# CSE100: Design and Analysis of Algorithms Lecture 26 – Min Cut and Karger's Algorithm (wrap up)

May 3<sup>rd</sup> 2022

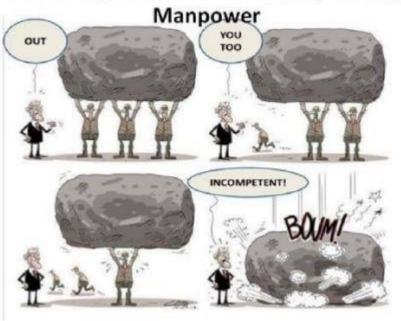
Min Cut, Karger and Karger-Stein's Algorithms,



#### Today

- Minimum Cuts!
  - Karger's algorithm
  - Karger-Stein algorithm

#### When Organizations Cut Cost by Cutting

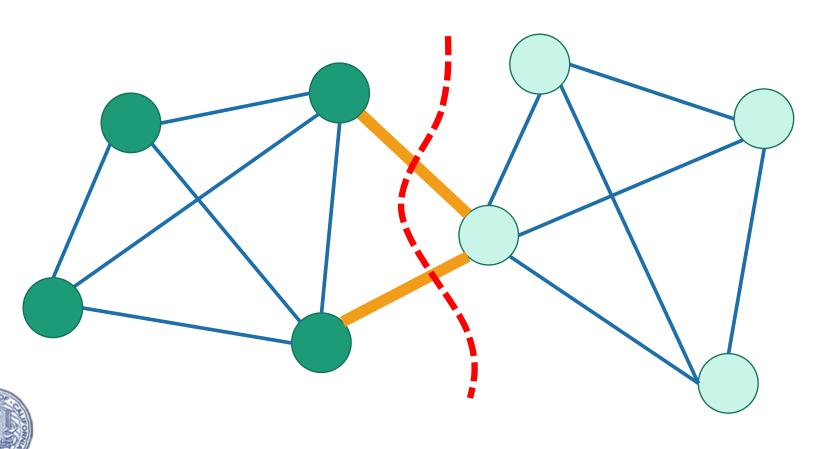


- Back to randomized algorithms!
  - but in a different way than we've seen so far



### A (global) minimum cut (review)

is a cut that has the fewest edges possible crossing it.





### Karger's Algorithm (review)

- Karger( G=(V,E) ):
  - $\Gamma = \{ \text{SuperNode}(v) : v \text{ in } V \} // \text{ one supernode for each vertex } \}$
  - $E_{\overline{u},\overline{v}} = \{(u,v)\}$  for (u,v) in E // one superedge for each edge
  - $E_{\overline{u},\overline{v}} = \{\}$  for (u,v) not in E.
  - F = copy of E // we'll choose randomly from F
  - while  $|\Gamma| > 2$ :
    - (u,v) ← uniformly random edge in F
    - merge( u, v )

// merge the SuperNode containing u with the SuperNode containing v.

- $F \leftarrow F \setminus E_{\overline{u},\overline{v}}$ // remove all the edges in the SuperEdge between those SuperNodes.
- return the cut given by the remaining two superNodes.
- merge( u, v ): // merge also knows about  $\Gamma$  and the  $E_{\overline{u}.\overline{v}}$  's
  - $\overline{x}$  = SuperNode(  $\overline{u} \cup \overline{v}$  ) // create a new supernode
  - for each  $\overline{w}$  in  $\Gamma \setminus \{\overline{u}, \overline{v}\}$ :
    - $E_{\overline{x},\overline{w}} = E_{\overline{u},\overline{w}} \cup E_{\overline{v},\overline{w}}$

Remove  $\overline{u}$  and  $\overline{v}$  from  $\Gamma$  and add  $\overline{x}$ .

TO THE STATE OF TH

Let  $\overline{\boldsymbol{u}}$  denote the SuperNode in  $\Gamma$  containing  $\boldsymbol{u}$ . Say  $E_{\overline{\boldsymbol{u}},\overline{\boldsymbol{v}}}$  is the SuperEdge between  $\overline{\boldsymbol{u}}$ ,  $\overline{\boldsymbol{v}}$ .

total runtime O(n²)

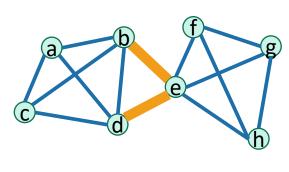
We can do a bit better with fancy data structures, but let's go with this for now.

The **while** loop runs n-2 times

merge takes time O(n) naively

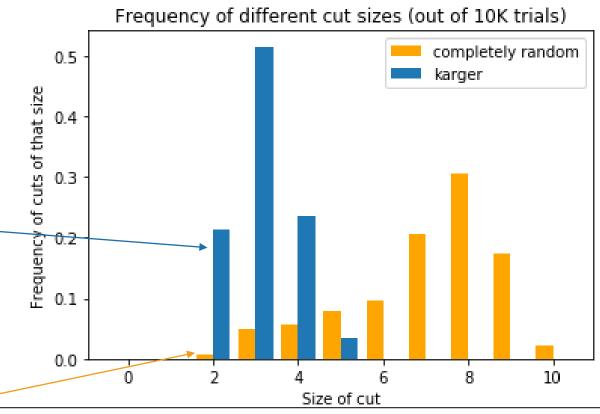
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#### Karger is better than completely random!



Karger's alg. is correct about 20% of the time

Completely random is correct about 0.8% of the time



#### The plan:

- See that 20% chance of correctness is actually nontrivial.
- Use repetition to boost an algorithm that's correct 20% of the time to an algorithm that's correct 99% of the time.



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#### Thought experiment (review)

- Suppose you have a magic button that produces one of 5 numbers, {a,b,c,d,e}, uniformly at random when you push it.
- You don't know what {a,b,c,d,e} are.
- Q: What is the minimum of a,b,c,d,e?



3

2

2

How many times do you have to push the button, in expectation, before you see the minimum value?

What is the probability that you have to push it more than 5 times? 10 times?



#### Let's calculate the probabilities (review)

This is the same calculation we've done a bunch of times:

Number of times

This one we've done less frequently:

• Pr[ t times and don't ] = 
$$(1 - 0.2)^t$$
 ever get the min

• Pr[ Stimes and don't ever get the min 
$$] = (1 - 0.2)^5 \approx 0.33$$

• Pr[ 10 times and don't ] = 
$$(1 - 0.2)^{10} \approx 0.1$$
 ever get the min



#### In this context



• Run Karger's! The cut size is 6!



Run Karger's! The cut size is 3!



Run Karger's! The cut size is 3!



• Run Karger's! The cut size is 2!



Correct!

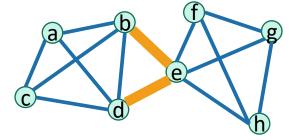


• Run Karger's! The cut size is 5!

If the success probability is about 20%, then if you run Karger's algorithm 5 times and take the best answer you get, that will likely be correct! (with probability about 0.66)

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## For this particular graph



- Repeat Karger's algorithm about 5 times, and we will get a min cut with decent probability.
  - In contrast, we'd have to choose a random cut about 1/0.008 = 125 times!

Hang on! This "20%" figure just came from running experiments on this particular graph. What about general graphs? Can we prove something?



Also, we should be a bit more precise about this "about 5 times" statement.

The plan:

- See that 20% chance of correctness is actually nontrivial.
- Use repetition to boost an algorithm that's correct 20% of the time to an algorithm that's correct most of the time.

