## Periodic Transaction Report

NAME:

OFFICE TELEPHONE:

X Initial Report

Amendment



LEGISLATIVE RESOURCE CENTER

D <sub>A</sub>	DAVID PHILLIP ROE 42:	423-929-7671	671					<b>&gt;</b>		Initial Report		Amen	Amendment	;		-
			.			j		Date of I	Date of Report being Amended:	ng Amenx	<u>\$</u>			3	(	
# N	Member of the U.S. House of Representatives  TN District: 01		, et d	Officer or Employee	8		Did you	ou purcha Public O	purchase any s ublic Offering?	hares th	uat were	aflocat	purchase any shares that were allocated as a part of an	art of an	_	1
	Fite an original and 2 coptes.	Enploying Onice:		n origi	File an original and 1 copy.		IIII		, Barrian			ļ ,—		١		
	FULL ASSET NAME	, <sub>1</sub> -	TYPE OF TRANS- ACTION	ର ହିନ୍ଦୁ	DATE OF TRANS- ACTION	DATE NOTIFIED OF TRANS- ACTION			AM	TNUC	아 TR	LANS	AMOUNT OF TRANSACTION	_		
1	Provide full name, not ticker symbol.	PURCHASE	SALE	EXCHANGE	(MO/DAYYR)	(MO/DAYR)	\$1,000- \$15,000	\$15,001- \$50,000	\$50,001- \$100,000	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,090,000	\$1,000,001- \$5,000,000	\$5,000,001- \$25,000,000	\$25,000,001- \$50,000,000	Over _
5	Example: Maga Corp. Common Stock		×	_	8/14/12	8/14/12		×								
	PEPSICO INC GLB 02.750% MAR 05 2022 RJ		X		10/29/19	11/4/19	X									
	SOUTHERN CO GLB 02.950% JUL 01 2023 F	3π □ π	X		10/29/19	11/4/19	X									
	CITIGROUP INC GLB 03.875% OCT 25 2023	23	X		10/29/19	11/4/19	X									
	KINDER MORGAN ENER PART COMPANY (		X		10/29/19	11/4/19	$\boxtimes$									
	AMERICAN INTL GROUP GLB 04.125% FEB	<b>25</b> 00	X		10/29/19	11/4/19	X									

Please indicate whether this is an initial report or an amended report for amendments, please provide the date of the report you are amending.

FOOTNOTE NUMBER	FILER NOTES (optional)

	FULL ASSET NAME	≱≓₹	TYPE OF TRANS- ACTION	₹ <u>&amp;</u> ð	DATE OF TRANS- ACTION	DATE NOTIFIED OF TRANS- ACTION			AM	TNUO	OF TI	RANS,	AMOUNT OF TRANSACTION	2	
ង	Provide full name, not ticker symbol.	PURCHASE	SALE	EXCHANGE	(MO/DAY/R)	(MO/DAYR)	000- 5,000 >	5,001- 0,000	),001- 00,000	00,001- 50,000	50,001- m 00,000	00,001- 000,000	000,001- ഒ 000,000	000,001+ 5,000,000 ==	5,000,001- 0,000,000
	ROYAL BANK OF CANADA SER GMTN GLB		X		10/29/19	11/4/19	X								
	THERMO FISHER SCIENTIFIC GLB 04.150%		X		10/29/19	11/4/19	X								
	GOLDMAN SACHS GROUP INC GLB 02.6009		X		10/29/19	11/4/19	X								
	ABBOTT LABORATORIES GLB 02.900% NO		$ \mathbf{X} $		10/29/19	11/4/19	X								
	WELLS FARGO & COMPANY GLB 02.100% J				10/29/19	11/4/19	X								
	VISA INC GLB 02.800% DEC 14 2022 RATING		X		10/29/19	11/4/19	X								
	TOYOTA MOTOR CREDIT CORP SER MTN (		X		10/29/19	11/4/19	X								

FOOTNOTE NUMBER	FILER NOTES (optional)
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	JT							
FULL ASSET NAME	Provide full name, not ticker symbol.	NORTHROP GRUMMAN CORP GLB 03.250%	CVS HEALTH CORP GLB 03.700% MAR 09 2	UNITED TECHNOLOGIES CORP GLB 03.950	HOME DEPOT INC GLB 02.625% JUN 01 202	CHEVRON CORP GLB 02.100% MAY 16 202	UNITED TECHNOLOGIES CORP GLB 03.950	VERIZON COMMUNICATIONS COM
⋛≢⋨	PURCHASE			X			X	X
TYPE OF TRANS-ACTION	SALE	X		<u> </u>	<u> </u>	N N	Щ	
<b>Σ</b> Ψ Υ	EXCHANGE							
DATE OF TRANS- ACTION	(MODAYR)	10/29/19	10/29/19	10/29/19	10/29/19	10/29/19	10/29/19	10/29/19
DATE NOTIFIED OF TRANS- ACTION	(MO/DAYTR)	11/4/19	11/4/19	11/4/19	11/4/19	11/4/19	11/4/19	11/4/19
	\$1,000- \$15,000	X	X	X	X	X	X	X
	\$15,001- \$50,000							
<u></u>	\$50,001- \$100,000							
TNUO	\$100,001- \$250,000							
유	\$250,001- \$500,000							
ANS,	\$500,001- \$1,000,000							
	I #4 000 004							
АСТЮ	\$1,000,001- \$5,000,000			L! 	<u> </u>	'		<u> </u>
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	\$5,000,000 \$5,000,001-							

