



# Dynamic Programming - II

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Algorithm : Design & Analysis  
[17]

# In the last class...

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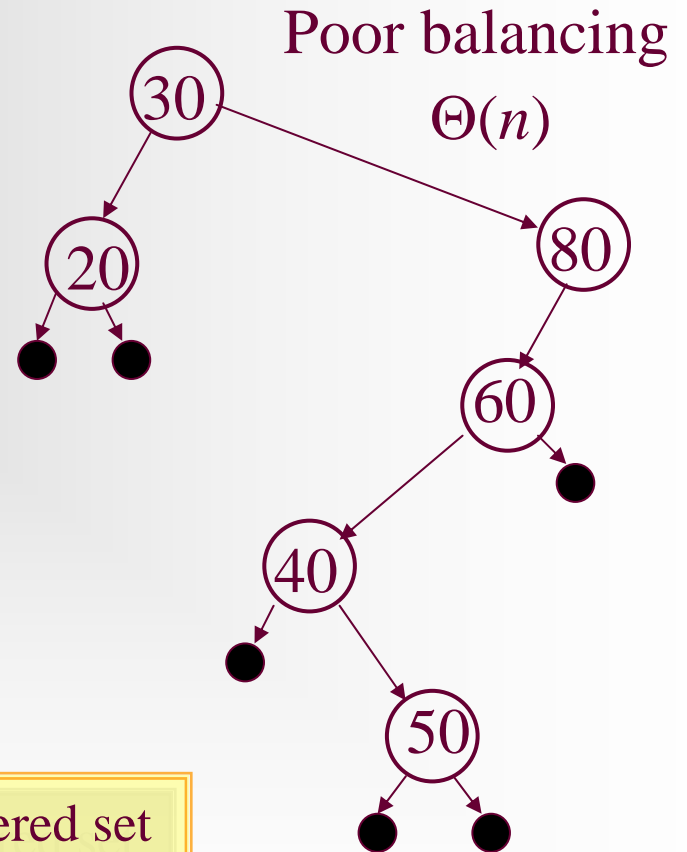
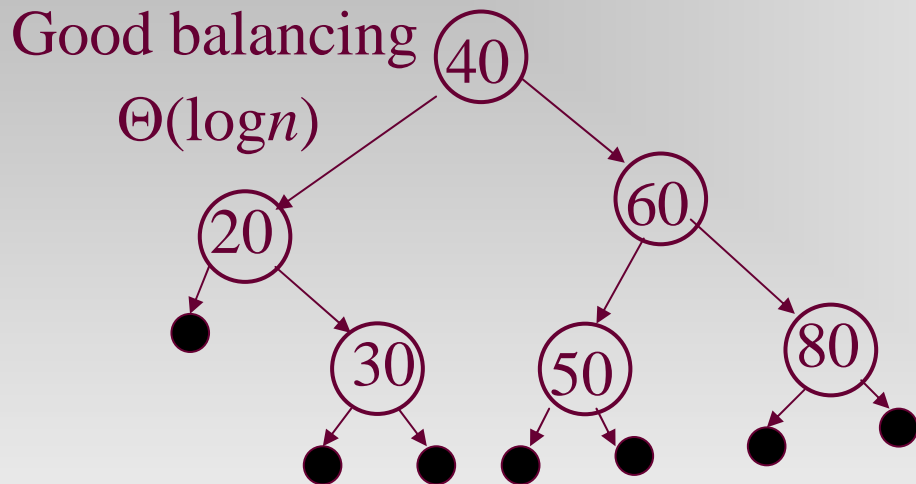
- Recursion and Subproblem Graph
  - Basic Idea of Dynamic Programming
  - Least Cost of Matrix Multiplication
  - Extracting Optimal Multiplication Order
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# Dynamic Programming - II

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- Optimal Binary Search Tree
  - Separating Sequence of Word
  - Changing Coins
  - Dynamic Programming Algorithms
-

