# Type-Level Computation One Step at a Time

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## **Abstract**

Many type systems support a conversion rule that allows type-level computation. In such type systems ensuring the *decidability* of type checking requires type-level computation to terminate. For calculi where the syntax of types and terms is the same, the decidability of type-checking is usually dependent on the strong normalization of the calculus, which ensures termination. An unfortunate consequence of this coupling between decidability and strong normalization is that adding (unrestricted) general recursion to such calculi is not possible.

This paper proposes an alternative to the conversion rule that allows the same syntax for types and terms, type-level computation, and preserves decidability of type-checking under the presence of general recursion. The key idea, which is inspired by the traditional treatment of *iso-recursive types*, is to make each type-level computation step explicit. Each beta reduction or expansion at the type-level is introduced by a language construct. This allows control over the type-level computation and ensures decidability of type-checking even in the presence of non-terminating programs at the type-level. We realize this idea by presenting a variant of the calculus of constructions with general recursion and recursive types. Furthermore we show how many advanced programming language features of state-of-the-art functional languages (such as Haskell) can be encoded in our minimalistic core calculus.

**Categories and Subject Descriptors** D.3.1 [Programming Languages]: Formal Definitions and Theory

General Terms Languages, Design

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## 1. Introduction

Modern statically typed functional languages (such as ML, Haskell, Scala or OCaml) have increasingly expressive type systems. Often these large source languages are translated into a much smaller typed core language. The choice of the core language is essential to ensure that all the features of the source language can be encoded. For a simple polymorphic functional language it is possible, for example, to pick a variant of System F as a core language. However, the desire for more expressive type system features puts pressure on

the core languages, often requiring them to be extended to support new features. For example, if the source language supports higher-kinded types or type-level functions then System F is not expressive enough and can no longer be used as the core language. Instead another core language that does provide support for higher-kinded types, such as System  $F_{\omega}$ , needs to be used. However System  $F_{\omega}$  is significantly more complex than System F and thus harder to maintain. If later a new feature, such as kind polymorphism, is desired the core language may need to be changed again to account for the new feature, introducing at the same time new sources of complexity. Indeed the core language for modern versions of functional languages are quite complex, having multiple syntactic sorts (such as terms, types and kinds), as well as dozens of language constructs []BRUNO:  $F_C$ .

The more expressive type systems become, the more types become similar to the terms. Therefore a natural idea is to unify terms and types. There are obvious benefits in this approach: only one syntactic level (terms) is needed; and there are much less language constructs, making the core language easier to implement and maintain. At the same time the core language becomes more expressive, giving us for free many useful language features. *Pure type systems* [] build on this observation and they show how a whole family of type systems (including System F and System  $F_{\omega}$ ) can be implemented using just a single syntactic form. With the added expressiveness it is even possible to have type-level programs expressed using the same syntax as terms as well as dependently typed programs [].

However having the same syntax for types and terms can also be problematic. If arbitrary type-level computation is allowed then type-level programs can use the same language constructs as terms. Usually type systems have a conversion rule to support type-level computation. In such type systems ensuring the *decidability* of type checking requires type-level computation to terminate. For calculi where the syntax of types and terms is the same, the decidability of type-checking is usually dependent on the strong normalization of the calculus, which ensures termination. An unfortunate consequence of this coupling between decidability and strong normalization is that adding (unrestricted) general recursion to such calculi is not possible. There is a clear tension between decidability of type-checking and allowing general recursion at the type-level.

This paper proposes  $\lambda C_{\beta}$ : a variant of the calculus of constructions allows the same syntax for types and terms, supports type-level computation, and preserves decidability of type-checking under the presence of general recursion. In  $\lambda C_{\beta}$ , each type-level computation step is explicit. BRUNO: emphasis on the advantages: a minimal core language? The key idea, which is inspired by the traditional treatment of *iso-recursive types*, is to introduce each beta reduction or expansion at the type-level by a *type-safe cast*. The casts allow control over the type-level computation. For example, if a type-level program requires two beta-reductions to reach normal form, then two casts are needed in the program. If a non-terminating program is used at the type-level, it is not possible

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to cause non-termination in the type-checker, because that would require a program with an infinite number of casts. Since single beta-steps are trivially terminating, decidability of type-checking is possible even in the presence of non-terminating programs at the type-level.

Although it may seem that forcing each step of computation at the type-level to be explicit will prevent convinient use of type-level computation.

Point about the treatment of type-level computation in Haskell. Haskell's core language has type applications, but no type-level lambda. Equality is syntactic modulo alpha-conversion. This design choice was rooted in the desire to support Hindley-Milner type-inference...

The paper also shows how many of programming language features of Haskell, including some of the latest extensions, can be encoded in  $\lambda C_{\beta}$  via a source language. In particular the source language supports algebraic datatypes, higher-kinded types, nested datatypes, kind polymorphism and datatype promotion. This result is interesting because  $\lambda C_{\beta}$  is a minimal calculus with only 8 language constructs and a single syntactic sort. In contrast the latest versions of System  $F_C$  (Haskell's core language) have multiple syntactic sorts and dozens of language constructs. Even if support for equality and coercions, which constitutes a significant part of System  $F_C$ , would be removed the resulting language would still be significantly larger and more complex than  $\lambda C_{\beta}$ .

In summary, the constributions of this work are:

- Decidable type checking and managed type-level computation by replacing implicit conversion rule of CoC with generalized fold/unfold semantics.
- A core language based on Calculus of Constructions (CoC) that collapses terms, types and kinds into the same hierarchy, supports general recursion...
- General recursion by introducing recursive types for both terms and types by the same μ primitive.
- Surface language that supports datatypes, pattern matching and other language extensions for Haskell, and can be encoded into the core language.

#### a) Motivations:

- Because of the reluctance to introduce dependent types<sup>1</sup>, the
  current intermediate language of Haskell, namely System
  F<sub>C</sub> [13], separates expressions as terms, types and kinds,
  which brings complexity to the implementation as well as
  further extensions [15, 16].
- Popular full-spectrum dependently typed languages, like Agda, Coq, Idris, have to ensure the termination of functions for the decidability of proofs. No general recursion and the limitation of enforcing termination checking make such languages impractical for general-purpose programming.
- We would like to introduce a simple and compiler-friendly dependently typed core language with only one hierarchy, which supports general recursion at the same time.

## b) Contribution:

- A core language based on Calculus of Constructions (CoC) that collapses terms, types and kinds into the same hierarchy.
- General recursion by introducing recursive types for both terms and types by the same μ primitive.

- Decidable type checking and managed type-level computation by replacing implicit conversion rule of CoC with generalized fold/unfold semantics.
- First-class equality by coercion, which is used for encoding GADTs or newtypes without runtime overhead.
- Surface language that supports datatypes, pattern matching and other language extensions for Haskell, and can be encoded into the core language.

#### c) Related work:

- Henk [7] and one of its implementation [9] show the simplicity of the Pure Type System (PTS). [10] also tries to combine recursion with PTS.
- Zombie [3, 11] is a language with two fragments supporting logics with non-termination. It limits the β-reduction for congruence closure [12].
- ΠΣ [1] is a simple, dependently-typed core language for expressing high-level constructions<sup>2</sup>. UHC compiler [8] tries to use a simplified core language with coercion to encode GADTs
- System F<sub>C</sub> [13] has been extended with type promotion [16] and kind equality [15]. The latter one introduces a limited form of dependent types into the system<sup>3</sup>, which mixes up types and kinds.

#### 2. Overview

BRUNO: Jeremy: can you give this section a go and start writing it up? I think this section should be your priority for now.

We begin this section with an informal introduction to the main features of  $\lambda C_{\beta}$ . We show how it can serve as a simple and compiler-friendly core language with general recursion and decidable type system. The formal details are presented in §??.

## 2.1 Calculus of Constructions

 $\lambda C_{\beta}$  is based on the *Calculus of Constructions* ( $\lambda C$ ) [5], which is a higher-order typed lambda calculus. One "unconventional" feature of  $\lambda C$  is the so-called *conversion* rule as shown below:

$$\frac{\Gamma \vdash e : \tau_1 \qquad \Gamma \vdash \tau_2 : s \qquad \tau_1 =_{\beta} \tau_2}{\Gamma \vdash e : \tau_2} \quad \text{Tcc\_Conv}$$

The conversion rule allows one to derive  $e:\tau_2$  from the derivation of  $e:\tau_1$  and the  $\beta$ -equality of  $\tau_1$  and  $\tau_2$ . Note that in  $\lambda C$ , the use of this rule is implicit in that it is automatically applied during type checking to all non-normal form terms. To illustrate, let us consider a simple example. Suppose we have a built-in base type Int and

$$f \equiv \lambda x : (\lambda y : \star . y) \operatorname{Int}.x$$

Without the conversion rule, f cannot be applied to, say 3 in  $\lambda C$ . Given that f is actually  $\beta$ -convertible to  $\lambda x$ : Int.x, the conversion rule would allow the application of f to 3 by implicitly converting  $\lambda x:(\lambda y:\star .y)$  Int.x to  $\lambda x:$  Int.x.

## 2.2 Explicit Type Conversion Rules

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BRUNO: Contrast our calculus with the calculus of constructions. Explain fold/unfold.

<sup>&</sup>lt;sup>1</sup> This might be changed in the near future. See https://ghc.haskell.org/trac/ghc/wiki/DependentHaskell/Phase1.

<sup>&</sup>lt;sup>2</sup> But the paper didn't give any meta-theories about the langauge.

<sup>&</sup>lt;sup>3</sup> Richard A. Eisenberg is going to implement kind equality [15] into GHC. The implementation is proposed at https://phabricator.haskell.org/D808 and related paper is at http://www.cis.upenn.edu/~eir/papers/2015/equalities/equalities-extended.pdf.

In contrast to the implicit reduction rules of  $\lambda C$ ,  $\lambda C_{\beta}$  makes it explicit as to when and where to convert one type to another. To achieve that, it makes type conversion explicit by introducing two operations: cast<sup>↑</sup> and cast<sub>↓</sub>.

