



Graph Traversals

Algorithm : Design & Analysis
[11]

In the last class...

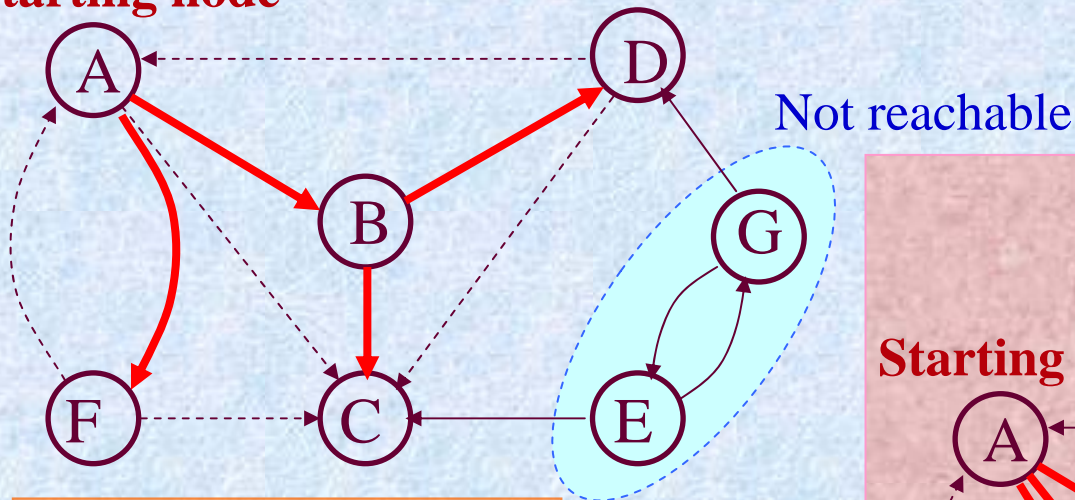
- Dynamic Equivalence Relation
 - Implementing Dynamic Set by Union-Find
 - Straight Union-Find
 - Making Shorter Tree by Weighted Union
 - Compressing Path by Compressing-Find
 - Amortized Analysis of $wUnion-cFind$
-

Graph Traversals

- Depth-First and Breadth-First Search
 - Finding Connected Components
 - General Depth-First Search Skeleton
 - Depth-First Search Trace
-

Graph Traversal: an Example

Starting node

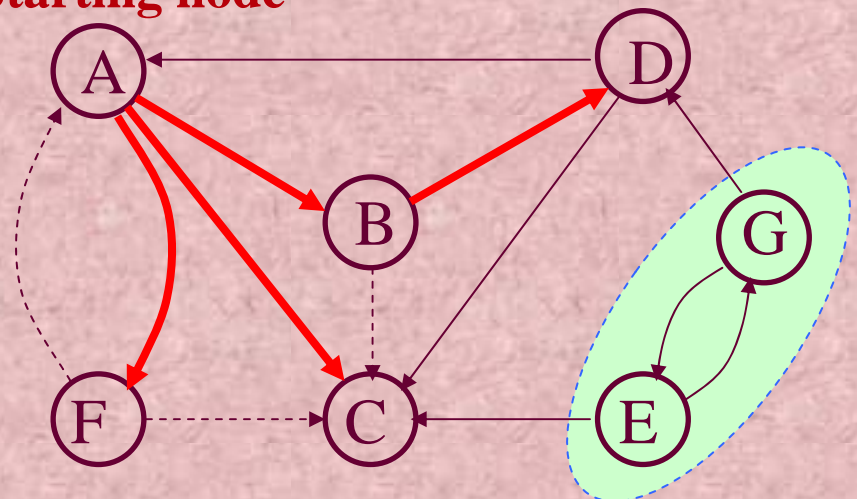


Depth-First Search

----- Edges only "checked"

Breadth-First Search

Starting node



Not reachable

Outline of Depth-First Search

- $\text{dfs}(G, v)$
- Mark v as “discovered”.
- For each vertex w that edge vw is in G :
 - If w is undiscovered:
 - $\text{dfs}(G, w)$
 - Otherwise:
 - “Check” vw without visiting w .
- Mark v as “finished”.

