



# Greedy Strategy

---

Algorithm : Design & Analysis  
[14]

# In the last class...

---

- Undirected and Symmetric Digraph
  - UDF Search Skeleton
  - Biconnected Components
    - Articulation Points and Biconnectedness
    - Biconnected Component Algorithm
    - Analysis of the Algorithm
-

# Greedy Strategy

---

- Optimization Problem
  - MST Problem
    - Prim's Algorithm
    - Kruskal's Algorithm
  - Single-Source Shortest Path Problem
    - Dijkstra's Algorithm
  - Greedy Strategy
-

# Optimizing by Greedy

---

## ■ Coin Change Problem

- [candidates] A finite set of coins, of 1, 5, 10 and 25 units, with enough number for each value
- [constraints] Pay an exact amount by a selected set of coins
- [optimization] a smallest possible number of coins in the selected set

## ■ Solution by greedy strategy

- For each selection, choose the highest-valued coin as possible.
-

# Greedy Fails Sometimes

---

- If the available coins are of 1,5,12 units, and we have to pay 15 units totally, then the smallest set of coins is  $\{5,5,5\}$ , but not  $\{12,1,1,1\}$
  - However, the correctness of greedy strategy on the case of  $\{1,5,10,25\}$  is not straightly seen.
-

# Greedy Strategy

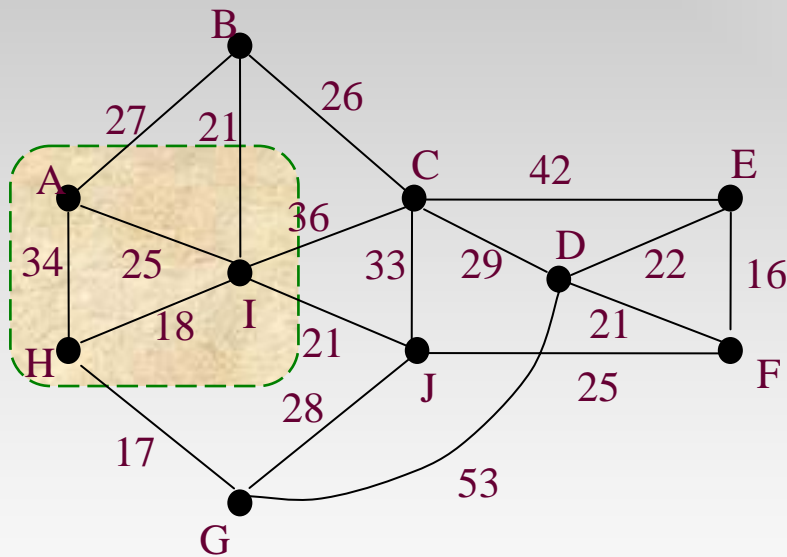
- Constructing the final solution by expanding the partial solution step by step, in each of which a selection is made from a set of candidates, with the choice made **must** be:

- [feasible] it has to satisfy the problem's constraints
- [locally optimal] it has to be the best local choice among all feasible choices on the step
- [irrevocable] the candidate selected can never be de-selected on subsequent steps

Key: trading off on  
“local optimization”  
and “feasibility”

```
set greedy(set candidate)
    set S = {}
    while (candidate != {}):
        x = select(S) and
            optimizing x
        candidate = candidate - {x};
        if feasible(x) then S = S ∪ {x};
    if solution(S) then return S
    else return (“no solution”)
```