In order to have a better intuition, let us consider the same example from §2.1. In  $\lambda C_{\beta}$ , f 3 is intended as an ill-typed application. Instead one would like to write the application as

$$f(\mathsf{cast}^{\uparrow}[(\lambda y: \star.y) \mathsf{Int}]3)$$

The intuition is that,  $\mathsf{cast}^\uparrow$  is actually doing type conversion since the type of 3 is Int and  $(\lambda y: \star.y)$  Int can be reduced to Int.

The dual operation of cast<sup>↑</sup> is cast<sub>↓</sub>. The use of cast<sub>↓</sub> is better explained by another similar example. Suppose that

$$g \equiv \lambda x : \text{Int.} x$$

and term z has type

$$(\lambda y : \star . y)$$
 Int

 $g\,z$  is again an ill-typed application, while  $g\,(\mathsf{cast}_\downarrow\,z)$  is type correct because  $\mathsf{cast}_\downarrow$  reduces the type of z to Int.

#### 2.3 Decidability and Strong Normalization

BRUNO: Informally explain that with explicit fold/unfold rules the decidability of the type system does not depend on strong normalization.

The decidability of the type system of  $\lambda C$  depends on the normalization property for all constructed terms [4]. However strong normalization does not hold with general recursion. This is simply because due to the conversion rule, any non-terminating term would force the type checker to go into an infinitely loop (by constantly applying the conversion rule without termination), thus rendering the type system undecidable.

With explicit type conversion rules, however, the decidability of the type system no longer depends on the normalization property. In fact  $\lambda C_{\beta}$  is not strong normalizing, as we will see in later sections. The ability to write non-terminating terms motivates us to have more control over type-level computation. To illustrate, let us consider a contrived example. Suppose that d is a "dependent type" where

$$d: \mathsf{Int} \to \star$$

so that  $d\,3$  or  $d\,100$  all yield the same type. With general recursion at hand, we can image a term z that has type

$$d \operatorname{loop}$$

where loop stands for any diverging computation and of type Int. What would happen if we try to type check the following application:

$$(\lambda x:d\ 3.x)\ z$$

Under the normal typing rules of  $\lambda C$ , the type checker would get stuck as it tries to do  $\beta$ -equality on two terms: d 3 and d loop, where the latter is non-terminating.

This is not the case for  $\lambda C_{\beta}$ : (i) it has no such conversion rule, therefore the type checker would do syntactic comparison between the two terms instead of  $\beta$ -equality in the above example; and (ii) one would need to write infinite number of cast $_{\downarrow}$ 's to make the type checker loop forever (e.g.,  $(\lambda x:d\ 3.x)(\text{cast}_{\downarrow}(\text{cast}_{\downarrow}\dots z))$ ), which is impossible in reality.

In summary,  $\lambda C_{\beta}$  achieves the decidability of type checking by explicitly controlling type-level computation, which is independent of the normalization property, while supporting general recursion at the same time.

## 2.4 Unifying Recursive Types and Recursion

BRUNO: Show how in  $\lambda C_{\beta}$  recursion and recursive types are unified. Discuss that due to this unification the sensible choice for the evaluation strategy is call-by-name.

Recursive types arise naturally if we want to do general recursion.  $\lambda C_{\beta}$  differs from other programming languages in that it unifies both recursion and recursive types by the same  $\mu$  primitive.

**Recursive types** In the literature on type systems, there are two approaches to recursive types. One is called *equi-recursive*, the other *iso-recursive*.  $\lambda C_{\beta}$  takes the latter approach since it is more intuitive to us with regard to recursion. The *iso-recusive* approach treats a recursive type and its unfolding as different, but isomorphic. In  $\lambda C_{\beta}$ , this is witnessed by first cast $^{\uparrow}$ , then cast $_{\downarrow}$ . A classic example of recursive types is the so-called "hungry" type:  $H = \mu \sigma : \star$ . Int  $\to \sigma$ . A term z of type H can accept any number of numeric arguments and return a new function that is hungry for more, as illustrated below:

$$\mathsf{cast}_{\downarrow} \ z : \mathsf{Int} \to H$$
 
$$\mathsf{cast}_{\downarrow} \ (\mathsf{cast}_{\downarrow} \ z) : \mathsf{Int} \to \mathsf{Int} \to H$$
 
$$\mathsf{cast}_{\downarrow} \ (\mathsf{cast}_{\downarrow} \dots z) : \mathsf{Int} \to \mathsf{Int} \to \cdots \to H$$

**Recursion** The same  $\mu$  primitive can also be used to define recursive functions, e.g., the factorial function:

$$\mu f: \mathsf{Int} \to \mathsf{Int}. \ \lambda x: \mathsf{Int}. \ \mathsf{if} \ (x == 0) \ \mathsf{then} \ 1 \ \mathsf{else} \ x * f \ (x - 1)$$

This is reflected by the dynamic semantics of the  $\mu$  primitive:

$$\mu x:T.E\longrightarrow E[x:=\mu x:T.E]$$

which is exactly doing recursive unfolding of the same term.

Due to the unification, the *call-by-value* evaluation strategy does not fit in our setting. In call-by-value evaluation, recursion can be expressed by the recursive binder  $\mu$  as  $\mu f: T \to T$ . E (note that the type of f is restricted to function types). Since we don't want to pose restrictions on the types, the *call-by-name* evaluation is a sensible choice.

## 2.5 Encoding Datatypes

BRUNO: Informally explain how to encode recursive datatypes and recursive functions using datatypes.

With the explicit type conversion rules and the  $\mu$  primitive, it is straightforward to encode recursive datatypes and recusive functions using datatypes. While inductive datatypes can be encoded using either the Church or the Scott encoding, we adopt the Scott encoding as it is bear some resemblance to case analysis, making it more convenient to encode pattern matching. We demonstrate the encoding method using a simple datatype as a running example: the natural numbers.

The datatype declaration for natural numbers is:

data 
$$Nat = Z \mid S \mid Nat$$
;

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In the Scoot encoding, the encoding of the *Nat* type reflects how its two constructors are going to be used. Since *Nat* is a recursive datatype, we have to use recursive types at some point to reflect its recursive nature. As it turns out, the *Nat* type can be simply represented as  $\mu X : \star . \Pi B : \star . B \to (X \to B) \to B$ .

As can be seen, in the function type  $B \to (X \to B) \to B$ , B corresponds to the type of the Z constructor, and  $X \to B$  corresponds to the type of the S constructor. The intuition is that any use of the datatype being defined in the constructors is replaced with the recursive type, except for the return type, which is a type variable for use in the recursive functions.

Now its two constructors can be encoded correspondingly as below:

```
 \begin{split} \mathbf{let} \ Z : Nat &= \mathsf{cast}^{\uparrow} \left[ Nat \right] \left( \lambda B : \star . \ \lambda z : B . \ \lambda f : Nat \to B . \ z \right) \\ \mathbf{in} \\ \mathbf{let} \ S : Nat &\to Nat = \lambda n : Nat. \\ & \mathsf{cast}^{\uparrow} \left[ Nat \right] \left( \lambda B : \star . \ \lambda z : B . \ \lambda f : Nat \to B . \ f \ n \right) \end{split}
```

Thanks to the explicit type conversion rules, we can make use of the  $\mathsf{cast}^{\uparrow}$  operation to do type conversion between the recursive type and its unfolding.

As the last example, let us see how we can define recursive functions using the *Nat* datatype. A simple example would be recursively adding two natural numbers, which can be defined as below:

```
 \begin{aligned} \textbf{let} \ add : Nat &\rightarrow Nat \rightarrow Nat = \mu \ f : Nat \rightarrow Nat \rightarrow Nat. \\ \lambda n : Nat. \ \lambda m : Nat. \\ (\textbf{cast}_{\downarrow} \ n) \ Nat \ m \ (\lambda n' : Nat. \ S \ (f \ n' \ m)) \end{aligned}
```

As we can see, the above definition quite resembles case analysis common in modern functional programming languages. (Actually we formalize the encoding of case analysis in §6.)

Due to the unification of recursive types and recursion, we can use the same  $\mu$  primitive to write both recursive types and recursion with ease.

#### 3. Showcase

In this section, we showcase applications, which either Haskell needs non-trivial extensions to do that, or dependently typed languages like Coq and Agda are impossible to do, whereas we can easily achieve in  $\lambda C_{\beta}$ .

**Conventional datatypes** Conventional datatypes like natural numbers or polymorphic lists can be easily defined in  $\lambda C_{\beta}$ , as in Haskell. For example, below is the definition of polymorphic lists:

```
data List (a : \star) = Nil \mid Cons \ a \ (List \ a);
```

Because  $\lambda C_{\beta}$  is explicitly typed, each type parameter needs to be accompanied with corresponding kind expressions. The use of the above datatype is best illustrated by the *length* function:

```
\begin{array}{l} \mathbf{letrec} \ length: (a:\star) \to List \ a \to nat = \\ \lambda a:\star. \lambda l: List \ a. \ \mathbf{case} \ l \ \mathbf{of} \\ Nil \Rightarrow 0 \\ \mid Cons \ (x:a) \ (xs: List \ a) \Rightarrow 1 + length \ a \ xs \\ \mathbf{in} \\ \mathbf{let} \ test: List \ nat = Cons \ nat \ 1 \ (Cons \ nat \ 2 \ (Nil \ nat)) \\ \mathbf{in} \ length \ nat \ test \ -- return \ 2 \end{array}
```

**Higher-kinded types** Higher-kinded types are types that take other types and produce a new type. To support higher-kinded types, languages like Haskell have to extend their existing core languages to account for kind expressions. In  $\lambda C_{\beta}$ , since all syntactical constructs are in the same level, we can easily construct higher-kinded types. We show this by an example of encoding the *Functor* class:

```
\mathbf{rcrd}\ Functor\ (f: \star \to \star) = \\ Func\ \{fmap: (a: \star) \to (b: \star) \to f\ a \to f\ b\};
```