FOOTNOTE NUMBER	E FILER NOTES (optional)
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		5							
FULL ASSET NAME		Provide full name, not ticker symbol.	GENL DYNAMICS CORP UNSOLICITED	AUTOMATIC DATA PROCESSING INC 40648	SWATCH GROUP AG ADR UNSOLICITED	EXPEDITORS INTL WASH INC UNSOLICITE	CRANE CO UNSOLICITED	PHILIP MORRIS INTL INC UNSOLICITED	TRACTOR SUPPLY COMPANY UNSOLICITE
≽∃J		PURCHASE	X	X	X	X	X	X	X
TYPE OF TRANS-ACTION		SALE							
꿀쭈읶	•	EXCHANGE							
DATE OF TRANS- ACTION		(MO/DAYR)	10/29/19	10/16/19	10/16/19	10/15/19	10/14/19	10/8/19	10/2/19
DATE NOTIFIED OF TRANS- ACTION		(MO/DA/YR)	11/4/19	11/4/19	11/4/19	11/4/19	11/4/19	11/4/19	11/4/18
	A	\$1,000- \$15,000	X		X	X		X	
	60	\$15,001- \$50,000		$\boxtimes$			X		X
A.	Ċ	\$50,001- \$100,000							
2		\$100,000							
Ž	D	\$100,001- \$250,000							
JNT OF TI	D E	\$100,001-							
JNT OF TRANS		\$100,001- \$250,000 \$250,001-							
JNT OF TRANSACTIO	m	\$100,001- \$250,000 \$250,001- \$500,000 \$500,001-							
AMOUNT OF TRANSACTION	Ti I	\$100,001- \$250,000 \$250,001- \$500,001- \$1,000,000 \$1,000,001-							
JNT OF TRANSACTION	E G	\$100,001- \$250,000 \$250,001- \$500,001- \$1,000,000 \$1,000,001- \$5,000,000							

FOOTNOTE NUMBER	FILER NOTES (optional)

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FULL ASSET NAME		Provide full name, not ticker symbol.	UNTO TECHNOLOGIES CORP	PUBLIC SERVICE ENTERPRSE GROUP INC	WALT DISNEY CO (HOLDING CO) DISNEY C	EATON CORP PLC UNSOLICITED	ROYAL DUTCH SHELL PLC CL A SPON ADR	TARGET CORP UNSOLICITED	DIAGEO PLC NEW GB SPON ADR
≽∃J	:	PURCHASE							X
TYPE OF TRANS-ACTION		SALE	X	X	X	X	X	X	
울주유	:	EXCHANGE							
DATE OF TRANS- ACTION		(MO/DAYR)	10/29/19	10/16/19	10/15/19	10/14/19	10/8/19	10/2/19	10/15/19
DATE NOTIFIED OF TRANS- ACTION		(MO/DAYR)	11/4/19	11/4/19	11/4/19	11/4/19	11/4/19	11/4/19	11/4/19
	Ä	\$1,000- \$15,000	X		X	X	X	X	X
	8	\$15,001- \$50,000		X					
MA	C	\$50,001- \$100,000							
TNUO	0	\$100,001- \$250,000							
OF T	m	\$250,001- \$500,000							
ZANS/	TR	\$500,001- \$1,000,000							
AMOUNT OF TRANSACTION	G	\$1,000,001- \$5,000,000							
2	Ŧ	\$5,000,001- \$25,000,000							
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	-	\$25,000,001- \$50,000,000							

FOOTNOTE	TE FILER NOTES (optional)

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	MEDTRONIC PLC DE	LINDE PLC EUR DE	DIAGEO PLC NEW GB SPON ADR	MEDTRONIC PLC DE	LINDE PLC EUR DE	Provide fut name, not ticker symbol.	FULL ASSET NAME
		X	X		X	PURCHASE	중류국
						SALE EXCHANGE	TYPE OF TRANS-ACTION
<u>l_</u>			<u> </u>	<u> </u>	1		
	10/15/19	10/15/19	10/15/19	10/15/19	10/15/19	(MO/DAYPR)	DATE OF TRANS- ACTION
	11/4/19	11/4/19	11/4/19	11/4/19	11/4/19	(MO/DAYR)	DATE NOTIFIED OF TRANS- ACTION
							_
	X	X	X	X	X	\$1,000- \$15,000	- 0
					X		
					X	\$1,000- \$15,000 >	-
						\$1,000- \$15,000 > \$15,001- \$50,000 @	-
						\$1,000- \$15,000 > \$15,001- \$50,000 \$ \$50,001- \$100,000 \$	-
						\$1,000- \$15,000- \$15,001- \$50,000- \$50,001- \$100,001- \$250,000- \$250,001-	-
						\$1,000- \$15,000 > \$15,001- \$50,000	-
						\$1,000- \$15,001- \$50,001- \$100,000- \$100,001- \$250,000- \$250,000- \$1,000,000- \$1,000,001-	AMOUNT OF TRANSACTION
						\$1,000- \$15,001- \$50,001- \$50,001- \$100,000 C \$100,001- \$250,000 T \$500,000 T \$1,000,001- \$5,000,000 C	AMOUNT OF TRANSACTION