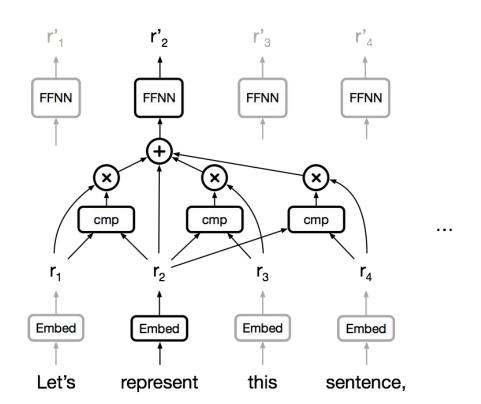
Why not use attention for representations?

#### Self-Attention

comparison (cmp)

produce
weighted
combination of
your entire
neighborhood.

based on the weighted combination, you summarize all taht information



just like, reexpressing yourself in a weighted combination of your entire neighborhood.

#### Text generation

```
self - attention text generation
.
what inductive biases are actually useful.? (= representation modeling?)
and we empirically showed that indeed they move the needle in text generation.
```

#### Self-Attention

Constant 'path length' between any two positions.

Gating/multiplicative interactions.

Trivial to parallelize (per layer).

Can replace sequential computation entirely?

#### Previous work

#### Classification & regression with self-attention:

Parikh et al. (2016), Lin et al. (2016)

#### **Self-attention with RNNs:**

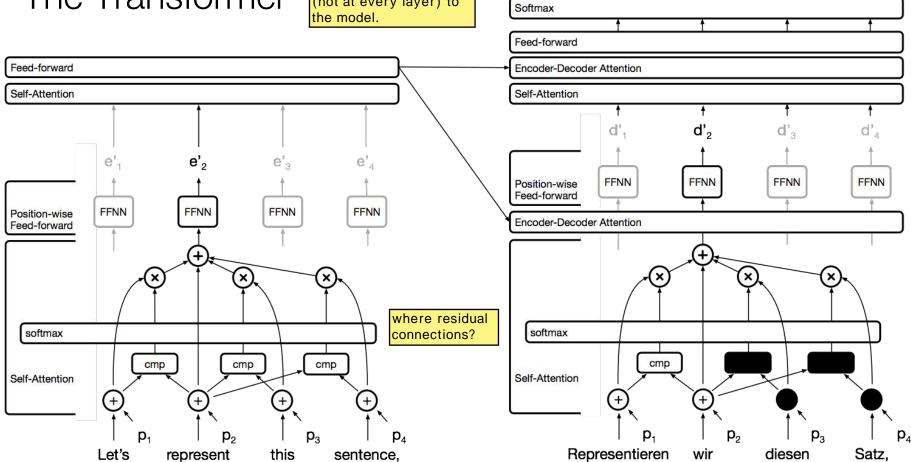
Long et al. (2016), Shao, Gows et al. (2017)

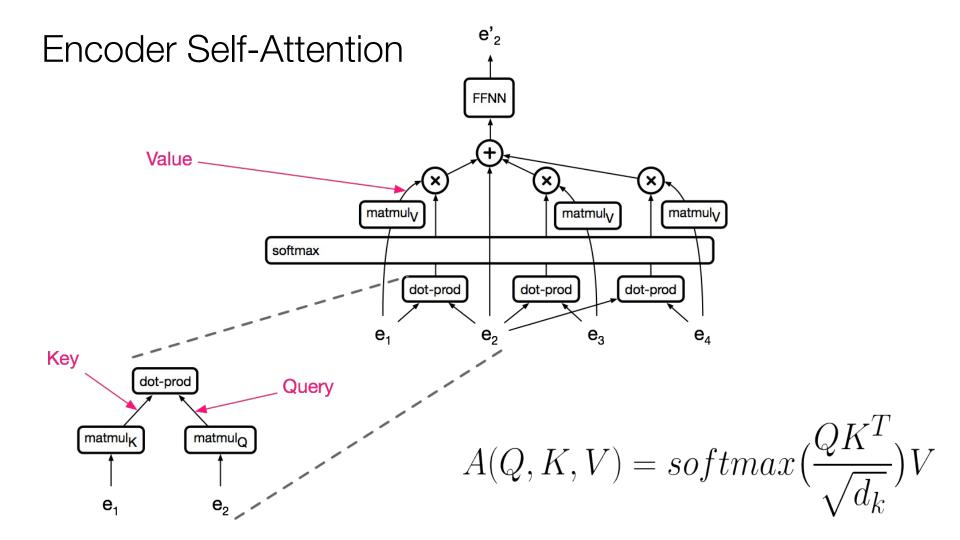
#### **Recurrent attention:**

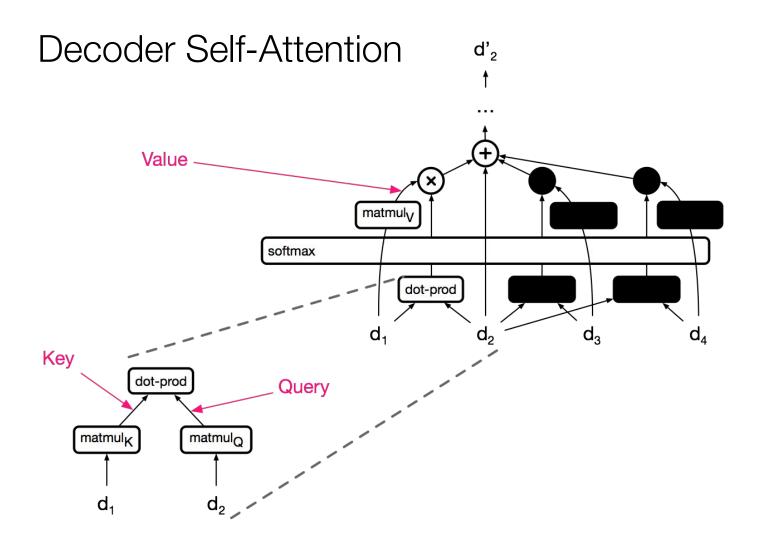
Sukhbaatar et al. (2015)



add the position informations at the input (not at every layer) to the model.







#### Attention is Cheap!

#### **FLOPs**

Self-Attention	O(length <sup>2</sup> · dim)	
RNN (LSTM)	O(length · dim²)	
Convolution	O(length · dim² · kernel_width)	