Plucky the pedantic penguin

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#### Questions









To generalize this approach to all graphs

1. What is the probability that Karger's algorithm returns a minimum cut?

- 2. How many times should we run Karger's algorithm to "probably" succeed?
  - Say, with probability 0.99?
  - Or more generally, probability  $1 \delta$ ?

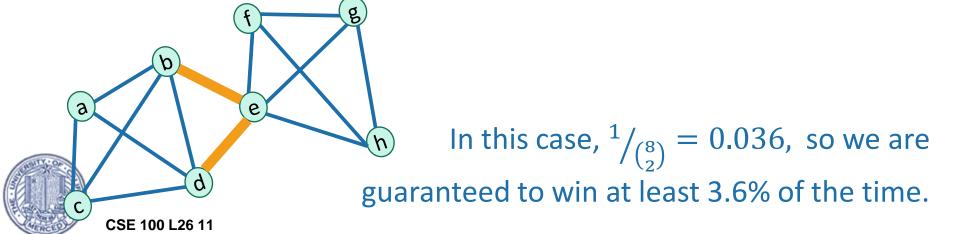


#### Answer to Question 1

#### Claim:

The probability that Karger's algorithm returns a minimum cut is

at least 
$$\frac{1}{\binom{n}{2}}$$



#### Questions



1. What is the probability that Karger's algorithm returns a minimum cut?

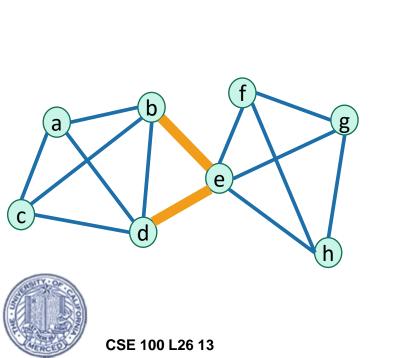
According to the claim, at least 
$$\frac{1}{\binom{n}{2}}$$

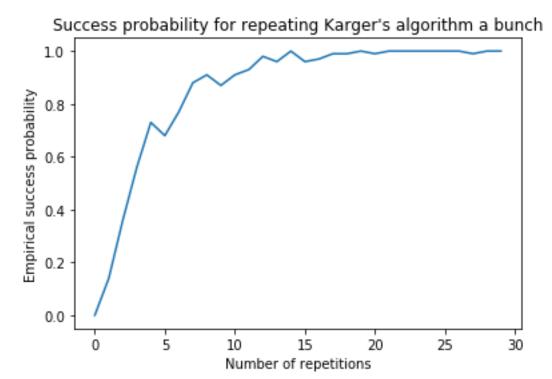
- 2. How many times should we run Karger's algorithm to "probably" succeed?
  - Say, with probability 0.99?
  - Or more generally, probability  $1 \delta$ ?



#### Before we prove the Claim

2. How many times should we run Karger's algorithm to succeed with probability  $1-\delta$ ?





#### A computation

**Punchline:** If we repeat  $T = \binom{n}{2} \ln(1/\delta)$  times, we win with probability at least  $1 - \delta$ .

#### Suppose :

- the probability of successfully returning a minimum cut is  $p \in [0, 1]$ ,
- we want failure probability at most  $\delta \in (0,1)$ .

#### Independent

- Pr[don't return a min cut in T trials] =  $(1 p)^T$
- So p =  $1/\binom{n}{2}$  by the Claim. Let's choose T =  $\binom{n}{2} \ln(1/\delta)$ .
- Pr[don't return a min cut in T trials]

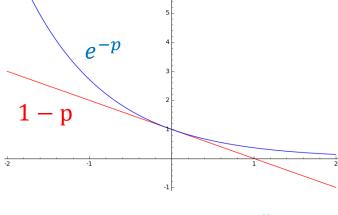
$$\bullet = (1 - p)^T$$

• 
$$\leq (e^{-p})^T$$

$$\bullet = e^{-pT}$$

• = 
$$e^{-\ln(\frac{1}{\delta})}$$

• = 
$$\delta$$





#### Answers



1. What is the probability that Karger's algorithm returns a minimum cut?

According to the claim, at least 
$$\frac{1}{\binom{n}{2}}$$

- 2. How many times should we run Karger's algorithm to "probably" succeed?
  - Say, with probability 0.99?
  - Or more generally, probability  $1 \delta$ ?

$$\binom{n}{2} \ln \left(\frac{1}{\delta}\right)$$
 times.

#### Theorem

Assuming the claim about  $1/\binom{n}{2}$  ...

- Suppose G has n vertices.
- Consider the following algorithm:
  - bestCut = None
  - for  $t = 1, ..., \binom{n}{2} \ln \left(\frac{1}{\delta}\right)$ :
    - candidateCut ← Karger(G)
    - if candidateCut is smaller than bestCut:
      - bestCut ← candidateCut
  - return bestCut
- Then Pr[ this doesn't return a min cut ]  $\leq \delta$ .

How many repetitions would you need if instead of Karger we just chose a uniformly random cut?





## What's the running time?

•  $\binom{n}{2} \ln \left(\frac{1}{\delta}\right)$  repetitions, and  $O(n^2)$  per repetition.

• So, 
$$O\left(n^2 \cdot \binom{n}{2} \ln\left(\frac{1}{\delta}\right)\right) = O(n^4)$$
 Treating  $\delta$  as constant.

Again we can do better with a union-find data structure. Write pseudocode for—or better yet, implement—a fast version of Karger's algorithm! How fast can you make the asymptotic running time?





#### Theorem

Assuming the claim about  $1/\binom{n}{2}$  ...

Suppose G has n vertices. Then [repeating Karger's algorithm] finds a min cut in G with probability at least 0.99 in time O(n<sup>4</sup>).



Now let's prove the claim...

#### Claim

The probability that Karger's algorithm returns a minimum cut is

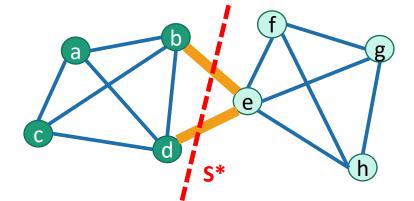
at least 
$$\frac{1}{\binom{n}{2}}$$



- Suppose the edges that we choose are  $e_1$ ,  $e_2$ , ...,  $e_{n-2}$
- PR[ return S\* ] = PR[ none of the e<sub>i</sub> cross S\* ]
  - = **PR**[ e<sub>1</sub> doesn't cross S\* ]
    - $\times$  **PR**[ e<sub>2</sub> doesn't cross S\* | e<sub>1</sub> doesn't cross S\* ]

• • •

 $\times$  **PR**[  $e_{n-2}$  doesn't cross S\* |  $e_1$ ,..., $e_{n-3}$  don't cross S\* ]

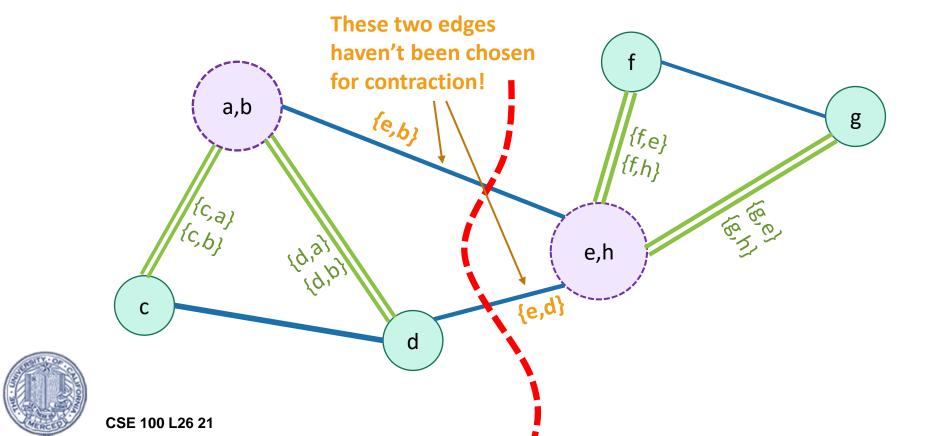




#### Focus in on:

$$PR[e_j doesn't cross S^* | e_1,...,e_{j-1} don't cross S^*]$$

- Suppose: After j-1 iterations, we haven't messed up yet!
- What's the probability of messing up now?



