



CSCE 580: Introduction to Al

CSCE 581: Trusted Al

Lecture 10: Game Search

PROF. BIPLAV SRIVASTAVA, AI INSTITUTE 26<sup>TH</sup> SEP 2023

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# Organization of Lecture 10

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- Introduction Segment
  - Recap of Lecture 9
- Main Segment
  - Games and Search
  - Minimax
  - Alphabeta
  - Monte Carlo Tree Search
- Concluding Segment
  - Course Project Discussion
  - About Next Lecture Lecture 11
  - Ask me anything

### Introduction Section

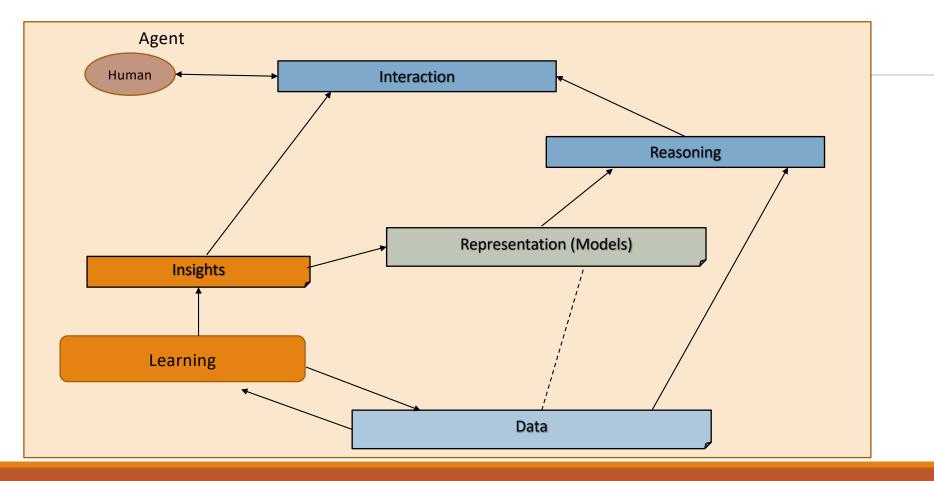
# Recap of Lecture 10

- Hill climbing
- Simulated Annealing
- Genetic programming
- Search in complex environments

# Intelligent Agent Model



### Relationship Between Main Al Topics



# Where We Are in the Course

#### CSCE 580/581 - In This Course

- Week 1: Introduction, Aim: Chatbot / Intelligence Agent
- Weeks 2-3: Data: Formats, Representation and the Trust Problem
- Week 4-5: Search, Heuristics Decision Making
- Week 6: Constraints, Optimization Decision Making
- Week 7: Classical Machine Learning Decision Making, Explanation
- Week 8: Machine Learning Classification
- Week 9: Machine Learning Classification Trust Issues and

#### Mitigation Methods

- Topic 10: Learning neural network, deep learning, Adversarial attacks
- Week 11: Large Language Models Representation, Issues
- Topic 12: Markov Decision Processes, Hidden Markov models Decision making
- Topic 13: Planning, Reinforcement Learning Sequential decision making
- Week 14: Al for Real World: Tools, Emerging Standards and Laws;
   Safe Al/ Chatbots

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### Main Section

#### Offline and Online Search

- All search studied until now were offline search
  - Solution found and then agent executed
- Online search
  - Interleave solution finding and execution
  - · Cannot guarantee solution, unless actions have inverse-actions to undo state change

