# Probabilistic Models for Biological Sequences

#### Laboratory of Bioinformatics I Module 2

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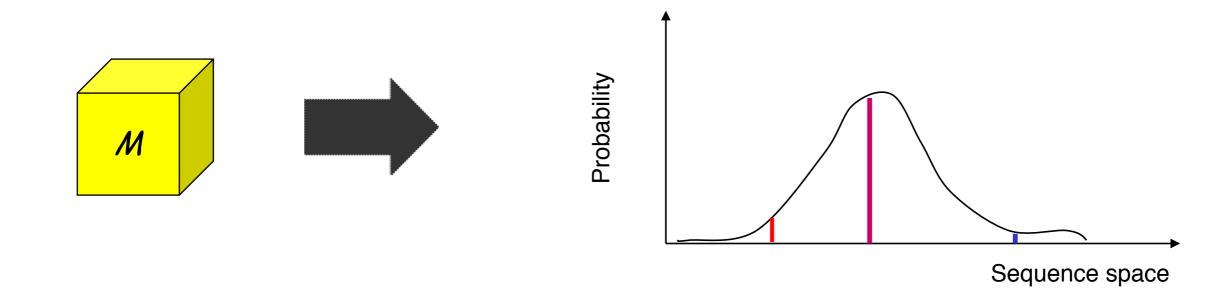
# Models for Sequence

#### Generative definition:

- Objects producing different outcomes (sequences) with different probabilities
- The probability distribution over the sequences space determines the model specificity

Generates  $s_i$  with probability  $P(s_i \mid M)$ 

e.g.: *M* is the representation of the family of globins



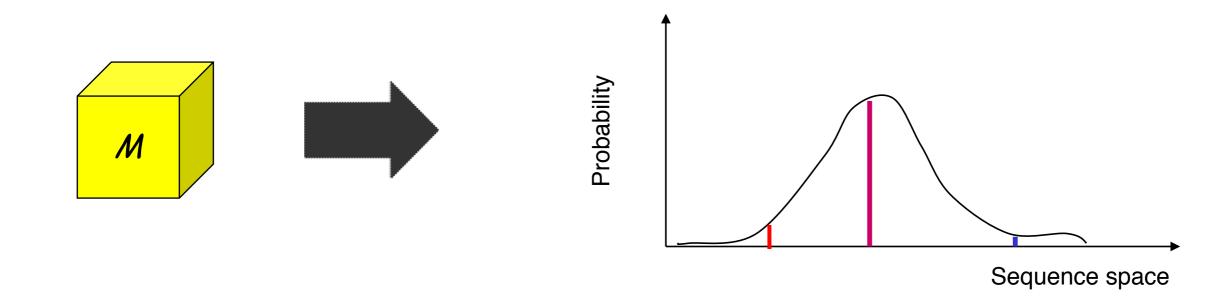
### **Associative Definition**

The generative definition is useful as operative definition

Objects that, given an outcome (sequence), compute a probability value

Calculates the associated probability  $P(s_i \mid M)$  to  $s_i$ .

