CSE100: Design and Analysis of Algorithms Lecture 21 – Dynamic Programming (wrap up) and More Dynamic Programming

Apr 12th 2022

Bellman-Ford, Floyd-Warshall, Longest Common Subsequences, Knapsack, and (if time) Independent Sets in Trees



Bellman-Ford* algorithm (review)

Bellman-Ford*(G,s):

G = (V,E) is a graph with n vertices and m edges.

- Initialize arrays d⁽⁰⁾,...,d⁽ⁿ⁻¹⁾ of length n
- $d^{(0)}[v] = \infty$ for all v in V
- $d^{(0)}[s] = 0$
- **For** i=0,...,n-2:
 - **For** v in V:
 - $d^{(i+1)}[v] \leftarrow \min(d^{(i)}[v], \min_{u \in V \in Nbrs} \{d^{(i)}[u] + w(u,v)\})$
- Now, dist(s,v) = $d^{(n-1)}[v]$ for all v in V.



Today (part 1)

- Bellman-Ford (wrap up)
- Bellman-Ford is a special case of *Dynamic Programming!*
- What is dynamic programming?
 - Warm-up example: Fibonacci numbers
- Another example:
 - Floyd-Warshall Algorithm



Bellman-Ford take-aways

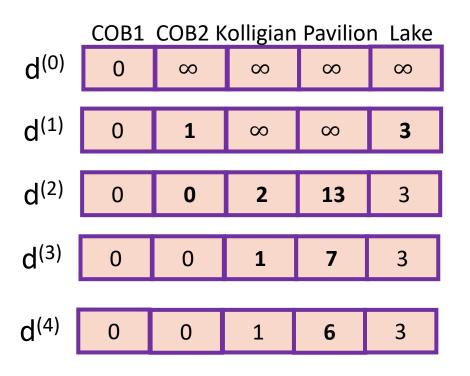
- Running time is O(mn)
 - For each of n rounds, update m edges.
- Works fine with negative edges.
- Does not work with negative cycles.
 - But it can detect negative cycles!

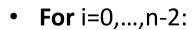
Go through the slides, or CLRS, and understand how to modify Bellman-Ford to handle negative cycles!





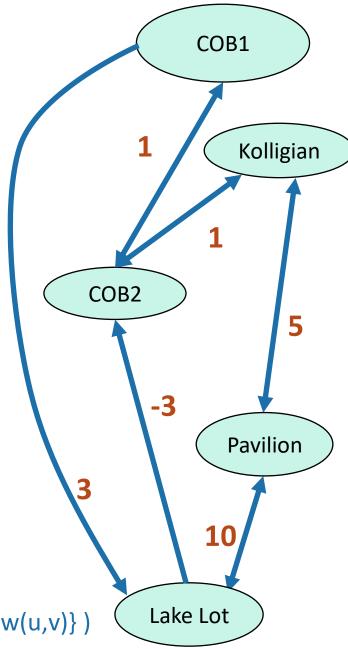
Negative edge weights



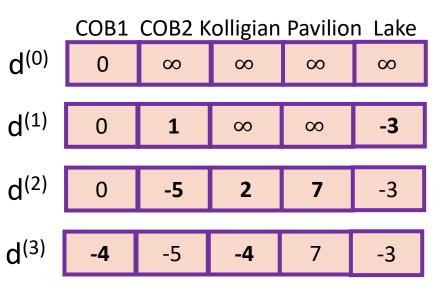


• **For** v in V:

• $d^{(i+1)}[v] \leftarrow \min(d^{(i)}[v], \min_{u \text{ in } v. \text{inNbrs}} \{d^{(i)}[u] + w(u,v)\})$



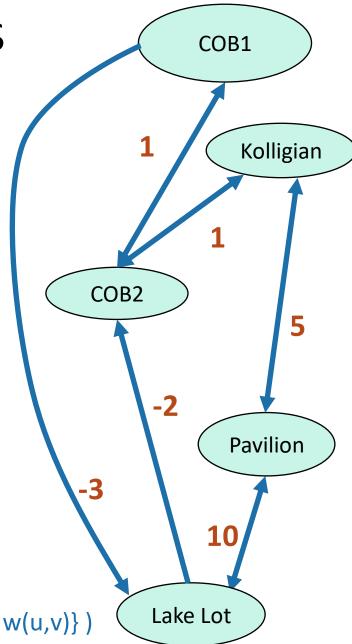
B-F with negative cycles



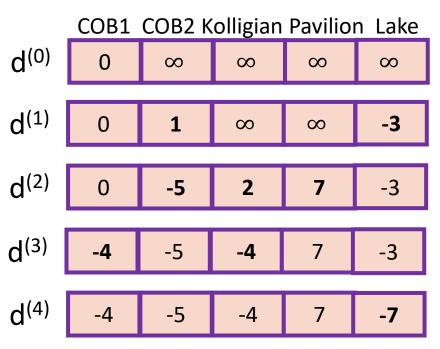
This is not looking good!

- **For** i=0,...,n-2:
 - **For** v in V:





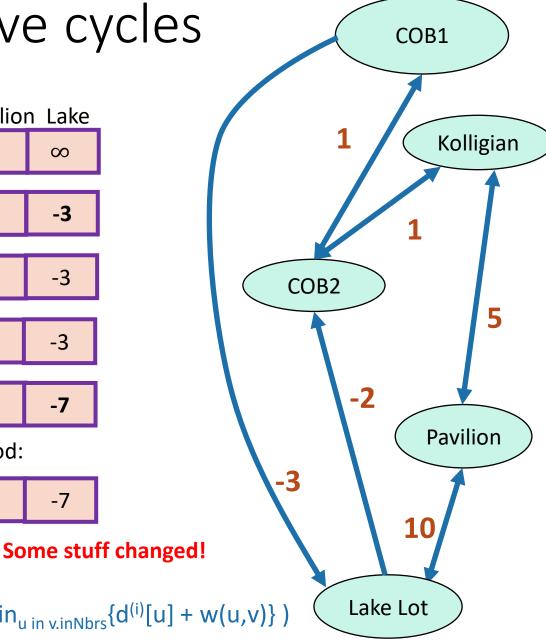
B-F with negative cycles



But we can tell that it's not looking good:

