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## FULL PAPER

### Decentralized controllers for perimeter surveillance with teams of aerial robots

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This paper presents a decentralized controller to guide a group of aerial robots to converge to and to move along a simple closed curve specified in a three-dimensional environment. This curve may be considered as a perimeter to be surveilled by the robots. The solution presented in this paper is based on an artificial vector field modulated by a collision avoidance scheme and relies only on local sensing. Proofs of asymptotic stability of the proposed controller are devised for a team of kinematically controlled rotorcrafts. Experimental results with a group of autonomous quadrotors are presented to validate the applicability and performance of the approach.

**Keywords:** aerial robots; swarms; vector fields

#### 1. Introduction

Aerial robots are particularly adept to the application of surveillance due to their maneuverability and extended workspace in comparison to ground robots. However, there are several challenges in developing a viable surveillance approach. In particular, we must ensure that the robots maintain some continuous coverage of the environment. Further, as we wish to pursue methods that leverage large numbers of robots to cover large spaces, the planning and control problems must guarantee convergence, regardless of the system size. In this work, we focus on an approach that relies on decentralized individual robot control laws that drive a team of aerial robots to converge to and circulate along a curve in a three-dimensional environment.

Several research groups have addressed the problem of controlling one or more mobile robots to converge to (and sometimes circulate along) a desired one dimensional curve,[1–7] enabling tasks such as perimeter surveillance and boundary coverage,[8] environmental monitoring,[9] tracking of mobile targets [10] and artistic pattern formation.[11]

Although convergence of teams of mobile robots to curves is not a recent problem (e.g. the work of Sugihara and Suzuki [12]), most solutions found in the literature address two-dimensional environments. This is the case, for example, of the work by Hsieh et al. [8], where the

authors present provably correct and decentralized solutions to control large groups of planar mobile robots to converge to closed curves. A few authors have proposed methodologies that control mobile robots in three-dimensional workspaces. In [5], the authors propose a 3D vector field to guide a single aerial mobile robot to converge to planar curves. The authors of [2] suggest a similar methodology but consider generic, time-varying curves.

The focus of this paper is the development of a control solution for a large team of micro-aerial vehicles (MAVs) to converge to and circulate along a closed curve in a three-dimensional workspace while avoiding inter-robot collisions. As we aim to work with groups of tens or hundreds of robots (i.e. swarms), we pursue a strategy that relies only on local sensing and limited inter-robot communication.

The methodology proposed in this paper is based on vector fields, which are extensively used to control aerial robots.[3,13–16] An advantage of vector field-based techniques over traditional methods is the fact that it is often possible to specify a potential function, whose gradients determine the field, and use such a function as a Lyapunov function to formally show the system stability.[10] Another advantage is that vector fields may be locally modified without compromising the global properties of the system.[17] This property is very important in multi-

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robot scenarios where we are dealing with dynamic constraints imposed by other robots in the group.

The solution that we propose in this paper builds upon our earlier work [2] where the vector field is composed of two terms: (i) a gradient term that attracts the robots to the target curve and (ii) a tangential term that is orthogonal to the first one that drives the robots to circulate along the curve. These two components are based on two implicit functions ( $n-1$  functions for  $n$ -dimensional spaces), which also define the target curve. A practical methodology to specify the implicit functions based on a set of sample points of the target curve is also presented in [2].

Differently from [2], which was designed to control a single robot, in the present work, to allow robot cooperation, the field has three components: two components with negative projection onto the gradient term and a tangential component, orthogonal to the other two. To avoid collisions among the robots (which are considered to be spherical), each term of the field is modulated based on a set of priority values computed online based on local information acquired from neighboring robots. This scheme is similar to the 2D version proposed in [18], where a single potential function is used to specify the target curve. In [18], the evaluation of this function at each robot's configuration along with the robot's instantaneous velocity imposed by the vector field is used to compute the priority of the robot among its neighbors. Priorities are defined at the orthogonal and tangential directions to the curve, forcing the robots to slow-down or speed-up in each of these component directions to avoid collisions. Since in the present paper we are considering a 3D workspace, the prioritization scheme of [18] cannot be directly used. Thus, we define priorities in three directions: two directions related to convergence to the curve, and one direction orthogonal to the other two, which is tangent to the curve. With this methodology, we are able to guarantee that a group of kinematically controlled, spherical holonomic robots converge to and circulate along curves in 3D. Our proofs are valid for a large class of curves, for which we present conditions that may be verified before the execution of the task.

To the authors' best knowledge, the methodology presented in this paper is the first provably correct decentralized solution that controls a group of mobile robots to converge to and circulate along curves specified in three dimensions. Furthermore, the robots may be heterogeneous in physical size and sensing. Another important contribution of the paper is the experimental validation of the methodology with a team of indoor quadrotor robots.

The next section formally presents the problem statement and Section 3 details the proposed methodology to solve the problem. Formal proofs of convergence of such a methodology are presented in Section 4. Experimental

results with aerial robots are discussed in Section 5. Finally, Section 6 presents conclusions and provides possible future research directions.

## 2. Problem statement

Consider a set  $\Omega$  of  $N$  spherical robots, such that to a given robot  $\omega_i$  is associated a radius  $r_i$  and a spherical sensing range with radius  $R_i$ . For every instant of time  $t \geq 0$ , we define the configuration  $\mathbf{q} = [x(t) \ y(t) \ z(t)]^T \in \mathbb{R}^3$ , where  $x$ ,  $y$ , and  $z$  denote Cartesian coordinates, and assign to each robot a unique configuration  $\mathbf{q}_i(t)$  corresponding to the position of the sphere center. The model for all robots  $\omega_i \in \Omega$  is holonomic and purely kinematic:

$$\dot{\mathbf{q}}_i = \mathbf{u}_i, \quad (1)$$

where  $\dot{\mathbf{q}}_i$  is the velocity vector of robot  $i$  and  $\mathbf{u}_i$  is its control input.

Let  $\alpha_i(x, y, z): \mathbb{R}^3 \mapsto \mathbb{R}$ ,  $i \in \{1, 2\}$  be functions with continuous partial derivatives. Function  $\alpha_1$  depends only on  $x$  and  $y$ . Also, the projections of any level surface of  $\alpha_1$  onto a plane parallel to the plane  $xy$  must be a Jordan curve (e.g. closed, continuous, and all its parameterizations are injective maps). Notice, that any level surface of this function,  $\alpha_1(\mathbf{q}) = C$ , where  $C$  is a constant, is cylindric.

Function  $\alpha_2$  is of the form

$$\alpha_2(x, y, z) = \sigma z - \Phi(x, y), \quad \sigma \neq 0 \quad (2)$$

where  $\Phi(x, y)$  is a function with continuous partial derivatives. It can be seen that the level surfaces of  $\alpha_2$  do not have two points with the same projection onto the plane  $xy$ .

Functions  $\alpha_1$  and  $\alpha_2$  may define a one dimensional curve  $\Gamma$  as:

$$\Gamma: \{\mathbf{q} \in \mathbb{R}^3 | \{\alpha_1(\mathbf{q}) = 0\} \cap \{\alpha_2(\mathbf{q}) = 0\}\} \quad (3)$$

Notice that, since  $\{\alpha_1 = 0\}$  is cylindric and every point of  $\{\alpha_2 = 0\}$  has a unique projection onto the  $xy$  plane, the curve  $\Gamma$  is closed, continuous, and also has a unique projection onto the plane  $xy$ . Figure 1 presents an example that illustrates the composition of a curve from functions  $\alpha_1$  and  $\alpha_2$ .

