

Periodic Transaction Report

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Marie Newman

Status: Member State/District: ILo3

TRANSACTIONS

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|--|---------------------|------------|----------------------|--------------------|---------------------|
| | SP | Carnival Corporation (CCL) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA | S | 02/26/2021 | 04/05/2021 | \$1,001 - \$15,000 | ∀ |
| | SP | Chewy, Inc. Class A (CHWY) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA | P | 03/01/2021 | 04/05/2021 | \$1,001 - \$15,000 | |
| | | Duke Energy Corporation (DUK) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA | S | 03/29/2021 | 04/05/2021 | \$1,001 - \$15,000 | <u>~</u> |
| | JT | Eaton Corporation, PLC Ordinary Shares (ETN) [ST] FILING STATUS: New SUBHOLDING OF: Charles Schwab JT TEN | S | 02/26/2021 | 04/05/2021 | \$1,001 - \$15,000 | V |
| | SP | Electronic Arts Inc. (EA) [ST] FILING STATUS: New SUBHOLDING OF: Individual 401(K) | P | 03/22/2021 | 04/05/2021 | \$1,001 - \$15,000 | |
| | SP | Electronic Arts Inc. (EA) [ST] FILING STATUS: New SUBHOLDING OF: Individual 401(K) | S | 03/26/2021 | 04/05/2021 | \$1,001 - \$15,000 | Г |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|--|---------------------|------------|----------------------|------------------------|---------------------|
| | | FedEx Corporation (FDX) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA | S | 03/15/2021 | 04/05/2021 | \$15,001 - \$50,000 | |
| | SP | FedEx Corporation (FDX) [ST] FILING STATUS: New SUBHOLDING OF: Individual 401(K) | P | 03/29/2021 | 04/05/2021 | \$15,001 - \$50,000 | П |
| | SP | FedEx Corporation (FDX) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA | S | 03/12/2021 | 04/05/2021 | \$15,001 - \$50,000 | <u> </u> |
| | SP | FedEx Corporation (FDX) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA | P | 03/15/2021 | 04/05/2021 | \$15,001 - \$50,000 | П |
| | SP | FedEx Corporation (FDX) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA | S | 03/19/2021 | 04/05/2021 | \$15,001 - \$50,000 | ▽ |
| | SP | FedEx Corporation (FDX) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA | P | 03/22/2021 | 04/05/2021 | \$15,001 - \$50,000 | П |
| | SP | FedEx Corporation (FDX) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA | S | 03/26/2021 | 04/05/2021 | \$15,001 - \$50,000 | <u> </u> |
| | SP | International Business Machines Corporation (IBM) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA | S | 03/05/2021 | 04/05/2021 | \$1,001 - \$15,000 | П |
| | | International Business Machines Corporation (IBM) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA | S | 03/29/2021 | 04/05/2021 | \$1,001 - \$15,000 | <u> </u> |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|---|---------------------|------------|----------------------|--------------------|---------------------|
| | SP | Kroger Company (KR) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA | S | 03/05/2021 | 04/05/2021 | \$1,001 - \$15,000 | П |
| | JT | Lemonade, Inc. (LMND) [ST] FILING STATUS: New SUBHOLDING OF: Charles Schwab JT TEN | P | 03/01/2021 | 04/05/2021 | \$1,001 - \$15,000 | |
| | JT | Lemonade, Inc. (LMND) [ST] FILING STATUS: New SUBHOLDING OF: Charles Schwab JT TEN | S | 03/12/2021 | 04/05/2021 | \$1,001 - \$15,000 | |
| | SP | Lemonade, Inc. (LMND) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA | P | 03/15/2021 | 04/05/2021 | \$1,001 - \$15,000 | |
| | SP | Lemonade, Inc. (LMND) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA | S | 03/15/2021 | 04/05/2021 | \$1,001 - \$15,000 | ~ |
| | SP | Pfizer, Inc. (PFE) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA | S | 03/11/2021 | 04/05/2021 | \$1,001 - \$15,000 | |