- **For** i=0,...,n-2:
 - For v in V:

• $d^{(i+1)}[v] \leftarrow \min(d^{(i)}[v], \min_{u \text{ in } v. \text{inNbrs}} \{d^{(i)}[u] + w(u,v)\})$



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How Bellman-Ford deals with negative cycles

- If there are no negative cycles:
 - Everything works as it should.
 - The algorithm stabilizes after n-1 rounds.
 - Note: Negative edges are okay!!
- If there are negative cycles:
 - Not everything works as it should...
 - Note: it couldn't possibly work, since shortest paths aren't welldefined if there are negative cycles.
 - The d[v] values will keep changing.
- Solution:
 - Go one round more and see if things change.
 - If so, return NEGATIVE CYCLE ⊗
 - (Pseudocode on next slide)



Bellman-Ford algorithm

Bellman-Ford*(G,s):

- $d^{(0)}[v] = \infty$ for all v in V
- $d^{(0)}[s] = 0$
- **For** i=0,...,n-1:
 - **For** v in V:
 - $d^{(i+1)}[v] \leftarrow \min(d^{(i)}[v], \min_{u \text{ in } v. \text{inNeighbors}} \{d^{(i)}[u] + w(u,v)\})$
- If $d^{(n-1)} != d^{(n)}$:
 - Return NEGATIVE CYCLE (S)
- Otherwise, dist(s,v) = d⁽ⁿ⁻¹⁾[v]



Running time: O(mn)

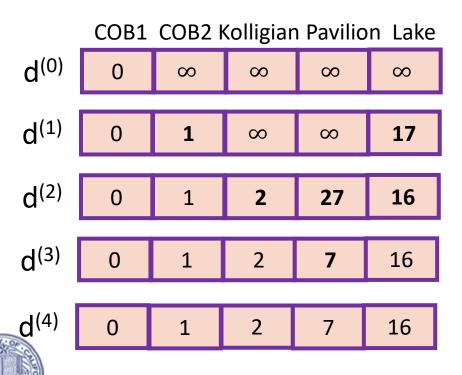
Summary

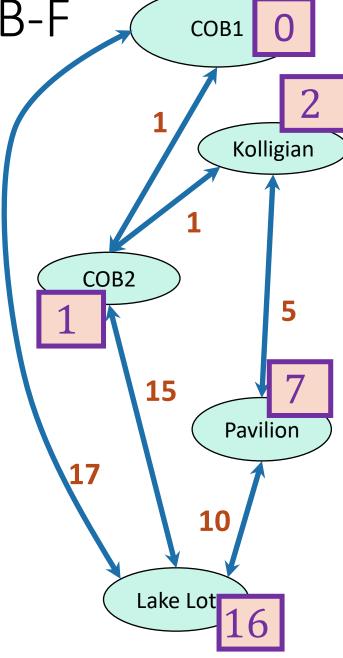
- The Bellman-Ford algorithm:
 - Finds shortest paths in weighted graphs with negative edge weights
 - runs in time O(nm) on a graph G with n vertices and m edges.
- If there are no negative cycles in G:
 - the BF algorithm terminates with $d^{(n-1)}[v] = d(s,v)$.
- If there are negative cycles in G:
 - the BF algorithm returns negative cycle.



Important thing about B-F for the rest of this lecture

d⁽ⁱ⁾[v] is equal to the cost of the shortest path between s and v with at most i edges.





Bellman-Ford is an example of...

Dynamic Programming!

Today:



- Example of Dynamic programming:
 - Fibonacci numbers
 - (And Bellman-Ford)
- What is dynamic programming, exactly?
 - And why is it called "dynamic programming"?
- Another example: Floyd-Warshall algorithm
 - An "all-pairs" shortest path algorithm



How not to compute Fibonacci Numbers

Definition:

- F(n) = F(n-1) + F(n-2), with F(0) = F(1) = 1.
- The first several are:
 - 1
 - 1
 - 2
 - 3
 - 5
 - 8
 - 13, 21, 34, 55, 89, 144,...
- Question:
 - Given n, what is F(n)?

Candidate algorithm

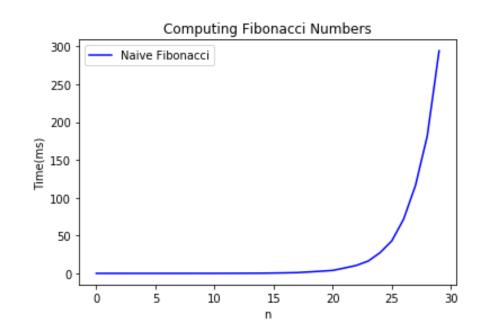
See CLRS Problem 4-4 for a walkthrough of how fast the Fibonacci numbers grow!



- **def** Fibonacci(n):
 - **if** n == 0 or n == 1:
 - return 1
 - return Fibonacci(n-1) + Fibonacci(n-2)