A vertex must be exact one of three different status:

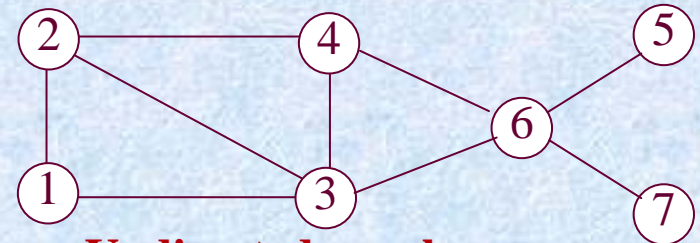
- undiscovered
- discovered but not finished
- finished

That is: exploring vw , visiting w , exploring from there as much as possible, and backtrack from w to v .

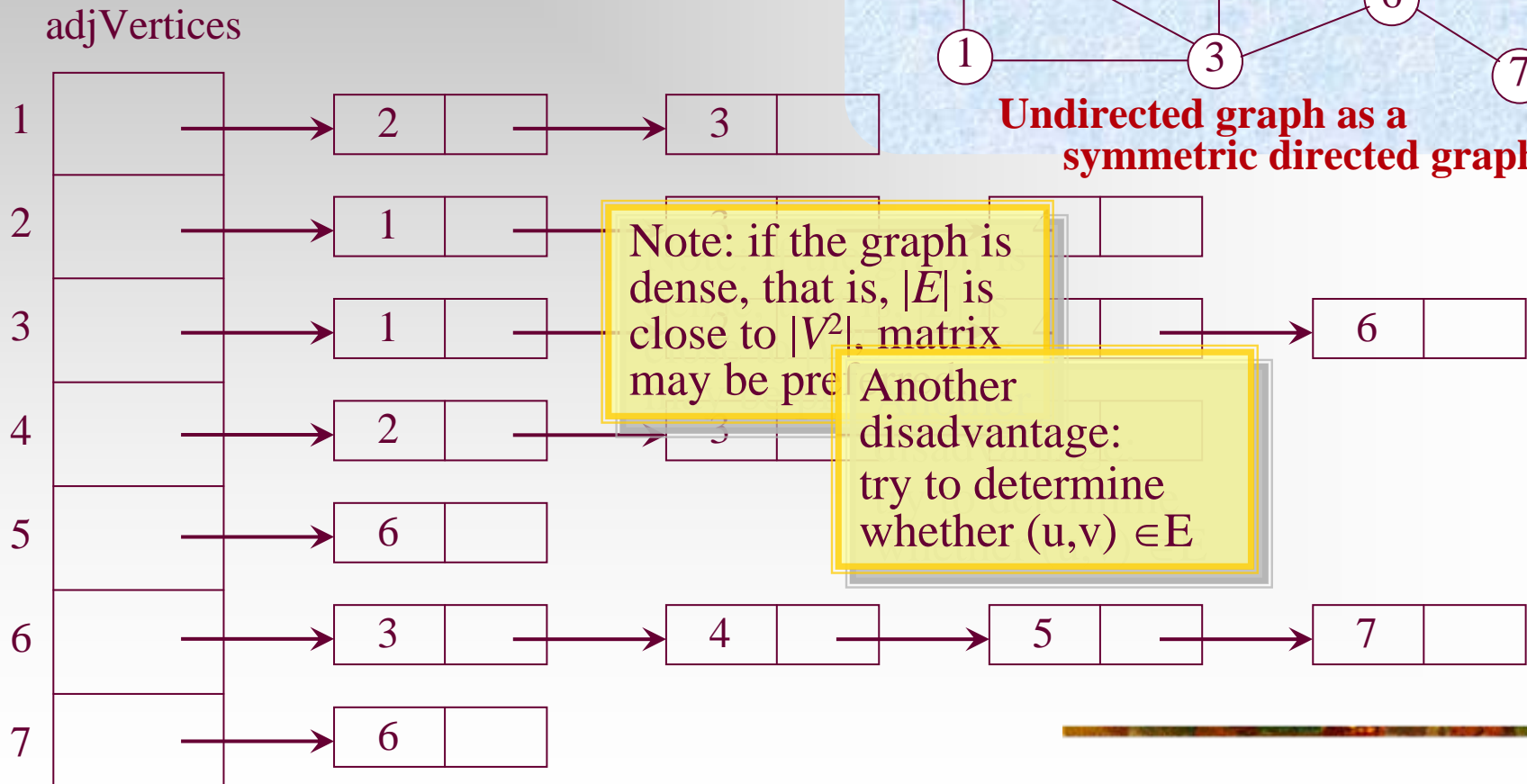
Outline of Breadth First Search

- $\text{Bfs}(G, s)$
 - Mark s as “discovered”;
 - $\text{enqueue}(\text{pending}, s)$;
 - **while** (pending is nonempty)
 - $\text{dequeue}(\text{pending}, v)$;
 - For each vertex w that edge vw is in G :
 - If w is “undiscovered”
 - Mark w as “discovered” and $\text{enqueue}(\text{pending}, w)$
 - Mark v as “finished”;
-