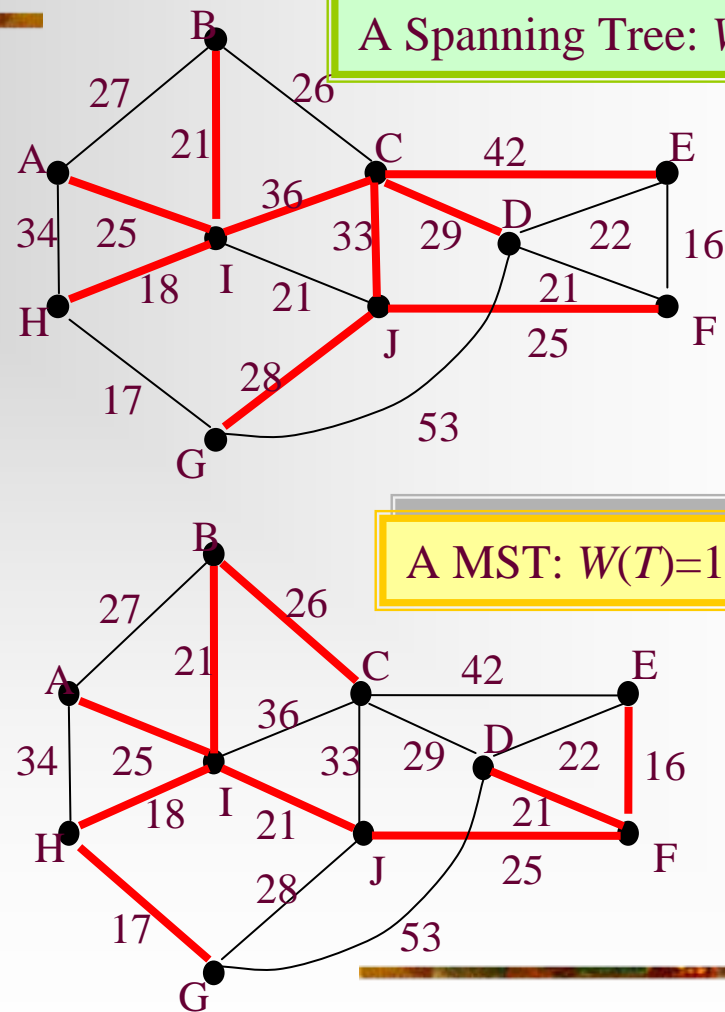
# Weighted Graph and MST



A weighted graph

The nearest neighbor of vertex **I** is **H**

The nearest neighbor of shaded subset of vertex is **G**

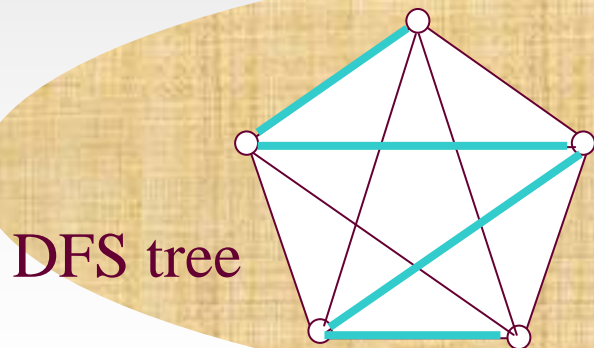
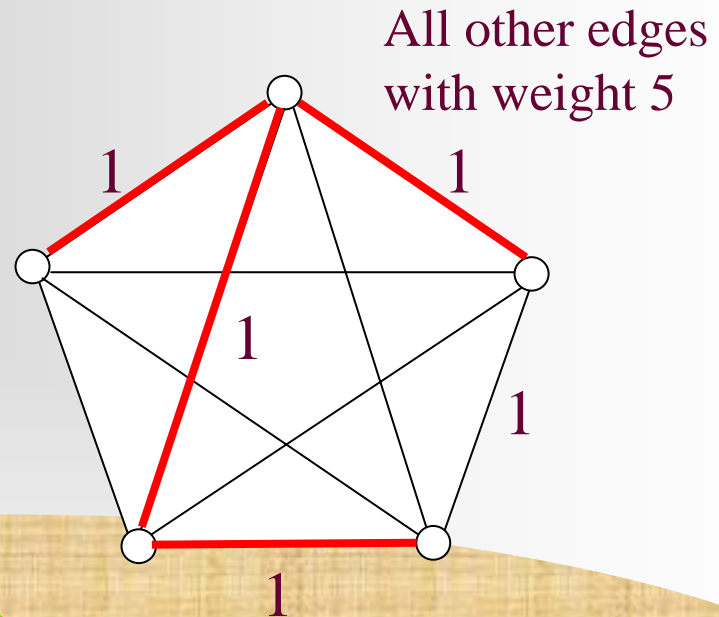


A Spanning Tree:  $W(T)=257$

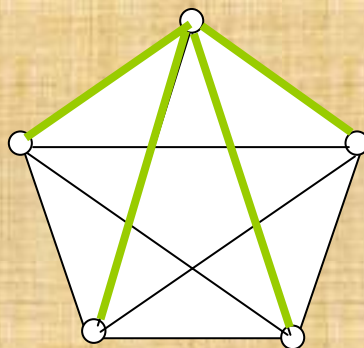
A MST:  $W(T)=190$

# Graph Traversal and MST

There are cases that graph traversal tree **cannot** be minimum spanning tree, with the vertices explored in any order.



