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Classification and Evaluation of Machine Learning Algorithms on the MNIST Dataset

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Abstract—This paper discusses the use of machine learning algorithms in classifying the MNIST handwritten dataset. The MNIST dataset consists of 28x28 grayscale handwritten images with 10 classes from 0 to 9. The dataset was normalized by scaling the pixel values to a range between 0 and 1 by dividing each pixel value by 255. We compare and evaluate the K-nearest Neighbor and Naive Bayes algorithm based on performance metrics such as accuracy, error rate, f1-score, and precision. The K-nearest Neighbor algorithm achieved better performance in all the evaluation criteria.

Index Terms—Machine Learning, K-Nearest Neighbor, Precision, Recall, Naive Bayes.

I. INTRODUCTION

Recently, there has been renewed interest in using computers to capture information from visual data (pictures, videos, images, patterns, etc). The significant advancement in theoretical frameworks and methodologies can be praised for this technological breakthrough. This field of research is a branch of artificial intelligence known as computer vision. Computer vision is human-machine interaction that empowers machines to input, process, interpret, and understand visual information, improving exchange and interaction between humans and machines [1]. One of the most significant sub-fields of computer vision is image classification, which involves labeling and categorizing input images, represented as pixels and vectors, into specific groups or classes based on their visual features [2]. Arguably, image categorization tasks can be generalized into two forms, depending on whether the data has given labels: supervised learning and unsupervised learning. The former is used to train input data when a labeled output is available, while the latter is used to train unlabelled data. The importance of image classification is indisputable, as it plays a crucial role in advancing decision-making across various industries. The application of image classification is vast; a few examples include its use in healthcare, where it aids in diagnosing diseases through medical imaging [3], and in agriculture, where it assists in identifying plant diseases and pests, thus optimizing agricultural practices [3] and in autonomous driving, where it enhances object and obstacles detection [4].

This project set out to evaluate and compare the performance of two classification algorithms on the MNIST dataset. The aim is to determine the efficiency, accuracy, and computational requirements of the K Nearest Neighbour and Naive Bayes algorithms. Part of this project aims to detail the strengths and shortcomings of each algorithm in handling the challenges posed by the MNIST dataset.

II. DATA

A. Data Description

The MNIST database (Modified National Institute of Standards and Technology database) is an extensive database of handwritten digits that is commonly used to train various machine learning algorithms. The database consists of handwritten digits collected among Census Bureau employees and high school students. The MNIST database comprises 60,000 training sample images and 10,000 testing sample images. Fifty percent of the training samples and the test samples were extracted from the National Institute of Standards and Technology (NIST) training dataset, while the other fifty percent of the training set and the test set were taken from NIST's testing dataset. The size of the images has been normalized and centered into 28x28 pixel size images [5]; a single instance is shown in Fig. 1. The distribution of each digit of the training sample is shown in Fig. 2

B. Exploratory Data Analysis

Having described the MNIST dataset, I will examine and visualize its main characteristics and patterns. Fig. 3 shows the distribution of pixel intensity. The graph reveals that about 65% of the pixels in the dataset are white, about 12% are dark, and the remaining 23% are distributed across various shades of gray. This finding is not all that surprising due to the gray-scale nature of the images. The dataset can be "binarized" into white

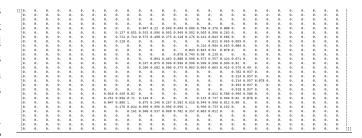


Fig. 1. A 28x28 matrix of a single instance

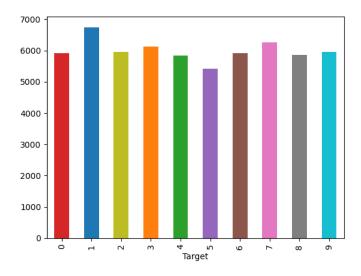
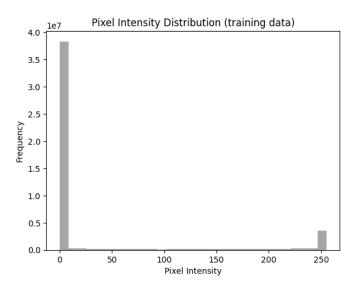


Fig. 2. Distribution of Target labels

and dark pixels due to their dominance. In addition, Fig. 3 can be seen as the distribution of target variables. Nearly all the target labels have more than 6000 instances, with target label "1" having the most significant number of instances and target label "5" having the minimum number of instances. With regard to visualizing the distribution of the whole training set, a method called "t-SNE" was utilized. T-Distributed Stochastic Neighbor Embedding (t-SNE) visualizes high-dimensional data by giving each data point a location in a two or threedimensional plane [6]. "t-SNE" attempts to ensure that each point has an equal number of neighbors by creating an idea of which other points are its "neighbors" for each point. After that, it attempts to embed them so that each point has an equal number of neighbors [7]. See Fig. 4. The next section presents two methods and evaluation metrics for classifying the MNIST dataset.



