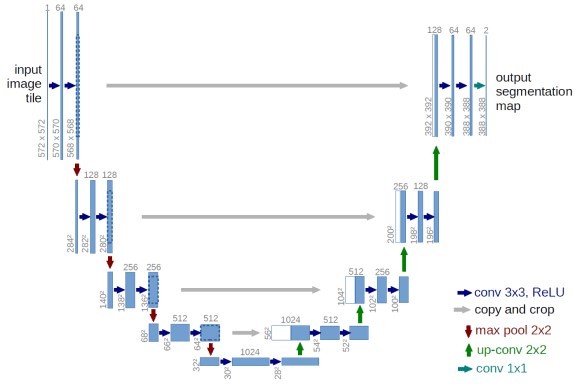
# U-Net

Here is the prototypical U-Net, as depicted in the original Rönneberger et al. paper (Ronneberger, Fischer, and Brox 2015).

Of this architecture, numerous variants exist. You could use different layer sizes, activations, ways to achieve downsizing and upsizing, and more. However, there is one defining characteristic: the U-shape, stabilized by the “bridges” crossing over horizontally at all levels.



In a nutshell, the left-hand side of the U resembles the convolutional architectures used in image classification. It successively reduces spatial resolution. At the same time, another dimension – the *channels* dimension – is used to build up a hierarchy of features, ranging from very basic to very specialized.

Unlike in classification, however, the output should have the same spatial resolution as the input. Thus, we need to upsize again – this is taken care of by the right-hand side of the U. But, how are we going to arrive at a good *per-pixel* classification, now that so much spatial information has been lost?

This is what the “bridges” are for: At each level, the input to an upsampling layer is a *concatenation* of the previous layer’s output – which went through the whole compression/decompression routine – and some preserved intermediate representation from the downsizing phase. In this way, a U-Net architecture combines attention to detail with feature extraction.

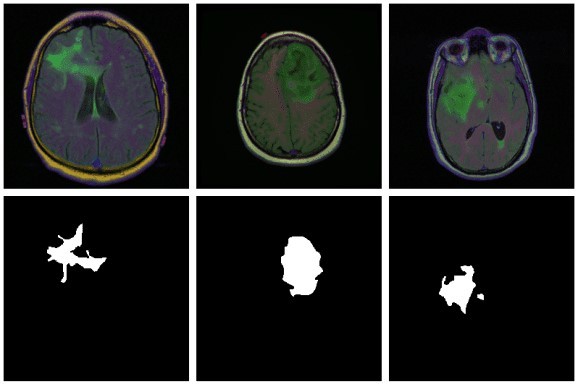
# Brain image segmentation

With U-Net, domain applicability is as broad as the architecture is flexible. Here, we want to detect abnormalities in brain scans. The dataset, used in Buda, Saha, and Mazurowski (2019), contains MRI images together with manually created FLAIR abnormality segmentation masks. It is available on Kaggle.

Below, we closely follow (though not exactly replicate) the authors’ preprocessing and data augmentation code.

As is often the case in medical imaging, there is notable class imbalance in the data. For every patient, sections have been taken at multiple positions. (Number of sections per patient varies.) Most sections do not exhibit any lesions; the corresponding masks are colored black everywhere.

Here are three examples where the masks *do* indicate abnormalities:



Let’s see if we can build a U-Net that generates such masks for us.

# Data

Before you start typing, here is a Colaboratory notebook to conveniently follow along.

We use pins to obtain the data. Please see this introduction if you haven’t used that package before.