DFS tree



BFS tree

*in any ordering of vertex*

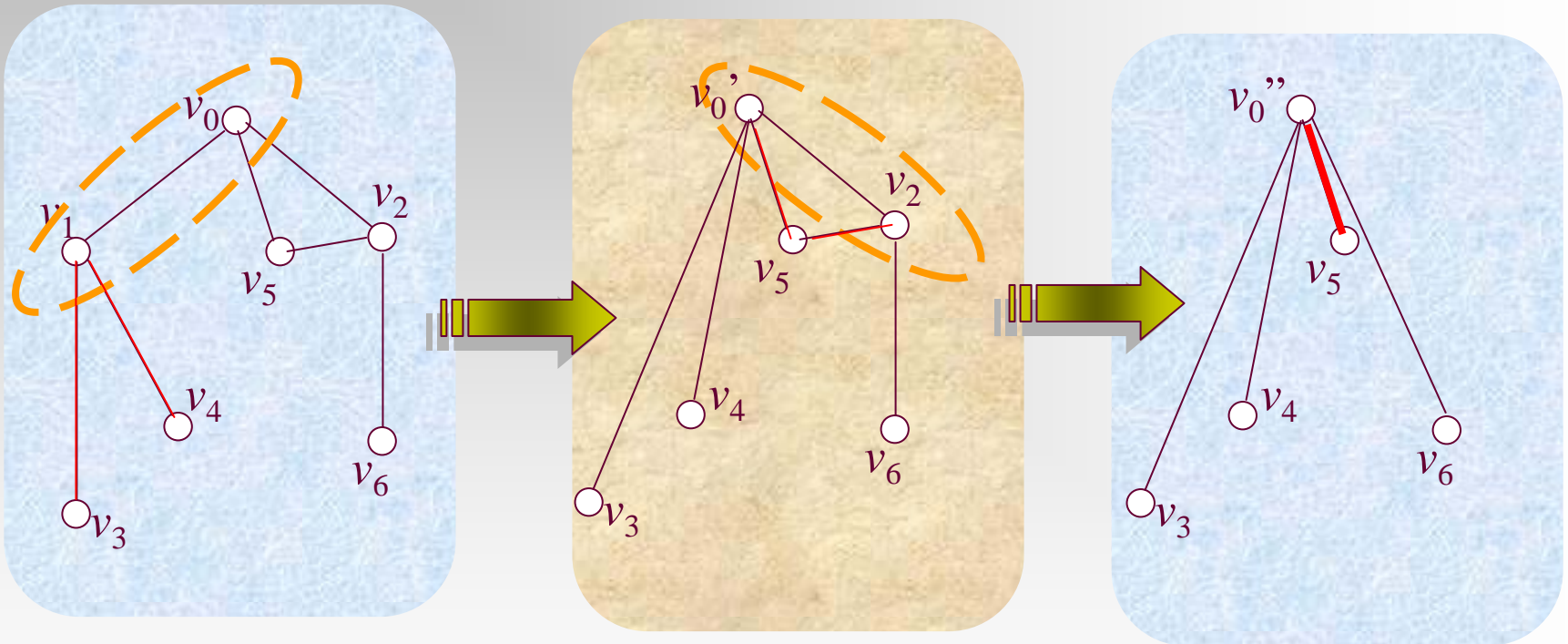


# Greedy Algorithms for MST

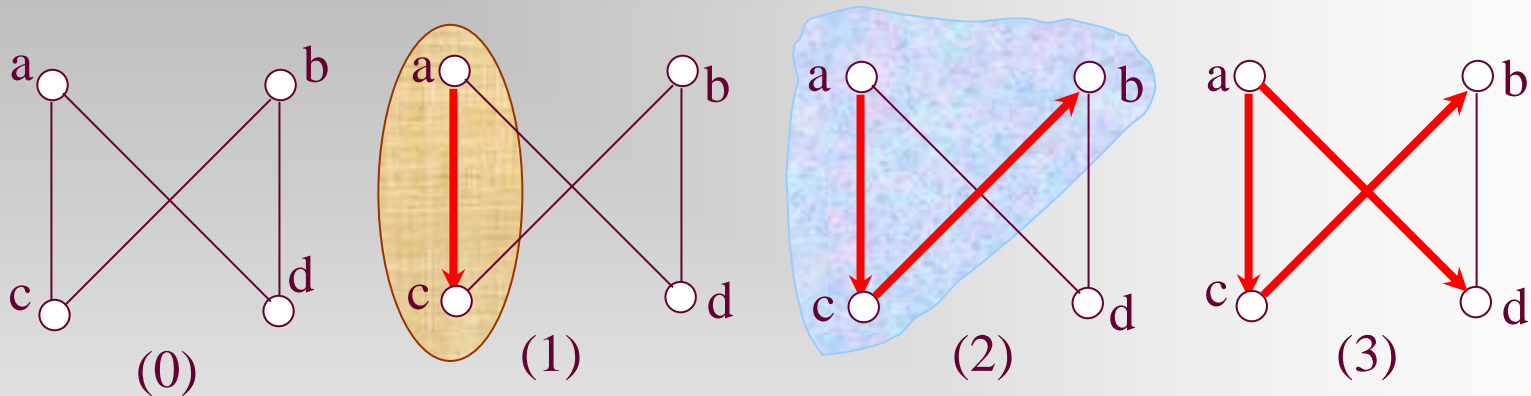
---

- Prim's algorithm:
    - Difficult selecting: “best local optimization means **no cycle and small weight under limitation.**
    - Easy checking: doing nothing
  - Kruskal's algorithm:
    - Easy selecting: smallest in primitive meaning
    - Difficult checking: **no cycle**
-

