# Pseudotraces on Almost Unital and Finite-Dimensional Algebras

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#### **Abstract**

We introduce the notion of almost unital and finite-dimensional (AUF) algebras, which are associative  $\mathbb{C}$ -algebras that may be non-unital or infinite-dimensional, but have sufficiently many idempotents. We show that the pseudotrace construction, originally introduced by Hattori and Stallings for unital finite-dimensional algebras, can be generalized to AUF algebras.

Let A be an AUF algebra. Suppose that G is a projective generator in the category  $\operatorname{Coh}_{\mathbf{L}}(A)$  of finitely generated left A-modules that are quotients of free left A-modules, and let  $B = \operatorname{End}_{A,-}(G)^{\operatorname{op}}$ . We prove that the pseudotrace construction yields an isomorphism between the spaces of symmetric linear functionals  $\operatorname{SLF}(A) \stackrel{\simeq}{\longrightarrow} \operatorname{SLF}(B)$ , and that the non-degeneracies on the two sides are equivalent.

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#### 0 Introduction

In [Miy04], Miyamoto introduced the pseudo-q-trace construction for modules of vertex operator algebras (VOAs), generalizing the usual q-trace. His primary motivation was to address the failure of modular invariance for q-traces in the case of  $C_2$ -cofinite but irrational VOAs. While Zhu's theorem in [Zhu96] establishes modular invariance for q-traces in the rational setting, this result does not extend to the irrational case—unless q-traces are replaced with pseudo-q-traces.

Miyamoto's original approach is quite involved. Moreover, his dimension formula for the space of torus conformal blocks is expressed in terms of higher Zhu algebras. This presents two drawbacks: first, higher Zhu algebras are difficult to compute in practice; second, their connection to the VOA module category is not transparent.

Later, Arike [Ari10] and Arike-Nagatomo [AN13] introduced a simplified version of the pseudo-*q*-trace construction based on the idea of Hattori [Hat65] and Stallings [Sta65]. Below, we briefly outline this approach.

Let A be an algebra, and let B be a unital finite-dimensional algebra. Let M be a finite-dimensional A-B bimodule, projective as a right B-module. By the projectivity, there is a (finite) left coordinate system of M, namely, elements  $\alpha_1,\ldots,\alpha_n\in \operatorname{Hom}_B(B,M)$  and  $\check{\alpha}^1,\ldots,\check{\alpha}^n\in \operatorname{Hom}_M(M,B)$  satisfying  $\sum_i\alpha_i\circ\check{\alpha}^i=\operatorname{id}_M$ . Then the linear map

$$A \to B$$
  $x \mapsto \sum_{i} \check{\alpha}^{i} \circ x \circ \alpha_{i}(1_{B})$ 

descends to a linear map  $A/[A,A] \to B/[B,B]$  which is independent of the choice of the left coordinate system. Its pullback gives a linear map

$$SLF(B) \to SLF(A) \qquad \phi \mapsto Tr^{\phi}$$
 (0.1)

where  $\mathrm{SLF}(A)$  is the space of symmetric linear functionals on A—that is, linear maps  $\psi:A\to\mathbb{C}$  satisfying  $\psi(xy)=\psi(yx)$  for all  $x,y\in A$ —and  $\mathrm{SLF}(B)$  is the space of symmetric linear functionals on B. The above map is called the **pseudotrace construction**. Note that a typical choice of A is  $\mathrm{End}_B(M)$ .

The pseudotrace construction is applied to the VOA setting as follows. Let  $\mathbb V$  be an  $\mathbb N$ -graded  $C_2$ -cofinite VOA with central charge c, and let  $\mathbb M$  be a grading-restricted generalized  $\mathbb V$ -module. Then  $\mathbb M$  admits a decomposition  $\mathbb M = \bigoplus_{\lambda \in \mathbb C} \mathbb M_{[\lambda]}$  into generalized eigenspaces of L(0), where each  $\mathbb M_{[\lambda]}$  is finite-dimensional. Let  $\operatorname{End}_{\mathbb V}(\mathbb M)$  be the algebra of linear operators on  $\mathbb M$  commuting with the action of  $\mathbb V$ , which is necessarily unital and finite-dimensional. Let B be a unital subalgebra of  $\operatorname{End}_{\mathbb V}(\mathbb M)^{\operatorname{op}}$ . Assume that  $\mathbb M$  is a projective right B-module, equivalently, each  $\mathbb M_{[\lambda]}$  is B-projective. Let  $\phi \in \operatorname{SLF}(B)$ . Then for  $v \in \mathbb V$ , the expression

$$\operatorname{Tr}^{\phi}(Y_{\mathbb{M}}(v,z)q^{L(0)-\frac{c}{24}}) = \sum_{\lambda \in \mathbb{C}} \operatorname{Tr}^{\phi}(P(\lambda)Y_{\mathbb{M}}(v,z)q^{L(0)-\frac{c}{24}}P(\lambda)) \tag{0.2}$$

converges absolutely for  $z\in\mathbb{C}$  and 0<|q|<1, and defines a torus conformal block. Here,  $P(\lambda)$  is the projection of  $\overline{\mathbb{M}}:=\prod_{\mu\in\mathbb{C}}\mathbb{M}_{[\mu]}$  onto  $\mathbb{M}_{[\mu]}$ . Then each  $P(\lambda)Y_{\mathbb{M}}(v,z)q^{L(0)-\frac{c}{24}}P(\lambda)$ 

is a linear operator on  $\mathbb{M}_{[\lambda]}$  commuting with the right action of B, and hence  $\mathrm{Tr}^{\phi}$  can be defined on it.

Based on this formulation, in [GR19, Conjecture 5.8], Gainutdinov and Runkel proposed a conjecture that directly relates the space of torus conformal blocks of a  $C_2$ -cofinite VOA  $\mathbb V$  to the linear structure of the category  $\operatorname{Mod}(\mathbb V)$  of grading-restricted generalized  $\mathbb V$ -modules. Let  $\mathbb G$  be a projective generator in  $\operatorname{Mod}(\mathbb V)$ , and let  $B = \operatorname{End}_{\mathbb V}(\mathbb G)$ . Then  $\mathbb G$  is B-projective. The conjecture asserts that the linear map sending each  $\phi \in \operatorname{SLF}(B)$  to (0.2) defines an isomorphism between  $\operatorname{SLF}(B)$  and the space of torus conformal blocks of  $\mathbb V$ .

The purpose of this note is to establish results in the theory of associative algebras that are essential for proving the Gainutdinov-Runkel conjecture. The actual resolution of the conjecture will appear in the forthcoming paper [GZ25].

Our approach stems from recognizing a structural analogy between the Gainutdinov-Runkel conjecture and a classical result in associative algebra: If A is a unital finite-dimensional algebra and M is a projective generator in the category of finite-dimensional left A-modules, then M is projective over  $B := \operatorname{End}_A(M)^{\operatorname{op}}$ , and the pseudotrace map (0.1) is a linear isomorphism. This result was suggested in [BBG21, Sec. 2] and was proved in [Ari10] in the special case that M = Ae where e is a basic idempotent.

However, this classical result is not directly applicable to the Gainutdinov-Runkel conjecture. We need to generalize it to a larger class of associative algebras than unital finite-dimensional ones. In particular, we must consider infinite-dimensional algebras that can be approximated, in a certain sense, by finite-dimensional (and possibly unital) algebras. The need to consider infinite-dimensional associative algebras in the study of irrational VOAs has also been recognized in recent years from different perspectives, such as Huang's associative algebra  $A^{\infty}(\mathbb{V})$  introduced in [Hua24], and the mode transition algebra introduced by Damiolini-Gibney-Krashen in [DGK25].

The infinite-dimensional algebra required for the proof of the Gainutdinov-Runkel conjecture is different from the above mentioned algebras. In [GZ25], we will show that the end

$$\mathbb{E}:=\int_{\mathbb{M}\in\mathrm{Mod}(\mathbb{V})}\mathbb{M}\otimes_{\mathbb{C}}\mathbb{M}'$$

a priori an object of  $\operatorname{Mod}(\mathbb{V}^{\otimes 2})$ , carries a structure of an associative  $\mathbb{C}$ -algebra that is compatible with its  $\mathbb{V}^{\otimes 2}$ -module structure. This algebra  $\mathbb{E}$  is an example of an **almost unital** and finite-dimensional algebra (abbreviated as **AUF algebra**), meaning that  $\mathbb{E}$  has a collection of mutually orthogonal idempotents  $(e_i)_{i\in \mathfrak{I}}$  such that  $\mathbb{E}=\sum_{i,j\in \mathfrak{I}}e_i\mathbb{E}e_j$  where each summand  $e_i\mathbb{E}e_j$  is finite-dimensional. (This sum is automatically direct.) In fact,  $\mathbb{E}$  has only finitely many irreducibles. We call such algebra **strongly AUF**.

The main result of this note is a generalization of the aforementioned isomorphism between spaces of symmetric linear functionals to the setting of strongly AUF algebras. More precisely, we prove that the pseudotrace construction defines a linear isomorphism  $\mathrm{SLF}(B) \simeq \mathrm{SLF}(A)$  where A is strongly AUF, M is a projective generator of the category  $\mathrm{Coh}_L(A)$  of **coherent left** A-**modules** (i.e., finitely generated left A-modules that are quotients of free ones), and  $B = \mathrm{End}_A(M)^\mathrm{op}$ . See Thm. 9.4. Moreover, we show that

<sup>&</sup>lt;sup>1</sup>Here, "almost" modifies the entire phrase "unital and finite-dimensional", not just "unital".

the symmetric linear functional on B is non-degenerate if and only if the corresponding functional on A is non-degenerate. See Thm. 10.4.

Since the associative algebra structure on the end  $\mathbb{E}$  will not be developed in this note, we present some alternative examples of AUF algebras for illustration. Let  $U(\mathbb{V})$  be the universal algebra of  $\mathbb{V}$  as defined in [FZ92]. Let

$$U(\mathbb{V})^{\text{reg}} = \bigoplus_{\lambda,\mu \in \mathbb{C}} U(\mathbb{V})_{[\lambda,\mu]}$$

where  $U(\mathbb{V})_{[\lambda,\mu]}$  is the subspace of joint generalized-eigenvectors of the left and right actions of L(0) corresponding to the eigenvalues  $\lambda$  and  $\mu$  respectively. The following properties are shown in [MNT10]: Each  $U(\mathbb{V})_{[\lambda,\mu]}$  is finite-dimensional. For each  $\lambda,\mu,\nu\in\mathbb{C}$  one has

$$U(\mathbb{V})_{[\lambda,\mu]}U(\mathbb{V})_{[\mu,\nu]} \subset U(\mathbb{V})_{[\lambda,\nu]}$$

In particular,  $U(\mathbb{V})^{\mathrm{reg}}$  is a subalgebra of  $U(\mathbb{V})$ . Moreover, there is an increasing sequence of idempotents  $(1_n)_{n\in\mathbb{Z}_+}$  such that  $U(\mathbb{V})^{\mathrm{reg}}=\bigcup_n 1_n U(\mathbb{V})^{\mathrm{reg}}1_n$ . (See [MNT10, Sec. 2.6].) Therefore,  $U(\mathbb{V})^{\mathrm{reg}}$  is AUF, since the family of orthogonal idempotents in the definition of AUF algebras can be chosen to be  $(1_{n+1}-1_n)_{n\in\mathbb{Z}_+}$ .

For a more elementary and concrete example, consider the following. Let B be a unital finite-dimensional algebra. Let M be a right B-modules. Equip M with a grading

$$M = \bigoplus_{i \in \mathfrak{I}} M(i)$$

where each M(i) is finite-dimensional and is preserved by the right action of B. Let A be

$$\operatorname{End}_B^0(M) := \{ T \in \operatorname{End}(M) : (Tm)b = T(mb) \text{ for all } m \in M, b \in B,$$
$$T|_{M(i)} = 0 \text{ for all by finitely many } i \in \mathfrak{I} \}$$

Then A is clearly an AUF algebra, with the family of mutually orthogonal idempotents given by the projections  $e_i$  of M onto M(i).

In fact, any strongly AUF algebra arises from such a construction. More precisely, an algebra is strongly AUF if and only if it is isomorphic to some  $\operatorname{End}_B^0(M)$ , where M and B satisfy the above conditions and, in addition, M is a projective generator in the category of right B-modules. See Thm. 11.9.

Note that the relationship between  $\operatorname{End}_B^0(M)$  and  $C_2$ -cofinite VOAs is straightforward: If  $\mathbb{M} \in \operatorname{Mod}(\mathbb{V})$  is equipped with the grading  $\bigoplus_{\lambda \in \mathbb{C}} \mathbb{M}_{[\lambda]}$  given by the generalized eigenspaces of L(0), and if B is a unital subalgebra of  $\operatorname{End}_{\mathbb{V}}(\mathbb{M})^{\operatorname{op}}$  such that  $\mathbb{M}$  is projective as a right B-module, then each  $P(\lambda)Y_{\mathbb{M}}(v,z)q^{L(0)-\frac{c}{24}}P(\lambda)$  appearing in (0.2) lies  $\operatorname{End}_B^0(\mathbb{M})$ . Therefore, the main result of this note on pseudotraces (Thm. 10.4) can be applied to  $C_2$ -cofinite VOAs. Details of this application will be presented in [GZ25].

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#### 1 Preliminaries

Throughout this note, algebras are associative, not necessarily unital, and over  $\mathbb{C}$ . Let  $\mathbb{N} = \{0, 1, 2, ...\}$  and  $\mathbb{Z}_+ = \{1, 2, ...\}$ . For any vector spaces V, W, we let  $\operatorname{Hom}(V, W) = \operatorname{Hom}_{\mathbb{C}}(V, W)$  be the space of linear maps  $V \to W$ , and let  $\operatorname{End}(V) = \operatorname{Hom}(V, V)$ .

Let A be an algebra. Its opposite algebra is denoted by  $A^{\operatorname{op}}$ . If M, N are left (resp. right) A-modules, we let  $\operatorname{Hom}_{A,-}(M,N)$  (resp.  $\operatorname{Hom}_{-,A}(M,N)$ ) be the space of linear maps  $M \to N$  intertwining the left (resp. right) actions of A.