# deep learning (incl. dependencies) library(torch)

library(torchvision)

# data wrangling library(tidyverse) library(zeallot)

# image processing and visualization library(magick)

library(cowplot) # dataset loading

library(pins) library(zip)

torch\_manual\_seed(777) set.seed(777)

# use your own kaggle.json here pins::board\_register\_kaggle(token = "~/kaggle.json")

files <- pins::pin\_get("mateuszbuda/lgg-mri-segmentation", board = "kaggle", extract = FALSE)

The dataset is not that big – it includes scans from 110 different patients – so we’ll have to do with just a training and a validation set. (Don’t do this in real life, as you’ll inevitably end up fine- tuning on the latter.)

train\_dir <- "data/mri\_train" valid\_dir <- "data/mri\_valid"

if(dir.exists(train\_dir)) unlink(train\_dir, recursive = TRUE, force = TRUE)

if(dir.exists(valid\_dir)) unlink(valid\_dir, recursive = TRUE, force = TRUE)

zip::unzip(files, exdir = "data") file.rename("data/kaggle\_3m", train\_dir)

# this is a duplicate, again containing kaggle\_3m (evidently a packaging error on Kaggle)

# we just remove it

unlink("data/lgg-mri-segmentation", recursive = TRUE)

dir.create(valid\_dir)

Of those 110 patients, we keep 30 for validation. Some more file manipulations, and we’re set up with a nice hierarchical structure, with train\_dir and valid\_dir holding their per-patient sub-directories, respectively.

valid\_indices <- sample(1:length(patients), 30)

patients <- list.dirs(train\_dir, recursive = FALSE) for (i in valid\_indices) {

dir.create(file.path(valid\_dir, basename(patients[i]))) for (f in list.files(patients[i])) {

file.rename(file.path(train\_dir, basename(patients[i]), f), file.path(valid\_dir, basename(patients[i]), f))

}

unlink(file.path(train\_dir, basename(patients[i])), recursive = TRUE)

}

We now need a dataset that knows what to do with these files.

# Dataset

Like every torch dataset, this one has initialize() and .getitem() methods. initialize() creates an inventory of scan and mask file names, to be used by .getitem() when it actually reads those files. In contrast to what we’ve seen in previous posts, though ,

.getitem() does not simply return input-target pairs in order. Instead, whenever the parameter random\_sampling is true, it will perform weighted sampling, preferring items with sizable lesions. This option will be used for the training set, to counter the class imbalance mentioned above.

The other way training and validation sets will differ is use of data augmentation. Training images/masks may be flipped, re-sized, and rotated; probabilities and amounts are configurable.

An instance of brainseg\_dataset encapsulates all this functionality:

brainseg\_dataset <- dataset( name = "brainseg\_dataset",

initialize = function(img\_dir,

augmentation\_params = NULL, random\_sampling = FALSE) {

self$images <- tibble( img = grep(

list.files( img\_dir,

full.names = TRUE, pattern = "tif", recursive = TRUE

),

pattern = 'mask', invert = TRUE, value = TRUE

),

mask = grep( list.files(

img\_dir, full.names = TRUE, pattern = "tif", recursive = TRUE

),

pattern = 'mask', value = TRUE

)

)

self$slice\_weights <- self$calc\_slice\_weights(self$images$mask) self$augmentation\_params <- augmentation\_params self$random\_sampling <- random\_sampling

},

.getitem = function(i) { index <-

if (self$random\_sampling == TRUE)

sample(1:self$.length(), 1, prob = self$slice\_weights)

else i

img <- self$images$img[index] %>% image\_read() %>% transform\_to\_tensor()

mask <- self$images$mask[index] %>% image\_read() %>% transform\_to\_tensor() %>% transform\_rgb\_to\_grayscale() %>% torch\_unsqueeze(1)

img <- self$min\_max\_scale(img)

if (!is.null(self$augmentation\_params)) { scale\_param <- self$augmentation\_params[1]

c(img, mask) %<-% self$resize(img, mask, scale\_param)

rot\_param <- self$augmentation\_params[2]

c(img, mask) %<-% self$rotate(img, mask, rot\_param)

flip\_param <- self$augmentation\_params[3]

c(img, mask) %<-% self$flip(img, mask, flip\_param)

}

list(img = img, mask = mask)

