



CSCE 580: Introduction to Al

CSCE 581: Trusted Al

Lecture 13: Machine Learning

PROF. BIPLAV SRIVASTAVA, AI INSTITUTE 5TH OCT 2023

Carolinian Creed: "I will practice personal and academic integrity."

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Organization of Lecture 13

- Introduction Segment
 - Recap of Lecture 12
- Main Segment
 - The variety of methods fpr classification
 - Logistic Regression
 - Decision trees
 - Random forest
 - · Discussion: Choosing a method that works
- Concluding Segment
 - Course Project Discussion
 - About Next Lecture Lecture 14
 - Ask me anything

Introduction Section

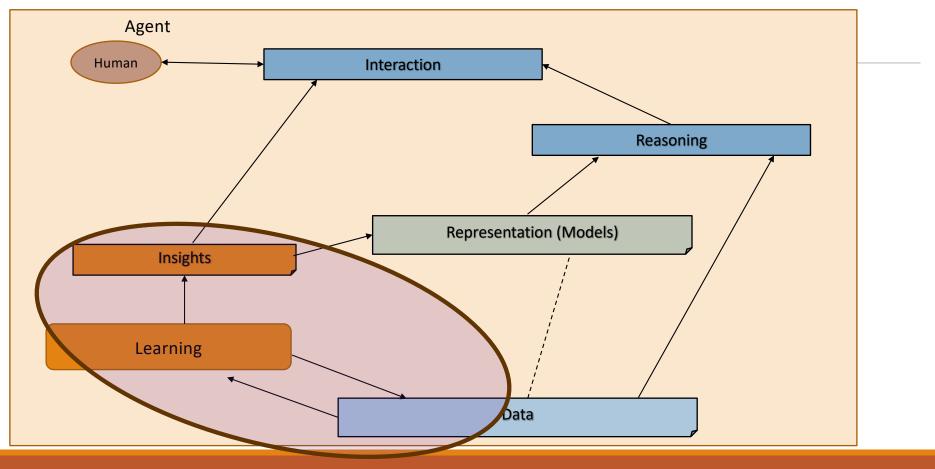
Recap of Lecture 12

- Problem Settings
- Data preparation and feature engineering
- Solving classification problems

Intelligent Agent Model



Relationship Between Main Al Topics



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Where We Are in the Course

CSCE 580/581 - In This Course

- Week 1: Introduction, Aim: Chatbot / Intelligence Agent
- Weeks 2-3: Data: Formats, Representation and the Trust Problem
- Week 4-5: Search, Heuristics Decision Making
- Week 6: Constraints, Optimization Decision Making
- Week 7: Classical Machine Learning Decision Making, Explanation
- Week 8: Machine Learning Classification
- Week 9: Machine Learning Classification Trust Issues and

Mitigation Methods

- Topic 10: Learning neural network, deep learning, Adversarial attacks
- Week 11: Large Language Models Representation, Issues
- Topic 12: Markov Decision Processes, Hidden Markov models Decision making
- Topic 13: Planning, Reinforcement Learning Sequential decision making
- Week 14: Al for Real World: Tools, Emerging Standards and Laws;
 Safe Al/ Chatbots

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Main Section



Credit: Retrieved from internet

Machine Learning – Insights from Data

- Descriptive analysis
 - Describe a past phenomenon
 - Methods: classification (feedback from label), clustering, dimensionality reduction, anomaly detection, neural methods, reinforcement learning (feedback from hint/ reward)
- Predictive analysis
 - Predict about a new situation
 - Methods: time-series, neural networks
- Prescriptive analysis
 - What an agent should do
 - Methods: simulation, reinforcement learning, reasoning

- New areas
 - Counterfactual analysis
 - Causal Inferencing
 - Scenario planning

Reference and Demo



- https://archive.ics.uci.edu/datasets
- Browse or search



Weka 3: Machine Learning Software in Java

Weka is a collection of machine learning algorithms for data mining tasks. It contains tools for data preparation, classification, regression, clustering, association rules mining, and visualization.

Found only on the islands of New Zealand, the Weka is a flightless bird with an inquisitive nature. The name is pronounced like this, and the bird sounds like this.

