



## CSCE 581: Introduction to Trusted Al

# Lectures 1 and 2: Introduction to AI, Trust and Real-World Applications

PROF. BIPLAV SRIVASTAVA, AI INSTITUTE 14<sup>TH</sup> AND 16<sup>TH</sup> JAN 2025

Carolinian Creed: "I will practice personal and academic integrity."

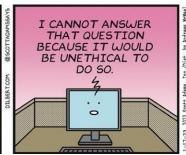
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# Organization of Lectures 1, 2

- Introduction Section
  - Instructor introduction
- Main Section
  - AI: A quick introduction
  - Discussion: About the course
    - Related Courses: CSCE 580, 590s, 771
    - Course objectives and differentiation
    - Course logistics
  - Lecture 1: Al and Trust
  - Lecture 2: Case Studies
    - Data analysis for traffic (South Carolina), Trust
    - Recommendations and Trust [Fairness and Teaming Recommendation]
- Concluding Section
  - About next lecture Lecture 2
  - Ask me anything







Credit: Dilbert

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# Introduction Section



**BIPLAV SRIVASTAVA** 

Research Snapshot (1989-2025)

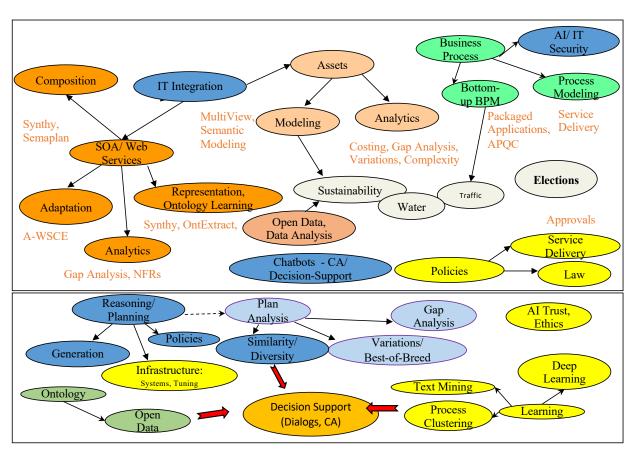
Keywords: Al, Services,

Sustainability

Current Research
Focus: Theory (Neurosymbolic), Usability
(Trust Rating, RCTs),
Smart Cities (Energy,
Water, Health)

The Space of AI Applications Explored

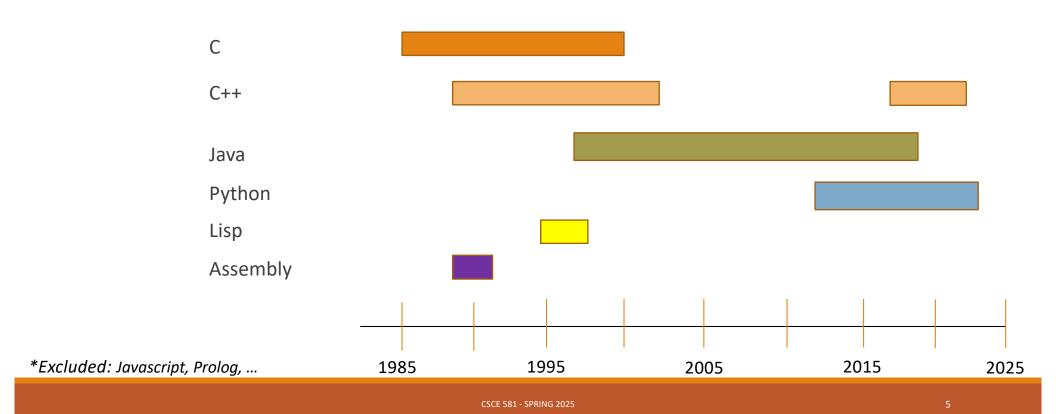
The Space of AI Techniques Used



**Details**: <a href="https://sites.google.com/site/biplavsrivastava/">https://sites.google.com/site/biplavsrivastava/</a> **Al4Society Research Group**: <a href="https://ai4society.github.io/projects/">https://ai4society.github.io/projects/</a>

**Keywords**: AI, Services, Sustainability **Papers**: 200+ refereed; 6,700+ references **Patents**: 76 (US issued); 4 sole inventions