#### Attention is Cheap!

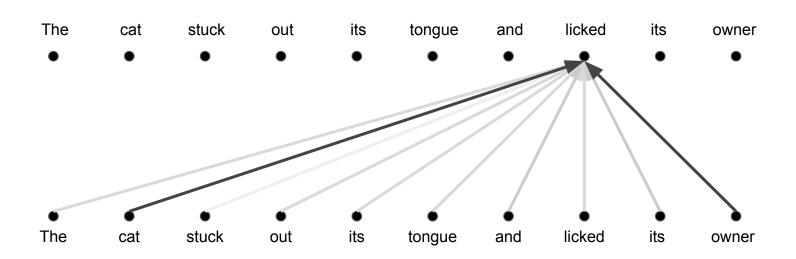
#### **FLOPs**

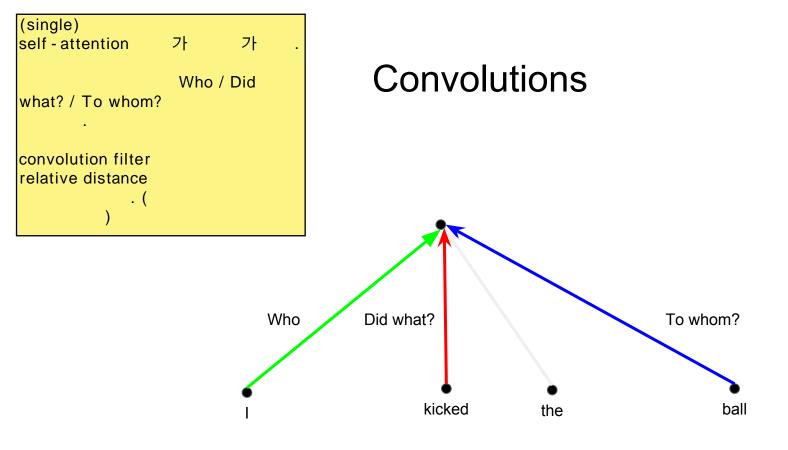
Self-Attention	O(length <sup>2</sup> · dim)	$= 4.10^9$
RNN (LSTM)	O(length · dim²)	= 16·10 <sup>9</sup>
Convolution	O(length · dim² · kernel_width)	= 6·10 <sup>9</sup>

length=1000 dim=1000 kernel\_width=3

dim length
more attractive .
, short sequence
self - attention .

#### Attention: a weighted average



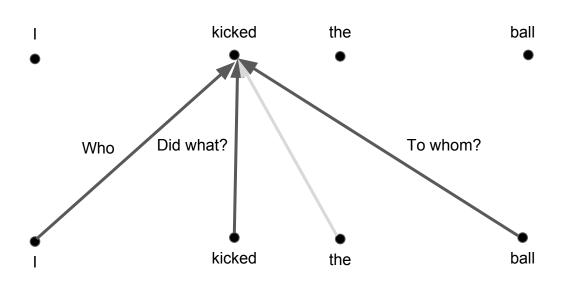


relative distance

single attention layer

Self-Attention

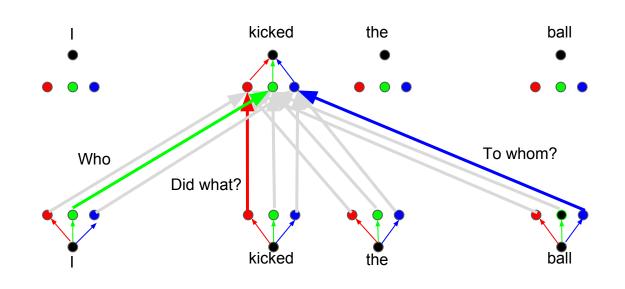
you can't pick out different pieces of information from different places.



Who,
Did what?
To whom?

attention layer
?

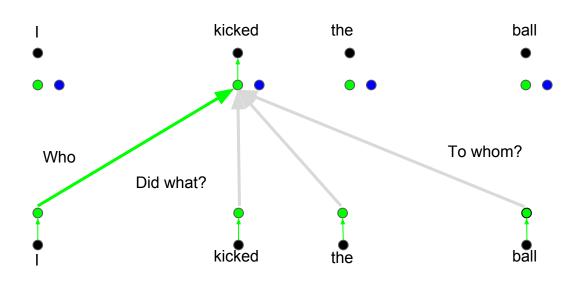
#### Parallel attention heads



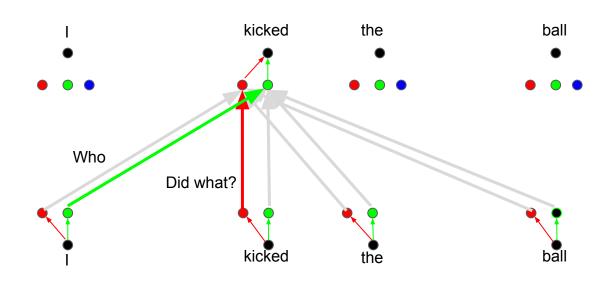
parallel attention heads convolution if you had more heads, (heads are a function of positions) you could probably just simulate a convolution although with more parameters.

softmax 가 , FLOPs . dim .

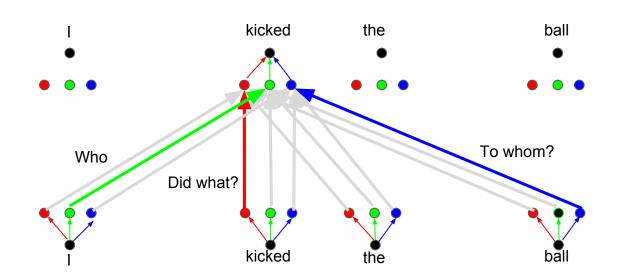
#### Attention head: Who



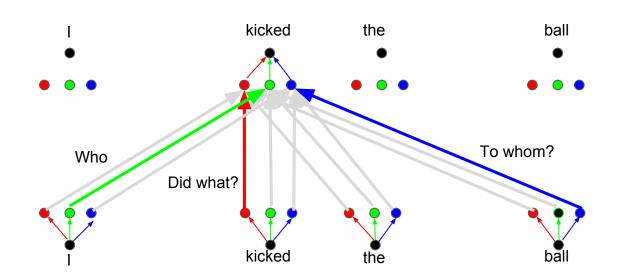
#### Parallel attention heads



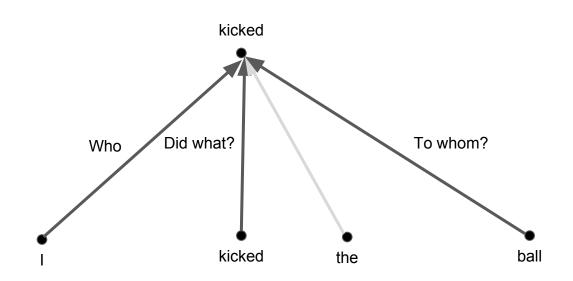
#### Parallel attention heads



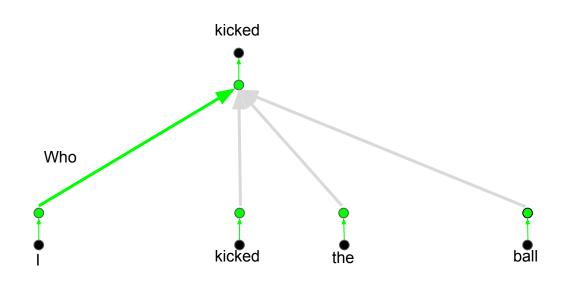
#### Parallel attention heads



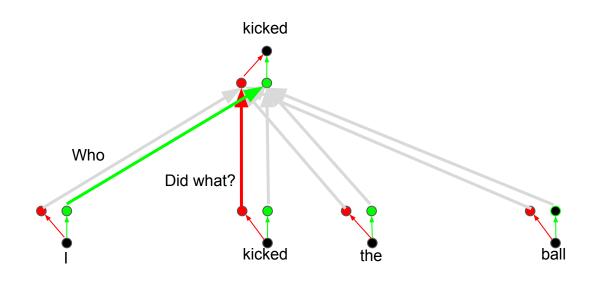
#### Self-Attention: Averaging



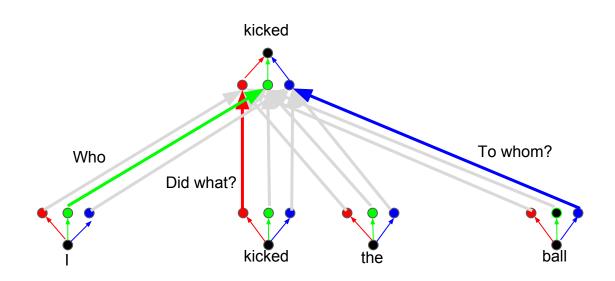
#### Attention head: Who



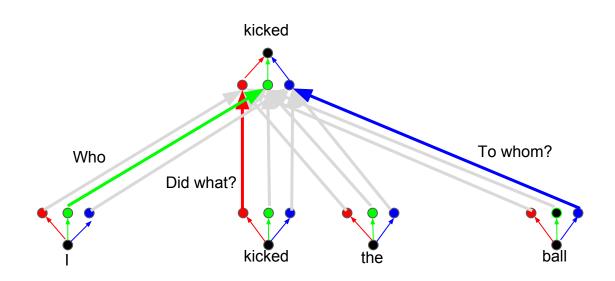
#### Attention head: Did What?



