

# A Dependently-typed Intermediate Language with General Recursion

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## Abstract

*This is gonna to be written later.*

**Categories and Subject Descriptors** D.3.1 [Programming Languages]: Formal Definitions and Theory

**General Terms** Languages, Design

**Keywords** Dependent types, Intermediate language

## 1. Introduction

*These are definitely drafts and only some main points are listed in each section.*

a) Motivations:

- Because of the reluctance to introduce dependent types<sup>1</sup>, the current intermediate language of Haskell, namely System  $F_C$  [11], separates expressions as terms, types and kinds, which brings complexity to the implementation as well as further extensions [13, 14].
- Popular full-spectrum dependently typed languages, like Agda, Coq, Idris, have to ensure the termination of functions for the decidability of proofs. No general recursion and the limitation of enforcing termination checking make such languages impractical for general-purpose programming.
- We would like to introduce a simple and compiler-friendly dependently typed core language with only one hierarchy, which supports general recursion at the same time.

b) Contribution:

- A core language based on Calculus of Constructions (CoC) that collapses terms, types and kinds into the same hierarchy.
- General recursion by introducing recursive types for both terms and types by the same  $\mu$  primitive.

<sup>1</sup>This might be changed in the near future. See <https://ghc.haskell.org/trac/ghc/wiki/DependentHaskell/Phase1>.

- Decidable type checking and managed type-level computation by replacing implicit conversion rule of CoC with generalized fold/unfold semantics.
- First-class equality by coercion, which is used for encoding GADTs or newtypes without runtime overhead.
- Surface language that supports datatypes, pattern matching and other language extensions for Haskell, and can be encoded into the core language.

c) Related work:

- Henk [5] and one of its implementation [7] show the simplicity of the Pure Type System (PTS). [8] also tries to combine recursion with PTS.
- Zombie [2, 9] is a language with two fragments supporting logics with non-termination. It limits the  $\beta$ -reduction for congruence closure [10].
- $\Pi\Sigma$  [1] is a simple, dependently-typed core language for expressing high-level constructions<sup>2</sup>. UHC compiler [6] tries to use a simplified core language with coercion to encode GADTs.
- System  $F_C$  [11] has been extended with type promotion [14] and kind equality [13]. The latter one introduces a limited form of dependent types into the system<sup>3</sup>, which mixes up types and kinds.

## 2. Overview

**BRUNO: Jeremy: can you give this section a go and start writing it up? I think this section should be your priority for now.**

We begin this section with an informal introduction to the main features of  $\lambda C_\beta$ . We show how it can serve as a simple and compiler-friendly core language with general recursion and decidable type system. The formal details are presented in Section 3.

### 2.1 Explicit Reduction Rules

**BRUNO: Contrast our calculus with the calculus of constructions. Explain fold/unfold.**

$\lambda C_\beta$  is based on the *Calculus of Constructions* ( $\lambda C$ ) [4]. In contrast to the implicit reduction rules of  $\lambda C$ ,  $\lambda C_\beta$  makes it explicit as to when and where to apply reduction rules.

Figure 1 is the so-called *conversion* rule of  $\lambda C$ , which allows one to drive  $x : A$  from the derivation of  $x : B$  and the beta-equality of  $A$  and  $B$ . Note that in  $\lambda C$ , the use of this rule is implicit

<sup>2</sup>But the paper didn't give any meta-theories about the language.

<sup>3</sup>Richard A. Eisenberg is going to implement kind equality [13] into GHC. The implementation is proposed at <https://phabricator.haskell.org/D808> and related paper is at <http://www.cis.upenn.edu/~eir/papers/2015/equalities/equalities-extended.pdf>.

$$\frac{\Gamma \vdash a : A \quad \Gamma \vdash B : s \quad A =_{\beta} B}{\Gamma \vdash a : B}$$

**Figure 1.** The conversion rule of  $\lambda C$

in that it is automatically applied during type checking to all non-normal form terms.  $\lambda C_{\beta}$  however differs in the following respects: 1) it eliminates the need to have the conversion rule; 2) it makes type conversion explicit by introducing two operations:  $\text{cast}^{\uparrow}$  and  $\text{cast}_{\downarrow}$ .

