# **Coroutines**

If Python books are any guide, [coroutines are] the most poorly documented, obscure, and apparently useless feature of Python.

— David Beazley Python author

We find two main senses for the verb "to yield" in dictionaries: to produce or to give way. Both senses apply in Python when we use the yield keyword in a generator. A line such as yield item produces a value that is received by the caller of next(...), and it also gives way, suspending the execution of the generator so that the caller may proceed until it's ready to consume another value by invoking next() again. The caller pulls values from the generator.

A coroutine is syntactically like a generator: just a function with the yield keyword in its body. However, in a coroutine, yield usually appears on the right side of an expression (e.g., datum = yield), and it may or may not produce a value—if there is no expression after the yield keyword, the generator yields None. The coroutine may receive data from the caller, which uses .send(datum) instead of next(...) to feed the coroutine. Usually, the caller pushes values into the coroutine.

It is even possible that no data goes in or out through the yield keyword. Regardless of the flow of data, yield is a control flow device that can be used to implement cooperative multitasking: each coroutine yields control to a central scheduler so that other coroutines can be activated.

When you start thinking of yield primarily in terms of control flow, you have the mindset to understand coroutines.

Python coroutines are the product of a series of enhancements to the humble generator functions we've seen so far in the book. Following the evolution of coroutines in Python helps understand their features in stages of increasing functionality and complexity.

After a brief overview of how generators were enable to act as a coroutine, we jump to the core of the chapter. Then we'll see:

- The behavior and states of a generator operating as a coroutine
- Priming a coroutine automatically with a decorator
- How the caller can control a coroutine through the .close() and .throw(...) methods of the generator object
- How coroutines can return values upon termination
- Usage and semantics of the new yield from syntax
- A use case: coroutines for managing concurrent activities in a simulation

### How Coroutines Evolved from Generators

The infrastructure for coroutines appeared in PEP 342 — Coroutines via Enhanced Generators, implemented in Python 2.5 (2006): since then, the yield keyword can be used in an expression, and the .send(value) method was added to the generator API. Using .send(...), the caller of the generator can post data that then becomes the value of the yield expression inside the generator function. This allows a generator to be used as a coroutine: a procedure that collaborates with the caller, yielding and receiving values from the caller.

In addition to .send(...), PEP 342 also added .throw(...) and .close() methods that respectively allow the caller to throw an exception to be handled inside the generator, and to terminate it. These features are covered in the next section and in "Coroutine Termination and Exception Handling" on page 471.

The latest evolutionary step for coroutines came with PEP 380 - Syntax for Delegating to a Subgenerator, implemented in Python 3.3 (2012). PEP 380 made two syntax changes to generator functions, to make them more useful as coroutines:

- A generator can now return a value; previously, providing a value to the return statement inside a generator raised a SyntaxError.
- The yield from syntax enables complex generators to be refactored into smaller, nested generators while avoiding a lot of boilerplate code previously required for a generator to delegate to subgenerators.

These latest changes will be addressed in "Returning a Value from a Coroutine" on page 475 and "Using yield from" on page 477.

Let's follow the established tradition of *Fluent Python* and start with some very basic facts and examples, then move into increasingly mind-bending features.

### Basic Behavior of a Generator Used as a Coroutine

Example 16-1 illustrates the behavior of a coroutine.

Example 16-1. Simplest possible demonstration of coroutine in action

```
>>> def simple_coroutine(): # ①
...     print('-> coroutine started')
...     x = yield # ②
...     print('-> coroutine received:', x)
...
>>> my_coro = simple_coroutine()
>>> my_coro # ③
<generator object simple_coroutine at 0x100c2be10>
>>> next(my_coro) # ④
-> coroutine started
>>> my_coro.send(42) # ⑤
-> coroutine received: 42
Traceback (most recent call last): # ⑥
...
StopIteration
```

- A coroutine is defined as a generator function: with yield in its body.
- yield is used in an expression; when the coroutine is designed just to receive data from the client it yields None—this is implicit because there is no expression to the right of the yield keyword.
- **3** As usual with generators, you call the function to get a generator object back.
- The first call is next(...) because the generator hasn't started so it's not waiting in a yield and we can't send it any data initially.
- This call makes the yield in the coroutine body evaluate to 42; now the coroutine resumes and runs until the next yield or termination.
- In this case, control flows off the end of the coroutine body, which prompts the generator machinery to raise StopIteration, as usual.