## Personal Programming Language Journey\* (35+ years)



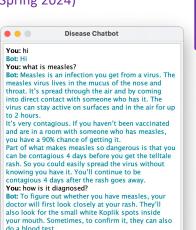
## Develop a Vibrant Research Culture Around Al



Students building chatbots in Adv. Prog. Tech. class

in C++, Java and Python (Elected Reps, Spring 2022; Diseases, Spring 2023; Finance,

**Spring 2024)** 



**System Image Credit:** Christine Steege, CSCE240(H), Spring 2023



WebMD

Thoroughness in work

effort

Pro-active focus to complete an

working with others: social yet focused

Clarity in communication

2021), computer games (AAAI 2022), generalized planning (IJCAI 2024), information spread in opinion networks Comfortable (AAAI 2024 best demo award), transportation, set recommendation (teaming (AAAI 2024 deployed AI

Classes offered:

Trusted AI (CSCE 581)/ AI (CSCE 580), Adv. Prog. Tech. (CSCE 240), Comp. Proc. of Nat. Lang./NLP (CSCE 771) Special Topics – Open Data, Planning, Chatbots

https://ai4societv.github.io/demos/

AI/ Chatbots built for: governance (IJCAI

2016, Al Magazine 2024), astronomy

(AAAI 2018 best demo award), water

(AAAI 2018), smart room (ICAPS 2018

planning (commercial product), market

award), dialogs for information retrieval

(ICAPS 2021), fairness assessment (AAAI

demo runner up, IJCAI 2018), career

intelligence (AAAI 2020 deployed AI

award), meals) and health.

# Main Section

# AI: A Quick Introduction

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# Concept: Al

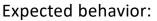
## Example: Taking Care of a Baby

## Individual's Extension







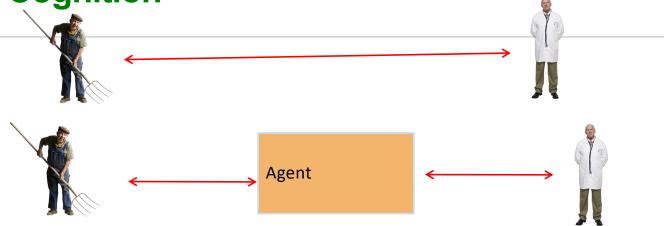


- Inform
  - · Alert when crying
  - Alert when awake
  - Alert when idle
- Do
  - Raise temperature of room
  - Play music

Conditions can be

- input and reasoned (e.g. rulebased methods) OR
- learned (from data)

# Example: Taking Care of a Senior Assisted Cognition



#### Expected behavior:

- Inform
  - Alert when idle
  - Alert when away from known locations
  - Alert when checkup/ medicines due
- Do
  - Send body parameters periodically

ODSC WEST 2020

# Example: Taking Care of Oneself **Personal Digital Assistants**



#### Expected behavior:

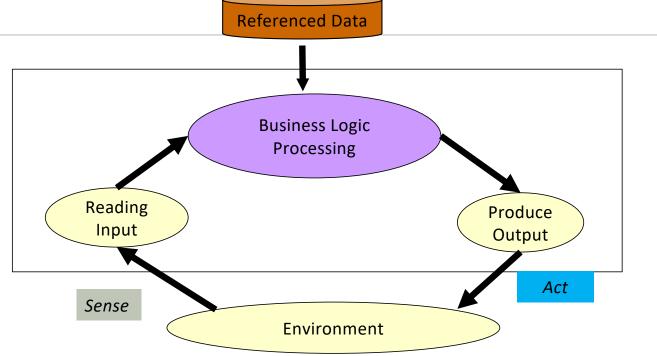
- Inform
  - · When missing meetings
  - When missing social commitments
  - Reminding of priorities
  - •
- Do
  - Make all cancellations / re-bookings when schedule changes
  - Find alternatives to current decisions and give choices (e.g., traffic)
  - ...

