

# Topics in Operator Algebras: Algebraic Conformal Field Theory

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## Contents

0	Notations	2
1	Introduction: PCT symmetry, Bisognano-Wichmann, Tomita-Takesaki	4
2	2d conformal field theory	16
3	Local fields and chiral algebras	25
4	Energy-bounded fields and their smeared fields	40
5	Methods of unbounded operators	54
6	Möbius covariance of smeared chiral fields	68
7	The PCT theorem for energy-bounded chiral algebras	78
	Index	79
	References	81

## 0 Notations

$\mathbb{N} = \{0, 1, 2, \dots\}$ .  $\mathbb{Z}_+ = \{1, 2, 3, \dots\}$ .  $\mathbb{C}^\times = \mathbb{C} \setminus \{0\}$ .  $\mathbb{D}_r = \{z \in \mathbb{C} : |z| < r\}$ .  $\overline{\mathbb{D}}_r = \{z \in \mathbb{C} : |z| \leq r\}$ .  $\mathbb{D}_r^\times = \{z \in \mathbb{C} : 0 < |z| < r\}$ .

Unless otherwise stated, an **interval of  $\mathbb{S}^1$**  denotes a non-empty non-dense connected open subset of  $\mathbb{S}^1$ .

If  $X$  is a complex manifold, we let  $\mathcal{O}(X)$  denote the set of holomorphic functions  $f : X \rightarrow \mathbb{C}$ .

Unless otherwise stated, an **unbounded operator**  $T : \mathcal{H} \rightarrow \mathcal{K}$  (where  $\mathcal{H}, \mathcal{K}$  are Hilbert spaces) denotes a linear map from a dense linear subspace  $\mathcal{D}(T) \subset \mathcal{H}$  to  $\mathcal{K}$ .  $\mathcal{D}(T)$  is called the **domain** of  $T$ . We let  $T^*$  be the adjoint of  $T$ . In practice, we are also interested in  $T^*$  defined on a dense subspace of its domain  $\mathcal{D}(T^*)$ . We call its restriction a **formal adjoint** of  $T$  and denote it by  $T^\dagger$ .

Given a Hilbert space  $\mathcal{H}$ , its inner product is denoted by  $(\xi, \eta) \in \mathcal{H}^2 \mapsto \langle \xi | \eta \rangle$ . We assume that it is linear on the first variable and antilinear on the second one. (Namely, we are following mathematician's convention.)

Whenever we write  $\langle \xi, \eta \rangle$ , we understand that it is linear on both variables. E.g.  $\langle \cdot, \cdot \rangle$  denotes the pairing between a vector space and its dual space.

If  $\mathcal{H}, \mathcal{K}$  are Hilbert spaces, we let

$$\mathfrak{L}(\mathcal{H}, \mathcal{K}) = \{\text{Bounded linear maps } \mathcal{H} \rightarrow \mathcal{K}\} \quad \mathfrak{L}(\mathcal{H}) = \mathfrak{L}(\mathcal{H}, \mathcal{H}) \quad (0.1)$$

If  $V, W$  are vector spaces, we let

$$\text{Hom}(V, W) = \{\text{Linear maps } V \rightarrow W\} \quad \text{End}(V) = \text{Hom}(V, V) \quad (0.2)$$

An unbounded operator  $T : \mathcal{H} \rightarrow \mathcal{H}$  denotes a linear map  $\mathcal{D}(T) \rightarrow \mathcal{H}$  where  $\mathcal{D}(T)$  is a dense linear subspace of  $\mathcal{H}$ . We say that an unbounded operator  $T$  is **continuous** if it is continuous with respect to the norms on the domain and the codomain. Thus, "bounded" means continuous and  $\mathcal{D}(T) = \mathcal{H}$ .

If  $z_\bullet = (z_1, \dots, z_k)$  are mutually commuting formal variables, for each  $n_\bullet = (n_1, \dots, n_k) \in \mathbb{Z}^k$  we let

$$z_\bullet^{n_\bullet} = z_1^{n_1} \cdots z_k^{n_k}$$

For each vector space  $W$ , we let

$$\begin{aligned} W[[z_\bullet]] &= \left\{ \sum_{n_\bullet \in \mathbb{N}^k} w_{n_\bullet} z_\bullet^{n_\bullet} \right\} & W[[z_\bullet^{\pm 1}]] &= \left\{ \sum_{n_\bullet \in \mathbb{Z}^k} w_{n_\bullet} z_\bullet^{n_\bullet} \right\} \\ W((z_\bullet)) &= \left\{ \sum_{n_\bullet \in \mathbb{Z}^k} w_{n_\bullet} z_\bullet^{n_\bullet} : w_{n_\bullet} = 0 \text{ when } n_1, \dots, n_k \ll 0 \right\} \end{aligned}$$

$$W[z_\bullet] = W((z_\bullet)) \cap W((z_\bullet^{-1})) = \text{polynomials of } z_\bullet \text{ with } W\text{-coefficients}$$

where  $w_{n_\bullet} \in W$ .

If  $X$  is a set, the  $n$ -fold **configuration space**  $\text{Conf}^n(X)$  is

$$\text{Conf}^n(X) = \{(x_1, \dots, x_n) \in X : x_i \neq x_j \text{ if } i \neq j\} \quad (0.3)$$

**Definition 0.1.** A map of complex vector spaces  $T : V \rightarrow V'$  is called **antilinear** or **conjugate linear** if  $T(a\xi + b\eta) = \bar{a}T\xi + \bar{b}T\eta$  for all  $\xi, \eta \in V$  and  $a, b \in \mathbb{C}$ . If  $V$  and  $V'$  are (complex) inner product spaces, we say that  $T$  is **antiunitary** if it is antilinear surjective and satisfies  $\|T\xi\| = \|\xi\|$  for all  $\xi \in V$ , equivalently,

$$\langle T\xi | T\eta \rangle = \overline{\langle \xi | \eta \rangle} \equiv \langle \eta | \xi \rangle \quad (0.4)$$

for all  $\xi, \eta \in V$ .

For each  $n \in \mathbb{Z}$ , we let  $\mathfrak{e}_n \in C^\infty(\mathbb{S}^1)$  be  $\mathfrak{e}_n(z) = z^n$ .

# 1 Introduction: PCT symmetry, Bisognano-Wichmann, Tomita-Takesaki

Algebraic quantum field theory (AQFT) is a mathematically rigorous approach to QFT using the language of functional analysis and operator algebras. The main subject of this course is 2d **algebraic conformal field theory (ACFT)**, namely, 2d CFT in the framework of AQFT.

## 1.1

Let  $d \in \mathbb{Z}_+$ . We first sketch the general picture of an  $(1 + d)$  dimensional Poincaré invariant QFT in the spirit of **Wightman axioms**. We consider Bosonic theory for simplicity.

We let  $\mathbb{R}^{1,d}$  be the  $(1 + d)$ -dimensional **Minkowski space**. So it is  $\mathbb{R}^{1+d}$  but with metric tensor

$$ds^2 = (dx^0)^2 - (dx^1)^2 - \dots - (dx^d)^2 \quad (1.1)$$

Here  $x^0$  denotes the time coordinate, and  $x^1, \dots, x^d$  denote the spatial coordinates. The (restricted) **Poincaré group** is

$$P^+(1, d) = \mathbb{R}^{1,d} \rtimes SO^+(1, d)$$

Here,  $\mathbb{R}^{1,d}$  acts by translation on  $\mathbb{R}^{1,d}$ .  $SO^+(1, d)$  is the (restricted) **Lorentz group**, the identity component of the (full) Lorentz group  $O(1, d)$  whose elements are invertible linear maps on  $\mathbb{R}^{1,d}$  preserving the Minkowski metric.

**Remark 1.1.** Any  $g \in O(1, d)$  must have determinant  $\pm 1$ . One can show that  $SO^+(1, d)$  is precisely the elements  $g \in O(1, d)$  such that  $\det g = 1$ , and that  $g$  does not change the direction of time (i.e., if  $\mathbf{v} = (v_0, \dots, v_d) \in \mathbb{R}^{1,d}$  satisfies  $v_0 > 0$ , then the first component of  $g\mathbf{v}$  is  $> 0$ ). See [Haag, Sec. I.2.1].

**Definition 1.2.** We say that  $\mathbf{x} = (x_0, \dots, x_d), \mathbf{y} = (y_0, \dots, y_d) \in \mathbb{R}^{1,d}$  are **spacelike (separated)** if their Minkowski distance is negative, i.e.,

$$(x_0 - y_0)^2 < (x_1 - y_1)^2 + \dots + (x_d - y_d)^2$$

## 1.2

A Poincaré invariant QFT consists of the following data:

- (1) We have a Hilbert space  $\mathcal{H}$ .

(2) There is a (strongly continuous) projective unitary representation  $U$  of  $P^+(1, d)$  on  $\mathcal{H}$ . In particular, its restriction to the translation on the  $k$ -th component (where  $k = 0, 1, \dots, d$ ) gives a one parameter unitary group  $x^k \in \mathbb{R} \mapsto \exp(i x^k P_k)$  where  $P_k$  is a self-adjoint operator on  $\mathcal{H}$ .

(3) (Positive energy) The following are positive operators:

$$P_0 \geq 0 \quad (P_0)^2 - (P_1)^2 - \dots - (P_d)^2 \geq 0$$

The operator  $P_0$  is called the **Hamiltonian** or the **energy operator**.  $P_1, \dots, P_d$  are the momentum operators.  $(P_0)^2 - (P_1)^2 - \dots - (P_d)^2$  is the mass.

(4) We have a collection of **(quantum) fields**  $\mathcal{Q}$ , where each  $\Phi \in \mathcal{Q}$  is an operator-valued function on  $\mathbb{R}^{1,d}$ . For each  $\mathbf{x} \in \mathbb{R}^{1,d}$ ,  $\Phi(\mathbf{x})$  is a “linear operator on  $\mathcal{H}$ ”.

(5) (Locality) If  $\mathbf{x}_1, \mathbf{x}_2 \in \mathbb{R}^{1,d}$  are spacelike and  $\Phi_1, \Phi_2 \in \mathcal{Q}$ , then

$$[\Phi_1(x_1), \Phi_2(x_2)] = 0 \quad (1.2)$$

(6) (\*-invariance) For each  $\Phi \in \mathcal{Q}$ , there exists  $\Phi^\dagger \in \mathcal{Q}$  such that

$$\Phi(\mathbf{x})^\dagger = \Phi^\dagger(\mathbf{x}) \quad (1.3)$$

Moreover,  $\Phi^{\dagger\dagger} = \Phi$ .

(7) (Poincaré invariance) There is a distinguished unit vector<sup>1</sup>  $\Omega$ , called the **vacuum vector**, such that

$$U(g)\Omega = \Omega \quad \forall g \in P^+(1, d)$$

Moreover, for each  $g \in P^+(1, d)$  and  $\Phi \in \mathcal{Q}$ , we have

$$U(g)\Phi(\mathbf{x})U(g)^{-1} = \Phi(g\mathbf{x}) \quad (1.4)$$

(8) (Cyclicity) Vectors of the form

$$\Phi_1(\mathbf{x}_1) \cdots \Phi_n(\mathbf{x}_n)\Omega \quad (1.5)$$

(where  $n \in \mathbb{N}$ ,  $\mathbf{x}_1, \dots, \mathbf{x}_n \in \mathbb{R}^{1,d}$  are mutually spacelike, and  $\Phi_1, \dots, \Phi_n \in \mathcal{Q}$ ) span a dense subspace of  $\mathcal{H}$ .

**Remark 1.3.** In some QFT, there is a factor (a function of  $\mathbf{x}$ ) before  $\Phi(g\mathbf{x})$  in the Poincaré invariance relation (1.4). Similarly, there is a factor before  $\Phi^\dagger(\mathbf{x})$  in the \*-invariance formula (1.3). We will encounter these more general covariance property later. In this section, we content ourselves with the simplest case that the factors are 1.

**Remark 1.4.** By the Poincaré invariance and the cyclicity, the action of  $P^+(1, d)$  is uniquely determined by  $\mathcal{Q}$  by

$$U(g)\Phi_1(\mathbf{x}_1) \cdots \Phi_n(\mathbf{x}_n)\Omega = \Phi_1(g\mathbf{x}_1) \cdots \Phi_n(g\mathbf{x}_n)\Omega \quad (1.6)$$

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<sup>1</sup>A unit vector denotes a vector with length 1

### 1.3

Technically speaking,  $\Phi(\mathbf{x})$  can not be viewed as a linear operator on  $\mathcal{H}$ . It cannot be defined even on a sufficiently large subspace of  $\mathcal{H}$ . One should think about **smeared fields**

$$\Phi(f) = \int_{\mathbb{R}^{1,d}} \Phi(\mathbf{x}) f(\mathbf{x}) d\mathbf{x} \quad (1.7)$$

where  $f \in C_c^\infty(\mathbb{R}^{1,d})$ . (In contrast, we call  $\Phi(\mathbf{x})$  a **pointed field**.) Then  $\Phi(f)$  is usually a closable unbounded operator on  $\mathcal{H}$  with dense domain  $\mathcal{D}(\Phi(f))$ . Moreover,  $\mathcal{D}(\Phi(f))$  is preserved by any smeared operator  $\Psi(g)$ . Therefore, for any  $f_1, \dots, f_n \in C_c^\infty(\mathbb{R}^{1,d})$  the following vector can be defined in  $\mathcal{H}$ :

$$\Phi_1(f_1) \cdots \Phi_n(f_n) \Omega \quad (1.8)$$

The precise meaning of cyclicity in Subsec. 1.2 means that vectors of the form (1.8) span a dense subspace of  $\mathcal{H}$ . Locality means that for  $f_1, f_2 \in C_c^\infty(\mathbb{R}^{1,d})$  compactly supported in spacelike regions, on a reasonable dense subspace of  $\mathcal{H}$  (e.g., the subspace spanned by (1.8)) we have

$$[\Phi_1(f_1), \Phi_2(f_2)] = 0 \quad (1.9)$$

The  $*$ -invariance means that

$$\langle \Phi(f) \xi | \eta \rangle = \langle \xi | \Phi^\dagger(f) \eta \rangle \quad (1.10)$$

for each  $\xi, \eta$  in the this good subspace.

### 1.4

In the remaining part of this section, if possible, we also understand  $\Phi(\mathbf{x})$  as a smeared operator  $\Phi(f)$  where  $f \in C_c^\infty(\mathbb{R}^{1,d})$  satisfies  $\int f = 1$  and is supported in a small region containing  $\mathbf{x}$ . Thus,  $\Phi(\mathbf{x})$  can almost be viewed as a closable operator. Hence the expression (1.5) makes sense in  $\mathcal{H}$ .

We now explore the consequences of positive energy. As we will see, it implies that  $\Phi_1(\mathbf{x}_1) \cdots \Phi_n(\mathbf{x}_n) \Omega$ , a function of  $\mathbf{x}_\bullet$ , can be analytically continued.

The fact that  $P_0 \geq 0$  implies that when  $t \leq 0$ ,  $e^{tP_0}$  is a bounded linear operator with operator norm  $\leq 1$ . Therefore, if  $\tau$  belongs to

$$\mathfrak{I} = \{\text{Im} \tau \geq 0\}$$

then  $e^{i\tau P_0} = e^{i\text{Re} \tau} \cdot e^{-\text{Im} \tau}$  is bounded. Indeed,  $\tau \in \mathfrak{I} \mapsto e^{i\tau P_0}$  is continuous, and is holomorphic on  $\text{Int} \mathfrak{I}$ .

Let  $\mathbf{e}_0 = (1, 0, \dots, 0)$ . Let  $\mathbf{x}_1, \dots, \mathbf{x}_n \in \mathbb{R}^{1,d}$  be distinct. By the Poincaré covariance, the relation

$$e^{i\tau P_0} \Phi_1(\mathbf{x}_1) \cdots \Phi_n(\mathbf{x}_n) \Omega = \Phi_1(\mathbf{x}_1 + \tau \mathbf{e}_0) \cdots \Phi_n(\mathbf{x}_n + \tau \mathbf{e}_0) \Omega \quad (1.11)$$

holds for all real  $\tau$ . Moreover, the LHS is continuous on  $\mathfrak{I}$  and holomorphic on  $\text{Int}\mathfrak{I}$ . This suggests that the RHS of (1.11) can also be defined as an element of  $\mathcal{H}$  when  $\tau \in \mathfrak{I}$ .

## 1.5

We shall further explore the question: for which  $\mathbf{x}_i$  is in  $\mathbb{C}^d$  can  $\Phi_1(\mathbf{x}_1) \cdots \Phi_n(\mathbf{x}_n) \Omega$  be reasonably defined as an element of  $\mathcal{H}$ ?