The problem that we are addressing in this paper is to make the set of robots  $\Omega$  converge to and circulate along  $\Gamma$ . This may be written as:

**Problem statement.** Given the curve  $\Gamma$  as defined in (3), design a controller  $\dot{\mathbf{q}}_i = \mathbf{u}_i$  for every robot  $\omega_i \in \Omega$  that: (i) guides  $\mathbf{q}_i$  from its initial condition  $\mathbf{q}_i(0) \in \mathbb{R}^3$  to  $\Gamma$  and (ii) once in  $\Gamma$  enforces  $\mathbf{q}_i$  to traverse this curve in

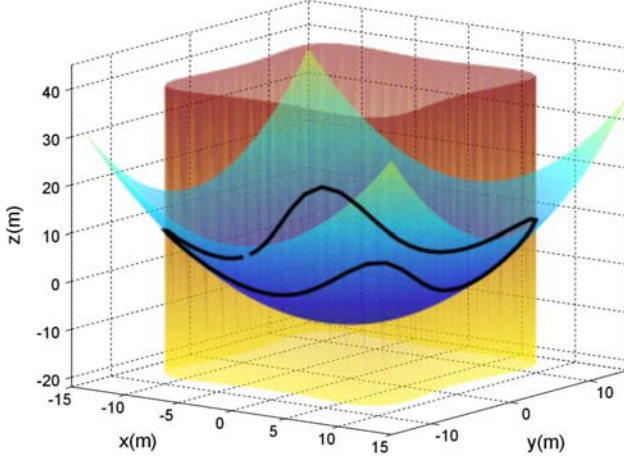


Figure 1. Two manifolds  $\{\alpha_1 = 0\}$  and  $\{\alpha_2 = 0\}$  with their intersection curve  $\Gamma$ .

a fixed direction, while avoiding collisions with other robots, that is:

$$\|\mathbf{q}_i(t) - \mathbf{q}_j(t)\| - (r_i + r_j) > 0 \quad \forall t \geq 0, \\ \forall (\omega_i, \omega_j) \in [\Omega \times \Omega], \quad \omega_i \neq \omega_j.$$

The solution to the stated problem is presented in the next section.

### 3. Methodology

To solve the problem stated in the previous section, we propose the use of individual control inputs of the form:

$$\mathbf{u}_i = -\varphi_i f_{\alpha_2}(\nabla(\alpha_1^2)) - \lambda_i f_{\alpha_1}(\nabla(\alpha_2^2)) + \rho_i \nabla \alpha_1 \times \nabla \alpha_2, \quad (4)$$

where  $\nabla(\alpha_k^2)$  stands for the gradient of the square of  $\alpha_k$ , and function  $f_{\alpha_k}(\mathbf{b}): \mathbb{R}^3 \mapsto \mathbb{R}^3$  is defined by

$$f_{\alpha_k}(\mathbf{b}) = \begin{cases} \mathbf{b} & \text{if } [\nabla(\alpha_k^2)]^T \mathbf{b} \geq 0 \\ \pi(\mathbf{b}, \mathcal{N}([\nabla(\alpha_k^2)]^T)) & \text{otherwise} \end{cases}.$$

The operator  $\pi(\mathbf{b}, \mathcal{N}([\nabla(\alpha_k^2)]^T))$  gives the orthogonal projection of  $\mathbf{b}$  onto the null space of  $[\nabla(\alpha_k^2)]^T$ . The modulating functions  $\rho_i$ ,  $\varphi_i$ , and  $\lambda_i$ , that will be shortly defined, are of the form:

$$\rho_i(\mathbf{q}_i, \mathbf{q}_{\Theta_i}): \mathbb{R}^{3(M+1)} \mapsto [0, 1],$$

$$\varphi_i(\mathbf{q}_i, \mathbf{q}_{\Theta_i}): \mathbb{R}^{3(M+1)} \mapsto [0, 1],$$

$$\lambda_i(\mathbf{q}_i, \mathbf{q}_{\Theta_i}): \mathbb{R}^{3(M+1)} \mapsto [0, 1],$$

where  $\mathbf{q}_{\Theta_i}$  is a vector such that its elements are the sphere centers  $\mathbf{q}_j$ 's of the corresponding robots  $\omega_j \in \Theta_i$ , and  $M$  is the cardinality of the detection set  $\Theta_i$  defined as:

**Definition 1.** The detection set of a robot  $\omega_i$  is composed by all robots in  $\Omega$  that are inside its spheric sensing range parametrized by  $R_i$ :

$$\Theta_i \triangleq \{\omega_j \in \Omega \mid \{\Delta_{ij} \leq R_i\}, j \neq i\},$$

where

$$\Delta_{ij} \triangleq \|\mathbf{q}_i - \mathbf{q}_j\|.$$

The intuition behind the definition of the vector field in (4) is as follows. The first two terms are responsible for the convergence of the robots' configuration to  $\Gamma$ . The first term defines a vector that, mostly, points towards the level set  $\alpha_1(\mathbf{q}_i) = 0$  while the vector defined by the second term points towards  $\alpha_2(\mathbf{q}) = 0$ . When these two vectors are competitive, i.e. one term has a component in the opposite direction of the other, function  $f_{\alpha_k}(\mathbf{b})$  removes this component by projecting one vector in the null space of the other. The third component of (4) is orthogonal to the other two, thus tangent to the level sets of  $\alpha_1$  and  $\alpha_2$ . This tangential component ensures circulation of the robots along the curve. Functions  $\varphi_i$ ,  $\lambda_i$ , and  $\rho_i$  are in place to modulate the three components of each individual control law in order to prevent inter-robot collisions. A collision avoidance approach is required to assign proper values to these functions. We will now provide several useful definitions prior to detailing this approach.

**Definition 2.** For all robots  $\omega_i \in \Omega$ , the augmented radius,  $\tilde{r}$ , is defined as  $\tilde{r} \triangleq r_i + \tau_i$  such that  $\tau_i$  is positive and is greater than the maximum  $r_i$  among all robots in  $\Omega$ . Notice that the augmented radius is unique for all robots in  $\Omega$ .

The augmented radius is important in our approach as it creates a region around the robots that, in deadlock conditions, may be occupied by other robots. This will become clear in the next section.

**Definition 3.** The collision distance  $\delta_{ij}$  between a pair is given by:

$$\delta_{ij} \triangleq \begin{cases} \frac{\Delta_{ij}^2 - (2\tilde{r} + \epsilon)^2}{R_i^2 - (2\tilde{r} + \epsilon)^2} & \text{if } \Delta_{ij} \geq 2\tilde{r} + \epsilon, \\ 0 & \text{otherwise} \end{cases},$$

where  $\epsilon$  is a positive constant.