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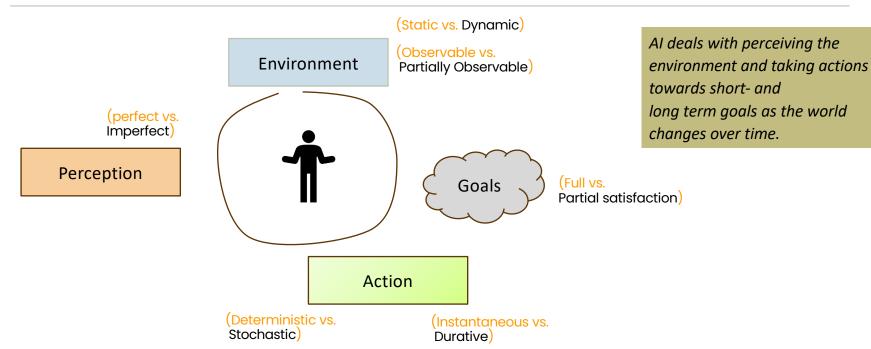
## Al => Adaptive/ Intelligent

## Software System

- Business Logic Moves to Declarative Data (policy)
- Software is more resilient to changes in environment



# Artificial Intelligence (AI) as an Agent



CSCE 580- FALL 2023 14

# Example 1: Courses for a Student

- Decision: Student deciding which courses to take for their program
- Data
  - Public: About courses
  - Public: About faculties
  - Public: About job opportunities
  - Public: About research opportunities and industry trends
  - Private: what the student wants to do
- Analysis
  - Courses offered in different semesters
  - Teachers offering courses background, hardness of classes, ...

#### **Trust**

- Are the insights reliable?
- Do they cause short- or long-term harm?
- Will users adopt the insights?

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# Thought Exercise – (AI) Class and a Hypothetical AI-based Advisor

- **Good** decisions for students
  - · Get good grades, marks
  - Learn
  - •
- Al-may suggest
  - Give teacher rating
  - But what about learning?

- **Good** decisions for instructor
  - Get good rating
  - Finish course
  - Teach long-term skills
  - ...
- Al-may suggest
  - Give student grades
  - But what about teaching?

#### Trust

- Are the insights reliable?
- Do they cause short- or long-term harm?
- Will users adopt the insights?

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# Example 2: Health During a Pandemic

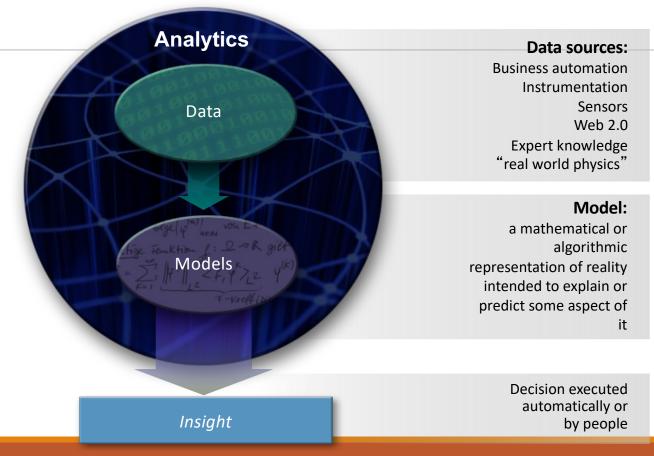
- Decision: Individual staying healthy during a pandemic like COVID19
- Data
  - Public: About disease, cases, deaths, variants
  - Public: About mitigation steps: e.g., mask wearing restrictions and practices, lockdowns, hospital conditions
  - Private: pre-existing health conditions
- Analysis
  - · Regions with high and low cases
  - Whether to eat inside a restaurant?
  - How to make an urgent road trip?
  - How to hold classes at a University?

#### **Trust**

- Are the insights reliable?
- Do they cause short- or long-term harm?
- Will users adopt the insights?

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Advanced Al Techniques (Analytics) like Reasoning (Symbolic) & Machine Learning (Neural) make use of data and models to provide insight to guide decisions



AI: A Quick Introduction

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# **Analytics Landscape**

Competitive Advantage	Stochastic Optimization	How can we achieve the best outcome including the effects of variability?  How can we achieve the best outcome?	Prescrintive
	Optimization	now can we achieve the best outcome?	Trescriptive
	Predictive modeling	What will happen next if ?	
	Forecasting	What if these trends continue?	Predictive
	Simulation	What could happen?	riedictive
	Alerts	What actions are needed?	
	Query/drill down	What exactly is the problem?	
	Ad hoc reporting	How many, how often, where?	Descriptive
	Standard Reporting	What happened?	