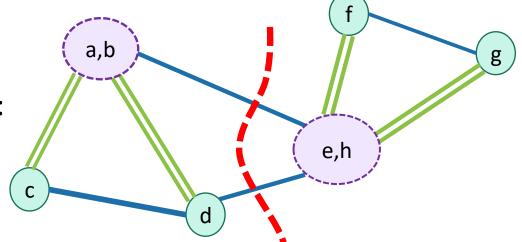
#### Focus in on:

$$PR[e_j doesn't cross S^* | e_1,...,e_{j-1} don't cross S^*]$$

- Suppose: After j-1 iterations, we haven't messed up yet!
- What's the probability of messing up now?
- Say there are k edges that cross S\*
- Every supernode has at least k (original) edges coming out.
  - Otherwise we'd have a smaller cut.
- Thus, there are at least (n-j+1)k/2 edges total.
  - b/c there are n j + 1 supernodes left, each with k edges.

So the probability that we choose one of the k edges crossing S\* at step j is at most:

$$\frac{k}{\binom{(n-j+1)k}{2}} = \frac{2}{n-j+1}$$



#### Focus in on:

$$PR[e_j doesn't cross S^* | e_1,...,e_{j-1} don't cross S^*]$$

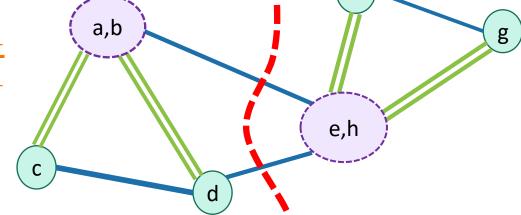
 So the probability that we choose one of the k edges crossing S\* at step j is at most:

$$\frac{k}{\left(\frac{(n-j+1)k}{2}\right)} = \frac{2}{n-j+1}$$

The probability we don't choose one of the k edges is at least:

$$1 - \frac{2}{n-j+1} = \frac{n-j-1}{n-j+1}$$

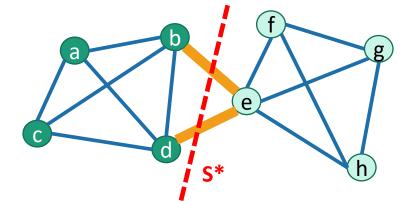




- Suppose the edges that we choose are  $e_1$ ,  $e_2$ , ...,  $e_{n-2}$
- PR[ return S\* ] = PR[ none of the e<sub>i</sub> cross S\* ]
  - = **PR**[ e<sub>1</sub> doesn't cross S\* ]
    - $\times$  **PR**[ e<sub>2</sub> doesn't cross S\* | e<sub>1</sub> doesn't cross S\* ]

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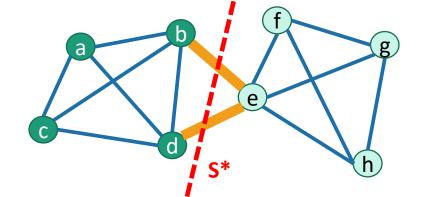
 $\times$  **PR**[  $e_{n-2}$  doesn't cross S\* |  $e_1$ ,..., $e_{n-3}$  don't cross S\* ]





- Suppose the edges that we choose are  $e_1$ ,  $e_2$ , ...,  $e_{n-2}$
- PR[ return S\* ] = PR[ none of the e<sub>i</sub> cross S\* ]

$$= \left(\frac{n-2}{n}\right) \left(\frac{n-3}{n-1}\right) \left(\frac{n-4}{n-2}\right) \left(\frac{n-5}{n-3}\right) \left(\frac{n-6}{n-4}\right) \cdots \left(\frac{4}{6}\right) \left(\frac{3}{5}\right) \left(\frac{2}{4}\right) \left(\frac{1}{3}\right)$$





- Suppose the edges that we choose are  $e_1$ ,  $e_2$ , ...,  $e_{n-2}$
- PR[ return S\* ] = PR[ none of the e<sub>i</sub> cross S\* ]

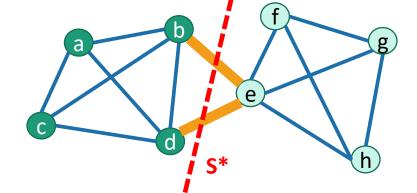
$$= \left(\frac{n-2}{n}\right) \left(\frac{n-3}{n-1}\right) \left(\frac{n-4}{n-2}\right) \left(\frac{n-5}{n-3}\right) \left(\frac{n-6}{n-4}\right) \cdots \left(\frac{4}{6}\right) \left(\frac{3}{5}\right) \left(\frac{2}{4}\right) \left(\frac{1}{3}\right)$$

$$= \left(\frac{2}{n(n-1)}\right)$$

$$= 1$$

 $=\frac{1}{\binom{n}{2}}$ 

PROVED





#### Theorem

Assuming the claim about  $1/\binom{n}{2}$  ...

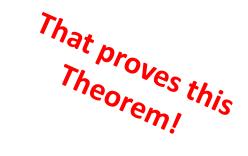
Suppose G has n vertices. Then [repeating Karger's algorithm] finds a min cut in G with probability at least 0.99 in time O(n<sup>4</sup>).

That proves this Theorem!



#### Theorem

#### Assuming the claim about $1/\binom{n}{2}$ ...



- Suppose G has n vertices.
- Consider the following algorithm:
  - bestCut = None
  - for  $t = 1, ..., \binom{n}{2} \ln \left(\frac{1}{\delta}\right)$ :
    - candidateCut ← Karger(G)

// independent randomness

- if candidateCut is smaller than bestCut:
  - bestCut ← candidateCut
- return bestCut
- Then Pr[ this doesn't return a min cut ]  $\leq \delta$ .



#### What have we learned?

- If we randomly contract edges:
  - It's unlikely that we'll end up with a min cut.
  - But it's not TOO unlikely
  - By repeating, we likely will find a min cut.

Here I chose  $\delta = 0.01$  just for concreteness.

- Repeating this process:
  - Finds a global min cut in time O(n4), with probability 0.99.
  - We can run a bit faster if we use a union-find data structure.



\*Note, in the lecture notes, we take  $\delta = 1/n$ , which makes the running time  $O(n^4log(n))$ . It depends on how sure you want to be!

#### More generally

- If we have a Monte-Carlo algorithm with a small success probability,
- and we can check how good a solution is,
- Then we can **boost** the success probability by repeating it a bunch and taking the best solution.