Graph as Group of Linked-List



Undirected graph as a symmetric directed graph



Finding Connected Components

- Input: a symmetric digraph G , with n nodes and $2m$ edges(interpreted as an undirected graph), implemented as a array $adjVertices[1,...n]$ of adjacency lists.
- Output: an array $cc[1..n]$ of component number for each node v_i

- **void** connectedComponents(Intlist[] $adjVertices$, **int** n ,
 int[] cc) // *This is a wrapper procedure*

- **int**[] $color$ =**new int**[$n+1$];

- **int** v ;

- <Initialize color array to white for all vertices>

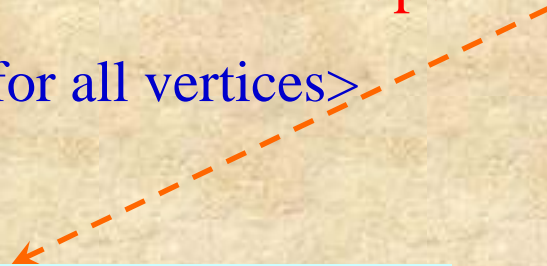
- **for** ($v=1$; $v \leq n$; $v++$)

- **if** ($color[v]==white$)

- ccDFS($adjVertices$, $color$, v , v , cc);

- **return**

Depth-first search



ccDFS: the procedure

```
void ccDFS(IntList[ ] adjVertices, int[ ] color, int v, int ccNum, int [ ] cc)//v as the code of current connected component
    int w;
    IntList remAdj;

    color[v]=gray;
    cc[v]=ccNum;
    remAdj=adjVertices[v];
    while (remAdj≠nil)
        w=first(remAdj);
        if (color[w]==white)
            ccDFS(adjVertices, color, w, ccNum, cc);
        remAdj=rest(remAdj);
    color[v]=black;
    return
```

The elements
of *remAdj* are
neighbors of *v*

Processing the next neighbor,
if existing, another depth-first
search to be incurred

v finished

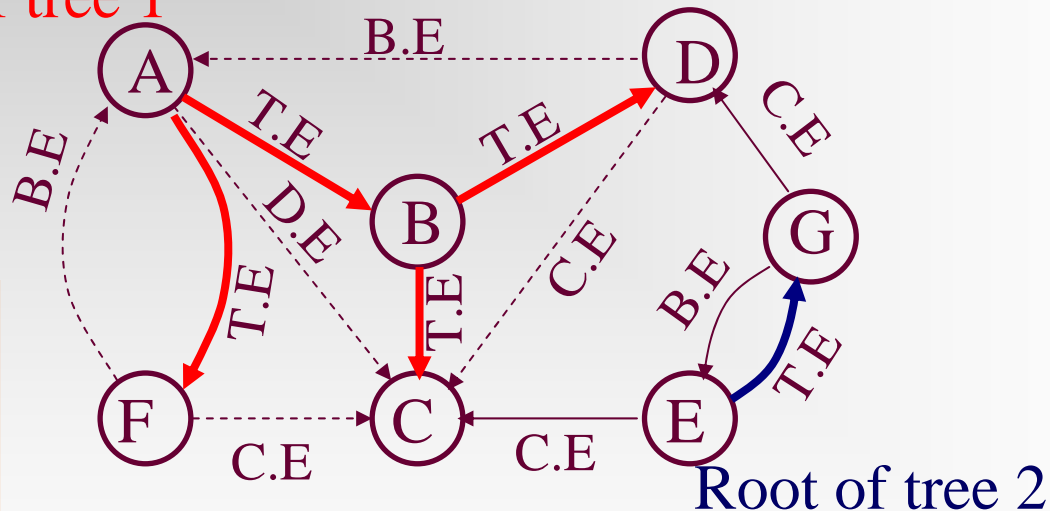
Analysis of CC Algorithm

- `connectedComponents`, the wrapper
 - Linear in n (color array initialization+for loop on *adjVertices*)
 - `ccDFS`, the depth-first searcher
 - In one execution of `ccDFS` on v , the number of instructions(`rest(remAdj)`) executed is proportional to the size of *adjVertices*[v].
 - Note: $\Sigma(\text{size of } adjVertices[v])$ is $2m$, and the adjacency lists are traversed **only once**.
 - So, the complexity is in $\Theta(m+n)$
 - Extra space requirements:
 - color array
 - activation frame stack for recursion
-