Degree of Complexity

Based on: Competing on Analytics, Davenport and Harris, 2007

# History of Chatbots is the History of Al

Credit: <a href="https://en.wikipedia.org/wiki/Turing\_test">https://en.wikipedia.org/wiki/Turing\_test</a>

1950 - Turing test

"which player – A or B – is a computer and which is a human."

1964-66 - Eliza

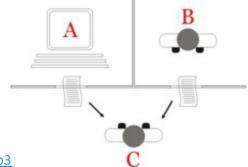
computerized Rogerian psychotherapist

https://en.wikipedia.org/wiki/ELIZA, http://www.manifestation.com/neurotoys/eliza.php3

2011 - IBM Watson

question answering in a game setting

Today – Amazon Alexa, Google Echo, Apple Siri, ...





Credit: https://en.wikipedia.org/wiki/IBM Watson

# Course Logistics

# Course Description – Spring 2025 (\*)

### **CSCE 581 - Trusted Artificial Intelligence (3 Credits)**

https://cse.sc.edu/class/581

Al Trust – responsible/ethical technology, fairness/ lack of bias, explanations (XAI), machine learning, reasoning, software testing, data quality and provenance, tools and projects.

Prerequisites: C or better in <u>CSCE 240</u> and <u>CSCE 350</u>.

Prerequisite or Corequisite: D or better in <u>CSCE 330</u>.

## High Level Plan (Original)

#### **CSCE 581 -**

- Week 1: Introduction
- Week 2: Background: AI Common Methods
- Week 3: The Trust Problem
- Week 4: Machine Learning (Structured data) Classification
- Week 5: Machine Learning (Structured data) Classification Trust Issues
- Week 6: Machine Learning (Structured data) Classification Mitigation Methods
- Week 7: Machine Learning (Structured data) Classification Explanation Methods
- Week 8: Machine Learning (Text data) Classification, Large Language Models
- Week 9: Machine Learning (Text data) Classification Trust Issues
- Week 10: Machine Learning (Text data) Classification Mitigation Methods
- Week 11: Machine Learning (Text data) Classification Explanation Methods
- Week 12: Emerging Standards and Laws
- Week 13: Project presentations
- Week 14: Project presentations, Conclusion

AI/ ML topics and with a focus on fairness, explanation, Data privacy, reliability

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# Reference: Intro Al Course Description

### **CSCE 580 - Artificial Intelligence (3 Credits)**

Heuristic problem solving, theorem proving, and knowledge representation, including the use of appropriate programming languages and tools.

Prerequisites: <u>CSCE 350</u>.

## High Level Plan (Typical)

## CSCE 580 – Introduction to AI – Topics in Recent Courses

- Topic 1: Introduction, aims
- Topic 2: Search, Heuristics
- Topic 3: Constraint Satisfaction Problems
- Topic 4: Decision making Game trees
- Topic 5: Decision making Decision networks
- Topic 6: Decision making Markov Decision Processes, Hidden Markov models
- Topic 7: Learning naïve Bayes, regression, Classification, clustering (unsupervised)
- Topic 8: Learning neural network, deep learning
- Topic 9: Decision making Planning, Reinforcement Learning
- Topic 10: Robotics
- Topic 12: Representation, Ontology
- Topic 12: Tools

Classical AI topics and a focus on implementation

Fall 2024

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# Reference: Al Learning Objectives

Understand the breadth of AI techniques, be empowered to solve real-world challenges

- •L1: Appreciate and work with diversity of data—text, speech and visual; focus of course will, be structured data (e.g., tables) and text (NLP; English)
- •L2: Learn techniques to derive insights from data spanning reasoning (e.g., symbolic) and learning (e.g., neural) in a decision-making setup
- •L3: Learn methods to represent and organize insights
- •L4: Make insights usable with people in a collaborative setting ("chatbots")
- •L5: Understand issues related to usage of AI methods/ tools with people.
- L6: Gain experience by build a real-work AI

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# Adapt Based on Class Interest?

