

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member **State/District:** CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Constellation Brands Inc [CS] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tra DESCRIPTION: Interest rate is 3.60%, matu		05/02/2022 9/2024.	05/21/2022	\$15,001 - \$50,000	
	SP	Intercontinental Exchange Inc. (ICE) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tra	S (partial) aditional IRA	05/05/2022	05/21/2022	\$1,001 - \$15,000	✓
	SP	Intercontinental Exchange Inc. (ICE) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tra		05/06/2022	05/21/2022	\$15,001 - \$50,000	✓

^{*} For the complete list of asset type abbreviations, please visit $\underline{\text{https://fd.house.gov/reference/asset-type-codes.aspx}}.$

ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of

my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

 $\textbf{Digitally Signed:} \ \text{Hon. Alan S. Lowenthal} \ , 05/21/2022$