

Normalization of Blood Carbon Dioxide Levels by Transition From Conventional Ventilatory Support to Noninvasive Inspiratory Aids

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• Chronic hypocapnia seems to be common in long-term ventilator assisted individuals (VAIs) with paralytic/restrictive respiratory conditions. It has predominantly been reported for VAIs using intermittent positive pressure ventilation (IPPV) delivered via tracheostomy tubes. Chronic hypocapnia decreases ventilator-free breathing time (VFBT) and may be associated with increased bone resorption. Attempts to reverse chronic hypocapnia by decreasing minute ventilation and providing supplemental carbon dioxide have failed because of air hunger and patient resistance. We maintained normocapnia in 22 24-hour-a-day VAIs by using noninvasive IPPV. Chronic hypocapnia was corrected in three VAIs and hypercapnia in two VAIs by switching from conventional ventilatory support to the use of noninvasive inspiratory muscle aids. The other 17 VAIs remained normocapnic by being managed by noninvasive ventilatory support from onset of ventilatory failure. Eleven of these VAIs had been intubated or tracheostomized for brief periods but were successfully returned to noninvasive support. We conclude that alveolar ventilation can be maintained within normal range for VAIs who use noninvasive IPPV and can be normalized by transition from conventional tracheostomy IPPV to noninvasive IPPV.

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In autonomously breathing control subjects arterial carbon dioxide tensions (PaCO_2) range from 36 to 42 torr. End-tidal carbon dioxide tensions (EtCO_2) are 1 to 2 torr lower than PaCO_2 for control subjects as well as for ventilator-assisted individuals (VAIs) without significant intrinsic pulmonary disease.¹ Until recently, little attention has been given to the carbon dioxide tensions of chronic VAIs. Then, in 1989, Banzett and coworkers described chronic hypocapnia in a high-level spinal cord injured (SCI) individual receiving 24-hour-a-day intermittent positive pressure ventilation (IPPV) via a tracheostomy tube.² In 1992 Manning and colleagues reported severe hypocapnia in five tracheostomy IPPV supported traumatic SCI individuals with vital capacities (VCs) less than 90 mL.³ We have described chronic hyperventilation in 33 VAIs with a variety of paralytic/restrictive conditions (J.R.B., unpublished data, 1994). Patterson and colleagues reported similarly severe hypocapnia in a poliomyelitis VAI with less than 30mL of VC supported by an iron lung.

Patterson suggested that their hypocapnic patient "was unable to increase the magnitude of the volley of impulses from the stretch receptors of the lung, or the frequency of these volleys (and was therefore) unable to increase by the Hering-Breuer mechanism the inhibition of inspiratory cell

discharge in the respiratory center." Earlier, Wright⁵ suggested that loss of such inhibition might play a central role in the production of breathlessness. All of the hypocapnic VAIs described were unable to trigger their ventilators and had maintained the same ventilator delivered volumes for extended periods of time. Thus, the absence of control over the inspiratory effort may be in some way related to the increased inspiratory cell activity in the production of breathlessness at hypocapnic levels.

Air satiety is governed by PaCO_2 .⁶ In control subjects any increase in PaCO_2 from baseline causes an increase in alveolar ventilation.⁷ In an attempt to reverse chronic hypocapnia in VAIs, the tracheostomy IPPV users experienced severe dyspnea when with the addition of CO_2 to inspired gases PaCO_2 was increased by a mean of 18 torr to a PaCO_2 of 38 torr.⁷ In another study of hypocapnic tracheostomy IPPV users elevations of PaCO_2 by only 10mmHg created air hunger.² When IPPV tidal volumes were decreased to reverse hypocapnia dyspnea began at a PaCO_2 of 26 torr.⁷ When supplemental oxygen was given breath-holding could be maintained to an increase of 16 torr, however, the increased tolerance to PaCO_2 was dependent on continued oxygen supplementation.⁸ Gradual reductions in tidal volume also created intolerable air hunger in SCI tracheostomy IPPV users whose PaCO_2 and PaO_2 were maintained constant by control of the inspired gas mixture concentrations.³