**Remark 1.5.** We expect that the smeared fields should be defined on any  $P_0$ -smooth vectors, i.e., vectors in  $\bigcap_{k \geq 0} \mathcal{D}(P_0^k)$ . For each  $r > 0$ , since one can find  $C_{k,r} \geq 0$  such that  $\lambda^{2k} \leq C_{k,r} e^{2r\lambda}$  for all  $\lambda \geq 0$ , we conclude that

$$\text{Rng}(e^{-rP_0}) \equiv \mathcal{D}(e^{rP_0}) \subset \bigcap_{k \geq 0} \mathcal{D}(P_0^k) \quad (1.12)$$

The above remark shows that  $\Phi_1(\mathbf{x}_1)$ , viewed as a smeared operator localized on a small neighborhood of  $\mathbf{x}_1$ , is definable on  $e^{i\zeta_2 P_0} \Phi_2(\mathbf{x}_2) \Omega = \Phi_2(\zeta_2 \mathbf{e}_0 + \mathbf{x}_2) \Omega$  whenever  $\text{Im}\zeta_2 > 0$ . Thus, heuristically,  $(\zeta_1, \zeta_2) \mapsto e^{i\zeta_1 P_0} \Phi_1(\mathbf{x}_1) e^{i\zeta_2 P_0} \Phi_2(\mathbf{x}_2) \Omega$  should also be holomorphic on

$$\{(\zeta_1, \zeta_2) \in \mathbb{C}^2 : \text{Im}\zeta_1, \text{Im}\zeta_2 > 0\}$$

Repeating this procedure, we see that the holomorphicity holds for

$$e^{i\zeta_1 P_0} \Phi_1(\mathbf{x}_1) e^{i\zeta_2 P_0} \Phi_2(\mathbf{x}_2) \cdots e^{i\zeta_n P_0} \Phi_n(\mathbf{x}_n) \Omega$$

when  $\text{Im}\zeta_i > 0$ . By Poincaré covariance, the above expression equals

$$\Phi_1(\mathbf{x}_1 + \zeta_1 \mathbf{e}_0) \Phi_2(\mathbf{x}_2 + (\zeta_1 + \zeta_2) \mathbf{e}_0) \cdots \Phi_n(\mathbf{x}_n + (\zeta_1 + \cdots + \zeta_n) \mathbf{e}_0) \Omega$$

Therefore,

$$(\zeta_1, \dots, \zeta_n) \mapsto \Phi_1(\mathbf{x}_1 + \zeta_1 \mathbf{e}_0) \cdots \Phi_n(\mathbf{x}_n + \zeta_n \mathbf{e}_0) \in \mathcal{H} \quad (1.13)$$

should be holomorphic on  $\{\zeta_\bullet \in \mathbb{C}^n : 0 < \text{Im}\zeta_1 < \cdots < \text{Im}\zeta_n\}$ .

By the locality axiom, the order of products of quantum fields can be exchanged. Thus, our expectation for a reasonable QFT includes the following condition:

**Conclusion 1.6.** Let  $\mathbf{x}_1, \dots, \mathbf{x}_n \in \mathbb{R}^{1,d}$ . Then (1.13) is holomorphic on

$$\{(\zeta_1, \dots, \zeta_n) \in \mathbb{C}^n : \text{Im}\zeta_i > 0, \text{ and } \text{Im}\zeta_i \neq \text{Im}\zeta_j \text{ if } i \neq j\} \quad (1.14a)$$

Moreover, since (1.13) is also definable and continuous on

$$\{(\zeta_1, \dots, \zeta_n) \in \mathbb{R}^n : \mathbf{x}_1 + \zeta_1 \mathbf{e}_1, \dots, \mathbf{x}_n + \zeta_n \mathbf{e}_0 \text{ are mutually spacelike}\} \quad (1.14b)$$

we expect that the function (1.13) is continuous on the union of (1.14a) and (1.14b).

## 1.6

We have (informally) derived some consequences from the positivity of  $P_0$ . Note that since  $P_0 \geq 0$ , we have  $U(g)P_0U(g)^{-1} \geq 0$  for each  $g \in \text{SO}^+(1, d)$ . Since  $P_0$  is the generator of the flow  $t \in \mathbb{R} \mapsto te_0 \in \mathbb{R}^{1,d} \subset \text{P}^+(1, d)$ ,  $U(g)P_0U(g)^{-1}$  is the generator of the flow

$$t \in \mathbb{R} \mapsto g(te_0)g^{-1} = t \cdot ge_0 \quad (1.15)$$

Therefore, if  $ge_0 = (a_0, \dots, a_n)$ , then

$$U(g)P_0U(g)^{-1} = a_0P_0 + \dots + a_nP_n \quad (1.16)$$

Hence the RHS must be positive. But what are all the possible  $ge_0$ ?

**Remark 1.7.** One can show that the orbit of  $e_0 = (1, 0, \dots, 0)$  under  $\text{SO}^+(1, d)$  is the upper hyperbola with diameter 1, i.e., the set of all  $(a_0, \dots, a_n) \in \mathbb{R}^{1,d}$  satisfying

$$a_0 > 0 \quad (a_0)^2 - (a_1)^2 - \dots - (a_n)^2 = 1 \quad (1.17)$$

Thus  $\sum_i a_i P_i \geq 0$  for all such  $a_\bullet$ . What are the consequences of this positivity?

## 1.7

To simplify the following discussions, we set  $d = 2$  and

$$t = x^0 \quad x = x^1$$

We further set

$$u = t - x \quad v = t + x \quad (1.18)$$

so that

$$t = \frac{u+v}{2} \quad x = \frac{-u+v}{2} \quad (1.19)$$

The Minkowski metric becomes

$$\boxed{(dt)^2 - (dx)^2 = du \cdot dv} \quad (1.20)$$

Then

$$(u, v) \text{ is spacelike to } (u', v') \iff (u - u')(v - v') < 0 \quad (1.21)$$

For each  $\Phi \in \mathcal{Q}$ , we write

$$\tilde{\Phi}(u, v) := \Phi(t, x) = \Phi\left(\frac{u+v}{2}, \frac{-u+v}{2}\right) \quad (1.22)$$

We let  $H_0$  and  $H_1$  be the self-adjoint operators such that

$$H_0 = P_0 - P_1 \quad H_1 = P_0 + P_1$$

so that they are the generators of the flow  $t \mapsto (t, -t)$  and  $t \mapsto (t, t)$ .

**Remark 1.8.** Since  $\mathbb{R}^{1,d}$  is an abelian group, we know that  $P_i$  commutes with  $P_j$ . Hence  $H_0$  commutes with  $H_1$ .



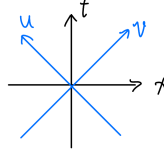


Figure 1.1. The coordinates  $u, v$

## 1.8

The orbit of  $e_0$  under  $SO^+(1, 1)$  is the unit upper hyperbola  $(x^0)^2 - (x_1)^2 = 1, x^0 > 0$ . Equivalently, it is  $uv = 1, u > 0$ . According to Subsec. 1.6, we conclude that  $b_0 H_0 + b_1 H_1 \geq 0$  for each  $b_0, b_1$  satisfying  $b_0 b_1 = 1, b_0 > 0$  (equivalently, for each  $b_0 > 0, b_1 > 0$ ). This implies

$$H_0 \geq 0 \quad H_1 \geq 0 \quad (1.23)$$

Therefore, similar to the argument in Subsec. 1.5 (and specializing to the special case that  $x_1 = \dots = x_n = 0$ ), the holomorphicity of

$$(\zeta_\bullet, \gamma_\bullet) \mapsto e^{i\zeta_1 H_0 + i\gamma_1 H_1} \tilde{\Phi}_1(0) e^{i\zeta_2 H_0 + i\gamma_2 H_1} \tilde{\Phi}_2(0) \dots e^{i\zeta_n H_0 + i\gamma_n H_1} \tilde{\Phi}_n(0) \Omega$$

on the region  $\text{Im}\zeta_i > 0, \text{Im}\gamma_i > 0$ , together with locality, implies:

**Conclusion 1.9.** Let  $\Phi_1, \dots, \Phi_n \in \mathcal{Q}$ . Then

$$(u_1, v_1, \dots, u_n, v_n) \mapsto \tilde{\Phi}_1(u_1, v_1) \dots \tilde{\Phi}_n(u_n, v_n) \Omega \quad (1.24)$$

is holomorphic on

$$\{(u_\bullet, v_\bullet) \in \mathbb{C}^{2n} : \text{Im}u_i > 0, \text{Im}v_i > 0, \text{Im}u_i \neq \text{Im}u_j, \text{Im}v_i \neq \text{Im}v_j \text{ if } i \neq j\} \quad (1.25a)$$

and can be continuously extended to

$$\{(u_\bullet, v_\bullet) \in \mathbb{R}^{2n} : (u_i - u_j) \cdot (v_i - v_j) < 0 \text{ if } i \neq j\} \quad (1.25b)$$

Rigorously speaking, the above mentioned “continuity” of the extension should be understood in terms of distributions. Here, we ignore such subtlety and view pointed fields as smeared field in a small region.

## 1.9

We note that  $\text{diag}(-1, \pm 1)$  is not inside  $SO^+(1, 1)$ , since it reverses the time direction. Neither is  $\text{diag}(1, -1)$  in  $SO^+(1, 1)$  because its determinant is negative. Consequently, the QFT is not necessarily symmetric under the following operations:

- **Time reversal**  $t \mapsto -x$ .
- **Parity transformation**  $x \mapsto -x$ .
- **PT transformation**  $(t, x) \mapsto (-t, -x)$ , the combination of time and parity inversions.

Mathematically, this means that the maps

$$\begin{aligned}\Phi_1(t_1, x_1) \cdots \Phi_n(t_n, x_n) \Omega &\mapsto \Phi_1(-t_1, x_1) \cdots \Phi_n(-t_n, x_n) \Omega \\ \Phi_1(t_1, x_1) \cdots \Phi_n(t_n, x_n) \Omega &\mapsto \Phi_1(t_1, -x_1) \cdots \Phi_n(t_n, -x_n) \Omega \\ \Phi_1(t_1, x_1) \cdots \Phi_n(t_n, x_n) \Omega &\mapsto \Phi_1(-t_1, -x_1) \cdots \Phi_n(-t_n, -x_n) \Omega\end{aligned}$$

(where  $(t_1, x_1), \dots, (t_n, x_n)$  are mutually spacelike) are not necessarily unitary. (Compare Rem. 1.4.) Similarly, the QFT is not necessarily symmetric under **Charge conjugation**  $\Phi \mapsto \Phi^\dagger$ , which means that the map

$$\begin{aligned}\Phi_1(t_1, x_1) \cdots \Phi_n(t_n, x_n) \Omega &\mapsto \Phi_n(t_n, x_n)^\dagger \cdots \Phi_1(t_1, x_1)^\dagger \Omega \\ &= \Phi_1^\dagger(t_1, x_1) \cdots \Phi_n^\dagger(t_n, x_n) \Omega\end{aligned}$$

is not necessarily (anti)unitary. However, as we shall explain, the combination of PCT transformations is actually unitary, and hence is a symmetry of the QFT. This is called the PCT theorem.

## 1.10

To prove the PCT theorem, we shall first prove that the PT transformation, though not implemented by a unitary operator, is actually implemented by the analytic continuation of a one parameter unitary group.

**Definition 1.10.** The one parameter group  $s \mapsto \Lambda(s) \in \text{SO}^+(1, 1)$  defined by

$$\Lambda(s)(u, v) = (e^{-s}u, e^s v) \tag{1.26}$$

is called the **Lorentz boost**. Equivalently,

$$\Lambda(s) \begin{bmatrix} t \\ x \end{bmatrix} = \begin{bmatrix} \cosh s & \sinh s \\ \sinh s & \cosh s \end{bmatrix} \begin{bmatrix} t \\ x \end{bmatrix} \tag{1.27}$$

Define the (open) **right wedge**  $\mathcal{W}$  and **left wedge**  $-\mathcal{W}$  by

$$\mathcal{W} = \{(u, v) \in \mathbb{R}^2 : v > 0, u < 0\} = \{(t, x) \in \mathbb{R}^{1,1} : -x < t < x\} \tag{1.28}$$

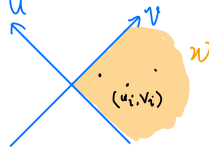


Figure 1.2.

**Theorem 1.11 (PT theorem).** Let  $(u_1, v_1), \dots, (u_n, v_n) \in \mathcal{W}$  be mutually spacelike (i.e. satisfying  $(u_i - u_j)(v_i - v_j) < 0$  if  $i \neq j$ ), cf. Fig. 1.2. Let  $\Phi_1, \dots, \Phi_n \in \mathcal{Q}$ . Let  $K$  be the self-adjoint generator of the Lorentz boost, i.e.,

$$U(\Lambda(s)) = e^{isK}$$

Then  $\Phi_1(\mathbf{x}_1) \cdots \Phi_n(\mathbf{x}_n)\Omega$  belongs to the domain of  $e^{-\pi K}$ , and

$$e^{-\pi K} \Phi_1(\mathbf{x}_1) \cdots \Phi_n(\mathbf{x}_n)\Omega = \Phi_1(-\mathbf{x}_1) \cdots \Phi_n(-\mathbf{x}_n)\Omega \quad (1.29)$$

Equivalently,  $\tilde{\Phi}_1(u_1, v_1) \cdots \tilde{\Phi}_n(u_n, v_n)\Omega$  belongs to the domain of  $e^{-\pi K}$ , and

$$e^{-\pi K} \tilde{\Phi}_1(u_1, v_1) \cdots \tilde{\Phi}_n(u_n, v_n)\Omega = \tilde{\Phi}_1(-u_1, -v_1) \cdots \tilde{\Phi}_n(-u_n, -v_n)\Omega \quad (1.30)$$

Note that the requirement that  $(u_1, v_1), \dots, (u_n, v_n) \in \mathcal{W}$  are spacelike means, after relabeling the subscripts, that

$$0 < v_1 < \cdots < v_n \quad 0 < -u_1 < \cdots < -u_n$$

*Proof.* This theorem relies on the following fact that we shall prove rigorously in the future:

- \* Let  $T \geq 0$  be a self-adjoint operator on  $\mathcal{H}$  with  $\text{Ker}(T) = 0$ . Let  $r > 0$ . Then  $\xi \in \mathcal{H}$  belongs to  $\mathcal{D}(T^r)$  iff the function  $s \in \mathbb{R} \mapsto T^{is}\xi \in \mathcal{H}$  can be extended to a continuous function  $F$  on

$$\{z \in \mathbb{C} : -r \leq \text{Im} z \leq 0\}$$

and holomorphic on its interior. Moreover, for such  $\xi$  we have  $F(-ir) = T^r \xi$ .

In fact, the function  $F(z)$  is given by  $z \mapsto T^z \xi$ .

We shall apply this result to  $T = e^{-K}$  and  $r = \pi$ . For that purpose, we must show that the  $\mathcal{H}$ -valued function of  $s \in \mathbb{R}$  defined by

$$e^{i\pi s} \tilde{\Phi}_1(u_1, v_1) \cdots \tilde{\Phi}_n(u_n, v_n)\Omega = \tilde{\Phi}_1(e^{-s}u_1, e^s v_1) \cdots \tilde{\Phi}_n(e^{-s}u_n, e^s v_n)\Omega$$

can be extended to a continuous function on

$$\{z \in \mathbb{C} : 0 \leq \text{Im} z \leq \pi\}$$

and holomorphic on its interior.

In fact, we can construct this  $\mathcal{H}$ -valued function, which is

$$z \mapsto \tilde{\Phi}_1(e^{-z}u_1, e^z v_1) \cdots \tilde{\Phi}_n(e^{-z}u_n, e^z v_n)\Omega$$

noting that the conditions in Conc. 1.9 are fulfilled. In particular, the condition  $0 < \text{Im} < \pi$  is used to ensure that, since  $u_i < 0, v_i > 0$ , we have  $\text{Im}(e^{-z}u_i) > 0$  and  $\text{Im}(e^z v_i) > 0$  as required by (1.25a). The value of this function at  $z = i\pi$  equals the RHS of (1.30). Therefore the theorem is proved.  $\square$

## 1.11

**Theorem 1.12 (PCT theorem).** *We have an antiunitary map  $\Theta : \mathcal{H} \rightarrow \mathcal{H}$ , called the PCT operator, such that*

$$\Theta \cdot \Phi_1(\mathbf{x}_1) \cdots \Phi_n(\mathbf{x}_n)\Omega = \Phi_1(-\mathbf{x}_1)^\dagger \cdots \Phi_n(-\mathbf{x}_n)^\dagger \Omega \quad (1.31)$$

for any  $\Phi_1, \dots, \Phi_n \in \mathcal{Q}$  and mutually spacelike  $\mathbf{x}_1, \dots, \mathbf{x}_n$ .

