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Charles E. Borden

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June 29, 2022

Via E-mail (Stephanie.Richards@mail.house.gov)

Stephanie Richards
Director of Financial Disclosure
U.S. House of Representatives
Committee on Ethics
1015 Longworth House Office Building (LHOB),
Washington, DC 20515

Re: Amendments to Representative Tom Malinowski Periodic Transaction Reports for 2019

Dear Ms. Richards:

We represent Representative Tom Malinowski and write in response to your email of June 3, 2022. Per your email, and consistent with your additional guidance on this topic, attached please find a chart with a set of requested amendments related to Representative Malinowski's Periodic Transaction Reports for transactions that took place in calendar year 2019.

Thank you for your assistance with this matter, and please let us know if you have any questions regarding the attachment or the requested amendments.

Sincerely yours,

Charles E. Borden
Samuel C. Brown

Attachment

Attachment A—Requested Amendments for 2019

| Name of Security | Date Listed in PTR | Transaction Type | Amount Listed in PTR | Date of PTR Being Amended | Requested Amendment |
|--------------------------|---------------------------|-------------------------------|-----------------------------|----------------------------------|--|
| Yext, Inc. | 2/15/19 | P | \$15-\$50K | 3/5/2021 | The correct range is \$1-\$15K. |
| Pacira Biosciences, Inc. | 5/30/19 | P | \$15-\$50K | 3/5/2021 | The correct date for the transaction is 6/3/2019. |
| Yext, Inc | 11/13/19 | P | \$15-\$50K | 3/5/2021 | The correct range is \$1-\$15K. |
| Red Hat, Inc. | 1/4/19 | S | \$50-\$100K | 7/9/2021 | The narrative reading “Represents two separate transactions closing the position both occurring on the same date” should be deleted. |
| Equinix, Inc. | 1/28/19 | P | \$1-\$15K | 7/9/2021 | The date should be changed to 1/30/2019. |
| Carnival Corporation | 1/31/19 | P (<i>covered short</i>) | \$15-\$50K | 7/9/2021 | This entry should be deleted as the relevant transaction is the one listed for Carnival Corporation for 2/4/19. |
| Carnival Corporation | 2/4/19 | S (<i>covered short</i>) | \$15-\$50K | 7/9/2021 | The transaction type should be changed to covered short purchase. |
| Equinix, Inc. | 2/27/19 | P | \$1-\$15K | 7/9/2021 | The date should be changed to 3/1/2019. |
| Instructure, Inc. | 4/16/19 | S | \$15-\$50K | 7/9/2021 | The date should be changed to 4/18/2019. |
| Zuora, Inc. | 6/14/19 | P (filing status: deleted) | \$15-\$50K | 7/9/2021 | The entry should be restored to how it was originally listed in the March 5 PTR, as shown in the columns to the left. |

| | | | | | |
|-------------------------------------|----------|----------------------|------------|----------|---|
| Zuora, Inc. | 7/25/19 | S | \$1-\$15K | 7/9/2021 | The date should be changed to 7/29/2019. |
| Equinix, Inc. | 7/26/19 | P | \$1-\$15K | 7/9/2021 | This entry should be deleted as the relevant transaction is the one listed for Equinix, Inc. for 7/30/19. |
| Equinix, Inc. | 7/30/19 | S | \$1-\$15K | 7/9/2021 | The transaction type should be changed to covered short purchase. |
| Federal Realty Investment Trust | 10/25/19 | S (covered short) | \$1-\$15K | 7/9/2021 | The correct date for the transaction is 10/29/2019, the correct transaction type is covered short purchase, and the correct amount range for the transaction is \$15-\$50K. |
| Federal Realty Investment Trust | 10/25/19 | S (covered short) | \$15-\$50K | 7/9/2021 | The date for the transaction should be changed to 11/29/2019. |
| Post Holdings, Inc. | 10/25/19 | P (covered short) | \$15-\$50K | 7/9/2021 | This entry should be deleted as the relevant transaction is the one listed for Post Holdings, Inc. for 10/29/2019. |
| Post Holdings, Inc. | 10/29/19 | S (covered short) | \$15-\$50K | 7/9/2021 | The transaction type should be changed to covered short purchase. |
| Castlight Health, Inc. Class B | 11/25/19 | S | \$1-\$15K | 7/9/2021 | The date should be changed to 11/27/2019. |
| Kellogg Company | 11/26/19 | P (covered short) | \$15-\$50K | 7/9/2021 | The correct date for the transaction is 11/29/2019. |
| Power Solutions International, Inc. | 12/30/19 | P | \$1-\$15K | 7/9/2021 | The date should be changed to 1/2/20. |