Depth-First Search Trees

DFS forest = { (DFS tree1), (DFS tree2) }

Root of tree 1



T.E: tree edge
B.E: back edge
D.E: descendant
edge
C.E: cross edge

A finished vertex is never revisited, such as C

Visits On a Vertex

- Classification for the visits on a vertex
 - First visit(exploring): status: white→gray
 - (Possibly) multi-visits by backtracking to: status keeps gray
 - Last visit(no more branch-finished): status: gray→black
 - Different operations can be done on the vertex or (selected) incident edges during the different visits on a specific vertex
-

Depth-First Search: Generalized

- Input: Array *adjVertices* for graph G
 - Output: Return value depends on application.
 - **int** dfsSweep(IntList[] *adjVertices*, **int** n, ...)
 - **int** ans;
 - <Allocate color array and initialize to white>
 - For each vertex *v* of G, in some order
 - **if** (color[*v*] == white)
 - **int** vAns = **dfs**(*adjVertices*, color, *v*, ...);
 - <Process vAns>
 - // Continue loop
 - **return** ans;
-

Depth-First Search: Generalized

```
■ int dfs(IntList[] adjVertices, int[] color, int v, ...)
■     int w;
■     IntList remAdj;
■     int ans;
■     color[v]=gray;
■     <Preorder processing of vertex v>
■     remAdj=adjVertices[v];
■     while (remAdj≠nil)
■         w=first(remAdj);
■         if (color[w]==white)
■             <Exploratory processing for tree edge vw>
■             int wAns=dfs(adjVertices, color, w, ...);
■             < Backtrack processing for tree edge vw , using wAns>
■         else
■             <Checking for nontree edge vw>
■             remAdj=rest(remAdj);
■     <Postorder processing of vertex v, including final computation of ans>
■     color[v]=black;
■     return ans;
```

If partial search is used for a application, tests for termination may be inserted here.

Specialized for connected components:

- parameter added
- preorder processing inserted – cc[v]=ccNum

Breadth-First Search: the Skeleton

- Input: Array *adjVertices* for graph G
 - Output: Return value depends on application.
 - **void** bfsSweep(IntList[] *adjVertices*, **int** n, ...)
 - **int** ans;
 - <Allocate color array and initialize to white>
 - For each vertex *v* of G, in some order
 - **if** (color[*v*] == white)
 - **void** **bfs**(*adjVertices*, color, *v*, ...);
 - // Continue loop
 - **return**;
-

Breadth-First Search: the Skeleton

```
■ void bfs(IntList[] adjVertices, int[] color, int v, ...)
■   int w; IntList remAdj; Queue pending;
■   color[v]=gray;   enqueue(pending, v);
■   while (pending is nonempty)
■       w=dequeue(pending); remAdj=adjVertices[w];
■       while (remAdj≠nil)
■           x=first(remAdj);
■           if (color[x]==white)
■               color[x]=gray; enqueue(pending, x);
■               remAdj=rest(remAdj);
■       <processing of vertex v>
■       color[w]=black;
■   return ;
```


DFS vs. BFS Search

- Processing Opportunities for a node
 - Depth-first: 2
 - At discovering
 - At finishing
 - Breadth-first: only 1, when de-queued
 - At the second processing opportunity for the DFS, the algorithm can make use of information about the descendants of the current node.
-

Time Relation on Changing Color

- Keeping the order in which vertices are encountered for the first or last time
 - A global interger time: 0 as the initial value, incremented with each color changing for *any* vertex, and the final value is $2n$
 - Array *discoverTime*: the i th element records the time vertex v_i turns into gray
 - Array *finishTime*: the i th element records the time vertex v_i turns into black
 - The active interval for vertex v , denoted as $active(v)$, is the duration while v is gray, that is:

$$discoverTime[v], \dots, finishTime[v]$$

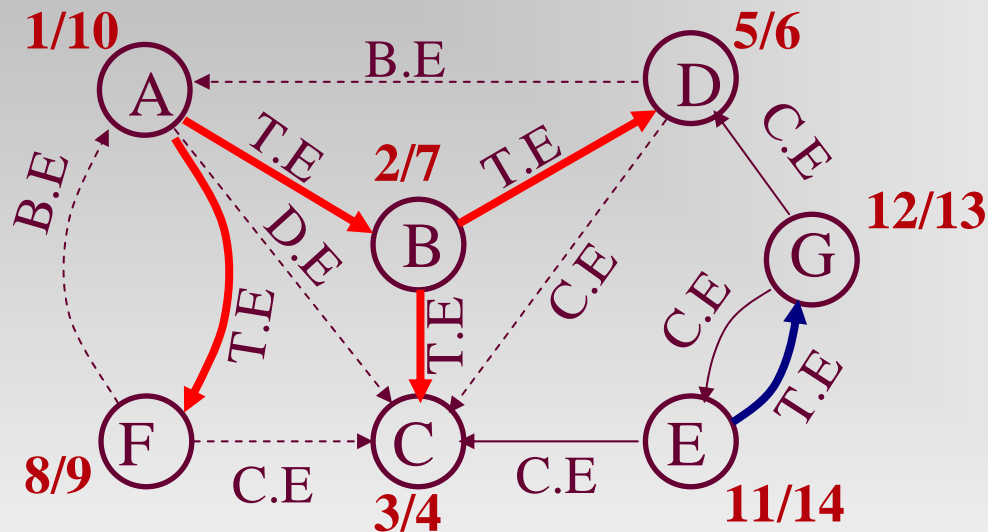
Depth-First Search Trace

- General DFS skeleton modified to compute discovery and finishing times and “construct” the depth-first search forest.
- **int** dfsTraceSweep(IntList[] *adjVertices*, **int** *n*, **int**[] *discoverTime*, **int**[] *finishTime*, **int**[] *parent*)
- **int** *ans*; **int** *time*=0
- <Allocate color array and initialize to white>
- For each vertex *v* of *G*, in some order
- **if** (*color*[*v*]==white)
- *parent*[*v*]=-1
- **int** *vAns*=dfsTrace(*adjVertices*, *color*, *v*, *discoverTime*, *finishTime*, *parent*, *time*);
- // Continue loop
- **return** *ans*;

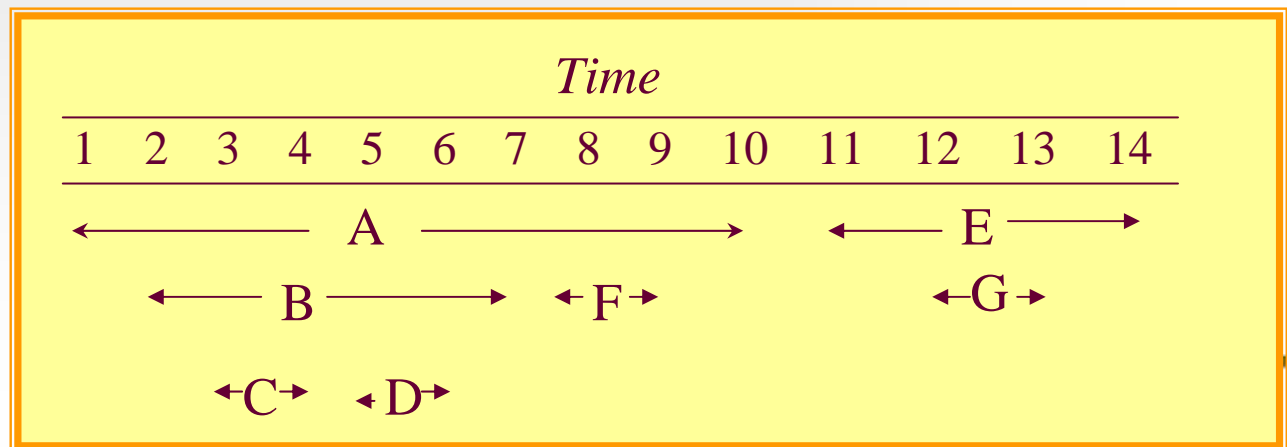
Depth-First Search Trace

```
■ int dfsTrace(intList[ ] adjVertices, int[ ] color, int v, int[ ] discoverTime,  
■ int[ ] finishTime, int[ ] parent int time)  
■ int w; IntList remAdj; int ans;  
■ color[v]=gray; time++; discoverTime[v]=time;  
■ remAdj=adjVertices[v];  
■ while (remAdj≠nil)  
■   w=first(remAdj);  
■   if (color[w]==white)  
■     parent[w]=v;  
■     int wAns=dfsTrace(adjVertices, color, w, discoverTime, finishTime,  
■                       parent, time);  
■   else <Checking for nontree edge vw>  
■     remAdj=rest(remAdj);  
■   time++; finishTime[v]=time; color[v]=black;  
■   return ans;
```