**Definition 4.** The emergency stop function induced by a pair  $(\omega_i, \omega_j) \in [\Omega \times \Omega]$  is given by:

$$\delta_{ij}^* \triangleq \begin{cases} 1 & \text{if } \Delta_{ij} > (2\tilde{r} + \epsilon) \\ \frac{\Delta_{ij}^2 - (2\tilde{r})^2}{(2\tilde{r} + \epsilon)^2 - (2\tilde{r})^2} & \text{if } 0 \leq \Delta_{ij} - 2\tilde{r} \leq \epsilon. \\ 0 & \text{otherwise} \end{cases}.$$

**Definition 5.** The tangential priority  $\Lambda_{ij}$  function between a pair of robots is given by:

$$\Lambda_{ij} = \Lambda_{\mathbf{q}_i, \mathbf{q}_j} \triangleq (\mathbf{q}_i - \mathbf{q}_j)^T [\nabla \alpha_1(\mathbf{q}_i) \times \nabla \alpha_2(\mathbf{q}_j)],$$

**Definition 6.** The priority sets related to each component of the vector field are given by:

$$\Xi_\varphi^i : \{\omega_j \in \Theta_i \mid |\alpha_1(\mathbf{q}_i)| > |\alpha_1(\mathbf{q}_j)|\},$$

$$\Xi_\lambda^i : \{\omega_j \in \Theta_i \mid |\alpha_2(\mathbf{q}_i)| > |\alpha_2(\mathbf{q}_j)|\},$$

$$\Xi_\rho^i : \{\omega_j \in \Theta_i \mid \Lambda_{ij} < \Lambda_{ji}\}.$$

Figure 2 presents an example of the priority sets in Definition 6. Still, related to this definition, it must be noticed that conditions  $\{|\alpha_1(\mathbf{q}_i)| = |\alpha_1(\mathbf{q}_j)|\}$ ,  $\{|\alpha_2(\mathbf{q}_i)| = |\alpha_2(\mathbf{q}_j)|\}$  and  $\{\Lambda_{ji} = \Lambda_{ij}\}$  are not relevant for practical applications since they occur only when the pair of robots are located on zero-measure regions of  $\mathbb{R}^3$ . Thus, we can conclude that each priority set is pairwise-selective, that is:

$$\omega_j \in \Xi^i \Leftrightarrow \omega_i \notin \Xi^j.$$

Therefore, these sets provide a strong pairwise priority in the sense that one element of the pair will always have priority over the other element, with no ambiguities. With the aid of the previous definitions, we define the following functions:

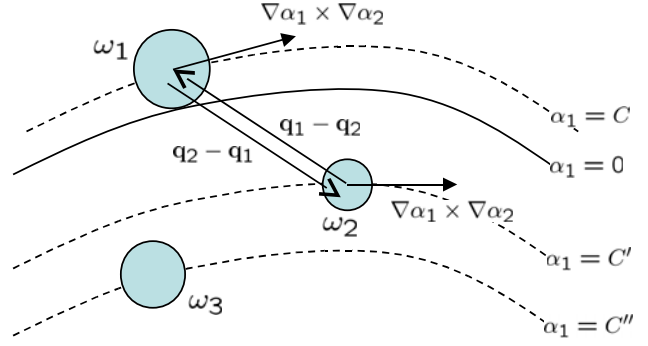


Figure 2. Example of definition 6. Three robots are positioned such that  $\alpha_1(\mathbf{q}_1) = C$ ,  $\alpha_1(\mathbf{q}_2) = C'$ ,  $\alpha_1(\mathbf{q}_3) = C''$ , where  $C < C' < C''$ . Therefore, assuming that all robots are detectable by the others,  $\Xi_\varphi^1 = \{\}$ ,  $\Xi_\varphi^2 = \{\omega_1\}$ , and  $\Xi_\varphi^3 = \{\omega_1, \omega_2\}$ , indicating that no other robot has priority in relation to  $\omega_1$  in the  $\nabla(\alpha_1^2)$  component of the field, and only  $\omega_1$  has priority in relation to  $\omega_2$ . Regarding the tangential priority, assuming that the black arrows represent  $\nabla \alpha_1(\mathbf{q}_i) \times \nabla \alpha_2(\mathbf{q}_j)$ , it can be noticed that  $\Xi_\rho^1 = \{\omega_2\}$  while  $\Xi_\rho^2 = \{\}$ . By inspection,  $\Xi_\rho^3 = \{\omega_1, \omega_2\}$ .

$$\zeta_i^l(\Theta_i) = \begin{cases} \prod_{\omega_j \in \Xi_i^l} |\delta_{ij}^*| & \text{if } \Xi_i^l \neq \emptyset \\ 1 & \text{otherwise} \end{cases},$$

$$\theta_i^l(\Theta_i) = \begin{cases} \prod_{\omega_j \in \Theta_i} |\delta_{ij}^*| & \text{if } \Theta_i \neq \emptyset \\ 1 & \text{otherwise} \end{cases},$$

where  $l$  is equal to  $\varphi$ ,  $\lambda$ , or  $\rho$ . Then, the values of the functions  $\varphi_i$ ,  $\lambda_i$  and  $\rho_i$  are set as:

$$\varphi_i = \zeta_\varphi^i \theta_\varphi^i, \quad \lambda_i = \zeta_\lambda^i \theta_\lambda^i, \quad \rho_i = \zeta_\rho^i. \quad (5)$$

From the above definitions, one can conclude that functions  $\varphi_i$ ,  $\lambda_i$  and  $\rho_i$  modulates each component of the vector field (4) in function of the robots' proximity and priority. It can be observed that if a robot  $\omega_j$  has priority over robot  $\omega_i$  in a given component, that is,  $\omega_j$  is in the  $\Xi^i$  relative to this component, and the collision distance  $\delta_{ij}$  between the two robots is approximately null (it must be noted that  $\delta_{ij}$  is defined using the augmented radius plus  $\epsilon$ ), the function associated with the component will be equal to zero at  $\omega_i$ , while  $\omega_j$  will be allowed to move at the direction of this component. Thus, the collision avoidance scheme establishes a strong priority between any pair of robots in  $\Omega$  in the three components of the control law (4). A robot will stop at a given component when the distance between a pair reaches a critical value and this robot has no priority in that component, thus allowing the other robot to move. If a pair of robots

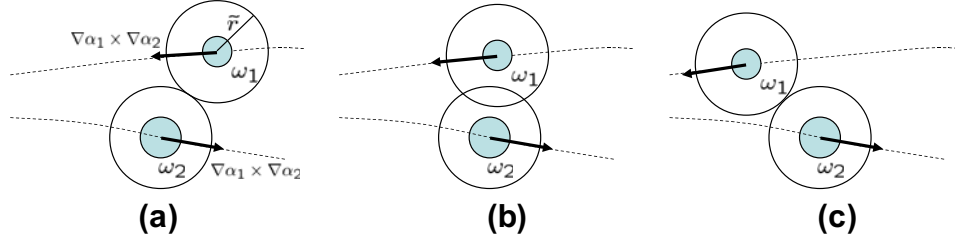


Figure 3. Example of Assumption 3. (a) The robots are at distance  $2\tilde{r}$  in  $t = t_1$ ; (b) For all  $t > t_1$  and  $t < T$  robot  $\omega_1$  can move by following  $\nabla\alpha_1 \times \nabla\alpha_2$ , while  $\omega_2$  remains still, given that  $\Xi_p^2 = \{\omega_1\}$ ; (c) At time  $T$  the distance between the robots is greater than  $2\tilde{r}$ . In these figures, the dashed lines represent the integral curves of  $\nabla\alpha_1 \times \nabla\alpha_2$ .

reaches the distance given by  $2\tilde{r}$ , both robots will stop at the two gradient components, as ensured by  $\delta_{ij}^*$ , allowing the robots to move only in the tangential direction to escape from the deadlock, provided that Assumption 3, related to the geometry of the curve, is valid, as will be discussed in next section. An example of such a situation is shown in Figure 3, that also illustrates the necessity of the augmented radius definition.

It is important to emphasize that  $\varphi_i$ ,  $\lambda_i$ , and  $\rho_i$  are continuous functions since functions  $\xi_l^i$  and  $\theta_l^i$  are continuous.

