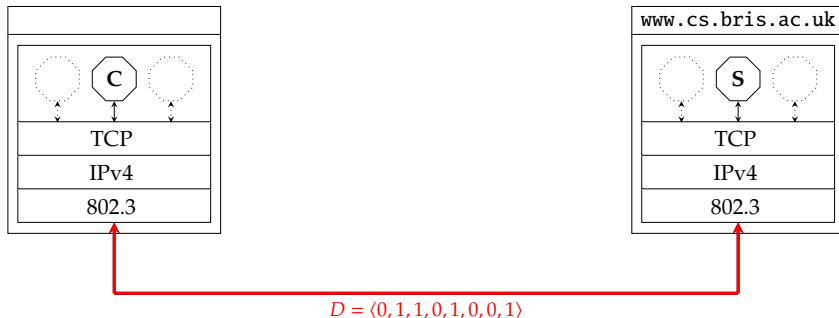




► **Goal:** investigate the **physical layer**, e.g.,

1. communication media and signals,
2. encoding and/or modulation, and
3. efficiency metrics (and limits)

st. we can transmit (unstructured) **bit-sequences** along a physical connection between two end-points.



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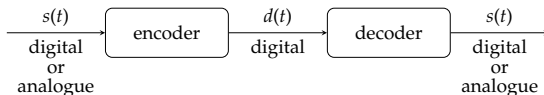
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## ► Idea:

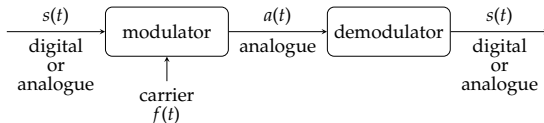
- we have some digital input (i.e., our data), so can

1. directly **encode** it, i.e.,



via a digital signalling scheme, or

2. use it to **modulate** a **carrier signal**, i.e.,



via an analogue signalling scheme

to produce an (digital or analogue) output signal,

- so can then transmit that signal along a communication medium (e.g., a wire), and
- the resulting behaviour has a clear theoretical basis.

## Definition

The sinusoidal function

$$s(t) = A \cdot \sin(2\pi \cdot f \cdot t + \varphi)$$

is periodic, and parameterised by

1. an **amplitude**  $A$  (which is the maximum deviation of  $s(t)$  from 0),
2. a **frequency**  $f$  (which is inversely proportional to the period, which is normally termed the **wavelength**  $\lambda$ ), and
3. a **phase** (or offset)  $\varphi$  (which basically dictates where in the cycle the wave is at time  $t = 0$ ).

## Definition

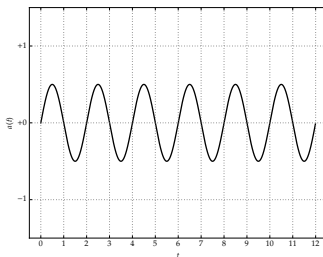
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By evaluating over a time period (i.e., over a range of  $t$ ), such a wave can be visualised as a **waveform**, e.g.,



where  $A = 0.5$ ,  $f = 0.5$ ,  $\varphi = 0$ .

## Definition

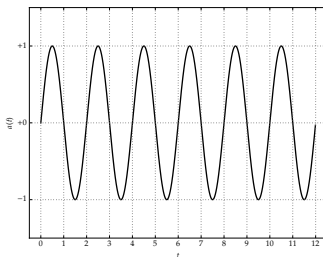
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where  $A = 1.0$ ,  $f = 0.5$ ,  $\varphi = 0$ .

# “Communication Theory in 10 minutes” (1)

## Definition

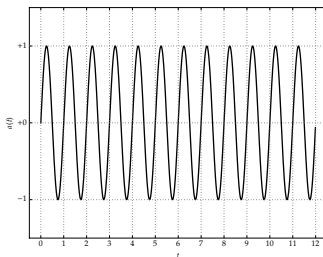
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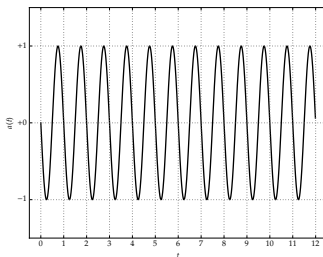
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### Definition

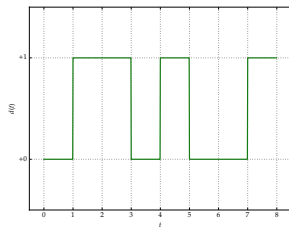
**Fourier analysis** allows us to represent a signal as an (infinite) sum of sinusoids:

$$s(t) \sim \frac{a_0}{2} + \sum_{n=1}^{\infty} a_n \cdot \cos(2\pi \cdot f \cdot t \cdot n) + b_n \cdot \sin(2\pi \cdot f \cdot t \cdot n)$$

The resulting **Fourier series** (or **Fourier expansion**)  $s(t)$  typically makes use of **Fourier coefficients**  $a_n$  and  $b_n$  for  $1 \leq n < N$  wrt. some (finite) bound  $N$ .