In order to have a better intuition of the explicit reduction rules, let us consider a simple example. Suppose we have a built-in base type  $\text{Int}$  and

$$f \equiv \lambda x : (\lambda y : \star. y) \text{Int}. x$$

Without the conversion rule,  $f$  cannot be applied to, say 3 in  $\lambda C$ . Given that  $f$  is actually  $\beta$ -convertible to  $\lambda x : \text{Int}. x$ , the conversion rule would allow the application of  $f$  to 3. However in  $\lambda C_{\beta}$ ,  $f$  3 is intended as an ill-typed application. Instead one would like to write the application as

$$f (\text{cast}^{\uparrow}[(\lambda y : \star. y) \text{Int}] 3)$$

The intuition is that,  $\text{cast}^{\uparrow}$  is actually doing type conversion since the type of 3 is  $\text{Int}$  and  $(\lambda y : \star. y) \text{Int}$  can be reduced to  $\text{Int}$ .

The dual operation of  $\text{cast}^{\uparrow}$  is  $\text{cast}_{\downarrow}$ . The use of  $\text{cast}_{\downarrow}$  is better explained by another similar example. Suppose that

$$g \equiv \lambda x : \text{Int}. x$$

and  $z$  has type

$$(\lambda y : \star. y) \text{Int}$$

$g z$  is again an ill-typed application, while  $g (\text{cast}_{\downarrow} z)$  is type correct because  $\text{cast}_{\downarrow}$  reduces the type of  $z$  to  $\text{Int}$ .

## 2.2 Decidability and Strong Normalization

**BRUNO:** Informally explain that with explicit fold/unfold rules the decidability of the type system does not depend on strong normalization.

The decidability of the type system of  $\lambda C$  depends on the normalization property for all constructed terms [3]. However strong normalization does not hold with general recursion. This is simply because due to the conversion rule, any non-terminating term would force the type checker to go into an infinitely loop, thus rendering the type system undecidable.

With explicit reduction rules, however, the decidability of the type system no longer depends on the normalization property. In fact  $\lambda C_{\beta}$  is not strong normalizing, as we will see in later sections. The ability to write non-terminating terms forces us to have more control over type-level computation. To illustrate, let us consider a contrived example. Suppose that  $d$  is a “dependent type” where

$$d : \text{Int} \rightarrow \star$$

so that  $d\ 3$  or  $d\ 100$  all yield the same type. With general recursion at hand, we can image a term  $z$  that has type

$$d (\text{fix} (\lambda y : \text{Int}. y))$$

Apparently evaluating  $\text{fix} (\lambda y : \text{Int}. y)$  would give us an infinite evaluation sequence, always yielding the same term. What would happen if we try to type check the following application:

$$(\lambda x : d\ 3. x) z$$

Under the normal typing rules of  $\lambda C$ , the type checker would get stuck as it tries to do  $\beta$ -equality on two terms:  $d\ 3$  and  $\text{fix} (\lambda y : \text{Int}. y)$ , where the latter is non-terminating.

This is not the case for  $\lambda C_{\beta}$ : 1) it has no such conversion rule, therefore the type checker would do syntactic comparison between

the two terms instead of  $\beta$ -equality in the above example; 2) one would need to write infinitely  $\text{cast}^{\uparrow}/\text{cast}_{\downarrow}$  to make the type checker loop forever (e.g.,  $(\lambda x : d\ 3. x) (\text{cast}_{\downarrow} \text{cast}_{\downarrow} \dots z)$ ). Apparently this is impossible in reality.

In summary,  $\lambda C_{\beta}$  approaches the decidability of the type system by explicitly controlling type-level computation, which is independent of the normalization property, while supporting general recursion at the same time.

## 2.3 Unifying Recursive Types and Recursion

**BRUNO:** Show how in  $\lambda C_{\beta}$  recursion and recursive types are unified. Discuss that due to this unification the sensible choice for the evaluation strategy is call-by-name.

## 2.4 Encoding Datatypes

**BRUNO:** Informally explain how to encode recursive datatypes and recursive functions using datatypes.

## 3. The Explicit Calculus of Constructions

**BRUNO:** Linus: can you write up this section? I think this section should be your priority. First bring in all results and formalization: syntax; semantics; proofs ... then write text

This section formalizes the syntax and semantics of the explicit calculus of constructions. This section also shows that how in the explicit calculus of constructions decidability of the type system does not depend on strong normalization.