Equivalently,  $\Theta$  is defined by

$$\Theta \cdot \tilde{\Phi}_1(u_1, v_1) \cdots \tilde{\Phi}_n(u_n, v_n) = \tilde{\Phi}_1(-u_1, -v_1)^\dagger \cdots \tilde{\Phi}_n(-u_n, -v_n)^\dagger \Omega \quad (1.32)$$

*Proof.* The existence of an antilinear isometry  $\Theta$  satisfying (1.32) is equivalent to showing that (cf. (0.4))

$$\begin{aligned} & \langle \tilde{\Phi}_1(\mathbf{u}_1) \cdots \tilde{\Phi}_n(\mathbf{u}_n)\Omega | \tilde{\Psi}_1(\mathbf{u}'_1) \cdots \tilde{\Psi}_k(\mathbf{u}'_k)\Omega \rangle \\ &= \langle \tilde{\Psi}_1(-\mathbf{u}'_1)^\dagger \cdots \tilde{\Psi}_k(-\mathbf{u}'_k)^\dagger \Omega | \tilde{\Phi}_1(-\mathbf{u}_1)^\dagger \cdots \tilde{\Phi}_n(-\mathbf{u}_n)^\dagger \Omega \rangle \end{aligned} \quad (\star)$$

if  $\mathbf{u}_1, \dots, \mathbf{u}_n$  are spacelike, and  $\mathbf{u}'_1, \dots, \mathbf{u}'_k$  are spacelike. (We do not assume that, say,  $\mathbf{u}_1$  and  $\mathbf{u}'_1$  are spacelike.)

It suffices to prove this in the special case that  $\mathbf{u}_1, \dots, \mathbf{u}_n, \mathbf{u}'_1, \dots, \mathbf{u}'_k$  are mutually spacelike. Then the general case will follow that both sides of the above relation can be analytically continued to suitable regions as functions of  $\mathbf{u}_1, \dots, \mathbf{u}_n$ . For example, the fact that  $H_0, H_1 \geq 0$  implies that

$$e^{i\zeta H_0 + i\gamma H_1} \tilde{\Phi}_1(\mathbf{u}_1) \cdots \tilde{\Phi}_n(\mathbf{u}_n)\Omega = \tilde{\Phi}_1(\mathbf{u}_1 + (\zeta, \gamma)) \cdots \tilde{\Phi}_n(\mathbf{u}_n + (\zeta, \gamma))\Omega$$

is continuous on  $\{(\zeta, \gamma) \in \mathbb{C}^2 : \text{Im}\zeta \geq 0, \text{Im}\gamma \geq 0\}$  and holomorphic on its interior.

Set  $\Gamma_j = \Psi_j^\dagger$ . Then  $(\star)$  is equivalent to

$$\begin{aligned} & \langle \tilde{\Phi}_1(\mathbf{u}_1) \cdots \tilde{\Phi}_n(\mathbf{u}_n) \tilde{\Gamma}_1(\mathbf{u}'_1) \cdots \tilde{\Gamma}_k(\mathbf{u}'_k)\Omega | \Omega \rangle \\ &= \langle \tilde{\Phi}_1(-\mathbf{u}_1) \cdots \tilde{\Phi}_n(-\mathbf{u}_n) \tilde{\Gamma}_1(-\mathbf{u}'_1) \cdots \tilde{\Gamma}_k(-\mathbf{u}'_k)\Omega | \Omega \rangle \end{aligned}$$

By the PT Thm. 1.11, this relation is equivalent to

$$\begin{aligned} & \langle \tilde{\Phi}_1(\mathbf{u}_1) \cdots \tilde{\Phi}_n(\mathbf{u}_n) \tilde{\Gamma}_1(\mathbf{u}'_1) \cdots \tilde{\Gamma}_k(\mathbf{u}'_k)\Omega | \Omega \rangle \\ &= \langle e^{-\pi K} \tilde{\Phi}_1(\mathbf{u}_1) \cdots \tilde{\Phi}_n(\mathbf{u}_n) \tilde{\Gamma}_1(\mathbf{u}'_1) \cdots \tilde{\Gamma}_k(\mathbf{u}'_k)\Omega | \Omega \rangle \end{aligned}$$

But this of course holds since  $e^{-\pi K}\Omega = \Omega$  by Poincaré invariance.  $\square$

## 1.12

Combining the PT Thm. 1.11 with the PCT Thm. 1.12, we conclude that  $e^{-\pi K}$  is an injective positive operator,  $\Theta$  is antinitary, and

$$\Theta e^{-\pi K} A \Omega = A^\dagger \Omega \quad (1.33a)$$

where  $A$  is a product of spacelike separated field in  $\mathcal{W}$ . The rigorous statement should be that

$$A = \Phi_1(f_1) \cdots \Phi_n(f_n)$$

where  $\Phi_1, \dots, \Phi_n \in \mathcal{Q}$ , and  $f_i \in C_c^\infty(O_i)$  where  $O_1, \dots, O_n \subset \mathcal{W}$  are open and mutually spacelike. If we let  $\mathcal{A}(\mathcal{W})$  be the  $*$ -algebra generated by all such  $A$ , then by the Poincaré invariance, for each  $g \in P^+(1, d)$  we have

$$U(g)\mathcal{A}(\mathcal{W})U(g)^{-1} = \mathcal{A}(g\mathcal{W})$$

In particular, since for the Lorentz boost  $\Lambda$  we have  $\Lambda(s)\mathcal{W} = \mathcal{W}$ , we therefore have

$$e^{isK}\mathcal{A}(\mathcal{W})e^{-isK} = \mathcal{A}(\mathcal{W}) \quad (1.33b)$$

for all  $s \in \mathbb{R}$ . Since the PT transformation sends  $\mathcal{W}$  to  $-\mathcal{W}$ , the definition of  $\Theta$  clearly also implies

$$\Theta\mathcal{A}(\mathcal{W})\Theta^{-1} = \mathcal{A}(-\mathcal{W}) \quad (1.33c)$$

Note that since  $\mathcal{W}$  is local to  $-\mathcal{W}$ , we have  $[\mathcal{A}(\mathcal{W}), \mathcal{A}(-\mathcal{W})] = 0$ . Therefore,  $\Theta\mathcal{A}(\mathcal{W})\Theta$  is a subset of the (in some sense) commutant of  $\mathcal{A}(\mathcal{W})$ .

## 1.13

The set of formulas (1.33) is reminiscent of the Tomita-Takesaki theory, one of the deepest theories in the area of operator algebras. The setting is as follows.

Let  $\mathcal{M}$  be a von Neumann algebra on a Hilbert space  $\mathcal{H}$ . Namely,  $\mathcal{M}$  is a  $*$ -subalgebra of  $\mathcal{L}(\mathcal{H})$  closed under the “strong operator topology”. (We will formally introduce von Neumann algebras in a later section.) Let  $\Omega \in \mathcal{H}$  be a unit vector. Assume that  $\Omega$  is **cyclic** (i.e.  $\mathcal{M}\Omega$  is dense) and **separating** (i.e., if  $x \in \mathcal{M}$  and  $x\Omega = 0$  then  $x = 0$ ) under  $\mathcal{M}$ . Then the **Tomita-Takesaki theorem** says that the linear map

$$S : \mathcal{M}\Omega \rightarrow \mathcal{M}\Omega \quad x\Omega \mapsto x^*\Omega$$

is antilinear and closable. Denote its closure also by  $S$ , and consider its polar decomposition  $S = J\Delta^{\frac{1}{2}}$  where  $\Delta$  is a positive closed operator, and  $J$  is an antiunitary map. Then  $\Delta$  is injective, we have  $J^{-1} = J^* = J$ , and

$$\Delta^{\text{is}} \mathcal{M} \Delta^{-\text{is}} = \mathcal{M} \quad J\mathcal{M}J = \mathcal{M}'$$

where  $\mathcal{M}'$  is the commutant  $\{y \in \mathcal{L}(\mathcal{H}) : xy = yx \ (\forall x \in \mathcal{M})\}$ . We call  $\Delta$  and  $J$  respectively the **modular operator** and the **modular conjugation**.

## 1.14

To relate the Tomita-Takesaki theory to QFT, one takes  $\mathcal{M}$  to be  $\mathfrak{A}(\mathcal{W})$ , the von Neumann algebra generated by  $\mathscr{A}(\mathcal{W})$ . Note that the elements of  $\mathscr{A}(\mathcal{W})$  are typically unbounded operators, whereas those of  $\mathfrak{A}(\mathcal{W})$  are bounded. Thus, the meaning of “the von Neumann algebra generated by a set of closed/closable operators” should be clarified. This is an important notion, and we will study it in a later section.

To apply the setting of Tomita-Takesaki, one should first show that the vacuum vector is cyclic and separating under  $\mathfrak{A}(\mathcal{W})$ . This is not an easy task, although it is relatively easier to show that  $\Omega$  is cyclic and separating under  $\mathscr{A}(\mathcal{W})$ . Moreover, we have

**Theorem 1.13 (Bisognano-Wichmann).** *Let  $\Delta$  and  $J$  be the modular operator and the modular conjugation of  $(\mathfrak{A}(\mathcal{W}), \Omega)$ . Then  $J = \Theta$  and  $\Delta^{\frac{1}{2}} = e^{-\pi K}$ .*

Since (1.33c) easily implies  $\Theta\mathfrak{A}(\mathcal{W})\Theta^{-1} = \mathfrak{A}(-\mathcal{W})$ , together with  $J\mathcal{M}J^{-1} = \mathcal{M}'$  we obtain

$$\mathfrak{A}(\mathcal{W})' = \mathfrak{A}(-\mathcal{W}) \quad (1.34)$$

a version of **Haag duality**.

One of the main goals of this course is to give a rigorous and self-contained proof of the PCT theorem, the Bisognano-Wichmann theorem, and the Haag duality for 2d chiral conformal field theories.

## 1.15

For a general odd number  $d > 0$ , the above results should be modified as follows. Let  $K$  be the generator of the **Lorentz boost**

$$\Lambda(s) = \left( \begin{array}{cc|ccc} \cosh s & \sinh s & & & \\ \sinh s & \cosh s & & & \\ \hline & & 1 & & \\ & & & \ddots & \\ & & & & 1 \end{array} \right)$$

Let  $\Lambda(i\pi) = \text{diag}(-1, -1, 1, \dots, 1)$ , which does not belong to  $P^+(1, d)$  since it reverses the time direction (although it has positive determinant). Then the PT Thm. 1.11 should be modified by replacing (1.29) with

$$e^{-\pi K} \Phi_1(\mathbf{x}_1) \cdots \Phi_n(\mathbf{x}_n) \Omega = \Phi_1(\Lambda(i\pi)\mathbf{x}_1) \cdots \Phi_n(\Lambda(i\pi)\mathbf{x}_n) \Omega \quad (1.35)$$

Let  $\rho = \text{diag}(1, 1, -1, \dots, -1)$ , which has determinant 1 (since  $d$  is odd) and hence belongs to  $SO^+(1, d)$ . Then the PCT Thm. 1.12 still holds verbatim. Let

$$\mathcal{W} = \{(a_0, \dots, a_n) \in \mathbb{R}^{1,d} : -a_1 < a_0 < a_1\} \quad (1.36)$$

Then the **Bisognano-Wichmann theorem** says that  $e^{-\pi K}$  is the modular operator of  $(\mathfrak{A}(\mathcal{W}), \Omega)$ , and  $\Theta U(\rho)$  is the modular conjugation.

We refer the readers to [Haag, Sec. V.4.1] and the reference therein for a detailed study.

## 2 2d conformal field theory

### 2.1

We look at a 2d **unitary full conformal field theory** (unitary full CFT)  $\mathcal{Q}$  on the **space-compactified Minkowski space**

$$\mathbb{R}_c^{1,1} = \mathbb{R} \times \mathbb{S}^1 \quad \text{with metric tensor } (dt)^2 - (dx)^2 = dudv$$

The space  $\mathbb{R}_c^{1,1}$  describes the propagation of the closed string  $\{0\} \times \mathbb{S}^1$ . Here, as in Subsec. 1.7, we write a general element of  $\mathbb{R}_c^{1,1}$  as  $\mathbf{x} = (t, x)$ , and write

$$u = t - x \quad v = t + x \quad \text{so that} \quad t = \frac{u + v}{2} \quad x = \frac{-u + v}{2}$$

The field operators are of the form  $\Phi(\mathbf{x}) = \Phi(t, x)$ . Recall that

$$\tilde{\Phi}(u, v) := \Phi(t, x) = \Phi\left(\frac{u + v}{2}, \frac{-u + v}{2}\right)$$

Identifying  $\mathbb{R}/2\pi\mathbb{Z} = \mathbb{S}^1$  via  $\exp$ , a field  $\Phi$  can be viewed as an “operator valued function” on  $\mathbb{R}^{1,1}$  satisfying

$$\Phi(t, x + 2\pi) = \Phi(t, x) \quad \text{equivalently} \quad \tilde{\Phi}(u, v) = \tilde{\Phi}(u - 2\pi, v + 2\pi) \quad (2.1)$$

The field operators are “acting on” a Hilbert space  $\mathcal{H}$  with vacuum vector  $\Omega$ .

Compared to the axioms for Poincaré invariant QFT in Subsec. 1.2, some changes should be made to describe a CFT. We still have the locality (1.2). Instead of considering  $P^+(1, 1)$  we must consider the group of orientation-preserving, time-direction preserving, and conformal (i.e. angle-preserving) transforms on  $\mathbb{R}_c^{1,1}$ . “Conformal” means that the diffeomorphism  $g : \mathbb{R}_c^{1,1} \rightarrow \mathbb{R}_c^{1,1}$  satisfies

$$g^*(dudv) = \lambda(u, v)dudv$$

for a smooth function  $\lambda : \mathbb{R}_c^{1,1} \rightarrow \mathbb{R}_{>0}$ . Our next goal is to classify such  $g$ .

### 2.2

**Definition 2.1.** We let  $\text{Diff}^+(\mathbb{S}^1)$  be the group of orientation-preserving diffeomorphisms of  $\mathbb{S}^1$ . Equivalently, it is the group of smooth functions  $f : \mathbb{S}^1 \rightarrow \mathbb{S}^1$  whose lift  $\tilde{f} : \mathbb{R} \rightarrow \mathbb{R}$  satisfies for all  $x \in \mathbb{R}$  that

$$\tilde{f}(x + 2\pi) = \tilde{f}(x) + 2\pi \quad \tilde{f}'(x) > 0 \quad (2.2)$$



Note that by the basics of covering spaces, any element of  $\text{Diff}^+(\mathbb{S}^1)$  can be lifted to  $\tilde{f}$  satisfying (2.2). Conversely, if  $\tilde{f}$  satisfies (2.2), then  $\tilde{f}$  gives rise to an injective smooth map  $f : \mathbb{S}^1 \rightarrow \mathbb{S}^1$ . (Note that  $\tilde{f}' > 0$  implies that  $\tilde{f}$  is strictly increasing.) Since  $\tilde{f}'(x) > 0$ , the function  $f$  is injective, and the inverse function theorem shows that the compact set  $f(\mathbb{S}^1)$  is open, and hence equals  $\mathbb{S}^1$ . Thus  $f \in \text{Diff}^+(\mathbb{S}^1)$ .

**Remark 2.2.** Note that  $f$  uniquely determines  $\tilde{f}$  up to an  $2\pi\mathbb{Z}$ -addition, i.e., both  $\tilde{f}$  and  $\tilde{f} + 2n\pi$  (where  $n \in \mathbb{Z}$ ) correspond to  $f$ . Therefore, if we let  $\widetilde{\text{Diff}^+(\mathbb{S}^1)}$  be the topological group formed by all  $\tilde{f}$  satisfying (2.2),<sup>2</sup> then we have an exact sequence

$$1 \longrightarrow \mathbb{Z} \longrightarrow \widetilde{\text{Diff}^+(\mathbb{S}^1)} \longrightarrow \text{Diff}^+(\mathbb{S}^1) \longrightarrow 1 \quad (2.3)$$

where  $\mathbb{Z}$  is freely generated by  $x \in \mathbb{R} \mapsto x + 2\pi$ .

Note that the map  $(\tilde{f}, t) \in \widetilde{\text{Diff}^+(\mathbb{S}^1)} \times [0, 1] \mapsto \tilde{f}_t \in \widetilde{\text{Diff}^+(\mathbb{S}^1)}$  defined by

$$\tilde{f}_t(x) = (1 - t)\tilde{f}(x) + tx$$

shows that  $\widetilde{\text{Diff}^+(\mathbb{S}^1)}$  is contractible (to the identity element) and hence simply-connected. (Therefore  $\text{Diff}^+(\mathbb{S}^1)$  is connected.) We conclude that  $\widetilde{\text{Diff}^+(\mathbb{S}^1)}$  is the universal cover of  $\text{Diff}^+(\mathbb{S}^1)$ .  $\square$

## 2.3

**Theorem 2.3.** *Under the coordinates  $(u, v)$ , an orientation-preserving time-direction-preserving conformal transform  $g$  of  $\mathbb{R}_c^{1,1}$  is precisely of the form*

$$g(u, v) = (\alpha(u), \beta(v)) \quad (2.4)$$

where  $\alpha, \beta : \mathbb{R} \rightarrow \mathbb{R}$  belong to  $\widetilde{\text{Diff}^+(\mathbb{S}^1)}$ .