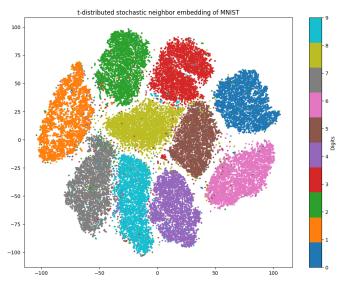


Fig. 4. Distribution of Training Set

III. METHODOLOGY

A. k-Nearest Neighbor

The K-nearest neighbors (KNN) algorithm is a nonparametric supervised machine learning approach to classification. KNN tries to categorize the accurate class for the test sample by calculating the distance between the test sample and all the training samples. The algorithm looks for the highest number of classes or the average of the neighboring data points with the most common characteristics with the newly added data point. [8].

Consider a test sample \mathbf{x} that we wish to categorize into one of the K groups, we find the K observed data points that are closer to \mathbf{x} . The classification algorithm assigns \mathbf{x} to the population with the highest number of observed data points out of the K-nearest neighbors. When there is no majority vote the new instances are classified to one of the majority populations at random or left unclassified [9]. Algorithm 1 is pseudo-code for the KNN algorithm.

B. Naïve Bayes

Naive Bayes algorithm (NB) can broadly be defined as a family of machine learning classifiers that uses Bayes's theorem and conditional independence assumption to determine the probability that an instance belongs to a specific category. The algorithm is named naive because it assumes all the features are independent. This assumption is implausible in many real-world situations. Naive Bayes often produces competitive classification accuracy, although the assumption of independence is often violated in practice. Given a set of features X_1, X_2, X_3, X_n, the objective of classifying Y, is similar to maximizing

 $\arg\max_{y\in Y}P(Y=y\mid X_1,X_2,X_3,....X_n)$ Using Bayes' Theorem, we can rewrite this problem as

Algorithm 1 Algorithm for k-Nearest Neighbor

Input: Given a set of training samples $\mathcal{D} = \{(x_i, y_i)\}_{i=1}^{60000}$, where:

 $X_i = ith$ feature instance,

 $y_i = ith target label,$

K =Number of nearest neighbors,

x =New instance.

Output: Class of test instance

1: for every x do

2: Compute the distance: $d(\mathbf{x},x) = \sqrt{\sum_{i=1}^{N} (\mathbf{x}_i - X_i)^2}$

3. end for

4: **return** $\hat{y} = \arg\max_{c \in C} \sum_{i=1}^{K} C(y_{(i)} = c)$

$$P(Y = y \mid X_1, X_2, \dots X_n) = \frac{P(X_1, X_2, \dots X_n \mid Y = y)P(Y = y)}{P(X_1, X_n, \dots X_n)}$$
(1)

Expanding numerator in "(1)", we will have

$$P(Y = y \mid X_{1}, X_{2}, ... X_{n})$$

$$= P(X_{1}, X_{2}, ... X_{n} \mid Y = y)$$

$$= P(X_{1}, X_{2}, ... X_{n} \mid Y = y)P(X_{2}, ... X_{n} \mid Y = y) \times$$

$$P(X_{1} \mid X_{2}, ... X_{n}, Y = y)P(X_{2} \mid X_{3} ... X_{n}, Y = y)$$

$$... P(X_{n} \mid Y = y)P(Y = y)$$
(2)

Modeling $P(Y=y)P(X_1,X_2...X_n \mid Y=y)$ is difficult because there are too many parameters, and in most cases, we will run out of space and training data. Instead we assume that the features $X_1,X_2,X_3,...X_n$ are independent given the labels Y.We can reformulate "(2)" as

$$P(Y = y \mid X_1, X_2, \dots, X_n) = \prod_{i=1}^{n} P(X_i \mid Y = y) \quad (3)$$

Therefore we have

$$P(Y = y \mid \mathbf{X})$$

$$= \frac{P(Y = y)}{P(\mathbf{X})} \prod_{i=1}^{n} P(X_i \mid Y = y)$$
(4)

"(4)" is equivalent to

$$\arg\max_{y\in Y} P(Y=y) \prod_{i=1}^{n} P(X_i \mid C=k)$$
(5)

Since $P(\mathbf{X})$ is constant and does not depend on y, we drop it. This transformation makes the problem computable [10]. The methods described above were implemented in Python

using the Scikit-learn machine-learning library, and the results obtained from them are described in the next chapter.