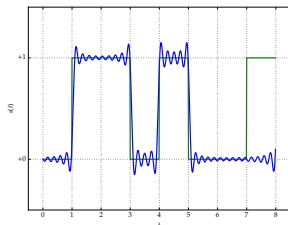
# “Communication Theory in 10 minutes” (4)

► Eh?!

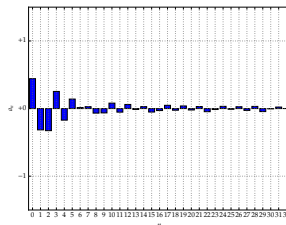


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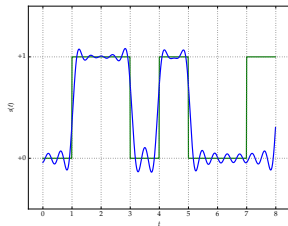
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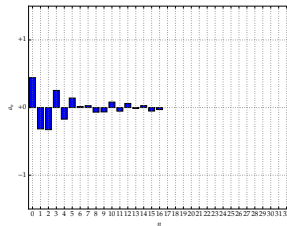
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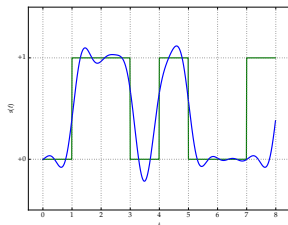
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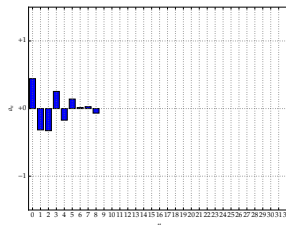
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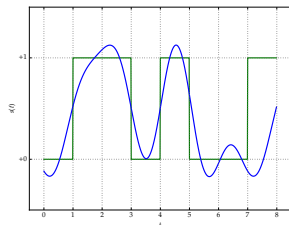
≡



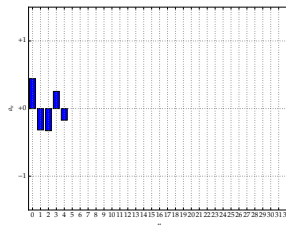
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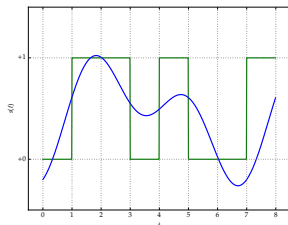
≡



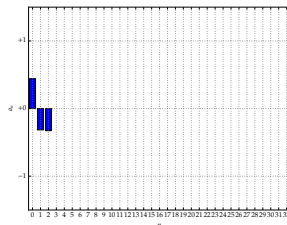
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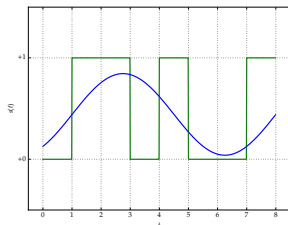


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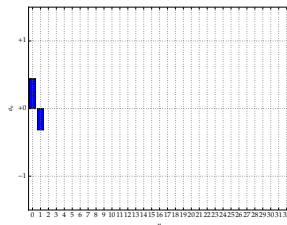


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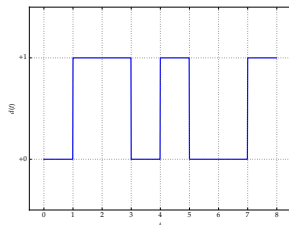
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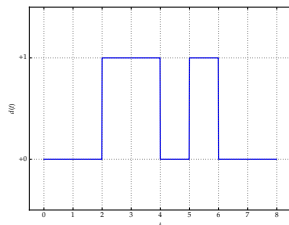
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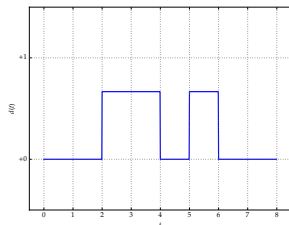
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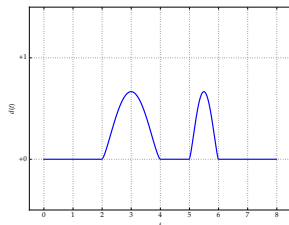
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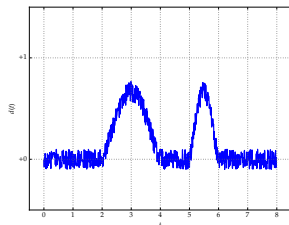
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## “Communication Theory in 10 minutes” (5)