#### 4. Analysis

It is essential to show that the closed-loop system provides convergence to  $\Gamma$ . This section presents two lemmas and a theorem that demonstrates the effectiveness of our approach. To prove the first lemma, two assumptions are made:

**Assumption 1.** The robots' initial conditions are inside a bounded region  $\mathcal{B} \subset \mathbb{R}^3$  such that  $C_1 < \alpha_1(\mathbf{q}) < C_2$  and  $D_1 < \alpha_2(\mathbf{q}) < D_2$ , where  $C_1 < 0$ ,  $D_1 < 0$ ,  $C_2 > 0$ ,  $D_2 > 0$  and: (i)  $\Delta_{ij} > 2\tilde{r} + \epsilon \forall \omega_i, \forall \omega_j \in \Omega$ , where  $\epsilon$  is a positive value, (ii)  $\nabla\alpha_1(\mathbf{q}_i) \neq \mathbf{0} \forall \omega_i \in \Omega$ .

This assumption guarantees that the robots start in a bounded region of the workspace, as required by our proofs. Moreover, it also guarantees that there is no collision among the robots in the initial condition and that all robots do not start at the critical points of  $\alpha_1$ .

**Assumption 2.** The set  $\nabla\alpha_1(\mathbf{q}) = \mathbf{0}$ , which depends on the nature of the curve, is repulsive, i.e. the vector field in the neighborhood of the set repels the robot from the set. Also,  $\nabla\alpha_1(\mathbf{q}) \cap \Gamma = \emptyset$ .

Assumption 2 is in place to guarantee that the robots will not be attracted by a local minimum of  $\alpha_1$ . Thus,

we are assuming that all critical points of  $\alpha_1$  are saddle, unstable points. A proper choice of  $\alpha_1$  assure this property, as shown in [2].

**Definition 7.** A condition  $g = 0$  is nonpersistent over time if  $g = 0$  at a finite time  $T$  and there exists a finite time  $T' > T$  such that  $g \neq 0$ .

**Lemma 1.** A robot  $\omega_i \in \Omega$ , following the vector field given by (4) asymptotically converges to  $\Gamma$  and, once in the curve, circulates along the curve in a fixed direction if the conditions  $\varphi_i = 0$ ,  $\lambda_i = 0$ , and  $\rho_i = 0$  are nonpersistent over time.

*Proof.* Consider the Lyapunov-candidate function  $V(\alpha_1, \alpha_2) = (\alpha_1)^2 + (\alpha_2)^2$ , which is clearly positive-semidefinite. Also, the set  $\mathcal{B}_L = \{\mathbf{q}_i \in \mathbb{R}^3 | V(\alpha_1(\mathbf{q}_i), \alpha_2(\mathbf{q}_i)) < L\}$  is bounded for  $0 < L < \infty$ . For robot  $\omega_i$ , the time derivative of  $V$  is given by:

$$\begin{aligned} \frac{dV(\alpha_1(\mathbf{q}_i(t)), \alpha_2(\mathbf{q}_i(t)))}{dt} &= \nabla V^T \dot{\mathbf{q}}_i \\ &= -\nabla V^T (\varphi_i f_{\alpha_2}(\nabla(\alpha_1^2)) \\ &\quad + \lambda_i f_{\alpha_1}(\nabla(\alpha_2^2)) - \rho_i \nabla\alpha_1 \times \nabla\alpha_2) \\ &= -2(\alpha_1 \nabla\alpha_1 + \alpha_2 \nabla\alpha_2)^T (\varphi_i f_{\alpha_2}(\nabla(\alpha_1^2)) \\ &\quad + \lambda_i f_{\alpha_1}(\nabla(\alpha_2^2)) - \rho_i \nabla\alpha_1 \times \nabla\alpha_2) \\ &= -2(\alpha_1 \nabla\alpha_1 + \alpha_2 \nabla\alpha_2)^T (\varphi_i f_{\alpha_2}(\nabla(\alpha_1^2)) \\ &\quad + \lambda_i f_{\alpha_1}(\nabla(\alpha_2^2))). \end{aligned}$$

Since the vector  $(\varphi_i f_{\alpha_2}(\nabla(\alpha_1^2)) + \lambda_i f_{\alpha_1}(\nabla(\alpha_2^2)))$  has nonnegative projection onto  $\alpha_1 \nabla\alpha_1$  and  $\alpha_2 \nabla\alpha_2$ , and  $\varphi_i$  and  $\lambda_i$  are nonnegative, it follows that

$$\frac{dV(\alpha_1(\mathbf{q}_i(t)), \alpha_2(\mathbf{q}_i(t)))}{dt} \leq 0 \quad \forall t \geq 0, \quad \forall \omega_i \in \Omega.$$

As shown in Lemma 4 (Appendix A), the time derivative of  $V$  is null only when  $\varphi_i \alpha_1 = 0$  and  $\lambda_i \alpha_2 = 0$ .

This guarantees asymptotic convergence to  $\Gamma$ , provided that  $\varphi_i$  and  $\lambda_i$  are not always null. Therefore, in the limit, as time goes to infinity, the time derivative of  $V$  goes to zero and the robot configuration  $\mathbf{q}_i(t)$  converges to  $\Gamma$ , where  $\alpha_1 = 0$  and  $\alpha_2 = 0$ . We also have that

$$\lim_{\mathbf{q}_i \rightarrow \Gamma} \varphi_i f_{\alpha_2}(\nabla(\alpha_1^2(\mathbf{q}_i))) = 0,$$

$$\lim_{\mathbf{q}_i \rightarrow \Gamma} \lambda_i f_{\alpha_1}(\nabla(\alpha_2^2(\mathbf{q}_i))) = 0,$$

which means that only the tangential component  $\rho_i \nabla \alpha_1 \times \nabla \alpha_2$  of the vector field (4) remains as the robot approaches the curve. As is proved in Lemma 3 (Appendix A),  $\nabla \alpha_1$  and  $\nabla \alpha_2$  are linearly independent and are never null at  $\Gamma$ . Thus, it is guaranteed that,  $\rho_i \nabla \alpha_1 \times \nabla \alpha_2$  is null only if  $\rho_i$  is null. If this is a nonpersistent situation, circulation is guaranteed.  $\square$

The previous lemma shows that a robot converges and circulates  $\Gamma$  if the conditions  $\varphi_i = 0$ ,  $\lambda_i = 0$ , and  $\rho_i = 0$  are nonpersistent. It is still necessary to guarantee this situation for all robots in  $\Omega$ . We start with an assumption.

**Assumption 3.** For every pair of configurations  $\mathbf{q}_1 \in \mathcal{B}$  and  $\mathbf{q}_2 \in \mathcal{B}$  such that  $\|\mathbf{q}_1 - \mathbf{q}_2\| = 2\tilde{r}$  and  $\Lambda_{\mathbf{q}_1\mathbf{q}_2} > \Lambda_{\mathbf{q}_2\mathbf{q}_1}$ , there exists a time  $T$  such that the integral curve  $\kappa(t)$  of the vector field  $\mathbf{k} = \nabla \alpha_1 \times \nabla \alpha_2$  that passes through the point  $\mathbf{q}_1$  at time  $t_1 < T$  satisfies the following properties: (i)  $\|\kappa(t) - \mathbf{q}_2\| > r_i + r_j$ , for  $t_1 < t < T$ , (ii)  $\|\kappa(T) - \mathbf{q}_2\| > 2\tilde{r} + \epsilon$ , where  $\epsilon$  is a positive value, and (iii)  $\Lambda_{\kappa(t)\mathbf{q}_2} > \Lambda_{\mathbf{q}_2\kappa(t)}$ .