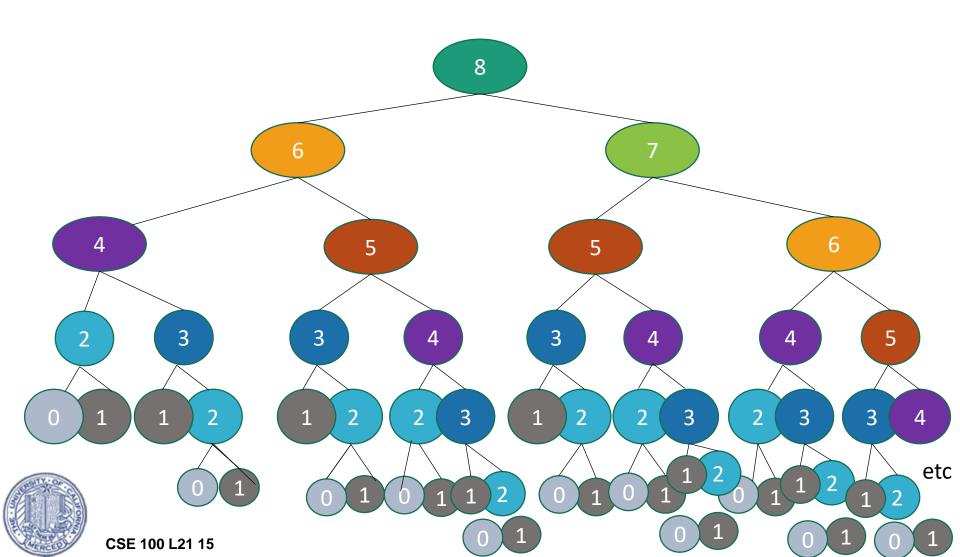
Running time?

- T(n) = T(n-1) + T(n-2) + O(1)
- $T(n) \ge T(n-1) + T(n-2)$ for $n \ge 2$
- So T(n) grows at least as fast as the Fibonacci numbers themselves...
- Fun fact, that's like ϕ^n where $\phi = \frac{1+\sqrt{5}}{2}$ is the golden ratio.
- aka, EXPONENTIALLY QUICKLY (8)

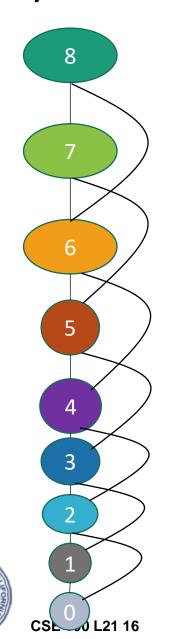


What's going on? Consider Fib(8)

That's a lot of repeated computation!



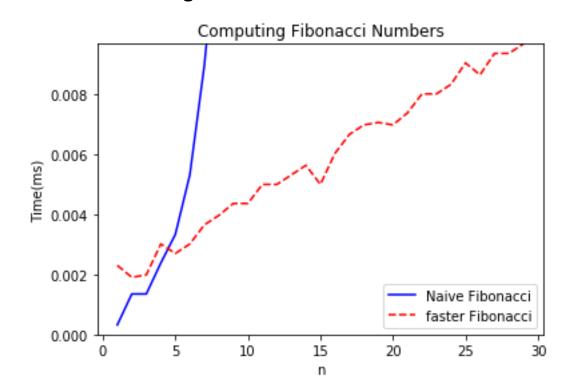
Maybe this would be better:



def fasterFibonacci(n):

- F = [1, 1, None, None, ..., None]
 - \\ F has length n + 1
- for i = 2, ..., n:
 - F[i] = F[i-1] + F[i-2]
- return F[n]

Much better running time!



This was an example of...





What is *dynamic programming*?

- It is an algorithm design paradigm
 - like divide-and-conquer is an algorithm design paradigm.
- Usually it is for solving optimization problems
 - E.g., *shortest* path
 - (Fibonacci numbers aren't an optimization problem, but they are a good example...)



Elements of dynamic programming

1. Optimal sub-structure:

- Big problems break up into sub-problems.
 - Fibonacci: F(i) for $i \leq n$
 - Bellman-Ford: Shortest paths with at most i edges for $i \le n$
- The solution to a problem can be expressed in terms of solutions to smaller sub-problems.
 - Fibonacci:

$$F(i+1) = F(i) + F(i-1)$$

Bellman-Ford:

$$d^{(i+1)}[v] \leftarrow \min\{d^{(i)}[v], \min_{u} \{d^{(i)}[u] + weight(u,v)\}\}$$



Shortest path with at most i edges from s to v

Shortest path with at most i edges from s to u.

Elements of dynamic programming

2. Overlapping sub-problems:

- The sub-problems overlap.
 - Fibonacci:
 - Both F[i+1] and F[i+2] directly use F[i].
 - And lots of different F[i+x] indirectly use F[i].
 - Bellman-Ford:
 - Many different entries of d(i+1) will directly use d(i)[v].
 - And lots of different entries of d^(i+x) will indirectly use d⁽ⁱ⁾[v].
 - This means that we can save time by solving a sub-problem just once and storing the answer.



Elements of dynamic programming

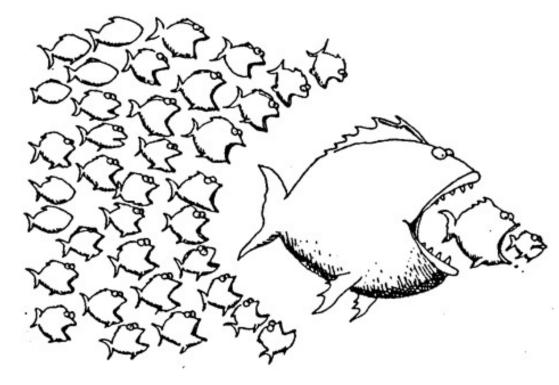
- Optimal substructure.
 - Optimal solutions to sub-problems can be used to find the optimal solution of the original problem.
- Overlapping subproblems.
 - The subproblems show up again and again
- Using these properties, we can design a dynamic programming algorithm:
 - Keep a table of solutions to the smaller problems.
 - Use the solutions in the table to solve bigger problems.
- OF THE CONTROL OF THE

 At the end we can use information we collected along the way to find the solution to the whole thing.

Two ways to think about and/or implement DP algorithms

Top down

Bottom up





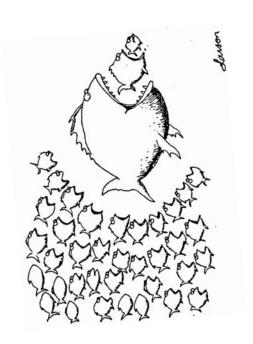




Bottom up approach what we just saw.