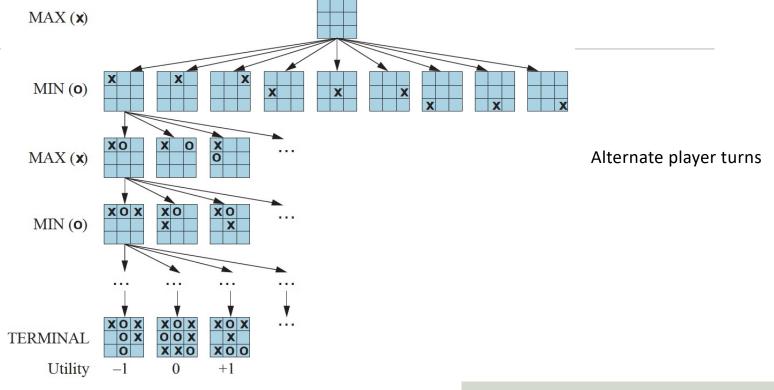
### Games and Search

- Common setting
  - Two players
    - One human, one automated [Common case]
    - Both automated
  - Perfect information (fully observable)
  - Zero-sum if one wins, another looses
- Captures many types of games
  - Tic-tac-toe, chess, Go, backgammon, ...

#### Games and Search

- Setup
  - So: the initial state
  - TO-MOVE(s): the player to play in state s
  - ACTIONS(s): the set of legal actions at state s
  - RESULT (s, a): transition model
  - IS-TERMINAL (s): terminal test to determine if game is over
  - UTILITY (s, p): utility or objective function. Numeric value capturing value of state s to player p

#### Tic-Tac-Toe — Game Tree



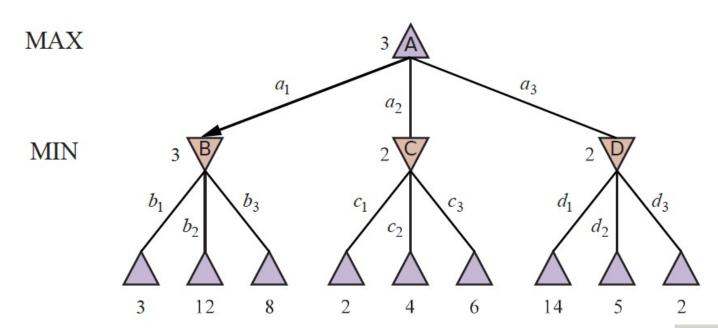
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Russell & Norvig, AI: A Modern Approach

# MiniMax Utility

#### MINMAX(s) =

- UTILITY(s, MAX) if IS-TERMINAL(s)
- Max a in ACTIONS(S) MINIMAX(RESULT(s, a)) if TO-MOVE(s) = MAX
- Min a in ACTIONS(S) MINIMAX(RESULT(s, a)) if TO-MOVE(s) = MIN



Adapted from:

Russell & Norvig, AI: A Modern Approach

### Minimax Search Algorithm

```
function MINIMAX-SEARCH(qame, state) returns an action
  player \leftarrow qame.To-MovE(state)
  value, move \leftarrow MAX-VALUE(qame, state)
  return move
function MAX-VALUE(game, state) returns a (utility, move) pair
  if game.IS-TERMINAL(state) then return game.UTILITY(state, player), null
  v \leftarrow -\infty
  for each a in game.ACTIONS(state) do
    v2, a2 \leftarrow MIN-VALUE(game, game.RESULT(state, a))
    if v2 > v then
       v, move \leftarrow v2, a
  return v, move
function MIN-VALUE(game, state) returns a (utility, move) pair
  if game.IS-TERMINAL(state) then return game.UTILITY(state, player), null
  v \leftarrow +\infty
  for each a in game.ACTIONS(state) do
    v2, a2 \leftarrow \text{MAX-VALUE}(game, game. \text{RESULT}(state, a))
    if v2 < v then
       v, move \leftarrow v2, a
  return v, move
```

Starts from a Max node
Recursively does min on children,
who in-turn doe max on children
to get value and corresp. action

Adapted from:

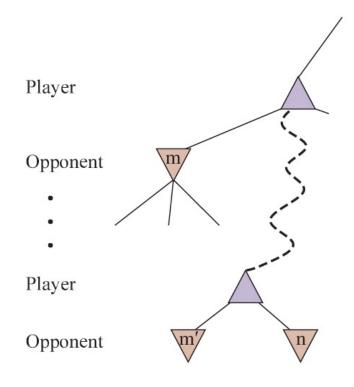
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# Multi-player (>2) Games