This assumption is a strong one. For a pair of robots, it guarantees that once both gradient components of the field are set to zero (a situation that happens when  $\|\mathbf{q}_1 - \mathbf{q}_2\| = 2\tilde{r}$ ), one of the robots may still have space to move in the tangential direction  $\nabla \alpha_1 \times \nabla \alpha_2$ . In Figure 3, for example, because robot  $\omega_1$  has priority over robot  $\omega_2$ , robot  $\omega_2$  stops while  $\omega_1$  is able to escape by following the tangential component of the vector field. If Assumption 3 was not satisfied, robot  $\omega_1$  would get too close to  $\omega_2$ , thus causing a collision. In this case, the distance between the integral curves of  $\nabla \alpha_1 \times \nabla \alpha_2$  (dashed lines in Figure 3) would be smaller than  $r_1 + r_2$ . By properly tuning  $C_1$  and  $C_2$  in Assumption 1, one can avoid part of this problem, which is caused by the intersection of smaller level-sets of  $\alpha_1$  and  $\alpha_2$ . However, depending on the curvature and the torsion of the integral curves of  $\nabla \alpha_1 \times \nabla \alpha_2$ , and also on the robots' radii, this issue may appear in other regions of the workspace, thus justifying Assumption 3. It is important to note that this assumption is common to most multi-robot strategies

that consider generic curves and nonpoint robots, such as [18]. Unfortunately, there is still no published work that deals with the design of a curve that respects this assumption. On the other hand, it is not too difficult to find curves that satisfy Assumption 3 for a given set  $\mathcal{B}$ .

Before we continue, we define the scalar function  $W: \mathbb{R}^{3N} \rightarrow \mathbb{R}^+$  as

$$W(\mathbf{x}) = \sum_{i=1}^N V(\mathbf{q}_i), \quad (6)$$

where  $\mathbf{x} = [\mathbf{q}_1^T \dots \mathbf{q}_N^T]^T$  and  $V(\mathbf{q}_i) = \alpha_1^2(\mathbf{q}_i) + \alpha_2^2(\mathbf{q}_i)$ .

**Lemma 2.** There exists a finite number of robots  $N_{max}$  such that:

(i) if there is a robot  $\omega_i \in \Omega$ ,  $\mathbf{q}_i \notin \Gamma$  and  $\nabla \alpha_1(\mathbf{q}_i(t)) \neq 0 \forall t \geq 0$ , then the condition

$$\frac{dW(\mathbf{x})}{dt} = 0$$

is nonpersistent over time.

(ii) if  $\nabla \alpha_1(\mathbf{q}_i(t)) \neq 0 \forall t \geq 0$ , and  $\mathbf{q}_i \in \Gamma \forall i$  then the condition

$$\sum_{i=1}^N \|\dot{\mathbf{q}}_i\| = 0$$

is also nonpersistent over time.

*Proof.* Let the number of robots  $N$  be equal to two. According to Lemma 4  $\frac{dV(\mathbf{q}_i(t_0))}{dt} = 0$  if and only if  $\varphi_i(t_0)\alpha_1(\mathbf{q}_i) = \lambda_i(t_0)\alpha_2(\mathbf{q}_i) = 0$ . Therefore, if  $\mathbf{q}_i \notin \Gamma$  and  $\frac{dV(\mathbf{q}_i(t_0))}{dt} = 0$ , then  $\varphi_i(t_0) = 0$  or  $\lambda_i(t_0) = 0$ . Consider the worst case scenario where  $\|\mathbf{q}_i - \mathbf{q}_j\| = \tilde{r}_i + \tilde{r}_j$ . In this case  $\delta_{ij}^* = 0$ , which implies that only the tangential component direction is available for motion. According to Assumption 3 and the proposed control law, at  $t = T'$  we will have  $\|\mathbf{q}_i - \mathbf{q}_j\| > \tilde{r}_i + \tilde{r}_j + \epsilon$ . If one robot is at the curve and the other is not, then  $\frac{dW(\mathbf{x})}{dt} \neq 0$  at  $t = T'$  since  $\delta_{ij} \neq 0$  and  $\delta_{ij}^* \neq 0$ . If both robots are at the curve, then  $\sum_{i=1}^N \|\dot{\mathbf{q}}_i(t)\| \neq 0$  since there is at least one robot moving in the tangential direction. If both robots are out of the curve then at  $t = T'$ , at least one robot with priority in one of the convergence directions will move and consequently  $\frac{dW(\mathbf{x})}{dt} \neq 0$ .

Since the desired curve is closed, there exists a finite number of robots  $M$  such that  $\|\mathbf{q}_i - \mathbf{q}_j\| < \tilde{r}_i + \tilde{r}_j$ ,  $\forall i, j$  if all the robots are placed at the desired curve. In this case, the robots will never move and  $\sum_{i=1}^N \|\dot{\mathbf{q}}_i\| = 0 \forall t$ . Now, suppose these  $M$  robots are at the desired curve and one robot  $\omega_i$  is out of the curve. Consider also a configuration such that  $\|\mathbf{q}_i - \mathbf{q}_j\| = \tilde{r}_i + \tilde{r}_j$ ,  $\Lambda_{ij} < \Lambda_{ji}$ ,



where  $\omega_j$  is a robot at the desired curve. In this case, the robots will never move, as  $\omega_j$  will not be able to move due to the presence of the other robots at  $\Gamma$  and  $\frac{dW(\mathbf{x})}{dt} = 0 \forall t$ . Therefore, it follows that there exists  $N_{\max}$  in the interval  $[2, M + 1]$  that guarantees the nonpersistence of conditions (i) and (ii).  $\square$

Although an exact value for  $N_{\max}$  is hard to obtain, given that it depends on the geometric properties of  $\alpha_1$ ,  $\alpha_2$ , and on the size of the robots, we have not experienced problems with the choice of this number. In practical applications of boundary coverage, we always have success if all robots in the team can fit on the curve, considering their augmented radius. Thus, by combining Lemmas 1 and 2 and assuming  $N < N_{\max}$ , we are able to state the following theorem, which guarantees the effectiveness of the proposed vector field.

**Theorem 1.** *Each robot  $\omega_i$  in the group of robots  $\Omega$  following the vector field given by (4) asymptotically converges to  $\Gamma$  and, once on the curve, circulates along the curve in a fixed direction. Furthermore, no collisions will occur between any pairs of robots.*

*Proof.* By Lemma 2 it is possible to see that  $\frac{dV(\mathbf{q}_i(t_0))}{dt} = 0$  is nonpersistent over time for all robots, unless all robots are on the curve. Therefore, it is possible to infer that  $\varphi_i = 0$  and  $\lambda_i = 0$  will be nonpersistent over time for robots that are not on the curve. Hence, by Lemma 1, all robots will converge to the curve as time tends to infinity. Similarly, since  $\sum_{i=1}^N \|\dot{\mathbf{q}}_i\| = 0$  is nonpersistent,  $\rho_i$  cannot be zero for all robots simultaneously, consequently guaranteeing circulation along the curve via Lemma 1. Finally, due to the properties of the collision avoidance approach (5) and Assumption 3, the robots will never collide and for every pair of robots we will have  $\|\mathbf{q}_i - \mathbf{q}_j\| \geq r_i + r_j, \forall t$ .  $\square$

## 5. Experimental results

In this section, we focus on the development of an approach capable of enabling a single quadrotor robot to control given the kinematic inputs defined in Section 3 and evaluate the decentralized control laws proposed in this work on a team of quadrotors. To this end, we first develop the dynamic model and propose onboard feedback control for attitude stabilization and position control in  $SE(3)$  following the methods proposed in [19,20] and detail how we generate kinematic inputs given this dynamic model and onboard feedback control. We then discuss the implementation and infrastructure details followed by experiment design. Finally, we review the experimental evaluation of these methods on teams of up to six quadrotors.