# Binary Search Tree

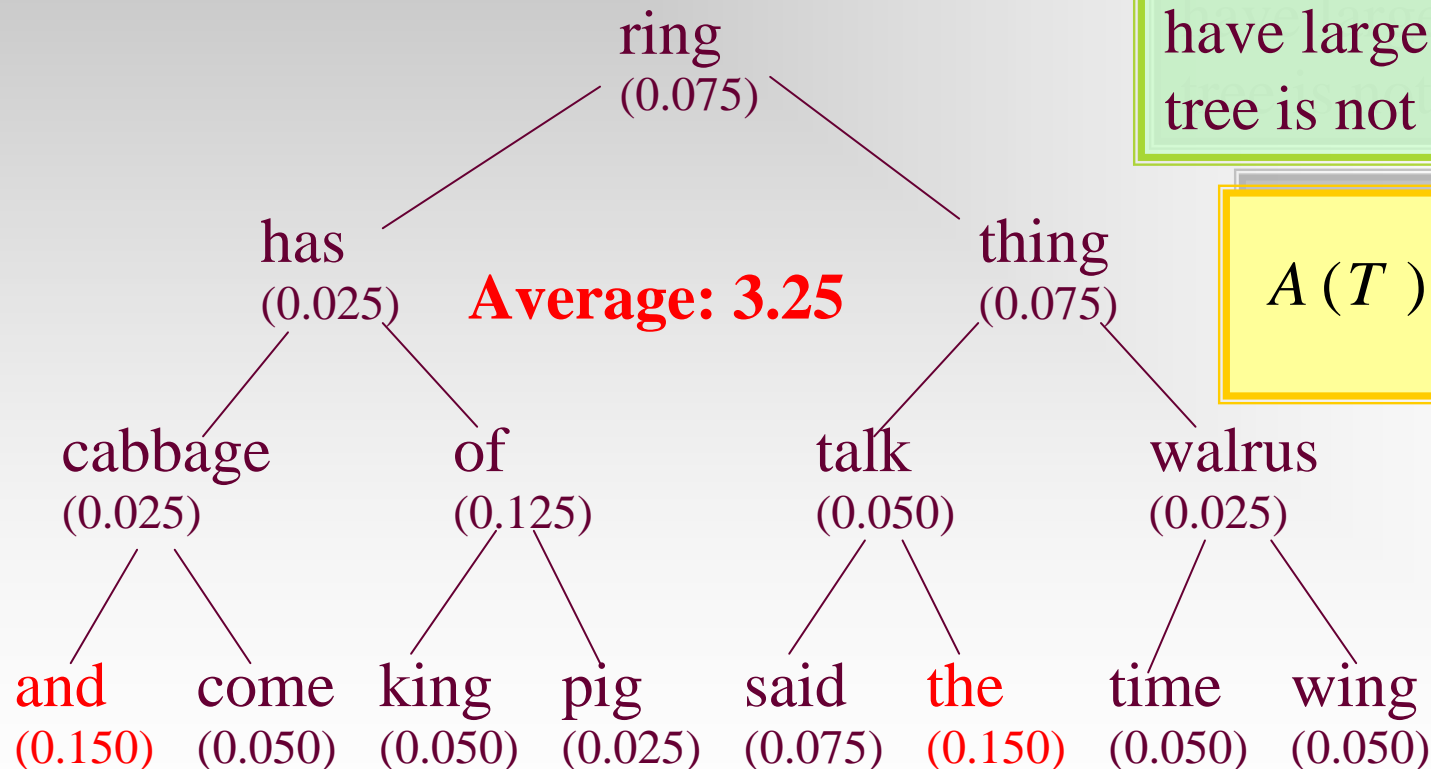


*In a properly drawn tree, pushing forward to get the ordered list.*

- Each node has a key, belonging to a linear ordered set
- An inorder traversal produces a sorted list of the keys