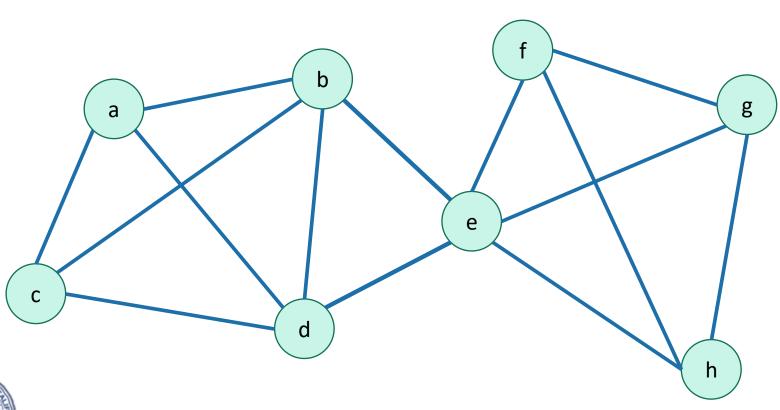


#### Can we do better?

- Repeating O(n²) times is pretty expensive.
  - O(n<sup>4</sup>) total runtime to get success probability 0.99.
- The Karger-Stein Algorithm will do better!
  - The trick is that we'll do the repetitions in a clever way.
  - O( n²log²(n) ) runtime for the same success probability.
  - Warning! This is a tricky algorithm! We'll sketch the approach here: the important part is the high-level idea, not the details of the computations.

To see how we might save on repetitions, let's run through Karger's algorithm again.

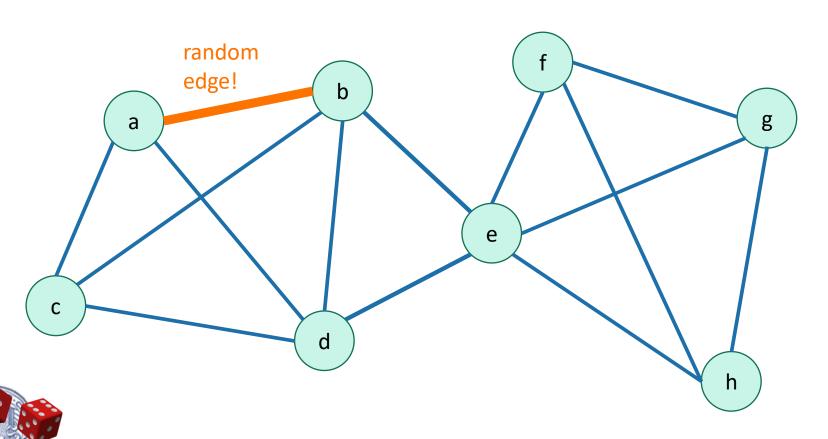




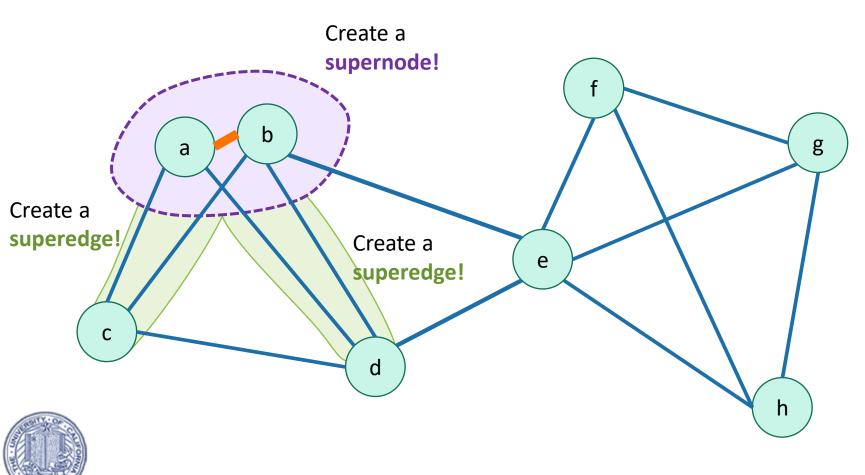
Probability that we didn't mess up:

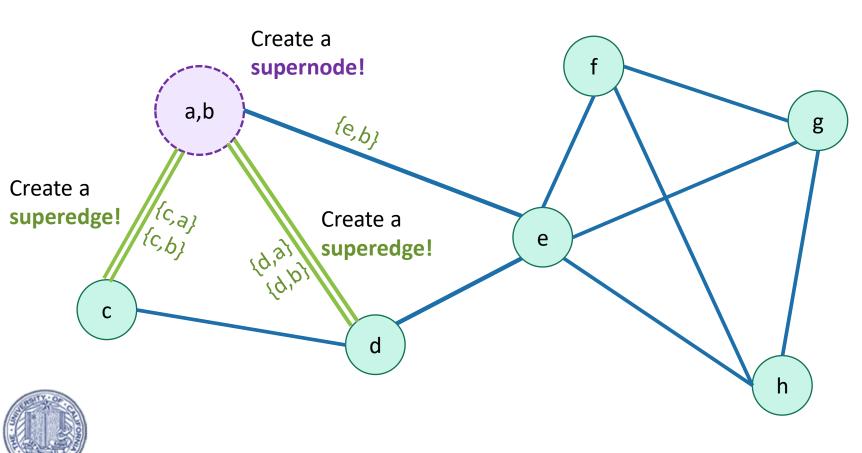
12/14

There are 14 edges, 12 of which are good to contract.



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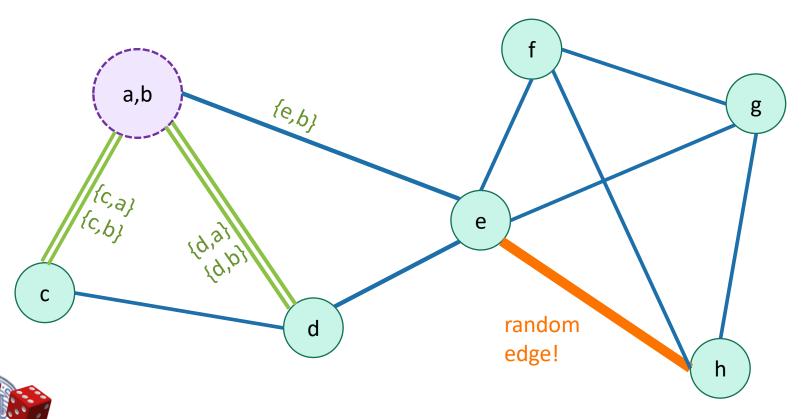




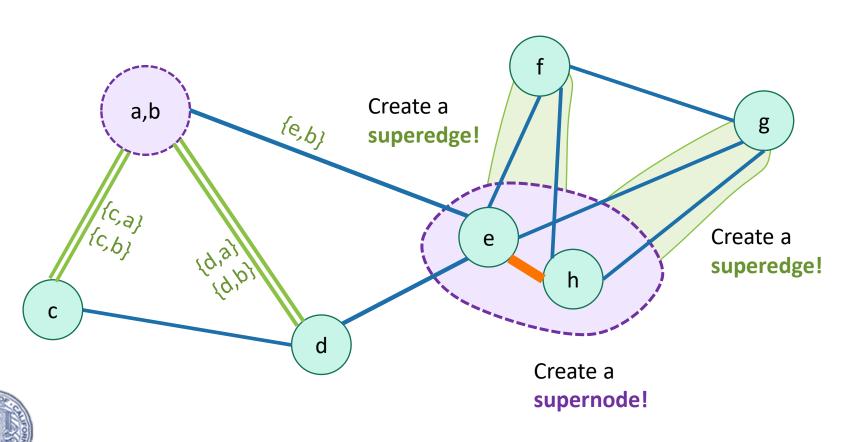
Probability that we didn't mess up:

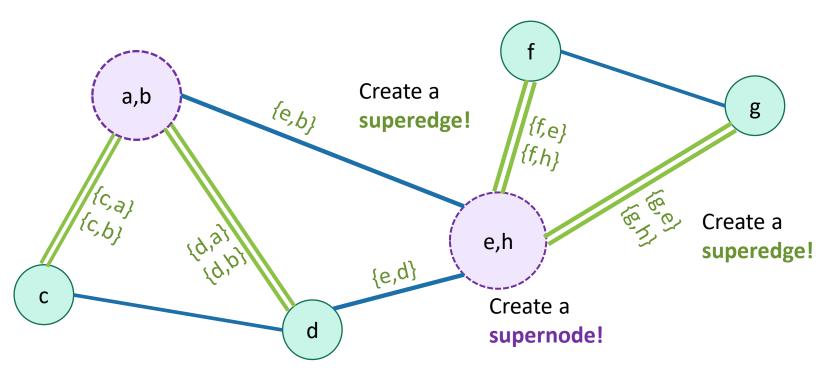
11/13

Now there are only 13 edges, since the edge between a and b disappeared.



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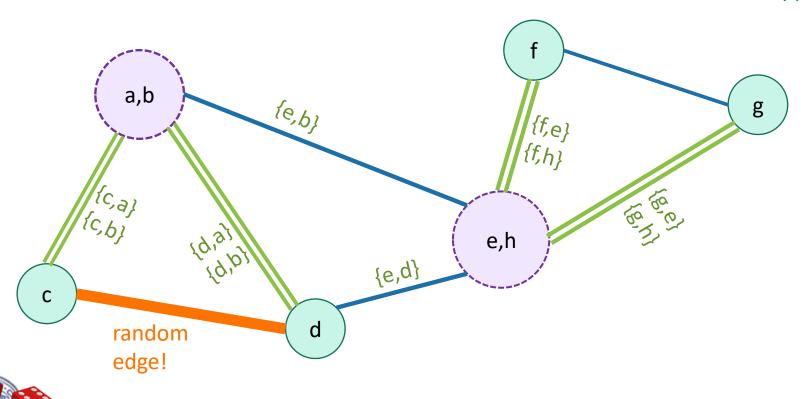




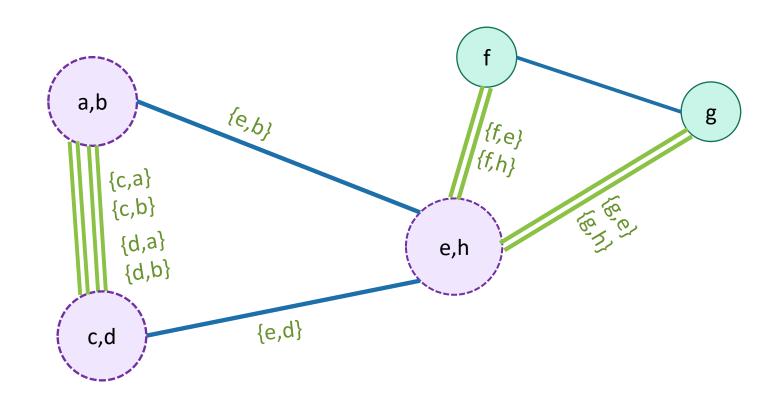
Probability that we didn't mess up:

10/12

Now there are only 12 edges, since the edge between e and h disappeared.







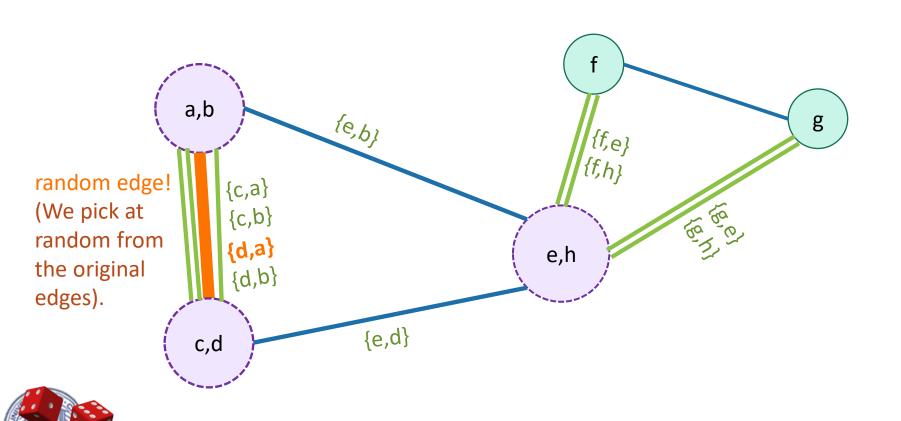


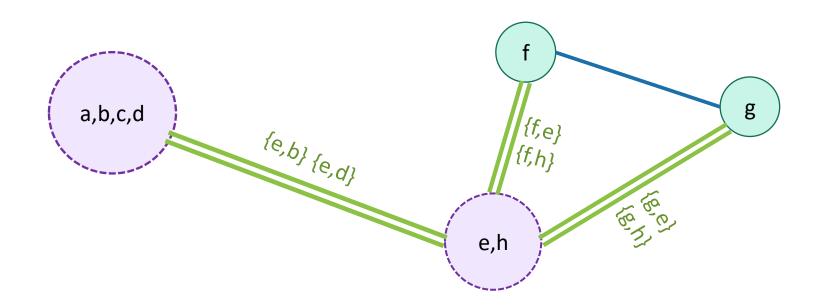
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Probability that we didn't mess up:

9/11

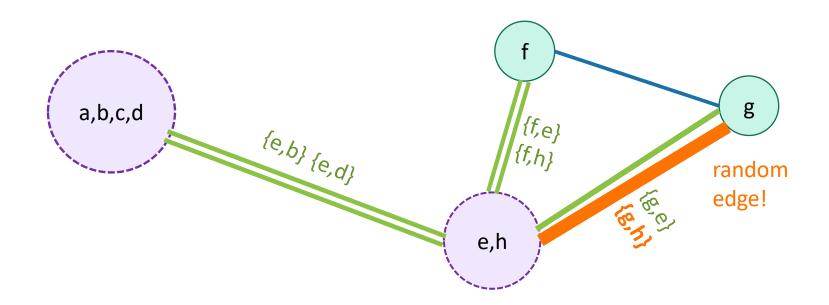




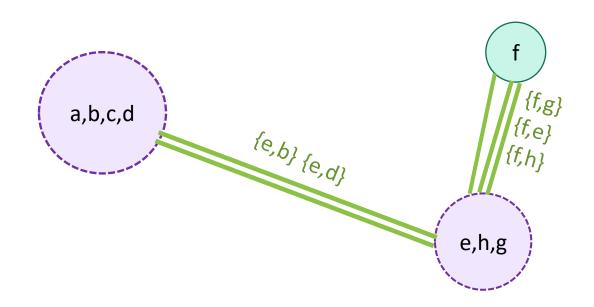


Probability that we didn't mess up:

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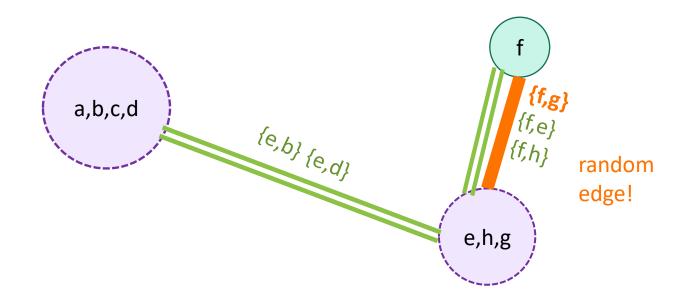




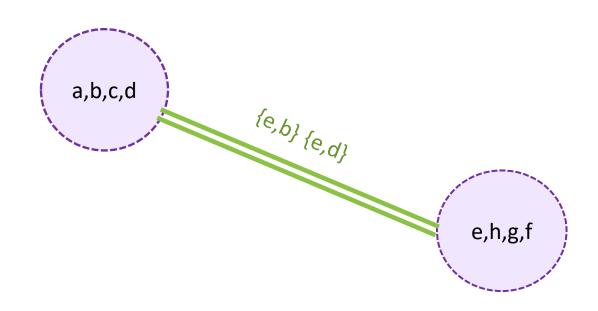


Probability that we didn't mess up:

3/5



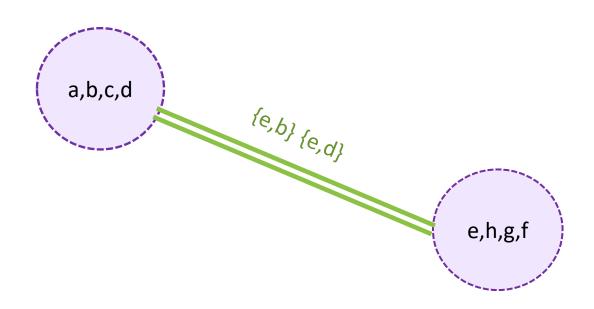






#### Now stop!

• There are only two nodes left.



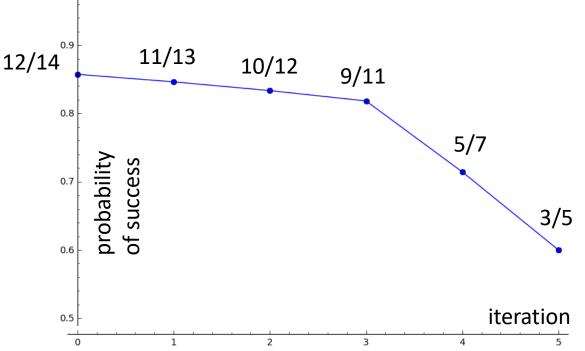


#### Probability of not messing up

At the beginning, it's pretty likely we'll be fine.

The probability that we mess up gets worse and

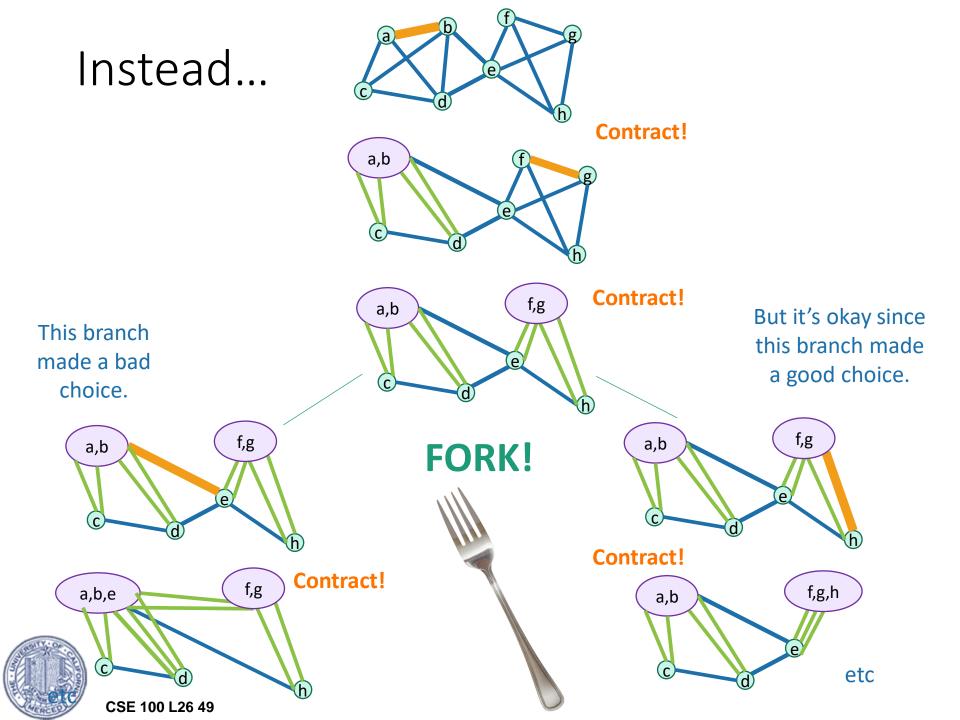
worse over time.



#### Moral:

Repeating the stuff from the beginning of the algorithm is wasteful!





#### In words

- Run Karger's algorithm on G for a bit.
  - Until there are  $\frac{n}{\sqrt{2}}$  supernodes left.
- Then split into two independent copies, G<sub>1</sub> and G<sub>2</sub>

Why 1/2? We'll see later.

- Run Karger's algorithm on each of those for a bit.
  - Until there are  $\frac{\left(\frac{n}{\sqrt{2}}\right)}{\sqrt{2}} = \frac{n}{2}$  supernodes left in each.
- Then split each of those into two independent copies...



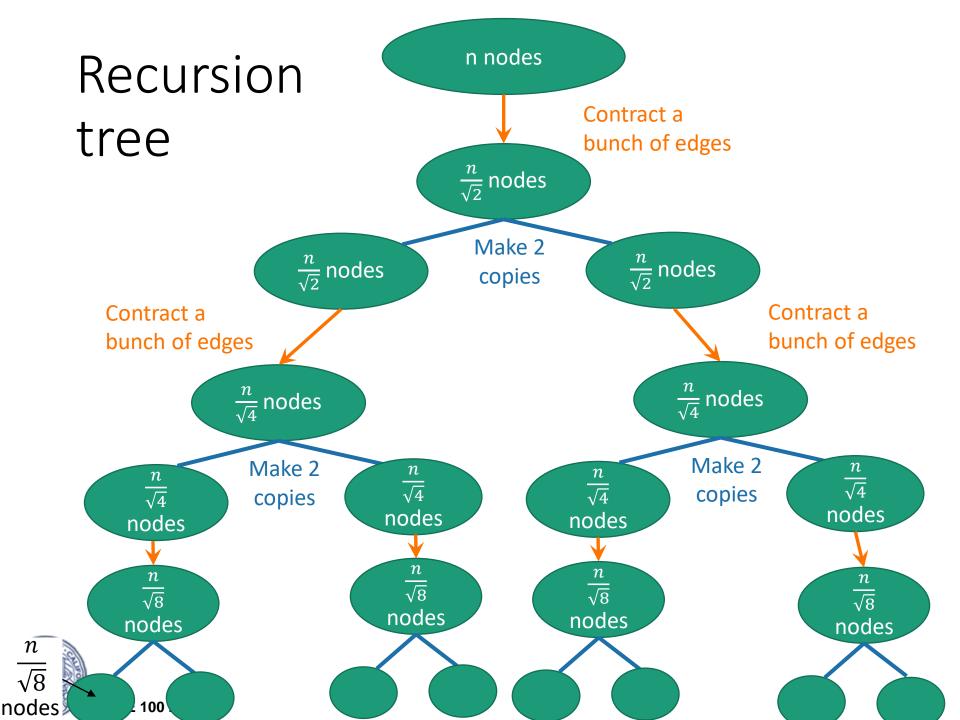
#### In pseudocode

- KargerStein(G = (V,E)):
  - n ← |V|
  - if n < 4:
    - find a min-cut by brute force

\\ time O(1)

- Run Karger's algorithm on G with independent repetitions until  $\left|\frac{n}{\sqrt{2}}\right|$  nodes remain.
- G<sub>1</sub>, G<sub>2</sub> ← copies of what's left of G
- S<sub>1</sub> = KargerStein(G<sub>1</sub>)
- S<sub>2</sub> = KargerStein(G<sub>2</sub>)
- return whichever of S<sub>1</sub>, S<sub>2</sub> is the smaller cut.