Weka is open source software issued under the GNU General Public License.

We have put together several free online courses that teach machine learning and data mining using Weka. The videos for the courses are available on Youtube.

Weka supports deep learning!

Getting started Requirements

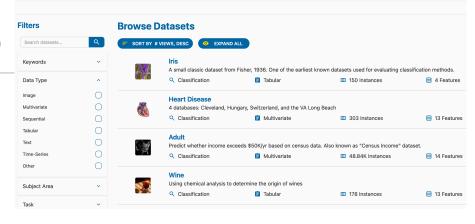
- Download
- Documentation
- FAQ
- Getting Help

Further information

- Citing Weka
- Datasets
- · Related Projects • Miscellaneous Code
- Other Literature

Developers

- Development
- History
- Subversion
- Contributors
- · Commercial licenses



• Tools:

- •Weka https://www.cs.waikato.ac.nz/ml/weka/
- Download tool and dataset

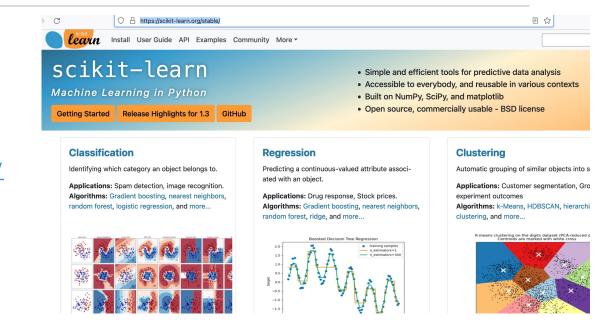
○ A https://archive.ics.uci.edu/datasets

Libraries

Scikit - https://scikit-learn.org/stable/

Reference and Demo

- Data: UCI Datasets
 - https://archive.ics.uci.edu/datasets
 - Browse or search
- Tools:
 - Weka -https://www.cs.waikato.ac.nz/ml/weka/
 - Download tool and dataset
- Libraries
 - Scikit https://scikit-learn.org/stable/



Exercise: Run Weka

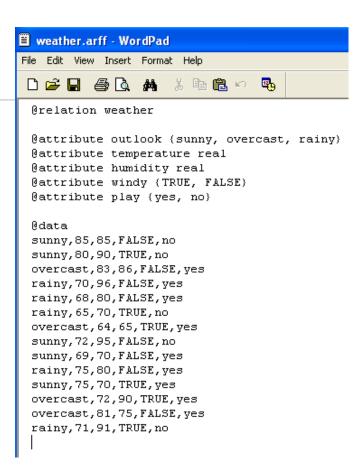
Exercise: Understand German Credit

- Check in UCI
- Look at variants
 - https://archive.ics.uci.edu/dataset/573/south+german+credit+update

CSCE 580, 581 - FALL 2023 1:

ARFF Data Format

- Attribute-Relation File Format
- •Header describing the attribute types
- Data (instances, examples) commaseparated list



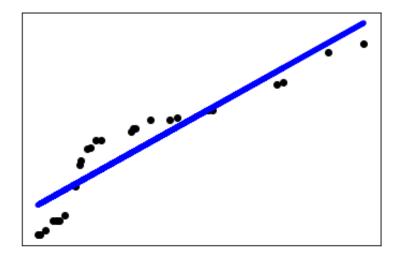
Classifier Method Types

- Individual methods
 - Logistic Regression
 - Decision Tree
 - Naïve Bayes
- Ensemble
 - Bagging: Aggregate classifiers ("bootstrap aggregation" => bagging)
 - Random Forest
 - Samples are chosen with replacement (bootstrapping), and combined (aggregated) by taking their average
 - · Gradient Boosting: aggregate to turn weak learners into strong learners
 - Boosters (aggregators) turn weak learners into strong learners by focusing on where the individual weak models (decision trees, linear regressors) went wrong
 - Gradient Boosting

Source:

- Data Mining: Concepts and Techniques, by Jiawei Han and Micheline Kamber
- https://towardsdatascience.com/getting-started-with-xgboost-in-scikit-learn-f69f5f470a97