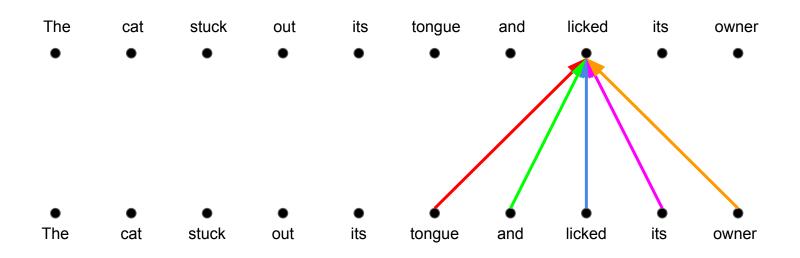
#### Attention head: To Whom?



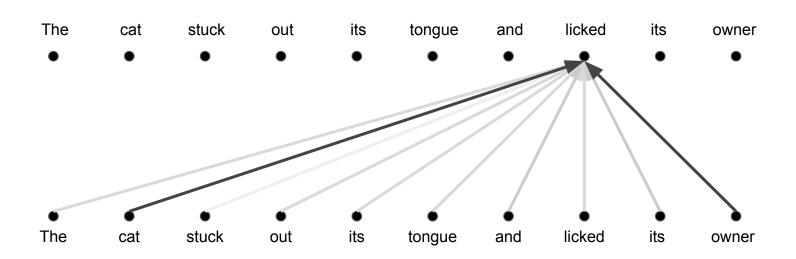
#### **Multihead Attention**



## Convolution: Different linear transformations by relative position.

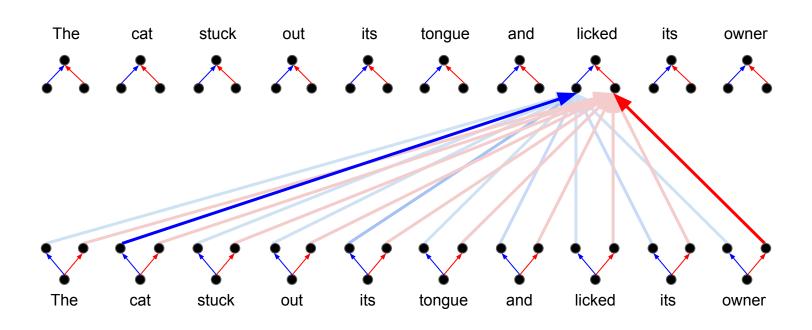


#### Attention: a weighted average



#### **Multi-head Attention**

Parallel attention layers with different linear transformations on input and output.



#### LSTM

#### SGD

because the gradient dynamics and attention are very simple. and attentions are just a linear combination.

explicitly model all pairwise connection very clear relationship

#### Results

#### Machine Translation: WMT-2014 BLEU

	EN-DE	EN-FR
GNMT (orig)	24.6	39.9
ConvSeq2Seq	25.2	40.5
Transformer*	28.4	41.8

Attention is All You Need (NeurIPS 2017) Vaswani\*, Shazeer\*, Parmar\*, Uszkoreit\*, Jones\*, Kaiser\*, Gomez\*, Polosukhin\*

<sup>\*</sup>Transformer models trained >3x faster than the others.

### Frameworks:

tensor2tensor

<u>Sockeye</u>

## Importance of residuals

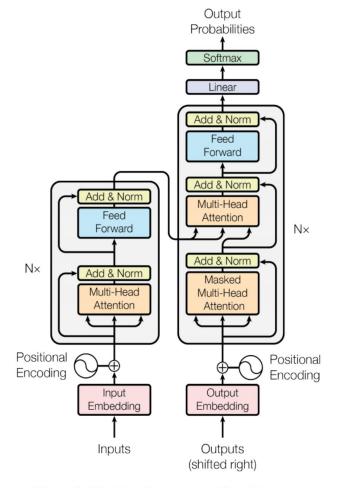
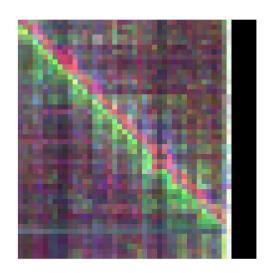


Figure 1: The Transformer - model architecture.

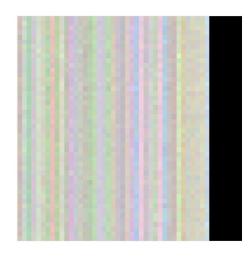
## Importance of Residuals

residule positional layer .

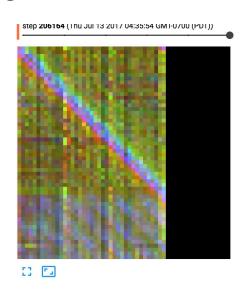
Residuals carry positional information to higher layers, among other information.



With residuals



Without residuals



Without residuals, with timing signals

## Training Details

ADAM optimizer with a learning rate warmup (warmup + exponential decay)

Dropout during training at every layer just before adding residual

Layer-norm

Attention dropout (for some experiments)

Checkpoint-averaging

Label smoothing

Auto-regressive decoding with beam search and length biasing ...

# What Matters?

		N	d	$d_{ m ff}$	h	$d_k$	$d_v$	Р.	6.	train	PPL	BLEU	params
		14	$d_{ m model}$	un	16	uk	$u_v$	$P_{drop}$	$\epsilon_{ls}$	steps	(dev)	(dev)	$\times 10^6$
	base	6	512	2048	8	64	64	0.1	0.1	100K	4.92	25.8	65
	(A)				1	512	512				5.29	24.9	
					4	128	128				5.00	25.5	
	(A)				16	32	32				4.91	25.8	
					32	16	16				5.01	25.4	
	(D)					16					5.16	25.1	58
	(B)					32					5.01	25.4	60
	(C)	2									6.11	23.7	36
Resul		4									5.19	25.3	50
		8									4.88	25.5	80
			256			32	32				5.75	24.5	28
			1024			128	128				4.66	26.0	168
. <del>.</del>				1024							5.12	25.4	53
				4096							4.75	26.2	90
	(D)							0.0			5.77	24.6	
								0.2			4.95	25.5	
									0.0		4.67	25.3	
									0.2		5.47	25.7	
-	(E)		posi	tional er	nbedo	ling in	stead o	f sinusoi	ds		4.92	25.7	
	big	6	1024	4096	16			0.3		300K	4.33	26.4	213

