

# PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

Name: Hon. Marie Newman

**Status:** Member **State/District:** ILo<sub>3</sub>

## **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
2000078140	SP	Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST] FILING STATUS: Amended SUBHOLDING OF: Individual 401(K)	S	01/22/2021	03/01/2021	\$15,001 - \$50,000	П
2000078153	SP	Apple Inc. (AAPL) [ST]  FILING STATUS: Amended SUBHOLDING OF: Rollover IRA	P	01/29/2021	03/01/2021	\$15,001 - \$50,000	П
2000078154	SP	Boeing Company (BA) [ST]  FILING STATUS: Amended SUBHOLDING OF: Rollover IRA	S	01/29/2021	03/01/2021	\$15,001 - \$50,000	
2000078155	SP	Chewy, Inc. Class A (CHWY) [ST] FILING STATUS: Amended SUBHOLDING OF: Rollover IRA	S	01/29/2021	03/01/2021	\$1,001 - \$15,000	Г
2000078156	SP	Moderna, Inc. (MRNA) [ST]  FILING STATUS: Amended SUBHOLDING OF: Rollover IRA	S	01/29/2021	03/01/2021	\$15,001 - \$50,000	<u>~</u>
2000078157	SP	Moderna, Inc. (MRNA) [ST]	S	01/29/2021	03/01/2021	\$1,001 - \$15,000	~

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		FILING STATUS: Amended SUBHOLDING OF: Rollover IRA					
2000078158	SP	Twitter, Inc. (TWTR) [ST]  FILING STATUS: Amended SUBHOLDING OF: Rollover IRA	S	01/29/2021	03/01/2021	\$1,001 - \$15,000	
2000078159	SP	Twitter, Inc. (TWTR) [ST]  FILING STATUS: Amended SUBHOLDING OF: Rollover IRA	S	01/29/2021	03/01/2021	\$1,001 - \$15,000	П

<sup>\*</sup> For the complete list of asset type abbreviations, please visit <a href="https://fd.house.gov/reference/asset-type-codes.aspx">https://fd.house.gov/reference/asset-type-codes.aspx</a>.

#### ASSET CLASS DETAILS

- o Individual 401(K) (Owner: SP) Description: James D Newman Charles Schwab & Co Inc Cust James D Newman & Assoc 401(K)
- o Rollover IRA (Owner: SP)
  Description: James D Newman Charles Schwab & Co Inc Cust IRA Rollover

## **INITIAL PUBLIC OFFERINGS**

C Yes No

### **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Marie Newman, 04/21/2021