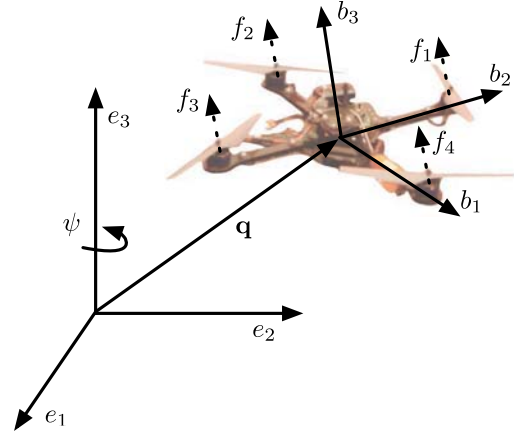


Figure 4. The vehicle model. The position and orientation of the robot in the global frame are denoted by  $\mathbf{q}$  and  $\mathbf{R}$ , respectively. Control inputs (7) consider both position,  $\mathbf{q}$ , and the orientation,  $\psi$ , of the vehicle about the global  $z$ -axis ( $e_3$ ). Each propeller generates a thrust  $f_i$  along the body-fixed  $z$ -axis ( $b_3$ ).

### 5.1. Modeling and control

Consider the quadrotor robot model shown in Figure 4 with mass  $m$  and rotational inertia  $\mathbf{J} \in \mathbb{R}^{3 \times 3}$ . Define the position and rotation of the vehicle in the inertial frame as  $\mathbf{q} \in \mathbb{R}^3$  and  $\mathbf{R} \in SO(3)$ , respectively. The angular velocity of the vehicle,  $\boldsymbol{\Omega} \in \mathbb{R}^3$ , is defined as

$$\dot{\mathbf{R}} = \mathbf{R}\hat{\boldsymbol{\Omega}},$$

where the hat operator  $\hat{\cdot}: \mathbb{R}^3 \rightarrow SO(3)$  is defined such that  $\hat{x}y = x \times y$  for all  $x, y \in \mathbb{R}^3$ . [19] Given that the  $i$ th propeller generates the thrust output  $f_i$  as a function of propeller rotational speed, the dynamic model of the vehicle follows:

$$m\ddot{\mathbf{q}} = f\mathbf{R}\mathbf{e}_3 - mg\mathbf{e}_3$$

$$\mathbf{J}\dot{\boldsymbol{\Omega}} + \boldsymbol{\Omega} \times \mathbf{J}\boldsymbol{\Omega} = \mathbf{M},$$

with  $\mathbf{e}_3 = [0, 0, 1]^T$ ,  $\mathbf{M} = [M_1, M_2, M_3]^T$ , and

$$\begin{bmatrix} f \\ M_1 \\ M_2 \\ M_3 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 & 1 \\ d & 0 & -d & 0 \\ 0 & d & 0 & -d \\ -c & c & -c & c \end{bmatrix} \begin{bmatrix} f_1 \\ f_2 \\ f_3 \\ f_4 \end{bmatrix},$$

where  $d$  is the distance from the robot center of mass to the rotor and  $c$  is an aerodynamic drag term that relates differences in propeller speed to yawing moment about the body  $z$ -axis.



Due to the design of the system actuation, it is well known that the quadrotor is underactuated and differentially flat.[21] The four system inputs allow us to specify the force along the body-fixed  $z$ -axis ( $b_3$ ) and the three moments in the body-fixed frame. We accordingly choose four output variables and define the desired state of the robot as:

$$\mathbf{x}_d = \begin{bmatrix} \mathbf{q}_d \\ \psi_d \end{bmatrix} = \begin{bmatrix} x_d \\ y_d \\ z_d \\ \psi_d \end{bmatrix}, \quad (7)$$

where  $\psi_d$  is the desired vehicle yaw (rotation about the inertial  $z$ -axis). In this work, we restrict  $\psi$  to be constant and zero (e.g.  $\psi_d = 0$ ). Given the kinematic control,  $\mathbf{u}$ , we transform the desired vehicle commands to the desired state

$$\begin{aligned} \mathbf{x}_{d,t} &= \begin{bmatrix} \mathbf{q}_{t-1} \\ 0 \end{bmatrix} + \begin{bmatrix} \mathbf{u}_t \\ 0 \end{bmatrix} \Delta t \\ \dot{\mathbf{x}}_{d,t} &= \begin{bmatrix} \mathbf{u}_t \\ 0 \end{bmatrix} \\ \ddot{\mathbf{x}}_{d,t} &= \begin{bmatrix} \frac{\mathbf{u}_t - \mathbf{u}_{t-1}}{\Delta t} \\ 0 \end{bmatrix} \end{aligned} \quad (8)$$

Following the attitude stabilization approach proposed in [19], we design the force and moment inputs,  $f$  and  $M_i$ , based on the desired input  $\mathbf{x}_d$ :

$$\begin{aligned} f &= (-k_q \mathbf{e}_q - k_{\dot{q}} \mathbf{e}_{\dot{q}} + mg \mathbf{e}_3 + \ddot{\mathbf{q}}_d) \cdot \mathbf{R} \mathbf{e}_3 \\ \mathbf{M} &= -k_R \mathbf{e}_R - k_{\dot{\Omega}} \mathbf{e}_{\dot{\Omega}} + \mathbf{\Omega} \times \mathbf{J} \mathbf{\Omega} \end{aligned} \quad (9)$$

with the error terms defined as follows:

$$\mathbf{e}_q = \mathbf{q} - \mathbf{q}_d$$

$$\mathbf{e}_{\dot{q}} = \dot{\mathbf{q}} - \dot{\mathbf{q}}_d$$

$$\mathbf{e}_R = \frac{1}{2\sqrt{1 + \text{tr}[\mathbf{R}_d^T \mathbf{R}]}} (\mathbf{R}_d^T \mathbf{R} - \mathbf{R}^T \mathbf{R}_d)^\vee$$

$$\mathbf{e}_{\Omega} = \mathbf{\Omega} - \mathbf{R}^T \mathbf{R}_d \mathbf{\Omega}_d$$

and dropping any dependence on time for clarity of presentation. The operator  $(\cdot)^\vee$  is the inverse of the hat operator  $\hat{\cdot}$  and, therefore, it is a mapping from  $SO(3)$  to  $\mathbb{R}^3$ . The gains  $k_r$ ,  $k_{\dot{r}}$ ,  $k_R$ , and  $k_{\dot{\Omega}}$  are selected to ensure stable performance. See [19,20] for further explanation of the derivation of these error terms and proofs of sta-

bility and convergence of the control system to the desired inputs.

The above attitude stabilization approach operates in  $SO(3)$  (as compared to the traditional Euler-angle parameterization approach [22]) and benefits from a stability basin of attraction that includes the full space of rotation matrices (excluding an exact inversion). Note that we assume

$$\| -k_q \mathbf{e}_q - k_{\dot{q}} \mathbf{e}_{\dot{q}} + mg \mathbf{e}_3 + \ddot{\mathbf{q}}_d \| > 0$$

given the magnitude of the gains and the fact that we do not anticipate accelerations approaching  $1g$  and thus define  $\mathbf{R}_d$  with respect to  $\psi_d$  such that  $\mathbf{R}_d = [\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3]$  and

$$\mathbf{r}_1 = \mathbf{r}_2 \times \mathbf{r}_3$$

$$\mathbf{r}_2 = \frac{\mathbf{r}_3 \times [\cos \psi_d, \sin \psi_d, 0]}{\| \mathbf{r}_3 \times [\cos \psi_d, \sin \psi_d, 0] \|}$$

$$\mathbf{r}_3 = \frac{-k_{\dot{q}} \mathbf{e}_q - k_q \mathbf{e}_{\dot{q}} + mg \mathbf{e}_3 + \ddot{\mathbf{q}}_d}{\| -k_{\dot{q}} \mathbf{e}_q - k_q \mathbf{e}_{\dot{q}} + mg \mathbf{e}_3 + \ddot{\mathbf{q}}_d \|}$$

Additionally, the moment stabilization proposed in [19] includes higher order inertial cancelation terms that we neglect because their effects are insignificant in the experiments for this work.