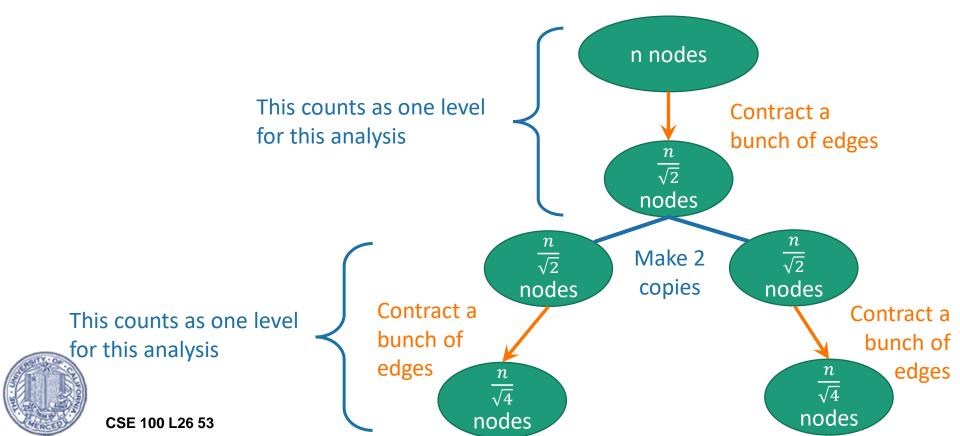




#### Recursion tree

• depth is 
$$\log_{\sqrt{2}}(n) = \frac{\log(n)}{\log(\sqrt{2})} = 2\log(n)$$

• number of leaves is  $2^{2\log(n)} = n^2$ 



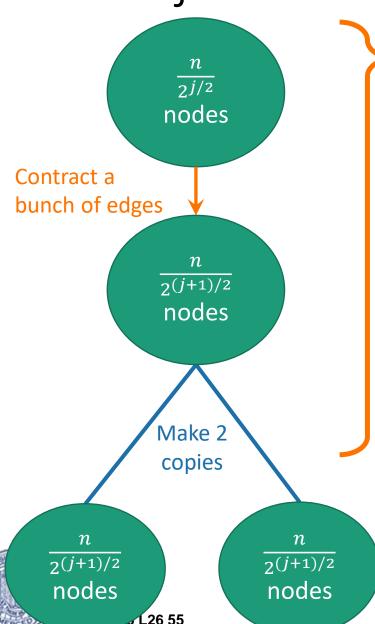
#### Two questions

• Does this work?

• Is it fast?



At the j<sup>th</sup> level



- The amount of work per level is the amount of work needed to reduce the number of nodes by a factor of  $\sqrt{2}$ .
- That's at most O(n²).
  - since that's the time it takes to run Karger's algorithm once, cutting down the number of supernodes to two.
- Our recurrence relation is...

$$T(n) = 2T(n/\sqrt{2}) + O(n^2)$$

The Master Theorem says...

$$T(n) = O(n^2 \log(n))$$

Jedi Master Yoda

#### Two questions

• Does this work?



- Is it fast?
  - Yes,  $T(n) = O(n^2 \log(n))$



## Why $n/\sqrt{2}$ ?

Suppose the first n-t edges that we choose are

- **PR**[ none of e<sub>1</sub>, e<sub>2</sub>, ..., e<sub>n-t</sub> cross S\*]
  - = **PR**[ e<sub>1</sub> doesn't cross S\* ]
    - $\times$  **PR**[ e<sub>2</sub> doesn't cross S\* | e<sub>1</sub> doesn't cross S\* ]
    - • •
    - $\times$  **PR**[  $e_{n-t}$  doesn't cross S\* |  $e_1$ ,..., $e_{n-t-1}$  don't cross S\* ]



## Suppose we contract n – t edges, until there are t supernodes remaining.

# Why $n/\sqrt{2}$ ?

Suppose the first n-t edges that we choose are

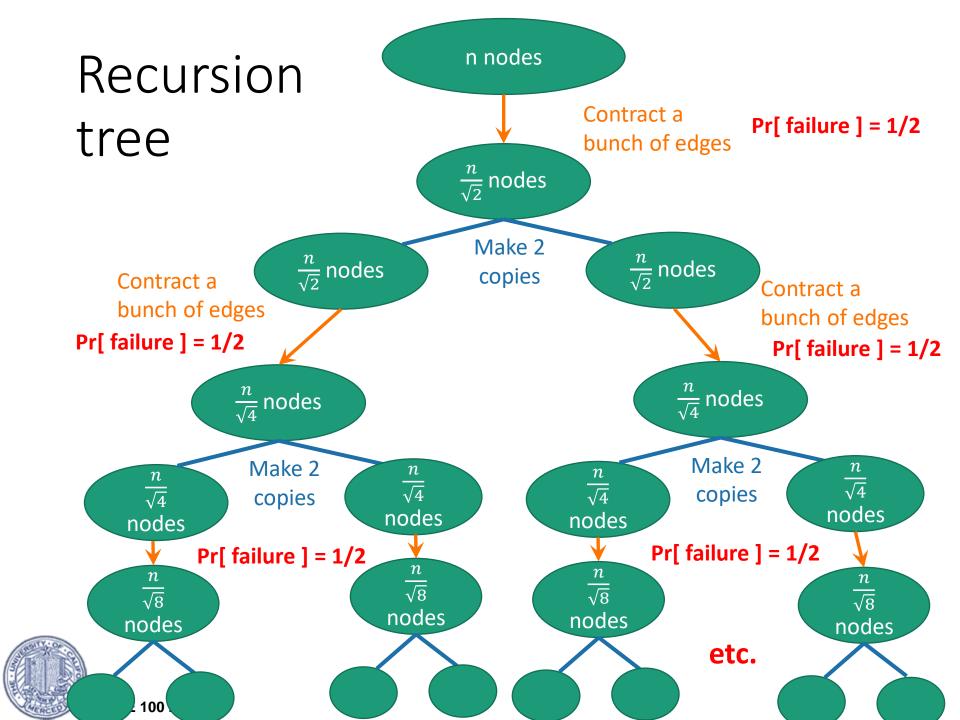
• **PR**[ none of e<sub>1</sub>, e<sub>2</sub>, ..., e<sub>n-t</sub> cross S\*]

$$= \left(\frac{n-2}{n}\right) \left(\frac{n-3}{n-1}\right) \left(\frac{n-4}{n-2}\right) \left(\frac{n-5}{n-3}\right) \left(\frac{n-6}{n-4}\right) \cdots \left(\frac{t+1}{t+3}\right) \left(\frac{t}{t+2}\right) \left(\frac{t-1}{t+1}\right)$$

$$= \frac{t \cdot (t-1)}{n \cdot (n-1)} \quad \text{Choose } t = n/\sqrt{2}$$

$$= \frac{\frac{n}{\sqrt{2}} \cdot \left(\frac{n}{\sqrt{2}} - 1\right)}{n \cdot (n - 1)} \approx \frac{1}{2}$$
 when n is large





## Probability of success

Is the probability that there's a path from the root to a leaf with no failures.