*Proof.* Step 1. First, suppose that  $g$  is of the form (2.4). Then  $g$  gives a well-defined smooth map  $\mathbb{R}_c^{1,1} \rightarrow \mathbb{R}_c^{1,1}$  because

$$g(u - 2\pi, v + 2\pi) = g(u, v) + (-2\pi, 2\pi) \quad (2.5)$$

One checks easily that  $g$  is a diffeomorphism (with inverse given by  $(\alpha^{-1}(u), \beta^{-1}(v))$ ) preserving the orientation and the time direction. Since  $g^*dudv = \alpha'(u)\beta'(v)dudv$ ,  $g$  is conformal.

---

<sup>2</sup>The topology is defined such that a net  $\tilde{f}_\alpha$  converges to  $\tilde{f}$  iff the  $n$ -th derivative  $\tilde{f}_\alpha^{(n)}$  converges uniformly to  $\tilde{f}^{(n)}$  for all  $n \in \mathbb{N}$ .

Step 2. Conversely, choose an orientation preserving conformal transform  $g$ . We lift  $g$  to a smooth conformal map  $\mathbb{R}^{1,1} \rightarrow \mathbb{R}^{1,1}$  also denoted by  $g = (\alpha, \beta)$ . So  $\alpha, \beta : \mathbb{R}^{1,1} \rightarrow \mathbb{R}$ . Then, besides (2.5),  $g$  also satisfies:

$$\partial_u \alpha \partial_u \beta = 0 \quad \partial_v \alpha \partial_v \beta = 0 \quad (\text{a})$$

$$\partial_u \alpha \partial_v \beta + \partial_v \alpha \partial_u \beta > 0 \quad (\text{b})$$

$$\partial_u \alpha \partial_v \beta - \partial_v \alpha \partial_u \beta > 0 \quad (\text{c})$$

Here, (a) and (b) are due to the fact that

$$g^*(dudv) = (\partial_u \alpha du + \partial_v \alpha dv)(\partial_u \beta du + \partial_v \beta dv)$$

equals  $\lambda(u, v)dudv$  for some smooth  $\lambda : \mathbb{R}^{1,1} \rightarrow \mathbb{R}_{>0}$ . (So  $\lambda$  is the LHS of (b).) Since  $g$  is orientation preserving, (c) follows from the computation

$$g^*(du \wedge dv) = (\partial_u \alpha \partial_v \beta - \partial_v \alpha \partial_u \beta) du \wedge dv$$

Step 3. By (a), at a given  $p \in \mathbb{R}^{1,1}$ , if  $\partial_u \alpha \neq 0$ , then  $\partial_u \beta = 0$ . Conversely, if at  $p$  we have  $\partial_u \beta = 0$ , then (b) shows that  $\partial_u \alpha \partial_v \beta > 0$ , and hence  $\partial_u \alpha \neq 0$ . Thus

$$\begin{aligned} \partial_u \alpha|_p \neq 0 &\iff \partial_u \beta|_p = 0 \\ \partial_v \alpha|_p \neq 0 &\iff \partial_v \beta|_p = 0 \end{aligned}$$

where the second equivalence follows from the same argument. Therefore, the set of  $p$  at which  $\partial_v \alpha = 0$  is both open and closed, and hence must be either  $\mathbb{R}^{1,1}$  or  $\emptyset$ . Similarly, either  $\partial_u \beta = 0$  everywhere, or  $\partial_u \beta \neq 0$  everywhere.

Let us prove that

$$\partial_v \alpha = 0 \quad \partial_u \beta = 0$$

everywhere. Suppose the first is not true. Then by the previous paragraph, we have  $\partial_v \alpha \neq 0$  and  $\partial_v \beta = 0$  everywhere. Then (b) implies  $\partial_v \alpha \partial_u \beta > 0$ , and (c) implies  $-\partial_v \alpha \partial_u \beta > 0$ , impossible. So the first (and similarly the second) is true.

Step 4. Therefore, we can write  $\alpha = \alpha(u)$  and  $\beta = \beta(v)$ , and we have  $\alpha' \neq 0$  and  $\beta' \neq 0$  everywhere. (b) implies that  $\alpha'(u)\beta'(v) > 0$  for all  $u, v$ . Thus, either  $\alpha' > 0$  and  $\beta' > 0$  everywhere, or  $\alpha' < 0$  and  $\beta' < 0$  everywhere. The latter cannot happen, since  $g$  preserves the direction of time. Thus  $\alpha' > 0$  and  $\beta' > 0$  everywhere. Since  $g$  satisfies (2.5), we see that  $\alpha$  satisfies (2.2). Similarly  $\beta$  satisfies (2.2). This finishes the proof.  $\square$

## 2.4

We let  $\mathbf{Cf}^+(\mathbb{R}_c^{1,1})$  be the group of diffeomorphisms of  $\mathbb{R}_c^{1,1}$  preserving the orientation and the time-direction. Then Thm. 2.3 says that any  $g \in \mathbf{Cf}^+(\mathbb{R}_c^{1,1})$  can be represented by some  $(\alpha, \beta) \in \widetilde{\text{Diff}}^+(\mathbb{S}^1)^2$ .

However,  $(\alpha, \beta)$  is not uniquely determined by  $g$ . Indeed, in Step 2 of the proof of Thm. 2.3 we have lifted  $g$  to a smooth map on  $\mathbb{R}^{1,1}$ . This lift is unique up to addition by  $(-2\pi, 2\pi)\mathbb{Z}$  in the  $(u, v)$  coordinates (or  $(0, 2\pi)\mathbb{Z}$  in the  $(t, x)$  coordinates). Thus,  $(\alpha, \beta)$  are unique up to addition by  $(-2\pi, 2\pi)\mathbb{Z}$ . This non-uniqueness can be ignored once we pass to  $(\check{\alpha}, \check{\beta})$ , the projection of  $(\alpha, \beta)$  into  $\text{Diff}^+(\mathbb{S}^1)^2$ . Thus, we have a well-defined (continuous) surjective group homomorphism  $\Gamma : \mathbf{Cf}^+(\mathbb{R}_c^{1,1}) \rightarrow \text{Diff}^+(\mathbb{S}^1) \times \text{Diff}^+(\mathbb{S}^1)$  sending  $g$  to  $(\check{\alpha}, \check{\beta})$ .

One checks easily that the kernel of this homomorphism is freely generated by  $(2\pi, 0)$  (equivalently, by  $(0, 2\pi)$ ) under the  $(u, v)$  coordinates, equivalently, by  $(\pi, \pi)$  under the  $(t, x)$  coordinates. Therefore, we have an exact sequence of groups

$$1 \longrightarrow \mathbb{Z} \longrightarrow \mathbf{Cf}^+(\mathbb{R}_c^{1,1}) \xrightarrow{\Gamma} \text{Diff}^+(\mathbb{S}^1)^2 \longrightarrow 1 \quad (2.6)$$

Since  $\Gamma$  is a covering map, we also have a covering map  $\widetilde{\text{Diff}}^+(\mathbb{S}^1)^2 \twoheadrightarrow \mathbf{Cf}^+(\mathbb{R}_c^{1,1})$  such that the following diagram commutes

$$\begin{array}{ccc} & \widetilde{\text{Diff}}^+(\mathbb{S}^1)^2 & \\ \swarrow & \downarrow & \\ \mathbf{Cf}^+(\mathbb{R}_c^{1,1}) & \xrightarrow{\Gamma} & \text{Diff}^+(\mathbb{S}^1)^2 \end{array} \quad (2.7)$$

## 2.5

Since we require that  $\mathcal{Q}$  is a CFT with Hilbert space  $\mathcal{H}$ , we must have a **strongly continuous projective unitary representation**  $\mathcal{U}$  of  $\mathbf{Cf}^+(\mathbb{R}_c^{1,1})$ . Namely,

$$\mathcal{U} : \mathbf{Cf}^+(\mathbb{R}_c^{1,1}) \rightarrow \text{PU}(\mathcal{H})$$

is a continuous group homomorphism. Here,  $\text{PU}(\mathcal{H})$  is the quotient group (with quotient topology)  $U(\mathcal{H}) / \sim$  where  $U(\mathcal{H})$  is the group of unitary operators of  $\mathcal{H}$  (equipped with the strong operator topology), and  $U_1 \simeq U_2$  iff  $U_1 = \lambda U_2$  for some  $\lambda \in \mathbb{C}$  such that  $|\lambda| = 1$ . We suppress the adjectives “strongly continuous” when no confusion arises.

By (2.7),  $\mathcal{U}$  can be lifted to a projective unitary representation of  $\widetilde{\text{Diff}}^+(\mathbb{S}^1)^2$  on  $\mathcal{H}$ . Since  $\widetilde{\text{Diff}}^+(\mathbb{S}^1)^2$  is simply connected, its projective unitary representations are (roughly) equivalent to the projective unitary representations of the Lie algebra of

$\widetilde{\text{Diff}}^+(\mathbb{S}^1) \times \widetilde{\text{Diff}}^+(\mathbb{S}^1)$ , which is  $\text{Vec}(\mathbb{S}^1) \oplus \text{Vec}(\mathbb{S}^1)$  where  $\text{Vec}(\mathbb{S}^1)$  is the Lie algebra of smooth real vector fields of  $\mathbb{S}^1$ .

The elements of  $\text{Vec}(\mathbb{S}^1)$  are of the form  $f\partial_\theta$  where  $f \in C^\infty(\mathbb{S}^1, \mathbb{R})$  and  $\partial_\theta$  is the unique vector field on  $\mathbb{S}^1$  that is pulled back by  $\exp(\mathbf{i}\cdot) : \mathbb{R} \rightarrow \mathbb{S}^1$  to  $\partial_\theta \in \text{Vec}(\mathbb{R}^1)$  where  $\theta$  is the standard coordinate of  $\mathbb{R}$  (sending  $x$  to  $x$ ). The Lie bracket of  $\text{Vec}(\mathbb{S}^1)$  is the negative of the Lie derivative, i.e.

$$[f_1\partial_\theta, f_2\partial_\theta]_{\text{Vec}(\mathbb{S}^1)} = (-f_1\partial_\theta f_2 + f_2\partial_\theta f_1)\partial_\theta$$

The negative choice is due to the fact that the group action of  $g \in \text{Diff}^+(\mathbb{S}^1)$  on  $h \in C^\infty(\mathbb{S}^1)$  is given by  $h \circ g^{-1}$ ; however, the Lie derivative is defined by differentiating  $g \mapsto h \circ g$ .

## 2.6

The complexification  $\text{Vec}_\mathbb{C}(\mathbb{S}^1)$  of  $\text{Vec}(\mathbb{S}^1)$  is the Lie algebra of all  $f\partial_\theta$  where  $f \in C^\infty(\mathbb{S}^1) \equiv C^\infty(\mathbb{S}^1, \mathbb{C})$ . Let  $z = e^{i\theta} \in C^\infty(\mathbb{S}^1)$ , which is the inclusion map  $\mathbb{S}^1 \hookrightarrow \mathbb{C}$ . Then we can define  $\partial_z \in \text{Vec}_\mathbb{C}(\mathbb{S}^1)$  by

$$\partial_z = \frac{1}{iz}\partial_\theta \quad \text{so that} \quad \partial_\theta = iz\partial_z = ie^{i\theta}\partial_z \quad (2.8)$$

Then  $\text{Vec}_\mathbb{C}(\mathbb{S}^1)$  is a  $*$ -Lie algebra, i.e., a complex Lie algebra equipped with an involution  $\dagger$ . For  $\text{Vec}_\mathbb{C}(\mathbb{S}^1)$ , the involution is defined by

$$(f\partial_\theta)^\dagger = -\bar{f}\partial_\theta$$

so that  $\text{Vec}(\mathbb{S}^1)$  is precisely the set of all  $\mathfrak{x} \in \text{Vec}_\mathbb{C}(\mathbb{S}^1)$  satisfying  $\mathfrak{x}^\dagger = -\mathfrak{x}$ . In particular, noting  $\bar{z} = z^{-1}$  on  $\mathbb{S}^1$ , we have

$$(\partial_z)^\dagger = z^2\partial_z \quad (2.9)$$

$\text{Vec}_\mathbb{C}(\mathbb{S}^1)$  contains a “sufficiently large”  $*$ -Lie subalgebra, the **Witt algebra**  $\text{Witt} = \text{Span}_\mathbb{C}\{l_n : n \in \mathbb{Z}\}$ , where

$$l_n = z^n\partial_z \quad (2.10)$$

One easily computes that  $l_n^\dagger = l_{-n}$ , and that

$$[l_m, l_n] = (m - n)l_{m+n}$$

Projective unitary representations of  $\widetilde{\text{Diff}}^+(\mathbb{S}^1)$  correspond to (honest) unitary representations of central extensions of  $\widetilde{\text{Diff}}^+(\mathbb{S}^1)$ , which (roughly) correspond to unitary representations of central extensions of Witt.

## 2.7

It can be shown that the central extensions of Witt are equivalent to the **Virasoro algebra Vir**. As a vector space, Vir has basis  $\{C, L_n : n \in \mathbb{Z}\}$ . These basis elements satisfy

$$[L_m, L_n] = (m - n)L_{m+n} + \frac{C}{12}(m^3 - m)\delta_{m+n,0} \quad [L_n, C] = 0 \quad (2.11a)$$

$$L_n^\dagger = L_{-n} \quad C^\dagger = C \quad (2.11b)$$

Thus, the projective unitary representation of  $\widetilde{\text{Diff}^+(\mathbb{S}^1)} \times \widetilde{\text{Diff}^+(\mathbb{S}^1)}$  on  $\mathcal{H}$  can be described by a unitary representation of  $\text{Vir} \oplus \widehat{\text{Vir}}$ . Here,  $\widehat{\text{Vir}} = \{\widehat{C}, \widehat{L}_n : n \in \mathbb{Z}\}$  is isomorphic to Vir.

One can decompose a (unitary full) CFT  $\mathcal{Q}$  into a “direct sum” of CFTs such that  $C$  and  $\widehat{C}$  act as scalars  $c, \widehat{c} \in \mathbb{R}$ . (In fact, one can show that  $c, \widehat{c} \geq 0$ .) We call  $(c, \widehat{c})$  the **central charge** of the CFT  $\mathcal{Q}$ . Since  $L_0^\dagger = L_0$  and  $\widehat{L}_0^\dagger = \widehat{L}_0$ , one usually assume that  $L_0, \widehat{L}_0$  act as self-adjoint operators on  $\mathcal{H}$ .

## 2.8

In a Poincaré invariant QFT, the vacuum vector is fixed by  $P^+(1, d)$ . However, in our CFT  $\mathcal{Q}$ , the vacuum vector  $\Omega$  is not fixed by  $\text{Cf}^+(\mathbb{R}^{1,1})$ . In terms of Vir, then  $L_n\Omega$  is not necessarily zero for all  $n$ . This phenomenon is related to the fact that an arbitrary one-parameter subgroup  $t \in \mathbb{R} \mapsto g_t \in \widetilde{\text{Diff}^+(\mathbb{S}^1)}$ , when each  $g_t$  acts on  $\mathbb{S}^1$  and hence can be viewed as a map  $g_t : \mathbb{S}^1 \rightarrow \mathbb{P}^1$ , does not have a sufficiently large domain for the analytic continuation  $z \mapsto g_z$ .

On the other hand, we do have

$$L_n\Omega = 0 \quad \text{if } n = -1, 0, 1 \quad (2.12)$$

(and similarly  $\widehat{L}_0\Omega = \widehat{L}_{\pm 1}\Omega = 0$ ). These  $L_0, L_{\pm 1}$  span a Lie  $*$ -subalgebra

$$\mathfrak{sl}(2, \mathbb{C}) = \text{Span}_{\mathbb{C}}\{L_0, L_{\pm 1}\}$$

with skew-symmetric part

$$\mathfrak{su}(2) := \{\mathfrak{x} \in \mathfrak{sl}(2, \mathbb{C}) : \mathfrak{x}^\dagger = -\mathfrak{x}\} = \text{Span}_{\mathbb{R}}\left\{\mathfrak{il}_0, \frac{l_1 - l_{-1}}{2}, \frac{\mathfrak{i}(l_1 + l_{-1})}{2}\right\} \quad (2.13)$$

As we will see in the future, the one-parameter group generated by  $(l_1 - l_{-1})/2$  is related to the PCT symmetry of the CFT.