A functor is just a record that has only one field *fmap*. A Functor instance of the *Maybe* datatype is simply:

```
 \begin{aligned} \textbf{let} \ \textit{maybeInst} : \textit{Functor} \ \textit{Maybe} &= \\ \textit{Func} \ \textit{Maybe} \ (\lambda a : \star. \lambda b : \star. \lambda f : a \rightarrow b. \, \lambda x : \textit{Maybe} \ a. \\ \textbf{case} \ \textit{x} \ \textbf{of} \\ \textit{Nothing} &\Rightarrow \textit{Nothing} \ b \\ &\mid \textit{Just} \ (z : a) \Rightarrow \textit{Just} \ b \ (f \ z)) \end{aligned}
```

*HOAS* Higher-order abstract syntax is a generalization of representing programs where the function space of the meta-language is used to encode the binders of the object language. Because the recursive mention of the datatype can appear in a negative position, systems like Coq and Agda would reject programs using HOAS due to the restrictiveness of their termination checkers. However  $\lambda C_{\beta}$  is able to express HOAS in a straightforward way. We show an example of encoding a simple lambda calculus:

```
data Exp = Num \ nat

\mid Lam \ (Exp \rightarrow Exp)

\mid App \ Exp \ Exp;
```

Next we define the evaluator for our lambda calculus. As noted by [6], the evaluation function needs an extra function *reify* to invert the result of evaluation. The code is presented in Figure 1.

```
data Value = VI \ nat \mid VF \ (Value \rightarrow Value);
\mathbf{rcrd}\ Eval = Ev\ \{\ eval' : Exp \rightarrow Value, reify' : Value \rightarrow Exp\ \};
let f : Eval = \mu f' : Eval.
   Ev (\lambda e : Exp. \mathbf{case} \ e \ \mathbf{of}
           Num(n:nat) \Rightarrow VI n
         | Lam (fun : Exp \rightarrow Exp) \Rightarrow
            VF(\lambda e': Value. eval' f' (fun (reify' f' e')))
         |App(a:Exp)(b:Exp) \Rightarrow
           case eval' f' a of
               VI(n:nat) \Rightarrow error
            |VF(fun: Value \rightarrow Value) \Rightarrow fun(eval' f' b))
        (\lambda v : Value. \mathbf{case} \ v \ \mathbf{of}
            VI(n:nat) \Rightarrow Num \ n
         |VF(fun:Value \rightarrow Value) \Rightarrow
           Lam (\lambda e' : Exp. (reify' f' (fun (eval' f' e')))))
in let eval: Exp \rightarrow Value = eval' f in
```

Figure 1. An evaluator for the HOAS-encoded lambda calculus.

The definition of the evaluator is quite straightforward, although it is worth noting that the evaluator is a partial function that can cause run-time errors. Thanks to the flexibility of the  $\mu$  primitive, mutual recursion can be encoded by using records!

Evaluation of a lambda expression proceeds as follows:

```
 \begin{array}{l} \textbf{let} \ \textit{test} : \textit{Exp} = \textit{App} \ (\textit{Lam} \ (\lambda \textit{f} : \textit{Exp}. \textit{App} \ \textit{f} \ (\textit{Num} \ 42))) \\ (\textit{Lam} \ (\lambda \textit{g} : \textit{Exp}. \textit{g})) \\ \textbf{in} \ \textit{show} \ (\textit{eval} \ \textit{test}) \quad \text{--} \ \textit{return} \ 42 \\ \end{array}
```

*Fix as a datatype* The type-level *Fix* is a good example to demonstrate the expressiveness of  $\lambda C_{\beta}$ . The definition is simply:

```
rcrd Fix (f : \star \to \star) = In \{out : (f (Fix f))\};
```

The record notation also introduces the selector function: out:  $(f:\star\to\star)\to Fix\ f\to f\ (Fix\ f)$ . The Fix datatype is interesting in that Coq and Agda would reject this definition because the use of the higher-kinded type parameter f could be anywhere (e.g., in a

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negative position). However in  $\lambda C_{\beta}$ , where type-level computation is explicitly controlled, we can safely use Fix in the program.

Given *fmap*, many recursive sheemes can be defined, for example we can have *catamorphism* or generic function fold:

```
letrec cata: (f: \star \to \star) \to (a: \star) \to

Functor f \to (f \ a \to a) \to Fix \ f \to a =

\lambda f: \star \to \star. \lambda a: \star. \lambda m: Functor \ f. \lambda g: f \ a \to a. \lambda t: Fix \ f.

g \ (fmap \ f \ m \ (Fix \ f) \ a \ (cata \ f \ a \ m \ g) \ (out \ f \ t))
```

Kind polymophism for datatypes In Haskell, System  $F_c^{\uparrow}$  was proposed to support kind polymorphism. However it separates expressions into terms, types and kinds, which complicates both the implementation and future extensions.  $\lambda C_{\beta}$  natively allows datatype definitions to have polymorphic kinds. Here is an example, taken from [16], of a datatype that benefits from kind polymophism: higher-kinded fixpoint combinator

data 
$$Mu(k:\star)(f:(k\to\star)\to k\to\star)(a:k) = Roll(f(Mukf)a);$$

*Mu* can be used to construct polymorphic recursive types of any kind, for instance:

**data** Listf 
$$(f : \star \to \star)$$
  $(a : \star) = Nil \mid Cons \ a \ (f \ a)$ ; **let** List  $: \star \to \star = \lambda a : \star . Mu \star Listf \ a$ 

## 4. The core language without recursion

In this section, we present  $\lambda C_{\rm exp}$  calculus, a language based on  $\lambda C$  with explicit type-level computation.  $\lambda C_{\rm exp}$  is a subset of the core language  $\lambda C_{\beta}$  without general recursion, which is simple enough for meta-theoretic studies. By explicitly controlling the type-level computation with cast operators,  $\lambda C_{\rm exp}$  can achieve decidable type checking without requiring normalizing property. In rest of this section, we demonstrate the syntax, operational semantics, typing rules and meta-theory of  $\lambda C_{\rm exp}$ .

#### 4.1 Syntax

Figure 2 shows the syntax of  $\lambda C_{\rm exp}$ , including expressions, contexts and values. The syntax follows  $\lambda C$  and fairly straightforward while there are still some differences in  $\lambda C_{\rm exp}$ , including the 'type-intype' axiom and cast operators.

Unified syntactic levels  $\lambda C_{\text{exp}}$  uses a unified syntactic representation for different levels of expressions by following the *pure type system* (PTS) representation of  $\lambda C$ . Traditionally in  $\lambda C$ , there are two distinct sorts  $\star$  and  $\square$  representing the type of *types* and *sorts* respectively, and an axiom  $\star$ :  $\square$  specifying the relation. In  $\lambda C_{\text{exp}}$ , we further merge types and kinds together by including only a single sort  $\star$  and an impredicative axiom  $\star$ :  $\star$ .

Therefore, there is no syntactic distinction between terms, types or kinds. This design brings the economy for type checking, since one set of rules can cover all syntactic levels. By convention, we use metavariables  $\tau$  and  $\sigma$  for an expression on the type-level position and e for one on the term level.

**Dependent function types** In the context of  $\lambda C$ , if a term x has the type  $\tau_1$ , and  $\tau_2$  is a type, i.e.  $x:\tau_1:\star$  and  $\tau_2:\square$ , we call the type  $\Pi x:\tau_1.\tau_2$  a dependent product.  $\lambda C_{\text{exp}}$  follows  $\lambda C$  to use the same  $\Pi$ -notation to represent dependent function types.

However, a higher-kind polymorphic function type such as  $\Pi x: \Box .x \to x$  is not allowed in  $\lambda C$ , because  $\Box$  is the highest sort that can not be typed. While  $\Pi$ -notation in  $\lambda C_{\text{exp}}$  is more expressive and does not have such limitation because of the axiom

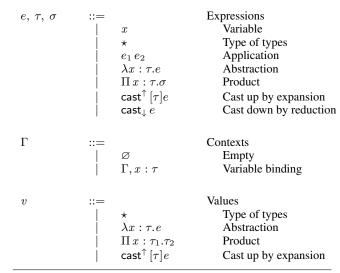


Figure 2. Syntax of  $\lambda C_{\text{exp}}$ 

 $\star$ :  $\star$ . In the source language, we interchangeably use the arrow form  $(x:\tau_1) \to \tau_2$  of the product for clarity. By convention, we also use the syntactic sugar  $\tau_1 \longrightarrow \tau_2$  to represent the product if x does not occur free in  $\tau_2$ .

**Explicit type conversion** We introduce two new primitives cast<sup>†</sup> and cast<sub>↓</sub> (pronounced as 'cast up' and 'cast down') to replace implicit conversion rule of  $\lambda C$  with *one-step* explicit type conversion. They represent two directions of type conversion: cast<sub>↓</sub> stands for the  $\beta$ -reduction of types, while cast<sup>†</sup> is the inverse (see examples in §??).

Though cast primitives make the syntax verbose when type conversion is heavily used, the implementation of type checking is simplified because typing rules of  $\lambda C_{\rm exp}$  become type-directed without  $\lambda C$ 's implicit conversion rule. Considering the core language is compiler-oriented and source language does not include cast primitives, end-users will not directly use them. Some type conversions can be generated through the translation of the source language (§??).

#### 4.2 Operational semantics

Figure 3 shows the *call-by-name* operational semantics, defined by one-step reduction. Two base cases include S\_BETA for  $\beta$ -reduction and S\_CASTDOWNUP for cast canceling. Two inductive case, S\_APP and S\_CASTDOWN, define reduction in the head position of an application, and in the cast $_{\downarrow}$  inner expression respectively. The reduction rules are *weak* in the sense that it is not allowed to reduce inside a  $\lambda$ -term or cast $^{\uparrow}$ -term which is viewed as a value (see Figure 2).

To evaluate the value of a term-level expression, we apply the one-step reduction multiple times. The number of evaluation steps is not restricted, which is possible to be infinite. The multi-step reduction can be defined as follows:

**Definition 4.1** (Multi-step reduction). *The relation*  $\rightarrow$  *is the transitive and reflexive closure of the one-step reduction*  $\rightarrow$ .