- Possible to extend MINMAX, algorithm is more complex
  - Extend values with vectors, corresponding to per player
  - Levels increase (per turn)
- In real games, players can often form alliances
  - Hard to model

# Alpha-Beta Pruning

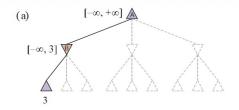


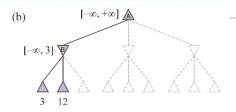
If m or m' is better than n for Player, search will never get to n

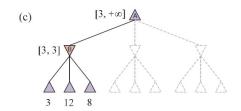
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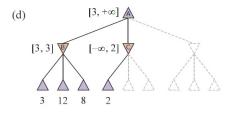
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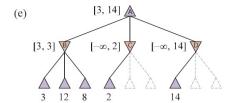
# Alpha-Beta Pruning

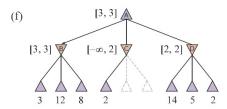












The first leaf below C has the value 2. Hence, C, which is a MIN node, has a value of at most 2. But we know that B is worth 3, so MAX would never choose C.

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### Alpha-Beta Pruning

```
function ALPHA-BETA-SEARCH(game, state) returns an action
   player \leftarrow qame.To-MovE(state)
   value, move \leftarrow \text{MAX-VALUE}(game, state, -\infty, +\infty)
   return move
function MAX-VALUE(game, state, \alpha, \beta) returns a (utility, move) pair
   if game.IS-TERMINAL(state) then return game.UTILITY(state, player), null
   v \leftarrow -\infty
   for each a in game.ACTIONS(state) do
     v2, a2 \leftarrow \text{MIN-VALUE}(game, game. \text{RESULT}(state, a), \alpha, \beta)
     if v2 > v then
        v, move \leftarrow v2, a
        \alpha \leftarrow \text{MAX}(\alpha, v)
     if v \geq \beta then return v, move
   return v, move
function MIN-VALUE(game, state, \alpha, \beta) returns a (utility, move) pair
  if game.IS-TERMINAL(state) then return game.UTILITY(state, player), null
   v \leftarrow +\infty
  for each a in game.ACTIONS(state) do
     v2, a2 \leftarrow \text{MAX-VALUE}(game, game. \text{RESULT}(state, a), \alpha, \beta)
     if v2 < v then
        v, move \leftarrow v2, a
        \beta \leftarrow \text{MIN}(\beta, v)
     if v < \alpha then return v, move
   return v, move
```

Alpha: the best (highest, at least) value Beta: the best (lowest, at most) value

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# Impact of Alpha Beta

- Cuts down on size of game tree searched
  - Best case: O (b ^ (m/2)), where b is branching factor and m is depth
  - MINIMAX(O (b ^ m)
- Reduction depends on order of nodes traversed

# Game Setting

- Gaming strategies
  - Random
  - Minimax
  - alphabeta
- two automated players
  - First: random
  - Second: alphabeta
  - Code Example: <a href="https://github.com/aimacode/aima-python/blob/master/games4e.ipynb">https://github.com/aimacode/aima-python/blob/master/games4e.ipynb</a>
- one human, one automated
  - First: human
  - Second: minimax

### Heuristic Alpha Beta Tree Search

#### H-MINIMAX(s) =

```
• Eval(s, MAX) if IS-CUTOFF(s, d)
```

- Max a in ACTIONS(S) H-MINIMAX(RESULT(s, a), d+1) if TO-MOVE(s) = MAX
- Min a in ACTIONS(S) H-MINIMAX(RESULT(s, a), d+1) if TO-MOVE(s) = MIN

#### Useful when

- Depth is very high, example Chess
- Good (informative) eval functions exists

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<sup>\*</sup> Cut-off search at depth d