The Lie subgroup of  $\widetilde{\text{Diff}^+(\mathbb{S}^1)}$  with Lie algebra  $\mathfrak{su}(2)$  is  $\widetilde{\text{PSU}}(1, 1)$ , the universal cover of the **Möbius group**  $\text{PSU}(1, 1)$  whose elements are linear fractional transforms

$$z \in \mathbb{P}^1 \mapsto \frac{\alpha z + \beta}{\beta z + \bar{\alpha}} \quad \text{where } \alpha, \beta \in \mathbb{C}, |\alpha|^2 - |\beta|^2 = 1$$

The condition  $|\alpha|^2 - |\beta|^2 = 1$  is to ensure that the transform sends  $\mathbb{S}^1$  to  $\mathbb{S}^1$ . The exact sequence (2.3) restricts to

$$1 \longrightarrow \mathbb{Z} \longrightarrow \widetilde{\text{PSU}}(1, 1) \longrightarrow \text{PSU}(1, 1) \longrightarrow 1 \quad (2.14)$$

where  $\mathbb{Z}$  is freely generated by “the anticlockwise rotation by  $2\pi$ ”. Thus, the projective action  $\mathcal{U}(g)$  of any  $g$  in  $\widetilde{\text{PSU}}(1, 1) \times \widetilde{\text{PSU}}(1, 1) \subset \widetilde{\text{Diff}}^+(\mathbb{S}^1) \times \widetilde{\text{Diff}}^+(\mathbb{S}^1)$  fixes  $\Omega$  up to  $\mathbb{S}^1$ -multiplications. Now we choose  $\mathcal{U}(g)$  to be the unique one such that  $\mathcal{U}(g)\Omega = \Omega$ . Then  $\mathcal{U}$  gives an (honest) strongly-continuous unitary representation of  $\widetilde{\text{PSU}}(1, 1) \times \widetilde{\text{PSU}}(1, 1)$  on  $\mathcal{H}$  fixing  $\Omega$ .

## 2.9

A field  $\Phi \in \mathcal{Q}$  is called **chiral** (resp. **antichiral**) if  $\tilde{\Phi}$  depends only on  $u$  (resp.  $v$ ) but not on  $v$  (resp.  $u$ ). We let  $\mathcal{V}$  resp.  $\hat{\mathcal{V}}$  be the set of chiral resp. anti chiral fields. They can be viewed as algebraic structures. (We will say more about such structures in the future.)

Let  $\mathcal{H}_0$  (resp.  $\hat{\mathcal{H}}_0$ ) be the closure of the subspace spanned by  $\varphi(f_1) \cdots \varphi(f_n)\Omega$  where each  $f_i \in C_c^\infty(\mathbb{R}_c^{1,1})$  and  $\varphi_i \in \mathcal{V}$  (resp.  $\varphi_i \in \hat{\mathcal{V}}$ ). Then  $\mathcal{H}_0$  can be viewed as a (unitary) representation of  $\mathcal{V}$ , called the **vacuum representation**. Clearly  $\Omega \in \mathcal{H}_0 \cap \hat{\mathcal{H}}_0$ .

A basic assumption of unitary full CFT is the existence of orthogonal decomposition

$$\mathcal{H} = \bigoplus_{i \in \mathcal{I}} \mathcal{H}_i \otimes \hat{\mathcal{H}}_i \quad \supset \mathcal{H}_0 \otimes \hat{\mathcal{H}}_0 \quad (2.15)$$

where each  $\mathcal{H}_i$  (resp.  $\hat{\mathcal{H}}_i$ ) is an irreducible unitary representation of  $\mathcal{V}$  (resp.  $\hat{\mathcal{V}}$ ). Here,  $\bigoplus$  could be a finite, or infinite discrete, or even continuous (i.e. a direct integral). A large class of important CFTs are called **rational CFTs**, which means that the direct sum is finite. Here,  $\mathcal{H}_0$  is identified with  $\mathcal{H}_0 \otimes \Omega$  so that it can thus be viewed as a subspace of  $\mathcal{H}$ ; similarly  $\hat{\mathcal{H}}_0 \simeq \Omega \otimes \hat{\mathcal{H}}_0$ . Therefore, with respect to the decomposition (2.15), the vacuum vector  $\Omega \in \mathcal{H}$  can be written as  $\Omega \otimes \Omega$ .

## 2.10

From now on, we slightly change our notation a bit:

**Convention 2.4.** An element of  $\widetilde{\text{Diff}}^+(\mathbb{S}^1)$  is not viewed as a function  $\tilde{f} : \mathbb{R} \rightarrow \mathbb{R}$ , but rather a multivalued smooth function  $f : \mathbb{S}^1 \rightarrow \mathbb{S}^1$  related to the original  $\tilde{f}$  by

$$f(e^{i\theta}) = \tilde{f}(\theta)$$

Following this convention, and similar to (2.8), we define

$$f'(e^{i\theta}) \equiv \partial_z f(e^{i\theta}) = \frac{\tilde{f}'(\theta)}{ie^{i\theta}} \quad (2.16)$$

Similarly, for each  $\Phi \in \mathcal{Q}$ , we let

$$\mathring{\Phi}(e^{iu}, e^{iv}) \stackrel{\text{def}}{=} \tilde{\Phi}(u, v) = \Phi\left(\frac{u+v}{2}, \frac{u-v}{2}\right) \quad (2.17)$$

viewing  $\mathring{\Phi}$  as a multivalued function on  $\mathbb{S}^1 \times \mathbb{S}^1$ .

Although the projective unitary representation  $\mathcal{U}$  of  $\widetilde{\text{Diff}^+(\mathbb{S}^1)^2}$  does not fix  $\Omega$  up to  $\mathbb{S}^1$ -multiplications, similar to (1.4), there is a large class of  $\Phi \in \mathcal{Q}$ , called **primary fields**, satisfying the **conformal covariance property**: For each such  $\Phi$ , there exist  $\delta, \hat{\delta} \in \mathbb{R}_{\geq 0}$  (called the **conformal weights** of  $\Phi$ ) such that for all  $(g, h) \in \widetilde{\text{Diff}^+(\mathbb{S}^1)^2}$  and

$$\mathcal{U}(g, h)\mathring{\Phi}(e^{iu}, e^{iv})\mathcal{U}(g, h)^{-1} = g'(e^{iu})^\delta h'(e^{iv})^{\hat{\delta}} \cdot \mathring{\Phi}(g(e^{iu}), h(e^{iv})) \quad (2.18)$$

in the sense of smeared operators.

## 2.11

In the special case that  $\varphi \in \mathcal{V}$ , then (2.1) says that  $\mathring{\varphi}$  is a single-valued function on  $\mathbb{S}^1$ , and hence has a Fourier series expansion

$$\mathring{\varphi}(z) = \sum_{n \in \mathbb{Z}} \mathring{\varphi}_n z^{-n-1} \quad (2.19)$$

So  $\mathring{\varphi}_n = \text{Res}_{z=0} \mathring{\varphi}(z) z^n dz$ . The derivative  $\mathring{\varphi}'(z) = \partial_z \mathring{\varphi}(z)$  is understood in the usual way, i.e.,

$$\mathring{\varphi}'(z) = \sum_n (-n-1) \mathring{\varphi}_n z^{-n-2}$$

Now, writing  $\mathcal{U}(g, 1)$  as  $\mathcal{U}(g)$ , then for primary chiral  $\varphi$ , (2.18) becomes

$$\mathcal{U}(g)\mathring{\varphi}(z)\mathcal{U}(g)^{-1} = g'(z)^\delta \cdot \mathring{\varphi}(g(z)) \quad (2.20)$$

We simply call  $\delta$  the **conformal weight** of the chiral field  $\varphi$ . If (2.20) only holds for  $g \in \text{PSU}(1, 1)$ , we say that the chiral field  $\varphi$  is **quasi-primary**.

**Remark 2.5.** For each primary (resp. quasi-primary) chiral  $\varphi$ , and for each  $m \in \mathbb{Z}$  (resp.  $m = 0, \pm 1$ ), we have

$$[L_m, \mathring{\varphi}(z)] = z^{m+1} \mathring{\varphi}'(z) + \delta \cdot (m+1) z^m \mathring{\varphi}(z) \quad (2.21a)$$

Equivalently, for each  $n \in \mathbb{Z}$  we have

$$[L_m, \mathring{\varphi}_n] = -(m+n+1) \mathring{\varphi}_{m+n} + \delta \cdot (m+1) \mathring{\varphi}_{m+n} \quad (2.21b)$$

*Heuristic proof.* Let  $t \mapsto g_t$  be the one-parameter group generated by  $\mathfrak{x} = \sum_m a_m l_m$  (a finite sum) satisfying  $\mathfrak{x}^\dagger = -\mathfrak{x}$ , i.e.,  $\overline{a_m} = -a_{-m}$ . So  $g_0(z) = z$  and  $\partial_t g_t(z)|_{t=0} = \sum_m a_m z^{m+1}$ . Set  $X = \sum_m a_m L_m$ . Then, informally, we have

$$\frac{d}{dt} \mathcal{U}(g_t) \dot{\varphi}(z) \mathcal{U}(g_t)^{-1} \Big|_{t=0} = [X, \dot{\varphi}(z)]$$

Also

$$\frac{d}{dt} \dot{\varphi}(g_t(z)) \Big|_{t=0} = \dot{\varphi}'(z) \cdot \partial_t g_t(z) \Big|_{t=0} = \sum_m a_m z^{m+1} \dot{\varphi}'(z)$$

Since  $\delta \cdot g'_0(z)^{\delta-1} = \delta \cdot \left(\frac{d}{dz}(z)\right)^{\delta-1} = \delta$ , we have

$$\frac{d}{dt} g'_t(z)^\delta \Big|_{t=0} = \delta \cdot g'_0(z)^{\delta-1} \cdot \partial_t g'_t(z) \Big|_{t=0} = \delta \sum_m (a_m z^{m+1})' = \delta \sum_m (m+1) a_m z^m$$

Combining the above three results with (2.20), we get (2.21a). □



### 3 Local fields and chiral algebras

In this section, we introduce a rigorous approach to the algebra  $\mathcal{V}$  of chiral fields. We will give an axiomatic description of (the modes of) the chiral fields acting on  $\mathbb{V}$ , the dense subspace of  $\mathcal{H}_0$  with finite  $L_0$ -spectra. (So  $\mathcal{H}_0$  is the Hilbert space completion of  $\mathbb{V}$ .) Some of the proofs will be sketched or even omitted. But details can be found in [Gui-V] (especially Sec. 7 and 8).

#### 3.1

Unless otherwise stated, we fix a complex inner product space  $\mathbb{V}$  together with a diagonalizable operator  $L_0 \in \text{End}(\mathbb{V})$  such that the eigenvalues of  $L_0$  belong to  $\mathbb{N}$ . Thus, we have orthogonal decomposition  $\mathbb{V} = \bigoplus_{n \in \mathbb{N}} \mathbb{V}(n)$  where  $\mathbb{V}(n) = \{v \in \mathbb{V} : L_0 v = nv\}$ . If  $v \in \mathbb{V}$ , we say that  $v$  is **homogeneous** if  $v \in \mathbb{V}(n)$  for some  $n$ ; in that case we write

$$\text{wt}(v) = n$$

The Hilbert space completion of  $\mathbb{V}$  is denoted by  $\mathcal{H}_{\mathbb{V}}$ . We assume that each  $\mathbb{V}(n)$  is finite-dimensional so that  $\mathbb{V}(n)^{**} = \mathbb{V}(n)$ . Define

$$\mathbb{V}^{\text{ac}} = \prod_{n \in \mathbb{N}} \mathbb{V}(n)$$

the **algebraic completion** of  $\mathbb{V}$ . Then clearly

$$\mathbb{V} \subset \mathcal{H}_{\mathbb{V}} \subset \mathbb{V}^{\text{ac}}$$

Note that  $L_0$  acts on  $\mathbb{V}^{\text{ac}}$  in a canonical way by acting on each  $\mathbb{V}(n)$  as  $n \cdot \text{id}$ . Similarly, for each  $q \in \mathbb{C}^\times$ ,  $q^{L_0}$  acts on  $\mathbb{V}^{\text{ac}}$ .

For each  $n \in \mathbb{N}$ , we define the projection onto the  $n$ -th component

$$P_n : \mathbb{V}^{\text{ac}} \rightarrow \mathbb{V}(n) \tag{3.1}$$

Then for any  $\xi \in \mathbb{V}^{\text{ac}}$ , it is clear that

$$\xi \in \mathcal{H}_{\mathbb{V}} \iff \sum_{n \in \mathbb{N}} \|P_n \xi\|^2 < +\infty \tag{3.2}$$

Note that  $L_0$  and  $q^{L_0}$  commute with  $P_n$ . We also let

$$P_{\leq n} = \sum_{k \in \mathbb{N}, k \leq n} P_k \tag{3.3}$$

### 3.2

**Definition 3.1.** An **(homogeneous) field** on  $\mathbb{V}$  is an element

$$A(z) = \sum_{n \in \mathbb{Z}} A_n z^{-n-1} \in \text{End}(\mathbb{V})[[z^{\pm 1}]]$$

(where each  $A_n$  is in  $\text{End}(\mathbb{V})$ ) satisfying

$$[L_0, A(z)] = \text{wt}(A) \cdot A(z) + z \partial_z A(z) \quad (3.4a)$$

for some  $\text{wt}(A) \in \mathbb{N}$  (called the **(conformal) weight** of  $A(z)$ ); equivalently,

$$[L_0, A_n] = (\text{wt}(A) - n - 1) A_n \quad (3.4b)$$

**Remark 3.2.** Note that by (3.4b), for each  $d$ ,  $A_n$  restricts to

$$A_n : \mathbb{V}(d) \rightarrow \mathbb{V}(d + \text{wt}(A) - n - 1) \quad (3.5)$$

Since no nonzero homogeneous vectors can have negative weights, we see that  $A_n v = 0$  when  $n \gg 0$ , and that  $\langle A_n \cdot |v \rangle = 0$  when  $n \ll 0$ . Thus

$$A(z)v \in \mathbb{V}((z)) \quad (3.6)$$

for each homogeneous  $v \in \mathbb{V}$ , and hence for all  $v \in \mathbb{V}$ . This is called the **lower truncation property**.

Note that  $A_n$  can be extended to  $A_n^{\text{tt}} : \mathbb{V}^{\text{ac}} \rightarrow \mathbb{V}^{\text{ac}}$ . We abbreviate  $A_n^{\text{tt}}$  to  $A_n$  when no confusion arises.

**Example 3.3.** The field  $\mathbf{1}(z) = \text{id}_{\mathbb{V}}$  is called the **vacuum field**. By (3.4), we clearly have

$$\text{wt}(\mathbf{1}) = 0$$

### 3.3

Let  $A(z)$  be a homogeneous field. By (3.5), we have a well defined linear map  $(A_n)^{\dagger} : \mathbb{V} \rightarrow \mathbb{V}$  being the formal adjoint of  $A_n$ , i.e.,

$$\langle A_n u | v \rangle = \langle u | (A_n)^{\dagger} v \rangle$$

This is because the restriction  $A_n : \mathbb{V}(d) \rightarrow \mathbb{V}(d + \text{wt}(A) - n - 1)$  has an adjoint due to the finite-dimensionality. Thus  $(A_n)^{\dagger}$  restricts to

$$(A_n)^{\dagger} : \mathbb{V}(d) \rightarrow \mathbb{V}(d - \text{wt}(A) + n + 1) \quad (3.7)$$

If  $z$  is a formal variable, we understand  $\bar{z} \equiv z^{\dagger}$  as the formal conjugate of  $z$ . So  $z, \bar{z}$  are mutually commuting formal variables.

**Definition 3.4.** Define the **quasi-primary contragredient**  $A^\theta(z)$  of  $A(z)$  to be

$$A^\theta(z) = (-z^{-2})^{\text{wt}(A)} A(\overline{z^{-1}})^\dagger = (-z^{-2})^{\text{wt}(A)} \cdot \sum_{n \in \mathbb{Z}} (A_n)^\dagger z^{n+1} \quad (3.8)$$

One shows easily that

$$A_n^\theta = (-1)^{\text{wt}(A)} \cdot (A_{-n-2+\text{wt}(A)})^\dagger \quad (3.9)$$

Comparing (3.9) with (3.7), we see that  $A_n^\theta$  restricts to  $\mathbb{V}(d) \rightarrow \mathbb{V}(d + \text{wt}(A) - n - 1)$ . Hence  $A^\theta$  is homogeneous with weight

$$\text{wt}(A^\theta) = \text{wt}(A) \quad (3.10)$$

One checks easily that  $A^{\theta\theta} = A$ .

The reason we need the extra term  $(-z^{-2})^{\text{wt}(A)}$  will be clear when studying PCT symmetry for chiral CFTs in the future. At present, we at least know that part of the reasons we need  $z^{-2}$  and its power  $\text{wt}(A)$  is because we want (3.10) to be true.