},

.length = function() { nrow(self$images)

},

calc\_slice\_weights = function(masks) { weights <- map\_dbl(masks, function(m) {

img <-

as.integer(magick::image\_data(image\_read(m), channels =

"gray"))

sum(img / 255)

})

sum\_weights <- sum(weights) num\_weights <- length(weights)

weights <- weights %>% map\_dbl(function(w) {

1. <- (w + sum\_weights \* 0.1 / num\_weights) / (sum\_weights \* 1.1)

})

weights

},

min\_max\_scale = function(x) { min = x$min()$item()

max = x$max()$item() x$clamp\_(min = min, max = max)

x$add\_(-min)$div\_(max - min + 1e-5) x

},

resize = function(img, mask, scale\_param) { img\_size <- dim(img)[2]

rnd\_scale <- runif(1, 1 - scale\_param, 1 + scale\_param) img <- transform\_resize(img, size = rnd\_scale \* img\_size) mask <- transform\_resize(mask, size = rnd\_scale \* img\_size) diff <- dim(img)[2] - img\_size

if (diff > 0) {

top <- ceiling(diff / 2) left <- ceiling(diff / 2)

img <- transform\_crop(img, top, left, img\_size, img\_size) mask <- transform\_crop(mask, top, left, img\_size, img\_size)

} else {

img <- transform\_pad(img,

padding = -c( ceiling(diff / 2),

floor(diff / 2),

ceiling(diff / 2),

floor(diff / 2)

))

mask <- transform\_pad(mask, padding = -c( ceiling(diff / 2),

floor(diff /

2),

ceiling(diff /

2),

floor(diff /

2)

))

}

list(img, mask)

},

rotate = function(img, mask, rot\_param) {

rnd\_rot <- runif(1, 1 - rot\_param, 1 + rot\_param) img <- transform\_rotate(img, angle = rnd\_rot) mask <- transform\_rotate(mask, angle = rnd\_rot)

list(img, mask)

},

flip = function(img, mask, flip\_param) { rnd\_flip <- runif(1)

if (rnd\_flip > flip\_param) { img <- transform\_hflip(img) mask <- transform\_hflip(mask)

}

list(img, mask)

}

)

After instantiation, we see we have 2977 training pairs and 952 validation pairs, respectively:

train\_ds <- brainseg\_dataset( train\_dir,

augmentation\_params = c(0.05, 15, 0.5), random\_sampling = TRUE

)

length(train\_ds) # 2977

valid\_ds <- brainseg\_dataset( valid\_dir, augmentation\_params = NULL, random\_sampling = FALSE

)

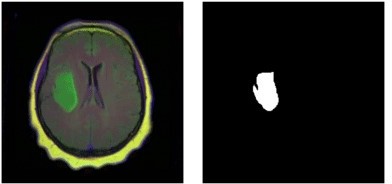
length(valid\_ds) # 952

As a correctness check, let’s plot an image and associated mask:

par(mfrow = c(1, 2), mar = c(0, 1, 0, 1))

img\_and\_mask <- valid\_ds[27] img <- img\_and\_mask[[1]] mask <- img\_and\_mask[[2]

img$permute(c(2, 3, 1)) %>% as.array() %>% as.raster() %>% plot() mask$squeeze() %>% as.array() %>% as.raster() %>% plot(



With torch, it is straightforward to inspect what happens when you change augmentation- related parameters. We just pick a pair from the validation set, which has not had any augmentation applied as yet, and call valid\_ds$ directly. Just for fun, let’s use more “extreme” parameters here than we do in actual training. (Actual training uses the settings from Mateusz’ GitHub repository, which we assume have been carefully chosen for optimal performance.1)

img\_and\_mask <- valid\_ds[77] img <- img\_and\_mask[[1]] mask <- img\_and\_mask[[2]