An **idempotent**  $e \in A$  is an element satisfing  $e^2 = e$ . If  $e, f \in A$  are idempotent, we write  $e \leqslant f$  if ef = fe = e. Equivalently, f = e + e' where  $e' \in A$  is an idempotent **orthogonal** to e (i.e. ee' = e'e = 0). We say that a nonzero idempotent e is **primitive** if the only idempotent f satisfying  $f \leqslant e$  is f = 0 and f = e.

In this section, we review some well-known facts about associative algebras. Since, unlike many references, our algebras are not assumed to be unital, we include proofs for the reader's convenience.

**Definition 1.1.** Let  $u, v \in A$ . We say that (u, v) is pair of **partial isometries in** A if the following are true:

- (a) p := vu and q := uv are idempotents.
- (b)  $u \in qAp$  and  $v \in pAq$ .

In this case, we also say that u is a partial isometry from p to q, and that v is a partial isometry from q to p. We say that two idempotents are **equivalent** if there are partial isometries between them.

**Proposition 1.2.** Let  $e, f \in A$  be idempotents. Then an element of  $\text{Hom}_{A,-}(Ae, Af)$  is precisely the right multiplication of an element of eAf. In particular, we have an algebra isomorphism

$$\operatorname{End}_{A,-}(Ae)^{\operatorname{op}} \simeq eAe$$

*Proof.* Clearly the right multiplication by some element of eAf yields an element of  $\operatorname{Hom}_{A,-}(Ae,Af)$ . Conversely, suppose that  $T \in \operatorname{Hom}_{A,-}(Ae,Af)$ . Let x = T(e), which belongs to Af. Since ex = eT(e) = T(ee) = T(e) = x, we see that  $x \in eAf$ . For each  $y \in A$ , we have T(ye) = yT(e) = yx = yex, which shows that T is the right multiplication by x.

**Corollary 1.3.** Let e, f be idempotents in A. The following are equivalent:

- (1)  $Ae \simeq Af$  as left A-modules.
- (2) There is a partial isometry from e to f.

*Proof.* (1) $\Rightarrow$ (2): Let  $T \in \operatorname{Hom}_{A,-}(Ae, Af)$  be an isomorphism with inverse  $T^{-1} \in \operatorname{Hom}_{A,e}(Af, Ae)$ . By Prop. 1.2, T and  $T^{-1}$  are realized by the right multiplications of  $u \in eAf$  and  $v \in fAe$  respectively. Since  $TT^{-1} = 1_{Af}$ , we have vu = f. Since  $T^{-1}T = 1_{Ae}$ , we have uv = e.

(2) $\Rightarrow$ (1): Let  $u \in eAf$  and  $v \in fAe$  such that uv = e, vu = f. Then the right multiplication of u on Ae has inverse being the right multiplication of v. So  $Ae \simeq Af$ .

**Corollary 1.4.** Let  $e \in A$  be an idempotent. Let M be a left A-submodule of Ae. The following are equivalent.

- (1) M is a direct summand of Ae.
- (2) M = Af for some idempotent  $f \leq e$  in A.

*Proof.* (2) $\Rightarrow$ (1):  $Ae = Af \oplus Af'$  where f' = e - f is an idempotent.

(1) $\Rightarrow$ (2): Let  $Ae = M \oplus N$ . Let  $\varphi : Ae \to Ae$  be the projection on M vanishing on N. Then  $\varphi \in \operatorname{End}(Ae)$ . By Prop. 1.2,  $\varphi$  is the right multiplication by some  $f \in eAe$ . Since  $\varphi \circ \varphi = \varphi$ , clearly  $f^2 = f$ . Moreover,  $M = \varphi(Ae) = (Ae)f = Af$ .

**Corollary 1.5.** Let  $e \in A$  be an idempotent. The following are equivalent.

- (1) Ae is an indecomposible left A-module.
- (2) e is primitive.

*Proof.* This follows immediately from Cor. 1.4.

**Lemma 1.6.** Let M be a nonzero finitely-generated left A-module. Then M has a maximal proper left A-submodule N. Consequently, there is an epimorphism of M onto an irreducible module.

*Proof.* Let  $\xi_1,\ldots,\xi_n$  generate M. Without loss of generality, we assume that  $\xi_1$  does not belong to the submodule  $N_0$  generated by  $\xi_2,\ldots,\xi_n$ . By Zorn's lemma, there is a left submodule  $N\leqslant M$  maximal with respect to the property that  $N_0\subset N$  and  $\xi_1\neq N$ . Let us prove that N is a maximal proper submodule. Let  $N< K\leqslant M$ . Then by the maximality of N we must have  $\xi_1\in K$ . So  $\xi_1,\ldots,\xi_n\in K$ , and hence K=M. So K is not proper.

# 2 Almost unital algebras

In this section, we introduce the notion of almost unital algebras, which is weaker than being almost unital and finite-dimensional.

**Definition 2.1.** We say that an algebra *A* is **almost unital** if the following conditions are satisfied:

- (a) For each  $x \in A$ , there is an idempotent  $e \in A$  such that x = exe.
- (b) For any finitely many idempotents  $e_1, \ldots, e_n \in A$  there exists an idempotent  $e \in A$  such that  $e_i \le e$  for all  $1 \le i \le n$ .

Throughout this section, unless otherwise stated, *A* is assumed to be almost unital.

**Definition 2.2.** We say that a left A-module M is **quasicoherent** if one of the following equivalent conditions hold:

- (1) For each  $\xi \in M$  we have  $\xi \in A\xi$ .
- (2) For each  $\xi \in M$  there exists an idempotent  $e \in A$  such that  $\xi = e\xi$ .

- (3) M is a quotient module of  $\bigoplus_{i \in I} Ae_i$  where each  $e_i \in A$  is an idempotent.
- (4) M is a quotient module of a free left A-module  $A^{\oplus I}$ .

The category of quasicoherent left A-modules is denoted by  $\mathbf{QCoh_L}(A)$ .

*Proof of equivalence.* (1) $\Rightarrow$ (2): For each  $\xi \in M$ , since  $\xi \in A\xi$ , we have  $\xi = a\xi$  for some  $a \in A$ . Choose idempotent  $e \in A$  such that  $e \in A$  such th

- $(2)\Rightarrow(1)$ : Obvious.
- (2) $\Rightarrow$ (3): For each  $\xi \in M$ , let  $e_{\xi} \in A$  be an idempotent such that  $e_{\xi}\xi = \xi$ . Then we have a morphism  $\bigoplus_{\xi \in M} Ae_{\xi} \to M$  whose restriction to  $Ae_{\xi}$  sends each  $a \in Ae_{\xi}$  to  $a\xi$ . Then  $\xi = e_{\xi}\xi$  implies that  $\xi \in Ae_{\xi}\xi$ , and hence  $\xi$  is in the range of this morphism. So this morphism is surjective.
- (3) $\Rightarrow$ (4): This is obvious, since we have an epimorphism  $A \to Ae_i$  and hence an epimorphim  $\bigoplus_{i \in I} A \to \bigoplus_{i \in I} Ae_i$ .
- (4) $\Rightarrow$ (2): It suffices to show that  $A^{\oplus I}$  satisfies the requirement of (2). Choose  $\xi = (a_i)_{i \in I} \in A^{\oplus I}$ . Then there are only finitely many  $i \in I$  such that  $a_i \neq 0$ . Since A is almost unital, there exist idempotents  $e_i \in A$  (where  $i \in I$ ) such that  $a_i = e_i a_i e_i$  for all  $i \in I$ . (If  $a_i = 0$ , then we choose  $e_i = 0$ ). Choose idempotent  $e \in A$  such that  $e_i \leq e$  for all  $i \in I$ . Then  $\xi = e\xi$ .

**Definition 2.3.** A left A-module M is called **coherent** if it is quasicoherent and finitely-generated. By the above proof of equivalence, it is clear that M is coherent iff M is a quotient of  $\bigoplus_{i \in I} Ae_i$  where I is a *finite* index set and  $e_i \in A$  is an idempotent. The category of coherent left A modules is denoted by  $\mathbf{Coh_L}(A)$ .

However, note that a coherent left A-module is not necessarily a quotient of  $A^{\oplus n}$  where  $n \in \mathbb{Z}_+$ . Indeed, A is not necessarily finitely generated as a left A-module.

**Remark 2.4.** If  $M \in \mathrm{QCoh}_{\mathrm{L}}(A)$ , then every submodule of M is quasicoherent, and every quotient module of M is quasicoherent M. However, if  $M \in \mathrm{Coh}_{\mathrm{L}}(A)$ , then a submodule of M is not known to be coherent. Thus,  $\mathrm{QCoh}_{\mathrm{L}}(A)$  is an abelian category, while  $\mathrm{Coh}_{\mathrm{L}}(A)$  is not known to be abelian.

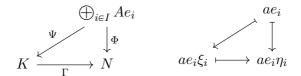
**Proposition 2.5.** Let  $M \in QCoh_L(A)$ . The following are equivalent.

- (1) M is projective in the category of left A-modules.
- (2) M is projective in  $QCoh_L(A)$ .
- (3) M is a direct summand of  $\bigoplus_{i \in I} Ae_i$  for some index set I and each  $e_i \in A$  is an idempotent.

*Proof.* (3) $\Rightarrow$ (1): It is well-known that a direct summand of a projective module is projective. Thus, it suffices to prove that  $\bigoplus_{i\in I} Ae_i$  is projective. Let  $\Phi: \bigoplus_{i\in I} Ae_i \to N$  be an epimorphism where N is a left A-module. Let  $\Gamma: K \to N$  be an epimorphism. Let

$$\eta_i = \Phi(e_i)$$

Since  $\Gamma$  is surjective, there is  $\xi_i \in K$  such that  $\Gamma(\xi_i) = \eta_i$ . Define  $\Psi : \bigoplus_{i \in I} Ae_i \to K$  to be the morphism sending each  $ae_i \in Ae_i$  to  $ae_i\xi$ . Then the following commute:



Note that  $\mapsto$  holds since  $\Gamma(ae_i\xi_i)=ae_i\Gamma(\xi_i)=ae_i\eta_i$ , and  $\downarrow$  holds since  $\Phi(ae_i)=\Phi(ae_ie_i)=ae_i\Phi(e_i)=ae_i\eta_i$ .

- $(1)\Rightarrow(2)$ : Obvious.
- (2) $\Rightarrow$ (3): Choose an epimorphism  $\bigoplus_{i \in I} Ae_i \to M$ , which splits because M is projective. So M is a direct summand of  $\bigoplus_{i \in I} Ae_i$ .

**Proposition 2.6.** Let  $M \in Coh_L(A)$ . The following are equivalent.

- (1) *M* is projective in the category of left *A*-modules.
- (2) M is projective in  $QCoh_L(A)$ .
- (3) M is projective in  $Coh_L(A)$ .
- (4) M is a direct summand of  $\bigoplus_{i \in I} Ae_i$  for some finite index set I and each  $e_i \in A$  is an idempotent.

Therefore, there is no ambiguity when talking about projective coherent left *A*-modules.

*Proof.* Clearly we have  $(1)\Rightarrow(2)$  and  $(2)\Rightarrow(3)$ . By Prop. 2.5 we have  $(4)\Rightarrow(1)$ . Assume (3). By Rem. 2.4, there is an epimorphism  $\bigoplus_{i\in I} Ae_i \to M$  such that I is finite, and that it splits (because M is projective in  $\mathrm{Coh}_L(A)$ ). So (4) is true.

**Remark 2.7.** If  $M \in \mathrm{QCoh}_{\mathrm{L}}(A)$ , clearly M is irreducible in  $\mathrm{QCoh}_{\mathrm{L}}(A)$  iff M is irreducible in the category of left A-modules; in this case we say that M is **irreducible**. Note that even if  $M \in \mathrm{Coh}_{\mathrm{L}}(A)$ , its irreducibility is understood as in  $\mathrm{QCoh}_{\mathrm{L}}(A)$  but not as in  $\mathrm{Coh}_{\mathrm{L}}(A)$ .

**Proposition 2.8.** Let M be a left A-module. The following are equivalent.

- (1)  $M \in QCoh_L(A)$  and M is irreducible.
- (2)  $M \simeq Ae/N$  where  $e \in A$  is an idempotent and N is a maximal (proper) left ideal of Ae.
- (3)  $M \simeq A/N$  where N is a maximal proper left A-submodule of A.

*Proof.* (1) $\Rightarrow$ (2): Let  $M \in \mathrm{QCoh}_{\mathrm{L}}(A)$  be irreducible. By Def. 2.2, M has an epimorphism  $\Phi$  from some  $\bigoplus_i Ae_i$  where  $e_i \in A$  is an idempotent. The restriction of  $\Phi$  to some  $Ae_i$  must be nonzero, and hence must be surjective (since M is irreducible). It follows that M has an epimorphism  $\Psi$  from  $Ae_i$ . Then  $N = \mathrm{Ker}\Psi$  is a maximal proper left A-submodule of  $Ae_i$ , and  $M \simeq Ae_i/N$ .

(1) $\Rightarrow$ (3): In the above proof, M also has an epimorphism from  $\bigoplus_i A$  (since we have an epimorphism  $A \to Ae_i$ ). Thus, replacing  $Ae_i$  with  $A_i$  in the above proof, we are done.

(2),(3) $\Rightarrow$ (1): Clearly M is irreducible. That  $M \in QCoh_L(A)$  follows from Def. 2.2.

# 3 Projective covers

Let A be an algebra, not necessarily almost unital. In this section, we recall some basic facts about projective covers. When A is unital, these results can be found in [AF92], for example. In the non-unital case, one can reduce to the unital setting by considering the unitalization of A. For the reader's convenience, we include complete proofs.

#### 3.1 Basic facts

**Definition 3.1.** Let M be a left A-module. A left A-submodule  $K \le M$  is called **superfluous**, if for any left A-submodule  $L \le M$  satisfying K + L = M we must have L = M.