# Merging Two Vertices



# Constructing a Spanning Tree



0. Let a be the starting vertex, selecting edges one by one in original graph

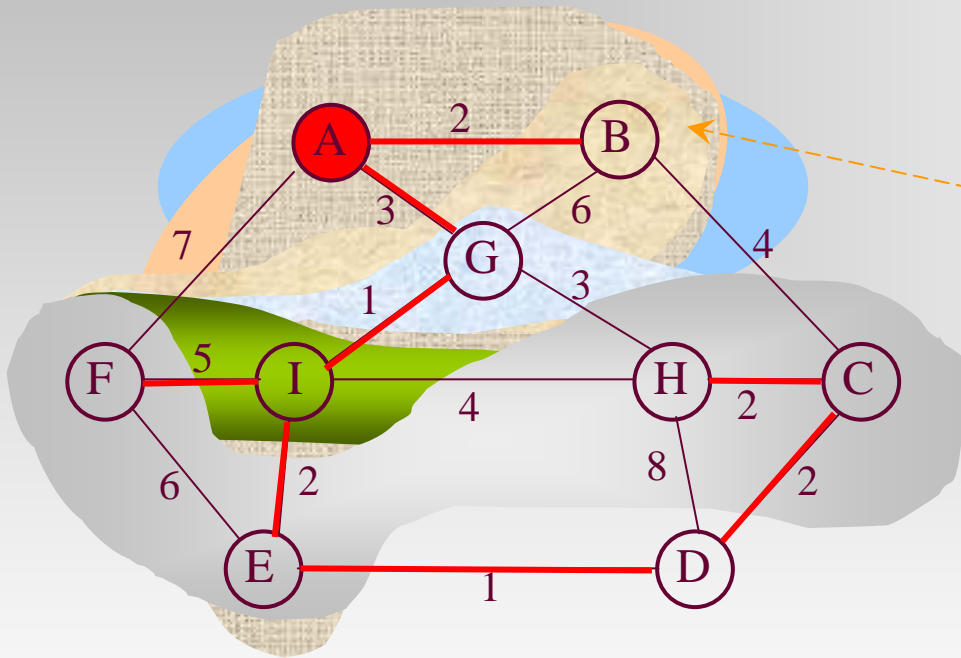
1. Merging a and c into  $a'(\{a,c\})$ , selecting (a,c)