imgs <- map (1:24, function(i) {

# scale factor; train\_ds really uses 0.05

c(img, mask) %<-% valid\_ds$resize(img, mask, 0.2) c(img, mask) %<-% valid\_ds$flip(img, mask, 0.5) # rotation angle; train\_ds really uses 15

c(img, mask) %<-% valid\_ds$rotate(img, mask, 90) img %>%

transform\_rgb\_to\_grayscale() %>% as.array() %>%

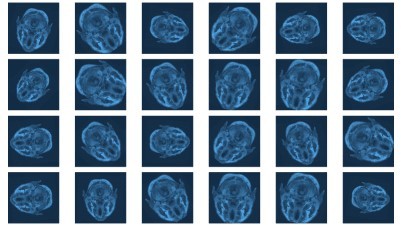
as\_tibble() %>% rowid\_to\_column(var = "Y") %>%

gather(key = "X", value = "value", -Y) %>% mutate(X = as.numeric(gsub("V", "", X))) %>% ggplot(aes(X, Y, fill = value)) + geom\_raster() +

theme\_void() + theme(legend.position = "none") + theme(aspect.ratio = 1)

})

plot\_grid(plotlist = imgs, nrow = 4)



Now we still need the data loaders, and then, nothing keeps us from proceeding to the next big task: building the model.

batch\_size <- 4

train\_dl <- dataloader(train\_ds, batch\_size) valid\_dl <- dataloader(valid\_ds, batch\_size)

# Model

Our model nicely illustrates the kind of modular code that comes “naturally” with torch. We approach things top-down, starting with the U-Net container itself.

unet takes care of the global composition – how far “down” do we go, shrinking the image while incrementing the number of filters, and then how do we go “up” again?

Importantly, it is also in the system’s memory. In forward(), it keeps track of layer outputs seen going “down”, to be added back in going “up”.

unet <- nn\_module( "unet",

initialize = function(channels\_in = 3,

n\_classes = 1,

depth = 5, n\_filters = 6) {

self$down\_path <- nn\_module\_list() prev\_channels <- channels\_in

for (i in 1:depth) { self$down\_path$append(down\_block(prev\_channels, 2 ^ (n\_filters +

i - 1)))

prev\_channels <- 2 ^ (n\_filters + i -1)

}

self$up\_path <- nn\_module\_list()

1)))

for (i in ((depth - 1):1)) { self$up\_path$append(up\_block(prev\_channels, 2 ^ (n\_filters + i -

prev\_channels <- 2 ^ (n\_filters + i - 1)

}

self$last = nn\_conv2d(prev\_channels, n\_classes, kernel\_size = 1)

},

forward = function(x) { blocks <- list()

for (i in 1:length(self$down\_path)) { x <- self$down\_path[[i]](x)

if (i != length(self$down\_path)) { blocks <- c(blocks, x)

x <- nnf\_max\_pool2d(x, 2)

}

}

for (i in 1:length(self$up\_path)) {

1. <- self$up\_path[[i]](x, blocks[[length(blocks) - i + 1]]$to(device = device))

}

torch\_sigmoid(self$last(x))

}

)

unet delegates to two containers just below it in the hierarchy: down\_block and up\_block. While down\_block is “just” there for aesthetic reasons (it immediately delegates to its own workhorse, conv\_block), in up\_block we see the U-Net “bridges” in action.

down\_block <- nn\_module( "down\_block",

initialize = function(in\_size, out\_size) { self$conv\_block <- conv\_block(in\_size, out\_size)

},

forward = function(x) { self$conv\_block(x)

}

)

up\_block <- nn\_module( "up\_block",

initialize = function(in\_size, out\_size) {

self$up = nn\_conv\_transpose2d(in\_size,

out\_size, kernel\_size = 2,

stride = 2) self$conv\_block = conv\_block(in\_size, out\_size)

},

forward = function(x, bridge) {

up <- self$up(x) torch\_cat(list(up, bridge), 2) %>%

self$conv\_block()

}

)