e.g.: *M* is the representation of the family of globins

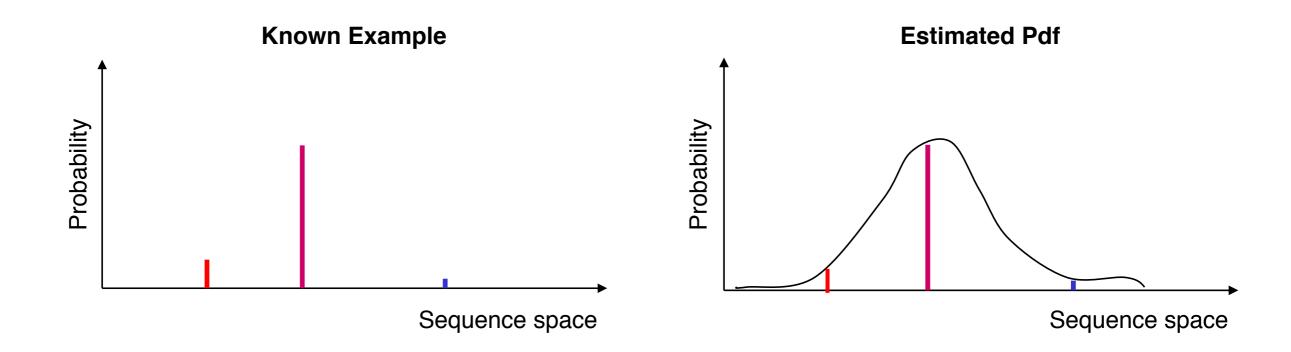


### Which Model?

The most useful probabilistic models are Trainable systems

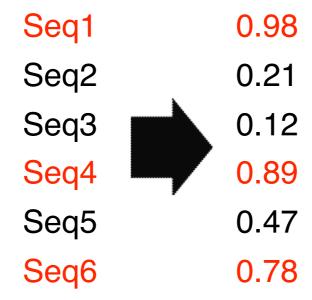
The probability density function over the sequence space can be estimated from known examples by a learning algorithm

Define a generic representation of the sequences of globins starting from a set of known globins



# Similarity Measure

Given a class of proteins (e.g. Globins), a probabilistic model trained on this family can be adopted to compute a probability value for new sequences



This value measures the similarity between the new sequence and the family described by the model

# Which Probability?

A model M associates to a sequence  $s_i$  the probability  $P(s_i \mid M)$ This probability answers the question:

Which is the probability for a model M (e.g. describing the Globins) to generate the sequence  $s_i$ ?

The question we want to answer is:

Given a sequence  $s_i$ , does it belong to the class described by the model M? (e.g. is it a Globin?)

We need to compute  $P(M \mid s_i)$ 

# **Bayes Theorem**

$$P(X,Y) = P(X \mid Y) P(Y) = P(Y \mid X) P(X)$$
 Joint probability

$$P(Y \mid X) = \frac{P(X \mid Y) P(Y)}{P(X)}$$

$$P(M \mid s_i) = \frac{P(s_i \mid M) P(M)}{P(s_i)}$$

P(M) and  $P(s_i)$ A priori probabilities

P(M) is the probability of the model (i.e. of the class described by the model) BEFORE we know the sequence:

Can be estimated as the abundance of the class

 $P(s_i)$  is the probability of the sequence in the sequence space.

Cannot be reliably estimated!!

# Comparing Models

We can overcome the problem comparing the probability of generating  $s_i$  from different models

$$\frac{P(M_1 \mid s_i)}{P(M_2 \mid s_i)} = \frac{P(s_i \mid M_1) P(M_1)}{P(s_i)} \frac{P(s_i)}{P(s_i \mid M_2) P(M_2)} = \frac{P(s_i \mid M_1) P(M_1)}{P(s_i \mid M_2) P(M_2)}$$

$$\frac{P(M_1)}{P(M_2)}$$

Ratio between the abundances of the classes

### **Null Model**

Alternatively, we can score a sequence for a model M comparing it to a Null Model:

a model that generates ALL the possible sequences with probabilities depending ONLY on letter (e.g. residue) statistical abundance

$$S(M \mid s_i) = log \frac{P(s_i \mid M)}{P(s_i \mid N)}$$
Sequences NOT belonging to model M

Sequences belonging to model M

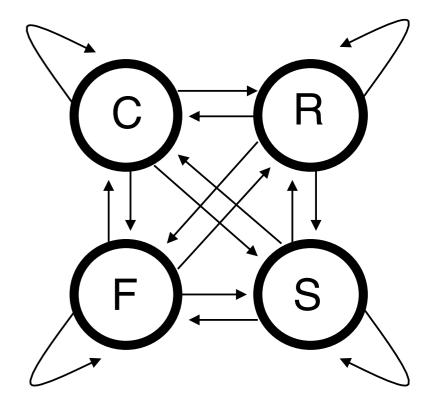
In this case we need a threshold and a statistic for evaluating the significance (E-value, P-value)

# A Simple Model

Time series of the weather conditions as a first hypothesis the weather condition in a day probabilistically depends ONLY on the weather conditions in the day before.