Tracheostomy IPPV provides essentially a closed system of ventilatory support in which alveolar ventilation is supported passively. Open noninvasive systems of ventilatory support include the delivery of IPPV via nose (fig 1) or mouthpieces (figs 2 and 3) or, occasionally, interfaces that cover both the nose and mouth.⁹⁻¹⁴ Although mouthpiece IPPV is delivered via simple mouthpieces fixed adjacent to or held in the mouth for daytime ventilatory support,

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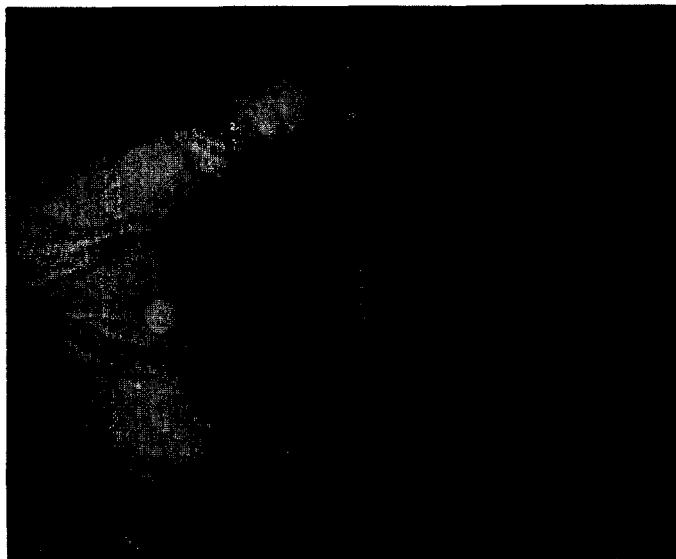


Fig 1—Case 5 from table 1. The tracheostomy tube was removed and the patient converted directly to 24-hour noninvasive IPPV. He used nasal IPPV overnight as pictured here and mouthpiece IPPV during daytime hours.

mouthpiece retention and IPPV effectiveness are greatly improved during sleep when a lipseal^a is used for mouthpiece retention. Mouthpiece and nasal IPPV can be successful for as much as 24-hour ventilatory support in VAIs with little or no VC or ventilator-free breathing time (VFBT), however, they seem to be dependent on the intactness of ventilatory drive for their success. As VAIs using noninvasive IPPV sleep, ventilator delivered (insufflation) volumes leak out of the nose or mouth rather than go entirely into the lung. Higher ventilator delivered volumes than those typically used during conventional tracheostomy IPPV compensate in part for variable air delivery leakage during noninvasive IPPV.⁹ Chemotaxic mediated reflex muscular activity, however, which most often occurs with sleep-stage changes, intermittently decreases or eliminates leakage to normalize oxyhemoglobin saturation and avert excessive hypoxia and hypoventilation during sleep (J.R.B., unpublished data, 1994).



Fig 2—Case 3 from table 1. A gooseneck clamp attached to the wheelchair frame maintained the mouthpiece near this patient's mouth for easy access.



Fig 3—This Duchenne muscular dystrophy patient with no ventilator-free breathing time is using mouthpiece IPPV with lipseal^a retention for nocturnal ventilatory support.

The purpose of this study was to determine if chronic hypocapnia could be reversed by switching chronically hyperventilated tracheostomy IPPV users with little or no VFBT to noninvasive methods of ventilatory support. No previous studies have attempted this. In addition, we report 17 VAIs who remained essentially normocapnic despite using noninvasive methods of ventilatory support 24 hours a day.

PATIENTS AND METHODS

The patient records were reviewed of VAIs managed in two long-term rehabilitation ventilator units. All of the VAIs used portable volume or pressure triggered ventilators around the clock. The inclusion criteria was severity of respiratory insufficiency such that no more than a maximum of 40 minutes of VFBT was possible at any time during the study period. Subjects were excluded when the presence of parenchymal lung disease necessitated the use of supplemental oxygen while using IPPV. All were surveyed for symptoms of sleep-disordered breathing or chronic alveolar hypoventilation and underwent arterial or EtCO₂ evaluations. Five VAIs who were transferred from tracheostomy IPPV to noninvasive IPPV had arterial blood gas and/or EtCO₂ measurements performed both before and after transition. Their ages, pulmonary function, CO₂ tensions, and ventilator use histories are shown in table 1.