- For Fibonacci:
- Solve the small problems first
 - fill in F[0],F[1]
- Then bigger problems
 - fill in F[2]
- ...
- Then bigger problems
 - fill in F[n-1]
- Then finally solve the real problem.
 - fill in F[n]

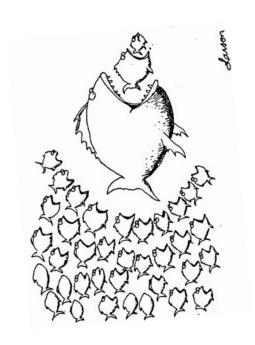




Bottom up approach what we just saw.

- For Bellman-Ford:
- Solve the small problems first
 - fill in d⁽⁰⁾
- Then bigger problems
 - fill in d⁽¹⁾
- ...
- Then bigger problems
 - fill in d⁽ⁿ⁻²⁾
- Then finally solve the real problem.
 - fill in d⁽ⁿ⁻¹⁾





Top down approach

- Think of it like a recursive algorithm.
- To solve the big problem:
 - Recurse to solve smaller problems
 - Those recurse to solve smaller problems
 - etc...



- Keep track of what small problems you've already solved to prevent re-solving the same problem twice.
- Aka, "memo-ization"



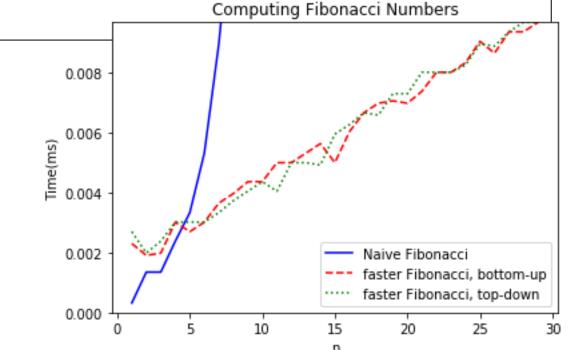




Example of top-down Fibonacci

- define a global list F = [1,1,None, None, ..., None]
- **def** Fibonacci(n):
 - **if** F[n] != None:
 - return F[n]
 - else:
 - F[n] = Fibonacci(n-1) + Fibonacci(n-2)
 - return F[n]

Memo-ization: Keeps track (in F) of the stuff you've already done.

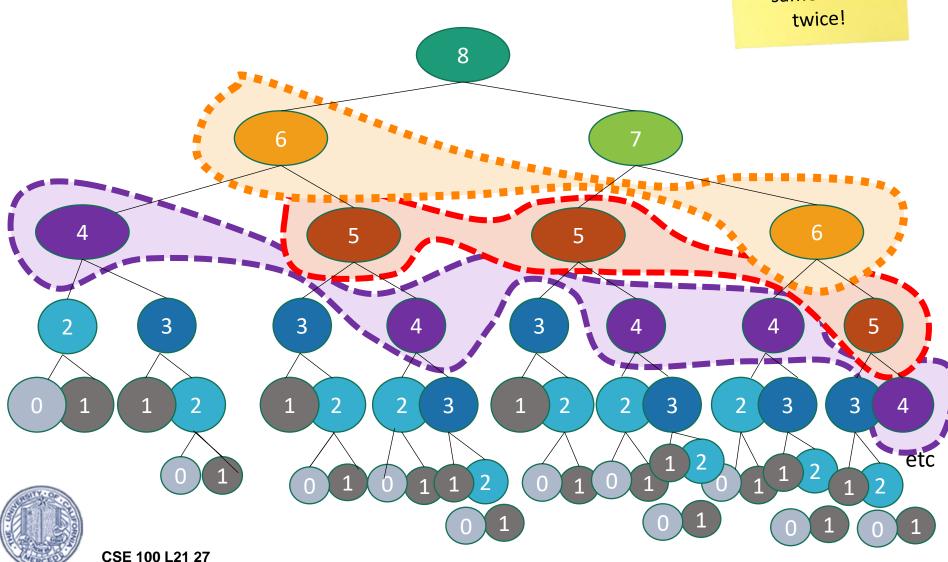




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Memo-ization visualization

Collapse repeated nodes and don't do the same work twice!

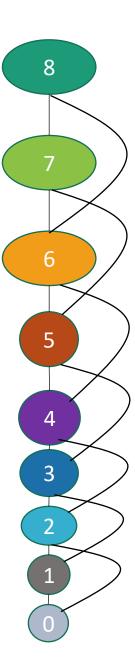


Memo-ization Visualization contd.

Collapse repeated nodes and don't do the same work twice!

But otherwise treat it like the same old recursive algorithm.

- define a global list F = [1,1,None, None, ..., None]
- **def** Fibonacci(n):
 - **if** F[n] != None:
 - return F[n]
 - else:
 - F[n] = Fibonacci(n-1) + Fibonacci(n-2)
 - return F[n]



What have we learned?

Dynamic programming:

- Paradigm in algorithm design.
- Uses optimal substructure
- Uses overlapping subproblems
- Can be implemented bottom-up or top-down.
- It's a fancy name for a pretty common-sense idea:

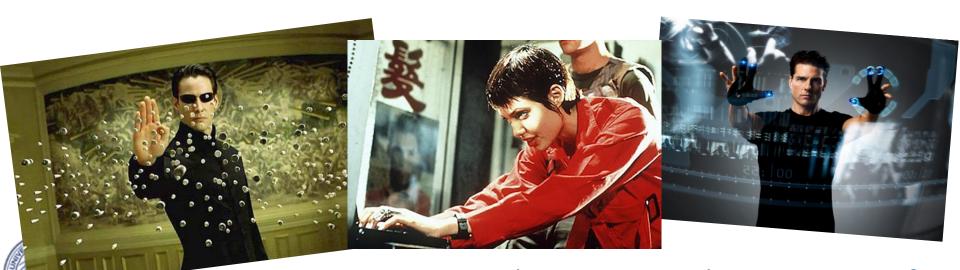
Don't duplicate work if you don't have to!



Why "dynamic programming"?