- Give an overview of the core language and its syntax.
- Show the typing rules and operational semantics.
- The original formalization is suggested to rewrite using ott<sup>4</sup> which is a standard in academia. For example, the formalization of GHC <https://github.com/ghc/ghc/tree/master/docs/core-spec>.
- Give formal proof of the soundness of the core language.
- Subject reduction and progress theorems will be proved.

## 4. The Explicit Calculus of Constructions with Recursion

**BRUNO:** Linus and Jeremy, I think you should do this section together. Most work is on Linus though since he needs to work out the proofs. Jeremy is mostly for Linus to consult with here :).

This section shows how to extend  $\lambda C_{\beta}$  with recursion. This extension allows the calculus to account for both: 1) recursive definitions; 2) recursive types. The extension preserves the decidability and soundness of the type system.

## 5. Surface language

**BRUNO:** Jeremy, I think you should write up this section.

- Expand the core language with datatypes and pattern matching by encoding.
- Give translation rules.
- Encode GADTs and maybe other Haskell extensions? GADTs seems challenging, so perhaps some other examples would be datatypes like *Fixf*, and *Monad* as a record. Could formalize records in Haskell style.

<sup>4</sup><http://www.cl.cam.ac.uk/~pes20/ott/>

$e, \tau$	$::=$	$x$ $s$ $e e'$ $\lambda x : \tau. e$ $\Pi x : \tau. \tau'$ $\text{fold } [\tau] e$ $\text{unfold } e$ $\text{let } x : \tau = e \text{ in } e'$	Expressions Variable Sort Application Abstraction Product Generalized fold Generalized unfold Let binding
$s, t$	$::=$	$\star$ $\square$	Sorts Star Square
$\Gamma$	$::=$	$\emptyset$ $\Gamma, x : \tau$	Contexts Empty Variable binding
$v$	$::=$	$\lambda x : \tau. e$ $\Pi x : \tau. \tau'$ $\text{fold } [\tau] e$	Values Abstraction Product Generalized fold

Figure 2. Syntax

$e \longrightarrow e'$	Single step semantics
$\frac{}{(\lambda x : \tau. e_1) e_2 \longrightarrow e_1[x \mapsto e_2]}$	S.BETA
$\frac{e_1 \longrightarrow e'_1}{e_1 e \longrightarrow e'_1 e}$	S.APP
$\frac{e \longrightarrow e'}{\text{unfold } e \longrightarrow \text{unfold } e'}$	S.UNFOLD
$\frac{}{\text{unfold } (\text{fold } [\tau] e) \longrightarrow e}$	S.UNFOLD.FOLD

Figure 3. Dynamic semantics

## 6. Related Work

## 7. Conclusion

Conclusion and related work.

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$\boxed{\Gamma \vdash e : \tau}$	Expression typing
$\frac{}{\emptyset \vdash \star : \square}$	T_AX
$\frac{\Gamma \vdash \tau : s}{\Gamma, x : \tau \vdash x : \tau}$	T_VAR
$\frac{\Gamma \vdash e : \tau' \quad \Gamma \vdash \tau : s}{\Gamma, x : \tau \vdash e : \tau'}$	T_WEAK
$\frac{\Gamma \vdash e : (\Pi x : \tau'. \tau) \quad \Gamma \vdash e' : \tau'}{\Gamma \vdash e e' : \tau[x \mapsto e']}$	T_APP
$\frac{\Gamma, x : \tau \vdash e : \tau' \quad \Gamma \vdash (\Pi x : \tau. \tau') : s}{\Gamma \vdash (\lambda x : \tau. e) : (\Pi x : \tau. \tau')}$	T_LAM
$\frac{\Gamma \vdash \tau : s \quad \Gamma, x : \tau \vdash \tau' : t}{\Gamma \vdash (\Pi x : \tau. \tau') : t}$	T_PI
$\frac{\Gamma \vdash e : \tau' \quad \Gamma \vdash \tau : s \quad \tau \longrightarrow \tau'}{\Gamma \vdash (\text{fold } [\tau] e) : \tau}$	T_FOLD
$\frac{\Gamma \vdash e : \tau \quad \Gamma \vdash \tau' : s \quad \tau \longrightarrow \tau'}{\Gamma \vdash (\text{unfold } e) : \tau'}$	T_UNFOLD

Figure 4. Typing rules

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## **A. Appendix Title**

Additional proof goes here.