### 3.4

**Remark 3.5.** The field  $A^\theta(z)$  can also be understood in the following way: For each  $u, v \in \mathbb{V}$  we have

$$\langle A^\theta(z)u|v \rangle = (-z^{-2})^{\text{wt}(A)} \langle u|A(\overline{z^{-1}})v \rangle \quad (3.11)$$

as elements of  $\mathbb{C}[[z^{\pm 1}]]$ . By (3.6), the LHS resp. RHS is in  $\mathbb{C}((z))$  resp.  $\mathbb{C}((z^{-1}))$ , we conclude that (3.11) is in  $\mathbb{C}[z^{\pm 1}]$ . Similarly,

$$\langle A(z)u|v \rangle \in \mathbb{C}[z^{\pm 1}]$$

Thus,  $z \in \mathbb{C}^\times \rightarrow \langle A(z)u|v \rangle \in \mathbb{C}$  is a holomorphic function with finite poles at  $0, \infty$ , and (3.11) holds in  $\mathcal{O}(\mathbb{C}^\times)$ . It follows that for each  $m, n \in \mathbb{V}$ ,

$$z \in \mathbb{C}^\times \mapsto P_m A(z) P_n$$

is an  $\text{Hom}(\mathbb{V}(n), \mathbb{V}(m))$ -valued holomorphic function.

**Proposition 3.6.** Let  $u, v \in \mathbb{V}$ . Let  $A$  be a homogeneous field. Then for each  $z, q \in \mathbb{C}^\times$  we have

$$\langle q^{L_0} A(z) q^{-L_0} u|v \rangle = q^{\text{wt}(A)} \cdot \langle A(qz)u|v \rangle \quad (3.12)$$

In short, we have  $q^{L_0} A(z) q^{-L_0} = q^{\text{wt}(A)} A(qz)$  as linear maps  $\mathbb{V} \rightarrow \mathbb{V}^{\text{ac}}$ . Compare this with Eq. (2.20).

*Proof.* For each fixed  $q \in \mathbb{C}^\times$ , by expanding both sides of (3.12) as Laurent series of  $z$ , we see that (3.12) is equivalent to

$$\langle q^{L_0} A_n q^{-L_0} u|v \rangle = q^{\text{wt}(A)-n-1} \langle A_n u|v \rangle \quad (3.13)$$

By linearity, it suffices to assume that  $u, v$  are homogenous. In that case, this relation follows immediately from (3.5).  $\square$

### 3.5

**Definition 3.7.** Let  $A(z), B(z)$  be homogeneous fields on  $\mathbb{V}$ . We say that  $A(z), B(z)$  are mutually **local** if there exists  $N \in \mathbb{N}$  (depending on  $A, B$ ) such that the following relation holds in  $\text{End}(\mathbb{V})[[z^{\pm 1}, w^{\pm 1}]]$ :

$$(z - w)^N [A(z), B(w)] = 0 \quad (3.14)$$

We call  $N$  an **order of pole between**  $A, B$ .

**Remark 3.8.** A field  $A(z)$  is not necessarily local to itself. If  $A(z)$  is local to  $A(z)$ , we say that  $A(z)$  is **self-local**. A collection of fields  $(A^i(z))_{i \in I}$  is called **mutually local** if  $A^i(z)$  is local to  $A^j(z)$  whenever  $i, j \in I$  and  $i \neq j$ .

Eq. (3.14) needs explanation. Let  $R$  be a  $\mathbb{C}$ -algebra. Write  $z_{\bullet} = (z_1, \dots, z_k)$ . Then  $R[[z_{\bullet}^{\pm 1}]]$  is an  $R[z_{\bullet}]$ -module. However, this module is not necessarily torsion-free:

**Example 3.9.** Fix  $N \in \mathbb{N}$ . Let  $\alpha, \beta$  be the expansion of the meromorphic function  $(z_1 - z_2)^{-N}$  in  $|z_1| < |z_2|$  and  $|z_1| > |z_2|$ , i.e.

$$\alpha = \sum_{j \in \mathbb{N}} \binom{-N}{j} z_1^j (-z_2)^{-N-j} \quad \beta = \sum_{j \in \mathbb{N}} \binom{-N}{j} z_1^{-N-j} (-z_2)^j$$

Then  $\alpha \in \mathbb{C}[[z_2^{\pm 1}]] [z_1]$  and  $\beta \in \mathbb{C}[[z_1^{\pm 1}]] [z_2]$ , and both belong to  $\mathbb{C}[[z_{\bullet}^{\pm 1}]]$ . So  $\alpha \neq \beta$  as elements of  $\mathbb{C}[[z_{\bullet}^{\pm 1}]]$ . However,  $(z_1 - z_2)^N \alpha = (z_1 - z_2)^N \beta = 1$ . Thus  $\alpha - \beta$  is a torsion element of the  $\mathbb{C}[z_{\bullet}]$ -module  $\mathbb{C}[[z_{\bullet}^{\pm 1}]]$ .

Then  $R[[z_{\bullet}^{\pm 1}]]$  is not naturally a  $\mathbb{C}$ -algebra. In particular, not every two elements of  $R[[z_{\bullet}^{\pm 1}]]$  can be multiplied. For example, the square of  $\sum_{n \in \mathbb{Z}} z^n$  does not make sense. Moreover, the associativity of products does not necessarily hold even if the elements involved can be multiplied, as shown by Exp. 3.10.

**Example 3.10.** In Exp. 3.9, both  $(\alpha \cdot (z_1 - z_2)^N) \cdot \beta$  and  $\alpha \cdot ((z_1 - z_2)^N \cdot \beta)$  can be defined. However,

$$(\alpha \cdot (z_1 - z_2)^N) \cdot \beta = \beta \quad \alpha \cdot ((z_1 - z_2)^N \cdot \beta) = \alpha$$

### 3.6

Assume that  $A, B$  are mutually local fields with order of pole  $N$ . Choose any  $u, v \in \mathbb{V}$ . By Rem. 3.5, we have  $\langle A(z)B(w)u|v \rangle = (-z^{-2})^{\text{wt}(A)} \langle B(w)|A^{\theta}(\overline{z^{-1}})v \rangle$ , which belongs to  $\mathbb{C}((z^{-1}, w))$  by the lower truncation property (3.6). Thus

$$\langle A(z)B(w)u|v \rangle \in \mathbb{C}((z^{-1}, w)) \quad \langle B(w)A(z)u|v \rangle \in \mathbb{C}((z, w^{-1}))$$

Therefore, setting

$$g := (z - w)^N \langle A(z)B(w)u|v \rangle = (z - w)^N \langle B(w)A(z)u|v \rangle$$

we have that

$$g \in \mathbb{C}((z^{-1}, w)) \cap \mathbb{C}((z, w^{-1})) = \mathbb{C}[z^{\pm 1}, w^{\pm 1}]$$

Since the  $\alpha(z, w), \beta(z, w)$  in Exp. 3.5 are respectively the inverses of  $(z - w)^N$  in the  $\mathbb{C}$ -algebras  $\mathbb{C}((z^{-1}, w))$  and  $\mathbb{C}((z, w^{-1}))$ , we see that

$$\langle A(z)B(w)u|v \rangle = \beta g \quad \langle B(w)A(z)u|v \rangle = \alpha g$$

Consequently, the series  $\langle A(z)B(w)u|v \rangle$  of  $z, w$  converges **absolutely and locally uniformly (a.l.u.)** on the region  $\{(z, w) \in \mathbb{C} : 0 < |w| < |z|\}$  in the sense that it converges uniformly on any compact subset of that open set. This is because the series  $\beta g$  converges a.l.u. on this domain.

When  $u, v$  are homogeneous, one sees easily that this a.l.u. convergence is equivalent to that of

$$\sum_{n \in \mathbb{N}} \langle A(z)P_n B(w)u|v \rangle$$

viewed as a series of functions of  $z, w$  on  $\{0 < |w| < |z|\}$ . (This is because for each  $n$ ,  $\langle A(z)P_n B(w)u|v \rangle$  is a monomial of  $z, w$ .) Thus, by linearity, the a.l.u. convergence of this series of functions also holds for any  $u, v \in \mathbb{V}$ . Similarly,

$$\sum_{n \in \mathbb{N}} \langle B(w)P_n A(z)u|v \rangle$$

converges a.l.u. on  $\{0 < |z| < |w|\}$ . Moreover, the limit functions of these two series can be analytically extended to the same holomorphic function on  $\text{Conf}^2(\mathbb{C}^\times)$ , namely, the rational function  $(z_1 - z_2)^{-N} g(z_1, z_2)$ .

### 3.7

The results in the previous subsection can be generalized to the following theorem. The proof is similar, and hence will not be given here. See [Gui-V, Subsec. 8.2] for details.

**Theorem 3.11.** *Let  $A^1, \dots, A^k$  be mutually local fields. Then for each  $u, v \in \mathbb{V}$  and each permutation  $\sigma$  of  $\{1, \dots, k\}$ , the series of Laurent polynomials of  $z$ .*

$$\sum_{n_2, \dots, n_k \in \mathbb{N}} \langle A^{\sigma(1)}(z_{\sigma(1)}) P_{n_2} A^{\sigma(2)}(z_{\sigma(2)}) P_3 \cdots P_{n_k} A^{\sigma(k)}(z_{\sigma(k)}) u|v \rangle \quad (3.15)$$

converges a.l.u. on

$$\{z_\bullet \in \mathbb{C}^k : 0 < |z_{\sigma(k)}| < \cdots < |z_{\sigma(1)}|\} \quad (3.16)$$

and can be extended to some  $f_{u,v} \in \mathcal{O}(\text{Conf}^k(\mathbb{C}^\times))$  independent of  $\sigma$ . Indeed,  $f_{u,v}$  is a rational function.

**Remark 3.12.** We say that  $u$  is **vacuum with respect to**  $A(z)$  if  $A(z)u \in \mathbb{V}[[z]]$ , i.e., if  $A_n u = 0$  if  $n \geq 0$ . If  $u$  is vacuum with respect to  $A^1, \dots, A^k$ , the same argument as in Subsec. 3.6 shows that  $f_{u,v} \in \mathcal{O}(\text{Conf}^k(\mathbb{C}))$ . Thus (3.15) converges a.l.u. on

$$\{z_\bullet \in \mathbb{C}^k : |z_{\sigma(k)}| < \cdots < |z_{\sigma(1)}|\}$$

**Definition 3.13.** In the setting of Thm. 3.11, for each  $u \in \mathbb{V}$  and  $z_\bullet \in \text{Conf}^k(\mathbb{C}^\times)$ , define

$$A^1(z_1) \cdots A^k(z_k)u \in \mathbb{V}^{\text{ac}} \quad (3.17)$$

to be the one whose inner product with any  $v \in \mathbb{V}$  is  $f_{u,v}(z)$ . Thus  $A^1(z_1) \cdots A^k(z_k)$  is a linear map  $\mathbb{V} \rightarrow \mathbb{V}^{\text{ac}}$ , and for each  $u, v \in \mathbb{V}$  the function

$$z_\bullet \in \text{Conf}^k(\mathbb{C}^\times) \mapsto \langle A^1(z_1) \cdots A^k(z_k)u | v \rangle \in \mathbb{C} \quad (3.18)$$

is holomorphic. When  $u$  is vacuum with respect to  $A^1, \dots, A^k$ , the same conclusion holds if we replace  $\mathbb{C}^\times$  with  $\mathbb{C}$ .

It is clear that for each permutation  $\sigma$  of  $\{1, \dots, k\}$  we have

$$A^{\sigma(1)}(z_{\sigma(1)}) \cdots A^{\sigma(k)}(z_{\sigma(k)})u = A^1(z_1) \cdots A^k(z_k)u \quad (3.19)$$

Some of the results about single operators can be generalized to products of operators:

**Proposition 3.14.** Let  $A^1, \dots, A^k$  be mutually local fields. Then for each  $z_\bullet \in \text{Conf}^k(\mathbb{C}^\times)$  and  $q \in \mathbb{C}^\times$ , we have in  $\text{Hom}(\mathbb{V}, \mathbb{V}^{\text{ac}})$  that

$$q^{L_0} A^1(z_1) \cdots A^k(z_k) = q^{\text{wt}(A_1) + \cdots + \text{wt}(A_k)} A^1(qz_1) \cdots A^k(qz_k) q^{L_0} \quad (3.20)$$

*Proof.* Fix  $q \in \mathbb{C}^\times$  and  $u, v \in \mathbb{V}$ . Let  $f, g$  denote the LHS and the RHS of (3.20) inserted in  $\langle \cdot | u \rangle$ . By Thm. 3.11, both  $f$  and  $g$  are holomorphic functions of  $z_\bullet \in \text{Conf}^k(\mathbb{C}^\times)$ . Therefore, to prove  $f = g$  on the connected region  $\text{Conf}^k(\mathbb{C}^\times)$  it suffices to prove it on a nonempty open subset, say  $\{0 < |z_k| < \cdots < |z_1|\}$ . In that case, the relation  $f = g$  follows from the a.l.u. convergence in Thm. 3.11 and the fact that for all  $n_2, \dots, n_k \in \mathbb{N}$  we have in  $\text{Hom}(\mathbb{V}, \mathbb{V}^{\text{ac}})$  that

$$\begin{aligned} & q^{L_0} A^1(z_1) P_{n_2} A^2(z_2) P_{n_3} \cdots P_{n_k} A^k(z_k) \\ &= q^{\text{wt}(A_1) + \cdots + \text{wt}(A_k)} A^1(qz_1) P_{n_2} A^2(qz_2) P_{n_3} \cdots P_{n_k} A^k(qz_k) q^{L_0} \end{aligned}$$

The latter is due to Prop. 3.6 and the fact that  $q^{L_0}$  commutes with each  $P_{n_j}$ .  $\square$

**Proposition 3.15.** Let  $A^1, \dots, A^k$  be mutually local fields. Let  $u, v \in \mathbb{V}$ . Then for each  $z_\bullet \in \text{Conf}^k(\mathbb{C}^\times)$  we have

$$\begin{aligned} & \langle A^1(z_1) \cdots A^k(z_k) u | v \rangle \\ &= (-z_1^{-2})^{\text{wt}(A^1)} \cdots (-z_k^{-2})^{\text{wt}(A^k)} \langle u | (A^k)^\theta (\overline{z_k^{-1}}) \cdots (A^1)^\theta (\overline{z_1^{-1}}) v \rangle \end{aligned} \quad (3.21)$$

*Proof.* Similar to Prop. 3.14, it suffices to prove (3.21) when  $0 < |z_1| < \cdots < |z_k|$  (and hence  $0 < |\overline{z_k^{-1}}| < \cdots < |\overline{z_1^{-1}}|$ ). This special case follows from the a.l.u. convergence Thm. 3.11 and Def. 3.4.  $\square$

### 3.8

We now discuss a further generalization (or variant) of the convergence Thm. 3.11. Its proof gives another application of the trick of analytic continuation (as in the proof of Prop. 3.14 and 3.15).

**Theorem 3.16.** Assume that  $A^1, \dots, A^m$  and  $B^1, \dots, B^k$  are mutually local fields. Let

$$\Omega = \{(z_1, \dots, z_m, \zeta_1, \dots, \zeta_k) \in \text{Conf}^{m+k}(\mathbb{C}^\times) : |z_i| > |\zeta_j| \text{ for all } i, j\}$$

Then for each  $u, v \in \mathbb{V}$ , the RHS of

$$\begin{aligned} & \langle A^1(z_1) \cdots A^m(z_m) B^1(\zeta_1) \cdots B^k(\zeta_k) u | v \rangle \\ &= \sum_{n \in \mathbb{N}} \langle A^1(z_1) \cdots A^m(z_m) P_n B^1(\zeta_1) \cdots B^k(\zeta_k) u | v \rangle \end{aligned} \quad (3.22)$$

converges a.l.u. on  $\Omega$  to the LHS.

*Proof.* It suffices to prove the a.l.u. on

$$\Omega_r = \{(z_1, \dots, z_m, \zeta_1, \dots, \zeta_k) \in \text{Conf}^{m+k}(\mathbb{C}^\times) : |z_i| > r|\zeta_j| \text{ for all } i, j\}$$

for each  $r > 1$ . In fact, we shall show that the series of functions

$$\sum_{n \in \mathbb{N}} \langle A^1(z_1) \cdots A^m(z_m) q^{L_0} P_n B^1(\zeta_1) \cdots B^k(\zeta_k) u | v \rangle \quad (a)$$

converges a.l.u. on  $(z_\bullet, \zeta_\star, q) \in \Omega_r \times \mathbb{D}_r^\times$  to

$$q^\delta \langle A^1(z_1) \cdots A^m(z_m) B^1(q\zeta_1) \cdots B^k(q\zeta_k) q^{L_0} u | v \rangle \quad (b)$$

where  $\delta = \text{wt}(B^1) + \cdots + \text{wt}(B^k)$ . By Thm. 3.11 and Prop. 3.6, on

$$\Omega'_r = \{(z_\bullet, \zeta_\star) : 0 < r|\zeta_k| < \cdots < r|\zeta_1| < |z_m| < \cdots < |z_1|\}$$

the series (a) is equivalent to

$$\begin{aligned} & \sum \langle A^1(z_1) P_{\nu_2} \cdots P_{\nu_m} A^m(z_m) q^{L_0} P_n B^1(\zeta_1) P_{n_2} \cdots P_{n_k} B^k(\zeta_k) u | v \rangle \\ &= \sum q^\delta \langle A^1(z_1) P_{\nu_2} \cdots P_{\nu_m} A^m(z_m) P_n B^1(q\zeta_1) P_{n_2} \cdots P_{n_k} B^k(q\zeta_k) q^{L_0} u | v \rangle \end{aligned}$$

and hence converges a.l.u. to (b). Therefore, if we let  $\sum_\nu f_\nu q^\nu$  be the Laurent series expansion of (b) (where  $f_\nu \in \mathcal{O}(\Omega_r)$ ), then this series converges a.l.u. on  $\Omega_r \times \mathbb{D}_r^\times$ , and this series equals the series (a) on  $\Omega'_r \times \mathbb{D}_r^\times$ . Thus  $f_\nu$  equals the coefficient before  $q^\nu$  of (a) on  $\Omega'_r$ , and hence on  $\Omega_r$  by the holomorphicity of the coefficients (as functions on  $\Omega_r$ ). Thus (a) converges a.l.u. on  $\Omega_r$  to (b).  $\square$

The following theorem follows almost immediately from Thm. 3.16.