**Remark 3.2.** Obviously, we have an equivalent description of superfluous submodules: Let  $\pi: M \to M/K$  be the quotient map. Then  $K \leq M$  is superfluous iff for any morphism of left A-modules  $\varphi: N \to M$  such that  $\pi \circ \varphi: N \to M/K$  is surjective, it must be true that  $\varphi$  is surjective.

**Definition 3.3.** Let M be a left A-module. A **projective cover** of M denotes a left A-module epimorphism  $\varphi: P \twoheadrightarrow M$  where P is a projective left A-module, and  $\operatorname{Ker} \varphi$  is superfluous in P.

The following property says that among the projective modules that have epimorphisms to M, the projective cover is the smallest one in the sense of direct summand.

**Proposition 3.4.** Let  $\varphi: P \to M$  be a projective cover of M. Let  $\psi: Q \to M$  be an epimorphism where Q is projective. Then there is a morphism  $\alpha: Q \to P$  such that the following diagram commutes.

$$Q \xrightarrow{\varphi} P$$

$$\downarrow \varphi$$

Moreover, for any such  $\alpha$ , there is a left A-submodule  $P' \leq Q$  such that  $Q = \ker \alpha \oplus P'$  and that  $\alpha|_{P'}: P' \xrightarrow{\simeq} P$  is an isomorphism.

By setting  $L = \ker \alpha$ , it follows that (3.1) is equivalent to

$$\begin{array}{c}
P \\
\downarrow \varphi \\
L \oplus P \xrightarrow{0 \oplus \varphi} M
\end{array} \tag{3.2}$$

*Proof.* The existence of  $\alpha$  follows from that Q is projective and that  $\varphi$  is an epimorphism. Moreover, since  $\ker \varphi$  is superfluous and  $\varphi \circ \alpha$  is surjective, by Rem. 3.2,  $\alpha$  is surjective. Therefore, since P is projective, the epimorophism  $\alpha$  splits, i.e., there is a morphism  $\beta:P\to Q$  such that  $\alpha\circ\beta:P\to P$  equals  $\mathrm{id}_P$ . One sees that  $P'=\beta(P)$  fulfills the requirement.

It follows that projective covers are unique up to isomorphisms:

**Corollary 3.5.** Let M be a left A-module with projective covers  $\varphi: P \to M$  and  $\psi: Q \to M$ . Then there exists an isomorphism  $\alpha: Q \to P$  such that (3.1) commutes.

*Proof.* By Prop. 3.5, there exists  $\alpha$  such that (3.1) commutes. It remains to show that  $\alpha$  is an isomorphism. We assume that (3.1) equals (3.2). Since  $0 \oplus \varphi : L \oplus P \to M$  is a projective cover,  $L + \ker(P) = \ker(0 \oplus \varphi)$  is superfluous, and hence L is superfluous. Thus, since L + P equals  $Q = L \oplus P$ , we must have Q = P and hence L = 0. So  $\alpha = 0 \oplus \operatorname{id}_P$  is an isomorphism.

#### 3.2 Projective covers of irreducibles

**Proposition 3.6.** Suppose that  $\varphi: P \to M$  is a projective cover of an irreducible left A-module M. Then P is indecomposible.

*Proof.* Suppose that  $P=P'\oplus P''$ . Then one of  $\varphi|_{P'}, \varphi|_{P''}$  (say  $\varphi|_{P'}$ ) is nonzero. Since M is irreducible,  $\varphi|_{P'}: P'\to M$  must be surjective. So the map  $P'\hookrightarrow P'\oplus P''\xrightarrow{\varphi} M$  is surjective. Since  $\ker\varphi$  is superfluous, by Rem. 3.2,  $P'\hookrightarrow P'\oplus P''$  is surjective, and hence P''=0.

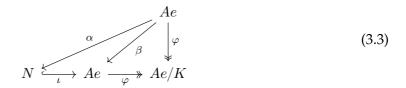
**Theorem 3.7.** Let  $e \in A$  be a primitive idempotent satisfying

$$\dim eAe < +\infty$$

Let K be any proper left A-submodule of Ae. Then K is superfluous. In other words, the quotient map  $Ae \to Ae/K$  is the projective cover of Ae/K.

*Proof.* Step 1. Let  $\varphi: Ae \to Ae/K$  be the quotient map. Let L be a submodule of Ae. Assume that N+K=Ae; in other words, if we let  $\iota: N \hookrightarrow Ae$  be the inclusion, then  $\varphi \circ \iota: N \to Ae/K$  is surjective. Our goal is to show that N=Ae.

Since Ae is projective and  $\varphi \circ \iota$  is surjective, there is a morphism  $\alpha : Ae \to N$  such that  $\varphi = \varphi \circ \iota \circ \alpha$ . Let  $\beta = \iota \circ \alpha$ . Then the following diagram commutes:



To prove that  $\iota$  is surjective, it suffices to show that  $\beta$  is surjective.

Step 2. Suppose that  $\beta$  is not surjective. Let us find a contradiction. Since  $\beta \in \operatorname{End}_{A,-}(Ae)$ , by Prop. 1.2,  $\beta$  is the right multiplication by some  $x \in eAe$ . Let  $R_x : eAe \to eAe$  be the right multiplication of x on eAe. Then  $R_x$  is not surjective. Otherwise, there exists  $a \in A$  such that  $R_x(eae) = e$ , i.e., eaex = e. Then for each  $b \in A$ , we have  $be = beaex = \beta(beae)$ , contradicting the fact that  $\beta$  is not surjective.

It is well-known that if T is a linear operator on a finite-dimensional  $\mathbb{C}$ -vector space W, then W is the direct sum of generalized eigenspaces of T, and the projection operator of W onto each generalized eigenspace is a polynomial of T. Therefore,  $R_x$  has only one

eigenvalue. Otherwise, there is a polynomial p such that  $p(R_x) = R_{p(x)}$  is the projection of eAe onto a proper subspace, and hence p(x) is an idempotent in eAe not equal to 0 or e. This is impossible, since e is assumed to be primitive.

Therefore,  $R_x$  has a unique eigenvalue, which must be 0 since  $R_x$  is not surjective. By linear algebra,  $R_x$  is nilpotent. Since  $R_{x^n} = (R_x)^n$ , it follows that x is nilpotent, and hence  $\beta$  is nilpotent. By (3.3), we have  $\varphi = \varphi \circ \beta$ , and hence  $\varphi = \varphi \circ \beta = \varphi \circ \beta^2 = \varphi \circ \beta^3 = \cdots = 0$ . This contradicts the fact that  $\varphi$  is a surjection onto a nonzero module, finishing the proof.

**Corollary 3.8.** Let  $e \in A$  be a primitive idempotent satisfying dim  $eAe < +\infty$ . Then Ae has a unique proper maximal left A-submodule, denoted by rad(Ae).

It follows from Thm. 3.7 that Ae is the projective cover of the irreducible Ae/rad(Ae).

*Proof.* By Lem. 1.6, Ae has at least one proper maximal left A-submodule. Suppose that  $K \neq L$  are proper maximal left A-submodules of M. By the maximality, we have K + L = M. By Thm. 3.7, L is superfluous. So K = M, impossible.

# 4 Left pseudotraces

Let A, B be algebras such that B is unital. Fix an A-B bimodule M. We do not assume that  $M_B$  is unital, i.e.,  $1_B \in B$  acts as the identity on M.

**Definition 4.1.** A **left coordinate system** of M denotes a collection of morphisms

$$\alpha_i \in \operatorname{Hom}_{-,B}(B,M) \qquad \check{\alpha}^i \in \operatorname{Hom}_{-,B}(M,B)$$
 (4.1)

where i runs through an index set I such that the following conditions hold:

- (a) For each  $\xi \in M$ , we have  $\check{\alpha}^i(\xi) = 0$  for all but finitely many  $i \in I$ , and  $\sum_{i \in I} \alpha_i \circ \check{\alpha}^i(\xi) = \xi$ .
- (b) For each  $x \in A$  (viewed as an element of  $\operatorname{End}_{-,B}(M)$ ), we have  $x \circ \alpha_i = 0$  and  $\check{\alpha}^i \circ x = 0$  for all but finitely many  $i \in I$ .

**Remark 4.2.** M is a projective right B-module iff there exists  $(\alpha_i, \check{\alpha}^i)_{i \in I}$  of the form (4.1) satisfying condition (a).

*Proof.* Suppose that there exists  $(\alpha_i, \check{\alpha}^i)_{i \in I}$  such that (a) holds. Define morphisms of right B-modules

$$\Phi: B^{\oplus I} \to M \qquad \bigoplus_{i} b_{i} \mapsto \sum_{i} \alpha_{i}(b_{i})$$

$$\Psi: M \to B^{\oplus I} \qquad \xi \mapsto \bigoplus_{i} \check{\alpha}^{i}(\xi)$$

Then (a) implies that  $\Phi \circ \Psi = \mathrm{id}_M$ . Thus, M is a direct summand of  $B^{\oplus I}$ , and hence is projective as a right B-module.

Conversely, assume M is projective as a right B-module. Then we have an epimorphism  $\Phi: B^{\oplus I} \to M$  and a morphism  $\Psi: M \to B^{\oplus I}$  such that  $\Phi \circ \Psi = \mathrm{id}_M$ . For each

 $i \in I$ , let  $\iota_i : B \to B^{\oplus I}$  be the inclusion map of B into the i-th direct summand, and  $\pi_i : B^{\oplus I} \to B$  be the projection map onto the i-th direct summand. Set

$$\alpha_i = \Phi \circ \iota_i \qquad \check{\alpha}^i = \pi_i \circ \Psi$$

Then  $(\alpha_i, \check{\alpha}^i)_{i \in I}$  satisfies (a).

**Definition 4.3.** Assume that M has a left coordinate system  $(\alpha_i, \check{\alpha}^i)_{i \in I}$ . Define the **B-trace** function

$$\operatorname{Tr}^B:A\to B/[B,B] \qquad x\mapsto \sum_{i\in I}\check{\alpha}^i\circ x\circ \alpha_i$$

where the RHS, originally an element of  $\operatorname{End}_{-,B}(B) \simeq B$ , is descended to B/[B,B].

**Lemma 4.4.** The definition of  $Tr^B$  is independent of the choice of left coordinate systems.

*Proof.* Suppose that  $(\beta_j, \check{\beta}^j)_{j \in J}$  is another left coordinate system of the A-B bimodule M. Let  $I_x \subset I$  and  $J_x \subset J$  be finite sets such that  $\check{\alpha}^i \circ x = 0, x \circ \alpha_i = 0$  for any  $i \in I \setminus I_x$ , and that  $\check{\beta}^j \circ x = 0, x \circ \beta_j = 0$  for any  $j \in J \setminus J_x$ . Then

$$\sum_{i \in I_x} \widecheck{\alpha}^i \circ x \circ \alpha_i = \sum_{i \in I_x, j \in J} \widecheck{\alpha}^i \circ x \circ \beta_j \circ \widecheck{\beta}^j \circ \alpha_i = \sum_{i \in I_x, j \in J_x} \widecheck{\alpha}^i \circ x \circ \beta_j \circ \widecheck{\beta}^j \circ \alpha_i$$

Since each  $\check{\alpha}^i \circ x \circ \beta_i$  and  $\check{\beta}^j \circ \alpha_i$  are in  $\operatorname{End}_{-B}(B) \simeq B$ , the RHS above equals

$$\sum_{i \in I_x, j \in J_x} \widecheck{\beta}^j \circ \alpha_i \circ \widecheck{\alpha}^i \circ x \circ \beta_j = \sum_{j \in J_x} \widecheck{\beta}^j \circ x \circ \beta_j$$

in B/[B,B].

**Proposition 4.5.**  $\operatorname{Tr}^B$  is **symmetric**, i.e.,  $\operatorname{Tr}^B(xy) = \operatorname{Tr}^B(yx)$  for any  $x, y \in A$ . Therefore,  $\operatorname{Tr}^B$  descends to a linear map  $A/[A, A] \to B/[B, B]$ .

*Proof.* Let  $x, y \in A$ . Let  $I_0 \subset I$  be a finite set such that  $\check{\alpha}^i \circ x = \check{\alpha}^i \circ y = 0$  and  $x \circ \alpha_i = y \circ \alpha_i = 0$  for all  $i \in I \setminus I_0$ . Then

$$\operatorname{Tr}^{B}(xy) = \sum_{i \in I_{0}} \check{\alpha}^{i} \circ x \circ y \circ \alpha_{i} = \sum_{i,j \in I_{0}} \check{\alpha}^{i} \circ x \circ \alpha_{j} \circ \check{\alpha}^{j} \circ y \circ \alpha_{i}$$

and similarly

$$\operatorname{Tr}^{B}(yx) = \sum_{i,j \in I_{0}} \check{\alpha}^{j} \circ y \circ \alpha_{i} \circ \check{\alpha}^{i} \circ x \circ \alpha_{j}$$

The two RHS's are equal in B/[B,B], noting that  $\check{\alpha}^i\circ x\circ\alpha_j$  and  $\check{\alpha}^j\circ y\circ\alpha_i$  are both in  $\operatorname{End}_{-,B}(B)\simeq B$ .

<sup>&</sup>lt;sup>2</sup>This isomorphism relies on the fact that *B* is unital.

**Definition 4.6.** Let  $\phi: B \to \mathbb{C}$  be a **symmetric linear functional (SLF)**, i.e., a linear map satisfying  $\phi(ab) = \phi(ba)$  for all  $a, b \in B$ . The (left) **pseudotrace** associated to  $\phi$  (and M), denoted by  $\mathbf{Tr}^{\phi}$ , is defined to be

$$\operatorname{Tr}^{\phi} = \phi \circ \operatorname{Tr}^{B} : A \to \mathbb{C}$$
 (4.2)

It is an SLF on A.