Linear Regression

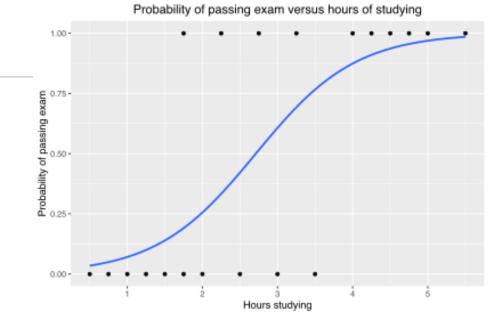


Notebook: https://github.com/biplav-s/course-d2d-ai/blob/main/sample-code/l6-l7-l8-supervised-ml/Supervised-Regression.ipynb

Logistic Regression

- Classification setting labels are discrete
 - the logistic model (or logit model) is a <u>statistical</u> model that models the <u>probability</u> of an event taking place by having the <u>log-odds</u> for the event be a <u>linear combination</u> of one or more <u>independent</u> variables

$$p(x)=rac{1}{1+e^{-(x-\mu)/s}}$$



where μ is a location parameter (the midpoint of the curve) and s is a scale parameter.

•Sources:

- •https://www.ibm.com/topics/logistic-regression
- •https://developers.google.com/machine-learning/crash-course/logistic-regression/
- https://en.wikipedia.org/wiki/Logistic regression
- https://scikit-

<u>learn.org/stable/modules/generated/sklearn.linear_model.LogisticRegression.html</u>

$$p(x)=rac{1}{1+e^{-(eta_0+eta_1x)}}$$

Source: Wikipedia

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Decision Tree

Problem: Classify Weather Data

Outlook	Temperature	Humidity	Windy	Play
Sunny	Hot	High	False	No
Sunny	Hot	High	True	No
Overcast	Hot	High	False	Yes
Rainy	Mild	High	False	Yes
Rainy	Cool	Normal	False	Yes
Rainy	Cool	Normal	True	No

Class Label

Input

Output (Informal)

```
If outlook = sunny and humidity = high then play = no

If outlook = rainy and windy = true then play = no

If outlook = overcast then play = yes

If humidity = normal then play = yes

If none of the above then play = yes
```

Slide Adapted From/ Courtesy: Data Mining, Practical Machine Learning Tools and Techniques, Slides for Chapter 6, Trees and Rules of Data Mining by I. H. Witten, E. Frank, M. A. Hall and C. J. Pal

Which Variable to Learn to Create Rules On?

- What do we want?
 - Compact model (e.g., set of rules)
 - High accuracy / low error
- Find the most discriminating variable
 - But how do we measure this

Outlook	Temperature	Humidity	Windy	Play
Sunny	Hot	High	False	No
Sunny	Hot	High	True	No
Overcast	Hot	High	False	Yes
Rainy	Mild	High	False	Yes
Rainy	Cool	Normal	False	Yes
Rainy	Cool	Normal	True	No
				\ /

- Corner cases
 - If all the samples are the same, the decision tree is a?
 - Leaf node with the only class
 - If there are no attributes in the dataset, the decision tree is?
 - A node with most common class

Expected Information/ Entropy

- Concept: Expected Information
 - Let
 - Class label has **m** distinct values (i.e., m distinct classes)
 - s_i be the number of samples of S of Class C_i (i = 1 ..m)
 - I $(s_1, s_2, ..., s_m) = -\sum_{i=1 \text{ to } m} p_i \log_2 (p_i)$
 - Where P_i is the probability a sample belongs to class Ci; estimated by(s_i/s)
- Entropy / Expected Information after partitioning on Attribute A which has $\underline{\mathbf{v}}$ distinct values
 - $E(\overline{A}) = \sum_{j=1 \text{ to } v} (s_{1j} + ... + s_{mj}) / S$ * (I $(s_{1j}, s_{2j}, ..., s_{mj})$
 - s_{ij} be the number of samples in S_i of Class C_i (i = 1 ... m)
 - Smaller the entropy, the greater the purity of the subset partitions