In addition, 17 chronically VAIs who underwent multiple evaluations of EtCO₂ yearly for 4 to 20 consecutive years while having less than 40 minutes of VFBT were identified and studied. Although all used noninvasive ventilatory support 24-hours-a-day throughout the study period, 9 had been temporarily intubated to receive IPPV during surgical procedures, and 2 were briefly tracheostomized. These 11 VAIs all successfully returned to 24-hour noninvasive ventilatory support. The population consisted of 11 men and 6 women

Table 1: Carbon Dioxide Tensions for Ventilator Users Switched From Tracheostomy to Nontracheostomy Methods

At the Time of Transition to Noninvasive IPPV							CO ₂ (torr) [†]		
Case	Diagnosis	Age*	Sex	VC [†]	VFBT [‡]	Trach IPPV	Range	Mean	Pts
1	SCI	71.4	M	120	<1	7/90-11/90	18-23	21	4A
2	SCI	17.2	F	180	<1	2/93-6/93	16-22	19	7B
3	MD	38.6	F	125	<1	5/87-7/92	24-31	27	8A
4	MD	48.7	F	820		11/88-8/90	65-73	68	6A
5	Res	53.6	M	570	<1	2/90-7/90	47-68	55	7A

After Transition to Noninvasive IPPV					CO ₂ (torr)	
VFBT**	Noninvasive IPPV	Range	Mean	Pts		
<1	11/90-3/92	32-36	34	5B		
20 ^{††}	6/93-present	31-34	33	3B		
480 ^{††}	7/92-6/93	32-41	36	4B		
120	8/90-present	35-42	39	3B		
20	7/90-present	34-41	37	5B		

Abbreviations: MD, muscular dystrophy; Res, restrictive pulmonary syndrome associated with congenital lymphedema and lung resection; trach, tracheostomy.

* Age at onset of ventilator dependence.

† Vital capacity in milliliters at the time of transition from tracheostomy to noninvasive IPPV.

‡ VFBT in minutes at the time of transition from tracheostomy IPPV to noninvasive IPPV. VFBT is defined as the longest period of time that autonomous breathing can be tolerated without dyspnea, blood gas derangement, and need to return to ventilatory support.

|| Chronically hypercapnic during unassisted breathing but able to decrease PaCO₂ to normal limits for 5 to 10 minutes by volitionally increasing tidal volumes.

† CO₂ range, mean, and the number of CO₂ determinations (pts) in different months by arterial blood gas analyses (A) or by end tidal PCO₂ analyses (B).

** VFBT in minutes 3 months after transition to noninvasive IPPV.

†† With glossopharyngeal breathing.

with a mean age of 47 ± 15 years at the time of the first recorded EtCO₂ in the study. Their diagnoses, VCs, and ventilator-use histories are listed in table 2.

The EtCO₂ values were averaged in 6-month blocks as one interval mean. At the time of each EtCO₂ evaluation the VAIs had no signs of acute respiratory illness during which increased weakness and airway secretions might have decreased ventilatory capacity and increased EtCO₂. The EtCO₂ was measured with a LB3 capnograph^b or a Microspan 8090 capnograph.^c These devices were calibrated before each measurement. All measurements were noted when the VAIs with no VFBT were using their ventilators or while

breathing comfortably within minutes of beginning autonomous breathing when possible.

RESULTS

None of the VAIs in this study reported respiratory symptoms. The mean EtCO₂ levels for the 17 noninvasive VAIs are listed in table 3. The pre-transition and posttransition (from chronic tracheostomy IPPV to noninvasive IPPV) carbon dioxide tensions of the 5 VAIs so managed are indicated in table 1. Only case 4 had significant VFBT.