## Generating Wikipedia by Summarizing Long Sequences

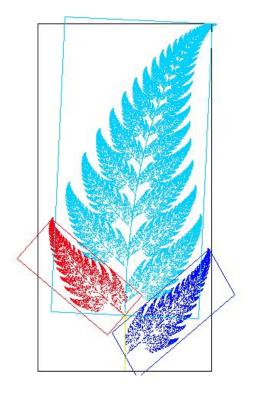
msaleh@ et al. submission to ICLR'18

		. 1		$\blacksquare$
М	$\cup$	$\bigcup$	IJ	

seq2seq-attention	12.7
Transformer-ED (L=500)	34.2
Transformer-DMCA (L=11000)	36.2

Self-Similarity, Image and Music Generation

## Self-similarity in images



```
inductive biases representations . inductive biases가 ? 가 . ( )
```

## Self-Similarity in Images

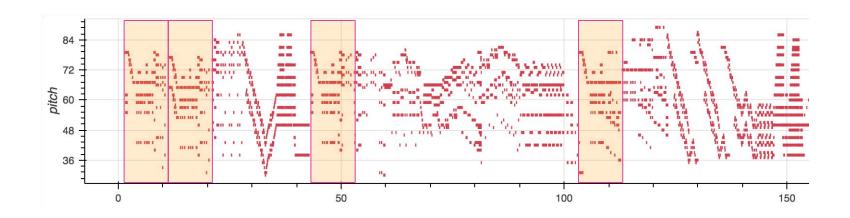
These images are different pieces of the image. They are very similar to each other. But, they might have different scales.



Starry Night (Van Gogh, June 1889)

## Self-similarity in music

Motifs repeat, immediately and also at a distance



## Probabilistic Image Generation

Model the joint distribution of pixels

Turning it into a sequence modeling problem

### Assigning probabilities allows measuring generalization

Can self - attention help us in modeling other objects like images?

## Probabilistic Image Generation

RNNs and CNNs are state-of-the-art (PixelRNN, PixelCNN)

**CNNs** incorporating gating now match RNNs in quality

CNNs are much faster due to parallelization

## Probabilistic Image Generation

Long-range dependencies matter for images (e.g. symmetry)

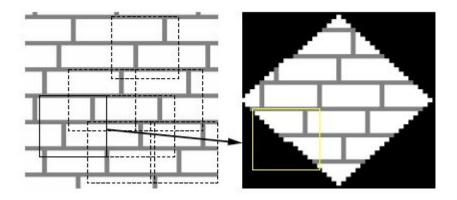
Likely increasingly important with increasing image size

Modeling long-range dependencies with CNNs requires either

Many layers likely making training harder

Large kernels at large parameter/computational cost

## Texture Synthesis with Self-Similarity



Texture Synthesis by Non-parametric Sampling (Efros and Leung, 1999)

## Non-local Means

image denoising

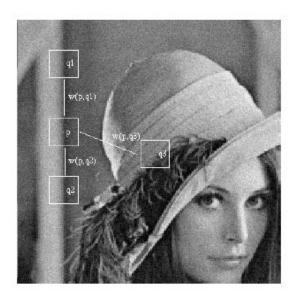


Figure 1. Scheme of NL-means strategy. Similar pixel neighborhoods give a large weight, w(p,q1) and w(p,q2), while much different neighborhoods give a small weight w(p,q3).

## Non-local Means

A Non-local Algorithm for Image Denoising (Buades, Coll, and Morel. CVPR 2005)

Non-local Neural Networks (Wang et al., 2018)

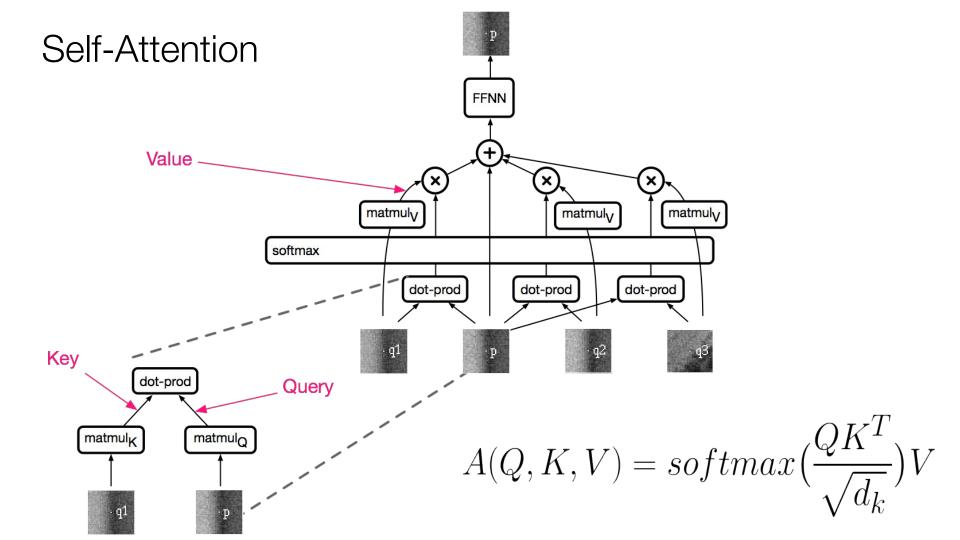
#### Previous work

#### **Self-attention:**

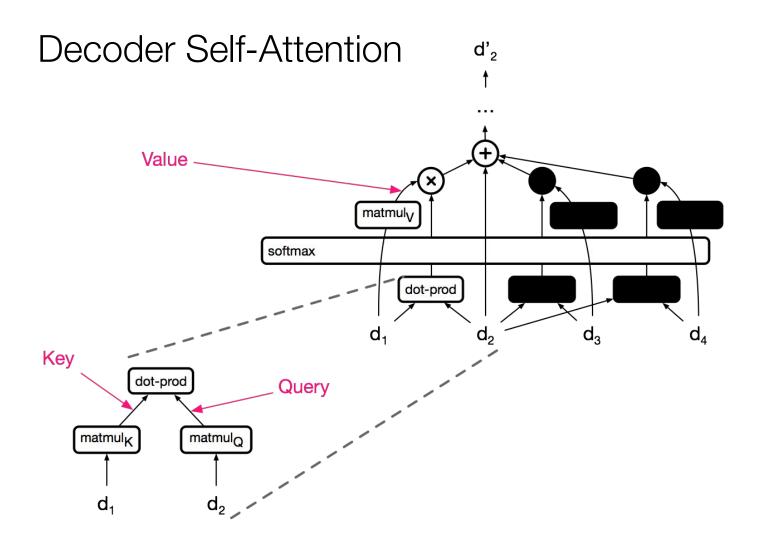
Parikh et al. (2016), Lin et al. (2016), Vaswani et al. (2017)

#### **Autoregressive Image Generation:**

A Oord et al. (2016), Salimans et al. (2017)



#### The Image Transformer Softmax Feed-forward Feed-forward **Encoder-Decoder Attention** Self-Attention Self-Attention **FFNN FFNN FFNN FFNN** Position-wise Feed-forward **FFNN FFNN FFNN FFNN** Position-wise **Encoder-Decoder Attention** Feed-forward softmax softmax cmp cmp Self-Attention Self-Attention (+ $p_1$ $p_2$ $p_4$ $p_2$ $p_4$ $p_3$



## Attention is Cheap!

#### **FLOPs**

Self-Attention	O(length <sup>2</sup> · dim)				
RNN (LSTM)	O(length · dim²)				
Convolution	O(length · dim² · kernel_width)				

## Attention is Cheap if length << dim!

self - attention ?