- Programming refers to finding the optimal "program."
 - as in, a shortest route is a *plan* aka a *program*.
- Dynamic refers to the fact that it's multi-stage.
- But also it's just a fancy-sounding name.

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Manipulating computer code in an action movie?

Why "dynamic programming"?

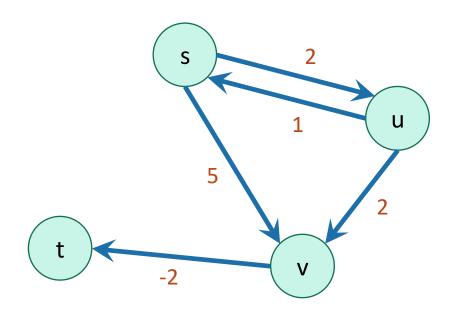
- Richard Bellman invented the name in the 1950's.
- At the time, he was working for the RAND
 Corporation, which was basically working for the
 Air Force, and government projects needed flashy
 names to get funded.
- From Bellman's autobiography:
 - "It's impossible to use the word, dynamic, in the pejorative sense...I thought dynamic programming was a good name. It was something not even a Congressman could object to."



Floyd-Warshall Algorithm Another example of DP

- This is an algorithm for All-Pairs Shortest Paths (APSP)
 - That is, I want to know the shortest path from u to v for ALL pairs u,v of vertices in the graph.
 - Not just from a special single source s.

	Destina	ation				
Source		S	u	V	t	
	S	0	2	4	2	
	u	1	0	2	0	
	V	∞	∞	0	-2	
Sym(S)	t	∞	∞	∞	0	
	005 400 104 00					



Floyd-Warshall Algorithm

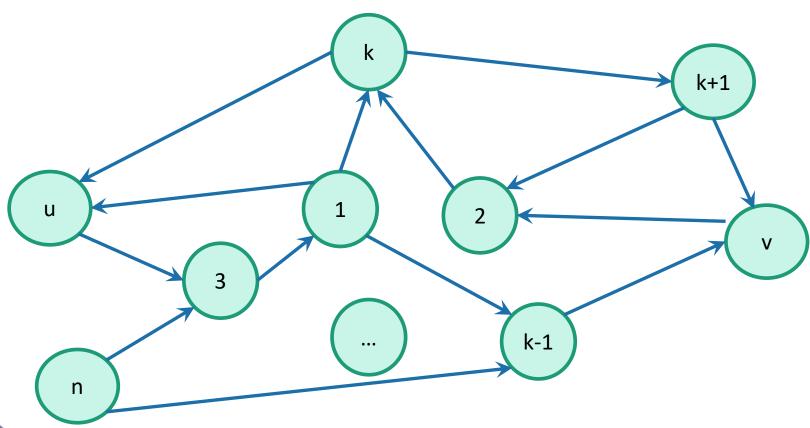
Another example of DP

- This is an algorithm for All-Pairs Shortest Paths (APSP)
 - That is, I want to know the shortest path from u to v for **ALL pairs** u,v of vertices in the graph.
 - Not just from a special single source s.
- Naïve solution (if we want to handle negative edge weights):
 - For all s in G:
 - Run Bellman-Ford on G starting at s.
 - Time $O(n \cdot nm) = O(n^2m)$,
 - may be as bad as n⁴ if m=n²



Can we do better?

Optimal substructure





Optimal substructure

Label the vertices 1,2,...,n
(We omit some edges in the picture below – meant to be a cartoon, not an example).

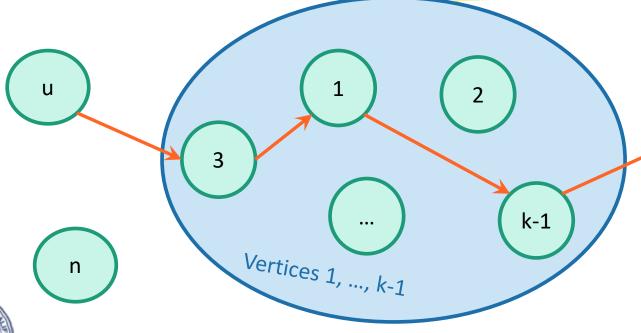
Sub-problem(k-1):

For all pairs, u,v, find the cost of the shortest path from u to v, so that all the internal vertices on that path are in {1,...,k-1}.

Let $D^{(k-1)}[u,v]$ be the solution to Sub-problem(k-1).

Our DP algorithm will fill in the n-by-n arrays $D^{(0)}$, $D^{(1)}$, ..., $D^{(n)}$ iteratively and then we'll be done.





k

This is the shortest path from u to v through the blue set. It has cost D^(k-1)[u,v]



Optimal substructure

Label the vertices 1,2,...,n
(We omit some edges in the picture below – meant to be a cartoon, not an example).

Sub-problem(k-1):

For all pairs, u,v, find the cost of the shortest path from u to v, so that all the internal vertices on that path are in {1,...,k-1}.

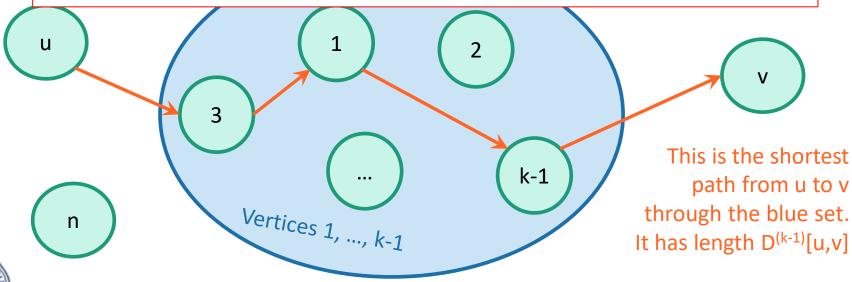
Let $D^{(k-1)}[u,v]$ be the solution to Sub-problem(k-1).