Thus, for each  $x \in A$  we have

$$\operatorname{Tr}^{\phi}(x) = \sum_{i \in I} \phi(\check{\alpha}^i \circ x \circ \alpha_i(1_B)) \tag{4.3}$$

# 5 AUF algebras and projective covers of irreducibles

**Definition 5.1.** An algebra A is called **almost unital and finite-dimensional (AUF)** if there is a family of mutually orthogonal idempotents  $(e_i)_{i \in \mathfrak{I}}$  such that the following conditions hold:

- (a) For each  $i, j \in \mathfrak{I}$  we have  $\dim e_i A e_j < +\infty$ .
- (b)  $A = \sum_{i,j \in \mathfrak{I}} e_i A e_j$ . (That is, for each  $x \in A$  one can find a finite subset  $I \subset \mathfrak{I}$  and a collection  $(x_{i,j})_{i,j \in \mathfrak{I}}$  such that  $x = \sum_{i,j \in I} e_i x_{i,j} e_j$ .)

Note that (b) automatically impies  $A = \bigoplus_{i,j \in \mathfrak{I}} e_i A e_j$ .

It is illuminating to view an element  $x \in A$  as an  $\mathfrak{I} \times \mathfrak{I}$  matrix whose (i,j)-entry is  $e_i x e_j$ .

**Remark 5.2.** Each AUF algebra *A* is almost unital.

*Proof.* For each  $x_1, \dots, x_n \in A$ , we can find a subset  $I_0 \subset \mathfrak{I}$  such that  $x \in e'Ae'$ , where  $e' = \sum_{i \in I_0} e_i$ . By choose n = 1 and  $x_1 = x \in A$ , we see x = e'xe'. By choosing idempotents  $x_i = e_i \in A$ , we see  $e_i \leq e'$  for all  $1 \leq i \leq n$ .

**Lemma 5.3.** In Def. 5.1, one can assume moreover that each  $e_i$  is primitive (in A).

*Proof.* Let  $(e_i)_{i\in\mathfrak{I}}$  be as in Def. 5.1. For each  $i\in\mathfrak{I}$ , since  $e_iAe_i$  is a finite-dimensional left  $e_iAe_i$ -module, it is a finite direct sum of indecomposible left  $e_iAe_i$ -submodules. By Cor. 1.4 and 1.5, we have a finite direct sum  $e_iAe_i=\bigoplus_{k\in\mathfrak{K}_i}e_iAf_{i,k}$  where  $(f_{i,k})_{k\in\mathfrak{K}_i}$  is a finite family of mutually orthogonal idempotents in  $e_iAe_i$ , that  $\sum_k f_{i,k}=e_i$ , and that each  $f_{i,k}$  is primitive in  $e_iAe_i$ . Clearly  $f_{i,k}$  is also primitive in A. Replacing  $(e_i)_{i\in\mathfrak{I}}$  by  $(f_{i,k})_{i\in\mathfrak{I},k\in\mathfrak{K}_i}$  does the job.

In the remaining part of this section, we always assume that *A* is AUF.

**Remark 5.4.** For each idempotents  $e, f \in A$ , we have

$$\dim eAf<+\infty$$

Indeed, one can find a finite set  $I_0 \subset \mathfrak{I}$  such that  $e, f \in e'Ae'$  where  $e' = \sum_{i \in I_0} e_i$ . Then  $\dim e'Ae' < +\infty$ , and hence  $\dim eAf < +\infty$ .

It follows that each idempotent  $e \in A$  has a (finite) orthogonal primitive decomposition  $e = \varepsilon_1 + \cdots + \varepsilon_n$ . This follows from a decomposition of the finite-dimensional left eAe-module eAe into indecomposible submodules.

Recall Rem. 2.7 about irreducibility.

### **Theorem 5.5.** *The following are true.*

- 1. For each primitive idempotent  $e \in A$ , let rad(Ae) be the unique proper maximal left submodule of Ae (cf. Cor. 3.8). Then  $Ae \to Ae/rad(Ae)$  gives a projective cover of the irreducible coherent module Ae/rad(Ae).
- 2. Any irreducible  $M \in QCoh_L(A)$  is isomorphic to Ae/rad(Ae) for some primitive idempotent  $e \in A$ .
- 3. Let e, f be primitive idempotents. Then the following are equivalent:
  - (1)  $Ae \simeq Af$  as left A-modules.
  - (2)  $Ae/rad(Ae) \simeq Af/rad(Af)$  as left A-modules.
  - (3)  $e \simeq f$ , i.e., there is a partial isometry (in A) from e to f.

*Proof.* Part 1 was already proved, cf. Thm. 3.7. (Note that Thm. 3.7 and its consequences are applicable since  $\dim eAe < +\infty$  by Rem. 5.4.)

Part 2: By Prop. 2.8, M has an epimorphism  $\Psi$  from A. Let  $(e_i)_{i\in \mathfrak{I}}$  be as in Def. 5.1 such that each  $e_i$  is primitive (Lem. 5.3). Then  $A \simeq \bigoplus_i Ae_i$  as left A-modules. The restriction of  $\Psi$  to some  $Ae_i$  must be nonzero, and hence must be surjective. Therefore  $M \simeq Ae_i/\mathrm{rad}(Ae_i)$ .

Part 3: (1) $\Rightarrow$ (2) is obvious. (2) $\Rightarrow$ (1) follows from the uniqueness of projective covers (Cor. 3.5). (1) $\Leftrightarrow$ (3) follows from Cor. 1.3.

# **Corollary 5.6.** Let $P \in Coh_L(A)$ . The following are equivalent.

- (1) P is projective and indecomposible.
- (2) P is the projective cover of an irreducible  $M \in QCoh_L(A)$ , which (by Thm. 5.5) is isomorphic to Ae for some primitive idempotent  $e \in A$ .

*Proof.* (2) $\Rightarrow$ (1): This follows from Prop. 3.6.

(1) $\Rightarrow$ (2): By Lem. 1.6, P has an epimorphism to an irreducible, which (by Thm. 5.5) is of the form  $Ae/\operatorname{rad}(Ae)$  where  $e \in A$  is a primitive idempotent. We know that Ae is its projective cover. Since P is projective, by Prop. 3.4, Ae is a direct summand of P. Since P is indecomposible, we must have P = Ae.

# 6 Pseudotraces and generating idempotents of strongly AUF algebras

Let A be AUF. In this section, we show that if  $e \in A$  is a generating idempotent, any SLF  $\psi$  on A can be recovered from  $\psi|_{eAe}$  via the pseudotrace construction.

**Definition 6.1.** An idempotent  $e \in A$  is called **generating** if every irreducible  $M \in QCoh_L(A)$  has an epimorphism from Ae.

**Proposition 6.2.** Let  $e \in A$  be an idempotent. Let  $e = \varepsilon_1 + \cdots + \varepsilon_n$  be an orthogonal primitive decomposition (cf. Rem. 5.4). The following are equivalent:

- (1) e is generating.
- (2) Any primitive idempotent of A is isomorphic to  $\varepsilon_i$  for some i.
- (3) Any irreducible  $M \in QCoh_L(A)$  is isomorphic to  $A\varepsilon_i/rad(A\varepsilon_i)$  for some i.

*Proof.* (1) $\Rightarrow$ (3): Each irreducible  $M \in \mathrm{QCoh}_{\mathrm{L}}(A)$  has an epimorphism from  $Ae = A\varepsilon_1 \oplus \cdots \oplus A\varepsilon_n$ , and hence an epimorphism from some  $A\varepsilon_i$ . By Cor. 3.8, the kernel of this epimorophism is  $\mathrm{rad}(A\varepsilon_i)$ . Therefore, we have  $A\varepsilon_i/\mathrm{rad}(A\varepsilon_i) \simeq M$ .

- $(3)\Rightarrow(1)$ : Obvious.
- $(2)\Leftrightarrow(3)$ : Immediate from Thm. 5.5.

**Corollary 6.3.** Let  $e, f \in A$  be idempotents such that  $e \leq f$  and e is a generating idempotent of A. Then e is a generating idempotent of fAf.

*Proof.* Let p be any primitive idempotent of fAf. Then p is a primitive idempotent of A. By Prop. 6.2, if we let  $e = \varepsilon_1 + \cdots + \varepsilon_n$  be an orthogonal primitive decomposition, then there exist  $1 \le i \le n$  and  $u \in \varepsilon_i Ap, v \in pA\varepsilon_i$  such that  $uv = \varepsilon_i$  and vu = p. So p is isomorphism in fAf to  $\varepsilon_i$ . By Prop. 6.2, we conclude that e is generating in fAf.

**Corollary 6.4.** The following are equivalent.

- (1) A has a generating idempotent.
- (2)  $QCoh_L(A)$  has finitely many equivalence classes of irreducible objects.
- (3) A has finitely many isomorphism classes of primitive idempotents.

If one of these conditions holds, we say that A is **strongly AUF**.

*Proof.* (1) $\Rightarrow$ (2): Immediate from Prop. 6.2.

- $(2)\Leftrightarrow(3)$ : Immediate from Thm. 5.5.
- $(2)\Rightarrow (1)$ : Let  $M_1,\ldots,M_n\in \mathrm{QCoh_L}(A)$  exhaust all equivalence classes of irreducibles. Let  $(e_i)_{i\in\mathfrak{I}}$  be as in Def. 5.1. For each  $1\leqslant k\leqslant n$ , by Prop. 2.8,  $M_k$  has an epimorphism from A. Since  $A=\bigoplus_{i\in\mathfrak{I}}Ae_i$ , it follows that  $M_k$  has an epimorphism from  $Ae_{i_k}$  for some  $i_k\in\mathfrak{I}$ . If we assume at the beginning that  $M_1,\ldots,M_n$  are mutually non-isomorphic, then  $e_{i_1},\ldots,e_{i_k}$  must be distinct, and hence mutually orthogonal. So  $e=e_{i_1}+\cdots+e_{i_n}$  is a generating idempotent.  $\square$

**Theorem 6.5.** Assume that A is strongly AUF, and let  $e \in A$  be a generating idempotent. Then the A-(eAe) bimodule Ae has a left coordinate system. In particular, by Rem. 4.2, Ae is a projective right eAe-module.

The following construction of left coordinate system is important and is motivated by [Ari10, Lem. 3.9].

*Proof.* Let  $(e_i)_{i\in\Im}$  be as in Def. 5.1. By Lem. 5.3, we can assume that each  $e_i$  is primitive. Let  $e=\varepsilon_1+\cdots+\varepsilon_n$  be an orthogonal primitive decomposition of e. By Prop. 6.2, there are partial isometries  $u_i, v_i$  such that

$$v_i u_i = \varepsilon_{k_i}$$
  $u_i v_i = e_i$   
 $u_i \in e_i A \varepsilon_{k_i}$   $v_i \in \varepsilon_{k_i} A e_i$ 

where  $k_i \in \{1, ..., n\}$ . In particular  $u_i \in e_i Ae$  and  $v_i \in eAe_i$ . Let

$$\alpha_i \in \text{End}_{-,eAe}(eAe, Ae)$$
  $\check{\alpha}^i \in \text{End}_{-,eAe}(Ae, eAe)$ 

$$\alpha_i(exe) = u_i \cdot exe$$
  $\check{\alpha}^i(xe) = v_i \cdot xe$ 

One checks easily that  $(\alpha_i, \check{\alpha}^i)_{i \in \mathfrak{I}}$  is a left coordinate system.

The proof of [Ari10, Thm. 3.10] can be easily adapted to prove the following theorem.

**Theorem 6.6.** Assume that A is strongly AUF, and let  $e \in A$  be a generating idempotent. Then there is a linear isomorphism

$$SLF(A) \xrightarrow{\simeq} SLF(eAe) \qquad \psi \mapsto \psi|_{eAe}$$

whose inverse is given by

$$SLF(eAe) \xrightarrow{\simeq} SLF(A) \qquad \phi \mapsto Tr^{\phi}$$

Here,  $\operatorname{Tr}^{\phi}$  is the pseudotrace on A with respect to  $\phi$  and the A-(eAe) bimodule Ae.

*Proof.* Let  $u_i, v_i, \alpha_i, \check{\alpha}^i$  be as in the proof of Thm. 6.5. For any  $\phi \in \mathrm{SLF}(eAe)$ , let us compute  $\mathrm{Tr}^{\phi}$ . Let  $x \in A$ , viewed as an element of  $\mathrm{End}_{-,eAe}(Ae)$ . Then  $\check{\alpha}^i \circ x \circ \alpha_i \in \mathrm{End}_{-,eAe}(eAe)$  equals (the left multiplication by)  $v_i x u_i$ . Then

$$\operatorname{Tr}^{\phi}(x) = \sum_{i \in \mathcal{I}} \phi(v_i x u_i) \tag{6.1}$$

Note that the RHS is a finite sum since  $u_i = e_i u_i$ , and since and  $xe_i = 0$  for all but finitely many i.

To show that  $\operatorname{Tr}^{\phi}|_{eAe} = \phi$ , we compute

$$\operatorname{Tr}^{\phi}(exe) = \sum_{i} \phi(v_i exeu_i) = \sum_{i} \phi(v_i exe \cdot eu_i)$$

Since  $v_i exe$ ,  $eu_i \in eAe$ , and since  $\phi$  is SLF, we have

$$\operatorname{Tr}^{\phi}(exe) = \sum_{i} \phi(eu_i \cdot v_i exe) = \sum_{i} \phi(ee_i exe) = \phi(exe)$$

Finally, let  $\psi \in SLF(A)$ . Then for each  $x \in A$ ,

$$\operatorname{Tr}^{\psi|_{eAe}}(x) = \sum_{i} \psi|_{eAe}(v_i x u_i) = \sum_{i} \psi(v_i x u_i) = \sum_{i} \psi(u_i v_i x) = \sum_{i} \psi(e_i x) = \psi(x)$$

This proves  $\operatorname{Tr}^{\psi|_{eAe}} = \psi$ .

# 7 Projective generators of strongly AUF algebras

Let *A* be an AUF algebra.

**Remark 7.1.** A left *A*-module *M* is coherent if and only if *M* is a quotient module of  $(Ae)^{\oplus n}$  where  $n \in \mathbb{Z}_+$  and  $e \in A$  is an idempotent.