Define the conditional probabilities

P(CIC), P(CIR),.... P(RIC).....



The probability for the 5-days registration

**CRRCS** 

 $P(CRRCS) = P(C) \cdot P(RIC) \cdot P(RIR) \cdot P(CIR) \cdot P(SIC)$ 

C: Clouds

R: Rain

F: Fog

S: Sun

### Markov Model

Stochastic generator of sequences in which the probability of state in position *i* depends ONLY on the state in position *i-1* 

Given a set of states (== alphabet)

$$C = \{c_1; c_2; c_3; \dots c_N \}$$

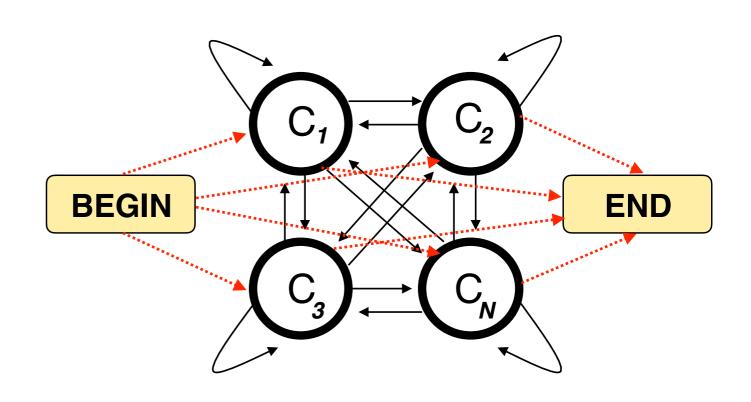
a Markov model is described with  $N \times (N+2)$  parameters

 $\{a_{r,t}, a_{BEGIN,t}, a_{r,END} \text{ with } r, t \in C\}$ 

$$a_{r,q} = P(s_i = q \mid s_{i-1} = r)$$
 $a_{BEGIN,q} = P(s_1 = q)$ 
 $a_{r,END} = P(s_T = END \mid s_{T-1} = r)$ 

$$\sum_{t} a_{r,t} + a_{r,END} = 1 \ \forall \ r$$

$$\sum_{t} a_{BEGIN,t} = 1$$



All transitions going out from a state sum up to 1

# Sequence Probability

#### Given the sequence:

$$s = s_1 s_2 s_3 s_4 s_6 \dots s_T$$
 with  $s_i \in C = \{c_1; c_2; c_3; \dots c_N\}$ 

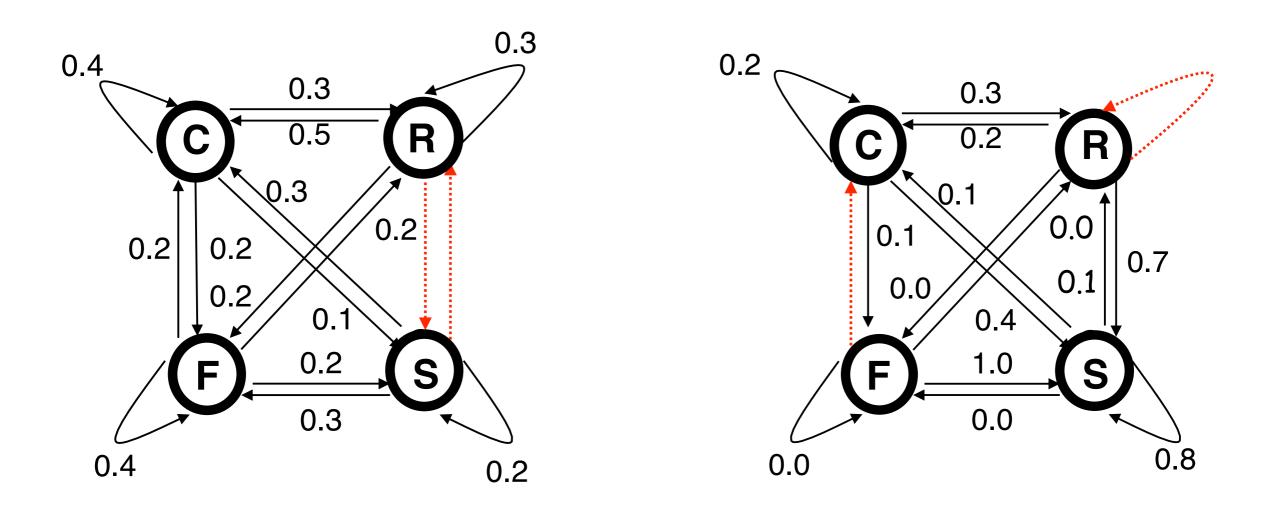
$$P(s \mid M) = P(s_1) \prod_{i=2}^{T} P(s_i \mid s_{i-1}) =$$