### Definition

The **signalling rate** (or **symbol rate**, or **baud rate**) of a channel measures how many symbols it can transmit per unit of time. The associated **data signalling rate** (or just **data rate**, or **gross bit rate**) measures this in bits per second.

### Definition

The **modulation rate** measures how quickly (i.e., how often per unit of time) the channel can change (or transition, which may be termed a **signalling event**) between signalling levels; this of course determines the (minimum) **symbol period**.

### Definition

When sampled at a given instance in time, a signal will take one of  $l$  **signalling levels**; this means each **symbol** transmitted will take one of  $l$  values. Note that  $l > 2$  implies the ability to transmit *more* than 1 bit of information per symbol.

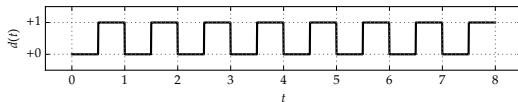


## Encoding/Modulation (1) – Digital signalling

Original  
data

0 1 1 0 1 0 0 1

Clock  
signal

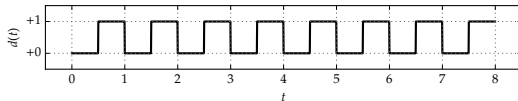


## Encoding/Modulation (1) – Digital signalling

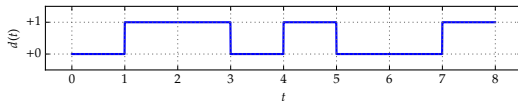
Original  
data

0 1 1 0 1 0 0 1

Clock  
signal



**Non-Return to  
Zero (NRZ)**

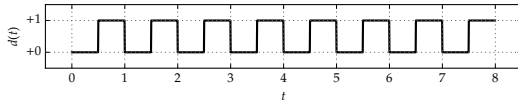


## Encoding/Modulation (1) – Digital signalling

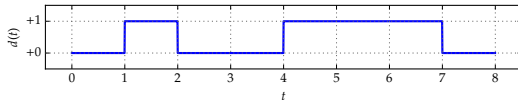
Original  
data

0 1 1 0 1 0 0 1

Clock  
signal



**Non-Return to  
Zero Inverted (NRZ-I)**

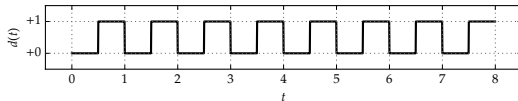


# Encoding/Modulation (1) – Digital signalling

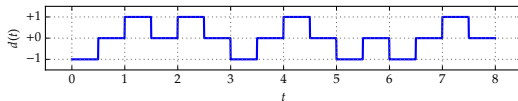
Original  
data

0 1 1 0 1 0 0 1

Clock  
signal



**Return to  
Zero (RZ)**

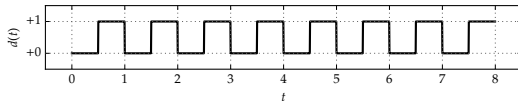


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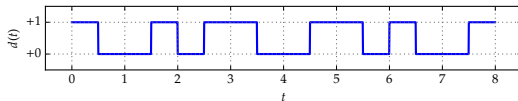
Original  
data

0 1 1 0 1 0 0 1

Clock  
signal



**Manchester  
(per 802.3)**

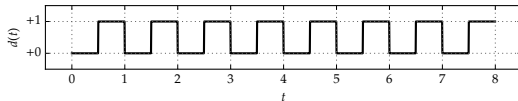


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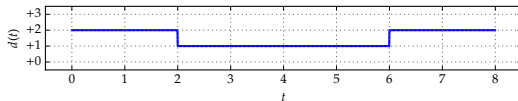
Original  
data

0 1 1 0 1 0 0 1

Clock  
signal



$l$ -ary  
(for  $l = 4$ )



