

# BIOE 210, Spring 2021

## Homework 4

**Due Monday, 3/22/2021 by 5:00pm.**

Upload your answers to Gradescope. If submitting a single PDF, you must mark the location of all answers.

### Part I (8 points)

We want to find a root for the nonlinear system

$$\mathbf{g}(\mathbf{x}) = \begin{pmatrix} x_2 \cos x_1 \\ 2x_2^2 - 1 \end{pmatrix}$$

We will use Newton's method to find a vector  $\mathbf{x}$  such that  $\mathbf{g}(\mathbf{x}) = \mathbf{0}$  starting from the initial guess  $\mathbf{x}^{(0)} = \begin{pmatrix} 1 \\ 1 \end{pmatrix}$ .

1. Write the Jacobian matrix  $\mathbf{J}(\mathbf{x})$  for the system of equations.
2. Show that  $\mathbf{x}^{(0)}$  is not already a root by verifying that  $\mathbf{g}(\mathbf{x}^{(0)}) \neq \mathbf{0}$ .
3. Using Newton's method, find a new guess  $\mathbf{x}^{(1)}$  using  $\mathbf{x}^{(0)}$ . Calculate  $\mathbf{g}(\mathbf{x}^{(1)})$ . You are welcome to use MATLAB or a calculator to invert  $\mathbf{J}(\mathbf{x})$  and perform any matrix multiplication.
4. Perform two more iterations to find  $\mathbf{x}^{(2)}$  and  $\mathbf{x}^{(3)}$ . Show that the values  $\mathbf{g}(\mathbf{x}^{(2)})$  and  $\mathbf{g}(\mathbf{x}^{(3)})$  approach  $\mathbf{0}$ .

### Part II (12 points)

Your goal is to solve the problem

$$\min_{\mathbf{x}} f(\mathbf{x}) = (x_1 - 2)^2 + (x_2 + 3)^2 + (x_3 - x_1)^2$$

using gradient descent with  $\mathbf{x}^{(0)} = \mathbf{0}$  and  $\alpha = 0.01$ .

1. Define functions for the function  $f$  and its gradient  $\mathbf{g}$ . One option is to use anonymous function in MATLAB. For example,  $f$  can be defined

$$f = @(x) (x(1)-2)^2 + (x(2)+3)^2 + (x(3)-x(1))^2;$$

allowing you to evaluate  $f(\mathbf{x})$  for a vector  $\mathbf{x}$ . The gradient  $\mathbf{g}$  can be defined similarly, returning a vector instead of a single value.

2. You will perform 1000 iterations of gradient descent and store the iterates  $\mathbf{x}^{(k)}$  and function values  $y^{(k)} = f(\mathbf{x}^{(k)})$  for each iteration. Use the `zeros` function to initialize a  $1000 \times 3$  matrix  $\mathbf{X}$  for the iterates and a  $1000 \times 1$  matrix  $\mathbf{y}$  to store the function values.
3. Write a for loop to calculate the new iterate, storing the iterate and the function value at each iteration.
4. Plot the function values  $y^{(k)}$  vs.  $k$ .

5. Plot all three entries of  $\mathbf{x}$  ( $x_1$ ,  $x_2$ , and  $x_3$ ) vs.  $k$  on the same plot. You can use `plot(X)` to quickly plot the columns of a matrix.
6. Re-run your code using step sizes  $\alpha = 0.1$  and  $\alpha = 0.001$ . Show the plots of  $\mathbf{x}$  vs.  $k$  for each value of  $\alpha$ . (You do not need to include new plots for  $y$ .) Discuss why changing  $\alpha$  has the effect it does.

### Part III (6 points)

Gene editing is a rapidly expanding branch of biomedical research. Newly-discovered enzymes allow bioengineers to modify the genetic code of an organism. One such tool is the CRISPR-Cas9 complex. CRISPR (clustered regularly interspaced short palindromic repeats) refers to a family of DNA that is stored in the genetic code of select prokaryotes. These DNA sequences are acquired from bacteriophage after a survived infection and act as an adaptive immune system for a single cell. When there is a repeat exposure to the same strain of bacteriophage, these sequences of DNA are transcribed and combine with Cas9, guiding them to the foreign genetic material for destruction. This guiding happens stochastically and is the result of complementary nucleotide interactions. Clearly, CRISPR-Cas9 could be put to great use in the medical field if given the right target. There are already clinical trials in the works for a CRISPR-Cas9 engineered cancer fighting “CAR T-cell” therapy.

To apply CRISPR-Cas9, a plasmid encoding a 20 nucleotide protospacer guide RNA (gRNA) and the Cas9 protein is introduced to the cell. Plasmids can be transfected directly into cells, or viral vectors are used to transduce the cells. Despite being one of the most efficient and reliable means of genetic modification, Cas9 activity is still promiscuous, inconsistent, and requires a protospacer adjacent motif (PAM). In other words, there are several factors that go into determining if editing will be successful or not:

- Protospacer length.
- PAM (optimized or not).
- Presence of DNA methylation.
- Number of mismatches between the guide and target sequences.

Your goal is to use logistic regression to predict the probability of successful cleavage by Cas9. Download and load the file `cas9.mat`. The file contains a MATLAB table `cas9` with 100 observations of the above predictors and the success of cleavage.

1. Fit a logistic regression model to predict the probability of cleavage using all of the predictors in the table. Show the output of the model.
2. Which of the predictor variables have a significant effect on the probability of Cas9 cleavage?
3. For each of the significant effects, calculate the odds ratio for a unit change in the input variable.