$$a_{BEGIN,s_1} \times \prod_{i=2}^{T} a_{s_{i-1},s_i} \times a_{s_{i}} \times a_{s_{i}}$$

$$P(ALKALI) = a_{BEGIN,A} \times a_{A,L} \times a_{L,K} \times a_{K,A} \times a_{A,L} \times a_{L,I} \times a_{I,END}$$

# **Probability Constrains**

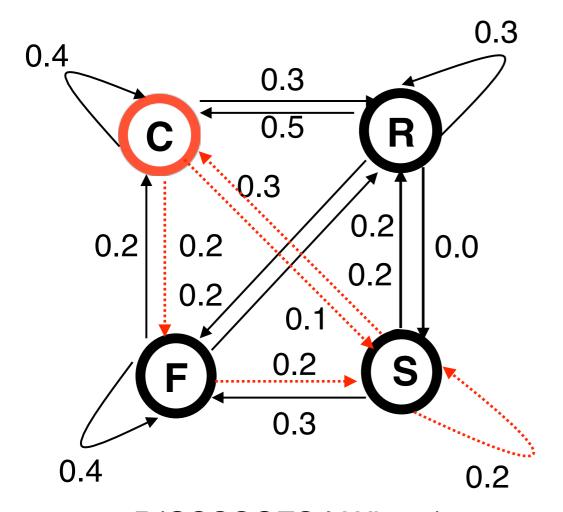
What are the missing probabilities given the constrains?



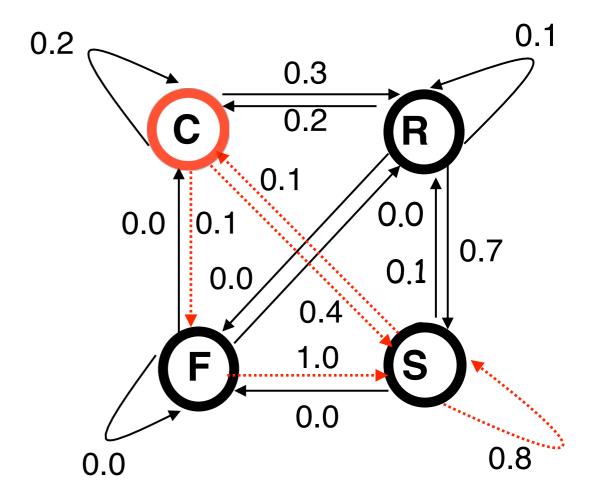
What is the better model to describe the weather in winter?