<sup>\*</sup> Estimate utility of a state to player just like a heuristic function UTILITY(loss, p) <= EVAL (s, p) <= UTILITY(win, p)

# Coding Example

- 2-party games code notebook
  - <a href="https://github.com/aimacode/aima-python/blob/master/games4e.ipynb">https://github.com/aimacode/aima-python/blob/master/games4e.ipynb</a>
  - Has
    - Minimax
    - Alphabeta
    - Heuristic alpha beta

### Searching in Larger Games

#### Game characteristics

- large branching factor Go (361)
- difficult to get a meaningful evaluation function (heuristics)

#### Key Idea

- Value of a state is estimated as the average utility over a number of simulations of complete games from that state
- Get information of good plays by self-play and learning using neural networks

### Monte Carlo Tree Search (MTCS)

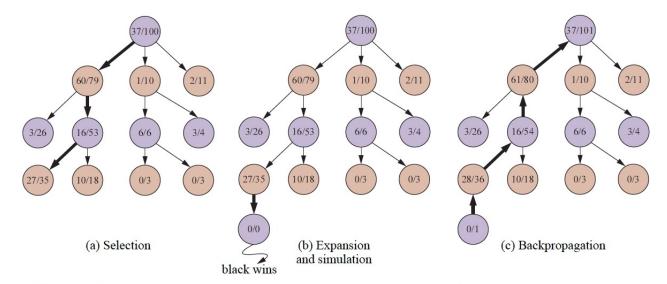
```
function Monte-Carlo-Tree-Search(state) returns an action tree \leftarrow \text{Node}(state)
while Is-Time-Remaining() do leaf \leftarrow \text{Select}(tree)
child \leftarrow \text{Expand}(leaf)
result \leftarrow \text{Simulate}(child)
Back-Propagate(result, child)
return the move in Actions(state) whose node has highest number of playouts
```

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#### Monte Carlo Tree Search (MTCS)



**Figure 5.10** One iteration of the process of choosing a move with Monte Carlo tree search (MCTS) using the upper confidence bounds applied to trees (UCT) selection metric, shown after 100 iterations have already been done. In (a) we select moves, all the way down the tree, ending at the leaf node marked 27/35 (for 27 wins for black out of 35 playouts). In (b) we expand the selected node and do a simulation (playout), which ends in a win for black. In (c), the results of the simulation are back-propagated up the tree.

function Monte-Carlo-Tree-Search(state) returns an action
tree ← Node(state)
while Is-Time-Remaining() do
leaf ← Select(tree)
child ← Expand(leaf)
result ← Simulate(child)
Back-Propagate(result, child)
return the move in Actions(state) whose node has highest number of playouts

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# Coding Example

- MCTS code notebook
  - <a href="https://pypi.org/project/mcts-simple/">https://pypi.org/project/mcts-simple/</a>

# Course Project

#### Project Discussion: What Problem Fascinates You?

- Data
  - Water
  - Finance
  - •
- Analytics
  - Search, Optimization, Learning, Planning, ...
- Application
  - Building chatbot
- Users
  - Diverse demographics
  - Diverse abilities
  - Multiple human languages

#### **Project execution in sprints**

- Sprint 1: (Sep 12 Oct 5)
  - Solving: Choose a decision problem, identify data, work on solution methods
  - Human interaction: Develop a basic chatbot (no AI), no problem focus
- Sprint 2: (Oct 10 Nov 9)
  - Solving: Evaluate your solution on problem
  - Human interaction: Integrated your choice of chatbot (rule-based or learning-based) and methods
- Sprint 3: (Nov 14 30)
  - Evaluation: Comparison of your solver chatbot with an LLMbased alternative, like ChatGPT

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#### Project Discussion: Dates and Deliverables

#### Project execution in sprints

- Sprint 1: (Sep 12 Oct 5)
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  - Evaluation: Comparison of your solver chatbot with an LLMbased alternative, like ChatGPT