*Proof.* " $\Leftarrow$ " is obvious. Conversely, let  $M \in \operatorname{Coh}_{\mathbf{L}}(A)$ . By Def. 2.3, M is a quotient module of  $Ap_1 \oplus \cdots \oplus Ap_n$  where each  $p_i$  is an idempotent. By Rem. 5.2, one can find an idempotent  $e \in A$  which is  $\geq p_1, \ldots, p_n$ . Then M is a quotient module of  $(Ae)^{\oplus n}$ .

**Remark 7.2.** By Rem. 7.1, if  $M \in Coh_L(A)$  and  $x \in A$ , then  $\dim xM < +\infty$ .

*Proof.* Suppose that M has an epimorphism from  $N:=(Ae)^{\oplus n}$  where  $e\in A$  is an idempotent. Then  $\dim xM\leqslant \dim xN$ . Let  $f\in A$  be an idempotent such that x=fxf. Then  $xAe\subset fAe$ , and hence

$$\dim xN = n\dim xAe \leqslant n\dim fAe < +\infty$$

#### 7.1 Basic facts

**Definition 7.3.** Let  $\mathscr S$  and  $\mathscr T$  be classes of objects in  $\mathrm{Coh}_{\mathrm L}(A)$ . We say that  $\mathscr S$  generates  $\mathscr T$  if each object of  $\mathscr T$  is a quotient of a *finite* direct sum of objects in  $\mathscr S$ .

**Definition 7.4.** We say that  $M \in \operatorname{Coh}_{\mathbf{L}}(A)$  is a **generator** (of  $\operatorname{Coh}_{\mathbf{L}}(A)$ ) if it generates every object of  $\operatorname{Coh}_{\mathbf{L}}(A)$ , i.e., every  $N \in \operatorname{Coh}_{\mathbf{L}}(A)$  is a quotient module of  $M^{\oplus n}$  for some  $n \in \mathbb{Z}_+$ . A generator which is also projective is called a **projective generator**.

**Example 7.5.** Let  $(e_i)_{i\in\mathfrak{I}}$  be as in Def. 5.1. Then  $\mathscr{S}:=\{Ae_i:i\in\mathfrak{I}\}$  generates  $\mathrm{Coh}_{\mathrm{L}}(A)$ .

*Proof.* By the proof of Rem. 5.2, for any idempotent  $e \in A$  one can find a finite set  $I_0 \subset I$  such that  $e \leq \sum_{i \in I_0} e_i$ . Therefore,  $\mathscr S$  generates each Ae, and hence (by Rem. 7.1) generates  $\operatorname{Coh}_L(A)$ .

**Proposition 7.6.** Let  $M \in Coh_L(A)$  be projective. The following are equivalent.

- (1) M is a projective generator.
- (2) Each irreducible  $N \in Coh_L(A)$  has an epimorphism from M.

*Proof.* (1) $\Rightarrow$ (2): Obvious.

 $(2)\Rightarrow(1)$ : Let  $(e_i)_{i\in\Im}$  be as in Def. 5.1. By Lem. 5.4, we assume that each  $e_i$  is primitive. By Exp. 7.5, it suffices to prove that M generates each  $Ae_i$ . By Thm. 5.5,  $Ae_i$  is the projective cover of the irreducible  $N:=Ae_i/\mathrm{rad}(Ae_i)$ . By (2), M has an epimorphism to N. Since M is projective, by Prop. 3.4,  $Ae_i$  is isomorphic to a direct summand of M.  $\square$ 

**Corollary 7.7.** Let  $e \in A$  be an idempotent. Then the following are equivalent.

- (1) Ae is a (necessarily projective) generator.
- (2) *e is a generating idempotent.*

*Proof.* (1)
$$\Rightarrow$$
(2): Clear from Def. 6.1. (2) $\Rightarrow$ (1): Immediate from Prop. 7.6.

**Proposition 7.8.**  $Coh_L(A)$  has a projective generator if and only if A is strongly AUF.

*Proof.* " $\Leftarrow$ " follows from Cor. 6.4 and 7.7. Conversely, if  $\operatorname{Coh}_{L}(A)$  has a projective generator M, by Rem. 7.1, an idempotent  $e \in A$  can be found such that Ae generates M, and hence generates  $\operatorname{Coh}_{L}(A)$ . So e is a generating idempotent. Thus, by Cor. 6.4,  $\operatorname{Coh}_{L}(A)$  has finitely many irreducibles. So A is strongly AUF.

#### 7.2 Projective generators and endomorphism algebras

Our next goal is to give criteria for projective generators in terms of the endomorphism algebras. We need the endomorphism algebras to be finite-dimensional:

**Proposition 7.9.** Let  $M, N \in Coh_L(A)$ . Then

$$\dim \operatorname{Hom}_{A,-}(M,N) < +\infty$$

*Proof.* By Def. 2.3, there is an epimorphism from a finite direct sum  $\bigoplus_i Ae_i$  to M, where  $e_i$  is an idempotent. By taking composition with this epimorphism, we get

$$\operatorname{Hom}_{A,-}(M,N) \to \operatorname{Hom}_{A,-}\left(\bigoplus_{i} Ae_{i}, N\right) \simeq \bigoplus_{i} \operatorname{Hom}_{A,-}(Ae_{i}, N)$$
 (7.1)

where the first map is injective. Thus, it suffices to prove that each  $\operatorname{Hom}_{A,-}(Ae_i,N)$  is finite-dimensional.

Again, we can find an epimorphism  $\Phi: \bigoplus_j Af_j \twoheadrightarrow N$  (where  $\bigoplus_j$  is finite). Since  $Ae_i$  is projective, each  $\alpha \in \operatorname{Hom}_{A,-}(Ae_i,N)$  can be lifted to some  $\beta \in \operatorname{Hom}_{A,-}(Ae_i,\bigoplus_j Af_j)$  such that  $\alpha = \Phi \circ \beta$ . Thus

$$\dim \operatorname{Hom}_{A,-}(Ae_i, N) \leqslant \dim \operatorname{Hom}_{A,-}\left(Ae_i, \bigoplus_j Af_j\right) = \sum_j \dim \operatorname{Hom}_{A,-}(Ae_i, Af_j)$$

where dim  $\operatorname{Hom}_{A,-}(Ae_i, Af_j) = \dim e_i Af_j < +\infty$ .

**Proposition 7.10.** Let M be a left A-module. Let  $B = \operatorname{End}_{A,-}(M)^{\operatorname{op}}$ , and let  $p, q \in B$  be idempotents. Then an element of  $\operatorname{Hom}_{A,-}(Mp,Mq)$  is precisely the right multiplication of an element of pBq. In particular, we have a canonical isomorphism

$$\operatorname{End}_{A,-}(Mp)^{\operatorname{op}} \simeq pBp$$

Consequently, the direct summands of the left A-module Mp correspond bijectively to the sub-idempotents of p in B.

*Proof.* This is similar to the proofs of Prop. 1.2 and Cor. 1.4. Any  $y \in pBq$  defines a morphism  $Mp \to Mq$  by right multiplication. Conversely, if  $T \in \operatorname{Hom}_{A,-}(Mp,Mq)$ , let  $\widehat{T}: M \to M$  be  $\widehat{T}(\xi) = T(\xi p)$ . Then  $\widehat{T} \in \operatorname{End}_{A,-}(M)$ , and hence  $\widehat{T}$  is the right multiplication by some  $\widehat{y} \in B$ . Note that  $T = \widehat{T}|_{Mp}$ , and hence  $T(\xi p) = \xi p\widehat{y}$  for each  $\xi \in M$ . Since T has range in Mq, we have  $T(\xi p) = \xi p\widehat{y}q$ . So T is the right multiplication by  $y := p\widehat{y}q \in pBq$ .

**Theorem 7.11.** Let  $M \in \operatorname{Coh}_{\mathbf{L}}(A)$ . Let  $B = \operatorname{End}_{A,-}(M)^{\operatorname{op}}$  which is a finite-dimensional unital algebra (by Prop. 7.9). Let  $p \in B$  be an idempotent. Consider the following statements:

- (1) As coherent left A-modules, Mp generates M.
- (2) p is a generating idempotent of B.

Then  $(2)\Rightarrow(1)$ . If M is projective, then  $(1)\Leftrightarrow(2)$ .

*Proof.* (2) $\Rightarrow$ (1): Since dim  $B < +\infty$ , we have a primitive orthogonal decomposition  $1_B = q_1 + \cdots + q_n$  where each  $q_j \in B$  is a primitive idempotent. By Prop. 6.2, each  $q_j$  is isomorphic to a sub-idempotent of p. Thus  $Mq_j$  is isomorphic to a direct summand of the left A-module Mp. So Mp generates  $\bigoplus_j Mq_j = M$ .

(1) $\Rightarrow$ (2): Let q be any primitive idempotent of B. Since Mp generates M and since M generates Mq, we have that Mp generates Mq. We claim that Mq is isomorphic to a direct summand of Mp. Then Prop. 7.10 will imply that q is isomorphic (in B) to a sub-idempotent of p. This implies (2), thanks to Prop. 6.2.

Let us prove the claim, assuming that M is projective. Since Mq is a direct summand of M, we see that Mq is projective. Since q is primitive in B, by Prop. 7.10, Mq is an indecomposible left A-module. Therefore, by Cor. 5.6, Mq is the projective cover of an irreducible  $N \in \operatorname{Coh}_L(A)$ . Since Mp generates Mq, it generates N. Thus N has an epimorphism from a finite direct sum of Mp. Since N is irreducible, N has an epimorphism from Mp. Note that Mp is also projective. Therefore, by Prop. 3.4, Mq is isomorphic to a direct summand of Mp.

**Corollary 7.12.** Assume that  $G \in Coh_L(A)$  is a projective generator. Let M be a left A-module. Then the following are equivalent.

- (1)  $M \in Coh_L(A)$ , and M is a projective generator (of  $Coh_L(A)$ ).
- (2) There exist  $n \in \mathbb{Z}_+$  and a generating idempotent p of  $B := \operatorname{End}_{A,-}(G^{\oplus n})^{\operatorname{op}}$  such that  $M \simeq G^{\oplus n} \cdot p$ .

In particular, if  $e \in A$  is a generating idempotent, one can take G = Ae. Thus a projective generator of  $\operatorname{Coh}_L(A)$  is (up to isomorphisms) precisely of the form  $(Ae)^{\oplus n}p$  where  $n \in \mathbb{Z}_+$  and  $p \in \operatorname{End}_{A,-}((Ae)^{\oplus n})^{\operatorname{op}}$  is a generating idempotent.

*Proof.* (2) $\Rightarrow$ (1): By Thm. 7.11, M generates  $G^{\oplus n}$ . So M is a generator. Since  $G^{\oplus n}p$  is a direct summand of the projective coherent module  $G^{\oplus n}$ ,  $G^{\oplus n}p$  is also projective and coherent.

(1) $\Rightarrow$ (2): M has an epimorphism from  $G^{\oplus n}$  for some  $n \in \mathbb{Z}_+$ . Since M is projective, this epimorphism splits. So M can be viewed as a direct summand of  $G^{\oplus n}$ . Let p be the projection of  $G^{\oplus n}$  onto M, which can be viewed as an endomorphism of  $G^{\oplus n}$ . So p is an idempotent of B, and  $M = G^{\oplus n}p$ . Since M is a generator, it generates  $G^{\oplus n}$ . Since  $G^{\oplus n}$  is projective, by Thm. 7.11, p is generating.

# 8 Right pseudotraces

Let A be an AUF algebra. Let B be a unital algebra. Let M be an A-B bimodule, coherent as a left A-module.

For each  $y \in B$  and  $\xi \in M$ , we write  $\xi y$  as  $y^{op}\xi$ . Namely,  $y^{op}$  is viewed as an element of  $\operatorname{End}_{A,-}(M)$ .

**Definition 8.1.** A **right coordinate system** of M denotes a collection of morphisms

$$\beta_j \in \operatorname{Hom}_{A,-}(Ae, M) \qquad \check{\beta}^j : \operatorname{Hom}_{A,-}(M, Ae)$$

where  $e \in A$  is an idempotent (called the **domain idempotent**), and j runs through a *finite* index set J such that the  $\sum_{j \in J} \beta_j \circ \widecheck{\beta}^j$  equals  $\mathrm{id}_M$ .

**Remark 8.2.** M has a right coordinate system iff M is A-projective.

*Proof.* By Rem. 7.1, each  $N \in \operatorname{Coh}_{\mathbf{L}}(A)$  has an epimorphism from  $(Ae)^{\oplus n}$  where  $e \in A$  is an idempotent and  $n \in \mathbb{Z}_+$ . This epimorphism splits iff N is projective in  $\operatorname{Coh}_{\mathbf{L}}(A)$ . Therefore, similar to Rem. 4.2, we see that M has a right coordinate system iff M is A-projective.

**Remark 8.3.** In Def. 8.1, one can freely enlarge the domain idempotent e. More precisely, suppose that  $f \in A$  is an idempotent such that  $e \leq f$ . One can define a new right coordinate system

$$\gamma_j \in \operatorname{Hom}_{A,-}(Af, M) \qquad \check{\gamma}^j \in \operatorname{Hom}_{A,-}(M, Af)$$

$$\gamma_j(af) = \beta_j(ae) \qquad \check{\gamma}^j(\xi) = \check{\beta}^j(\xi) \tag{8.1}$$

called the **canonical extension** of  $(\beta_j, \check{\beta}^j)_{j \in J}$ .