# **Probability Calculation**

Consider the sequence "CSSSCFS" and calculate its probability with both models when  $P(X \mid BEGIN) = 0.25$ 



P(CSSSCFS | Winter) = 0.25×0.1×0.2×0.2×0.3×0.2×0.2

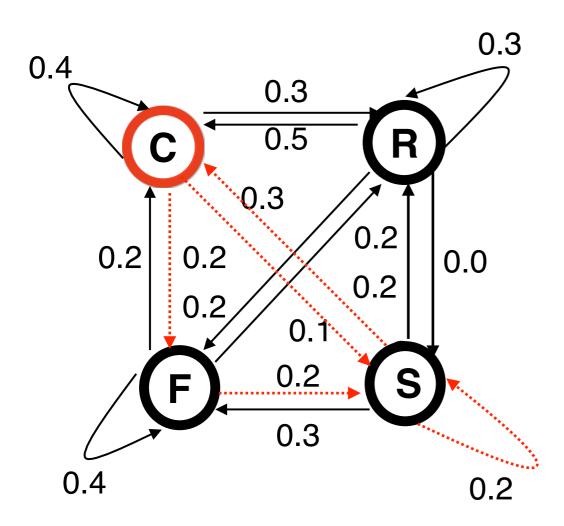


P(CSSSCFS | Summer) = 0.25×0.4×0.8×0.8×0.1×0.1×1.0

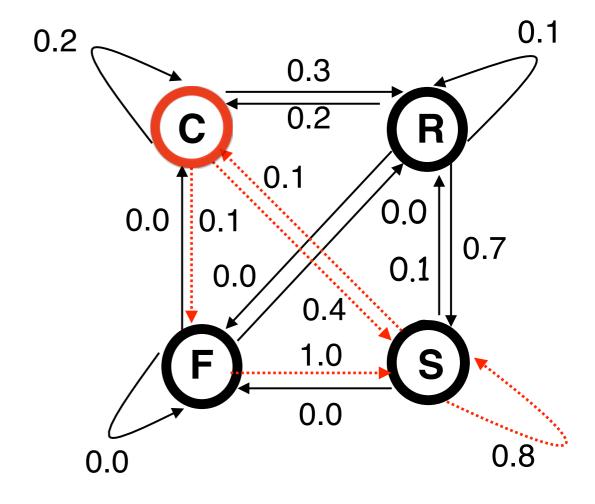
To which season the weather sequence is more likely to belong?

# Models Comparison

P (Seq I Winter) =  $1.2 \times 10^{-5}$ 

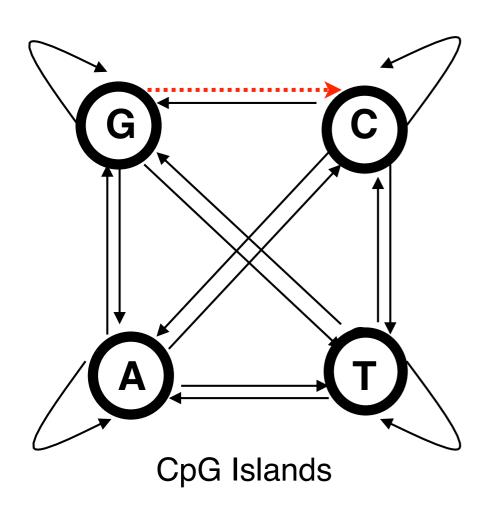


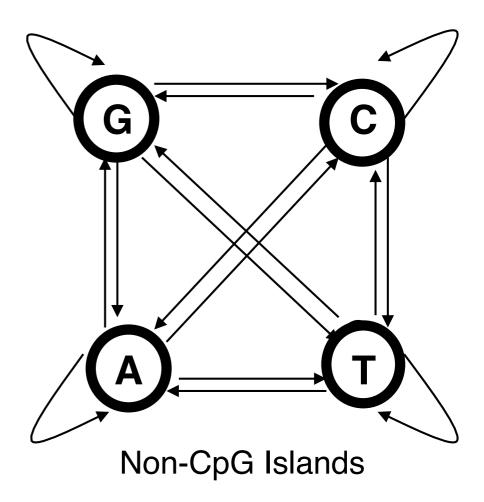
P (Seq I Summer) =  $6.4 \times 10^{-4}$ 



$$\frac{P(Summer | Seq)}{P(Winter | Seq)} = \frac{P(Seq | Summer)}{P(Seq | Winter)} \times \frac{P(Summer)}{P(Winter)} \quad with \quad \frac{P(Summer)}{P(Winter)} \approx \frac{P(Summer)}{P(Winter)} = \frac{P(Seq | Summer)}{P(Winter)} =$$