A coroutine can be in one of four states. You can determine the current state using the inspect.getgeneratorstate(...) function, which returns one of these strings:

```
'GEN_CREATED'
Waiting to start execution.
```

'GEN RUNNING'

Currently being executed by the interpreter.<sup>1</sup>

 You'll only see this state in a multithreaded application—or if the generator object calls getgenerator state on itself, which is not useful.

```
'GEN SUSPENDED'
```

Currently suspended at a yield expression.

```
'GEN_CLOSED'
```

Execution has completed.

Because the argument to the send method will become the value of the pending yield expression, it follows that you can only make a call like my\_coro.send(42) if the coroutine is currently suspended. But that's not the case if the coroutine has never been activated—when its state is 'GEN\_CREATED'. That's why the first activation of a coroutine is always done with next(my\_coro)—you can also call my\_coro.send(None), and the effect is the same.

If you create a coroutine object and immediately try to send it a value that is not None, this is what happens:

```
>>> my_coro = simple_coroutine()
>>> my_coro.send(1729)
Traceback (most recent call last):
   File "<stdin>", line 1, in <module>
TypeError: can't send non-None value to a just-started generator
```

Note the error message: it's quite clear.

The initial call next(my\_coro) is often described as "priming" the coroutine (i.e., advancing it to the first yield to make it ready for use as a live coroutine).

To get a better feel for the behavior of a coroutine, an example that yields more than once is useful. See Example 16-2.

Example 16-2. A coroutine that yields twice

```
>>> def simple_coro2(a):
       print('-> Started: a =', a)
. . .
       b = yield a
     print('-> Received: b =', b)
. . .
       c = yield a + b
       print('-> Received: c =', c)
. . .
>>> my_coro2 = simple_coro2(14)
>>> from inspect import getgeneratorstate
>>> getgeneratorstate(my coro2) 1
'GEN_CREATED'
>>> next(my_coro2) 2
-> Started: a = 14
'GEN_SUSPENDED'
>>> my coro2.send(28) 4
-> Received: b = 28
42
```

```
>>> my_coro2.send(99)
-> Received: c = 99
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
StopIteration
>>> getgeneratorstate(my_coro2)
'GEN CLOSED'
```

- inspect.getgeneratorstate reports GEN\_CREATED (i.e., the coroutine has not started).
- Advance coroutine to first yield, printing -> Started: a = 14 message then yielding value of a and suspending to wait for value to be assigned to b.
- **g**etgeneratorstate reports GEN\_SUSPENDED (i.e., the coroutine is paused at a yield expression).
- Send number 28 to suspended coroutine; the yield expression evaluates to 28 and that number is bound to b. The -> Received: b = 28 message is displayed, the value of a + b is yielded (42), and the coroutine is suspended waiting for the value to be assigned to c.
- Send number 99 to suspended coroutine; the yield expression evaluates to 99 the number is bound to c. The -> Received: c = 99 message is displayed, then the coroutine terminates, causing the generator object to raise StopIteration.
- **9** getgeneratorstate reports GEN\_CLOSED (i.e., the coroutine execution has completed).

It's crucial to understand that the execution of the coroutine is suspended exactly at the yield keyword. As mentioned before, in an assignment statement, the code to the right of the = is evaluated before the actual assignment happens. This means that in a line like b = yield a, the value of b will only be set when the coroutine is activated later by the client code. It takes some effort to get used to this fact, but understanding it is essential to make sense of the use of yield in asynchronous programming, as we'll see later.