Edge Classification and the Active Intervals



The relations are summarized in the next frame



Properties about Active Intervals(1)

- If w is a descendant of v in the DFS forest, then $active(w) \subseteq active(v)$, and the inclusion is proper if $w \neq v$.
- Proof:
 - Define a partial order $<$: $w < v$ iff. w is a proper descendant of v in its DFS tree. The proof is by induction on $<$
 - If v is minimal. The only descendant of v is itself. Trivial.
 - Assume that for all $x < v$, if w is a descendant of x , then $active(w) \subseteq active(x)$.
 - Let w is any proper descendant of v in the DFS tree, there must be some x such that vx is a tree edge on the tree path to w , so w is a descendant of x . According to **dfsTrace**, we have $active(x) \subset active(v)$, by inductive hypothesis, $active(w) \subset active(v)$,

Properties about Active Intervals(2)

- If v and w have no ancestor/descendant relationship in the DFS forest, then their **active intervals** are disjoint.
 - Proof:
 - If v and w are in different DFS tree, it is trivially true, since the trees are processed one by one.
 - Otherwise, there must be a vertex c , satisfying that there are tree paths c to v , and c to w , without edges in common. Let the leading edges of the two tree path are cy , cz , respectively. According to **dfsTrace**, $active(y)$ and $active(z)$ are disjoint.
 - We have $active(v) \subseteq active(y)$, $active(w) \subseteq active(z)$. So, $active(v)$ and $active(w)$ are disjoint.
-

Properties about Active Intervals(3)

- If $active(w) \subseteq active(v)$, then w is a descendant of v . And if $active(w) \subset active(v)$, then w is a proper descendant of v .

That is: w is discovered while v is active.

- Proof:
 - If w is **not** a descendant of v , there are two cases:
 - v is a proper descendant of w , then $active(v) \subset active(w)$, so, it is impossible that $active(w) \subseteq active(v)$, contradiction.
 - There is no ancestor/descendant relationship between v and w , then $active(w)$ and $active(v)$ are disjoint, contradiction.
-

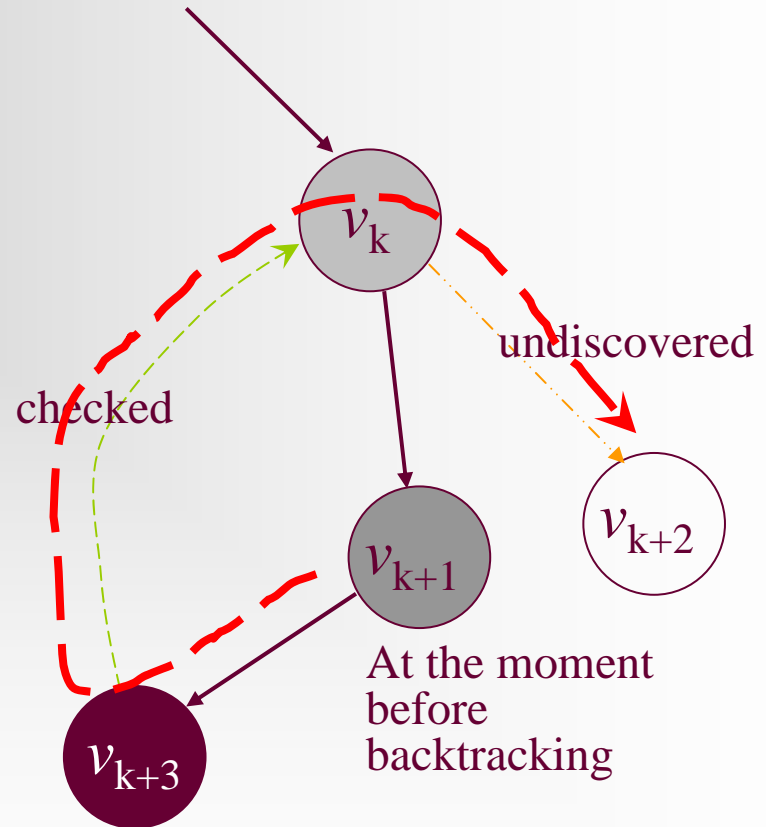
Properties about Active Intervals(4)