- ... or, to summarise, we have something like the following

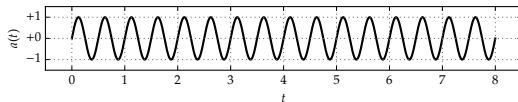
Scheme	Signalling levels	Modulation rate	Self clocked?	Differential ?	Runs of $x \in X$
NRZ	2	$r$	×	×	$X = \{0, 1\}$
NRZ-I	2	$r$	×	✓	$X = \{0\}$
RZ	2(ish)	$r \cdot 2$	✓	×	$X = \emptyset$
Manchester	2	$r \cdot 2$	✓	×	$X = \emptyset$
$l$ -ary	$l$	$r / \log_2(l)$	×	×	$X = \{0, 1, \dots, n-1\}$

## Encoding/Modulation (5) – Analogue signalling

Original  
data

0 1 1 0 1 0 0 1

Carrier  
signal



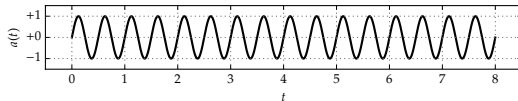


## Encoding/Modulation (5) – Analogue signalling

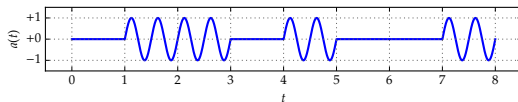
Original  
data

0 1 1 0 1 0 0 1

Carrier  
signal



**Amplitude-Shift  
Keying (ASK)**

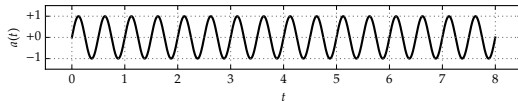


## Encoding/Modulation (5) – Analogue signalling

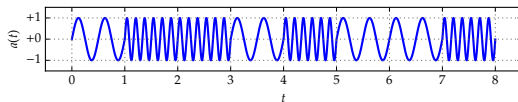
Original  
data

0 1 1 0 1 0 0 1

Carrier  
signal



**Frequency-Shift  
Keying (FSK)**

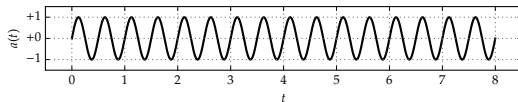


## Encoding/Modulation (5) – Analogue signalling

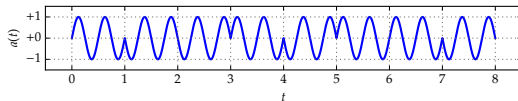
Original  
data

0 1 1 0 1 0 0 1

Carrier  
signal



**Phase-Shift  
Keying (PSK)**



### Definition

The **bandwidth** of a communication channel is the number of symbols which can be transmitted per unit of time; this is sometimes referred to as the **channel capacity**, and often measured in bits per second (which is then the **bit rate**).

It is common to contrast total available bandwidth, with that achievable in practice; the latter is termed **throughput**, st.

$$\text{bandwidth} \geq \text{throughput} + \text{overhead}.$$

### Definition

The **latency** of a connection relates to the (total) time required to transmit data between two end-points (e.g., between  $\mathcal{H}_0$  and  $\mathcal{H}_1$ ). This is typically expressed as  $n/r + d$ , where

- ▶  $n/r$  is the **transmission delay**, and
- ▶  $d$  is the **propagation delay**

given  $n$  symbols and a bandwidth of  $r$  symbols per unit of time. Note that

- ▶ **One-Way Delay (OWD)** measures the latency of  $\mathcal{H}_0$  transmitting data to  $\mathcal{H}_1$ , whereas
- ▶ **Round-Trip Time (RTT)** measures the latency of  $\mathcal{H}_0$  transmitting data to  $\mathcal{H}_1$ , *plus* the latency of  $\mathcal{H}_1$  transmitting an associated response back to  $\mathcal{H}_0$ .

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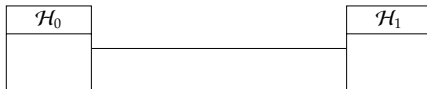
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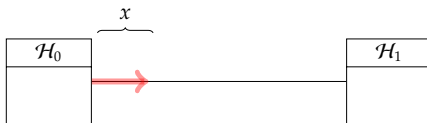
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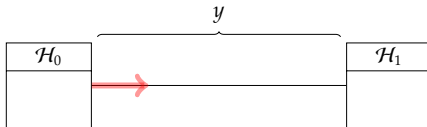
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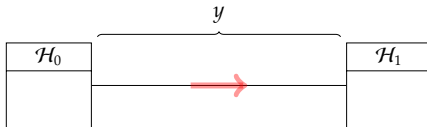
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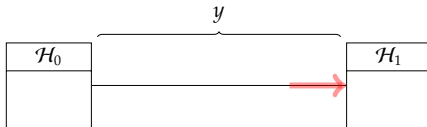
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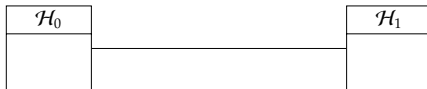
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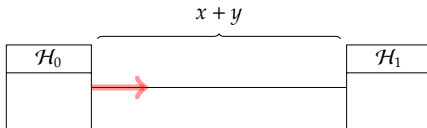
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## Metrics (2)