Case 1 was a 71-year-old man with complete C2 tetraplegia. He had frequent aspiration and pooling of food and

Table 2: Extent of Need and Methods of Ventilatory Support

Diagnoses [†]	Users	Noninvasive Ventilatory Assistance Methods*		Years [†]	Years**
		Age [‡]	VC		
ALS	2	69 ± 4	300 ± 113	4.0 ± 0.2	0.2 ± 0.1
Encephalopathy	2	71 ± 1	440 ± 240	8.0 ± 2.6	1.5 ± 2.3
Myopathy	7	37 ± 9	137 ± 116	17.3 ± 7.8	8.1 ± 4.0
Polio	5	44 ± 8	204 ± 319	32.8 ± 7.9	11.6 ± 3.8
Myelopathy	1	51	360	19	0.5
Total	17	48 ± 15	264 ± 252	19.8 ± 7.7	4.4 ± 3.4

* Daytime methods: 12, mouthpiece IPPV; 5, intermittent abdominal pressure ventilator (IAPV); nocturnal use methods: 12, lipseal IPPV; 4, IAPV; 1, iron lung.

† They had the following diagnoses: ALS, amyotrophic lateral sclerosis; encephalopathy, brainstem hemorrhage, arthrogryposis multiplex, Friedrich's ataxia, meningitis, multiple sclerosis, cerebrovascular disease; myopathy, Duchenne muscular dystrophy and other myopathies; polio, postpoliomyelitis; and myelopathy.

‡ Age at the last EtCO₂ measurement in the study.

|| Vital capacity at the first EtCO₂ measurement in the study.

† Years of ventilator use.

** Years of ventilator use before first EtCO₂ measurement.

Table 3: End-Tidal PCO₂ Levels for 17 Noninvasive IPPV Users

Diagnosis	In the Sitting Position			In the Supine Position On Noninvasive IPPV		
	6-Month*	Data Pts [†]	EtCO ₂ (torr)	6-Month*	Data Pts [†]	EtCO ₂ (torr)
ALS	11	2.3	31.4 ± 3.6	12	2.1	30.3 ± 3.5
Encephalop	19	2.3	39.6 ± 5.8	18	2.3	34.8 ± 5.7
MD	58	3.2	40.8 ± 8.6	42	2.9	37.6 ± 7.6
Polio	54	2.6	30.8 ± 8.7	37	2.3	30.8 ± 8.8
Myelopathy	11	2.1	34.2 ± 2.3	10	2.0	31.1 ± 6.8
Total	153	2.7	36.0 ± 6.8	119	2.5	33.8 ± 8.0

* 6-month intervals with at least one EtCO₂ level determination.

[†] Independent observations of EtCO₂ per 6-month interval with observations separated by 1 month or more.

fluids in his right piriform sinus. He was converted to 24-hour noninvasive IPPV and relied heavily on manual and mechanical coughing aids, ie, mechanical insufflation-exsufflation,¹⁵ to eliminate airway secretions and other debris without the need for tracheal intubation and suctioning.

Case 2 was a 17-year-old woman with complete C2 tetraplegia who following conversion to 24-hour noninvasive IPPV mastered glossopharyngeal breathing (GPB) sufficiently to be free from ventilatory support for up to 20-minute periods.

Case 3 was a patient who used tracheostomy IPPV for 5.5 years with no VFBT. She had more than 30 febrile episodes associated with tracheitis treated by intravenous antibiotic therapy in 5.5 years. She has experienced no febrile episodes during at least the first year following conversion to nocturnal nasal IPPV and daytime mouthpiece IPPV and has mastered GPB sufficiently for up to 8 hours of VFBT. Figure 4 is a graphic representation of her pretransition and posttransition blood carbon dioxide tensions.

Only case 4 had significant VFBT, albeit with chronic hypercapnia, when transferred to noninvasive aid. She did not respond to weaning attempts over a 6-month period and was being managed on synchronized intermittent mandatory ventilation with supplemental oxygen despite arterial blood gas carbon dioxide tensions ranging from 65 to 73 torr. During a trial of chest-shell use her PaCO₂ was 70 torr. After

transition to daytime mouthpiece IPPV and nocturnal nasal IPPV, her EtCO₂ returned to normal range and she can now tolerate up to 2 hours of VFBT without hypercapnia despite having continued diminution in her VC.