# Keys with Different Frequencies

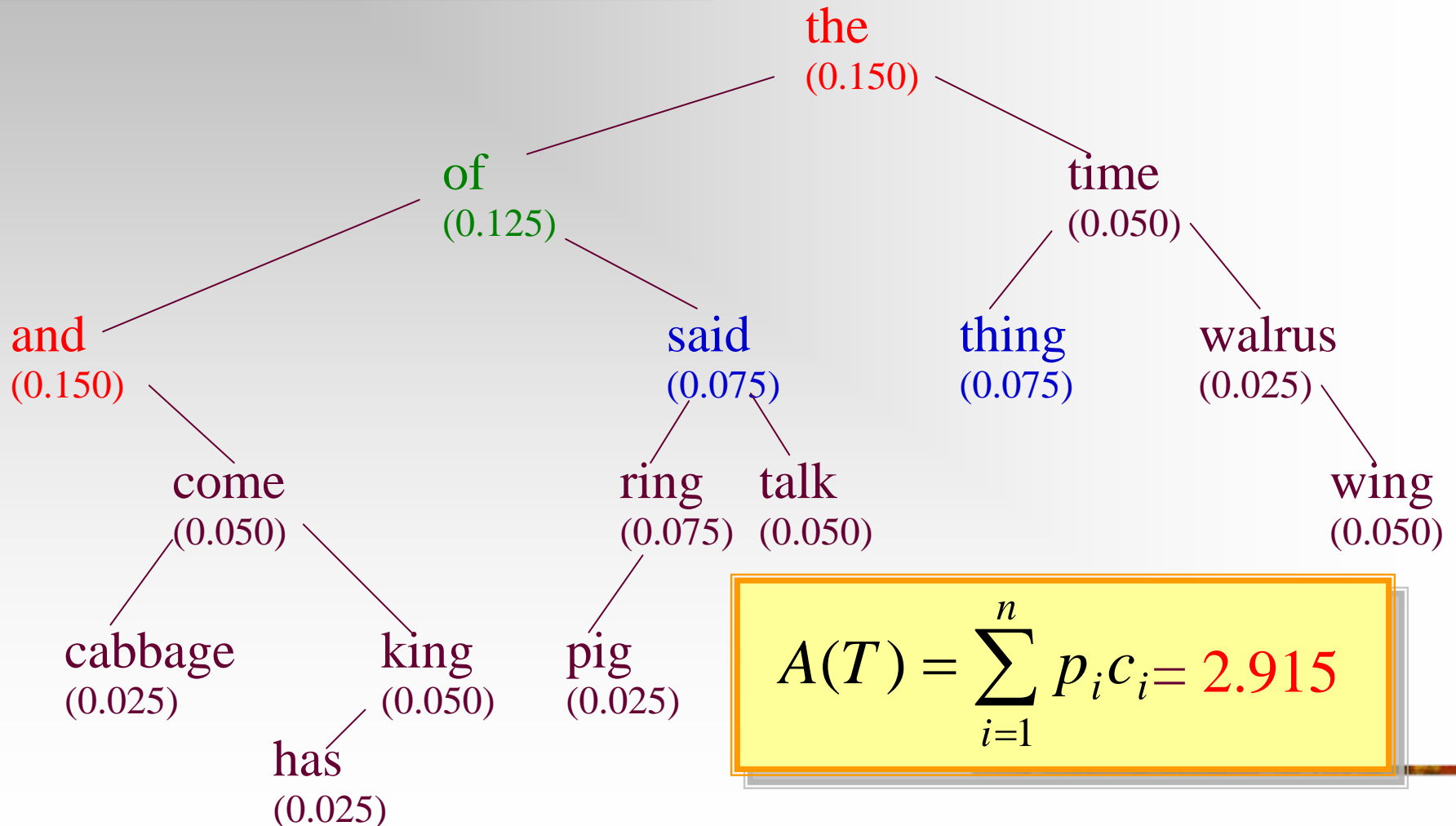
**A binary search tree perfectly balanced**



Since the keys with largest frequencies have largest depth, this tree is not optimal.