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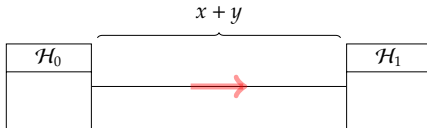
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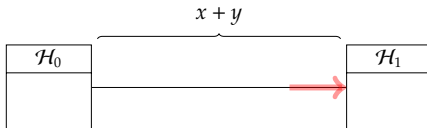
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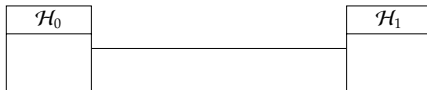
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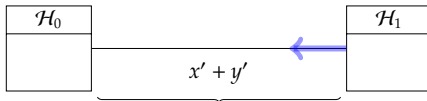
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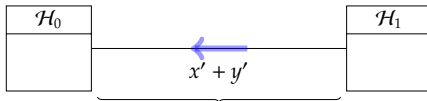
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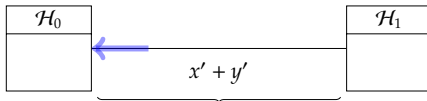
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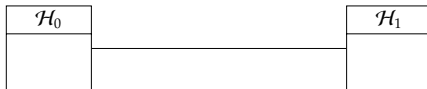
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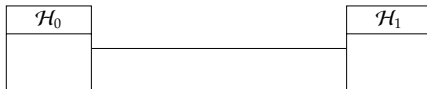
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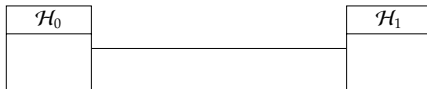
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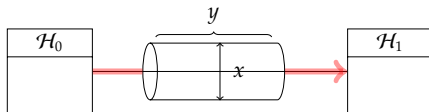




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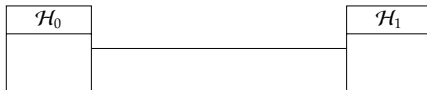
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st. bandwidth-latency product =  $x \cdot y$  = bandwidth · latency

## ► Take away points:

- Digital and analogue signal processing is a *big* topic; this is a light-weight introduction only!
- There are *many* of possible ways to address the initial goal, but keep in mind that
  1. a given approach is often underpinned by theory, but
  2. choices are often made with lower-level, Engineering requirements in mind,
  3. we can only make *good* choices by understanding how the channel is used.

## Additional Reading

- ▶ *Wikipedia: Physical layer*. URL: [http://en.wikipedia.org/wiki/Physical\\_layer](http://en.wikipedia.org/wiki/Physical_layer).
- ▶ W. Stallings. “Chapter 4: Data transmission”. In: *Data and Computer Communications*. 9th ed. Pearson, 2010.
- ▶ W. Stallings. “Chapter 5: Transmission media”. In: *Data and Computer Communications*. 9th ed. Pearson, 2010.
- ▶ W. Stallings. “Chapter 6: Signal encoding techniques”. In: *Data and Computer Communications*. 9th ed. Pearson, 2010.

# References

- [1] *Wikipedia: Physical layer*. URL: [http://en.wikipedia.org/wiki/Physical\\_layer](http://en.wikipedia.org/wiki/Physical_layer) (see p. 60).
- [2] W. Stallings. “Chapter 4: Data transmission”. In: *Data and Computer Communications*. 9th ed. Pearson, 2010 (see p. 60).
- [3] W. Stallings. “Chapter 5: Transmission media”. In: *Data and Computer Communications*. 9th ed. Pearson, 2010 (see p. 60).
- [4] W. Stallings. “Chapter 6: Signal encoding techniques”. In: *Data and Computer Communications*. 9th ed. Pearson, 2010 (see p. 60).
- [5] H. Nyquist. “Certain topics in telegraph transmission theory”. In: *Transactions of the AIEE* 47 (1928), pp. 617–644.
- [6] C.E. Shannon. “Communication in the presence of noise”. In: *Proceedings of the IRE* 37.1 (1949), pp. 10–21.