But for a type-level expression, the evaluation is driven by cast operators, which is finite. For a consecutive sequence of reductions with n steps, we use the notation  $\longrightarrow_n$  to denote the relation between the initial and final expressions:

**Definition 4.2** (*n*-step reduction). The *n*-step reduction is denoted by  $e_0 \longrightarrow_n e_n$ , if there exists a sequence of one-step reductions

$$\begin{array}{c|c} e \longrightarrow e' & \text{One-step reduction} \\ \hline \\ \hline (\lambda x: \tau.e_1) \ e_2 \longrightarrow e_1[x \mapsto e_2] & \text{S\_BETA} \\ \hline \\ \hline \\ \hline cast_{\downarrow} \ (cast^{\uparrow}[\tau]e) \longrightarrow e & \text{S\_CASTDOWNUP} \\ \\ \hline \\ \hline \\ e_1 \ e_2 \longrightarrow e'_1 \ e_2 & \text{S\_APP} \\ \\ \hline \\ \hline \\ cast_{\downarrow} \ e \longrightarrow cast_{\downarrow} \ e' & \text{S\_CASTDOWN} \\ \hline \end{array}$$

Figure 3. Operational semantics of  $\lambda C_{\text{exp}}$ 

 $e_0 \longrightarrow e_1 \longrightarrow e_2 \longrightarrow \ldots \longrightarrow e_n$ , where n is a positive integer and  $e_i$   $(i = 0, 1, \ldots, n)$  are valid expressions.

#### 4.3 Typing

Figure 4 gives the *syntax-directed* typing rules of  $\lambda C_{\text{exp}}$ , including rules of context well-formedness  $\vdash \Gamma$  and expression typing  $\Gamma \vdash e : \tau$ . Note that there is only a single set of rules for expression typing, because there is no distinction of different syntactic levels.

Most typing rules are quite standard. We write  $\vdash \Gamma$  if a context  $\Gamma$  is well-formed. Note that there is only a single sort  $\star$ , we use  $\Gamma \vdash \tau : \star$  to check if  $\tau$  is a well-formed type. Rule T\_AX is the 'type-in-type' axiom. Rule T\_VAR checks the type of variable x from the valid context. Rules T\_APP and T\_LAM check the validity of application and abstraction. Rules T\_PI check the type well-formedness of the dependent function.

We focus on rules T\_CASTUP and T\_CASTDOWN that define the semantics of cast operators and replace the conversion rule of  $\lambda C$  (see ??). The relation between the original and converted type is defined by one-step reduction (see 3). Specifically speaking, if given a judgement  $\Gamma \vdash e : \tau_2$  and relation  $\tau_1 \longrightarrow \tau_2 \longrightarrow \tau_3$ , then cast  $[\tau_1]e$  expands the type of e from  $\tau_2$  to  $\tau_1$ , while cast e reduces the type of e from e

Finally, the definition of type equality in  $\lambda C_{\text{exp}}$  differs from  $\lambda C$ . Without  $\lambda C$ 's conversion rule, the type of a term cannot be converted freely against  $\beta$ -equality, unless using cast operators. Thus, types of expressions are equal only if they are syntactically equal, i.e. satisfy the  $\alpha$ -equality.

#### 4.4 Meta-theory

We now discuss the meta-theory of  $\lambda C_{\rm exp}$ . We focus on two properties: the decidability of type checking and the type-safety of the language. First, we want to show type checking  $\lambda C_{\rm exp}$  is decidable without normalizing property. The type checker will not be stuck by type-level non-termination. Second, the language is type safe, proven by standard subject reduction and progress lemmas.

**Decidability of type checking** For the decidability, we need to show there exists a type checking algorithm, which never loops forever and returns a unique type for a well-formed expression e. This is done by induction on the length of e and ranging over typing rules. Most expression typing rules, which have only typing judgements in premises, are already decidable by induction hypothesis. Thus, it is straightforward to follow the syntax-directed judgement to derive a unique type checking result.

The critical case is for rules T\_CASTUP and T\_CASTDOWN. Both rules contain a premise that needs to judge if two types  $\tau_1$  and  $\tau_2$  follows the one-step reduction, i.e. if  $\tau_1 \longrightarrow \tau_2$  holds. We need to show such  $\tau_2$  is *unique* with respect to the one-step reduction, or

 $\vdash \Gamma$  | Well-formed context

**Figure 4.** Typing rules of  $\lambda C_{\text{exp}}$ 

equivalently, reducing  $\tau_1$  by one step will get only a sole result  $\tau_2$ . Otherwise, assume  $e:\tau_1$  and there exists  $\tau_2'$  such that  $\tau_1\longrightarrow\tau_2$  and  $\tau_1\longrightarrow\tau_2'$ . Then the type of cast $_+$  e can be either  $\tau_2$  or  $\tau_2'$  by rule T\_CASTDOWN, which is not decidable. The property is proven by the following lemma:

**Lemma 4.3** (Uniqueness of one-step reduction). The relation  $\longrightarrow$ , i.e. one-step reduction, is unique in the sense that given e there is at most one e' such that  $e \longrightarrow e'$ .

*Proof.* By induction on the structure of 
$$e$$
.

With this result, we show a decidable algorithm to check whether one-step relation  $\tau_1 \longrightarrow \tau_2$  holds. An intuitive algorithm is to reduce the type  $\tau_1$  by one step to obtain  $\tau_1'$  (which is unique by Lemma 4.3), and compare if  $\tau_1'$  and  $\tau_2$  are syntactically equal. Thus, checking if  $\tau_1 \longrightarrow \tau_2$  is decidable and rules T\_CASTUP and T\_CASTDOWN are therefore decidable. We can conclude the decidability of type checking:

**Lemma 4.4** (Decidability of type checking). There is a decidable algorithm which given  $\Gamma$ , e computes the unique  $\tau$  such that  $\Gamma \vdash e : \tau$  or reports there is no such  $\tau$ .

*Proof.* By induction on the structure of 
$$e$$
.

Note that when proving the decidability of type checking, we do not rely on the normalizing property. Because explicit type conversion rules use one-step reduction, which already has a decidable checking algorithm according to Lemma 4.3. We do not need to further require the normalization of terms. This is different from the proof for  $\lambda C$  which requires the language is normalizing [cite the PTS paper]. Because  $\lambda C$ 's conversion rule needs to examine

the  $\beta$ -equivalence of terms, which is decidable only if every term has a normal form.

Multi-step cast operator Write about  $cast_{\perp}^{n}$  and  $cast_{\perp}^{n}$ .

**Type-safety** Proof of the type-safety (or soundness) of  $\lambda C_{\text{exp}}$  is fairly standard by subject reduction (or preservation) and progress lemmas. The subject reduction proof relies on the substitution lemma. We give the proof sketch of related lemmas as follows:

**Lemma 4.5** (Substitution lemma). *If* 
$$\Gamma_1, x : \sigma, \Gamma_2 \vdash e_1 : \tau$$
 *and*  $\Gamma_1 \vdash e_2 : \sigma$ , *then*  $\Gamma_1, \Gamma_2[x \mapsto e_2] \vdash e_1[x \mapsto e_2] : \tau[x \mapsto e_2]$ .

*Proof.* By induction on the derivation of 
$$\Gamma_1, x: \sigma, \Gamma_2 \vdash e_1: \tau.$$

**Lemma 4.6** (Subject reduction). *If*  $\Gamma \vdash e : \sigma$  *and*  $e \twoheadrightarrow e'$  *then*  $\Gamma \vdash e' : \sigma$ .

*Proof.* (*Sketch*) We prove the case for one-step reduction, i.e.  $e \longrightarrow e'$ . The lemma can follow by induction on the number of one-step reductions of  $e \twoheadrightarrow e'$ . The proof is by induction with respect to the definition of one-step reduction  $\longrightarrow$ .

**Lemma 4.7** (Progress). If  $\vdash e : \sigma$  then either e is a value v or there exists e' such that  $e \longrightarrow e'$ .

*Proof.* By induction on the derivation of 
$$\vdash e : \sigma$$
.

## 5. $\lambda C_{\beta}$ : $\lambda C_{\text{exp}}$ with general recursion

In this section, we present the core calculus  $\lambda C_{\beta}$ , which is the explicit core  $\lambda C_{\rm exp}$  extended with general recursion. The general recursion is polymorphic and has a uniform representation on both term level and type level, which works as a fixpoint and recursive type respectively. We have shown that  $\lambda C_{\rm exp}$  does not rely on normalization for decidable type checking and type-safety. Though general recursion breaks the normalizing property, it is safe for  $\lambda C_{\beta}$  to allow non-termination on the type level without breaking the decidability of type checking.

## 5.1 Grammar changes

Figure 5 shows the changes of extending  $\lambda C_{\rm exp}$  to  $\lambda C_{\beta}$  with general recursion. The changes are subtle, since we add only one more primitive, reduction rule and typing rule for general recursion.

For syntax, we add the polymorphic recursion operator  $\mu$  to represent general recursion on both term and type level in the same form  $\mu x: \tau.e$ . For operational semantics, we add the rule S\_MU to define the unrolling operation of a recursion, which results in  $e[x\mapsto \mu x:\tau.e]$ . For typing, we add the rule T\_MU for checking the validity of a polymorphic recursive term. The rule ensures that the polymorphic recursion  $\mu x:\tau.e$  should have the same type  $\tau$  as the binder x and also the inner expression e.

## 5.2 Recursion as term and type

**Term-level recursion** Note that the definition of values is not changed and  $\mu$ -term is not treated as a value. This is different from conventional iso-recursive types, because the  $\mu$ -operator can also be regarded as a *fixpoint* on the term level. By rule S\_MU, evaluating a term  $\mu x : \tau.e$  will substitute all x in e with the whole  $\mu$ -term itself, resulting in the unrolling  $e[x \mapsto \mu x : \tau.e]$ . So the  $\mu$ -term is equivalent to a recursive function that should be allowed to unroll without restriction (see example in §??).

Figure 5. Grammar changes for general recursion

**Type-level recursion** On the type level,  $\mu x : \tau.e$  works as a *iso-recursive* type, a kind of recursive type that is not equal but only isomorphic to its unrolling. Normally, we need to add two more primitives fold and unfold for the iso-recursive type to map back and forth between the original and unrolled form. However, in  $\lambda C_{\beta}$  we can show that with the rule S\_MU, cast<sup>\(\gamma\)</sup> and cast<sub>\(\gamma\)</sub> have the same functionality as fold and unfold.