#### **FLOPs**

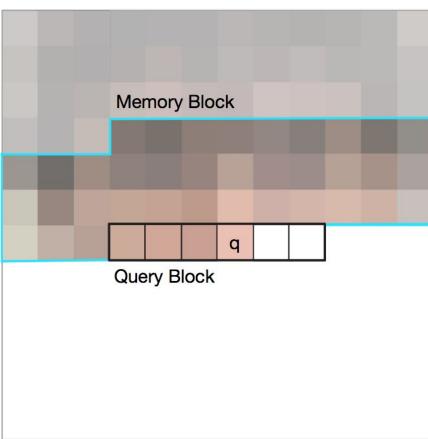
Self-Attention	O(length <sup>2</sup> · dim) (length=3072 for images)				
RNN (LSTM)	O(length · dim²)				
Convolution	O(length · dim² · kernel_width)				

## Combining Locality with Self-Attention

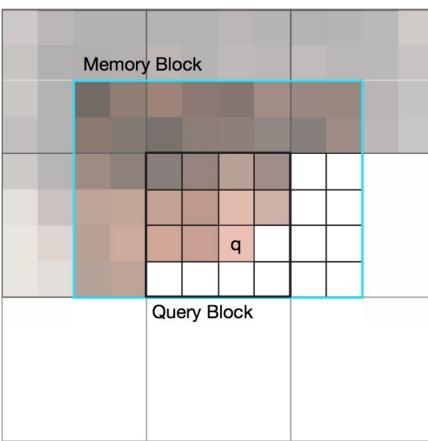
Restrict the attention windows to be local neighborhoods

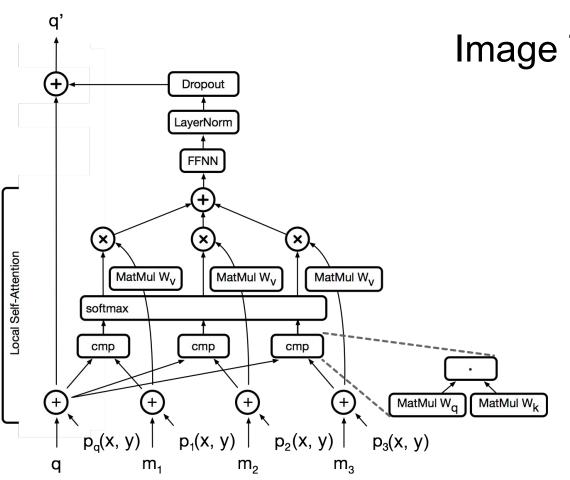
Good assumption for images because of spatial locality

#### **Local 1D Attention**



#### **Local 2D Attention**





## Image Transformer Layer

## Tasks

Super-resolution

Unconditional and Conditional Image generation

## Results

Image Transformer

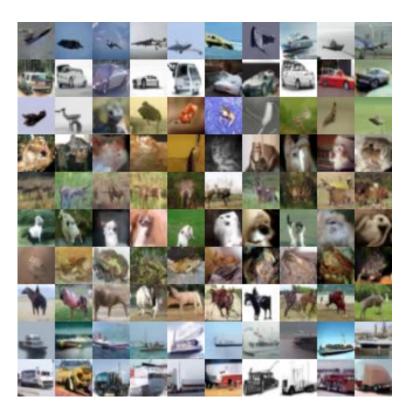
Parmar\*, Vaswani\*, Uszkoreit, Kaiser, Shazeer, Ku, and Tran. ICML 2018

## Unconditional Image Generation

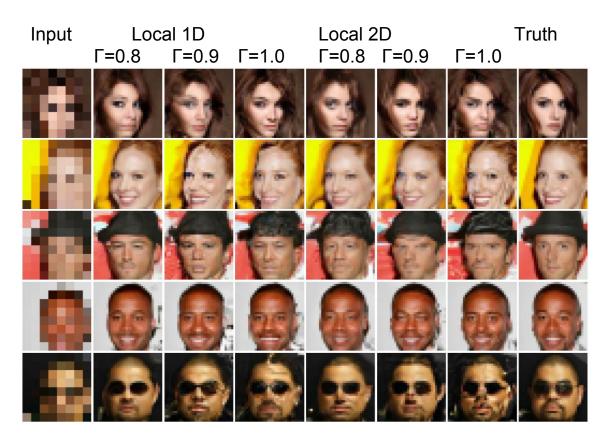
	Cifar-10 (Test)	Imagenet (Validation)
PixelRNN	3.00	3.86
Gated PixelCNN	3.03	3.83
PixelCNN++	2.92 (dmol)	-
PixelSNAIL	2.85	3.8
Image Transformer, 1D local	2.9 (xent)	3.77
Image Transformer, 1D local	2.9 (dmol)	3.78

Cross entropy of various models on CIFAR-10 and Imagenet datasets.

# Cifar10 Samples



# CelebA Super Resolution

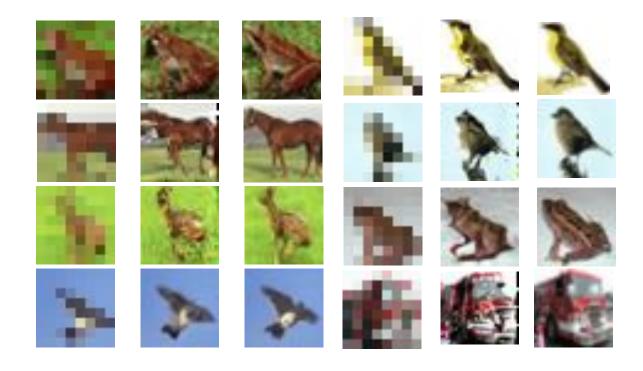


## CelebA Super Resolution

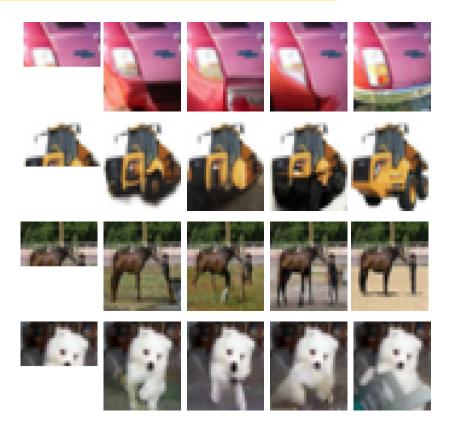
		% Fooled				
	Γ = n/a	Γ = 1.0	Γ = 0.9	Γ = 0.8		
ResNet	4.0	-	-	-		
srez GAN (Garcia, 2016)	8.5	-	-	-		
Pixel Recursive (Dahl et al., 2017)	-	11.0	10.4	10.25		
Image Transformer, 1D local		<b>35.94</b> ± 3.0	33.5 ± 3.5	29.6 ± 4.0		
Image Transformer, 2D local		<b>36.11</b> ±2.5	34 ± 3.5	30.64 ± 4.0		

Human Eval performance for the Image Transformer on CelebA. The fraction of humans fooled is significantly better than the previous state of art.