| | SP | Pfizer, Inc. (PFE) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA | S | 03/12/2021 | 04/05/2021 | \$1,001 - \$15,000 | |
| | JT | Ryder System, Inc. (R) [ST] FILING STATUS: New SUBHOLDING OF: Charles Schwab JT TEN | S | 03/19/2021 | 04/05/2021 | \$1,001 - \$15,000 | ✓ |
| | SP | Tesla, Inc. (TSLA) [OP] FILING STATUS: New SUBHOLDING OF: Rollover IRA DESCRIPTION: call | S | 03/22/2021 | 04/05/2021 | \$1,001 - \$15,000 | <u> </u> |
| | SP | Tesla, Inc. (TSLA) [OP] FILING STATUS: New SUBHOLDING OF: Rollover IRA | S | 03/29/2021 | 04/05/2021 | \$1,001 - \$15,000 | ▽ |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|--|---------------------|------------|----------------------|-------------------------|---------------------|
| | | Description: call | | | | | |
| | SP | Tesla, Inc. (TSLA) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA | P | 03/29/2021 | 04/05/2021 | \$50,001 - \$100,000 | |
| | SP | Tesla, Inc. (TSLA) [OP] FILING STATUS: New SUBHOLDING OF: Rollover IRA DESCRIPTION: call | S | 03/01/2021 | 04/05/2021 | \$1,001 - \$15,000 | <u>~</u> |
| | JT | Trupanion, Inc. (TRUP) [ST] FILING STATUS: New SUBHOLDING OF: Charles Schwab JT TEN | P | 03/22/2021 | 04/05/2021 | \$1,001 - \$15,000 | |
| | SP | United Parcel Service, Inc. (UPS) [ST] FILING STATUS: New SUBHOLDING OF: Individual 401(K) | P | 03/15/2021 | 04/05/2021 | \$15,001 - \$50,000 | П |
| | SP | United Parcel Service, Inc. (UPS) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA | S | 03/05/2021 | 04/05/2021 | \$15,001 - \$50,000 | П |
| | SP | United Parcel Service, Inc. (UPS) [ST] FILING STATUS: New SUBHOLDING OF: Individual 401(K) | S | 03/26/2021 | 04/05/2021 | \$15,001 - \$50,000 | П |
| | SP | United Parcel Service, Inc. (UPS) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA | S | 03/26/2021 | 04/05/2021 | \$15,001 - \$50,000 | П |
| | SP | United Parcel Service, Inc. (UPS) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA | P | 03/11/2021 | 04/05/2021 | \$15,001 - \$50,000 | П |
| | SP | Vroom, Inc. (VRM) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA | S | 03/01/2021 | 04/05/2021 | \$1,001 - \$15,000 | П |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|--|---------------------|------------|----------------------|------------------------|---------------------|
| | JT | Walmart Inc. (WMT) [ST] FILING STATUS: New SUBHOLDING OF: Charles Schwab JT TEN | P | 03/22/2021 | 04/05/2021 | \$1,001 - \$15,000 | П |
| | JT | Walmart Inc. (WMT) [ST] FILING STATUS: New SUBHOLDING OF: Charles Schwab JT TEN | S | 03/23/2021 | 04/05/2021 | \$1,001 - \$15,000 | П |
| | JT | Walt Disney Company (DIS) [ST] FILING STATUS: New SUBHOLDING OF: Charles Schwab JT TEN | S | 02/26/2021 | 04/05/2021 | \$15,001 - \$50,000 | <u>~</u> |
| | SP | Wayfair Inc. Class A (W) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA | S | 03/19/2021 | 04/05/2021 | \$15,001 - \$50,000 | <u></u> |
| | SP | Zoom Video Communications, Inc Class A (ZM) [OP] FILING STATUS: New SUBHOLDING OF: Rollover IRA DESCRIPTION: call | S | 03/01/2021 | 04/05/2021 | \$1,001 - \$15,000 | V |
| | SP | Zoom Video Communications, Inc Class A (ZM) [OP] FILING STATUS: New SUBHOLDING OF: Rollover IRA DESCRIPTION: call | S | 03/01/2021 | 04/05/2021 | \$1,001 - \$15,000 | V |

^{*} For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

ASSET CLASS DETAILS

• Charles Schwab JT TEN (Owner: JT)

DESCRIPTION: JAMES D NEWMAN & MARIE K NEWMAN JT TEN

• Individual 401(K) (Owner: SP)

DESCRIPTION: James D Newman Charles Schwab & Co Inc Cust James D Newman & Assoc 401(K)

o Rollover IRA

DESCRIPTION: Marie K Newman Charles Schwab & Co Inc Cust IRA Rollover

• Rollover IRA (Owner: SP)

DESCRIPTION: James D Newman Charles Schwab & Co Inc Cust IRA Rollover

INITIAL PUBLIC OFFERINGS

○ Yes ● No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Marie Newman, 04/15/2021