## 5.2. Implementation details

The robots used in this work are sold commercially [23] and follow a standard four-propeller design (Figure 5). The pose of the quadrotor is observed using a Vicon motion capture system at 150 Hz.[24] The pose is numerically differentiated to compute the linear and angular velocities of the robot. These values are available to Matlab via ROS [25] and a ROS-Matlab bridge.[26] All control inputs are computed at 50 Hz in Matlab using the



Figure 5. The team of six quadrotors used in experimentation.

latest state estimate at the rate of the Vicon. This computation emulates decentralized control by computing each robot's control in Matlab independent of global knowledge except for the positions of neighbor vehicles. The commands in Matlab are bridged to ROS where they are interpreted by a finite-state machine (FSM) which aids in the experimental process.[22] The FSM manages the individual robots, places the robots at the appropriate initial conditions, then relinquishes control to Matlab where the inputs (7) are computed from (8). Throughout the experiments, we saturate the commanded velocity of the robots such that

$$\bar{\mathbf{u}}_i = v_{\max} \frac{\mathbf{u}_i}{\|\mathbf{u}_i\|}$$

where  $v_{\max} = 0.2 \text{ m/s}$  and send  $\bar{\mathbf{u}}_i$  to each vehicle. The FSM computes the required inputs (Section 5.1) specified by the Matlab commands and transmits these values to the robot via Zigbee at a fixed rate of 50 Hz. This fixed rate is due to the limited bandwidth of Zigbee (57.6 kbps). These commands are interpreted by the attitude and body-fixed thrust controllers (9) operating on each robot's programmable embedded microprocessor and applied at a 1 kHz update rate.



Figure 7. The team of six quadrotors during an experimental trial tracking the desired curve while avoiding inter-robot collisions.

### 5.3. Results

We conducted a series of trials that considered a curve  $\Gamma$  defined by the intersection of a cylindric surface and a slightly modified paraboloid specified by

$$\alpha_1 = ax^4 - bx^2y^2 + cy^4 - 1,$$

$$\alpha_2 = z - d(y^2 + x^2) + e,$$

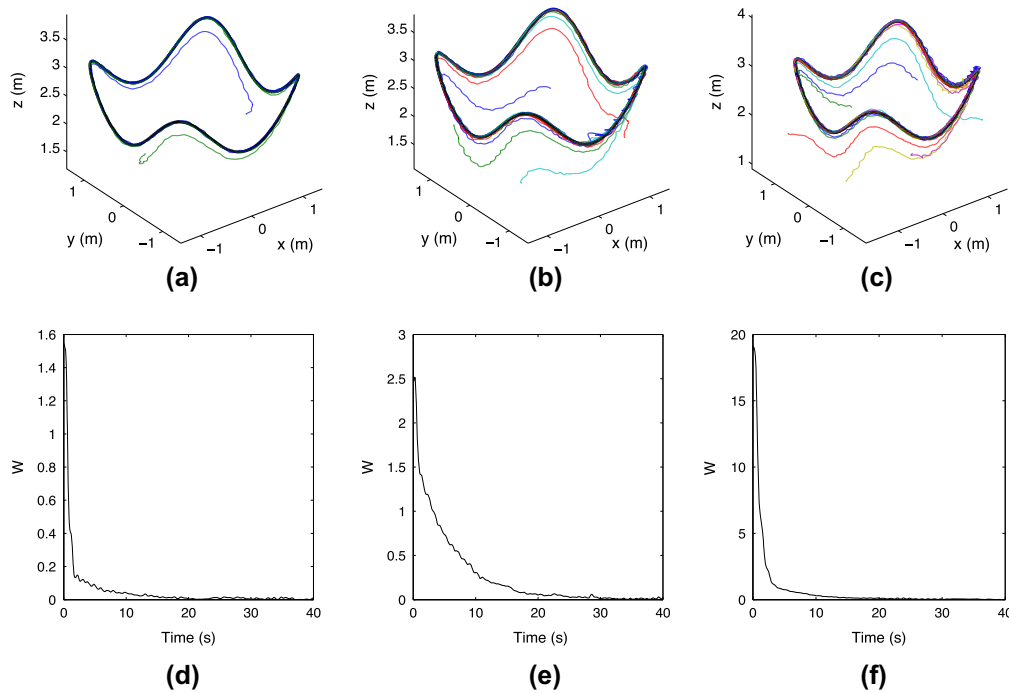


Figure 6. Two, four, and six robots control to the desired curve using proposed vector field (left, middle, and right columns, respectively). The paths followed by each of the robots to the desired curve (shown in black) are depicted in (a)–(c). Despite the increasing number of robots, in (d)–(f) we see that, since  $W$  in (6) goes to 0 as the time increases, the robots converge to the desired curve.

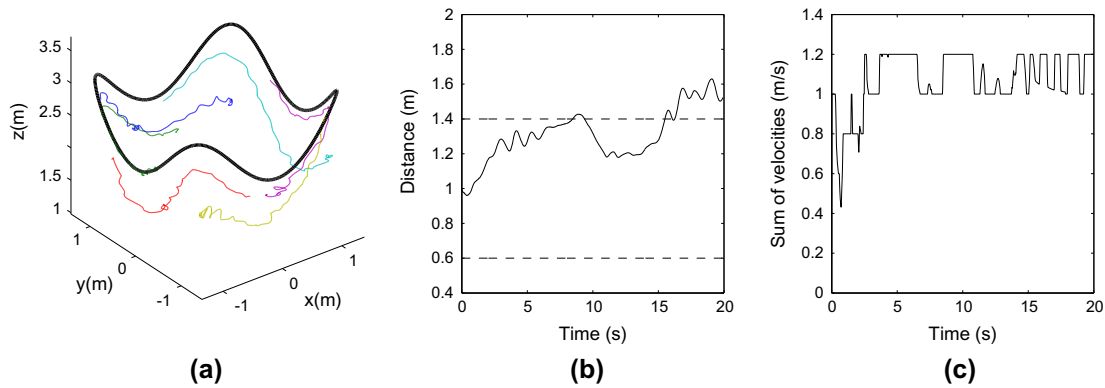


Figure 8. To study the impact of the kinematic assumption on the performance of the dynamic team of robots, we start the robots in close proximity and increase the sensing distance of each robot. We see in (a) that robots experience degraded tracking performance resulting from the prioritization approach. However, from (b), which shows the distance between the pair of robots with the smallest pairwise distance, it is clear that robots successfully enforce the required inter-robot distance. The application of collision-avoidance is best seen by investigating the magnitude of the sum of the robot velocities (c), where the expected velocity without collision avoidance is the product of the number of robots and saturation velocity (in this case it is 1.2 m/s).

with  $a = 0.3$ ,  $b = 0.03$ ,  $c = 0.3$ ,  $d = -0.5$ , and  $e = 1.0$ . The two manifolds  $\{\alpha_1 = 0\}$  and  $\{\alpha_2 = 0\}$  with their intersection  $\Gamma$  are similar to the ones shown in Figure 1. In these examples, the robots are identical with radius  $r_i = 0.3$  m, augmented radius  $\tilde{r} = 0.6$  m, and sensing radius  $R_i = 1.0$  m.