Our DP algorithm will fill in the n-by-n arrays D⁽⁰⁾, D⁽¹⁾, ..., D⁽ⁿ⁾ iteratively and then we'll be done.

k+1

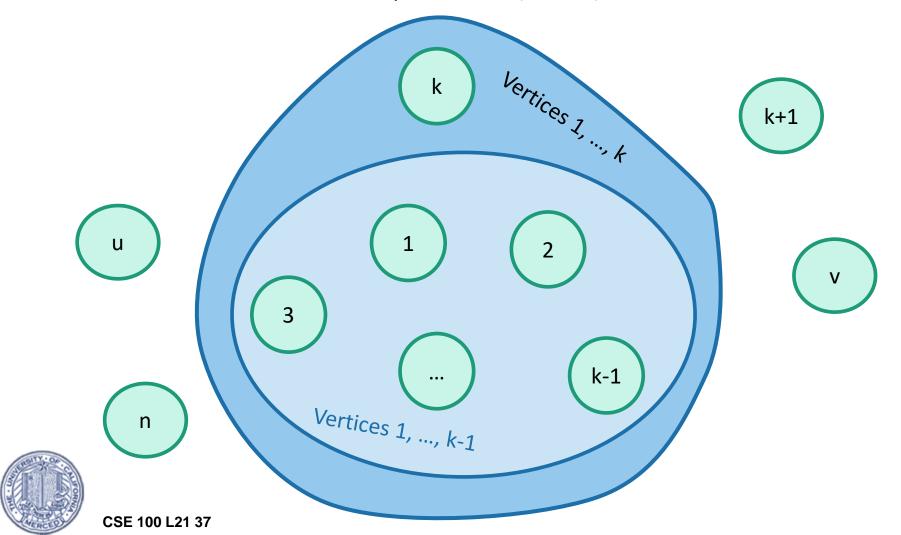
Question: How can we find D^(k)[u,v] using D^(k-1)?

k

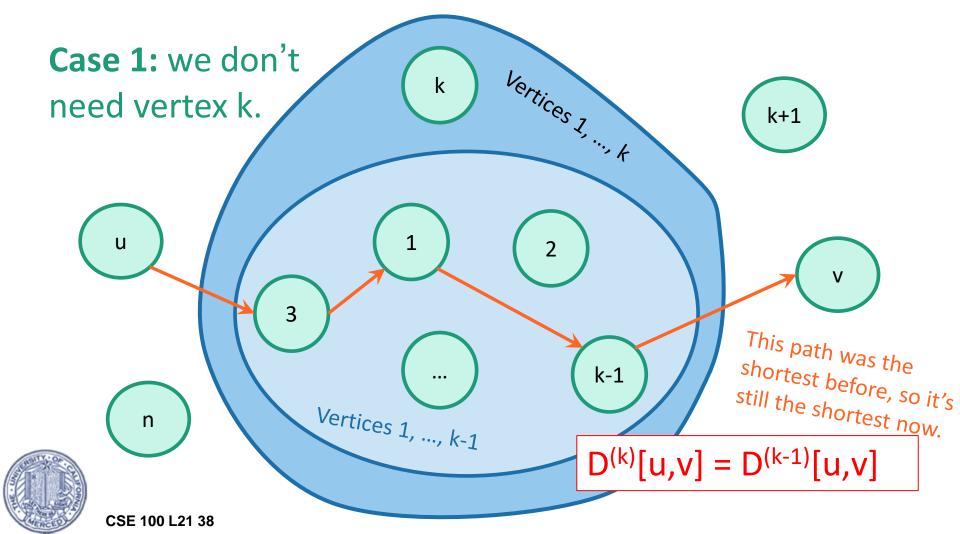




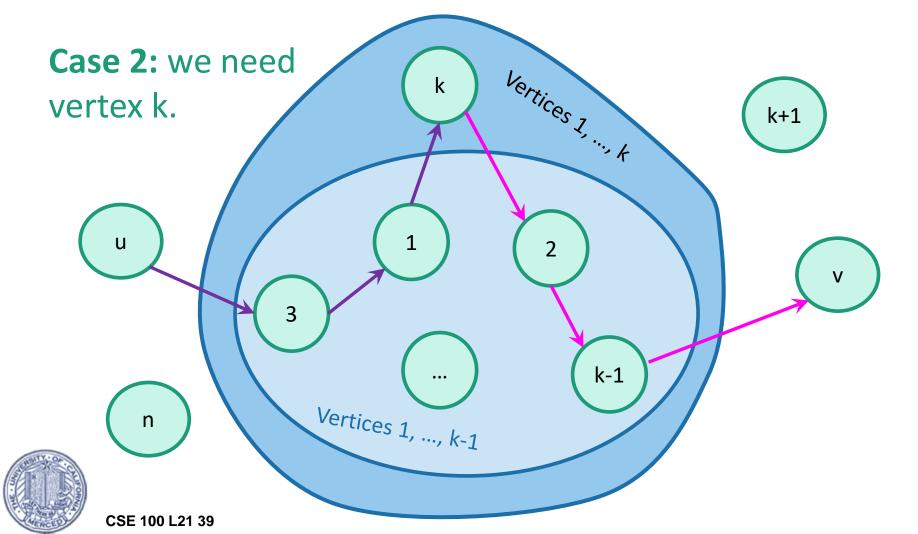
 $D^{(k)}[u,v]$ is the cost of the shortest path from u to v so that all internal vertices on that path are in $\{1, ..., k\}$.



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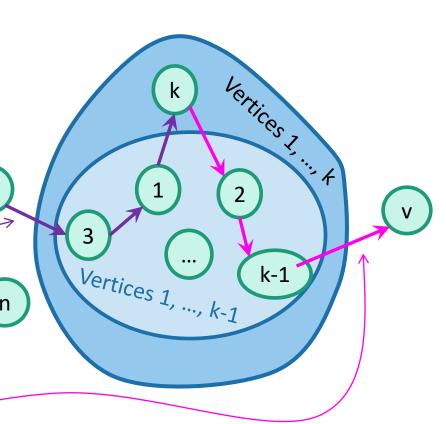
 $D^{(k)}[u,v]$ is the cost of the shortest path from u to v so that all internal vertices on that path are in $\{1, ..., k\}$.