Outlook

Sunny

Sunny

Overcast

Rainy

Rainy

Rainy

Temperature

Hot

Hot

Hot

Mild

Cool

Cool

Humidity

High

High

High

High

Normal

Normal

Windv

False

True

False

False

False

True

Play

No

No

Yes

Yes

No

Outlook	Temperature	Humidity	Windy	Play
Sunny	Hot	High	False	No
Sunny	Hot	High	True	No
Overcast	Hot	High	False	Yes
Rainy	Mild	High	False	Yes
Rainy	Cool	Normal	False	Yes
Rainy	Cool	Normal	True	No

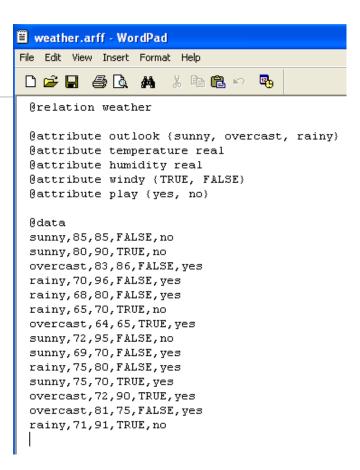
Information Gain

- Entropy / Expected Information after partitioning on Attribute A which has v distinct values
 - $E(A) = \sum_{j=1 \text{ to v}} (s_{1j} + ... + s_{mj}) / S$ * (I $(s_{1j}, s_{2j}, ..., s_{mj})$
 - s_{ij} be the number of samples in S_j of Class C_i (i = 1...m)
- After partition, S_i
 - $I(s_{1j}, s_{2j}, ..., s_{mj}) = -\sum_{i=1 \text{ to } m} p_{ij} \log_2(p_{ij})$
 - Where p_{ij} is the probability a sample in S_i belongs to class C_i ; estimated by $(s_{ij}/|s_i|)$
- Gain (A) = I $(s_1, s_2, ..., s_m)$ E(A)
 - Is the expected reduction in entropy by knowing the value of Attribute A
- •Method: Split on the attribute which leads to the highest information gain

Weka Exercise

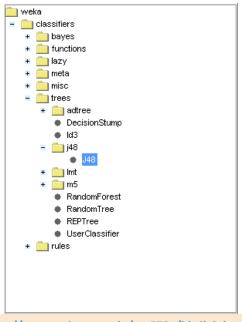
ARFF Data Format

- Data is in ARFF in UCI dataset
- Or Convert
 - File system, CSV → ARFF format
 - Use <u>C45Loader</u> and <u>CSVLoader</u> to convert



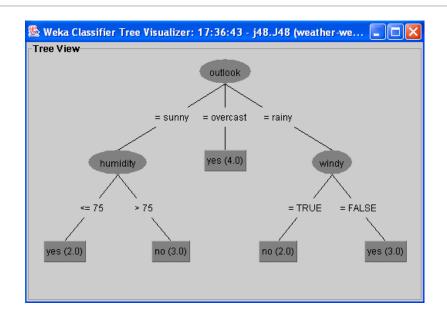
Weka: weka.classifiers.trees.J48

Class for generating an unpruned or a pruned C4.5 decision tree.



👙 weka.gui.GenericObjectEditor weka.classifiers.trees.j48.J48 binarySplits False confidenceFactor 0.25 minNumObj 3 numFolds reducedErrorPruning False ▼ savelnstanceData False ▾ subtreeRaising True ▾ unpruned False ▾ useLaplace False Save... OK. Open... Cancel