Case 5 also had a significant increase in VFBT but this occurred with an increase in VC after transition to noninvasive IPPV. He had congenital lymphedema and had had a partial left lung resection during childhood. He complained of symptoms suggestive of chronic alveolar hypoventilation and progressive dyspnea for over 10 years for which he was hospitalized on three occasions over the past 3 years. He also noted that he had been taking bronchodilators for asthma since 1980 without apparent benefit. He was dyspneic on admission April 18, 1990, with an arterial blood gas of pH 7.43, pCO₂ 50, pO₂ 61, and bicarbonate 33.7. He developed progressive respiratory failure and was intubated on May 16th, subsequently tracheostomized, and lost all VFBT while using tracheostomy IPPV. Weaning attempts terminated as PaCO₂ levels quickly reached 60 torr. On August 30th he began using mouthpiece IPPV during daytime hours with pulse oximetry biofeedback¹⁶ and was able to tolerate mouthpiece IPPV most of the day and nasal IPPV overnight within 2 weeks. On September 11th the tracheostomy tube was removed and the site buttoned for 1 week before being allowed to close. Bronchodilators were discontinued. In January 1991, after discharge from rehabilitation, he returned to work as an accountant using a walker with a ventilator tray despite requiring 24-hour ventilatory support with less than 2 minutes of VFBT.¹⁷ His VFBT has subsequently increased to 20 minutes supine and 4 hours erect with increases in VC to 1,080mL. He has had no further respiratory symptoms or hospitalizations, and discovered that he never had asthma.

DISCUSSION

Neuromuscular tracheostomy IPPV users with less than 40 minutes of VFBT have a tendency to develop early and sustained hypocapnia irrespective of cuff status (J.R.B., unpublished data, 1994).⁴ When VAI's are switched from tracheostomy to noninvasive IPPV methods they can better initiate and control air delivery and vary insufflation volumes by air stacking and by controlling insufflation leakage.⁹ Lung insufflations also vary considerably during sleep as variable insufflation leak occurs around the interface and out of the nose or mouth.^{9-11,13}

Although during sleep central chemotaxic centers are less sensitive to PaCO₂ and hypoxia the successful use of noninvasive IPPV is dependent on central nervous system (CNS)

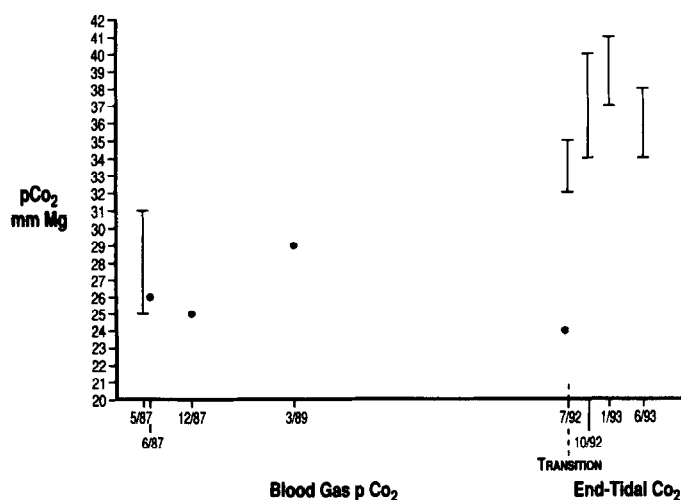


Fig 4—Graph of pretransition and posttransition carbon dioxide tensions for case 3.

mediated reflex muscular activity to avoid excessive insufflation leakage and hazardous hypoxia and hypoventilation. During nasal IPPV the oropharynx is intermittently reflexively sealed off to maintain adequate alveolar ventilation,¹³ whereas during mouthpiece IPPV the nasopharynx is intermittently sealed off by the soft palate. Thus, for noninvasive IPPV users, inspiratory volumes vary around the clock and chemotaxic ventilatory drive plays a key role in maintaining adequate alveolar ventilation. Negative pressure body ventilator use, on the other hand, does not seem to be dependent on CNS-mediated activity for effective use and can be associated with obstructive sleep apneas, which may cause hypoxia and chronic alveolar hypoventilation.¹⁸