Case 2 continued

- Suppose there are no negative cycles.
 - Then WLOG the shortest path from u to v through {1,...,k} is **simple**.
- If <u>that path</u> passes through k, it must look like this:
- This path is the shortest path from u to k through {1,...,k-1}.
 - sub-paths of shortest paths are shortest paths
- Similarly for this path.

Case 2: we need vertex k.

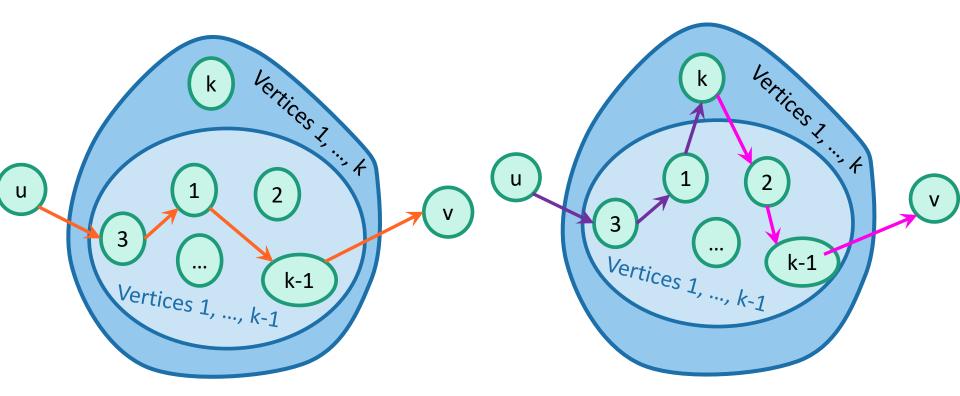




 $D^{(k)}[u,v] = D^{(k-1)}[u,k] + D^{(k-1)}[k,v]$

Case 1: we don't need vertex k.

Case 2: we need vertex k.



$$D^{(k)}[u,v] = D^{(k-1)}[u,v]$$

 $D^{(k)}[u,v] = D^{(k-1)}[u,k] + D^{(k-1)}[k,v]$

• $D^{(k)}[u,v] = \min\{D^{(k-1)}[u,v], D^{(k-1)}[u,k] + D^{(k-1)}[k,v]\}$

Case 1: Cost of shortest path through {1,...,k-1}

Case 2: Cost of shortest path from u to k and then from k to v through {1,...,k-1}

- Optimal substructure:
 - We can solve the big problem using solutions to smaller problems.
- Overlapping sub-problems:
 - D^(k-1)[k,v] can be used to help compute D^(k)[u,v] for lots of different u's.



• $D^{(k)}[u,v] = min\{D^{(k-1)}[u,v], D^{(k-1)}[u,k] + D^{(k-1)}[k,v]\}$

Case 1: Cost of shortest path through {1,...,k-1}

 Using our <u>Dynamic programming</u> paradigm, this immediately gives us an algorithm!



Floyd-Warshall algorithm

- Initialize n-by-n arrays D^(k) for k = 0,...,n
 - $D^{(k)}[u,u] = 0$ for all u, for all k
 - $D^{(k)}[u,v] = \infty$ for all $u \neq v$, for all k
 - $D^{(0)}[u,v] = weight(u,v)$ for all (u,v) in E.

The base case checks out: the only path through zero other vertices are edges directly from u to v.

- **For** k = 1, ..., n:
 - For pairs u,v in V²:
 - $D^{(k)}[u,v] = min\{D^{(k-1)}[u,v], D^{(k-1)}[u,k] + D^{(k-1)}[k,v]\}$
- Return D⁽ⁿ⁾



This is a bottom-up **Dynamic programming** algorithm.

We've basically just shown

• Theorem:

If there are no negative cycles in a weighted directed graph G, then the Floyd-Warshall algorithm, running on G, returns a matrix D⁽ⁿ⁾ so that:

 $D^{(n)}[u,v] = distance between u and v in G.$

• Running time: O(n³)



Better than running Bellman-Ford by n times!

Storage:

Need to store two n-by-n arrays, and the original graph.



What if there *are* negative cycles?

- Just like Bellman-Ford, Floyd-Warshall can detect negative cycles:
 - Negative cycle $\Leftrightarrow \exists v \text{ s.t.}$ there is a path from v to v that goes through all n vertices that has cost < 0.
 - Negative cycle $\Leftrightarrow \exists v \text{ s.t. } D^{(n)}[v,v] < 0.$
- Algorithm:
 - Run Floyd-Warshall as before.
 - If there is some v so that D⁽ⁿ⁾[v,v] < 0:
 - return negative cycle.



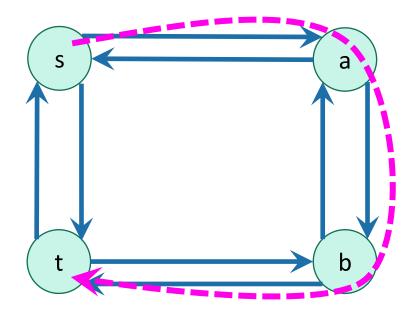
What have we learned?

- The Floyd-Warshall algorithm is another example of dynamic programming.
- It computes All Pairs Shortest Paths in a directed weighted graph in time O(n³).



Bonus: Another Example of DP?

Longest simple path (say all edge weights are 1):



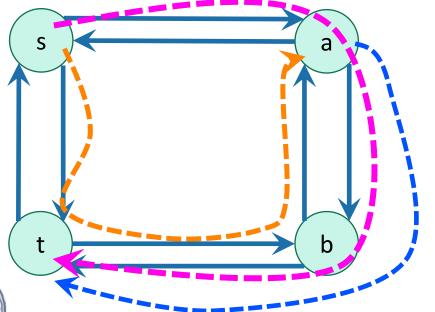


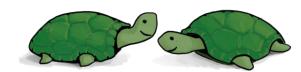
What is the longest simple path from s to t?

