Periodic Transaction Report

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Netflix [CS] P 03/24/2021 03/31/2021 \$1,001 - FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: Maturity date is 2/15/2022, interest rate is 5.50%					
	SP	Toll Bros Fin [CS] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tolescription: Maturity date is 4/15/202		03/25/2021	04/01/2021	\$15,001 - \$50,000	П

^{*} For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

© Yes © No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal, 04/04/2021