Assume there exist expressions  $e_1$  and  $e_2$  such that

$$e_1 : \mu x : \tau.e$$
  
 $e_2 : e[x \mapsto \mu x : \tau.e]$ 

Note that  $e_1$  and  $e_2$  have distinct types but the type of  $e_2$  is the unrolling of  $e_1$ 's type, which follows the one-step reduction relation by rule S\_MU:

$$\mu x : \tau . e \longrightarrow e[x \mapsto \mu x : \tau . e]$$

By applying rules  $T_{-}CASTUP$  and  $T_{-}CASTDOWN$ , we can obtain the following typing results:

$$\begin{array}{ll} \mathsf{cast}_{\downarrow} \ e_1 & : e[x \mapsto \mu \ x : \tau.e] \\ \mathsf{cast}^{\uparrow} \left[\mu \ x : \tau.e \right] e_2 & : \mu \ x : \tau.e \end{array}$$

Thus, cast<sup>↑</sup> and cast<sub>↓</sub> witness the isomorphism between the original recursive type and its unrolling, which behave the same as fold and unfold operations in iso-recursive types.

## 5.3 Decidability of type checking

We give the proof of the decidability of type checking  $\lambda C_{\rm exp}$  in §4.4 without requiring normalization. Generalize the proof for recursion

## 6. Surface language

BRUNO: Jeremy, I think you should write up this section.

- Expand the core language with datatypes and pattern matching by encoding.
- Give translation rules.
- Encode GADTs and maybe other Haskell extensions? GADTs seems challenging, so perhaps some other examples would be datatypes like Fixf, and Monad as a record. Could formalize records in Haskell style.

In this section, we present the surface language ( $\lambda C_{\text{suf}}$ ) that supports simple datatypes and case analysis. Due to the expressiveness of  $\lambda C_{\beta}$ , all these features can be elaborated into the core language without extending the built-in language constructs of  $\lambda C_{\beta}$ . In what follows, we first give the syntax of  $\lambda C_{\text{suf}}$ , followed by the extended

typing rules, then we show the formal translation rules that translates  $\lambda C_{\text{suf}}$  expressions into  $\lambda C_{\beta}$  expressions. Finally we demonstrate the translation using a simple example.

## 6.1 Extended Syntax

The syntax of  $\lambda C_{\text{suf}}$  is shown in Figure 6. Compared with  $\lambda C_{\beta}$ ,  $\lambda C_{\text{suf}}$  has a new syntax category: a program, consisting of a list of datatype declarations, followed by a expression. An *algebraic data* type D is introduced as a top-level **data** declaration with its data constructors. The type of a data constructor K has the form:

$$K: \Pi \overline{u:\kappa}^n.\Pi \overline{x}: \overline{\tau} \to D \overline{u}^n$$

The first n quantified type variables  $\overline{u}$  appear in the same order in the return type  $D\,\overline{u}$ . Note that the use of  $\Pi$  to tie together the data constructor arguments makes it possible to let the types of some data constructor arguments depend on other data constructor arguments. The **case** expression is conventional, used to break up values built with data constructors. The patterns of a case expression are flat (no nested patterns), and bind value variables.

Declarations			
pgm	::=	$\overline{decl}; e$	Declarations
decl	::=	$\mathbf{data}D\overline{u:\kappa} = \overline{\mid K\overline{\tau}}$	Datatype
Terms			
u	::=	$x \mid K$	Variables and constructors
$e,\tau,\sigma,\upsilon,\kappa$	::=		Term atoms
		$\mathbf{case}e\mathbf{of}\overline{p\Rightarrow e}$	Case analysis
p	::=	$K\overline{x: au}$	Pattern
Environments			
Γ	::=	Ø	Empty
		$\Gamma, u: au$	Variable binding

Figure 6. Syntax of  $\lambda C_{\rm suf}$  (e for terms;  $au, \sigma, \upsilon$  for types;  $\kappa$  for kinds)

With datatypes, it is easy to encode *records* as syntactic sugar of simple datatypes, as shown in Figure 7.

```
 \begin{split} & \mathbf{data} \, R \, \overline{u : \kappa} = K \, \big\{ \, \overline{S : \tau} \, \big\} \triangleq \\ & \mathbf{data} \, R \, \overline{u : \kappa} = K \, \overline{\tau} \\ & \mathbf{let} \, S_i : \Pi \overline{u : \kappa} . R \, \overline{u} \to \tau_i = \\ & \lambda (\overline{u : \kappa}) . \lambda l : R \, \overline{u}. \, \mathbf{case} \, l \, \mathbf{of} \, K \, \overline{x : \tau} \Rightarrow x_i \\ & \mathbf{in} \end{split}
```

Figure 7. Syntactic sugar for records

## 6.2 Extended Typing Rules

The type system of  $\lambda C_{\text{suf}}$  is shown in Figure 8. To save space, we only show the new typing rules. Furthermore, we sometimes adopt the following syntactic convention:

$$\overline{\tau}^n \to \tau_r \equiv \tau_1 \to \cdots \to \tau_n \to \tau_r$$

Rule (Pgm) type-checks a whole problem. It first type-checks the declarations, which in return gives a new typing environment. Combined with the original environment, it then checks the expression and return the result type. Rule (Data) type-checks datatype declarations by ensuing the well-formedness of the kinds of type constructors and the types of data constructors. Finally rule (Alt) validates the patterns by looking up the the existence of corresponding data constructors in the typing environment, replacing universally quantified type variables with proper concrete types.

#### **6.3** Translation Overview

We use a type-directed translation. The typing relations have the form:

$$\Gamma \vdash e : \tau \leadsto E$$

It states that  $\lambda C_{\beta}$  expression E is the translation of  $\lambda C_{\text{suf}}$  expression e of type  $\tau$ . Figure 9 shows the translation rules, which are the typing rules in Figure 8 extended with the resulting expression E. In the translation, We require that applications of constructors to be *saturated*.

Among others, Rules (Case), (Alt) and (Data) are of the essence for the translation. Rule (Case) translates case expressions into applications by first type-converting the scrutinee expression, then applying it to the result type and a  $\lambda C_\beta$  expression. Rule (Alt) translate each pattern into a lambda expression, with each variable in the pattern corresponding to a variable in the lambda expression in the same order. The body in the alternative is recursively translated and taken as the lambda body.

Rule (Data) does the most heavy work and deserves further explanation. First of all, it results in a incomplete expression (as can be seen by the incomplete *let* expressions), The result expression is supposed to be prepended to the translation of the last expression to form a complete  $\lambda C_{\beta}$  expression, as specified by Rule (Pgm). Furthermore, each type constructor is translated as a lambda expression, with a recursive type as the body. Each data constructor is also translated as a lambda expression. Notice that we use cast operation in the lambda body to restore to the corresponding datatype.

The rest of the translation rules hold few surprises.

#### 7. Related Work

#### 8. Conclusion

Conclusion and related work.

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**Figure 8.** Typing rules of  $\lambda C_{\text{suf}}$ 

```
\Gamma \vdash e : \tau \leadsto E
(Ax)
                                                                                                                                                                           \varnothing \vdash \star : \square \leadsto \star
                                                                                                                                                                           \frac{x:\tau\in\Gamma}{\Gamma\vdash x:\tau\leadsto x}
(Var)
                                                                                                                            \Gamma \vdash \underline{e_1} : (\Pi x : \tau_2 \cdot \tau_1) \leadsto E_1 \qquad \Gamma \vdash e_2 : \tau_2 \leadsto E_2
(App)
                                                                                                                                                    \Gamma \vdash e_1 e_2 : \tau_1[x := e_2] \leadsto E_1 E_2
                                                                                                                                \Gamma, x : \tau_1 \vdash e : \tau_2 \leadsto E \qquad \Gamma \vdash (\Pi x : \tau_1, \tau_2) : t
(Lam)
                                                                                                                                                                                                                                                                                                                   t \in \{\star, \Box\}
                                                                                                                                   \Gamma \vdash \overline{(\lambda x : \tau_1. e) : (\Pi x : \tau_1. \tau_2)} \leadsto \lambda x : \tau_1. E
                                                                                                                                                 \frac{\Gamma \vdash \tau_1 : s \qquad \Gamma, x : \tau_1 \vdash \tau_2 : t}{\Gamma \vdash (\Pi x : \tau_1 \ldotp \tau_2) : t \leadsto \Pi x : \tau_1 \ldotp \tau_2}
(Pi)
                                                                                                                                                                                                                                                                                                                   (s,t) \in \mathcal{R}
                                                                                                                                                  \Gamma, x : \tau \vdash e : \tau \leadsto E \qquad \Gamma \vdash \tau : s
(Mu)
                                                                                                                                                                                                                                                                                                                   s \in \{\star, \Box\}
                                                                                                                                                   \Gamma \vdash (\mu x : \tau. e) : \tau \leadsto \mu x : \tau. E
                                                                                                                                    \Gamma \vdash e : \tau_2 \leadsto E \qquad \Gamma \vdash \tau_1 : s \qquad \tau_1 \longrightarrow \tau_2
(Fold)
                                                                                                                                               \Gamma \vdash (\mathsf{cast}^{\uparrow}[\tau_1] \, e) : \tau_1 \leadsto \mathsf{cast}^{\uparrow}[\tau_1] \, E
                                                                                                                                   \frac{\Gamma \vdash e : \tau_1 \leadsto E \qquad \Gamma \vdash \tau_2 : s \qquad \tau_1 \longrightarrow \tau_2}{\Gamma \vdash (\mathsf{cast}_{\downarrow} \ e) : \tau_2 \leadsto \mathsf{cast}_{\downarrow} \ E}
(Unfold)
                                                                                                                             \Gamma \vdash e_1 : \sigma \leadsto E_1 \qquad \overline{\Gamma \vdash_p p \Rightarrow e_2 : \sigma \to \tau \leadsto E_2}
(Case)
                                                                                                                                  \Gamma \vdash \mathbf{case} \, e_1 \, \mathbf{of} \, \overline{p \Rightarrow e_2} : \tau \leadsto (\mathsf{cast}_{\downarrow} \, E_1) \, \tau \, \overline{E_2}
 \Gamma \vdash_{\mathcal{D}} p \Rightarrow e : \sigma \to \tau \leadsto E
                                                                                                                                                                              \theta = [\overline{u := v}]
                                                                                                                       K: \Pi \overline{u:\kappa}. \overline{\sigma} \to D \overline{u} \in \Gamma \Gamma, \overline{x:\theta(\sigma)} \vdash e:\tau \leadsto E
(Alt)
                                                                                                                            \Gamma \vdash_{p} K \overline{x : \theta(\sigma)} \Rightarrow e : D \overline{v} \to \tau \leadsto \lambda(\overline{x : \theta(\sigma)}).E
 \Gamma \vdash decl : \Gamma_d \leadsto E
                                                                                                                                                                         \overline{\Gamma, D : \overline{\kappa} \to \star, \overline{u : \kappa} \vdash \overline{\tau} \to D \, \overline{u} : \star}
                                                                                                                        \Gamma \vdash \overline{\kappa} \rightarrow \star : \square
(Data)
                                                                                                  \overline{\Gamma \vdash (\mathbf{data} \, D \, \overline{u : \kappa} = \overline{\mid K \, \overline{\tau}}) : (D : \overline{\kappa} \to \star, \overline{K : \Pi \overline{u : \kappa}. \overline{\tau} \to D \, \overline{u}}) \leadsto E}
                                                                                        E ::= \mathbf{let} \ D : \overline{\kappa} \to \star = \lambda \overline{u : \kappa}. \ \mu X : \star. \ \Pi b : \star. \ \overline{(\overline{\tau}[D \ \overline{u} := X] \to b)} \to b \ \mathbf{in}
                                                                                                                    let K_i : \overline{\Pi u : \kappa. \tau} \to D \overline{u} = \lambda \overline{(u : \kappa). \lambda \overline{(x : \tau)}}.
                                                                                                                           \mathsf{cast}^{\uparrow}[D\,\overline{u}]\,(\lambda(b:\star)\overline{(c:\overline{\tau}\to b)}.c_i\,\overline{x})\,\mathbf{in}
  \Gamma \vdash pgm : \tau \leadsto E
                                                                                                                    \overline{\Gamma_0 \vdash decl : \Gamma_d \leadsto E_1} \qquad \Gamma = \Gamma_0, \overline{\Gamma_d} \qquad \Gamma \vdash e : \tau \leadsto E
(Pgm)
                                                                                                                                                          \Gamma_0 \vdash \overline{decl}; e : \tau \leadsto \overline{E_1} \oplus E
```