Execution of the simple\_coro2 coroutine can be split in three phases, as shown in Figure 16-1:

- 1. next(my\_coro2) prints first message and runs to yield a, yielding number 14.
- 2. my\_coro2.send(28) assigns 28 to b, prints second message, and runs to yield a + b, yielding number 42.
- my\_coro2.send(99) assigns 99 to c, prints third message, and the coroutine terminates.

```
>>> my_coro2 = simple_coro2(14)
def simple coro2(a):
                                            >>> next(my_coro2)
                                           -> Started: a = 14
   print('-> Started: a =', a)
   b = yield a
   print('-> Received: b =', b)
                                            >>> my_coro2.send(28)
    c = yield a + b
                                           -> Received: b = 28
    print('-> Received: c =', c)
                                            >>> my_coro2.send(99)
                                           -> Received: c = 99
                                            Traceback (most recent call last):
                                              File "<stdin>", line 1, in <module>
                                            StopIteration
```

Figure 16-1. Three phases in the execution of the simple\_coro2 coroutine (note that each phase ends in a yield expression, and the next phase starts in the very same line, when the value of the yield expression is assigned to a variable)

Now let's consider a slightly more involved coroutine example.

# **Example: Coroutine to Compute a Running Average**

While discussing closures in Chapter 7, we studied objects to compute a running average: Example 7-8 shows a plain class and Example 7-14 presents a higher-order function producing a closure to keep the total and count variables across invocations. Example 16-3 shows how to do the same with a coroutine.<sup>2</sup>

Example 16-3. coroaverager0.py: code for a running average coroutine

```
def averager():
    total = 0.0
    count = 0
    average = None
    while True:
        term = yield average
        total += term
        count += 1
        average = total/count
```

- This infinite loop means this coroutine will keep on accepting values and producing results as long as the caller sends them. This coroutine will only terminate when the caller calls .close() on it, or when it's garbage collected because there are no more references to it.
  - 2. This example is inspired by a snippet from Jacob Holm in the Python-ideas list, message titled "Yield-From: Finalization guarantees." Some variations appear later in the thread, and Holm further explains his thinking in message 003912.

The yield statement here is used to suspend the coroutine, produce a result to the caller, and—later—to get a value sent by the caller to the coroutine, which resumes its infinite loop.

The advantage of using a coroutine is that total and count can be simple local variables: no instance attributes or closures are needed to keep the context between calls. Example 16-4 are doctests to show the averager coroutine in operation.

Example 16-4. coroaverager0.py: doctest for the running average coroutine in Example 16-3

- **1** Create the coroutine object.
- **2** Prime it by calling next.
- Now we are in business: each call to .send(...) yields the current average.

In the doctest (Example 16-4), the call next(coro\_avg) makes the coroutine advance to the yield, yielding the initial value for average, which is None, so it does not appear on the console. At this point, the coroutine is suspended at the yield, waiting for a value to be sent. The line coro\_avg.send(10) provides that value, causing the coroutine to activate, assigning it to term, updating the total, count, and average variables, and then starting another iteration in the while loop, which yields the average and waits for another term.

The attentive reader may be anxious to know how the execution of an averager instance (e.g., coro\_avg) may be terminated, because its body is an infinite loop. We'll cover that in "Coroutine Termination and Exception Handling" on page 471.

But before discussing coroutine termination, let's talk about getting them started. Priming a coroutine before use is a necessary but easy-to-forget chore. To avoid it, a special decorator can be applied to the coroutine. One such decorator is presented next.

# **Decorators for Coroutine Priming**

You can't do much with a coroutine without priming it: we must always remember to call next(my\_coro) before my\_coro.send(x). To make coroutine usage more conve-

nient, a priming decorator is sometimes used. The coroutine decorator in Example 16-5 is an example.3

Example 16-5. coroutil.py: decorator for priming coroutine

```
from functools import wraps
def coroutine(func):
   """Decorator: primes `func` by advancing to first `yield`"""
   @wraps(func)
   def primer(*args,**kwargs):
       gen = func(*args,**kwargs)
       next(gen) 3
       return gen
   return primer
```

- The decorated generator function is replaced by this primer function which, when invoked, returns the primed generator.
- Call the decorated function to get a generator object.
- Prime the generator.
- Return it.

Example 16-6 shows the @coroutine decorator in use. Contrast with Example 16-3.