**Definition 8.4.** Assume that M has a right coordinate system  $(\beta_j, \check{\beta}^j)_{j \in J}$ . For each  $\psi \in \text{SLF}(A)$ , define the (right) **pseudotrace**  ${}^{\psi}$ **Tr** associated to  $\psi$  to be

$$^{\psi}\mathrm{Tr}:B\to\mathbb{C}$$
  $^{\psi}\mathrm{Tr}(y)=\sum_{j\in J}\psi\left((\widecheck{\beta}^{j}\circ y^{\mathrm{op}}\circ\beta_{j})^{\mathrm{op}}\right)$ 

noting that  $\check{\beta}^j \circ y^{\mathrm{op}} \circ \beta_j \in \mathrm{End}_{A,-}(Ae) \simeq (eAe)^{\mathrm{op}}.$  In other words,

$${}^{\psi}\mathrm{Tr}(y) = \sum_{i \in J} \psi(\check{\beta}^{j} \circ y^{\mathrm{op}} \circ \beta_{j}(e))$$
(8.2)

Note that in (8.2) we have  $\beta_j(e) \in M$ , and hence  $\check{\beta}^j \circ y^{op} \circ \beta_j(e) \in Ae$ . So

$$\check{\beta}^j \circ y^{\mathrm{op}} \circ \beta_j(e) = \check{\beta}^j \circ y^{\mathrm{op}} \circ \beta_j(e^2) = e\check{\beta}^j \circ y^{\mathrm{op}} \circ \beta_j(e) \in eAe$$

**Proposition 8.5.** Assume that M is A-projective. Let  $\psi \in SLF(A)$ . Then  ${}^{\psi}Tr \in SLF(B)$ . Moreover, the definition of  ${}^{\psi}Tr$  is independent of the choice of right coordinate systems.

*Proof.* From (8.1) and (8.2), it is clear that a canonical extension of the right coordinate system does not affect the value of  ${}^{\psi}\mathrm{Tr}(y)$ . Also, note that since A is AUF, for any idempotents  $e_1, e_2 \in A$  there is an idempotent  $e_3$  such that  $e_1, e_2 \leqslant e_3$ . Therefore, to compare  ${}^{\psi}\mathrm{Tr}$  defined by two coordinate systems  $(\alpha_{\bullet}, \check{\alpha}^{\bullet})$  and  $(\beta_{\star}, \check{\beta}^{\star})$ , by performing canonical extensions, it suffices to assume that their domain idempotents are equal. Then one can use the same argument as in Lem. 4.4 to show that  $(\alpha_{\bullet}, \check{\alpha}^{\bullet})$  and  $(\beta_{\star}, \check{\beta}^{\star})$  define the same  ${}^{\psi}\mathrm{Tr}$ . Finally, similar to the proof of Prop. 4.5, one shows that  ${}^{\psi}\mathrm{Tr}$  is symmetric.

**Example 8.6.** Let M = Ae and B = eAe where  $e \in A$  is an idempotent. Then the identity map on Ae gives a right coordinate system. From this, one sees that if  $\psi \in SLF(A)$  then

$$\psi \text{Tr} = \psi|_{eAe}$$

**Example 8.7.** More generally, let  $M=(Ae)^{\oplus n}$  and  $B=\operatorname{End}_{A,-}(M)^{\operatorname{op}}$ . So  $B=eAe\otimes \mathbb{C}^{n\times n}$ . Let

$$\operatorname{tr}: \mathbb{C}^{n \times n} \to \mathbb{C}$$

be the standard trace on  $\mathbb{C}^{n\times n}$ . A right coordinate system can be choosen to be the n canonical embeddings  $Ae\to (Ae)^{\oplus n}$  and the n canonical projections  $(Ae)^{\oplus n}\to Ae$ . Then one easily sees that

$$\psi \text{Tr} = \psi|_{eAe} \otimes \text{tr}$$

**Proposition 8.8.** Assume that M is A-projective. Let  $p \in B$  be an idempotent. Let  $\psi \in SLF(A)$ . Let  ${}^{\psi}\mathrm{Tr}_{M}: B \to \mathbb{C}$  be the pseudotrace associated to M. Then the pseudotrace  ${}^{\psi}\mathrm{Tr}_{Mp}: pBp \to \mathbb{C}$  associated to the A-(pBp) bimodule Mp is equal to  ${}^{\psi}\mathrm{Tr}_{M}\big|_{pBp'}$  i.e.

$${}^{\psi}\mathrm{Tr}_{Mp} = {}^{\psi}\mathrm{Tr}_{M}\big|_{pBp}$$

*Proof.* Let  $(\beta_{\bullet}, \check{\beta}^{\bullet})$  be a right coordinate system (with domain idempotent  $e \in A$ ) as in Def. 8.1. Then one has a right coordinate system

$$\gamma_j \in \operatorname{Hom}_{A,-}(Ae, Mp)$$
  $\check{\gamma}^j : \operatorname{Hom}_{A,-}(Mp, Ae)$   
 $\gamma_j(ae) = \beta_j(ae)p$   $\check{\gamma}^j(\xi p) = \check{\beta}^j(\xi p)$ 

noting that  $Mp \leq M$ , and hence  $\check{\gamma}^j$  is simply the restriction of  $\beta^j$  to Mp. Using (8.2) one computes that for each  $y \in B$ ,

$${}^{\psi}\mathrm{Tr}_{Mp}(pyp) = \sum_{j} \psi(\check{\gamma}^{j} \circ (pyp)^{\mathrm{op}} \circ \gamma_{j}(e)) = \sum_{j} \psi(\check{\beta}^{j} \circ (pyp)^{\mathrm{op}} \circ \beta_{j}(e)p)$$
$$= \sum_{j} \psi(\check{\beta}^{j} \circ (pyp)^{\mathrm{op}} \circ p^{\mathrm{op}} \circ \beta_{j}(e)) = \sum_{j} \psi(\check{\beta}^{j} \circ (pyp)^{\mathrm{op}} \circ \beta_{j}(e)) = {}^{\psi}\mathrm{Tr}_{M}(pyp)$$

# 9 Equivalence of left and right pseudotraces

Let A, B be algebras where B is unital.

## 9.1 Preliminary discussion

In this subsection, assume that A is AUF. We shall consider  $M \in \operatorname{Coh}_L(A)$  such that the left and the right pseudotrace constructions are both available to the A- $(\operatorname{End}_{A,-}(M)^{\operatorname{op}})$  bimodule M. By Rem. 8.2, M needs to be assumed A-projective. One also needs M to be  $\operatorname{End}_{A,-}(M)^{\operatorname{op}}$ -projective. In fact, these two conditions are precisely what ensure that both left and right pseudotraces can be defined.

**Proposition 9.1.** Let M be an A-B bimodule. Assume that M is A-coherent. Then the following are equivalent.

- (1) M has a left coordinate system.
- (2) M is B-projective.

Although this proposition will not be used in the current note, we include it here as it may be of use in the future.

*Proof.* (1) $\Rightarrow$ (2): See Rem. 4.2.

(2) $\Rightarrow$ (1): Let  $(e_i)_{i\in\mathfrak{I}}$  be as in Def. 5.1. By Rem. 7.2, each  $e_iM$  is finite-dimensional. Therefore, the right B-module  $e_iM$  has an epimorphism from  $B^{\oplus n}$  which splits because M is B-projective (and hence  $e_iM$  is projective since  $M=\bigoplus_{i\in\mathfrak{I}}e_iM$ ). Therefore, for each  $i\in\mathfrak{I}$ , there is a finite left coordinate system  $\alpha_{i,\bullet}\in\mathrm{Hom}_{-,B}(B,e_iM)$  and  $\check{\alpha}^{i,\bullet}\in\mathrm{Hom}_{-,B}(e_iM,B)$ . Let

$$\gamma_{i,\bullet} \in \operatorname{Hom}_{-,B}(B,M) \qquad \check{\gamma}^{i,\bullet} \in \operatorname{Hom}_{-,B}(M,B)$$

$$\gamma_{i,\bullet}(b) = \alpha_{i,\bullet}(b) \qquad \check{\gamma}^{i,\bullet}(\xi) = \check{\alpha}^{i,\bullet}(e_i\xi)$$

Then one checks easily that  $(\gamma_{i,\bullet}, \check{\gamma}^{i,\bullet})_{i\in\mathfrak{I}}$  is a left coordinate system of M.

#### 9.2 Calculation of some left pseudotraces

In this subsection, A is not assumed to be AUF. Let M be an A-B bimodule.

The goal of this subsection is to prepare for the proof of the main Thm. 9.4. The following theorem is dual to Prop. 8.8.

**Theorem 9.2.** Assume that M has a left coordinate system. Let  $p \in B$  be a generating idempotent. Then the following are true.

- 1. The A-(pBp) bimodule Mp has a left coordinate system.
- 2. Let  $\phi \in SLF(B)$ . Then on A, the pseudotrace associated to  $\phi|_{pBp}$  and Mp is equal to the pseudotrace associated to  $\phi$  and M. Namely,

$$\operatorname{Tr}_{Mp}^{\phi|_{pBp}} = \operatorname{Tr}_{M}^{\phi} \tag{9.1}$$

In this theorem, we do not require that *A* is AUF.

*Proof.* Choose a left coordinate system for *M*:

$$\alpha_i \in \operatorname{Hom}_{-,B}(B,M) \qquad \check{\alpha}^i \in \operatorname{Hom}_{-,B}(M,B) \qquad i \in \mathfrak{I}$$

Since p is generating, similar to the proof of Thm. 6.5, we can find finitely many elements  $u_k$ ,  $v_k$  in B such that

$$v_k u_k = p_k$$
  $u_k v_k = q_k$   
 $u_k \in q_k B p_k$   $v_k \in p_k B q_k$ 

where each  $p_k, q_k \in B$  are idempotents,  $1_B = \sum_k q_k$  is a primitive orthogonal decomposition of  $1_B$ , and  $p_k \leq p$  for each k. <sup>3</sup> Let

$$\theta_{i,k} \in \operatorname{Hom}_{-,pBp}(pBp, Mp) \qquad \widecheck{\theta}^{i,k} \in \operatorname{Hom}_{-,pBp}(Mp, pBp)$$
  
$$\theta_{i,k}(pyp) = \alpha_i(u_k \cdot pyp) \qquad \widecheck{\theta}^{i,k}(\xi p) = v_k \cdot \widecheck{\alpha}^i(\xi p)$$

noting that  $\alpha_i(u_k \cdot pyp) = \alpha_i(u_k)pyp \in Mp$  and  $v_k \cdot \check{\alpha}^i(\xi p) = v_k \cdot \check{\alpha}^i(\xi)p \in p_kBp \subset pBp$ . For each  $\xi \in M$ , note that if  $\check{\alpha}^i(\xi) = 0$ , then  $\check{\theta}^{i,k}(\xi p) = v_k\check{\alpha}^i(\xi)p = 0$ . Therefore,  $\check{\theta}^{i,k}(\xi p) = 0$  for all but finitely many i and k. Moreover, we compute

$$\sum_{i,k} \theta_{i,k} \circ \widecheck{\theta}^{i,k}(\xi p) = \sum_{i,k} \theta_{i,k}(v_k \widecheck{\alpha}^i(\xi p)) = \sum_{i,k} \alpha_i(u_k v_k \widecheck{\alpha}^i(\xi p))$$
$$= \sum_{i,k} \alpha_i(q_k \widecheck{\alpha}^i(\xi p)) = \sum_i \alpha_i \circ \widecheck{\alpha}^i(\xi p) = \xi p$$

where all the sums are finite. This proves that  $(\theta, \check{\theta})$  satisfies Def. 4.1-(a). It is easy to check Def. 4.1-(b). So we have proved that  $(\theta, \check{\theta})$  is a left coordinate system of Mp.

<sup>&</sup>lt;sup>3</sup>So  $p_k, q_k$  are similar to  $\varepsilon_{k_i}, e_i$  in the proof of Thm. 6.5.

It remains to check (9.1). Choose any  $x \in A$ . By (4.3) and the fact that  $1_{pBp} = p$ ,

$$\operatorname{Tr}_{Mp}^{\phi|_{pBp}}(x) = \sum_{i,k} \phi(\widecheck{\theta}^{i,k} \circ x \circ \theta_{i,k}(p)) = \sum_{i,k} \phi(\widecheck{\theta}^{i,k} \circ x \circ \alpha_i(u_k p))$$
$$= \sum_{i,k} \phi(\widecheck{\theta}^{i,k} \circ x \circ \alpha_i(u_k)) = \sum_{i,k} \phi(v_k \cdot \widecheck{\alpha}^i(x \circ \alpha_i(u_k)))$$

Since  $\check{\alpha}^i, x, \alpha_i$  commute with the right multiplication by  $v_k$ , and since  $\phi$  is symmetric,

$$\operatorname{Tr}_{Mp}^{\phi|_{pBp}}(x) = \sum_{i,k} \phi(\check{\alpha}^{i}(x \circ \alpha_{i}(u_{k}))v_{k}) = \sum_{i,k} \phi(\check{\alpha}^{i}(x \circ \alpha_{i}(u_{k}v_{k})))$$
$$= \sum_{i} \phi(\check{\alpha}^{i}(x \circ \alpha_{i}(1_{B}))) = \operatorname{Tr}_{M}^{\phi}(x)$$

This finishes the proof of (9.1).

**Corollary 9.3.** Assume that M has a left coordinate system. Let  $n \in \mathbb{Z}_+$ . Let  $\widetilde{B} = B \otimes \mathbb{C}^{n \times n}$ . Then the A- $\widetilde{B}$  bimodule  $M^{\oplus n} \simeq M \otimes \mathbb{C}^{1,n}$  has a left coordinate system. Moreover, for each  $\phi \in \mathrm{SLF}(B)$ , we have

$$\operatorname{Tr}_{M \oplus n}^{\phi \otimes \operatorname{tr}} = \operatorname{Tr}_{M}^{\phi} \tag{9.2}$$

as pseudotraces on A associated to  $\phi \otimes \operatorname{tr} \in \operatorname{SLF}(\widetilde{B})$  and  $\phi \in \operatorname{SLF}(B)$ , respectively.

Recall that  $\operatorname{tr} \in \operatorname{SLF}(\mathbb{C}^{n \times n})$  is the standard trace on the  $n \times n$  matrix algebra.