# Cifar10 SuperResolution



## Conditional Image Completion

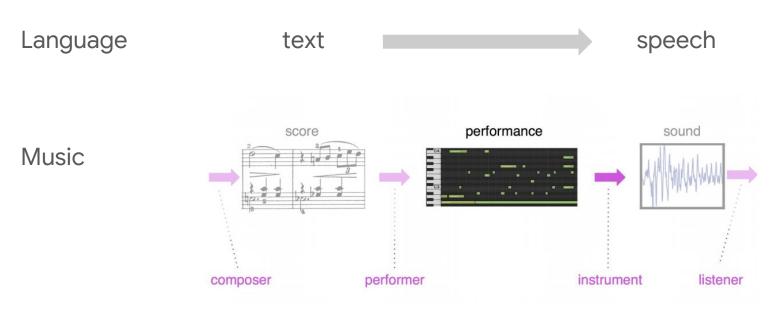


# Music generation using relative self-attention

<u>Music Transformer</u> (ICLR 2019) by <u>Cheng-Zhi Anna Huang</u>, <u>Ashish Vaswani</u>, <u>Jakob Uszkoreit</u>, Noam Shazeer, <u>Ian Simon</u>, <u>Curtis Hawthorne</u>, <u>Andrew M. Dai</u>, <u>Matthew D. Hoffman</u>, <u>Monica Dinculescu</u> and <u>Douglas Eck</u>.

Blog post: <a href="https://magenta.tensorflow.org/music-transformer">https://magenta.tensorflow.org/music-transformer</a>

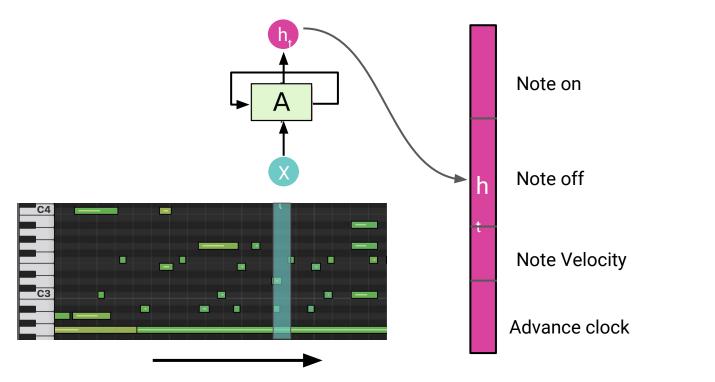
## Raw representations in music and language

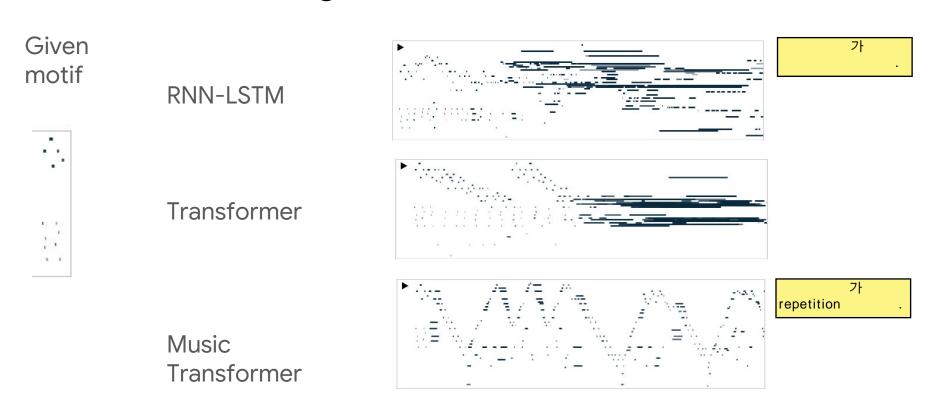


(Image from Simon & Oore, 2016)

# Music Language model:

Prior work Performance RNN (Simon & Oore, 2016)





Given motif



Given motif



Given motif

**RNN-LSTM** 





Given motif

**RNN-LSTM** 







Given motif

RNN-LSTM

Transformer





Given motif

RNN-LSTM

Transformer

Given motif

**RNN-LSTM** 



Transformer









Given motif

**RNN-LSTM** 



Transformer





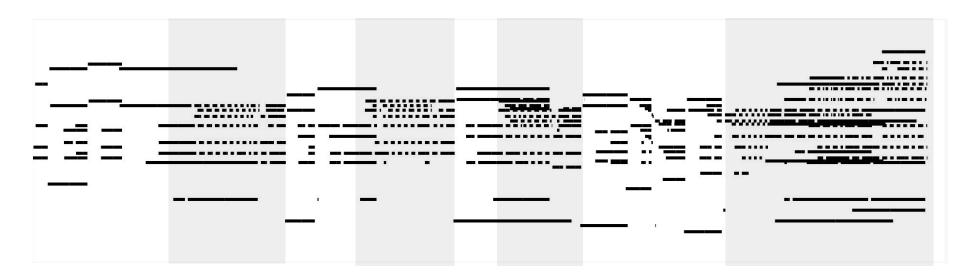
Music Transformer





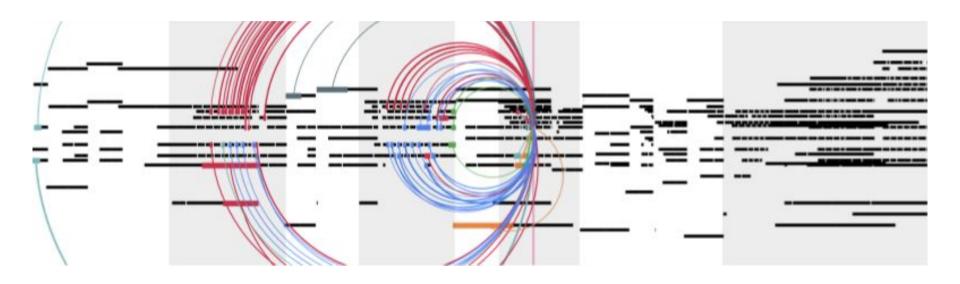
### Self-Similarity in Music

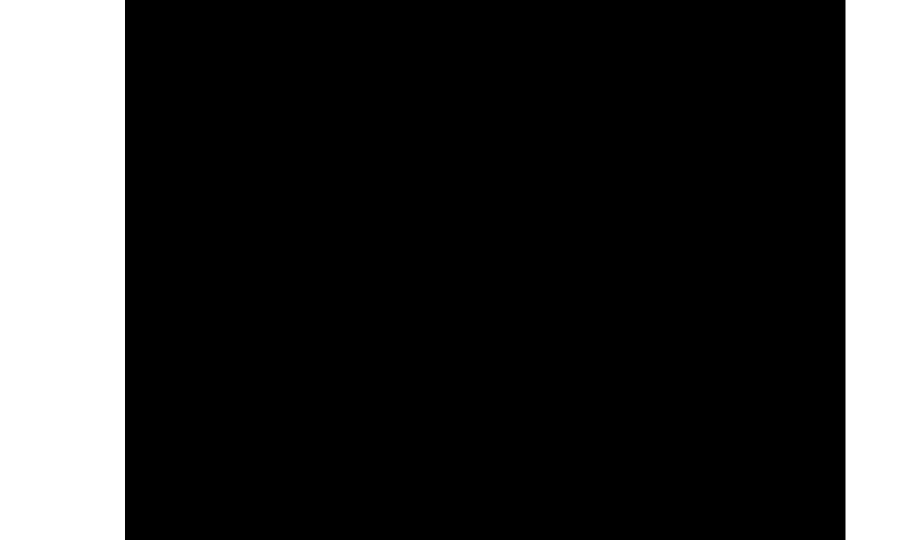
there are a log of repetition with gaps in between