# Modeling CpG Islands





In the Markov Model of CpG Islands  $a_{GC}$  is higher than in Markov Model Non-CpG Islands

$$P(CpG | s) = \frac{P(s | CpG) \times P(CpG)}{P(s | CpG) \times P(CpG) + P(s | not CpG) \times P(notCpG)}$$

### Demonstration

We assume that only two models (CpG and notCpG) are possible.

$$P(s) = P(s,CpG) + P(s,notCpG)$$

Given the Bayes Theorem

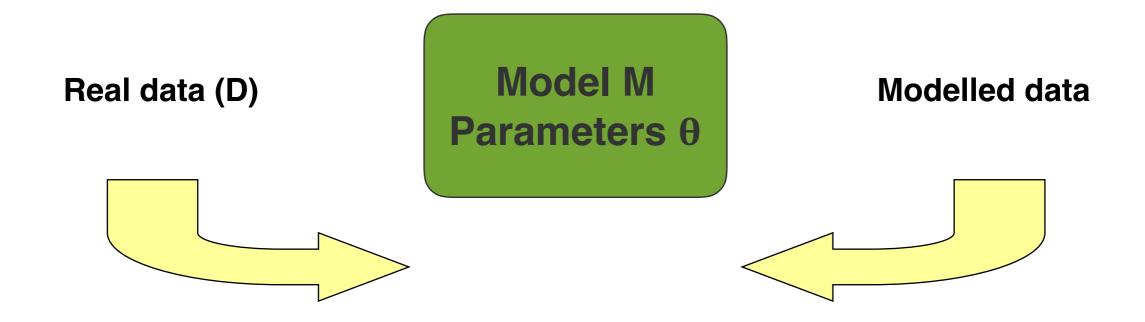
$$P(s) = \frac{P(s \mid CpG) \times P(CpG)}{P(CpG \mid s)} = P(s \mid CpG) \times P(CpG) + P(s \mid notCpG) \times P(notCpG)$$

Thus,

$$P(CpG \mid s) = \frac{P(s \mid CpG) \times P(CpG)}{P(s \mid CpG) \times P(CpG) + P(s \mid notCpG) \times P(notCpG)}$$

# Training of the Method

Generally speaking, a parametric model M aims to reproduce a set of known data



How to compare them?

### Maximum Likelihood

Let  $\theta_M$  be the set of parameters of model M.

During the training phase,  $\theta_M$  parameters are estimated from the set of known data D

#### **Maximum Likelihood Estimation (ML)**

 $\theta^{ML} = argmax_{\theta} P(DIM, \theta)$ 

# **Training Proof**

Given a sequence s contained in D:  $s = s^1 s^2 s^3 s^4 s^6 \dots s^T$ 

$$P(s \mid M) = a_{BEGIN,s^{1}} \cdot \prod_{i=2}^{T-1} a_{s^{i}s^{i+1}} \cdot a_{s^{T}END}$$

We can count the number of transitions between any to states j and k:  $nj_k$ 

$$P(s \mid M) = \prod_{j=0}^{N+1} \prod_{k=0}^{N+1} a_{jk}^{n_{jk}}$$
 Where states 0 and N+1 are BEGIN and END

On top of this, keep in mind that normalization constraints must be satisfied for each state

$$\forall j: \sum_{k'=0}^{N} a_{jk'} = 1$$

So the likelihood has to be maximized on the variety defined by the normalization constraints. How we do that?

### Maximum Likelihood

Let  $\theta_M$  be the set of parameters of model M.