*Proof.* Choose a left coordinate system

$$\alpha_i \in \operatorname{Hom}_{-,B}(B,M) \qquad \check{\alpha}^i \in \operatorname{Hom}_{-,B}(M,B)$$

of M. Define

$$\gamma_i \in \operatorname{Hom}_{-,\widetilde{B}}(\widetilde{B}, M^{\oplus n}) \qquad \widecheck{\gamma}^i \in \operatorname{Hom}_{-,\widetilde{B}}(M^{\oplus n}, \widetilde{B})$$

such that

$$\gamma_{i} \begin{bmatrix} y_{1,1} & \cdots & y_{1,n} \\ \vdots & \vdots & \vdots \\ y_{n,1} & \cdots & y_{n,n} \end{bmatrix} = \begin{bmatrix} \alpha_{i}(1_{B}), 0, \dots, 0 \end{bmatrix} \begin{bmatrix} y_{1,1} & \cdots & y_{1,n} \\ \vdots & \vdots & \vdots \\ y_{n,1} & \cdots & y_{n,n} \end{bmatrix} = \begin{bmatrix} \alpha_{i}(y_{1,1}), \dots, \alpha_{i}(y_{1,n}) \end{bmatrix}$$

$$\check{\gamma}^{i}[\xi_{1}, \dots, \xi_{n}] = \begin{bmatrix} \check{\alpha}^{i}(\xi_{1}) & \cdots & \check{\alpha}^{i}(\xi_{n}) \\ 0 & \cdots & 0 \\ \vdots & \vdots & \vdots \\ 0 & \cdots & 0 \end{bmatrix}$$

One checks easily that this is a left coordinate system of  $M^{\oplus n}$ . Now (9.2) follows by applying Thm. 9.2 to the A- $\widetilde{B}$  bimodule  $M^{\oplus n}$  and the generating projection  $p \in \widetilde{B}$ , where p is the matrix whose (1,1)-entry is 1 and other entries are 0.

#### 9.3 The main theorem

Assume that *A* is strongly AUF (cf. Cor. 6.4) so that *A* has a projective generator (cf. Prop. 7.8). The following generalization of Thm. 6.6 is the main theorem of this note.

**Theorem 9.4.** Assume that  $M \in \operatorname{Coh}_L(A)$  is a projective generator. Assume that  $B = \operatorname{End}_{A,-}(M)^{\operatorname{op}}$  so that M is an A-B bimodule. Then M has left and right coordinate systems. Moreover, we have a linear isomorphism

$$SLF(A) \xrightarrow{\simeq} SLF(B) \qquad \psi \mapsto {}^{\psi}Tr$$
 (9.3a)

whose inverse map is

$$SLF(B) \xrightarrow{\simeq} SLF(A) \qquad \phi \mapsto Tr^{\phi}$$
 (9.3b)

Of course, both pseudotraces are associated to M; we have suppressed the subscript M.

*Proof.* Note that dim  $B < +\infty$  by Prop. 7.9. So dim  $\operatorname{SLF}(B) < +\infty$ . Since  $M \in \operatorname{Coh}_L(A)$  is A-projective, by Rem. 8.2, M has a right coordinate system. By Cor. 7.12, we may assume that  $M = G \cdot p$  where

- $G = (Ae)^{\oplus n}$  for some  $n \in \mathbb{Z}_+$  and generating idempotent  $e \in A$ .
- M = Gp where p is a generating idempotent of  $\widetilde{B} = \operatorname{End}_{A,-}(G)^{\operatorname{op}} = eAe \otimes \mathbb{C}^{n \times n}$ .
- $B = p\widetilde{B}p$  (by Prop. 7.10).

By Thm. 6.5 and Cor. 9.3, G has a left coordinate system. Therefore, by Thm. 9.2, M has a left coordinate system.

By Thm. 6.6, we have  $\dim \operatorname{SLF}(A) = \dim \operatorname{SLF}(eAe)$ . Clearly we have a linear isomorphism

$$SLF(eAe) \xrightarrow{\simeq} SLF(eAe \otimes \mathbb{C}^{n \times n}) \qquad \omega \mapsto \omega \otimes tr$$

So dim  $\operatorname{SLF}(eAe) = \dim \operatorname{SLF}(\widetilde{B})$ . By Thm. 6.6, we have dim  $\operatorname{SLF}(\widetilde{B}) = \dim \operatorname{SLF}(B)$ . This proves dim  $\operatorname{SLF}(A) = \dim \operatorname{SLF}(B) < +\infty$ .

Choose any  $\psi \in \operatorname{SLF}(A)$ . By Exp. 8.7,  ${}^{\psi}\operatorname{Tr}_G : \widetilde{B} \to \mathbb{C}$  equals  $\psi|_{eAe} \otimes \operatorname{tr}$ . By Prop. 8.8, on  $B = p(eAe \otimes \mathbb{C}^{n \times n})p$  we have

$$^{\psi} \operatorname{Tr}_{M} = (\psi|_{eAe} \otimes \operatorname{tr})|_{B} =: \phi$$

Now  $\phi \in SLF(B)$ . By Thm. 9.2 and Cor. 9.3,

$$\operatorname{Tr}_{M}^{\phi} = \operatorname{Tr}_{Gp}^{(\psi|_{eAe} \otimes \operatorname{tr})|_{B}} = \operatorname{Tr}_{G}^{\psi|_{eAe} \otimes \operatorname{tr}} = \operatorname{Tr}_{Ae}^{\psi|_{eAe}}$$

By Thm. 6.6,  $\operatorname{Tr}_{Ae}^{\psi|_{eAe}} = \psi$ . So  $\operatorname{Tr}_{M}^{\phi} = \psi$ . We have thus proved that  $(9.3b) \circ (9.3a)$  is the identity map on  $\operatorname{SLF}(A)$ . This finishes the proof.

# 10 Equivalence of non-degeneracy of left and right pseudotraces

**Definition 10.1.** Let *A* be an algebra and  $\psi \in SLF(A)$ . We say that  $\psi$  is **non-degenerate** if

$${x \in A : \psi(xA) = 0} \equiv {x \in A : \psi(xy) = 0, \forall y \in A}$$

is zero.

In the following, A is always assumed to be AUF.

**Lemma 10.2.** Let  $e \in A$  be an idempotent, and let  $\psi \in SLF(A)$ . If  $\psi$  is non-degenerate, then the restriction  $\psi|_{eAe}$  is non-degenerate. Conversely, if  $\psi|_{eAe}$  is non-degenerate and e is generating, then  $\psi$  is non-degenerate.

*Proof.* Assume that  $\psi$  is non-degenerate. Choose  $x \in eAe$  such that  $\psi(xeAe) = 0$ . Then

$$\psi(xA) = \psi(exeA) = \psi(xeAe) = 0$$

and hence x = 0. Therefore  $\psi|_{eAe}$  is non-degenerate.

Conversely, assume that  $\psi|_{eAe}$  is non-degenerate and e is generating. Choose  $x \in A$  such that  $\psi(xA) = 0$ . Then for each  $a, b \in A$ ,

$$\psi(eaxbe \cdot eAe) = \psi(eaxbeAe) = \psi(xbeAea) = 0$$

Therefore eaxbe=0. Since b is arbitrary, we have eaxAe=0. Since e is generating, it is not hard to show that the left A-module Ae is faithful. (See for example Lem. 11.6.) It follows from that eax=0. Therefore eAx=0. Similarly, eA is a faithful right A-module. Hence x=0. This proves the non-degeneracy of  $\psi$ .

**Proposition 10.3.** Assume that  $\psi \in \operatorname{SLF}(A)$  is non-degenerate. Let  $M \in \operatorname{Coh}_L(A)$  be projective, and let  $B = \operatorname{End}_{A.-}^0(M)$ . Then the right pseudotrace  ${}^{\psi}\operatorname{Tr} \in \operatorname{SLF}(B)$  is non-degenerate.

Proof. By Prop. 2.6, M can be viewed as a direct summand of  $\bigoplus_{i=1}^n Ae_i$  where each  $e_i \in A$  is an idempotent. Let  $e \in A$  be an idempotent such that  $e \geqslant e_i$  for all i. Then M is a direct summand of  $(Ae)^{\oplus n}$ . By Prop. 1.2, we have  $\operatorname{End}_{A,-}^0(Ae)^{\operatorname{op}} = eAe$ , and hence  $\operatorname{End}_{A,-}^0((Ae)^{\oplus n}) = eAe \otimes \mathbb{C}^{n \times n}$ . By Cor. 1.4, there is an idempotent  $p \in eAe \otimes \mathbb{C}^{n \times n}$  such that  $M = (Ae)^{\oplus n}p$ . By Lem. 10.2,  $\psi|_{eAe}$  is non-degenerate, and hence  $\psi|_{eAe} \otimes \operatorname{tr} : eAe \otimes \mathbb{C}^{n \times n} \to \mathbb{C}$  is non-degenerate. By Lem. 10.2 again, the restriction of  $\psi|_{eAe} \otimes \operatorname{tr}$  to  $p(eAe \otimes \mathbb{C}^{n \times n})p$  (which is B due to Prop. 7.10) is non-degenerate. But this restriction is exactly  $^{\psi}\mathrm{Tr}$  due to Exp. 8.7 and Prop. 8.8.

**Theorem 10.4.** Assume that A is strongly AUF. Then in Thm. 9.4, for any  $\psi \in SLF(A)$ , the non-degeneracy of  $\psi$  and of  ${}^{\psi}Tr$  are equivalent.

*Proof.* We use the notation in the proof of Thm. 9.4. From that proof, we know  ${}^{\psi}\mathrm{Tr} = (\psi|_{eAe} \otimes \mathrm{tr})|_B$ . By Lem. 10.2,  $\psi$  is non-degenerate iff  $\psi|_{eAe}$  is so, and  $\psi|_{eAe} \otimes \mathrm{tr}$  is non-degenerate iff  $(\psi|_{eAe} \otimes \mathrm{tr})|_B$  is so. The equivalence of the non-degeneracy of  $\psi|_{eAe}$  and of  $\psi|_{eAe} \otimes \mathrm{tr}$  is obvious. The proof is finished.

# 11 Classification of strongly AUF algebras

In this section, we fix an AUF algebra *A*.

**Definition 11.1.** For each left A-module M, let  $M^*$  be the space of linear functionals, which has a right A-module structure defined by

$$(\phi a)(m) = \phi(am)$$
 for all  $a \in A, m \in M$ 

We define the quasicoherent dual

$$\begin{split} M^\vee = & \{\phi \in M^* : \phi \in \phi \cdot A\} \\ = & \{\phi \in M^* : \text{there exists an idempotent } e \in A \text{ such that } \phi = \phi e\} \end{split}$$

By Def. 2.2,  $M^{\vee}$  is the largest right A-submodule of M that is quasicoherent.

**Remark 11.2.** Let  $M \in QCoh_L(A)$ . Let  $(e_i)_{i \in \mathfrak{I}}$  be as in Def. 5.1. Then, as vector spaces, we clearly have

$$M = \bigoplus_{i \in \mathfrak{I}} e_i M$$
  $M^* = \prod_{i \in \mathfrak{I}} (e_i M)^*$ 

It follows easily that

$$M^{\vee} = \bigoplus_{i \in \mathfrak{I}} (e_i M)^*$$

**Definition 11.3.** For each  $M \in QCoh_L(A)$ , we let

$$\operatorname{End}^0(M) = M \otimes_{\mathbb{C}} M^{\vee}$$

viewed as a subalgebra of  $\operatorname{End}(M)$ .<sup>4</sup> Suppose that B is an algebra, and M has a right B-module structure commuting with the left action of A, we let

$$\operatorname{End}_{-,B}^{0}(M) = \{ T \in \operatorname{End}^{0}(M) : (T\xi)b = T(\xi b) \text{ for all } \xi \in M, b \in B \}$$
 (11.1)

**Remark 11.4.** Let  $M \in \operatorname{Coh}_{\mathbf{L}}(A)$ . By Rem. 7.2 we have  $\dim e_i M < +\infty$ . It follows from Rem. 11.2 that

$$\operatorname{End}^0(M) = \{ T \in \operatorname{End}(M) : Te_i = 0 \text{ for all but finitely many } i \in \mathfrak{I} \}$$

**Proposition 11.5.** Choose  $M \in Coh_L(A)$ , and let  $B = End_{A,-}(M)^{op}$ . Then for each generating idempotent  $p \in B$ , we have a linear isomorphism

$$\operatorname{End}_{-,B}^{0}(M) \xrightarrow{\simeq} \operatorname{End}_{-,pBp}^{0}(Mp) \qquad S \mapsto S|_{Mp}$$
 (11.2)

<sup>&</sup>lt;sup>4</sup>That is, for each  $\xi \in M$ ,  $\phi \in M^{\vee}$ , the operator  $\xi \otimes \phi$  sends each  $\eta \in M$  to  $\phi(\eta) \cdot \xi$ .

*Proof.* Step 1. Let  $\hat{B} = B^{\text{op}} = \operatorname{End}_{A,-}(M)$ , and let  $\hat{p} \in \hat{B}$  be the opposite element of p. Then M has a left  $\hat{B}$ -module structure commuting with the left action of A, and  $R_p$  is the left multiplication by  $\hat{p}$ .

For each  $S \in \operatorname{End}_{-,B}^0(M)$ , note that  $S|_{Mp} = S|_{\widehat{p}M}$  maps  $\widehat{p}M$  into  $\widehat{p}M$ , because  $S\widehat{p}\xi = \widehat{p}S\xi \in \widehat{p}M$  for each  $\xi \in M$ . It is clear that  $S|_{Mp}$  commutes with the action of  $\widehat{p}\widehat{B}\widehat{p}$ . That  $S|_{Mp}$  belongs to  $\operatorname{End}^0(M)$  can be checked from Rem. 11.4. This proves that  $S|_{Mp}$  belongs to  $\operatorname{End}_{-,pBp}^0(Mp)$ . We have thus proved that the linear map (11.2) is well-defined.