- CSCE 581: AI/ ML topics and with a focus on fairness, explanation, Data privacy, reliability
- CSCE 580: Classical AI topics and a focus on implementation
- Need to adapt?
  - AI/ ML topics with a focus on generative AI, fairness, explanation, adversarial attacks; building chatbots

## Administrative Information – CSCE 581

Walk through of Github:

https://github.com/biplav-s/course-tai-s25

#### Website:

https://sites.google.co m/site/biplavsrivastava /teaching/ai-csce-581spring-2025-trusted-ai

#### **Quick Info - When and Where**

- Tuesday/Thursday 4:25 pm 5:40 pm
- In person at 300 Main St. | Room B101. Recordings to be available on Blackboard.

### **Catalog Information**

- Trusted AI CSCE 581 001
- CRN: 55893
- Duration: 01/13/2025 05/07/2025

#### **Instructor Information**

- Instructor: Biplav Srivastava
- E-mail: biplav.s AT sc.edu
- Office Hours: 2-3pm (M), 10-11am (Th); other times by appointment
- <u>GitHub</u> for slides, sample code.

## Course Material

- Artificial Intelligence: A Modern Approach (Fourth edition, 2020), Stuart Russell and Peter Norvig,
  - •http://aima.cs.berkeley.edu/,

ISBN-13: 978-0134610993

 Trustworthy Machine Learning, by Kush R. Varshney, <u>http://www.trustworthymachinelearning.com/</u>, 2022

#### **Open Datasets**

- data.gov from ANY COUNTRY
  - Portal: <a href="https://dataportals.org/">https://dataportals.org/</a>
  - US: <a href="https://www.data.gov/">https://www.data.gov/</a> or any US state
  - India: <a href="https://data.gov.in">https://data.gov.in</a>
- Text of legislations LegiScan, <a href="https://legiscan.com/">https://legiscan.com/</a>
- Kaggle datasets: <a href="https://www.kaggle.com/datasets">https://www.kaggle.com/datasets</a>
- Google datasets search:

https://datasetsearch.research.google.com/

#### Al Fairness

- Trisha Mahoney, Kush R. Varshney, and Michael Hind, Available at: https://krvarshney.github.io/pubs/MahoneyVH2020.pdf
- In AI We Trust: Ethics, Artificial Intelligence, and Reliability, Mark Ryan. Available at: <a href="https://link.springer.com/article/10.1007/s11948-020-00228-y">https://link.springer.com/article/10.1007/s11948-020-00228-y</a>

#### Python for Data Analysis

- Latest: Python for Data Analysis Book, by Wes McKinney, 2<sup>nd</sup> Edition. On Amazon at: <a href="https://www.amazon.com/gp/product/1491957662/">https://www.amazon.com/gp/product/1491957662/</a>, ISBN-13: 978-1491957660, ISBN-10: 1491957662
- Book Data and Code Notebooks: <a href="https://github.com/wesm/pydata-book">https://github.com/wesm/pydata-book</a>
- 1st edition (free download): <a href="https://bedford-computing.co.uk/learning/wp-content/uploads/2015/10/Python-for-Data-Analysis.pdf">https://bedford-computing.co.uk/learning/wp-content/uploads/2015/10/Python-for-Data-Analysis.pdf</a>

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# Student Assessment

A = [920-1000]

B+ = [870-919]

B = [820-869]

C+ = [770-819]

C = [720-769]

D+ = [670-719]

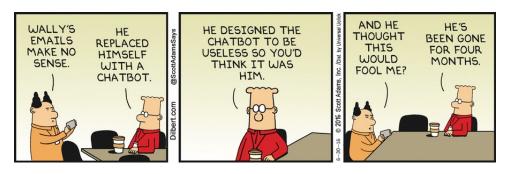
D = [600-669]

F = [0-599]

Tests	Undergrad	Grad
Course Project – report, in-class presentation	600	600
Quiz – best of 3 from 4	200	200
Final Exam	200	100
Additional Final Exam – Paper summary, in-class presentation		100
Total	1000 points	1000 points