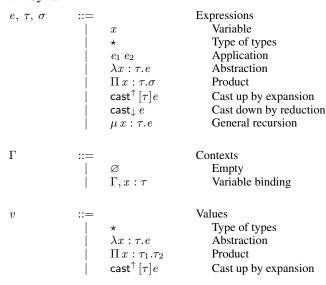
**Figure 9.** Type-directed translation from  $\lambda C_{\mathsf{suf}}$  to  $\lambda C_{\beta}$ 

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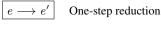
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## Full specification of core language

## A.1 Syntax



## A.2 Operational semantics



## A.3 Expression typing

 $\Gamma \vdash e : \tau$ Expression typing

## B. Proofs about core language

## **B.1** Properties

**Lemma B.1** (Free variable lemma). If  $\Gamma \vdash e : \tau$ , then  $\mathsf{FV}(e) \subseteq$  $dom(\Gamma)$  and  $FV(\tau) \subseteq dom(\Gamma)$ .

*Proof.* By induction on the derivation of  $\Gamma \vdash e : \tau$ . We only treat cases T\_MU, T\_CASTUP and T\_CASTDOWN (since proofs of other cases are the same as  $\lambda C$  [2]):

**Case T\_Mu:** From premises of  $\Gamma \vdash (\mu x : \tau . e_1) : \tau$ , by induction hypothesis, we have  $FV(e_1) \subseteq dom(\Gamma) \cup \{x\}$  and  $FV(\tau) \subseteq$  $dom(\Gamma)$ . Thus the result follows by  $FV(\mu x : \tau.e_1) = FV(e_1) \setminus$  $\{x\} \subseteq \mathsf{dom}(\Gamma) \text{ and } \mathsf{FV}(\tau) \subseteq \mathsf{dom}(\Gamma).$ 

Case T\_CASTUP: Since  $FV(cast^{\uparrow}[\tau]e_1) = FV(e_1)$ , the result follows directly by the induction hypothesis.

Case T\_CASTDOWN: Since  $FV(cast_{\perp} e_1) = FV(e_1)$ , the result follows directly by the induction hypothesis.

**Lemma B.2** (Substitution lemma). *If*  $\Gamma_1, x : \sigma, \Gamma_2 \vdash e_1 : \tau$  *and*  $\Gamma_1 \vdash e_2 : \sigma$ , then  $\Gamma_1, \Gamma_2[x \mapsto e_2] \vdash e_1[x \mapsto e_2] : \tau[x \mapsto e_2]$ .

*Proof.* By induction on the derivation of  $\Gamma_1, x : \sigma, \Gamma_2 \vdash e_1 : \tau$ . Let  $e^* \equiv e[x \mapsto e_2]$ . Then the result can be written as  $\Gamma_1, \Gamma_2^* \vdash e_1^*$ :  $\tau^*$ . We only treat cases T\_Mu, T\_CASTUP and T\_CASTDOWN. Consider the last step of derivation of the following cases:

Case T\_Mu: 
$$\frac{\Gamma_1, x : \sigma, \Gamma_2 \vdash e_1 : \tau \qquad \Gamma_1, x : \sigma, \Gamma_2 \vdash \tau : \tau}{\Gamma_1, x : \sigma, \Gamma_2 \vdash (\mu \ y : \tau. e_1) : \tau}$$

is just the result.

By induction hypothesis, we have  $\Gamma_1, \Gamma_2^* \vdash e_1^* : \tau_2^*, \Gamma_1, \Gamma_2^* \vdash \tau_1^* : \star$  and  $\tau_1 \longrightarrow \tau_2$ . By the definition of substitution, we can obtain  $\tau_1^* \longrightarrow \tau_2^*$  by  $\tau_1 \longrightarrow \tau_2$ . Then by the deviation rule,  $\Gamma_1, \Gamma_2^* \vdash (\mathsf{cast}^{\uparrow}[\tau_1^*]e_1^*) : \tau_1^*$ . Thus we have  $\Gamma_1, \Gamma_2^* \vdash (\mathsf{cast}^{\uparrow}[\tau_1]e_1)^* : \tau_1^*$  which is just the result.

$$\textbf{Case T\_CASTDOWN:} \begin{array}{c} \Gamma_1, x: \sigma, \Gamma_2 \vdash e_1: \tau_1 \\ \Gamma_1, x: \sigma, \Gamma_2 \vdash \tau_2: \star & \tau_1 \longrightarrow \tau_2 \\ \hline \Gamma_1, x: \sigma, \Gamma_2 \vdash (\mathsf{cast}_{\downarrow} \ e_1): \tau_2 \\ \hline \Gamma_1, x: \sigma, \Gamma_2 \vdash (\mathsf{cast}_{\downarrow} \ e_1): \tau_2 \\ \hline \end{array}$$

By induction hypothesis, we have  $\Gamma_1, \Gamma_2^* \vdash e_1^* : \tau_1^*, \Gamma_1, \Gamma_2^* \vdash \tau_2^* : \star$  and  $\tau_1 \longrightarrow \tau_2$  thus  $\tau_1^* \longrightarrow \tau_2^*$ . Then by the deviation rule,  $\Gamma_1, \Gamma_2^* \vdash (\mathsf{cast}_\downarrow e_1^*) : \tau_2^*$ . Thus we have  $\Gamma_1, \Gamma_2^* \vdash (\mathsf{cast}_\downarrow e_1)^* : \tau_2^*$  which is just the result.

## Lemma B.3 (Generation lemma).

- (1) If  $\Gamma \vdash x : \sigma$ , then there exist an expression  $\tau$  such that  $\tau \equiv \sigma$ ,  $\Gamma \vdash \tau : \star$  and  $x : \tau \in \Gamma$ .
- (2) If  $\Gamma \vdash e_1 e_2 : \sigma$ , then there exist expressions  $\tau_1$  and  $\tau_2$  such that  $\Gamma \vdash e_1 : (\prod x : \tau_1.\tau_2), \Gamma \vdash e_2 : \tau_1$  and  $\sigma \equiv \tau_2[x \mapsto e_2].$
- (3) If  $\Gamma \vdash (\lambda x : \tau_1.e) : \sigma$ , then there exist an expression  $\tau_2$  such that  $\sigma \equiv \Pi x : \tau_1.\tau_2$  where  $\Gamma \vdash (\Pi x : \tau_1.\tau_2) : \star$  and  $\Gamma, x : \tau_1 \vdash e : \tau_2$ .
- (4) If  $\Gamma \vdash (\Pi x : \tau_1.\tau_2) : \sigma$ , then  $\sigma \equiv \star$ ,  $\Gamma \vdash \tau_1 : \star$  and  $\Gamma, x : \tau_1 \vdash \tau_2 : \star$ .
- (5) If  $\Gamma \vdash (\mu x : \tau.e) : \sigma$ , then  $\Gamma \vdash \tau : \star$ ,  $\sigma \equiv \tau$  and  $\Gamma, x : \tau \vdash e : \tau$ .
- (6) If  $\Gamma \vdash (\mathsf{cast}^{\uparrow}[\tau_1]e) : \sigma$ , then there exist an expression  $\tau_2$  such that  $\Gamma \vdash e : \tau_2$ ,  $\Gamma \vdash \tau_1 : \star, \tau_1 \longrightarrow \tau_2$  and  $\sigma \equiv \tau_1$ .
- (7) If  $\Gamma \vdash (\mathsf{cast}_{\downarrow} e) : \sigma$ , then there exist expressions  $\tau_1, \tau_2$  such that  $\Gamma \vdash e : \tau_1, \Gamma \vdash \tau_2 : \star, \tau_1 \longrightarrow \tau_2$  and  $\sigma \equiv \tau_2$ .