Understanding Output



Weka: Decision Tree Output

```
J48 pruned tree
------

outlook = sunny
| humidity = high: no (3.0)
| humidity = normal: yes (2.0)
outlook = overcast: yes (4.0)
outlook = rainy
| windy = TRUE: no (2.0)
| windy = FALSE: yes (3.0)

Number of Leaves : 5

Size of the tree : 8
```

```
=== Summary ===
Correctly Classified Instances
Incorrectly Classified Instances
                                        50 %
Kappa statistic
                         -0.0426
Mean absolute error
                             0.4167
Root mean squared error
                               0.5984
Relative absolute error
                             87.5 %
Root relative squared error
                              121.2987 %
Total Number of Instances
                              14
=== Detailed Accuracy By Class ===
       TP Rate FP Rate Precision Recall F-Measure ROC Area Class
        0.556 0.6
                      0.625  0.556  0.588  0.633 yes
              0.444 0.333 0.4
                                    0.364
                                            0.633 no
Weighted Avg. 0.5
                    0.544
                             0.521 0.5
                                           0.508 0.633
=== Confusion Matrix ===
a b <-- classified as
54 | a = yes
3 2 | b = no
```

Test Options

- Percentage Split (2/3 Training; 1/3 Testing)
- Cross-validation
 - · Estimating the generalization error based on resampling when limited data
 - averaged error estimate.
 - Cross-fold validation (10-fold)
 - Leave-one-out (Loo)
 - Stratified

Random Forest

- An ensemble method
- Credits
 - Ideas introduced by Tin Kam Ho in 1995, https://en.wikipedia.org/wiki/Tin_Kam_Ho
 - Matured by Leo Breiman and Adele Cutler at Berkeley (https://www.stat.berkeley.edu/~breiman/RandomForests/cc_home.htm#intro)
 - History: Khaled Fawagreh, Mohamed Medhat Gaber & Eyad Elyan (2014) Random forests: from early developments to recent advancements, Systems Science & Control Engineering, 2:1, 602-609, DOI: 10.1080/21642583.2014.956265
- Main steps (Input: data, N= number of trees)
 - If the number of cases in the training set is N, sample N cases at random but with replacement, from the original data. This sample will be the training set for growing the tree.
 - If there are M input variables, a number m<<M is specified such that at each node, m variables are selected at random out of the M and the best split on these m is used to split the node. The value of m is held constant during the forest growing.
 - Each tree is grown to the largest extent possible. There is no pruning.

Slide Courtesy: Leo Breiman and Adele Cutler website

Random Forest in Action

- Code examples:
 - https://github.com/biplav-s/course-d2d-ai/blob/main/sample-code/l6-l7-supervised-ml/S
- Scikit Library: https://scikit-learn.org/stable/modules/generated/sklearn.ensemble.RandomForestClassifier.html

Activity: Try Weka and Classifiers

- Naïve Bayes Method
- Gradient Tree Boosting
- Neural Network MLP

Metric Types

- Effectiveness: what the <u>user</u> of a system sees, primarily cares about
- Efficiency: what the executor in a system sees, primarily cares about



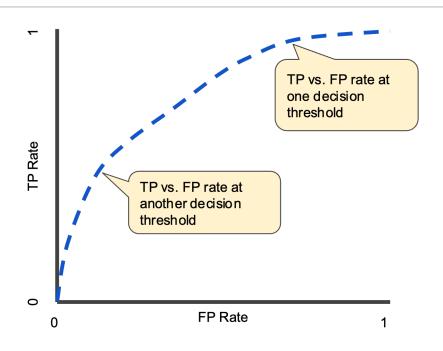
Efficiency Metrics

Metrics: Accuracy, Precision, Recall

	Predicted class		
		Class = Yes	Class = No
Actual Class	Class = Yes	True Positive	False Negative
	Class = No	False Positive	True Negative

Accuracy = (TP+TN)/ (TP+FP+FN+TN)

ROC – Receiver Operating Characteristic curve



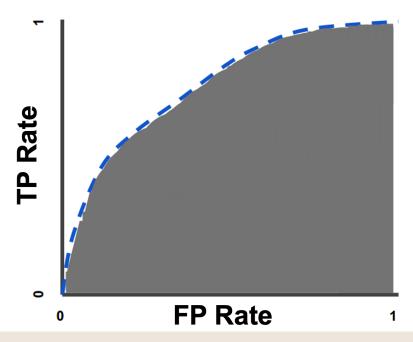
True Positive Rate = Recall = (TP)/ (TP+FN)

False Positive Rate = (FP)/ (FP+TN)

	Predicted class		
		Class = Yes	Class = No
Actual Class	Class = Yes	True Positive	False Negative
	Class = No	False Positive	True Negative