**Theorem 3.17.** *Let  $A^1, \dots, A^k$  be homogeneous fields such that any two distinct members of  $A^1, \dots, A^k, (A^1)^\theta, \dots, (A^k)^\theta$  are mutually local. Let  $v \in \mathbb{V}$ . Then we have a holomorphic function*

$$\text{Conf}^k(\mathbb{D}_1^\times) \rightarrow \mathcal{H}_\mathbb{V} \quad z_\bullet \mapsto A^1(z_1) \cdots A^k(z_k) v \quad (3.23)$$

*If  $v$  is vacuum with respect to  $A^1, \dots, A^k$ , and if  $\text{Conf}^k(\mathbb{D}_1^\times)$  is replaced by  $\text{Conf}^k(\mathbb{D}_1)$ , the function (3.23) is still holomorphic.*

*Proof.* Step 1. By Prop. 3.15, we have

$$\begin{aligned} & \sum_{n \in \mathbb{N}} \|P_n A^1(z_1) \cdots A^k(z_k) v\|^2 \\ &= \sum_{n \in \mathbb{N}} (-\overline{z_1}^{-2})^{\text{wt}(A^1)} \cdots (-\overline{z_k}^{-2})^{\text{wt}(A^k)} \\ & \quad \cdot \langle (A^k)^\theta(1/\overline{z_k}) \cdots (A^1)^\theta(1/\overline{z_1}) P_n A^1(z_1) \cdots A^k(z_k) v | v \rangle \end{aligned}$$

By Thm. 3.16, this series converges a.l.u. on  $\text{Conf}^k(\mathbb{D}_1^\times)$ . Therefore, for each  $z_\bullet \in \text{Conf}^k(\mathbb{D}_1^\times)$  we have  $A^1(z_1) \cdots A^k(z_k) v \in \mathcal{H}_\mathbb{V}$ . Moreover, the above a.l.u. convergence implies the a.l.u. convergence of the series of  $\mathcal{H}_\mathbb{V}$ -valued functions

$$z_\bullet \in \text{Conf}^k(\mathbb{D}_1^\times) \mapsto \sum_{n \in \mathbb{N}} P_n A^1(z_1) \cdots A^k(z_k) v$$

because the summands are mutually orthogonal for different  $n$ . Since the partial sums of this series are holomorphic, the limit of the above series (namely,  $A^1(z_1) \cdots A^k(z_k) v$ ) is also holomorphic.

Step 2. We now address the case that  $v$  is vacuum. We want to show that for each open disk  $U \subset \mathbb{D}_1^\times$  centered at 0, if we let

$$\Gamma = \text{Conf}^{k-1}(\mathbb{D}_1 \setminus U)$$



and define the holomorphic function  $f : \Gamma \times U^\times \rightarrow \mathcal{H}_\mathbb{V}$  to be the restriction of (3.23) (where  $U^\times = U \setminus \{0\}$ ), then  $f$  can be extended to a holomorphic function on  $\Gamma \times U$ . The proof will be completed by replacing  $z_k$  by any one of  $z_1, \dots, z_k$ .

It suffices to prove that the Laurent series expansion  $f = \sum_{n \in \mathbb{Z}} f_n(z_1, \dots, z_{k-1}) z_k^n$  (where  $f_n \in \mathcal{O}(\Gamma)$ ) satisfies  $f_n = 0$  for all  $n < 0$ ; then  $\sum_{n \in \mathbb{Z}} f_n z_k^n$  converges a.l.u. on  $\Gamma \times U$  to a holomorphic function extending  $f$ , finishing the proof. Since  $\Gamma$  is connected, it suffices to prove  $f_n = 0$  on

$$\{(z_1, \dots, z_{k-1}) \in \Gamma : |z_1| > \dots > |z_{k-1}|\}$$

Choose any  $(z_1, \dots, z_{k-1})$  in this set. Then for  $z_k \in U$ , and for each  $w \in \mathbb{V}$ , we have

$$\langle f(z_\bullet) | w \rangle = \sum_{n_2, \dots, n_k \in \mathbb{N}} \langle A^1(z_1) P_{n_2} \cdots P_{n_k} A^k(z_k) v | w \rangle$$

where  $\text{Res}_{z_k=0}(\text{RHS}) z_k^{-n-1} dz_k = 0$  for  $n < 0$  (since  $v$  is  $A^k$ -vacuum), noting that  $\text{Res}_{z_k=0}$  commutes with  $\sum$  due to the a.l.u. convergence of the RHS above over  $z_k \in U^\times$ . Thus  $f_n(z_1, \dots, z_{k-1}) = 0$  when  $n < 0$ .  $\square$

### 3.9

A linear combination of mutually local fields is clearly local to the original fields. It turns out that there is a non-associative “product”  $A_k B$  (where  $k \in \mathbb{Z}$ ) that is local to any field  $C$  whenever  $A, B, C$  are mutually local.

**Definition 3.18.** Let  $A, B$  be mutually local fields. Let  $k \in \mathbb{Z}$ . For each  $z \in \mathbb{C}^\times$ , define a linear map  $(A_k B)(z) : \mathbb{V} \rightarrow \mathbb{V}^{\text{ac}}$  by

$$\langle (A_k B)(z) u | v \rangle = \oint_{\Gamma(z)} (\zeta - z)^k \langle A(\zeta) B(z) u | v \rangle \frac{d\zeta}{2i\pi} \quad (3.24)$$

for each  $u, v \in \mathbb{V}$ . Here,  $\Gamma(z)$  is an anticlockwise circle around  $z$ . Clearly (3.24) is holomorphic over  $z \in \mathbb{C}^\times$ . Let

$$(A_k B)_n : \mathbb{V} \rightarrow \mathbb{V}^{\text{ac}} \quad \langle (A_k B)_n u | v \rangle = \text{Res}_{z=0} z^n \langle (A_k B)(z) u | v \rangle dz$$

So we have  $(A_k B)(z) = \sum_{n \in \mathbb{Z}} (A_k B)_n z^{-n-1}$  in  $\text{Hom}(\mathbb{V}, \mathbb{V}^{\text{ac}})[[z]]$ .

### 3.10

Let  $A, B$  be mutually local fields.

**Theorem 3.19.** For each  $n, k \in \mathbb{Z}$  we have

$$(A_k B)_n = \sum_{l \in \mathbb{N}} (-1)^l \binom{k}{l} A_{k-l} B_{n+l} - \sum_{l \in \mathbb{N}} (-1)^{k+l} \binom{k}{l} B_{k+n-l} A_l \quad (3.25)$$

Note that by the lower truncation property (3.6), the RHS of (3.25) is a finite sum when acting on each  $v \in \mathbb{V}$ .

*Proof.* Fix  $w \in \mathbb{C}^\times$ . Then the function  $f(z) = (z-w)^k \langle A(z)B(w)u|v \rangle$  is holomorphic on  $z \in \mathbb{C}^\times \setminus \{w\}$ . Let  $\Gamma_-, \Gamma_+$  be circles around 0 with radii  $< |w|$  and  $> |w|$  respectively. Let  $\Gamma(w)$  be a circle around  $w$  and between  $\Gamma_-$  and  $\Gamma_+$ . Then Cauchy's theorem implies that  $\langle (A_k B)(w)u|v \rangle = \int_{\Gamma(w)} f(z) dz / 2i\pi$  equals  $\int_{\Gamma_+ - \Gamma_-} f(z) dz / 2i\pi$ .

We compute that  $\int_{\Gamma_+} f(z) \frac{dz}{2i\pi}$  equals

$$\int_{\Gamma_+} (z-w)^k \langle A(z)B(w)u|v \rangle \frac{dz}{2i\pi} = \int_{\Gamma_+} \sum_{\nu \in \mathbb{N}} (z-w)^k \langle A(z)P_\nu B(w)u|v \rangle \frac{dz}{2i\pi}$$

By Thm. 3.11, the series in the integral converges uniformly on  $z \in \Gamma_+$ . Thus  $\int_{\Gamma_+}$  and  $\sum_\nu$  can be exchanged. Therefore

$$\begin{aligned} \int_{\Gamma_+} f(z) \frac{dz}{2i\pi} &= \sum_{\nu \in \mathbb{N}} \int_{\Gamma_+} (z-w)^k \langle A(z)P_\nu B(w)u|v \rangle \frac{dz}{2i\pi} \\ &= \sum_{\nu \in \mathbb{N}} \int_{\Gamma_+} \sum_{l \in \mathbb{N}} \binom{k}{l} z^{k-l} (-w)^l \langle A(z)P_\nu B(w)u|v \rangle \frac{dz}{2i\pi} \\ &= \sum_{\nu \in \mathbb{N}} \sum_{l \in \mathbb{N}} \binom{k}{l} (-w)^l \langle A_{k-l} P_\nu B(w)u|v \rangle = \sum_{l \in \mathbb{N}} \binom{k}{l} (-w)^l \langle A_{k-l} B(w)u|v \rangle \end{aligned}$$

Similarly, since  $(z-w)^k = \sum_{l \in \mathbb{N}} \binom{k}{l} z^l (-w)^{k-l}$  when  $z$  is on  $\Gamma_-$ , we have

$$\int_{\Gamma_-} f(z) \frac{dz}{2i\pi} = \sum_{\nu \in \mathbb{N}} \int_{\Gamma_-} (z-w)^k \langle B(w)P_\nu A(z)u|v \rangle \frac{dz}{2i\pi} = \sum_{l \in \mathbb{N}} \binom{k}{l} (-w)^{k-l} \langle B(w)A_l u|v \rangle$$

To summarize, we have

$$\langle (A_k B)(w)u|v \rangle = \sum_{l \in \mathbb{N}} \binom{k}{l} (-w)^l \langle A_{k-l} B(w)u|v \rangle - \sum_{l \in \mathbb{N}} \binom{k}{l} (-w)^{k-l} \langle B(w)A_l u|v \rangle$$

Applying  $\text{Res}_{w=0} w^n (\cdots) dw$  to both sides, we get (3.25).  $\square$

**Corollary 3.20.** *Let  $k \in \mathbb{Z}$ . Then for each  $n \in \mathbb{Z}$ , the linear map  $(A_k B)_n : \mathbb{V} \rightarrow \mathbb{V}^{\text{ac}}$  has range in  $\mathbb{V}$ . Moreover,  $A_k B$  is a homogeneous field with weight*

$$\text{wt}(A_k B) = \text{wt}(A) + \text{wt}(B) - k - 1 \quad (3.26)$$

*Proof.* Eq. (3.25) shows that  $(A_k B)_n$  sends each  $\mathbb{V}(d)$  to  $\mathbb{V}(d')$  where

$$\begin{aligned} d' &= d + (\text{wt}(A) - k + l - 1) + (\text{wt}(B) - n - l - 1) \\ &= d + (\text{wt}(B) - k - n + l - 1) + (\text{wt}(A) - l - 1) \end{aligned}$$

which equals  $d + \text{wt}(A_k B) - n - 1$  if we let  $\text{wt}(A_k B)$  be the RHS of (3.26).  $\square$

### 3.11

With the help of  $A_k B$ , we obtain several equivalent descriptions of local fields:

**Theorem 3.21.** *Let  $A, B$  be homogeneous fields and  $N \in \mathbb{N}$ . Then the following are equivalent.*

- (1)  $A, B$  are mutually local with pole of order  $N$ .
- (2) For each  $u, v \in \mathbb{V}$ , the series

$$\sum_{n \in \mathbb{N}} \langle A(z) P_n B(w) u | v \rangle \quad \text{and} \quad \sum_{n \in \mathbb{N}} \langle B(w) P_n A(z) u | v \rangle \quad (3.27)$$

converge a.l.u. on

$$\{(z, w) \in \mathbb{C}^2 : 0 < |w| < |z|\} \quad \text{and} \quad \{(z, w) \in \mathbb{C}^2 : 0 < |z| < |w|\} \quad (3.28)$$

respectively, and can be extended to a common function  $f_{u,v} \in \mathcal{O}(\text{Conf}^2(\mathbb{C}^\times))$  such that  $(z - w)^N f_{u,v}$  is holomorphic on  $(\mathbb{C}^\times)^2$ .

- (3) For each  $j = 0, 1, \dots, N - 1$  there exists a sequence  $(C_n^j)_{n \in \mathbb{Z}}$  in  $\text{End}(\mathbb{V})$  such that for all  $m, k \in \mathbb{Z}$  we have

$$[A_m, B_k] = \sum_{l=0}^{N-1} \binom{m}{l} C_{m+k-l}^l \quad (3.29)$$

Moreover, if one of (1) and (2) is true, then  $A_j B = 0$  for all  $j \geq N$ , and (3) holds if for each  $0 \leq j \leq N$  we define  $C^j(z) \equiv \sum_{n \in \mathbb{Z}} C_n^j z^{-n-1}$  to be

$$C^j(z) = (A_j B)(z)$$

*Proof.* (1) $\Rightarrow$ (2) follows directly from Thm. 3.11.

(2) $\Rightarrow$ (3): Note that  $A_j B$  can be defined and satisfies Cor. 3.20 whenever (2) holds. Assume (2), and set  $C^j(z) = (A_j B)(z)$ . By Def. 3.18, if  $j \geq N$  then

$$\langle (A_j B)(w) u | v \rangle = \text{Res}_{z=w} (z - w)^j f_{u,v}(z, w) dz = 0$$

because  $z \mapsto (z - w)^j f_{u,v}(z, w)$  is holomorphic on a neighborhood at  $w$ . So  $C^j = 0$  for all  $j \geq N$ .

Fix  $w \in \mathbb{C}^\times$  and  $g(z) = z^m \langle A(z) B(w) u | v \rangle$ . Let  $\Gamma_\pm, \Gamma(w)$  be as in the proof of Thm. 3.19. Then  $\int_{\Gamma(w)} g(z) \frac{dz}{2i\pi} = \int_{\Gamma_+ - \Gamma_-} g(z) \frac{dz}{2i\pi}$ . Similar to the proof of Thm. 3.19, one computes that

$$\int_{\Gamma_+} g(z) \frac{dz}{2i\pi} = \langle A_m B(w) u | v \rangle \quad \int_{\Gamma_-} g(z) \frac{dz}{2i\pi} = \langle B(w) A_m u | v \rangle$$

$$\int_{\Gamma(w)} g(z) \frac{dz}{2i\pi} = \sum_{l \in \mathbb{N}} \binom{m}{l} w^{m-l} \langle (A_l B)(w) u | v \rangle$$

since  $z^m = \sum_{l \in \mathbb{N}} \binom{m}{l} (z - w)^l w^{m-l}$  when  $z \in \Gamma(w)$ . Thus, for all  $w \in \mathbb{C}^\times$  we have

$$\langle [A_m, B(w)] u | v \rangle = \sum_{l=0}^{N-1} \binom{m}{l} w^{m-l} \langle C^l(w) u | v \rangle$$

Applying  $\text{Res}_{w=0} w^k (\cdots) dw$  to both sides, we get (3.29).

(3) $\Rightarrow$ (1): This is calculated by brutal force. Assume (3). Using  $(z - w)^N = \sum_{j=0}^N \binom{N}{j} z^j w^{N-j}$ , one computes that the coefficient before  $z^{-m-1} w^{-n-1}$  of  $(z - w)^N [A(z), B(w)]$  is

$$\text{Res}_{z=0} \text{Res}_{w=0} z^m w^n (z - w)^N [A(z), B(w)] dz dw = \sum_{l=0}^{N-1} \lambda_l C_{m+n+N-l}^l$$

where  $\lambda_l = \sum_{j=0}^N \binom{N}{j} (-1)^{N-j} \binom{m+j}{l}$  is a number depending on  $N$  and  $m \in \mathbb{Z}$ . One shows that  $p(z) := (1+z)^m z^N$  equals  $\sum_{l \in \mathbb{N}} \lambda_l z^l$  by first writing  $p(z)$  as a polynomial of  $(1+z)$ , and then expanding each power of  $1+z$ . So  $\lambda_l = 0$  for  $l < N$ . This proves (1). See [Gui-V, Subset. 7.8] for details.  $\square$

### 3.12

Thm. 3.21 gives us useful methods of proving locality. In this subsection, we give applications of Thm. 3.21-(2). In the next subsection, we discuss applications of Thm. 3.21-(3).