2. Merging  $a'$  and b into  $a''(\{a,c,b\})$ , selecting (c,b)

3. Merging  $a''$  and d into  $a'''(\{a,c,b,d\})$ , selecting (a,d) or (d,b)

Ending, as only one vertex left

# Prim's Algorithm for MST

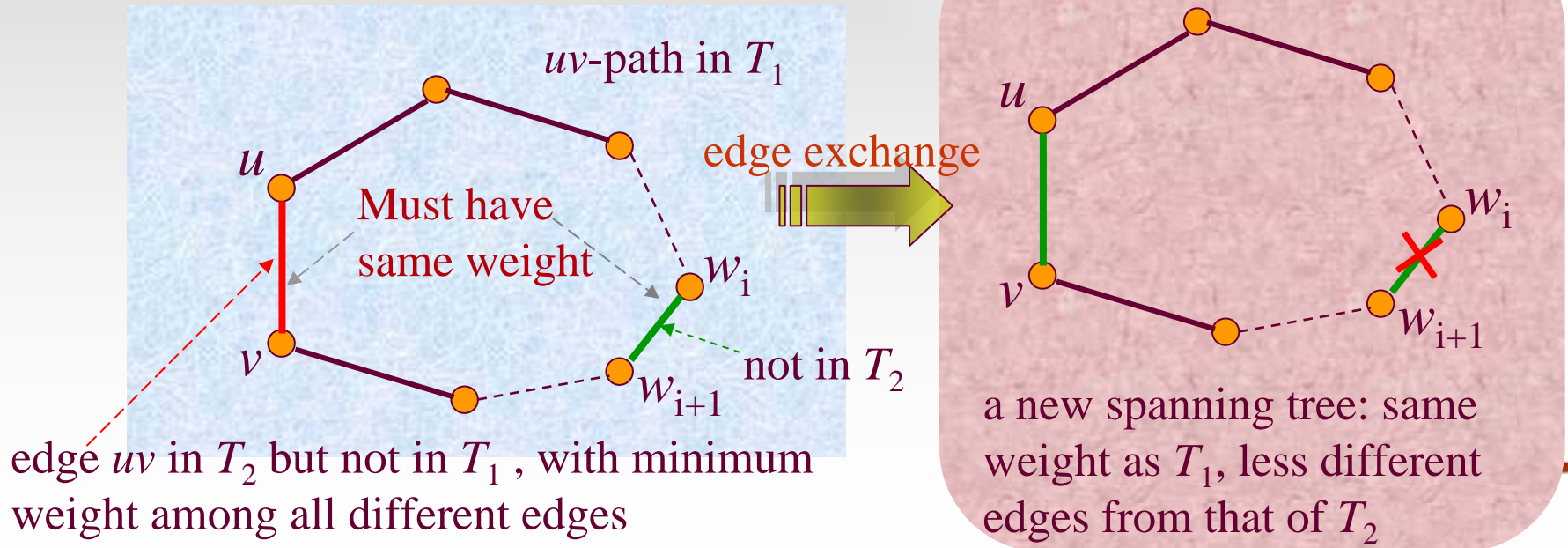


Greedy strategy:  
For each set of fringe  
vertex, select the edge  
with the minimal  
weight, that is, local  
optimal.

edges included in the MST

# Minimum Spanning Tree Property

- A spanning tree  $T$  of a connected, weighted graph has MST property if and only if for any non-tree edge  $uv$ ,  $T \cup \{uv\}$  contain a cycle in which  $uv$  is one of the maximum-weight edge.
- **All the spanning trees having MST property have the same weight.**



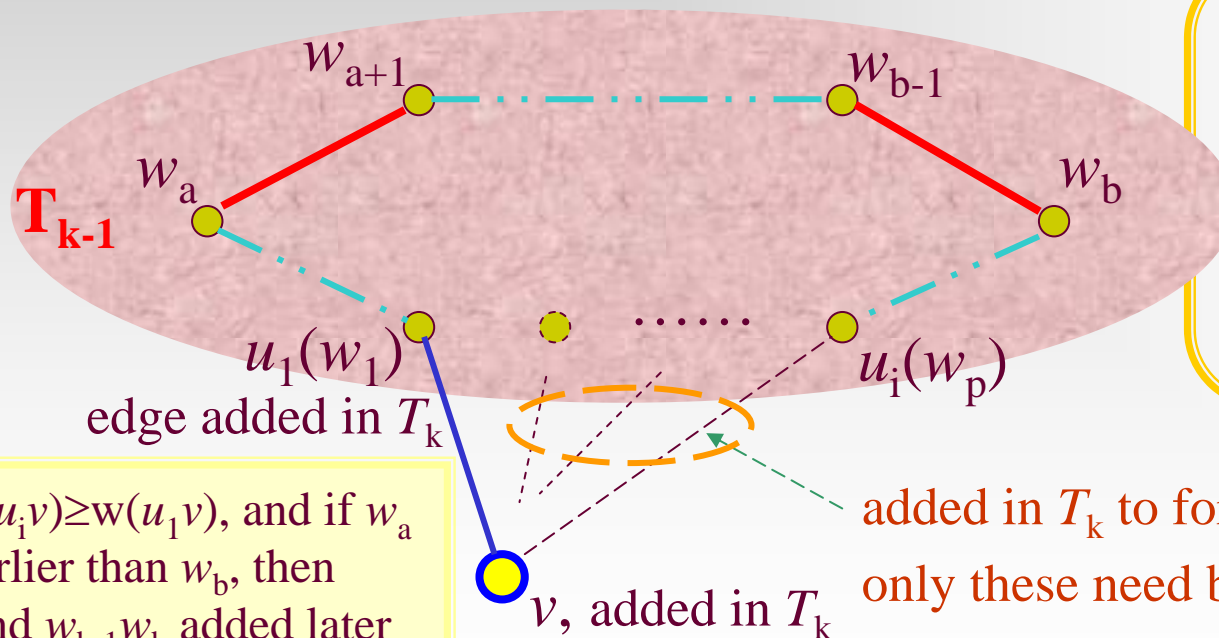
# MST Property and Minimum Spanning Tree

---

- In a connected, weighted graph  $G=(V,E,W)$ , a tree  $T$  is a minimum spanning tree if and only if  $T$  has the MST property.
  - Proof
    - $\Rightarrow$  For a minimum spanning tree  $T$ , if it doesn't have MST property. So, there is a non-tree edge  $uv$ , and  $T \cup \{uv\}$  contains an edge  $xy$  with weight larger than that of  $uv$ . Substituting  $uv$  for  $xy$  results in a spanning tree with less weight than  $T$ . Contradiction.
    - $\Leftarrow$  As claimed above, any minimum spanning tree has the MST property. Since  $T$  has MST property, it has the same weight as any minimum spanning tree, i.e.  $T$  is a minimum spanning tree as well.
-

# Correctness of Prim's Algorithm

- Let  $T_k$  be the tree constructed after the  $k$ th step of Prim's algorithm is executed, then  $T_k$  has the MST property in  $G_k$ , the subgraph of  $G$  induced by vertices of  $T_k$ .



assumed first and last edges with larger weight than  $w(u_i v)$ , resulting contradictions.