■ If edge $vw \in E_G$, then

- vw is a **cross edge** iff. $active(w)$ entirely precedes $active(v)$.
 - vw is a **descendant edge** iff. there is some third vertex x , such that $active(w) \subset active(x) \subset active(v)$,
 - vw is a **tree edge** iff. $active(w) \subset active(v)$, and there is no third vertex x , such that $active(w) \subset active(x) \subset active(v)$,
 - vw is a **back edge** iff. $active(v) \subset active(w)$,
-

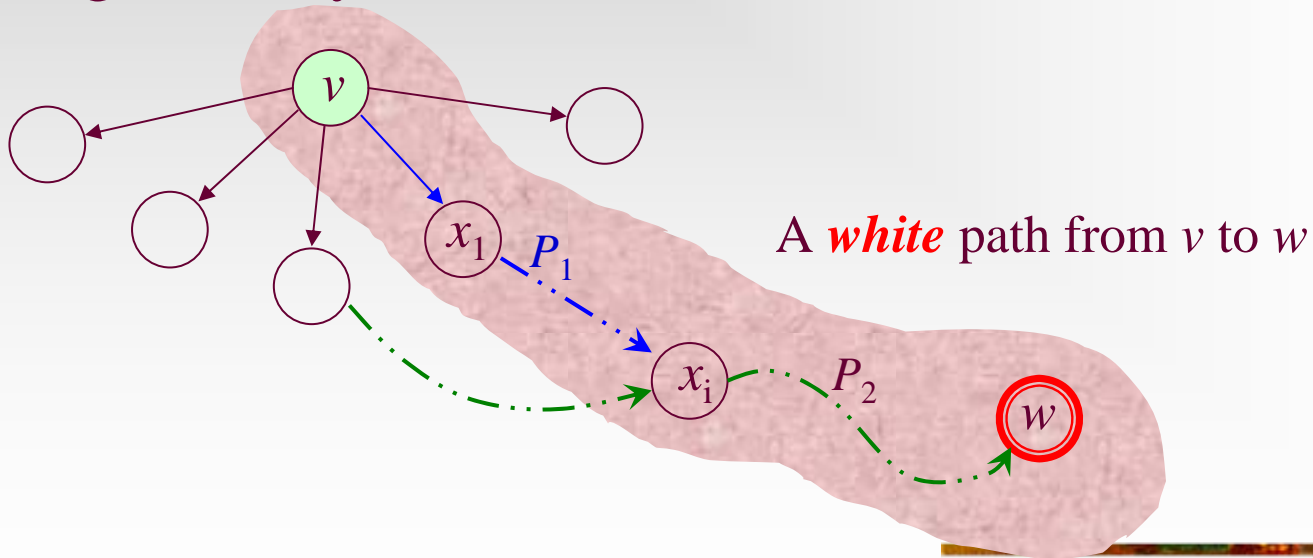
Ancestor/Descendant Relationship and Directed Path

- That w is a descendant of v in the DFS forest means that there is a direct path from v to w in some DFS tree.
- The path is also a path in G .
- However, if there is a direct path from v to w in G , is w necessarily a descendant of v in *the* DFS forest?



DFS Tree Path

- [White Path Theorem] w is a descendant of v in a DFS tree iff. at the time v is discovered (just to be changing color into gray), there is a path in G from v to w consisting entirely of white vertices.



Proof of White Path Theorem

■ Proof

- \Rightarrow All the vertices in the path are descendants of v .
 - \Leftarrow by induction on the length k of a white path from v to w .
 - When $k=0$, $v=w$.
 - For $k>0$, let $P=(v, x_1, x_2, \dots, x_k=w)$. There must be some vertex on P which is discovered during the active interval of v , e.g. x_1 . Let x_i is earliest discovered among them. Divide P into P_1 from v to x_i , and P_2 from x_i to w . P_2 is a white path with length less than k , so, by inductive hypothesis, w is a descendant of x_i . Note: $active(x_i) \subseteq active(v)$, so x_i is a descendant of v . By transitivity, w is a descendant of v .
-

Home Assignments

- 7.12
 - 7.14
 - 7.15
 - 7.16
-