During the training phase,  $\theta_M$  parameters are estimated from the set of known data D

#### **Maximum Likelihood Estimation (ML)**

$$\theta^{ML} = argmax_{\theta} P(DIM, \theta)$$

It can be proved that: 
$$a_{ik} = \frac{n_{ik}}{\sum_{j} n_{ij}}$$

Frequency of occurrence as counted in the data set *D* 

#### **Maximum A Posteriori Estimation**

$$\theta^{MAP} = argmax_{\theta} P(\theta | M, D) = argmax_{\theta} [P(D | M, \theta) \times P(\theta)]$$

# **Example with Dice**

We have 99 regular dice ( $\mathbf{R}$ ) and 1 loaded die ( $\mathbf{L}$ ).

Given a sequence of numbers:

4156266656321636543662152611536264162364261664616263

What is the sequence of dice that generated it?

# Hypothesis

We chose a different die for each roll

Two stochastic processes give origin to the sequence of observations.

1) Choosing the die ( R o L ).

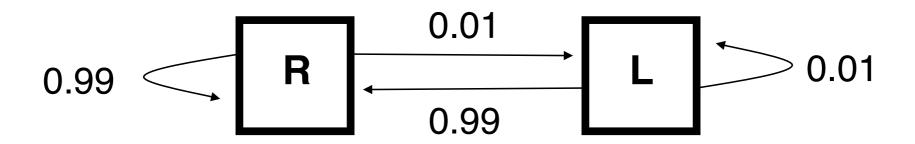
2) Rolling the die

The sequence of dice is hidden

The first process is assumed to be Markovian (in this case a 0-order MM)

The outcome of the second process depends only on the state reached in the first process (that is the chosen die)

### Casinò



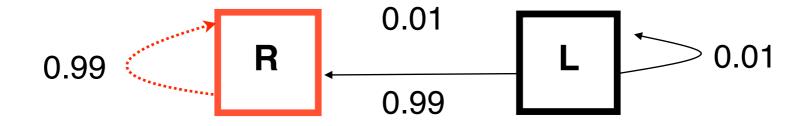
Each state ( $\mathbf{R}$  and  $\mathbf{L}$ ) generates a character of the alphabet  $\mathbf{C} = \{1, 2, 3, 4, 5, 6\}$ 

The emission probabilities depend only on the state.

The transition probabilities describe a Markov model that generates a state path: the hidden sequence  $(\pi)$ 

The observations sequence (s) is generated by two concomitant stochastic processes

# One Step



Choose the State: R Probability= 0.99

Chose the Symbol: 1 Probability= 1/6 (given R)

# **Alternative Step**



Choose the State: L Probability= 0.01

Chose the Symbol: 5 Probability= 1/10 (given *L*)

4156266656321636543662152615

RRRRRLRLRRRRRRRRRRRRRRRRRRL

# Some applications

#### 1) **DEMOGRAPHY**

Observable: Number of births and deaths in a year in a village.

Hidden variable: Economic conditions (as a first approximation we can consider the success in business as a random variable, and by consequence, the wealth as a Markov variable

—> can we deduce the economic conditions of a village during a century by means of the register of births and deaths?

#### 2) THE METEREOPATHIC TEACHER

Observable: Average of the marks that a meteoropathic teacher gives to their students during a day.

Hidden variable: Weather conditions

-> can we deduce the weather conditions during a years by means of the class register?

### In Bioinformatics

#### 1) SECONDARY STRUCTURE

Observable: protein sequence

Hidden variable: secondary structure

---> can we deduce (predict) the secondary structure of a protein given its amino acid sequence?

#### 2) ALIGNMENT

Observable: protein sequence

Hidden variable: position of each residue along the alignment of a protein family

---> can we align a protein to a family, starting from its amino acid sequence?

### **Exercise**

Given the observation sequence:

CCCFFCRRCCSSSSFSFRRFFSSF

and the model on the left

Write a script to set the parameters of the Markov Model that maximize the probability of the sequence