 $\frac{n}{\sqrt{4}}$ 

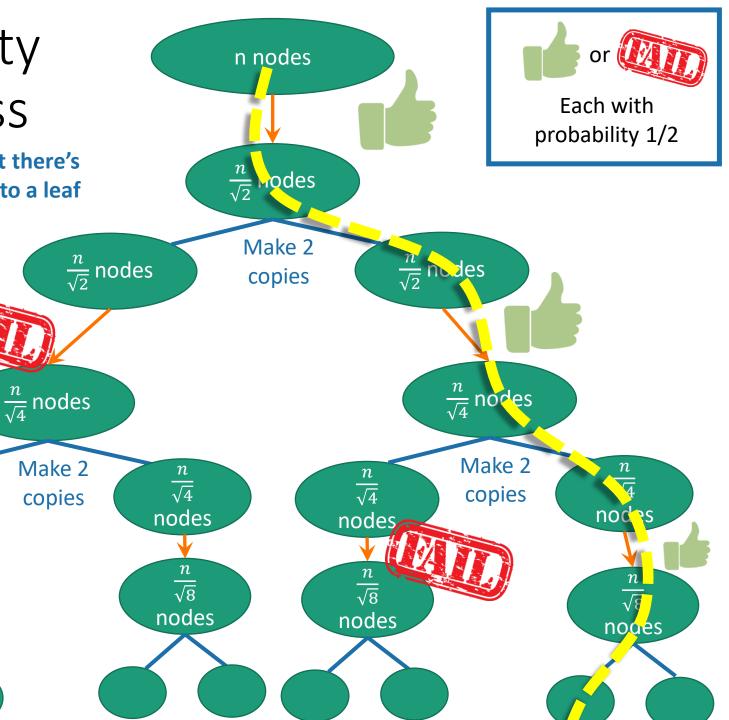
nodes

 $\frac{n}{\sqrt{8}}$ 

nodes

100

copies



#### The problem we need to analyze

- Let T be binary tree of depth 2log(n)
- Each node of T succeeds or fails independently with probability 1/2
- What is the probability that there's a path from the root to any leaf that's entirely successful?



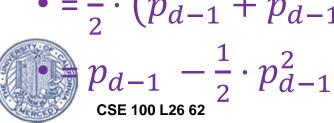
#### Analysis

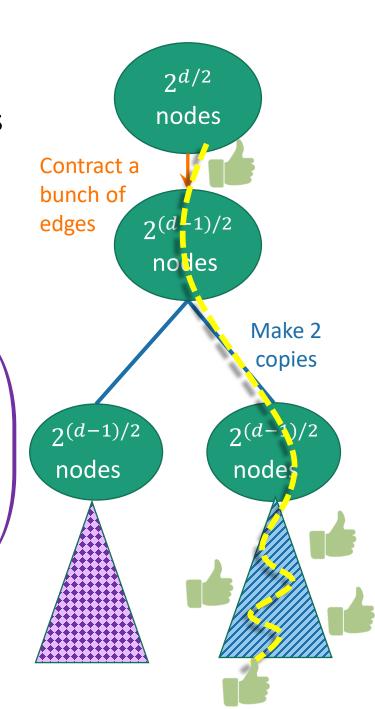
- Say the tree has height d.
- Let  $p_d$  be the probability that there's a path from the root to a leaf that doesn't fail.

• 
$$p_d = \frac{1}{2} \cdot \Pr$$
 at least one subtree has a successful path

$$\bullet = \frac{1}{2} \cdot \left( \begin{array}{c} Pr \left[ \begin{array}{c} A \\ A \end{array} \right] + Pr \left[ \begin{array}{c} A \\ A \end{array} \right] \\ -Pr \left[ \begin{array}{c} A \\ A \end{array} \right]$$
 both win

• = 
$$\frac{1}{2} \cdot (p_{d-1} + p_{d-1} - p_{d-1}^2)$$





#### It's a recurrence relation!

• 
$$p_d = p_{d-1} - \frac{1}{2} \cdot p_{d-1}^2$$

•  $p_0 = 1$ 

- We are real good at those.
- In this case, the answer is:
  - Claim: for all d,  $p_d \ge \frac{1}{d+1}$

Prove this! (Or see next slide for a proof).



Siggi the Studious Stork



#### Recurrence relation

• 
$$p_d = p_{d-1} - \frac{1}{2} \cdot p_{d-1}^2$$

• 
$$p_0 = 1$$

• Claim: for all d, 
$$p_d \ge \frac{1}{d+1}$$

- Proof: induction on d.
  - Base case:  $1 \ge 1$ . YEP.
  - Inductive step: say d > 0.
    - Suppose that  $p_{d-1} \ge \frac{1}{d}$ .

• 
$$p_d = p_{d-1} - \frac{1}{2} \cdot p_{d-1}^2$$

$$\bullet \qquad \geq \frac{1}{d} - \frac{1}{2} \cdot \frac{1}{d^2}$$

$$\geq \frac{1}{d} - \frac{1}{2} \cdot \frac{1}{d^2}$$

$$\geq \frac{1}{d} - \frac{1}{d(d+1)}$$

• 
$$=\frac{1}{d+1}$$



This slide skipped in class

#### What does that mean for Karger-Stein?

Claim: for all d, 
$$p_d \ge \frac{1}{d+1}$$

- For d = 2log(n)
  - that is, d = the height of the tree:

$$p_{2\log(n)} \ge \frac{1}{2\log(n) + 1}$$

aka,

Pr[Karger-Stein is successful] = 
$$\Omega\left(\frac{1}{\log(n)}\right)$$



#### Altogether now



- We can do the same trick as before to amplify the success probability.
  - Run Karger-Stein  $O\left(\log(n)\cdot\log\left(\frac{1}{\delta}\right)\right)$  times to achieve success probability  $1-\delta$ .
- Each iteration takes time  $O(n^2 \log(n))$ 
  - That's what we proved before.
- Choosing  $\delta=0.01$  as before, the total runtime is



$$O(n^2 \log(n) \cdot \log(n)) = O(n^2 \log^2(n))$$
Much better than  $O(n^4)!$ 

#### What have we learned?

- Just repeating Karger's algorithm isn't the best use of repetition.
  - We're probably going to be correct near the beginning.
- Instead, Karger-Stein repeats when it counts.
  - If we wait until there are  $\frac{n}{\sqrt{2}}$  nodes left, the probability that we fail is close to  $\frac{1}{2}$ .

 This lets us (probably) find a global minimum cut in an undirected graph in time O(n² log²(n)).



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 Notice that we can't do better than n<sup>2</sup> in a dense graph (we need to look at all the edges), so this is pretty good.

#### Recap

- Some algorithms:
  - Karger's algorithm for global min-cut
  - Improvement: Karger-Stein
- Some concepts:
  - Monte Carlo algorithms:
    - Might be wrong, are always fast.
  - We can boost their success probability with repetition.
  - Sometimes we can do this repetition very cleverly.



#### Next time

- Another sort of min-cut:
  - S-t min-cut
  - Also max-flow!