*Proof.* Consider a derivation of  $\Gamma \vdash e : \sigma$  for one of cases in the lemma. Note that rule T\_WEAK does not change e, then we can follow the process of derivation until expression e is introduced the first time. The last step of derivation can be done by

- rule T\_VAR for case 1;
- rule T\_APP for case 2;
- rule T\_LAM for case 3;
- rule T\_PI for case 4;
- rule T\_MU for case 5;
- rule T\_CASTUP for case 6;
- rule T\_CASTDOWN for case 7.

In each case, assume the conclusion of the rule is  $\Gamma' \vdash e : \tau'$  where  $\Gamma' \subseteq \Gamma$  and  $\tau' \equiv \sigma$ . Then by inspection of used derivation rules, it can be shown that the statement of the lemma holds and is the only possible case.

**Lemma B.4** (Correctness of types). *If*  $\Gamma \vdash e : \tau$  *then*  $\tau \equiv \star$  *or*  $\Gamma \vdash \tau : \star$ 

*Proof.* Trivial induction on the derivation of  $\Gamma \vdash e : \tau$  using Lemma B.3.

#### **B.2** Decidability of type checking

**Lemma B.5** (Uniqueness of one-step reduction). The relation  $\longrightarrow$ , i.e. one-step reduction, is unique in the sense that given e there is at most one e' such that  $e \longrightarrow e'$ .

*Proof.* By induction on the structure of e:

**Case** e = v: e has one of the following forms:  $(1) \star, (2) \lambda x : \tau.e$ ,  $(3) \Pi x : \tau_1.\tau_2$ ,  $(4) \operatorname{cast}^{\uparrow} [\tau] e$ , which cannot match any rules of  $\longrightarrow$ . Thus there is no e' such that  $e \longrightarrow e'$ .

Case  $e = (\lambda x : \tau.e_1) e_2$ : There is a unique  $e' = e_1[x \mapsto e_2]$  by rule S\_BETA.

Case  $e = \mathsf{cast}_{\downarrow}(\mathsf{cast}^{\uparrow}[\tau]e)$ : There is a unique e' = e by rule S\_CASTDOWNUP.

Case  $e = \mu x : \tau.e$ : There is a unique  $e' = e[x \mapsto \mu x : \tau.e]$  by rule S\_MU.

Case  $e=e_1\ e_2$  and  $e_1$  is not a  $\lambda$ -term: If  $e_1=v$ , there is no  $e_1'$  such that  $e_1\longrightarrow e_1'$ . Since  $e_1$  is not a  $\lambda$ -term, there is no rule to reduce e. Thus there is no e' such that  $e\longrightarrow e'$ . Otherwise, there exists some  $e_1'$  such that  $e_1\longrightarrow e_1'$ . By the induction hypothesis,  $e_1'$  is unique reduction of  $e_1$ . Thus by rule S\_APP,  $e'=e_1'\ e_2$  is the unique reduction for e.

Case  $e = \mathsf{cast}_{\downarrow} e_1$  and  $e_1$  is not a  $\mathsf{cast}^{\uparrow}$ -term: If  $e_1 = v$ , there is no  $e_1'$  such that  $e_1 \longrightarrow e_1'$ . Since  $e_1$  is not a  $\mathsf{cast}^{\uparrow}$ -term, there is no rule to reduce e. Thus there is no e' such that  $e \longrightarrow e'$ . Otherwise, there exists some  $e_1'$  such that  $e_1 \longrightarrow e_1'$ . By the induction hypothesis,  $e_1'$  is unique reduction of  $e_1$ . Thus by rule  $S_{-}CASTDOWN$ ,  $e' = \mathsf{cast}_{\downarrow} e_1'$  is the unique reduction for e.

**Lemma B.6** (Uniqueness of *n*-step reduction). The *n*-step reduction  $\longrightarrow_n$  is unique in the sense that given e there is at most one e' such that  $e \longrightarrow_n e'$ .

*Proof.* Immediate from Lemma B.5, by induction on the number of reduction steps.  $\Box$ 

**Lemma B.7** (Decidability of type checking). *There is a decidable algorithm which given*  $\Gamma$ , e *computes the unique*  $\tau$  *such that*  $\Gamma \vdash e : \tau$  *or reports there is no such*  $\tau$ .

*Proof.* By induction on the structure of e:

Case  $e = \star$ : Trivial by applying T\_AX and  $\tau \equiv \star$ .

Case e=x: Trivial by rule TS\_VAR and  $\tau$  is the unique type of x if  $x:\tau\in\Gamma$ .

**Case**  $e=e_1\ e_2$ , or  $\lambda x:\tau_1.e_1$ , or  $\Pi\ x:\tau_1.\tau_2$ , or  $\mu\ x:\tau.e_1$ : Trivial according to Lemma B.3 by using rule T\_APP, T\_LAM, T\_PI, or T\_MU respectively.

Case  $e = \operatorname{cast}^\uparrow[\tau_1]e_1$ : From the premises of rule T\_CASTUP, by induction hypothesis, we can derive the type of  $e_1$  as  $\tau_2$ , and check whether  $\tau_1$  is legal, i.e. its sorts is  $\star$ . If  $\tau_1$  is legal, by Lemma B.5, there is at most one  $\tau_1'$  such that  $\tau_1 \longrightarrow \tau_1'$ . If such  $\tau_1'$  does not exist, then we report the type checking is failed. Otherwise, we examine if  $\tau_1'$  is syntactically equal to  $\tau_2$ , i.e.  $\tau_1' \equiv \tau_2$ . If the equality holds, we obtain the unique type of e which is  $\tau_1$ . Otherwise, we report e fails to type check.

Case  $e = \mathsf{cast}_{\downarrow} e_1$ : From the premises of rule T\_CASTDOWN, by induction hypothesis, we can derive the type of  $e_1$  as  $\tau_1$ . By Lemma B.5, there is at most one  $\tau_2$  such that  $\tau_1 \longrightarrow \tau_2$ . If such  $\tau_2$  exists and its sorts is  $\star$ , we have found the unique type of e is  $\tau_2$ . Otherwise, we report e fails to type check.

## B.3 Soundness

**Definition B.8** (Multi-step reduction). *The relation*  $\rightarrow$  *is the transitive and reflexive closure of*  $\rightarrow$ .

**Lemma B.9** (Subject reduction). *If*  $\Gamma \vdash e : \sigma$  *and*  $e \twoheadrightarrow e'$  *then*  $\Gamma \vdash e' : \sigma$ .

*Proof.* We prove the case for one-step reduction, i.e.  $e \longrightarrow e'$ . The lemma can follow by induction on the number of one-step reductions of  $e \rightarrow e'$ . The proof is by induction with respect to the definition of one-step reduction  $\longrightarrow$  as follows:

Case 
$$\frac{}{(\lambda x : \tau.e_1) e_2 \longrightarrow e_1[x \mapsto e_2]}$$
 S\_BETA:

Suppose  $\Gamma \vdash (\lambda x : \tau_1.e_1) \ e_2 : \sigma$  and  $\Gamma \vdash e_1[x \mapsto e_2] : \sigma'$ . By Lemma B.3(2), there exist expressions  $\tau'_1$  and  $\tau_2$  such that

$$\Gamma \vdash (\lambda x : \tau_1.e_1) : (\Pi x : \tau'_1.\tau_2)$$

$$\Gamma \vdash e_2 : \tau'_1$$

$$\sigma \equiv \tau_2[x \mapsto e_2]$$
(1)

By Lemma B.3(3), the judgement (1) implies that there exists an expression  $\tau_2'$  such that

$$\Pi x : \tau'_1.\tau_2 \equiv \Pi x : \tau_1.\tau'_2$$

$$\Gamma, x : \tau_1 \vdash e_1 : \tau'_2$$
(2)

Hence, by (2) we have  $\tau_1 \equiv \tau_1'$  and  $\tau_2 \equiv \tau_2'$ . Then we can obtain  $\Gamma, x : \tau_1 \vdash e_1 : \tau_2$  and  $\Gamma \vdash e_2 : \tau_1$ . By Lemma B.2, we have  $\Gamma \vdash e_1[x \mapsto e_2] : \tau_2[x \mapsto e_2]$ . Therefore, we conclude with  $\sigma' \equiv \tau_2[x \mapsto e_2] \equiv \sigma$ .

Case  $\frac{e_1 \longrightarrow e_1'}{e_1 e_2 \longrightarrow e_1' e_2}$  S.APP:

Case 
$$\frac{e_1 \longrightarrow e_1'}{e_1 \ e_2 \longrightarrow e_1' \ e_2}$$
 S\_APP

Suppose  $\Gamma \vdash e_1 e_2 : \sigma$  and  $\Gamma \vdash e'_1 e_2 : \sigma'$ . By Lemma B.3(2), there exist expressions  $\tau_1$  and  $\tau_2$  such that

$$\Gamma \vdash e_1 : (\Pi x : \tau_1.\tau_2)$$
  
$$\Gamma \vdash e_2 : \tau_1$$
  
$$\sigma \equiv \tau_2[x \mapsto e_2]$$

By induction hypothesis, we have  $\Gamma \vdash e_1' : (\Pi x : \tau_1.\tau_2)$ . By rule T\_APP, we obtain  $\Gamma \vdash e_1' e_2 : \tau_2[x \mapsto e_2]$ . Therefore,

# $\sigma' \equiv \tau_2[x \mapsto e_2] \equiv \sigma.$ $se \frac{e \longrightarrow e'}{\mathsf{cast}_{\downarrow} \ e \longrightarrow \mathsf{cast}_{\downarrow} \ e'} \quad \mathbf{S}_{-}\mathbf{CastDown}:$

Suppose  $\Gamma \vdash \mathsf{cast}_{\downarrow} e : \sigma \text{ and } \Gamma \vdash \mathsf{cast}_{\downarrow} e' : \sigma'$ . By Lemma B.3(7), there exist expressions  $\tau_1, \tau_2$  such that

$$\Gamma \vdash e : \tau_1 \qquad \Gamma \vdash \tau_2 : \star$$
  
 $\tau_1 \longrightarrow \tau_2 \qquad \sigma \equiv \tau_2$ 

By induction hypothesis, we have  $\Gamma \vdash e' : \tau_1$ . By rule T\_CASTDOWN, we obtain  $\Gamma \vdash \mathsf{cast}_{\downarrow} e' : \tau_2$ . Therefore,  $\sigma' \equiv \tau_2 \equiv \sigma$ .