Source: https://developers.google.com/machine-learning/crash-course/classification/roc-and-auc

AUC – Area Under the ROC Curve



- Aggregate measure of performance across all possible classification thresholds.
- Interpretation: probability that the model ranks a random positive example more highly than a random negative example

Source: https://developers.google.com/machine-learning/crash-course/classification/roc-and-auc

References

- •Blogs: https://blog.exsilio.com/all/accuracy-precision-recall-f1-score-interpretation-of-performance-measures/
- Google: https://developers.google.com/machine-learning/crash-course/classification/roc-and-auc

Discussion: 10 Tips Paper

- Access: https://biodatamining.biomedcentral.com/articles/10.1186/s13040-017-0155-3
- Chicco, D. Ten quick tips for machine learning in computational biology. *BioData Mining* **10**, 35 (2017). https://doi.org/10.1186/s13040-017-0155-3

The Tips

- Tip 1: Check and arrange your input dataset properly
- Tip 2: Split your input dataset into three independent subsets (training set, validation set, test set), and use the test set only once you complete training and optimization phases
- Tip 3: Frame your biological problem into the right algorithm category
- Tip 4: Which algorithm should you choose to start? The simplest one!
- Tip 5: Take care of the imbalanced data problem
- Tip 6: Optimize each hyper-parameter
- Tip 7: Minimize overfitting
- Tip 8: Evaluate your algorithm performance with the Matthews correlation coefficient (MCC) or the Precision-Recall curve
- Tip 9: Program your software with open source code and platforms
- Tip 10: Ask for feedback and help to computer science experts, or to collaborative Q&A online communities

Exercise and Code

- Linear Programming Methods
 - Link https://github.com/biplav-s/course-d2d-ai/blob/main/sample-code/l16-optimal/Optimization.ipynb

Course Project

Project Discussion: What Problem Fascinates You?

- Data
 - Water
 - Finance
 - •
- Analytics
 - Search, Optimization, Learning, Planning, ...
- Application
 - Building chatbot
- Users
 - Diverse demographics
 - Diverse abilities
 - Multiple human languages

Project execution in sprints

- Sprint 1: (Sep 12 Oct 5)
 - Solving: Choose a decision problem, identify data, work on solution methods
 - Human interaction: Develop a basic chatbot (no AI), no problem focus
- Sprint 2: (Oct 10 Nov 9)
 - Solving: Evaluate your solution on problem
 - Human interaction: Integrated your choice of chatbot (rule-based or learning-based) and methods
- Sprint 3: (Nov 14 30)
 - Evaluation: Comparison of your solver chatbot with an LLMbased alternative, like ChatGPT

CSCE 580, 581 - FALL 2023 4:

Project Discussion: Dates and Deliverables

Project execution in sprints

- Sprint 1: (Sep 12 Oct 5)
 - Solving: Choose a decision problem, identify data, work on solution methods
 - Human interaction: Develop a basic chatbot (no AI), no problem focus
- Sprint 2: (Oct 10 Nov 9)
 - Solving: Evaluate your solution on problem
 - Human interaction: Integrated your choice of chatbot (rule-based or learning-based) and methods
- Sprint 3: (Nov 14 30)
 - Evaluation: Comparison of your solver chatbot with an LLMbased alternative, like ChatGPT

- Oct 12, 2023
 - Project checkpoint
 - In-class presentation
- Nov 30, 2023
 - Project report due
- Dec 5 / 7, 2023
- In-class presentation

CSCE 580, 581 - FALL 2023 42

Skeleton: A Basic Chatbot

- Run in an infinite loop until the user wants to quit
- Handle any user response
 - User can quit by typing "Quit" or "quit" or just "q"
 - User can enter any other text and the program has to handle it. The program should write back what the user entered and say – "I do not know this information".
- Handle known user query types // Depends on your project
 - "Tell me about N-queens", "What is N?"
 - "Solve for N=4?"
 - "Why is this a solution?"
- Handle <u>chitchat</u> // Support at least 5, extensible from a file
 - "Hi" => "Hello"
 - ...
- Store session details in a file

Illustrative Project

- **1. Title**: Solve and explain solving of n-queens puzzle
- **2. Key idea**: Show students how a course project will look like
- 3. Who will care when done: students of the course, prospective Al students and teachers
- **4. Data need**: n: the size of game; interaction
- 5. Methods: search
- **6. Evaluation**: correctness of solution, quality of explanation, appropriateness of chat
- **7. Users**: with and without Al background; with and without chess background
- 8. Trust issue: user may not believe in the solution, may find interaction offensive (why queens, not kings? ...)