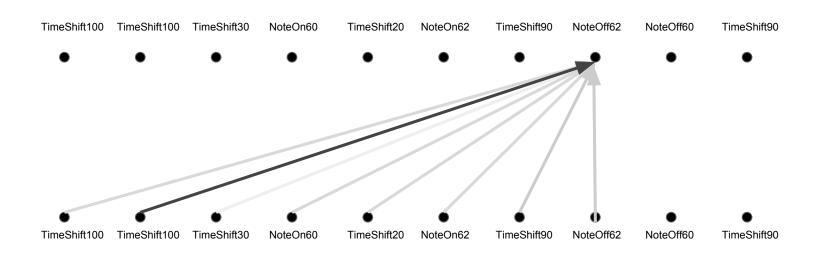
### Sample from Music Transformer

self - attention is from note to note level or event to event level

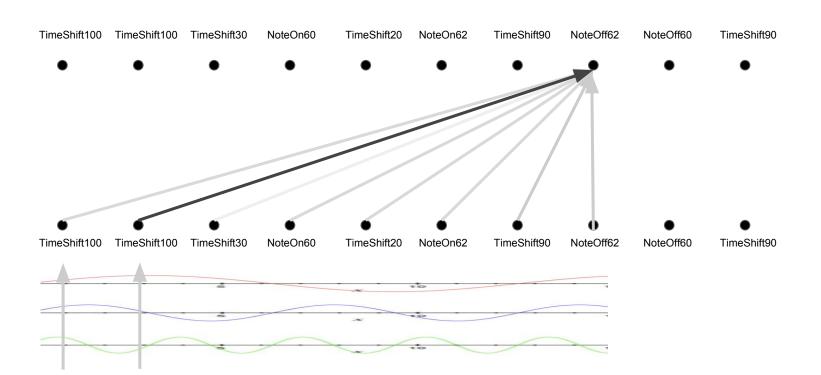




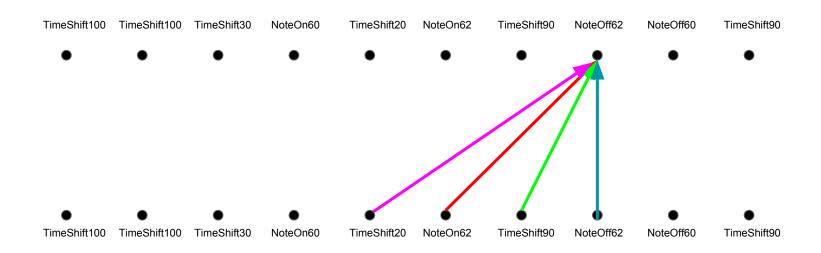
### Attention: a weighted average



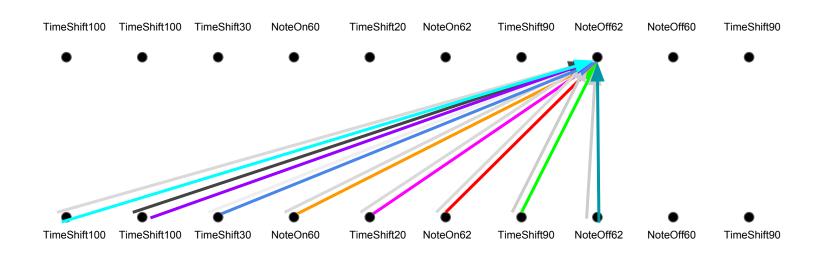
### Attention: a weighted average



## Convolution: Different linear transformations by relative position.



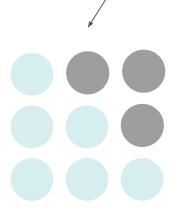
# Relative attention (Shaw et al, 2018) Multihead attention + convolution?



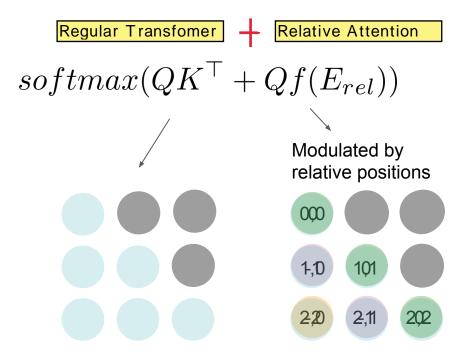
### Closer look at attention

Regular Transfomer

$$softmax(QK^{\top})$$



#### Closer look at relative attention



### Machine Translation (Shaw et al, 2018)

Model	Position Representati on	BLEU En-De	BLEU En-Fr
Transformer Big	Absolute	27.9	41.3
Transformer Big	Relative	29.2	41.5

```
- Transformer sequence . (50~100 words)

2000 time - steps range가 . 2000 tokens .
```

### Previous work O(L<sup>2</sup>D): 8.5 GB per layer (Shaw et al, 2018)

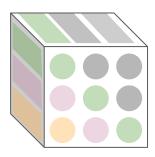
Per layer, L=2048, D=512

$$softmax(QK^{\top} + Qf(E_{rel}))$$

Relative embeddings  $E_{rel}$ 



 $f(E_{rel})$ 



Multiply by Q



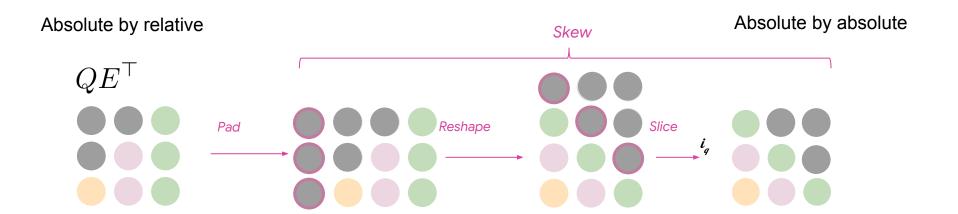
Relative distances



### Our formulation O(LD): 4.2 MB per layer

$$softmax(QK^{\top} + skew(QE_{rel}^{\top}))$$

Per layer, L=2048, D=512



### Goal of skewing procedure

Indexed by

absolute by relative absolute by absolute

# Skewing to reduce relative memory from O(L<sup>2</sup>D) to O(LD)

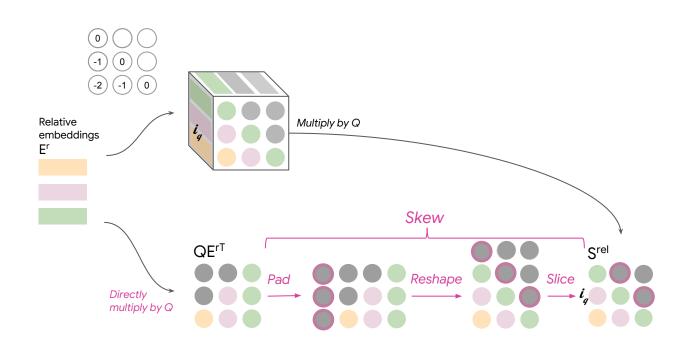
Per layer, L=2048, D=512

Previous work

O(L<sup>2</sup>D): **8.5 GB** 

Our work

O(LD): 4.2 MB

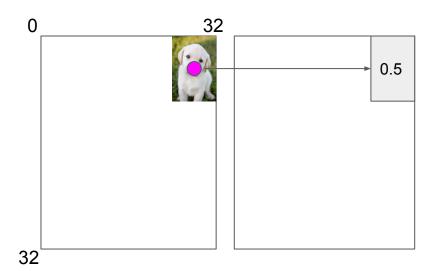


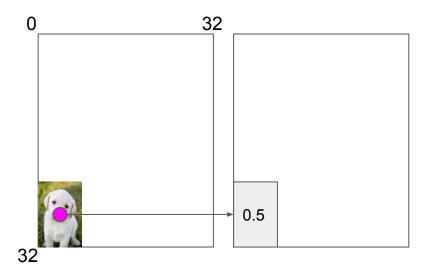
### A Jazz sample from Music Transformer