## $\frac{}{\mathsf{cast}_{\downarrow}\left(\mathsf{cast}^{\uparrow}\left[\tau\right]e\right)\longrightarrow e}\quad \mathbf{S}_{\text{-}}\mathbf{CastDownUP:}$ Case -

Suppose  $\Gamma \vdash \mathsf{cast}_{\downarrow}(\mathsf{cast}^{\uparrow}[\tau_1]e) : \sigma \text{ and } \Gamma \vdash e : \sigma'.$  By Lemma B.3(7), there exist expressions  $\tau'_1$ ,  $\tau_2$  such that

$$\Gamma \vdash (\mathsf{cast}^{\uparrow} [\tau_1] e) : \tau_1' \tag{3}$$

$$\tau_1' \longrightarrow \tau_2$$
 (4)

$$\tau \equiv \tau_2$$
(5)

By Lemma B.3(6), the judgement (3) implies that there exists an expression  $\tau_2'$  such that

$$\Gamma \vdash e : \tau_2' \tag{6}$$

$$\tau_1 \longrightarrow \tau_2'$$
(7)

$$\tau_1' \equiv \tau_1 \tag{8}$$

By (4, 7, 8) and Lemma B.5 we obtain  $\tau_2 \equiv \tau_2'$ . From (6) we have  $\sigma' \equiv \tau'_2$ . Therefore, by (5),  $\sigma' \equiv \tau'_2 \equiv \tau_2 \equiv \sigma$ .

Case 
$$\mu x : \tau \cdot e \longrightarrow e[x \mapsto \mu x : \tau \cdot e]$$
 S\_MU:

Suppose  $\Gamma \vdash (\mu \ x : \tau . e) : \sigma \text{ and } \Gamma \vdash e[x \mapsto \mu \ x : \tau . e] : \sigma'$ .

By Lemma B.3(5), we have  $\sigma \equiv \tau$  and  $\Gamma, x : \tau \vdash e : \tau$ . Then we obtain  $\Gamma \vdash (\mu x : \tau.e) : \tau$ . Thus by Lemma B.2, we have  $\Gamma \vdash e[x \mapsto \mu \ x : \tau.e] : \tau[x \mapsto \mu \ x : \tau.e].$ 

Note that  $x:\tau$ , i.e. the type of x is  $\tau$ , then  $x\notin \mathsf{FV}(\tau)$  holds implicitly. Hence, by the definition of substitution, we obtain  $\tau[x \mapsto \mu x : \tau.e] \equiv \tau$ . Therefore,  $\sigma' \equiv \tau[x \mapsto \mu x : \tau.e] \equiv$ 

**Lemma B.10** (Progress). If  $\vdash e : \sigma$  then either e is a value v or there exists e' such that  $e \longrightarrow e'$ .

*Proof.* By induction on the derivation of  $\vdash e : \sigma$  as follows:

Case e = x: Impossible, because the context is empty.

Case e = v: Trivial, since e is already a value that has one of the following forms: (1)  $\star$ , (2)  $\lambda x$  :  $\tau . e$ , (3)  $\Pi x$  :  $\tau_1 . \tau_2$ , (4) cast  $\uparrow$   $[\tau]e$ .

Case  $e = e_1 e_2$ : By Lemma B.3(2), there exist expressions  $\tau_1$  and  $\tau_2$  such that  $\vdash e_1 : (\prod x : \tau_1.\tau_2)$  and  $\vdash e_2 : \tau_1$ . Consider whether  $e_1$  is a value:

- If  $e_1 = v$ , by Lemma B.3(3), it must be a  $\lambda$ -term such that  $e_1 \equiv \lambda x : \tau_1.e_1'$  for some  $e_1'$  satisfying  $\vdash e_1' : \tau_2$ . Then by rule S\_BETA, we have  $(\lambda x : \tau_1.e_1') e_2 \longrightarrow e_1'[x \mapsto e_2].$ Thus, there exists  $e' \equiv e'_1[x \mapsto e_2]$  such that  $e \longrightarrow e'$ .
- ullet Otherwise, by induction hypothesis, there exists  $e_1'$  such that  $e_1 \longrightarrow e'_1$ . Then by rule S\_APP, we have  $e_1 e_2 \longrightarrow e'_1 e_2$ . Thus, there exists  $e' \equiv e'_1 e_2$  such that  $e \longrightarrow e'$ .

Case  $e = \text{cast}_{\perp} e_1$ : By Lemma B.3(7), there exist expressions  $\tau_1$ and  $\tau_2$  such that  $\vdash e_1 : \tau_1$  and  $\tau_1 \longrightarrow \tau_2$ . Consider whether  $e_1$ is a value:

- If  $e_1 = v$ , by Lemma B.3(6), it must be a cast<sup>†</sup>-term such that  $e_1 \equiv \mathsf{cast}^{\uparrow} [\tau_1] e_1'$  for some  $e_1'$  satisfying  $\vdash$  $e_1'$ :  $\tau_2$ . Then by rule S\_CASTDOWNUP, we can obtain  $\mathsf{cast}_{\downarrow} (\mathsf{cast}^{\uparrow} [\tau_1] e_1') \longrightarrow e_1'.$  Thus, there exists  $e' \equiv e_1'$  such that  $e \longrightarrow e'.$
- Otherwise, by induction hypothesis, there exists  $e'_1$  such that  $e_1 \longrightarrow e'_1$ . Then by rule S\_CASTDOWN, we have  $\mathsf{cast}_{\downarrow} \ e_1 \ \longrightarrow \ \mathsf{cast}_{\downarrow} \ e_1'.$  Thus, there exists  $e' \equiv \mathsf{cast}_{\downarrow} \ e_1'$ such that  $e \longrightarrow e'$ .

Case  $e = \mu x : \tau . e_1$ : By rule S\_MU, there always exists  $e' \equiv$  $e_1[x \mapsto \mu \ x : \tau.e_1].$ 

## Full specification of source language

## C.1 Syntax

See Figure 10.

## C.2 Expression typing

See Figure 11.

#### C.3 Translation to the core

See Figure 12.

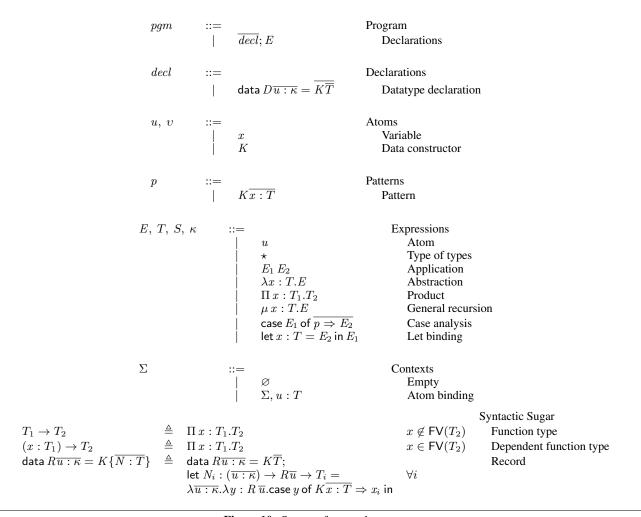


Figure 10. Syntax of source language

$$\vdash_{\mathsf{s}} \Sigma$$
 Well-formed context

$$\frac{}{\vdash_{\mathsf{s}} \Sigma} \underbrace{\qquad \qquad \mathsf{ENVS\_EMPTY}}_{} \\ \frac{\vdash_{\mathsf{s}} \Sigma}{} \underbrace{\qquad \qquad \Sigma \vdash_{\mathsf{s}} T : \star}_{} \\ \underbrace{\qquad \qquad }_{} \mathsf{ENVS\_VAR}$$

 $\Sigma \vdash_{\mathsf{s}} pgm : T$  | Program context

$$\frac{\overline{\Sigma_0 \vdash_{\mathsf{S}} decl : \Sigma'} \qquad \Sigma = \Sigma_0, \overline{\Sigma'} \qquad \Sigma \vdash_{\mathsf{S}} E : T}{\Sigma_0 \vdash_{\mathsf{S}} (\overline{decl}; E) : T} \qquad \mathsf{TSPGM\_PGM}$$

 $\Sigma \vdash_{\mathsf{s}} decl : \Sigma'$  Datatype declaration

$$\frac{\Sigma \vdash_{\!\!\! \mathtt{S}} (\overline{u : \kappa}) \to \star : \star}{\Sigma, D : (\overline{u : \kappa}) \to \star, \overline{u : \kappa} \vdash_{\!\!\! \mathtt{S}} \overline{T} \to D\overline{u} : \star}}{\Sigma, D : (\overline{u : \kappa}) \to \star, \overline{K} : (\overline{u : \kappa}) \to \overline{T} \to D\overline{u})} \quad \mathsf{TSDECL\_DATA}$$

 $\Sigma \vdash_{\mathsf{S}} p \Rightarrow E : S \to T$  Pattern typing

$$\frac{K: (\overline{u:\kappa}) \to \overline{S} \to D\overline{u} \in \Sigma}{\Sigma \vdash_{\mathsf{S}} K\overline{x:S[\overline{u\mapsto v}]} \mapsto_{\mathsf{S}} E: T} \qquad \Sigma \vdash_{\mathsf{S}} S[\overline{u\mapsto v}]: \star}{\Sigma \vdash_{\mathsf{S}} K\overline{x:S[\overline{u\mapsto v}]} \Rightarrow_{} E: D\overline{v} \to T} \qquad \mathsf{TPAT\_ALT}$$

 $\Sigma \vdash_{\mathsf{s}} E : T$  Expression typing

Figure 11. Typing rules of source language

Figure 12. Translation rules of source language