

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

Name: Hon. Alan S. Lowenthal

**Status:** Member **State/District:** CA47

#### **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Uber Technologies, Inc. (UBER) [ST]	S	06/29/2022	06/29/2022	\$1,001 - \$15,000	
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman -	Traditional IRA				
2000086472	SP	VMWare Inc Note [CS]	P	09/10/2021	11/08/2021	\$15,001 - \$50,000	
		FILING STATUS: Deleted					
		Subholding Of: Neuberger Berman - Traditional IRA Description: Interest rate is 1.40%, maturity date is 08/15/2026					

<sup>\*</sup> For the complete list of asset type abbreviations, please visit <a href="https://fd.house.gov/reference/asset-type-codes.aspx">https://fd.house.gov/reference/asset-type-codes.aspx</a>.

## **ASSET CLASS DETAILS**

• Neuberger Berman - Traditional IRA (Owner: SP)

#### **INITIAL PUBLIC OFFERINGS**

O Yes No

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal, 07/06/2022