$$A(T) = \sum_{i=1}^n p_i c_i$$

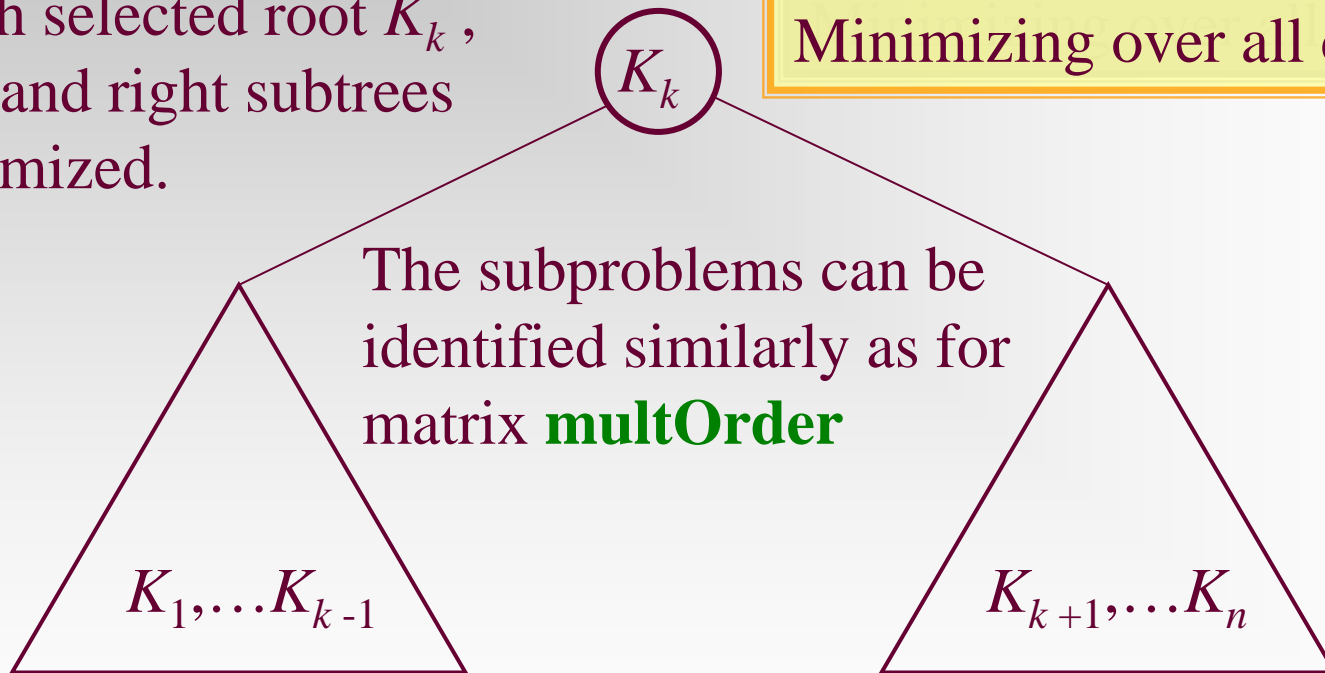
# Improved for a Better Average



# Plan of Optimal Binary Tree

For each selected root  $K_k$ ,  
the left and right subtrees  
are optimized.

The problem is decomposes  
by the choices of the root.  
Minimizing over all choices



Subproblems as left and right subtrees

# Problem Rephrased

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- Subproblem identification
    - The keys are in sorted order.
    - Each subproblem can be identified as a pair of index (low, high)
  - Expected solution of the subproblem
    - For each key  $K_i$ , a weight  $p_i$  is associated.  
Note:  $p_i$  is the probability that the key is searched for.
    - The subproblem (low, high) is to find the binary search tree with *minimum weighted retrieval cost*.
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# Minimum Weighted Retrieval Cost

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- $A(\text{low}, \text{high}, r)$  is the minimum weighted retrieval cost for subproblem  $(\text{low}, \text{high})$  when  $K_r$  is chosen as the root of its binary search tree.
- $A(\text{low}, \text{high})$  is the minimum weighted retrieval cost for subproblem  $(\text{low}, \text{high})$  over all choices of the root key.
- $p(\text{low}, \text{high})$ , equal to  $p_{\text{low}} + p_{\text{low}+1} + \dots + p_{\text{high}}$ , is the weight of the subproblem  $(\text{low}, \text{high})$ .

Note:  $p(\text{low}, \text{high})$  is the probability that the key searched for is in this interval .

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# Integrating Solutions of Subproblem