The following theorem is called **Dong's lemma** or **Dong-Li's lemma**

**Theorem 3.22.** *Let  $A, B, C$  be mutually local fields. Then for each  $k \in \mathbb{Z}$ ,  $A_k B$  is local to  $C$ .*

*Proof.* Choose any  $u, v \in \mathbb{V}$ . Define  $g \in \mathcal{O}(\text{Conf}^2(\mathbb{C}^\times))$  by

$$g(z_2, z_3) = \text{Res}_{z_1=z_2} (z_1 - z_2)^n \langle A(z_1) B(z_2) C(z_3) u | v \rangle$$

Using Def. 3.18 and Thm. 3.16, one shows that

$$\sum_{n \in \mathbb{N}} \langle (A_k B)(z_2) P_n C(z_3) u | v \rangle \quad \text{resp.} \quad \sum_{n \in \mathbb{N}} \langle C(z_3) P_n (A_k B)(z_2) u | v \rangle$$

converges a.l.u. on

$$\{(z_2, z_3) \in \mathbb{C}^2 : 0 < |z_3| < |z_2|\} \quad \text{resp.} \quad \{(z_2, z_3) \in \mathbb{C}^2 : 0 < |z_2| < |z_3|\}$$

to  $g(z_2, z_3)$ . Moreover, since  $\langle A(z_1) B(z_2) C(z_3) u | v \rangle$  is a rational function of  $z_1, z_2, z_3$ , one checks easily that  $g$  has finite poles at  $z_2 - z_3 = 0$ . Thus  $A_k B$  is local to  $C$  by Thm. 3.21-(2). See [Gui-V, Subsec. 8.7] for details.  $\square$

**Corollary 3.23.** Let  $A, B$  be mutually local fields. Define  $\partial A \equiv A'$  to be  $\partial_z A(z) = \sum_{n \in \mathbb{Z}} (-n-1) A_n z^{-n-2}$ , equivalently,

$$(\partial A)_n = -n A_{n-1} \quad (3.30)$$

Then  $\partial A$  is homogeneous of weight

$$\text{wt}(\partial A) = \text{wt}(A) + 1 \quad (3.31)$$

Moreover,  $\partial A$  is local to  $B$ .

*Proof.* Eq. (3.31) is clear from (3.30). Using (3.25) and (3.30), one checks that

$$\partial A = (A_{-2} \mathbf{1}) \quad (3.32)$$

So the corollary follows from Thm. 3.22.  $\square$

Note that  $A^\theta$  is not necessarily local to  $B$  even if  $A$  is local to  $B$ .

### 3.13

**Example 3.24.** Let  $c \geq 0$ . A field  $T(z) = \sum_n L_n z^{-n-2}$  of weight 2 is called a **unitary Virasoro field** (or stress-energy field) of **central charge**  $c$  if  $L_0$  coincides with the one in Subsec. 3.1, and

$$[L_m, L_n] = (m-n)L_{m+n} + \frac{c}{12}(m^3 - m)\delta_{m+n,0} \quad L_n^\dagger = L_{-n} \quad (3.33)$$

for all  $m, n \in \mathbb{Z}$ . Note that  $L_n^\dagger = L_{-n}$  means  $\langle L_n u | v \rangle = \langle u | L_{-n} v \rangle$ . Thus  $T_n = L_{n-1}$ . The **Virasoro relation** (3.33) shows that  $T(z)$  is self-local.

*Proof of self-locality.* Eq. (3.33) is equivalent to

$$[T_m, T_n] = (m-n)T_{m+n-1} + \frac{c}{2} \binom{m}{3} \delta_{m+n-2,0}$$

So  $[T_m, T_n] = \sum_{l=0}^3 \binom{m}{l} C_{m+n-l}^l$  if we set

$$C_k^0 = -kT_{k-1} \quad C_k^1 = 2T_k \quad C_k^2 = 0 \quad C_k^3 = \frac{c}{2} \delta_{k+1,0}$$

In other words,

$$C^0(z) = \partial_z T(z) \quad C^1(z) = 2T(z) \quad C^2(z) = 0 \quad C^3(z) = \frac{c}{2}$$

Thus, by Thm. 3.21-(3),  $T(z)$  is self-local.  $\square$

### 3.14

**Definition 3.25.** We say that  $(\mathcal{V}, \mathbb{V})$  is a **(quasi-primary unitary) chiral algebra** if  $\mathbb{V}$  is as in Subsec. 3.1, and  $\mathcal{V}$  is a set of homogeneous fields satisfying the following conditions:

- (1) **Creation property:** There is a distinguished vector  $\Omega \in \mathbb{V}(0)$  such that  $A(z)\Omega \in \mathbb{V}[[z]]$  (i.e.,  $A_n\Omega = 0$  if  $n \geq 1$ ) for all  $A \in \mathcal{V}$ .
- (2) **Locality:** Any two fields of  $\mathcal{V}$  are mutually local. In particular, every field of  $\mathcal{V}$  is self-local.
- (3) **Cyclicity:** Vectors of the form  $A_{n_1}^1 \cdots A_{n_k}^k \Omega$  (where  $k \in \mathbb{N}$ ,  $A^1, \dots, A^k \in \mathcal{V}$ , and  $n_1, \dots, n_k \in \mathbb{Z}$ ) span  $\mathbb{V}$ .
- (4) **Möbius covariance:** The operator  $L_0$  can be extended to  $\{L_0, L_{\pm 1}\}$  satisfying for all  $A \in \mathcal{V}$  and  $m \in \{0, 1, -1\}$  that

$$[L_m, A(z)] = z^{m+1} \partial_z A(z) + \text{wt}(A) \cdot (m+1) z^m A(z) \quad (3.34a)$$

in  $\text{End}(\mathbb{V})[[z^{\pm 1}]]$ . Equivalently, for all  $n \in \mathbb{Z}$  we have

$$[L_m, A_n] = -(m+n+1)A_{m+n} + \text{wt}(A) \cdot (m+1)A_{m+n} \quad (3.34b)$$

Moreover, we have

$$L_n \Omega = 0 \quad \text{for all } n = 0, \pm 1 \quad (3.35)$$

- (5)  **$\theta$ -invariance:** If  $A \in \mathcal{V}$ , then  $A^\theta \in \mathcal{V}$ .

**Remark 3.26.** The adjective “quasi-primary” means that (3.34) holds for  $A \in \mathcal{V}$ . However, for  $A, B \in \mathcal{V}$  and  $k \in \mathbb{Z}$ , the fields  $\partial A$  and  $A_k B$  satisfy (3.34) only for  $m = -1, 0$ , but not necessarily for  $m = 1$ . In other words,  $\partial A$  and  $A_k B$  are not necessarily quasi-primary. Non quasi-primary fields satisfy a more complicated Möbius covariance formula.

**Definition 3.27.** A chiral algebra  $(\mathcal{V}, \mathbb{V})$  is called **conformal** if  $L_0, L_{\pm 1}$  can be extended to a sequence  $(L_n)_{n \in \mathbb{Z}}$  in  $\text{End}(\mathbb{V})$  such that the Virasoro relation (3.33) holds for some central charge  $c$ , and that  $T(z) = \sum_{n \in \mathbb{Z}} L_n z^{-n-2}$  satisfies  $\text{wt}(T) = 2$  and belongs to  $\mathcal{V}$ .

If  $(\mathcal{V}, \mathbb{V})$  is a conformal chiral algebra, we say that  $A \in \mathcal{V}$  is a **primary field** if  $A$  satisfies (3.34) for all  $m \in \mathbb{Z}$ .  $\square$

**Remark 3.28.** Note that when  $m = 0, \pm 1$ , the Virasoro relation specializes to  $[L_m, L_n] = (m-n)L_{m+n}$  (for all  $n \in \mathbb{Z}$ ). Thus  $T(z)$  automatically satisfies (3.34). However, if  $c \neq 0$  and  $m \neq 0, \pm 1$ , then (3.34) does not hold for  $T(z)$ . Thus  $T(z)$  is not primary.

**Remark 3.29.** When  $(\mathcal{V}, \mathbb{V})$  is a conformal chiral algebra, then (3.35) is redundant, since the creation property for  $T(z)$  implies that

$$L_n \Omega = 0 \quad \text{for all } n = -1, 0, 1, 2, 3, \dots$$

### 3.15

**Definition 3.30.** A **unitary Lie algebra** is defined to be a complex Lie algebra  $\mathfrak{g}$  together with an inner product (called **invariant inner product**) on  $\mathfrak{g}$  and an **involution**  $\dagger$  (i.e., an antilinear map  $\dagger : \mathfrak{g} \rightarrow \mathfrak{g}$  satisfying  $X^{\dagger\dagger} = X$  for all  $X \in \mathfrak{g}$ ) satisfying the following properties for all  $X, Y, Z \in \mathfrak{g}$ :

- (1)  $\langle [X, Y] | Z \rangle = \langle Y | [X^\dagger, Z] \rangle$ , i.e., the representation  $X \mapsto [X, -]$  is unitary.
- (2)  $[X, Y]^\dagger = [Y^\dagger, X^\dagger]$ .

If  $W$  is an inner product space, we say that  $\pi : \mathfrak{g} \rightarrow \text{End}(W)$  is a **unitary representation** if  $\pi([X, Y]) = [\pi(X), \pi(Y)]$  and  $\pi(X)^\dagger = \pi(X^\dagger)$  (i.e.  $\langle \pi(X)u | v \rangle = \pi(u | \pi(X^\dagger)v)$ ) for all  $X, Y \in \mathfrak{g}$ .

**Remark 3.31.** One can show that a finitely dimensional complex Lie algebra  $\mathfrak{g}$  is unitary iff it is isomorphic (but not necessarily unitarily isomorphic) to  $\mathfrak{z} \oplus \mathfrak{g}_1 \oplus \cdots \oplus \mathfrak{g}_n$  where  $\mathfrak{z}$  is abelian (i.e.  $\simeq \mathbb{C}^k$  for some  $k \in \mathbb{N}$ ) and  $\mathfrak{g}_1, \dots, \mathfrak{g}_n$  are complex simple Lie algebras. There are no canonical choices of the invariant inner products on  $\mathfrak{z}$ , since any two inner products are unitarily equivalent. However,  $\mathfrak{g}_i$  has a canonical choice of invariant inner product: the one under which the longest root has length  $\sqrt{2}$ . See [Was-10, Ch. II] for details.

**Example 3.32.** Let  $\mathfrak{g}$  be a finite-dimensional unitary Lie algebra. Let  $l \in \mathbb{R}_{>0}$ . Suppose that  $\mathcal{V}$  is a set of fields  $X(z) = \sum_{n \in \mathbb{Z}} X_n z^{-n-1}$  (where  $X \in \mathfrak{g}$ ) such that

$$[X_m, Y_n] = [X, Y]_{m+n} + l \cdot m \langle X | Y^* \rangle \delta_{m+n,0} \quad (X_n)^\dagger = (X^\dagger)_{-n} \quad (3.36)$$

for all  $X, Y \in \mathfrak{g}$  and  $m, n \in \mathbb{Z}$ . Using Thm. 3.21-(3), one checks that any two fields of  $\mathcal{V}$  are mutually local. We call  $X(z)$  a **current field**.

Now assume that the creation property and the cyclicity in Def. 3.25 holds for  $(\mathcal{V}, \mathbb{V})$ . Assume that  $\mathfrak{g}$  is abelian resp. simple. Let  $h^\vee$  be 0 resp. the dual Coxeter number of  $\mathfrak{g}$ . Define  $T(z) = \sum_{n \in \mathbb{Z}} L_n z^{-n-2}$  via the **Sugawara construction**

$$T(z) = \frac{1}{2(l + h^\vee)} \sum_i ((E_i^\dagger)_{-1} E_i)(z) \quad (3.37)$$

where  $(E_i)$  is an orthonormal basis of  $\mathfrak{g}$ . Set  $T^\theta = T$  and  $X^\theta = X$  (where  $X \in \mathfrak{g}$ ). Then  $\mathcal{V} \cup \{T(z)\}$  is a conformal chiral algebra, and all  $X(z)$  are primary with

$$\text{wt}(X) = 1$$

We call  $\mathcal{V}$  the **current algebra** of  $\mathfrak{g}$  with **level**  $l$ . See [Gui-V, Sec. 6] for details.

If  $\mathfrak{g}$  is abelian, all  $l > 0$  are possible. In fact, in this case,  $(\mathcal{V}, \mathbb{V})$  can be constructed from Bosonic Fock spaces. See [Gui-V, Subsec. 6.13]. If  $\mathfrak{g}$  is simple and the invariant inner product is the canonical one (i.e., the one under which the longest root has length  $\sqrt{2}$ ), one can show that all possible  $l > 0$  form  $\mathbb{Z}_+$ . See [Was-10, Ch. III] for details.  $\square$

# Index

- $H$ -bounds of order  $r$ , 60
- a.l.u.=absolute and locally uniform, 29
- Accumulation point, 74
- Algebraic completion, 25
- Antiunitary, 3
- Bisognano-Wichmann theorem, 14
- Central charge, 21, 37
- Chiral algebra, 38
- Chiral/antichiral fields, 22
- Configuration space, 2
- Conformal chiral algebra, 38
- Conformal weight, 23, 26
- Current algebra, 39
- Current field, 39
- Dong's lemma, 36
- Energy bounds and energy bounded, 42
- Energy-bounded chiral algebra, 68
- Haag duality, 14
- Interval of  $\mathbb{S}^1$ , 2
- Invariant core, 45
- Invariant inner product, 39
- Involution, 39
- Level of a current algebra, 39
- Linear energy bounds, 42
- Local fields, 28
- Locality, 5
- Lorentz boost, 14
- Lorentz boost  $\Lambda$ , 10
- Lorentz group  $SO^+(1, d)$ , 4
- Lower truncation property, 26
- Mobius group  $PSU(1, 1)$ , 21
- Mutually local fields, 28
- Parity transformation, 10
- PCT operator, 12
- Poincaré group  $P^+(1, d)$ , restricted, 4
- Primary field, 38
- Projective unitary representation, 19
- Rational CFT, 22
- Reeh-Schlieder theorem, 73
- Self-local fields, 28
- Smeared field, 6
- Smeared field (as linear operator on  $\mathcal{H}_{\mathbb{V}}^{\infty}$ ), 47
- Smooth vectors, 41
- Spacelike (separated), 4
- Time reversal, 10
- Unitary Lie algebra, 39
- Unitary virasoro field  $T(z)$ , 37
- Vacuum with respect to a field, 30
- Virasoro algebra  $Vir$ , 21
- Witt algebra  $Witt$ , 20
- 1, the vacuum field, 26
- $A^{\theta}(z)$ , the quasi-primary contragredient of  $A(z)$ , 27
- $A(f)$ , the smeared field of  $A(z)$ , 44
- $A(f)^{\dagger}$ , 47
- $A_k B$  where  $A, B$  are local fields, 33
- $A_{(n)}$ , 48
- antilinear, 3
- $Cf^+(\mathbb{R}_c^{1,1})$ , 19
- $Conf^n(X)$ , 2
- $Diff^+(\mathbb{S}^1)$ , 16
- $\widetilde{Diff^+(\mathbb{S}^1)}$ , 17
- $\mathcal{D}z_{\bullet}$ , 51
- $\exp_{\tau}^X$ , 68
- $\mathcal{H}_{\mathbb{V}}$ , the Hilbert space completion of  $\mathbb{V}$ , 25
- $\mathcal{H}_{\mathbb{V}}^r, \mathcal{H}_{\mathbb{V}}^{\infty}$ , 41
- $I_{\bullet} = I_1 \times \cdots \times I_N$ , 50
- $l_n = z^n \partial_z$ , 20
- $L_X$ , the Virasoro operator associated to the vector field  $X$ , 68
- $o_H(h^n)$ , 63
- $P_n : \mathbb{V}^{ac} \rightarrow \mathbb{V}(n)$ , 25



$P_{\leq n}$ , 25

$\mathrm{PSU}(1, 1)$  and  $\widetilde{\mathrm{PSU}}(1, 1)$ , 21

$\mathbb{R}_c^{1,1}$ , 16

$\mathfrak{su}(1, 1)$ , 21

$T^\dagger$ , the formal adjoint, 47

$\mathbb{V}^{\mathrm{ac}}$ , 25

$\mathrm{Vec}(\mathbb{S}^1)$ , 20

$\mathrm{Vec}_{\mathbb{C}}(\mathbb{S}^1)$ , 20

$\|\cdot\|_r$ , the  $r$ -th Sobolev inner product, 41

$\|\cdot\|_r$ , the  $r$ -th Sobolev norm, 41

$\int_{I_\bullet} F(z_\bullet) dz_\bullet$ , 50, 53

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