# PERIODIC TRANSACTION REPORT

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# FILER INFORMATION

Name: Hon. Alan S. Lowenthal

**Status:** Member **State/District:** CA47

#### **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Bk of America Corp Note [CS]	P	04/21/2022	04/27/2022	\$1,001 - \$15,000	
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Be DESCRIPTION: Maturity date is 4/27/202					

<sup>\*</sup> For the complete list of asset type abbreviations, please visit  $\underline{\text{https://fd.house.gov/reference/asset-type-codes.aspx}}.$ 

### ASSET CLASS DETAILS

• Neuberger Berman - Beneficiary IRA (Owner: SP)

## **INITIAL PUBLIC OFFERINGS**

O Yes O No

### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal, 04/28/2022