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Charles E. Borden

June 29, 2022

Via E-mail (Stephanie.Richards@mail.house.gov)

Stephanie Richards
Director of Financial Disclosure
U.S. House of Representatives
Committee on Ethics
1015 Longworth House Office Building (LHOB),
Washington, DC 20515

LEGISLATIVE RESOURCE CENTER
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U.S. HOUSE OF REPRESENTATIVES

Re: Amendments to Representative Tom Malinowski Periodic Transaction Reports for 2020

Dear Ms. Richards:

We represent Representative Tom Malinowski and write in response to your email of June 3, 2022. Per your email, and consistent with your additional guidance on this topic, attached please find a chart with a set of requested amendments related to Representative Malinowski's Periodic Transaction Reports for transactions that took place in calendar year 2020.

Thank you for your assistance with this matter, and please let us know if you have any questions regarding the attachment or the requested amendments.

Sincerely yours,

Charles E. Borden
Samuel C. Brown

Attachment

Attachment A—Requested Amendments for 2020

Name of Security	Date Listed in PTR	Transaction Type	Amount Listed in PTR	Requested Amendment
Anika Therapeutics, Inc.	2/26/20	P (<i>short cover</i>)	\$15-\$50K	The correct range is \$1-\$15K.
Pepsico, Inc.	3/3/20	P (<i>covered short</i>)	\$1-\$15K	The correct range is \$15-\$50K.
Everbridge, Inc.	3/11/20	S	\$15-\$50K	The correct range is \$1-\$15K.
Flexion Therapeutics, Inc.	5/1/20	S	\$15-\$50K	The correct range is \$1-\$15K.
BioLife Solutions, Inc.	6/17/20	P	\$15-\$50K	The correct range is \$1-\$15K.
Apollo Endosurgery, Inc.	7/25/20	S	\$1K-\$15K	The entry should be deleted.
Power Solutions International, Inc.	9/24/20	S	\$15-\$50K	The correct range is \$1-\$15K.
CNFinance Holdings Limited American Depositary Shares	12/5/20	P	\$1K-\$15K	The entry should be deleted.
Enterprise Products Partners	1/13/20	S	\$15-\$50K	The entry should be deleted.
Equinix, Inc.	2/18/20	S	\$15-\$50K	The date should be changed to 2/20/2020.
Equinix, Inc.	3/30/20	S	\$15-\$50K	The date should be changed to 4/1/2020.
Flexion Therapeutics, Inc.	4/27/20	S	\$1-\$15K	The date should be changed to 4/29/2020.
Merck & Company, Inc.	11/4/20	S	\$15-\$50K	The date should be changed to 11/6/2020.
General Finance Corporation	12/3/20	P	\$1K-\$15k	The transaction type should be listed as a sale.

Talend SA	3/20/20	S	\$1-\$15K	The transaction should be added.
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