Note:  $w(u_i v) \geq w(u_1 v)$ , and if  $w_a$  added earlier than  $w_b$ , then  $w_a w_{a+1}$  and  $w_{b-1} w_b$  added later than any edges in  $u_1 w_a$ -path, and  $v$  as well

added in  $T_k$  to form a cycle, only these need be considered

# Key Issue in Implementation

---

- Maintaining the set of fringe vertices
    - Create the set and update it after each vertex is “selected” (*deleting* the vertex having been selected and *inserting* new fringe vertices)
    - Easy to decide the vertex with “highest priority”
    - Changing the priority of the vertices (*decreasing key*).
  - The choice: priority queue
-



# Implementing Prim's Algorithm

## Main Procedure

primMST( $G, n$ )

Initialize the priority queue  $pq$  as empty;

Select vertex  $s$  to start the tree;

Set its candidate edge to  $(-1, s, 0)$ ;

**insert**( $pq, s, 0$ );

**while** ( $pq$  is not empty)

$v = \text{getMin}(pq)$ ;  $\text{deleteMin}(pq)$ ;

add the candidate edge of  $v$  to the tree;

**updateFringe**( $pq, G, v$ );

**return**

$\text{getMin}(pq)$  always be the vertex with the smallest key in the fringe set.

ADT operation executions:

**insert**, **getMin**, **deleteMin**:  $n$  times

**decreaseKey**:  $m$  times

## Updating the Queue

**updateFringe**( $pq, G, v$ )

For all vertices  $w$  adjacent to  $v$  //  $2m$  loops

$\text{newWgt} = w(v, w)$ ;

**if**  $w$ .status is unseen **then**

Set its candidate edge to  $(v, w, \text{newWgt})$ ;

**insert**( $pq, w, \text{newWgt}$ )

**else**

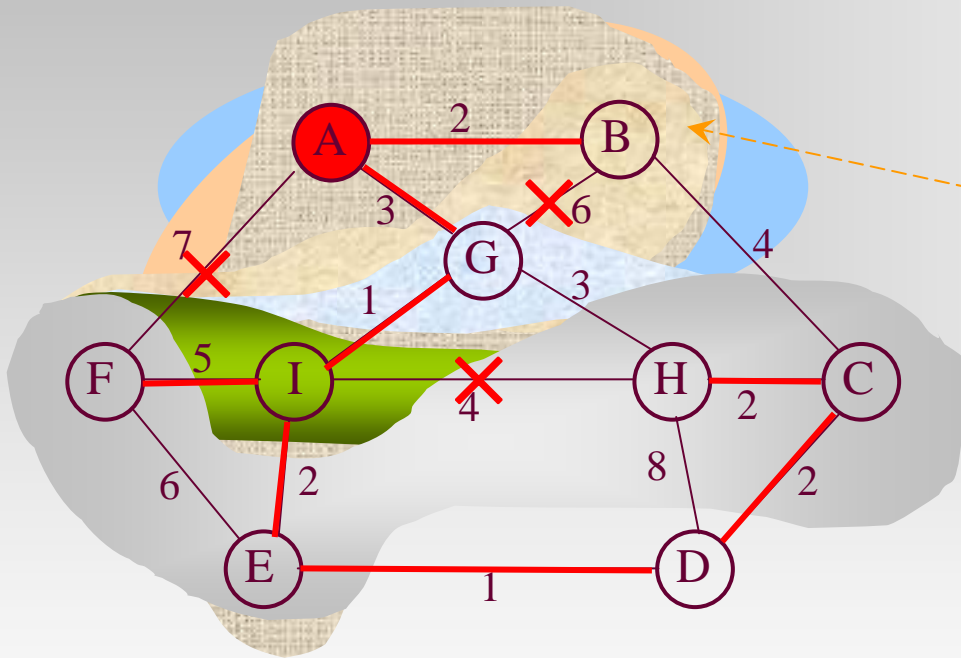
**if**  $\text{newWgt} < \text{getPriority}(pq, w)$

Revise its candidate edge to  $(v, w, \text{newWgt})$ ;

**decreaseKey**( $pq, w, \text{newWgt}$ )

**return**

# Prim's Algorithm for MST



Greedy strategy:  
For each set of fringe  
vertex, select the edge  
with the minimal  
weight, that is, local  
optimal.