Project Discussion: Illustration

- Create a private Github repository called "CSCE58x-Fall2023-<studentname>-Repo". Share with Instructor (biplav-s) and TA (kausik-l)
- Create Google folder called "CSCE58x-Fall2023-<studentname>-SharedInfo". Share with Instructor (prof.biplav@gmail.com) and TA (lakkarajukausik90@gmail.com)
- 3. Create a Google doc in your Google repo called "Project Plan" and have the following by next class (Sep 5, 2023)

- 1. Title: Solve and explain solving of n-queens puzzle
- 2. Key idea: Show students how a course project will look like
- **3.** Who will care when done: students of the course, prospective AI students and teachers
- **4. Data need**: n: the size of game; interaction
- 5. Methods: search
- **6. Evaluation**: correctness of solution, quality of explanation, appropriateness of chat
- **7. Users**: with and without AI background; with and without chess background
- **8. Trust issue**: user may not believe in the solution, may find interaction offensive (why queens, not kings? ...)

Project Illustration: N-Queens

- •Sprint 1: (Sep 12 Oct 5)
 - Solving: Choose a decision problem, identify data, work on solution methods
 - Method 1: Random solution
 - Method 2: Search BFS
 - Method 3: Search ...
 - Human interaction: Develop a basic chatbot (no AI) as outlined
 - Deliverable
 - Code structure in Github
 - ./data
 - ./code
 - ./docs
 - ./test
 - Presentation: Make sprint presentation on Oct 12, 2023

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Reference: Project Rubric

- Project results 60%
 - Working system ? 30%
 - Evaluation with results superior to baseline? 20%
 - Considered related work? 10%
- Project efforts 40%
 - Project report 20%
 - Project presentation (updates, final) 20%
- Bonus
 - Challenge level of problem 10%
 - Instructor discretion 10%
- Penalty
 - Lack of timeliness as per announced policy (right) up to 30%

Milestones and Penalties

- •Oct 12, 2023
 - Project checkpoint
 - In-class presentation
 - Penalty: presentation not ready by Oct 10, 2023 [-10%]
- Nov 30, 2023
 - Project report due
 - Project report not ready by date [-10%]
- Dec 5 / 7, 2023
 - In-class presentation
- Project presentations not ready by Dec 4, 2023 [-10%]

<Project Title> - <Your Name>

Format for Interim Presentation on Oct 12, 2023

Project Context

- 1. Problem
- 2. Who will care/users
- 3. Data needs:
- 4. Methods:
- 5. Evaluation:
- 6. Trust issue:

Achievement

- Status
- Test Case
 - E.g., <input, correct output>
- Sample Result
- Discuss others points:
 - Challenges faced
 - · Any help needed

1 min context, 1 min achievement, 1 min Q/A

Lecture 13: Summary

- We talked about
 - The variety of methods fpr classification
 - Logistic Regression
 - Decision trees
 - Random forest
 - Discussion: Choosing a method that works

Concluding Section

About Next Lecture – Lecture 14

E 580, 581 - FALL 2023 50

Lecture 14: Machine Learning

- Structured Data: Supervised Methods
 - Naïve Bayes
 - Boosting
 - Explanation
- Reading material:
 - "Which ML to Use" with title: Data-driven advice for applying machine learning to bioinformatics problems https://www.ncbi.nlm.nih.gov/pmc/articles/PMC5890912/
 - "10 tips with title": Ten quick tips for machine learning in computational biology https://biodatamining.biomedcentral.com/articles/10.1186/s13040-017-0155-3