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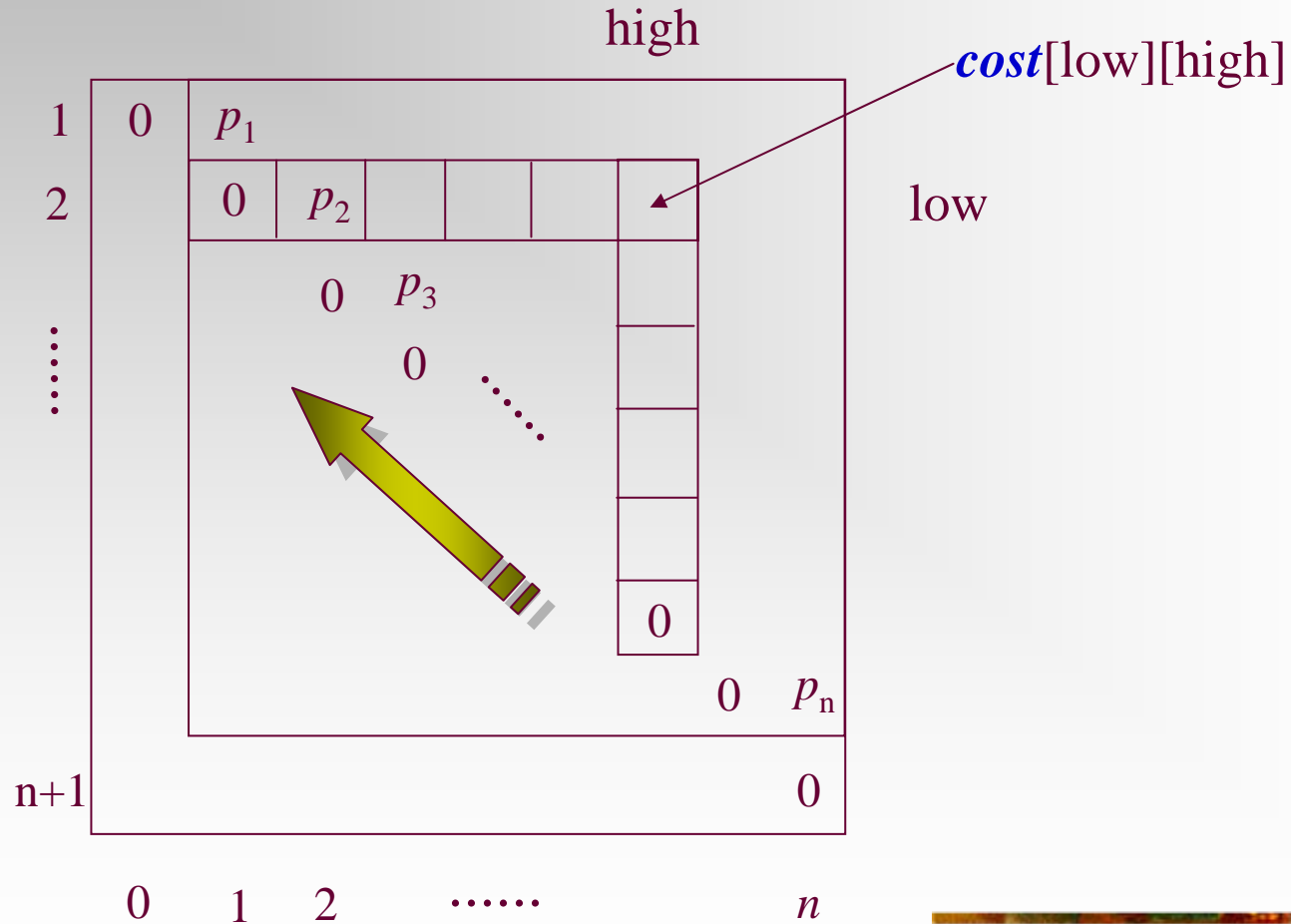
- Weighted retrieval cost of a subtree
    - Let  $T$  is a particular tree containing  $K_{\text{low}}, \dots, K_{\text{high}}$ , the weighted retrieval cost of  $T$  is  $W$ , with  $T$  being a whole tree. Then, as a subtree with the root at level 1, the weighted retrieval cost of  $T$  will be:  **$W+p(\text{low}, \text{high})$**
  - So, the recursive relations:
    - $A(\text{low}, \text{high}, r)$ 
$$= p_r + p(\text{low}, r-1) + A(\text{low}, r-1) + p(r+1, \text{high}) + A(r+1, \text{high})$$
$$= p(\text{low}, \text{high}) + A(\text{low}, r-1) + A(r+1, \text{high})$$
    - $A(\text{low}, \text{high}) = \min\{A(\text{low}, \text{high}, r) \mid \text{low} \leq r \leq \text{high}\}$
-

# Avoiding Repeated Work by Storing

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- Array *cost*:  $cost[low][high]$  gives the minimum weighted search cost of subproblem (low,high).
  - Array *root*:  $root[low][high]$  gives the best choice of root for subproblem (low,high)
  - The  $cost[low][high]$  depends upon subproblems with higher first index(row number) and lower second index(column number)
-