Step 2. Let us prove the surjectivity of (11.2). By Rem. 5.4, B is finite-dimensional. Therefore, we have an orthogonal primitive decomposition  $1_{\widehat{B}} - \widehat{p} = f_1 + \cdots + f_n$  in  $\widehat{B}$ . In this case, we have

$$M = \widehat{p}M \oplus f_1M \oplus \cdots \oplus f_nM$$

By Prop. 6.2, for each  $1 \le i \le n$ ,  $f_i$  is isomorphic to a sub-idempotent  $q_i$  of  $\hat{p}$ , i.e., there exist  $u_i \in f_i \hat{B} q_i$  and  $v_i \in q_i \hat{B} f_i$  such that  $u_i v_i = f_i$  and  $v_i u_i = q_i \le \hat{p}$  (where  $q_i \in \hat{B}$  is an idempotent).

Now, we choose  $T \in \operatorname{End}_{-,pBp}^0(Mp) = \operatorname{End}_{-,pBp}^0(\widehat{p}M)$ . Define a linear map

$$S: M \to M \qquad \xi \mapsto T(\hat{p}\xi) + \sum_{i=1}^{n} u_i T(v_i \xi)$$
(11.3)

By Rem. 11.4, we have  $S \in \operatorname{End}^0(M)$ . We claim that S commutes with the action of  $\widehat{B}$  (and hence  $S \in \operatorname{End}^0_{-,B}(M)$ ). If this is proved, then since T clearly equals  $S|_{Mp} = S|_{\widehat{p}M}$  (because  $v_i\widehat{p} = 0$ , see below), the proof of the surjectivity of (11.2) is complete.

Note that since  $\hat{p}$ ,  $f_1, \ldots, f_n$  are mutually orthogonal, we have

$$u_i u_j = 0$$
  $v_i v_j = 0$  for all  $i, j$   
 $v_j u_i = 0$  for all  $i \neq j$   
 $v_i \hat{p} = 0$   $\hat{p} u_i = 0$  for all  $i$ 

Using this observation and the fact that  $T: \hat{p}M \to \hat{p}M$  commutes the left action of  $\hat{p}\hat{B}\hat{p}$ , we compute that for each j and  $\xi \in M$ ,

$$S(v_j\xi) = T(\hat{p}v_j\xi) + 0 = T(v_j\xi)$$
$$v_jS(\xi) = v_jT(\hat{p}\xi) + v_ju_jT(v_j\xi) \xrightarrow{v_ju_j = q_j \in \hat{p}\hat{B}\hat{p}} 0 + T(q_jv_j\xi) = T(v_j\xi)$$

and hence  $S(v_j\xi) = v_jS(\xi)$ ; similarly,

$$S(u_j\xi) = T(\widehat{p}u_j\xi) + u_jT(v_ju_j\xi) \xrightarrow{v_ju_j = q_j \in \widehat{p}\widehat{B}\widehat{p}} 0 + u_jq_jT(\widehat{p}\xi) = u_jT(\widehat{p}\xi)$$
$$u_jS(\xi) = u_jT(\widehat{p}\xi) + 0 = u_jT(\widehat{p}\xi)$$

and hence  $S(u_j\xi)=u_jS(\xi)$ . Moreover, for each  $b\in \hat{B}$  we have

$$S(\hat{p}b\hat{p}\xi) = T(\hat{p}b\hat{p}\xi) + 0 = \hat{p}b\hat{p}T(\hat{p}\xi)$$

$$\widehat{p}b\widehat{p}S(\xi) = \widehat{p}b\widehat{p}T(\widehat{p}\xi) + 0 = \widehat{p}b\widehat{p}T(\widehat{p}\xi)$$

and hence  $S(\hat{p}b\hat{p}\xi) = \hat{p}b\hat{p}S(\xi)$ . This proves that S commutes with the left action of  $\hat{B}$ , since  $\hat{B}$  is generated by  $\{u_i, v_i : 1 \le i \le n\}$  and  $\hat{p}\hat{B}\hat{p}$ —to see this, note that for each  $b \in \hat{B}$ , by setting  $f_0 = u_0 = v_0 = \hat{p}$ , we have

$$b = \sum_{i,j=0}^{n} f_i b f_j = \sum_{i,j=0}^{n} u_i b_{i,j} v_j$$

where each  $b_{i,j} := v_i b u_j$  commutes with the left actions of A and satisfies  $b_{i,j} = \hat{p} b_{i,j} \hat{p}$ , and hence belongs to  $\hat{p} \hat{B} \hat{p}$ .

Step 3. If  $S \in \operatorname{End}_{-,B}^0(M)$  and  $S|_{\widehat{p}M} = 0$ , then for each  $\xi \in M$ , we have

$$S(\xi) = S(\hat{p}\xi) + \sum_{i=1}^{n} S(f_i\xi) = S(\hat{p}\xi) + \sum_{i=1}^{n} u_i S(v_i\xi)$$

where  $\hat{p}\xi, v_i\xi \in \hat{p}M$ . Therefore S=0. This proves that (11.2) is injective.

**Lemma 11.6.** Suppose that  $e \in A$  is a generating idempotent. Then we have a linear isomorphism

$$A \xrightarrow{\simeq} \operatorname{End}_{-eAe}^{0}(Ae)$$
 (11.4)

sending each  $a \in A$  to the left multiplication by a.

*Proof.* It is obvious that the left action on Ae by  $a \in A$  belongs to  $\operatorname{End}_{-,eAe}^{0}(Ae)$ . Therefore, the map (11.4) is well-defined.

Suppose that the left multiplication of  $a \in A$  on Ae is zero. Then aAe = 0. Since A is AUF and hence almost unital, there is an idempotent  $p \in A$  such that a = ap. Since e is generating, by Cor. 7.7, Ae is a generator of  $\mathrm{Coh}_{L}(A)$ . Therefore, Ap is a quotient module of  $(Ae)^{\oplus n}$  for some  $n \in \mathbb{Z}_+$ . Thus aAp is a quotient space of  $(aAe)^{\oplus n}$ , and hence aAp = 0. This proves ap = 0, and hence a = 0. We have thus proved that (11.4) is injective.

Choose  $T \in \operatorname{End}_{-,eAe}^0(Ae)$ . Since  $T \in \operatorname{End}^0(Ae)$ , by Rem. 11.2, there is an idempotent  $f \in A$  such that T = fTf. It follows that  $fTf|_{fAe}$  belongs to  $\operatorname{End}_{-,eAe}(fAe)$ . Since A is AUF, we may enlarge f so that  $e \leqslant f$  also holds. We claim that  $\operatorname{End}_{-,eAe}(fAe)$  consists of the left multiplications by elements of fAf. If this is true, then  $T|_{fAe} = fTf|_{fAe}$  is the left multiplication by faf for some  $a \in A$ . It follows that for any  $b \in A$ , we have Tbe = Tfbe = fafbe, and hence T is the left multiplication by faf on Ae, finishing the proof that (11.4) is surjective.

By Cor. 6.3, the idempotent  $e \in fAf$  is generating in fAf. Applying Prop. 11.5 to the finite-dimensional unital algebra fAf and its (finite-dimensional) coherent left module fAf, we see that  $\operatorname{End}_{-,eAe}(fAe) = fAf|_{fAe}$ . This proves the claim.

**Theorem 11.7.** Suppose that A is strongly AUF, and let G be a projective generator of  $\operatorname{Coh}_L(A)$  (which exists due to Prop. 7.8). Set  $B = \operatorname{End}_{A,-}(G)^{\operatorname{op}}$ . Regard G as an A-B bimodule. Then we have a linear isomorphism

$$A \xrightarrow{\simeq} \operatorname{End}_{-,B}^0(G)$$
 (11.5)

sending each  $a \in A$  to the left multiplication of a on G.

*Proof.* By Cor. 6.4 and Prop. 7.8, A has a generating idempotent e. If G = Ae, then  $\operatorname{End}_{A,-}(G) = eAe$  due to Prop. 1.2. Therefore, by Lem. 11.6, the map (11.5) is bijective.

If  $G = (Ae)^{\oplus n}$  where  $n \in \mathbb{Z}_+$ , one easily checks that  $B = eAe \otimes \mathbb{C}^{n \times n}$  where  $\mathbb{C}^{n \times n}$  is the matrix algebra of order n. The bijectivity of (11.5) then follows easily.

Finally, let G be any general projective generator. By Cor. 7.12, we may assume that  $G=(Ae)^{\oplus n}p$  where  $n\in\mathbb{Z}_+$ , and p is a generating idempotent of  $\widetilde{B}=\operatorname{End}_{A,-}((Ae)^{\oplus n})^{\operatorname{op}}\simeq eAe\otimes\mathbb{C}^{n\times n}$ . By Prop. 7.10, we have  $B=p\widetilde{B}p$ . Therefore, by Prop. 11.5, the map

$$\operatorname{End}^0_{-,\widetilde{B}}((Ae)^{\oplus n}) \to \operatorname{End}^0_{-,B}(G)$$

sending each S to  $S|_G$  is bijective. By the previous paragraph, the map

$$A \to \operatorname{End}^0_{-,\widetilde{B}}((Ae)^{\oplus n})$$

sending each a to the left multiplication by a is bijective. Therefore, their composition, namely (11.5), is bijective.

**Remark 11.8.** In Thm. 11.7, the right B-module G is a **projective generator** in the category  $\operatorname{Mod}^R(B)$  of right B-modules—that is, G is projective in  $\operatorname{Mod}^R(B)$ , and any object in  $\operatorname{Mod}^R(B)$  has an epimorphism from a (possibly infinite) direct sum of G.

*Proof.* The projectivity of G in  $\operatorname{Mod}^R(B)$  is due to Thm. 9.4 and Rem. 4.2. Using the notation in the proof of Thm. 11.7, we may assume  $G = (Ae)^{\oplus n}p$  and  $B = p(eAe \otimes \mathbb{C}^{n \times n})p$  where  $e \in A$  and  $p \in eAe \otimes \mathbb{C}^{n \times n}$  are generating idempotents. Since B is unital, B is generating in  $\operatorname{Mod}^R(B)$ . Therefore  $(eAe \otimes \mathbb{C}^{n \times n})p$  is generating in  $\operatorname{Mod}^R(B)$ . Since  $(eAe \otimes \mathbb{C}^{n \times n})p$  is a direct sum of  $(eAe \otimes \mathbb{C}^{1 \times n}) = (eAe)^{\oplus n}p = eG$ , we conclude that eG is generating in  $\operatorname{Mod}^R(B)$ . Therefore G is generating in  $\operatorname{Mod}^R(B)$ .

**Theorem 11.9.** Let A be an algebra. The following are equivalent.

- (1) A is strongly AUF.
- (2) A is isomorphic to  $\operatorname{End}_{-,B}^0(M)$  where B is a unital finite-dimensional algebra, M is a projective generator in  $\operatorname{Mod}^R(B)$ , the vector space M has a grading

$$M = \bigoplus_{i \in \Im} M(i)$$

where each M(i) is finite-dimensional and is preserved by the right action of B, and  $\operatorname{End}_{-,B}^0(M)$  is defined by

$$\operatorname{End}_{-,B}^0(M) := \{ T \in \operatorname{End}(M) : (Tm)b = T(mb) \text{ for all } m \in M, b \in B,$$
$$T|_{M(i)} = 0 \text{ for all by finitely many } i \in \mathfrak{I} \}$$

*Proof.* The direction  $(1)\Rightarrow(2)$  follows from Thm. 11.7 and Rem. 11.8. Let us prove the other direction.

Assume that  $\mathcal{A} = \operatorname{End}_{-,B}^0(M)$  where  $\operatorname{End}_{-,B}^0(M)$  is described as in (2). Let  $e_i$  be the projection of M onto M(i). Then  $e_i$  clearly belongs to  $\mathcal{A}$ , and each  $T \in \mathcal{A}$  can be written as  $T = \sum_{i,j \in \mathfrak{I}} e_i T e_j$  where  $e_i T e_j = 0$  for all but finitely many i,j. This proves that  $\mathcal{A}$  is AUF.

Since M is a projective generator in  $\operatorname{Mod}^R(B)$ , for each finite subset  $I \subset \mathfrak{I}$ ,  $M_I := \bigoplus_{i \in I} M(i)$  is projective in  $\operatorname{Mod}^R(B)$  (since it is a direct summand of M). Let  $1_B = p_1 + \cdots + p_n$  be an orthogonal primitive decomposition of  $1_B$  in B. By Thm. 5.5, irreducible finite-dimensional right B-modules are precisely those that are isomorphic to  $p_k B/\operatorname{rad}(p_k B)$  for some k. Since M is generating in  $\operatorname{Mod}^R(B)$ , it has an epimorphism to  $p_k B/\operatorname{rad}(p_k B)$  for each k. This epimorphism must restrict to a nonzero morphism (and hence an epimorphism)  $M(i_k) \to p_k B/\operatorname{rad}(p_k B)$ . Let  $I = \{i_1, \dots, i_n\}$ . Then  $M_I$  has an epimorphism to each irreducible right B-module. It follows from Prop. 7.6 that  $M_I$  is a projective generator in the category of finite-dimensional right B-modules.

Let  $e_I = \sum_{i \in I} e_i$ , which is an idempotent in  $\mathcal{A}$ . We claim that  $e_I$  is a generating idempotent in  $\mathcal{A}$ , which will complete the proof that  $\mathcal{A}$  is strongly AUF.

Let  $\varepsilon$  be any primitive idempotent of  $\mathcal{A}$ . Then  $\varepsilon M$  is a finite-dimensional right B-module, since any element of  $\mathcal{A}$  has finite range when acting on M. Moreover, since  $\varepsilon$  is primitive in  $\mathcal{A}$ , the right B-module  $\varepsilon M$  is indecomposible. Since  $\varepsilon M$  is a direct summand of the projective right B-module M, it follows that  $\varepsilon M$  is a finite-dimensional indecomposible projective right B-module. Therefore, since  $M_I = e_I M$  is a projective generator, similar to the end of the proof of Thm. 7.11, we conclude that the right B-module  $\varepsilon M$  is isomorphic to a direct summand of  $e_I M$ . Thus, by Thm. 7.10,  $\varepsilon$  is isomorphic to a subidempotent of  $e_I$  in  $\mathcal{A}$ . This proves the claim that  $e_I$  is generating.

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