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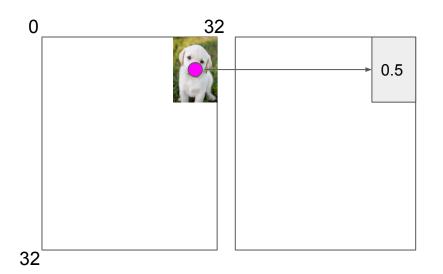


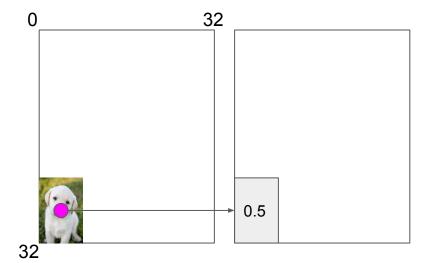
### Convolutions and Translational Equivariance



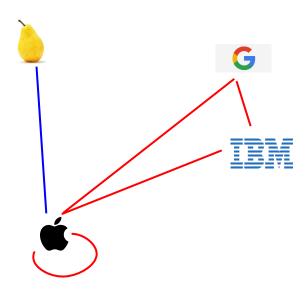


### Relative positions Translational Equivariance

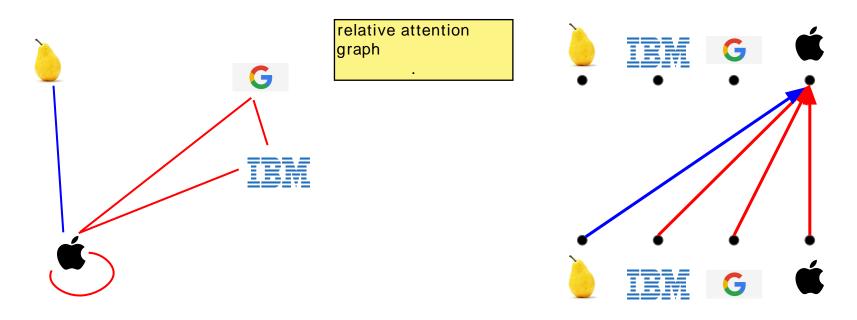




### Relative Attention And Graphs



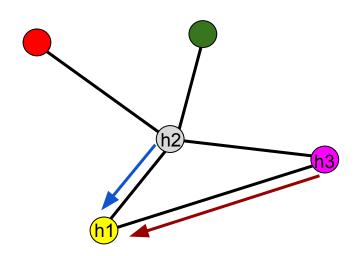
### Relative Attention And Graphs



Relational inductive biases, deep learning, and graph networks. (Battaglia et al., 2018)

Self-Attention With Relative Position Representations (Shaw et al., 2018)

### Message Passing Neural Networks



$$m_v^{t+1} = \sum_{w \in N(v)} M_t(h_v^t, h_w^t, e_{vw})$$

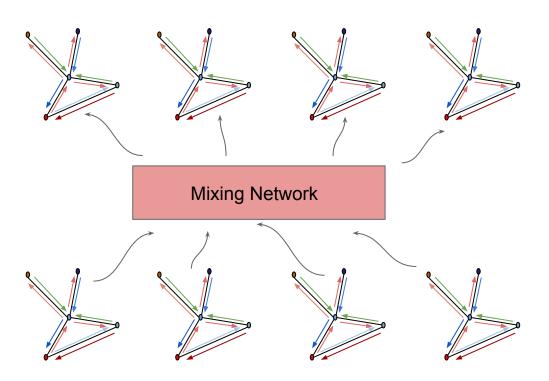
$$h_v^{t+1} = U_t(h_v^t, m_v^{t+1})$$

$$\hat{y} = R(\{h_v^T | v \in G\})$$

Neural Message Passing For Quantum Chemistry. Gilmer et al. ICML 2017

Slide credit: Justin Gilmer

### Multiple Towers



- Run k smaller copies of the MPNN in parallel.
- Mix node states after each message pass.
- Offers a factor of k speedup for the same node dimension d (> 2x speedup when d=200).
- Also helped improve performance when used with matrix multiply message function.

Slide credit: Justin Gilmer

### Graph Library

#### Code

With Justin Gilmer, Jonathan Frankle, and David Bieber

### Self-Attention

Constant 'path length' between any two positions.

Unbounded memory.

Trivial to parallelize (per layer).

Models Self-Similarity.

Relative attention provides expressive timing, equivariance, and extends naturally to graphs.

### Active Research Area

Non autoregressive transformer (Gu and Bradbury et al., 2018)

Deterministic Non-Autoregressive Neural Sequence Modeling by Iterative Refinement (Lee, Manismov, and Cho, 2018)

Fast Decoding in Sequence Models Using Discrete Latent Variables (ICML 2018) Kaiser, Roy, Vaswani, Pamar, Bengio, Uszkoreit, Shazeer

Towards a Better Understanding of Vector Quantized Autoencoders Roy, Vaswani, Parmar, Neelakantan, 2018

Blockwise Parallel Decoding For Deep Autogressive Models (NeurlPS 2019) Stern, Shazeer, Uszkoreit,

### Transfer learning

Narsimhan, Salimans, and Sutskever)

BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding (Devlin, Chang, Lee, and Toutanova)

Improving Language Understanding by Generative Pre-Training (Radford,

Optimization and Large Models

Adafactor: Adaptive Learning Rates with Sublinear Memory Cost (ICML 2018). Shazeer, Stern.

Memory-Efficient Adaptive Optimization for Large-Scale Learning (2019). Anil, Gupta, Koren, Singer.

Mesh-TensorFlow: Deep Learning for Supercomputers (NeurIPS 2019). Shazeer, Cheng, Parmar, Tran, Vaswani, Koanantakool, Hawkins, Lee, Hong, Young, Sepassi, Hechtman) Code (5 billion parameters)

### Self-attention in Other Work.

Generating Wikipedia by Summarizing Long sequences. (ICLR 2018). Liu, Saleh, Pot, Goodrich, Sepassi, Shazeer, Kaiser.

Universal Transformers (ICLR 2019). Deghiani\*, Gouws\*, Vinyals, Uszkoreit, Kaiser.

Transformer-XL: Attentive Language Models Beyond a Fixed-Length Context (2019). Dai, Yang, Yang, Carbonell, Le, Salakhutdinov.

A Time-Restricted Self-Attention Layer for ASR (ICASSP 2018). Povey, Hadian, Gharemani, Li, Khudanpur.

Character-Level Language Modeling with Deeper Self-Attention (2018). Roufou\*, Choe\*, Guo\*, Constant\*, Jones\*

### Ongoing and Future Work

### Ongoing

Self-supervision and classification for images and video

Understanding Transfer

### Future

Multitask learning

Long-range attention