**Course Logistics** 

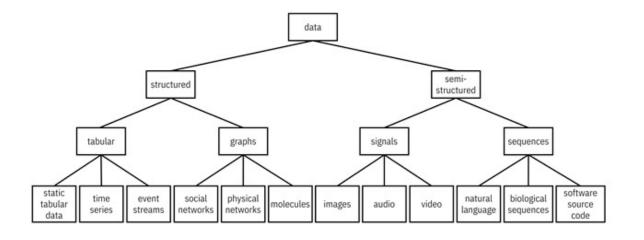
## Al for the Real World



Credit: Dilbert – June 30, 2016

# Types of Data

- By media: Text, Sound (speech), Visual (image, video), Multi (modal, media)
- By structure: unstructured, semi-structured, structured
- By features: time-series, labeled/ unlabeled, spatio-temporal,

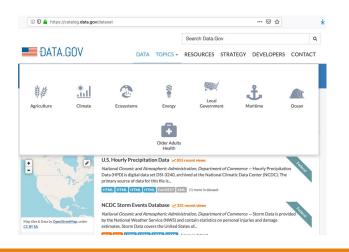


### Image credit:

http://www.trustworthymachinelearning.c om/trustworthymachinelearning-04.htm

# Open Data

- Open data is the notion that data should not be hidden, but made available to everyone to **reuse**. The idea is not new.
- Scientific publications follow this: "standing on the shoulders of giants"
- Data quality and open publishing process is critical

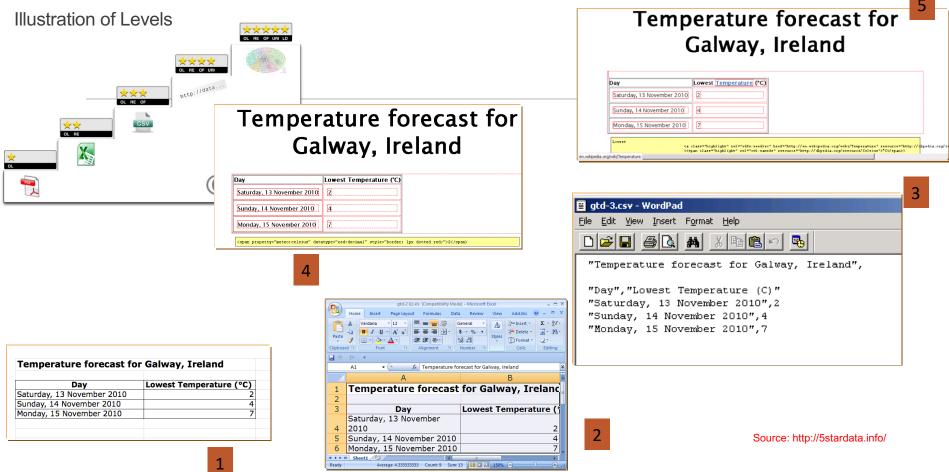






India

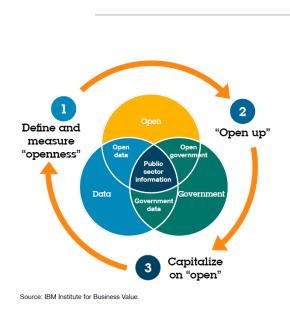




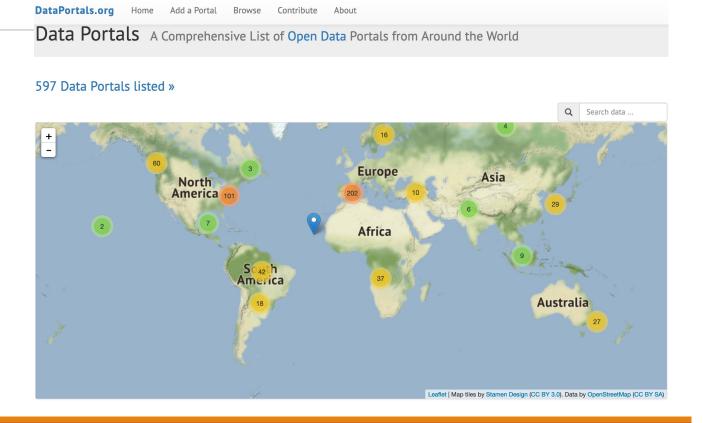
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## About 600 Data Catalogs of Public Data

https://dataportals.org



As on 17 Aug 2022



# Guideline: Human Impact of Al

- We study technology (AI) but it works with data
- Data, when from people or about people, can have issues like bias
  - **Example**: data reveals a view which is influenced by data collection practices
  - Difference: World as it is, world according to data and world as it should be
- The course and instructor believes in
  - Not promoting bias of any kind
  - · Respecting everyone regardless of background

# Al Ethics

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## Why is Ethics Even an Issue?