- Oct 12, 2023
  - Project checkpoint
  - In-class presentation
- Nov 30, 2023
  - Project report due
- Dec 5 / 7, 2023
- In-class presentation

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#### Skeleton: A Basic Chatbot

- Run in an infinite loop until the user wants to quit
- Handle any user response
  - User can quit by typing "Quit" or "quit" or just "q"
  - User can enter any other text and the program has to handle it. The program should write back what the user entered and say – "I do not know this information".
- Handle known user query types // Depends on your project
  - "Tell me about N-queens", "What is N?"
  - "Solve for N=4?"
  - "Why is this a solution?"
- Handle <u>chitchat</u> // Support at least 5, extensible from a file
  - "Hi" => "Hello"
  - ...
- Store session details in a file

#### **Illustrative Project**

- **1. Title**: Solve and explain solving of n-queens puzzle
- **2. Key idea**: Show students how a course project will look like
- 3. Who will care when done: students of the course, prospective Al students and teachers
- **4. Data need**: n: the size of game; interaction
- 5. Methods: search
- **6. Evaluation**: correctness of solution, quality of explanation, appropriateness of chat
- **7. Users**: with and without Al background; with and without chess background
- 8. Trust issue: user may not believe in the solution, may find interaction offensive (why queens, not kings? ...)

### Project Discussion: Illustration

- Create a private Github repository called "CSCE58x-Fall2023-<studentname>-Repo". Share with Instructor (biplav-s) and TA (kausik-l)
- Create Google folder called "CSCE58x-Fall2023-<studentname>-SharedInfo". Share with Instructor (prof.biplav@gmail.com) and TA (lakkarajukausik90@gmail.com)
- 3. Create a Google doc in your Google repo called "Project Plan" and have the following by next class (Sep 5, 2023)

- 1. Title: Solve and explain solving of n-queens puzzle
- 2. Key idea: Show students how a course project will look like
- **3.** Who will care when done: students of the course, prospective AI students and teachers
- **4. Data need**: n: the size of game; interaction
- 5. Methods: search
- **6. Evaluation**: correctness of solution, quality of explanation, appropriateness of chat
- **7. Users**: with and without AI background; with and without chess background
- **8. Trust issue**: user may not believe in the solution, may find interaction offensive (why queens, not kings? ...)

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# Project Illustration: N-Queens

- •Sprint 1: (Sep 12 Oct 5)
  - Solving: Choose a decision problem, identify data, work on solution methods
    - Method 1: Random solution
    - Method 2: Search BFS
    - Method 3: Search ...
  - Human interaction: Develop a basic chatbot (no AI) as outlined
  - Deliverable
    - Code structure in Github
      - ./data
      - ./code
      - ./docs
      - ./test
    - Presentation: Make sprint presentation on Oct 12, 2023

### Reference: Project Rubric

- Project results 60%
  - Working system ? 30%
  - Evaluation with results superior to baseline? 20%
  - Considered related work? 10%
- Project efforts 40%
  - Project report 20%
  - Project presentation (updates, final) 20%
- Bonus
  - Challenge level of problem 10%
  - Instructor discretion 10%
- Penalty
  - Lack of timeliness as per announced policy (right) up to 30%

#### Milestones and Penalties

- •Oct 12, 2023
  - Project checkpoint
  - In-class presentation
  - Penalty: presentation not ready by Oct 10, 2023 [-10%]
- Nov 30, 2023
  - Project report due
  - Project report not ready by date [-10%]
- Dec 5 / 7, 2023
  - In-class presentation
  - Project presentations not ready by Dec 4, 2023 [-10%]

# Lecture 10: Summary

- We talked about
  - Games and Search
  - Minimax
  - Alphabeta
  - Monte Carlo Tree Search

# **Concluding Section**

#### About Next Lecture – Lecture 11

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#### Lecture 11: Constraints

- Constraints Satisfaction Problems
- Optimization