# Computation of the Array *cost*



# Optimal BST: DP Algorithm

```
bestChoice(prob, cost, root, low, high)
```

```
    if (high < low)
```

```
        bestCost = 0;
```

```
        bestRoot = -1;
```

```
    else
```

```
        bestCost = ∞;
```

```
    for (r = low; r ≤ high; r++)
```

```
        rCost = p(low, high) + cost[low][r-1] + cost[r+1][high];
```

```
        if (rCost < bestCost)
```

```
            bestCost = rCost;
```

```
            bestRoot = r;
```

```
        cost[low][high] = bestCost;
```

```
        root[low][high] = bestRoot;
```

```
    return
```

```
optimalBST(prob, n, cost, root)
```

```
    for (low = n+1; low ≥ 1; low--)
```

```
        for (high = low-1; high ≤ n; high++)
```

```
            bestChoice(prob, cost, root, low, high)
```

```
    return cost
```

in  $\Theta(n^3)$

# Separating Sequence of Words

- Word-length  $w_1, w_2, \dots, w_n$  and line-width:  $W$
- Basic constraint: if  $w_i, w_{i+1}, \dots, w_j$  are in one line, then  $w_i + w_{i+1} + \dots + w_j \leq W$
- Penalty for one line: some function of  $X$ .  $X$  is:
  - 0 for the last line in a paragraph, and
  - $W - (w_i + w_{i+1} + \dots + w_j)$  for other lines
- The problem
  - how to separate a sequence of words (forming a paragraph) into lines, making the penalty of the paragraph, which is the sum of the penalties of individual lines, minimized.

# Solution by Greedy Strategy

$i$	word	$w$
1	Those	6
2	who	4
3	cannot	7
4	remember	9
5	the	4
6	past	5
7	are	4
8	condemned	10
9	to	3
10	repeat	7
11	it.	4

## Solution by greedy strategy

words	(1,2,3)	(4,5)	(6,7)	(8,9)	(10,11)
$X$	0	4	8	4	0
penalty	0	64	512	64	0

Total penalty is **640**

## An improved solution

words	(1,2)	(3,4)	(5,6,7)	(8,9)	(10,11)
$X$	7	1	4	4	0
penalty	343	1	64	64	0

Total penalty is **472**

$W$  is 17, and penalty is  $X^3$

# Problem Decomposition

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- Representation of subproblem: a pair of indexes  $(i,j)$ , breaking words  $i$  through  $j$  into lines with minimum penalty.
  - Two kinds of subproblem
    - $(k, n)$ : the penalty of the last line is 0
    - all other subproblems
  - For some  $k$ , the combination of the optimal solution for  $(1,k)$  and  $(k+1,n)$  gives a optimal solution for  $(1,n)$ .
  - Subproblem graph
    - About  $n^2$  vertices
    - Each vertex  $(i,j)$  has a edge to about  $j-i$  other vertices, so, the number of edges is in  $\Theta(n^3)$
-



# Simpler Identification of subproblem

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- If a subproblem concludes the paragraph, then  $(k,n)$  can be simplified as  $(k)$ . There are about  $k$  subproblems like this.
  - Can we eliminate the use of  $(i,j)$  with  $j < n$ ?
    - Put the first  $k$  words in the first line(with the basic constraint satisfied), the subproblem to be solved is  $(k+1,n)$
    - Optimizing the solution over all  $k$ 's. ( $k$  is at most  $W/2$ )
-

# Breaking Sequence into lines

lineBreak( $w, W, i, n, L$ )

**if** ( $w_i + w_{i+1} + \dots + w_n \leq W$ )

<Put all words on line  $L$ , set penalty to 0>

**else**

**for** ( $k=1; w_i + \dots + w_{i+k-1} \leq W; k++$ )

$X = W - (w_i + \dots + w_{i+k-1});$

$kPenalty = \text{lineCost}(X) + \text{lineBreak}(w, W, i+k, n, L+1)$

<Set penalty always to the minimum  $kPenalty$ >

<Updating  $k_{\min}$ , which records the  $k$  that produced the minimum penalty>

<Put words  $i$  through  $i+k_{\min}-1$  on line  $L$ >

**return** penalty

In *DP* version  
this is replaced  
by “**Recursion  
or Retrieve**”

In DP  
version,  
“**Storing**”,  
inserted

# Analysis of lineBreak

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- Since each subproblem is identified by only one integer  $k$ , for  $(k, n)$ , the number of vertex in the subproblem is at most  $n$ .
  - So, in  $\mathcal{DP}$  version, the recursion is executed at most  $n$  times.
  - The loop is executed at most  $W/2$  times.
  - So, the running time is in  $\Theta(Wn)$ . In fact,  $W$ , the line width, is usually a constant. So,  $\Theta(n)$ .
  - The extra space for the dictionary is in  $\Theta(n)$ .
-