IV. RESULTS AND DISCUSSION

Having discussed the methods used in classifying the MNIST dataset, this section discusses, evaluates, and compares the results from these methods.

Table I shows the classification report after training the KNN and Naive Bayes algorithm on the 60000 training set and 10000 test set. Comparing KNN with k=3 nearest neighbors to Gaussian naive Bayes, KNN had an accuracy rate of 97% and an error rate of 3%, and naive Bayes had an accuracy of 56% and an error rate of 44%. The poor performance of the naive Bayes algorithm can be attributed to the nonnormal nature of the pixel distribution. Reproducing the analysis with a distribution that closely models the MNIST data can improve the performance of the Naive Bayes model.

TABLE I
PRECISION, RECALL AND F1-SCORE FOR KNN AND NAIVE BAYES

	KNN			Naive Bayes		
	Precision	Recall	F1-score	Precision	Recall	F1-score
0	0.96	0.99	0.98	0.79	0.89	0.84
1	0.95	1.00	0.98	0.85	0.95	0.90
2 3	0.98	0.96	0.97	0.90	0.26	0.40
3	0.96	0.97	0.97	0.71	0.35	0.47
4	0.98	0.96	0.97	0.88	0.17	0.29
5	0.97	0.97	0.97	0.55	0.05	0.09
6	0.98	0.99	0.98	0.65	0.93	0.77
7	0.96	0.96	0.96	0.88	0.27	0.42
8	0.99	0.94	0.96	0.28	0.67	0.40
9	0.96	0.95	0.95	0.37	0.95	0.53

^aError Rate KNN: 0.03.

^dAccuracy Rate Naive Bayes: 56%.

The distribution of misclassified labels for KNN and naive Bayes is shown in Fig. 5 and Fig. 6, respectively, with KNN having fewer misclassified labels.

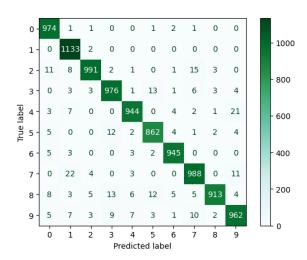


Fig. 5. Confusion Matrix for KNN

^bAccuracy Rate for KNN: 97%.

^cError Rate for Naive Bayes: 0.44.

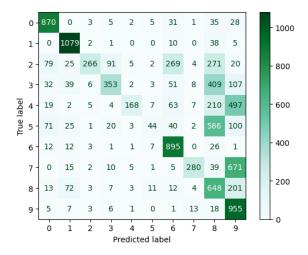


Fig. 6. Confusion Matrix for Naive Bayes

Receiver operating characteristic (ROC) curves of the KNN and Naive Bayes models are displayed in Fig. 7. The area under the ROC curve shows the probability that the model will perform better when given a new instance. Fig. 7 shows that the KNN model will likely perform better than the Naive Bayes model.

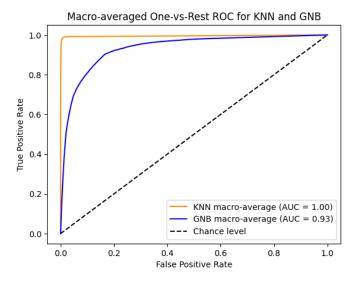


Fig. 7. ROC curve for KNN and Naive Bayes

V. CONCLUSION

This project was undertaken to explore, evaluate, and compare machine learning algorithms on the widely-used MNIST dataset. Due to the simplicity of the MNIST dataset, it has widely been used to benchmark most machine-learning algorithms. Based on the evaluation metrics used in this study, the K-Nearest Neighbors (KNN) algorithm came up as the best model compared with Naive Bayes. Even though the KNN algorithm is computationally expensive, it can still provide good performance and predictions. One source of weakness in

this study that could have affected the Naive Bayes algorithm's performance is the pixel intensity distribution. For further studies, more information on pixel distribution would improve the performance of the Naive Bayes algorithm.