In Figure 6, we consider the convergence of teams of two, four, and six robots to the same curve. Figures 6 (a)–(c) show the robots’ paths for these situations while Figures 6(d)–(f) show the behavior of function  $W$ , indicating the convergence of the team to the curve. Figure 7 shows a snapshot of one of the experiments. Videos of these experiments can be found at <http://www.cpdce.ufmg.br/coro/movies/curvecontrol>.

In Section 5.1, we provide the modeling and control of the quadrotor robot to enable the application of proposed vector field on the experimental platforms. As seen in the previous results, this approach enables the robots to converge to the curve. However, we observe that the cost of the kinematic assumption becomes more pronounced as the number and frequency of collision avoidance maneuvers becomes more frequent. For this reason, we include an additional study that aims to illustrate this point and motivate future research.

Clearly from Figure 8, we see a degradation in control performance for a team of six robots with an increase in the sensing radius (now  $R_i = 1.4$  m). We begin the experiment by ensuring that several of the robots are within the sensing radius of the others, thus requiring the robots to enforce inter-robot collision avoidance. Indeed, one of the greatest sources of decreased control performance is presumption of a kinematic agent in the prioritization methodology. While we see that the robots continue to control such that inter-

robot distances increase, the immediate and frequent change in commanded velocity results in poor overall performance. As such, the extension of these methods to dynamic systems is clearly motivated and a focus of future research.

## 6. Conclusions and future work

This paper presented a decentralized method for multi-robot control that guarantees convergence and circulation of a group of aerial robots along closed, simple, and static curves defined in three-dimensional spaces. The method is based on an individual vector field that attracts the robots to a curve and on a collision avoidance scheme that modulates the components of the field. This scheme relies only on neighboring information obtained by local sensors or limited range communication devices. Experimental results with a team of quadrotors indicate that the method may be used to solve real world problems.

This work focused on groups of unmanned holonomic rotorcrafts that admits null and negative velocities. Therefore, the proposed approach is not applicable to aerial robots with minimal forward speed and bounded turning radius constraints. Our future work includes the design of controllers to these kind of robot. The methodology also assumes a kinematic robot. Although this may be a practical assumption in several situations, our experimental results show that it will be important to consider the robots’ dynamics in our future developments.

It is also important to say that our approach is provably correct as long as some assumptions are verified. A current limitation is the fact that there is no

analytical method to verify some of these conditions. Also, there is still no algorithm to build a curve in 3D that satisfies all assumptions. This will be also considered a future direction of research. In spite of this limitation, in all the simulations and experiments we have run, we have not observed any convergence problem or collisions among robots.

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### Appendix. Additional Lemmas

**Lemma 3.** Vectors  $\nabla\alpha_1(\mathbf{q})$  and  $\nabla\alpha_2(\mathbf{q})$  are linearly independent for every  $\mathbf{q} \in \mathbb{R}^3$  where  $\nabla\alpha_1(\mathbf{q}) \neq \mathbf{0}$ . Also, if  $\nabla\alpha_1(\mathbf{q}) \neq \mathbf{0}$  then  $\alpha_1(\mathbf{q})\nabla\alpha_1(\mathbf{q}) + \alpha_2(\mathbf{q})\nabla\alpha_2(\mathbf{q}) \neq \mathbf{0}$  for every point  $\mathbf{q} \notin \Gamma$ .

*Proof.* By Equation (2) we have

$$\frac{\partial}{\partial z}\alpha_2(x, y, z) = \frac{\partial}{\partial z}[\sigma z - \Phi(x, y)] = \sigma, \sigma \neq 0.$$

Then, it is impossible to have  $\nabla\alpha_2(\mathbf{q}) = \mathbf{0}, \forall \mathbf{q} \in \mathbb{R}^3$ . As  $\alpha_1$  is cylindric and depends only on  $x$  and  $y$ , we also have that

$$\frac{\partial}{\partial z}\alpha_1(x, y, z) = 0,$$

implying that  $\nabla\alpha_1(\mathbf{q})$  and  $\nabla\alpha_2(\mathbf{q})$  are linearly independent, since the  $z$ -axis component of  $\nabla\alpha_1$  is identically null at every point in  $\mathbb{R}^3$ , the same  $z$ -axis component of  $\nabla\alpha_2$  is non-null for all points in  $\mathbb{R}^3$  and  $\nabla\alpha_1(\mathbf{q}) \neq \mathbf{0}$  by hypothesis.

Also, it can be noted that  $\mathbf{q} \notin \Gamma \iff \{\mathbf{q} \in \mathbb{R}^3 \mid \{\alpha_1(\mathbf{q}) \neq 0\} \cup \{\alpha_2(\mathbf{q}) \neq 0\}\}$ . Therefore, for  $\mathbf{q} \notin \Gamma$ :

$$\alpha_1(\mathbf{q})\nabla\alpha_1(\mathbf{q}) + \alpha_2(\mathbf{q})\nabla\alpha_2(\mathbf{q}) \neq \mathbf{0}.$$

□

**Lemma 4.** Let  $\omega_i \in \Omega$  and  $V(\mathbf{q})$  be defined as in Lemma 1. If  $\mathbf{q}_i \notin \Gamma$  and  $\nabla\alpha_1(\mathbf{q}_i) \neq \mathbf{0}$  at time  $T$ , then

$$\left. \frac{dV(\alpha_1(\mathbf{q}_i(t)), \alpha_2(\mathbf{q}_i(t)))}{dt} \right|_{t=T} \stackrel{\Delta}{=} \left. \frac{dV(\mathbf{q}_i(t))}{dt} \right|_{t=T} = 0$$

if and only if  $\varphi_i(T)\alpha_1(\mathbf{q}_i) = \lambda_i(T)\alpha_2(\mathbf{q}_i) = 0$ .

*Proof.* As presented in the proof of Lemma 1,

$$\frac{dV(\alpha_1(\mathbf{q}_i(t)), \alpha_2(\mathbf{q}_i(t)))}{dt} = -2(\alpha_1\nabla\alpha_1 + \alpha_2\nabla\alpha_2)^T \mathbf{a}$$

where  $\mathbf{a} = \varphi_i f_{\alpha_2}(\nabla(\alpha_1^2)) + \lambda_i f_{\alpha_1}(\nabla(\alpha_2^2))$ . According to Lemma 3, if  $\nabla\alpha_1(\mathbf{q}) \neq \mathbf{0}$  then  $\alpha_1(\mathbf{q})\nabla\alpha_1(\mathbf{q}) + \alpha_2(\mathbf{q})\nabla\alpha_2(\mathbf{q}) \neq \mathbf{0}$  for every point  $\mathbf{q} \notin \Gamma$ . Moreover,  $(\alpha_1\nabla\alpha_1)^T \mathbf{a} \geq 0$  and  $(\alpha_2\nabla\alpha_2)^T \mathbf{a} \geq 0$ . As  $(\alpha_1\nabla\alpha_1)^T \mathbf{a} = 0$  and  $(\alpha_2\nabla\alpha_2)^T \mathbf{a} = 0$  if and only if  $\varphi_i(T)\alpha_1(\mathbf{q}_i) = \lambda_i(T)\alpha_2(\mathbf{q}_i) = 0$ , then the Lemma is proved. □