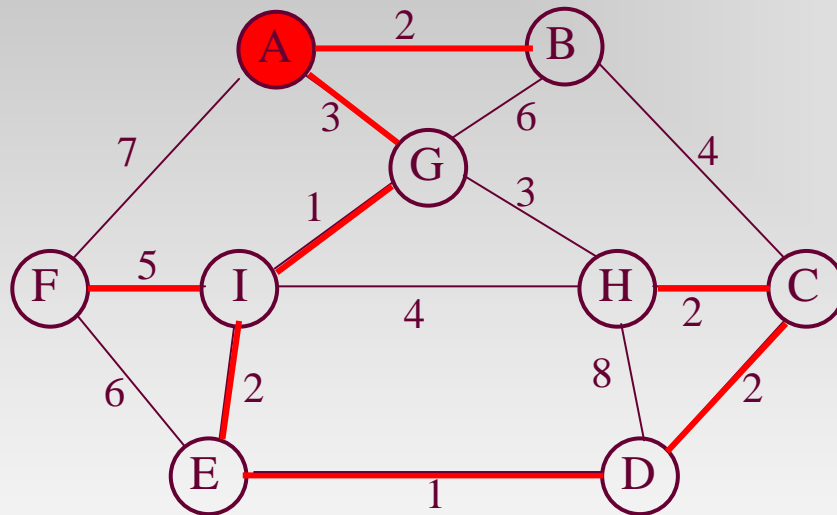
edges included in the MST

# Complexity of Prim's Algorithm

- Operations on ADT priority queue: (for a graph with  $n$  vertices and  $m$  edges)
  - insert:  $n$
  - getMin:  $n$
  - deleteMin:  $n$
  - decreaseKey:  $m$  (appears in  $2m$  loops, but execute at most  $m$ )
- So,

$$T(n,m) = O(nT(\text{getMin}) + nT(\text{deleteMin} + \text{insert}) + mT(\text{decreaseKey}))$$
- Implementing priority queue using heap, we can get  $\Theta(n^2 + m)$

# Kruskal's Algorithm for MST



edges included in the MST

Also Greedy strategy:  
From the set of edges  
not yet included in the  
partially built MST,  
select the edge with  
the minimal weight,  
that is, local optimal,  
in another sense.

# Key Issue in Implementation

---

- How to know an insertion of edge will result in a cycle *efficiently*?
  - For correctness: the two endpoints of the selected edge *can not* be in the same connected components.
  - For the efficiency: connected components are implemented as dynamic equivalence classes using union-find.
-

# Kruskal's Algorithm: the Procedure

- `kruskalMST(G,n,F) //outline`
- `int count;`
- Build a minimizing priority queue, pq, of edges of G, prioritized by weight.
- Initialize a Union-Find structure, sets, in which each vertex of G is in its own set.
- 
- `F =  $\phi$ ;`
- `while (isEmpty(pq) == false)`
- `vwEdge = getMin(pq);`
- `deleteMin(pq);`
- `int vSet = find(sets, vwEdge.from);`
- `int wSet = find(sets, vwEdge.to);`
- `if (vSet  $\neq$  wSet)`
- `Add vwEdge to F;`
- `union(sets, vSet, wSet)`
- `return`



Simply sorting, the cost will be  $\Theta(m \log m)$

# Prim vs. Kruskal

---

- Lower bound for MST
    - For a correct MST, each edge in the graph should be examined at least once.
    - So, the lower bound is  $\Omega(m)$
  - $\Theta(n^2+m)$  and  $\Theta(m\log m)$ , which is better?
    - Generally speaking, depends on the density of edge of the graph.
-

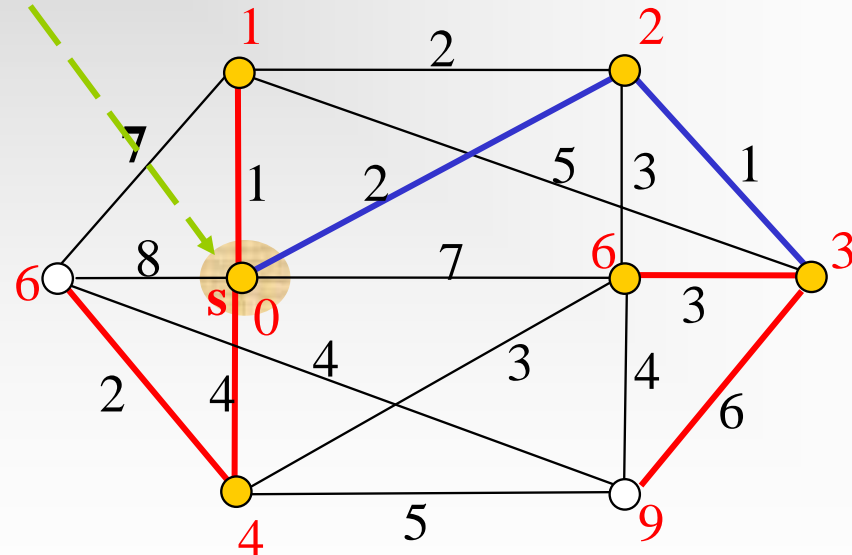
# Single Source Shortest Paths

The single source

Red labels on each vertex is the length of the shortest path from  $s$  to the vertex.