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```
VI. APPENDIX
```

```
'magma')
                                                    60 plt.show()
1 # %% [markdown]
2 # # Importing libraries and Dataset
                                                    62 # %%
                                                    63 ### Distribution of pixel intensity
4 # %%
                                                    64 mean_all = np.mean(x_train)
                                                    65 median_all = np.median(x_train)
6 import pandas as pd
                                                    66 std_all = np.std(x_train)
7 import matplotlib.pyplot as plt
8 import numpy as np
                                                    68 print(f"Mean (training data): {mean_all:.2f}
9 from sklearn.manifold import TSNE
                                                    69 print(f"Median (training data): {median_all:.2
10 %matplotlib inline
ii from keras.datasets import mnist
                                                          f } ")
12 (x_train, y_train), (x_test, y_test) = mnist.
                                                    70 print(f"Standard Deviation (training data): {
      load_data()
                                                          std_all:.2f}")
14 # %% [markdown]
                                                    72 # Plot histogram for all images
15 # # Splitting, normalizing, rehspaing the
                                                    73 plt.hist(x_train.flatten(), bins=30, color='
     data.
                                                          gray', alpha=0.7)
                                                    74 plt.title('Pixel Intensity Distribution (
17 # %%
                                                          training data)')
                                                    75 plt.xlabel('Pixel Intensity')
18 # Normalizing Data
_{19} x_train = x_train / 255.0
                                                    76 plt.ylabel('Frequency')
20 \text{ x\_test} = \text{x\_test} / 255.0
                                                    77 plt.show()
22 # Reshaping Data
23 x_train = x_train.reshape(x_train.shape[0],
                                                    80 # %%
     -1)
24 x_test = x_test.reshape(x_test.shape[0], -1)
                                                    82 Tsne = TSNE (n_components=2, perplexity=30,
                                                          max_iter=1000, random_state=24)
25
                                                    83 model_tsne = Tsne.fit_transform(x_train)
27 # %% [markdown]
                                                    84
28 # # Exploratoty Data Analysis
                                                    85
                                                    86 # 응응
30 # 응응
                                                    87 plt.figure(figsize=(12,9))
31 ## How a single entry in dataset looks like
                                                    88 scta_plt = plt.scatter(model_tsne[:,0],
32 instance1 = x_train[2]
                                                          model_tsne[:,1],
print(instance1.reshape(1,28,28))
                                                                               c=y_train.astype(int),
34
                                                                                   cmap='tab10', s= 4)
                                                    90 plt.colorbar(scta_plt, ticks=np.unique(y_train
35
36 # %%
                                                        .astype(int)),
                                                                    label="Digits")
37 ## Vizualizations
38 colorbar = ['tab:red',
                                                    92 plt.title('t-distributed stochastic neighbor
             'tab:blue',
                                                         embedding of MNIST')
39
              'tab:olive',
                                                    93 plt.show()
40
              'tab:orange',
41
              'tab:green',
                                                    95 # %% [markdown]
42
              'tab:purple',
                                                    % # # Fiting the model
43
              'tab:brown',
44
                                                    97
              'tab:pink',
                                                    98 # 응응
45
              'tab:gray',
                                                    99 #### fiting the model
              'tab:cyan']
47
                                                    100 from sklearn.neighbors import
                                                          KNeighborsClassifier
49 labels = pd.DataFrame(y_train)
                                                    101 KNN_model = KNeighborsClassifier()
50 labels.rename(columns= {0:'Target'}, inplace= 102 KNN_model.fit(x_train,y_train)
1 labels.groupby(['Target']).size().plot(kind='
     bar', color=colorbar)
                                                   105 # 응응
                                                   106 ## Model prediction
52
                                                    predict = KNN_model.predict(x_test)
54 # vizualizing all the 10 categories in the
     dataset
                                                   109
55 plt.figure(figsize=(12,10))
                                                   110 # %% [markdown]
56 \text{ x}, \text{ y} = 10, 6
                                                   # ## Model evaluation
57 for i in range (30):
                                                   112
                                                   113 # %%
    plt.subplot(y, x, i+1)
```

plt.imshow(x_train[i].reshape(28,28),cmap=