This is an optimization problem...

- Can we use Dynamic Programming?
- Optimal Substructure?
 - Longest path from s to t = longest path from s to a

+ longest path from a to t?





NOPE!



This doesn't give optimal sub-structure

Optimal solutions to subproblems don't give us an optimal solution to the big problem. (At least if we try to do it this way).

- The sub-problems we came up with aren't independent:
 - Once we've chosen the longest path from a to t
 - which uses b,
 - our longest path from s to a shouldn't be allowed to use b

 since b was already used and that breaks the "simple-ness" of the combined path.

- Actually, the longest simple path problem is NP-complete.
 - We don't know of any polynomialtime algorithms for it, DP or otherwise!



Recap

- Two shortest-path algorithms:
 - Bellman-Ford for single-source shortest path
 - Floyd-Warshall for all-pairs shortest path
- Dynamic programming!
 - This is a fancy name for:
 - Break up an optimization problem into smaller problems
 - The optimal solutions to the sub-problems should be sub-solutions to the original problem.
 - Build the optimal solution iteratively by filling in a table of sub-solutions.
 - Take advantage of overlapping sub-problems!



Next Part

More examples of dynamic programming!

We will stop bullets with our action-packed coding skills, and also maybe find longest common subsequences.



Remember...

Dynamic Programming!

Not coding in an action movie





Last Lecture



- Dynamic programming is an algorithm design paradigm.
- Basic idea:
 - Identify optimal sub-structure
 - Optimum to the big problem is built out of optima of small sub-problems
 - Take advantage of overlapping sub-problems
 - Only solve each sub-problem once, then use it again and again
 - Keep track of the solutions to sub-problems in a table as you build to the final solution.



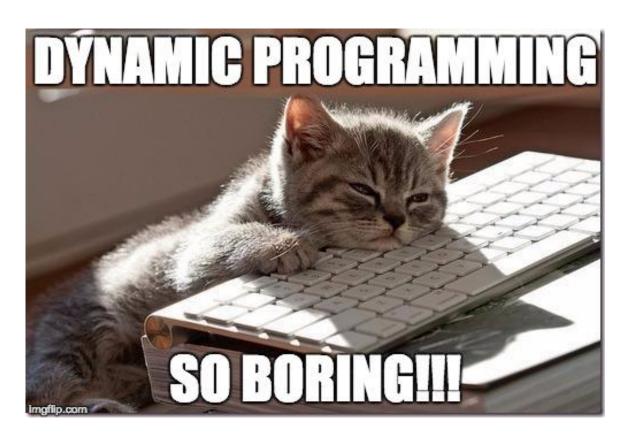
Today (part 2)

- Examples of dynamic programming:
 - 1. Longest common subsequence
 - 2. Knapsack problem
 - Two versions!
 - 3. Independent sets in trees
 - If we have time...
 - (If not the slides will be there as a reference)



The remaining goal of today's lecture

For you to get really bored of dynamic programming





Longest Common Subsequence

How similar are these two species?



AGCCCTAAGGGCTACCTAGCTT



DNA:
GACAGCCTACAAGCGTTAGCTTG

DNA:

Longest Common Subsequence

How similar are these two species?



AGCCCTAAGGGCTACCTAGCTT



DNA:
GACAGCCTACAAGCGTTAGCTTG

• Pretty similar, their DNA has a long common subsequence:





DNA:

Longest Common Subsequence

- Subsequence:
 - BDFH is a subsequence of ABCDEFGH
- If X and Y are sequences, a **common subsequence** is a sequence which is a subsequence of both.
 - BDFH is a common subsequence of ABCDEFGH and of ABDFGHI
- A longest common subsequence...
 - ...is a common subsequence that is longest.
 - The longest common subsequence of ABCDEFGH and ABDFGHI is ABDFGH.



We sometimes want to find these

Applications in bioinformatics





- The unix command diff
- Merging in version control
 - svn, git, etc...



```
5:55pm acerpa@ubuntu:~>[1261]cat file1
5:55pm acerpa@ubuntu:~>[1262]cat file2
5:55pm acerpa@ubuntu:~>[1263]diff file1 file2
5:55pm acerpa@ubuntu:~>[1264]
```

Recipe for applying Dynamic Programming

• Step 1: Identify optimal substructure.

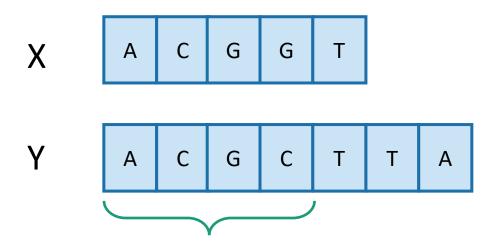


- Step 2: Find a recursive formulation for the length of the longest common subsequence.
- Step 3: Use dynamic programming to find the length of the longest common subsequence.
- Step 4: If needed, keep track of some additional info so that the algorithm from Step 3 can find the actual LCS.
- Step 5: If needed, code this up like a reasonable person.

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Step 1: Optimal substructure

Prefixes:



Notation: denote this prefix **ACGC** by Y₄

Our sub-problems will be finding LCS's of prefixes to X and Y.

Let
$$C[i, j] = length_of_LCS(X_i, Y_j)$$

Examples: $C[2,3] = 2$
 $C[4,4] = 3$

Optimal substructure ctd.

- Subproblem:
 - finding LCS's of prefixes of X and Y.

- Why is this a good choice?
 - As we will see, there's some relationship between LCS's of prefixes and LCS's of the whole things.
 - These subproblems overlap a lot.



Recipe for applying Dynamic Programming





- Step 2: Find a recursive formulation for the length of the longest common subsequence.
- Step 3: Use dynamic programming to find the length of the longest common subsequence.
- Step 4: If needed, keep track of some additional info so that the algorithm from Step 3 can find the actual LCS.
- Step 5: If needed, code this up like a reasonable person.