# Making Change: Revisited

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- Problem: with certain systems of coinage, how to pay a given amount using the smallest possible number of coins
  - We have known that the greedy strategy fails sometimes
-

# Subproblems

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- Suppose the currency we are using has available coins of  $n$  different denominations, and a coin of denomination  $i$  has  $d_i$  units. The amount to be paid is  $N$ .
  - One subproblem can be represented as  $[i,j]$ , for which the result is the minimum number of coins required to pay an amount of  $j$  units, using only coins of denominations 1 to  $i$ .
  - The solution of the problem of making change is the result of subproblem  $[n, N]$  (as  $c[n,N]$ )
-

# Dependency of Subproblems

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- $c[i,0]$  is 0 for all  $i$
  - When we are to pay an amount  $j$  using coins of denominations 1 to  $i$ , we have two choices:
    - No coins of denomination  $i$  is used:  $c[i-1, j]$
    - One coins of denomination  $i$  is used:  $1+c[i, j-d_i]$
  - So,  $c[i,j] = \min (c[i-1, j], 1+c[i, j-d_i])$
-

# Data Structure

Define a array  $\text{coin}[1..n, 0..N]$  for all  $c[i, j]$

*an example*

	0	1	2	3	4	5	6	7	8
$d_1=1$	0	1	2	3	4	5	6	7	8
$d_2=4$	0	1	2	3	1	2	3	4	2
$d_3=6$	0	1	2	3	1	2	1	2	2

direction of computation

# The Procedure

```
int coinChange(int N, int n, int[] coin)
```

```
    int denomination=[ $d_1, d_2, \dots, d_n$ ];
```

```
    for (i=1; i≤n; i++)
```

```
        coin[i,0]=0;
```

```
        for (i=1; i≤n; i++)
```

```
            for (j=1; j≤N; j++)
```

```
                if (i=1 && j<denomination[i]) coin[i,j]= $+\infty$  ;
```

```
                else if (i=1) coin[i,j]=1+coin[1, j-denomination[1]];
```

```
                else if (j<denomination[i]) coin[i,j]=cost[i-1, j];
```

```
                else coin[i,j]=min(coin[i-1, j], 1+coin[i, j-denomination[i]];
```

```
    return coin[n,N];
```

in  $\Theta(nN)$ ,  
 $n$  is usually a constant



# In the last class...

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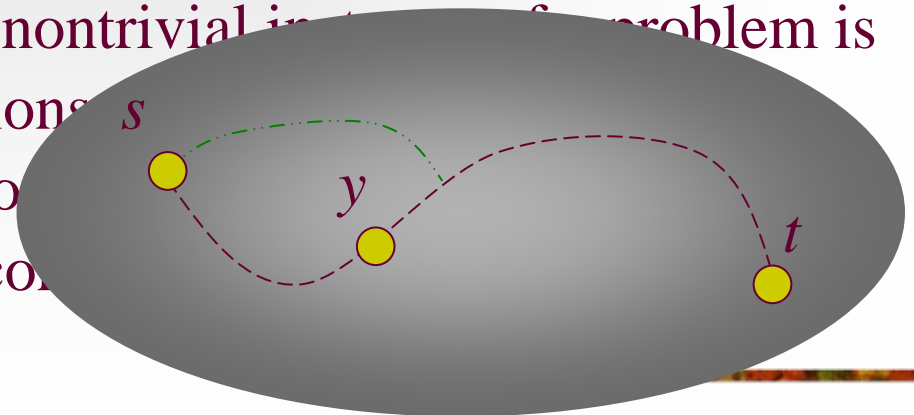
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# Principle of Optimality

- *Given an optimal sequence of decisions, each subsequence must be optimal by itself.*
  - Positive example: shortest path
  - Counterexample: longest (simple) path
- Usually, dynamic programming may be used where the principle of optimality applies.
- So, the optimal solution to any nontrivial instance of a problem is a combination of optimal solutions to subproblems. However, it is not usually obvious which subproblems are *relevant* to the instance under consideration.



# Home Assignments

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- pp.477-
    - 10.10
    - 10.11
    - 10.12
    - 10.14
    - 10.15
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