Finally, a conv\_block is a sequential structure containing convolutional, ReLU, and dropout layers.

conv\_block <- nn\_module( "conv\_block",

initialize = function(in\_size, out\_size) {

self$conv\_block <- nn\_sequential(

nn\_conv2d(in\_size, out\_size, kernel\_size = 3, padding = 1), nn\_relu(),

nn\_dropout(0.6),

nn\_conv2d(out\_size, out\_size, kernel\_size = 3, padding = 1), nn\_relu()

)

},

forward = function(x){ self$conv\_block(x)

}

)

Now instantiate the model, and possibly, move it to the GPU:

device <- torch\_device(if(cuda\_is\_available()) "cuda" else "cpu") model <- unet(depth = 5)$to(device = device

# Optimization

We train our model with a combination of cross entropy and dice loss.

The latter, though not shipped with torch, may be implemented manually:

calc\_dice\_loss <- function(y\_pred, y\_true) {

smooth <- 1

y\_pred <- y\_pred$view(-1) y\_true <- y\_true$view(-1)

intersection <- (y\_pred \* y\_true)$sum()

1 - ((2 \* intersection + smooth) / (y\_pred$sum() + y\_true$sum() + smooth))

}

dice\_weight <- 0.3

Optimization uses stochastic gradient descent (SGD), together with the one-cycle learning rate scheduler introduced in the context of image classification with torch.

optimizer <- optim\_sgd(model$parameters, lr = 0.1, momentum = 0.9) num\_epochs <- 20

scheduler <- lr\_one\_cycle( optimizer,

max\_lr = 0.1,

steps\_per\_epoch = length(train\_dl), epochs = num\_epochs

)

# Training

The training loop then follows the usual scheme. One thing to note: Every epoch, we save the model (using torch\_save()), so we can later pick the best one, should performance have degraded thereafter.

train\_batch <- function(b) {

optimizer$zero\_grad()

output <- model(b[[1]]$to(device = device)) target <- b[[2]]$to(device = device)

bce\_loss <- nnf\_binary\_cross\_entropy(output, target) dice\_loss <- calc\_dice\_loss(output, target)

loss <- dice\_weight \* dice\_loss + (1 - dice\_weight) \* bce\_loss

loss$backward() optimizer$step() scheduler$step()

list(bce\_loss$item(), dice\_loss$item(), loss$item())

}

valid\_batch <- function(b) {

output <- model(b[[1]]$to(device = device)) target <- b[[2]]$to(device = device)

bce\_loss <- nnf\_binary\_cross\_entropy(output, target) dice\_loss <- calc\_dice\_loss(output, target)

loss <- dice\_weight \* dice\_loss + (1 - dice\_weight) \* bce\_loss list(bce\_loss$item(), dice\_loss$item(), loss$item())

}

for (epoch in 1:num\_epochs) { model$train()

train\_bce <- c() train\_dice <- c() train\_loss <- c()

for (b in enumerate(train\_dl)) {

c(bce\_loss, dice\_loss, loss) %<-% train\_batch(b) train\_bce <- c(train\_bce, bce\_loss)

train\_dice <- c(train\_dice, dice\_loss) train\_loss <- c(train\_loss, loss)

}

torch\_save(model, paste0("model\_", epoch, ".pt")) cat(sprintf("\nEpoch %d, training: loss:%3f, bce: %3f, dice: %3f\n",

epoch, mean(train\_loss), mean(train\_bce), mean(train\_dice))

model$eval() valid\_bce <- c() valid\_dice <- c()

valid\_loss <- c()

i <- 0

for (b in enumerate(valid\_dl)) {

i <<- i + 1

c(bce\_loss, dice\_loss, loss) %<-% valid\_batch(b) valid\_bce <- c(valid\_bce, bce\_loss)

valid\_dice <- c(valid\_dice, dice\_loss) valid\_loss <- c(valid\_loss, loss)

}

cat(sprintf("\nEpoch %d, validation: loss:%3f, bce: %3f, dice:

%3f\n",

epoch, mean(valid\_loss), mean(valid\_bce), mean(valid\_dice))

}

Epoch 1, training: loss:0.304232, bce: 0.148578, dice: 0.667423

Epoch 1, validation: loss:0.333961, bce: 0.127171, dice: 0.816471

Epoch 2, training: loss:0.194665, bce: 0.101973, dice: 0.410945

Epoch 2, validation: loss:0.341121, bce: 0.117465, dice: 0.862983 [...]