- When a technology works with humans and relates to inter-personal issues, the question of ethics comes into picture
- Examples: medicine (opiods), food (genetically modified)

Discussion: what, if any issue,

- in recommending courses to students?
- in finding treatment for Covid?

# What is Specific to AI?

- Al needs data
  - Data privacy and governance
- Al is often a black box
  - Explainability and transparency
- Al can make decisions/recommendations
  - Fairness and value alignment
- Al is based on statistics and has always a small percentage of error
  - Who is accountable if mistakes happen?
- Al can infer our preferences and manipulate them
  - Human and moral agency
- Al is very pervasive and dynamic
  - Larger negative impacts for tech misuse
  - Fast transformation of jobs and society

#### Credits:

Tutorial on <u>Trusting AI by Testing and Rating Third Party Offerings at IJCAI 2020</u>, Biplav Srivastava, Francesca Rossi, Jan 2021

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Main Al Ethics Issues

DATA GOVERNANCE AND PRIVACY FAIRNESS AND INCLUSION

HUMAN AND MORAL AGENCY VALUE ALIGNMENT



ACCOUNTABILITY



TRANSPARENCY AND EXPLAINABILITY



TECHNOLOGY MISUSE

Credits:

Tutorial on Trusting AI by Testing and Rating Third Party Offerings at IJCAI 2020, Biplav Srivastava, Francesca Rossi, Jan 2021

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#### Collaborative Assistants

- Conversation agents and interfaces (chatbots) are getting easy to build and deploy
  - · Can be text-based or speech-based
  - Usually multi-modal (i.e, involving text, speech, vision, document, maps)
- Current chatbots typically interact with a single user at a time and conduct
  - Informal conversation, or
  - Task-oriented activities like answer a user's questions or provide recommendations

#### **Demonstrations**

- *Eliza*, http://www.manifestation.com/neurotoys/eliza.php3
- Mitsuku, https://www.pandorabots.com/mitsuku/
- ChatGPT, https://openai.com/blog/chatgpt

#### Exercise: Session with ChatGPT

- Ask questions about Water usage
  - Experience
- Ask questions about Finance
  - Experience
- Hint:
  - Demand / supply questions: "can I drink water of Lake Murray"?, "will US have money to pay debt next year"
  - Decision questions: "which water should I choose between a bottled one and tap"?
  - Factoid questions: "is pH of 7 good for drinking water?"

#### Exercise: Your Resumes

- What does a search (Google search) tell about you?
- What does a LLM/ ChatGPT tell about you?
- Task:
  - Put your resume at: <TBD>
- Course task: We will analyze them as part of AI/ data science activity in a later class

# Exercise: Solving Games with Al

- Popular way to learn AI is via games
  - <a href="https://github.com/biplav-s/course-ai-tai-f23/blob/main/sample-code/Class1-games.md">https://github.com/biplav-s/course-ai-tai-f23/blob/main/sample-code/Class1-games.md</a>

# **Concluding Section**

# Lecture 1: Concluding Comments

- •We did a quick overview of
  - AI
  - Trust issues
- Course will focus on
  - Understanding trust issues and ongoing ways to make AI reliable, practical ways to convey trustworthy
    results to users.
  - Student evaluation will be by via project, paper and quizzes
- Exciting techniques to learn to impact the world around us

## About Next Lecture – Lecture 2

### Lecture 2: Data

- Data analysis for traffic (South Carolina), Trust –
   <a href="https://ai4society.github.io/projects/traffic-page/index.html">https://ai4society.github.io/projects/traffic-page/index.html</a>
- Recommendations and Trust [Fairness and Teaming Recommendation] https://ai4society.github.io/projects/group\_rec/index.html