```
170 from sklearn.preprocessing import
114
115 from sklearn import metrics
                                                           LabelBinarizer
116 evalte = metrics.classification_report(y_test, 171 from sklearn.metrics import RocCurveDisplay
      predict)
117 print (evalte)
                                                    173 label_binarizer = LabelBinarizer().fit(y_train
118
                                                     174 y_onehot_test = label_binarizer.transform(
119 #
120 ## vizulaize
                                                           y_test)
121 from sklearn.metrics import confusion_matrix,
                                                    175 y_onehot_test.shape # (n_samples, n_classes)
      ConfusionMatrixDisplay
                                                    176
122 conf_mat = confusion_matrix(y_test,predict)
123 conf_mat_disp = ConfusionMatrixDisplay(
                                                    178
      conf_mat)
                                                     179 y_score = model_gnb.fit(x_train, y_train).
124 conf_mat_disp.plot(cmap=plt.cm.BuGn)
                                                           predict_proba(x_test)
125 plt.show()
                                                    180
                                                    display = RocCurveDisplay.from_predictions(
126
127 # %%
                                                     182
                                                           y_onehot_test.ravel(),
128 from sklearn.metrics import precision_score,
                                                           y_score.ravel(),
                                                     183
      recall_score, accuracy_score, f1_score
                                                           name="micro-average OvR",
                                                    184
129 accuracy_score(y_test,predict)
                                                           color="darkorange",
                                                    185
130 precision_score(y_test, predict, average='
                                                           plot_chance_level=True,
      weighted')
                                                     187 )
isi recall_score(y_test,predict, average='weighted iss _ = display.ax_.set(
      ′)
                                                           xlabel="False Positive Rate",
                                                    189
132 f1_score(y_test, predict, average='weighted')
                                                           ylabel="True Positive Rate",
                                                    190
                                                           title="Micro-averaged One-vs-Rest\
                                                               nReceiver Operating Characteristic",
134
135 # %%
                                                     192
136 ### implement naive bayes Gaussian
                                                    193
137 from sklearn.naive_bayes import GaussianNB
                                                    194
138 model_gnb = GaussianNB()
                                                     195 # %%
139 model_gnb_fit= model_gnb.fit(x_train,y_train)
                                                    196 from sklearn.preprocessing import
                                                           LabelBinarizer
140
141
                                                    197 from sklearn.metrics import RocCurveDisplay
142 # %%
                                                    198 import matplotlib.pyplot as plt
143 ## predict
                                                    199
144 y_gnb_pred = model_gnb_fit.predict(x_test)
                                                    201 # Binarize the labels
145
146 # %%
                                                     202 label_binarizer = LabelBinarizer().fit(y_train
gnb_report = metrics.classification_report(
                                                     203 y_onehot_test = label_binarizer.transform(
      y_test,y_gnb_pred)
148 print(gnb_report)
                                                           y_test)
149
                                                    204
150 # 응응
151 ### confusion matrix
                                                    206 y_score_knn = KNN_model.fit(x_train, y_train).
152 gnb_conf_mat = confusion_matrix(y_test,
                                                           predict_proba(x_test)
      y_gnb_pred)
gnb_conf_mat_disp = ConfusionMatrixDisplay(
                                                     208 y_score_gnb = model_gnb.fit(x_train, y_train).
      gnb_conf_mat)
                                                           predict_proba(x_test)
154 gnb_conf_mat_disp.plot(cmap=plt.cm.BuGn)
155 plt.show()
                                                    210 # Plot for KNN model
                                                    211 display_knn = RocCurveDisplay.from_predictions
157 # %%
                                                           (
                                                           y_onehot_test.ravel(),
158 ## evaluation metrics
                                                           y_score_knn.ravel(),
                                                           name="KNN micro-average OvR",
160 accuracy_score(y_test,y_gnb_pred)
                                                    214
precision_score(y_test,y_gnb_pred, average='
                                                           color="darkorange",
      weighted')
                                                    216
                                                           plot_chance_level=True,
recall_score(y_test,y_gnb_pred, average='
                                                    217
      weighted')
                                                    218 _ = display_knn.ax_.set(
163 f1_score(y_test,y_gnb_pred,average='weighted')
                                                           xlabel="False Positive Rate",
                                                    219
                                                           ylabel="True Positive Rate",
                                                    220
                                                           title="Micro-averaged One-vs-Rest ROC for
165
166 # %% [markdown]
                                                               KNN and GNB"
167 # ## Auc/ROC
                                                    222 )
                                                    224 # Plot for GNB model
169 # 응응
```

```
225 RocCurveDisplay.from_predictions(
226     y_onehot_test.ravel(),
227     y_score_gnb.ravel(),
228     name="GNB micro-average OvR",
229     color="blue",
230     ax=display_knn.ax_,
231     plot_chance_level=False,
232 )
233
234  # Show the plot
235 plt.show()
```