Both hypercapnia and hypocapnia can cause disturbing symptoms,¹³ and when severe, lead to morbidity and mortality. Hypocapnia, which occurs at high altitudes, seems to be of minimal significance in habituated healthy individuals. The consequences of hypocapnia to wheelchair-confined VAIs may be very different. Hypocapnia necessitates the development of compensatory metabolic changes to avoid chronic respiratory alkalosis. A study by Schaefer on acclimatization to PaCO_2 affirmed previous investigations into calcium and phosphorus metabolism indicating that bone plays a major role in the storage of CO_2 .¹⁹ Freeman and Fenn noted an inverse correlation between CO_2 tensions and calcium excretion in hyperventilating rats.²⁰ Urinary bicarbonate excretion derived primarily from bone CO_2 stores is linked with concurrent elevation in calcium excretion in the urine. This provides a theoretical relationship between hypocapnia and accelerated osteoporosis. In a wheelchair-confined population this might increase the risk of pathological fractures. It deserves further research.

Chronic hypocapnia can also lead to an iatrogenic increase in ventilator dependence. Just as progressively severe metabolically compensated hypercapnia increases the dyspnea threshold associated with hypoxia and many severely hypercapnic individuals survive without ventilator use at the expense of severe symptomatology and increased risk of morbidity and mortality,¹³ as hypocapnia worsens for VAIs, the maximum tolerated VFBT decreases and dyspnea occurs with small increases in PaCO_2 . Decreases in blood and chemotaxic center bicarbonate levels may contribute to the diminution in VFBT. This is apparently one reason why we have observed that once individuals are switched from the use of noninvasive methods of ventilatory support to tracheostomy IPPV, often they soon require support 24-hours-a-day, whereas VFBT is increased by transition from tracheostomy IPPV to noninvasive IPPV. The loss in VFBT by transition to tracheostomy IPPV is counter to the goals of pulmonary rehabilitation, which include increasing independence and quality of life while decreasing morbidity and cost.

Noninvasive IPPV users only take assisted breaths when they feel the need. This optimizes the use of their respiratory muscles and relieves anxiety. Long-term tracheostomy IPPV, on the other hand, is usually prescribed at levels that eliminate or minimize autonomous breathing activity, especially for VAIs with little VC or VFBT. Thus, respiratory muscle deconditioning may be another explanation for the decrease in VFBT observed in tracheostomy IPPV users.

Four of the five VAIs reported in table 3 developed significantly greater VFBT after transition to noninvasive aids. In three cases this was without significant changes in pulmonary volumes. In two cases it was achieved by mastering GPB, a technique that these VAIs were unable to use while they had indwelling tracheostomy tubes.¹⁰

The appearance of hypocapnia can also hamper the ability to learn the compensatory inspiratory assist technique of GPB by decreasing the VFBT that facilitates practicing the technique. The presence of a tracheostomy tube can also allow too much air leakage around the tube during GPB to permit effective use.¹²

CONCLUSION

This study shows that VAIs switched from tracheostomy IPPV to the use of noninvasive IPPV methods can experience normalization in alveolar ventilation and an increase in VFBT. The volitional control of insufflation volumes and the nocturnal dependence on normal chemotaxic ventilatory drive seem to protect noninvasive IPPV users from chronic hypoventilation. Awareness of the frequency of chronic hypocapnia in tracheostomy IPPV users, and its possible reversal by transition to noninvasive IPPV, adds to the list of reasons that include decreased morbidity and cost,²¹ patient preference,²² and improved quality of life,²³⁻²⁵ for avoiding or eliminating tracheostomy in favor of the use of noninvasive respiratory muscle aids in appropriate VAIs.¹⁴

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Suppliers

- a. Lipseal, Puritan-Bennett, Incorporated, 4865 Sterling Drive, Boulder, CO 80301.
- b. LB3 capnograph, Sensor Medics Corporation, 22705 Savi Ranch Parkway, Yorba Linda, CA 92687.
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