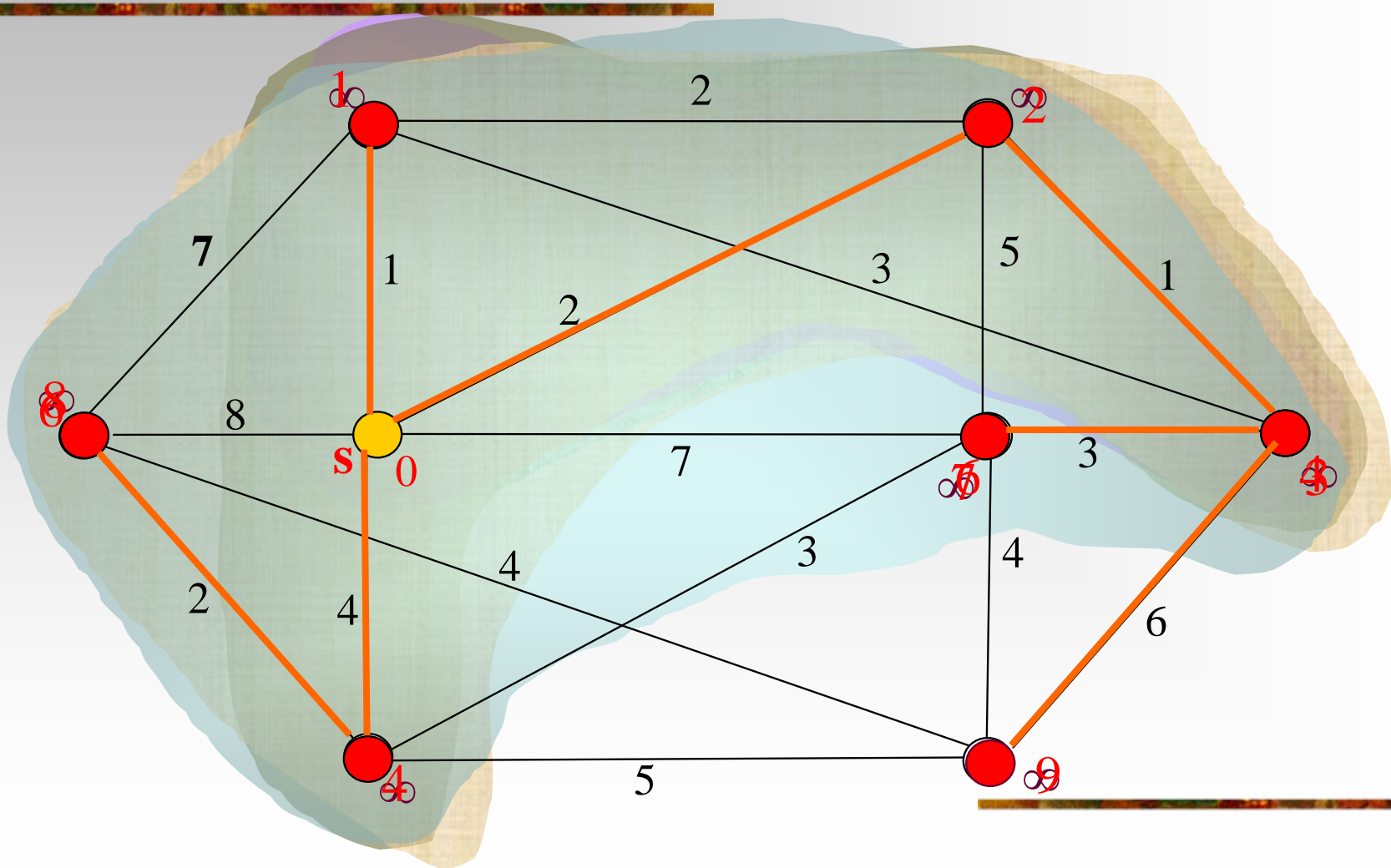
Note:

The shortest  $[0, 3]$ -path doesn't contain the shortest edge leaving  $s$ , the edge  $[0, 1]$





# Dijkstra's Algorithm: an Example



# Home Assignment

---

- pp.416-:
  - 8.7-8.9
  - 8.14-15
  - 8.25