Epoch 19, training: loss:0.073863, bce: 0.038559, dice: 0.156236

Epoch 19, validation: loss:0.302878, bce: 0.109721, dice: 0.753577

Epoch 20, training: loss:0.070621, bce: 0.036578, dice: 0.150055

Epoch 20, validation: loss:0.295852, bce: 0.101750, dice: 0.748757

# Evaluation

In this run, it is the final model that performs best on the validation set. Still, we’d like to show how to load a saved model, using torch\_load() .

Once loaded, put the model into eval mode:

saved\_model <- torch\_load("model\_20.pt")

model <- saved\_model model$eval(

Now, since we don’t have a separate test set, we already know the average out-of-sample metrics; but in the end, what we care about are the generated masks. Let’s view some, displaying ground truth and MRI scans for comparison.

# without random sampling, we'd mainly see lesion-free patches eval\_ds <- brainseg\_dataset(valid\_dir, augmentation\_params = NULL, random\_sampling = TRUE)

eval\_dl <- dataloader(eval\_ds, batch\_size = 8)

batch <- eval\_dl %>% dataloader\_make\_iter() %>% dataloader\_next() par(mfcol = c(3, 8), mar = c(0, 1, 0, 1))

for (i in 1:8) {

img <- batch[[1]][i, .., drop = FALSE] inferred\_mask <- model(img$to(device = device))

true\_mask <- batch[[2]][i, .., drop = FALSE]$to(device = device)

bce <- nnf\_binary\_cross\_entropy(inferred\_mask, true\_mask)$to(device = "cpu") %>%

as.numeric()

dc <- calc\_dice\_loss(inferred\_mask, true\_mask)$to(device = "cpu") %>% as.numeric()

cat(sprintf("\nSample %d, bce: %3f, dice: %3f\n", i, bce, dc))

inferred\_mask <- inferred\_mask$to(device = "cpu") %>% as.array() %>%

.[1, 1, , ]

inferred\_mask <- ifelse(inferred\_mask > 0.5, 1, 0) img[1, 1, ,] %>% as.array() %>% as.raster() %>% plot()

true\_mask$to(device = "cpu")[1, 1, ,] %>% as.array() %>% as.raster()

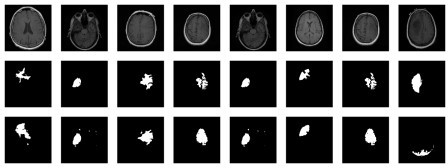
%>% plot()

inferred\_mask %>% as.raster() %>% plot()

}

We also print the individual cross entropy and dice losses; relating those to the generated masks might yield useful information for model tuning.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Sample | 1, | bce: | 0.088406, | dice: | 0.387786} |
| Sample | 2, | bce: | 0.026839, | dice: | 0.205724 |
| Sample | 3, | bce: | 0.042575, | dice: | 0.187884 |
| Sample | 4, | bce: | 0.094989, | dice: | 0.273895 |
| Sample | 5, | bce: | 0.026839, | dice: | 0.205724 |
| Sample | 6, | bce: | 0.020917, | dice: | 0.139484 |
| Sample | 7, | bce: | 0.094989, | dice: | 0.273895 |
| Sample | 8, | bce: | 2.310956, | dice: | 0.999824 |



While far from perfect, most of these masks aren’t that bad – a nice result given the small dataset!