Example 16-6. coroaverager1.py: doctest and code for a running average coroutine using the @coroutine decorator from Example 16-5

.....

```
A coroutine to compute a running average
```

```
>>> coro_avg = averager()
   >>> from inspect import getgeneratorstate
   'GEN SUSPENDED'
   >>> coro avg.send(10)
   10.0
   >>> coro avg.send(30)
   20.0
   >>> coro_avg.send(5)
   15.0
from coroutil import coroutine
```



3. There are several similar decorators published on the Web. This one is adapted from the ActiveState recipe Pipeline made of coroutines by Chaobin Tang, who in turn credits David Beazley.

```
def averager():
    total = 0.0
    count = 0
    average = None
    while True:
        term = yield average
        total += term
        count += 1
        average = total/count
```

- Call averager(), creating a generator object that is primed inside the primer function of the coroutine decorator.
- **2** getgeneratorstate reports GEN\_SUSPENDED, meaning that the coroutine is ready to receive a value.
- You can immediately start sending values to coro\_avg: that's the point of the decorator.
- Import the coroutine decorator.
- **6** Apply it to the averager function.
- The body of the function is exactly the same as Example 16-3.

Several frameworks provide special decorators designed to work with coroutines. Not all of them actually prime the coroutine—some provide other services, such as hooking it to an event loop. One example from the Tornado asynchronous networking library is the tornado.gen decorator.

The yield from syntax we'll see in "Using yield from" on page 477 automatically primes the coroutine called by it, making it incompatible with decorators such as @coroutine from Example 16-5. The asyncio.coroutine decorator from the Python 3.4 standard library is designed to work with yield from so it does not prime the coroutine. We'll cover it in Chapter 18.

We'll now focus on essential features of coroutines: the methods used to terminate and throw exceptions into them.

# **Coroutine Termination and Exception Handling**

An unhandled exception within a coroutine propagates to the caller of the next or send that triggered it. Example 16-7 is an example using the decorated averager coroutine from Example 16-6.

Example 16-7. How an unhandled exception kills a coroutine

```
>>> from coroaverager1 import averager
>>> coro_avg = averager()
>>> coro_avg.send(40) # 1
```

```
40.0
>>> coro avg.send(50)
>>> coro avg.send('spam') # 2
Traceback (most recent call last):
TypeError: unsupported operand type(s) for +=: 'float' and 'str'
>>> coro_avg.send(60) # 3
Traceback (most recent call last):
 File "<stdin>", line 1, in <module>
StopIteration
```

- 0 Using the @coroutine decorated averager we can immediately start sending values.
- 0 Sending a nonnumeric value causes an exception inside the coroutine.
- 8 Because the exception was not handled in the coroutine, it terminated. Any attempt to reactivate it will raise StopIteration.

The cause of the error was the sending of a value 'spam' that could not be added to the total variable in the coroutine.

Example 16-7 suggests one way of terminating coroutines: you can use send with some sentinel value that tells the coroutine to exit. Constant built-in singletons like None and Ellipsis are convenient sentinel values. Ellipsis has the advantage of being quite unusual in data streams. Another sentinel value I've seen used is StopIteration—the class itself, not an instance of it (and not raising it). In other words, using it like: my\_co ro.send(StopIteration).

Since Python 2.5, generator objects have two methods that allow the client to explicitly send exceptions into the coroutine—throw and close:

```
generator.throw(exc_type[, exc_value[, traceback]])
```

Causes the yield expression where the generator was paused to raise the exception given. If the exception is handled by the generator, flow advances to the next yield, and the value yielded becomes the value of the generator. throw call. If the exception is not handled by the generator, it propagates to the context of the caller.

```
generator.close()
```

Causes the yield expression where the generator was paused to raise a Generator Exit exception. No error is reported to the caller if the generator does not handle that exception or raises StopIteration—usually by running to completion. When receiving a GeneratorExit, the generator must not yield a value, otherwise a Run timeError is raised. If any other exception is raised by the generator, it propagates to the caller.



The official documentation of the generator object methods is buried deep in *The Python Language Reference*, (see 6.2.9.1. Generator-iterator methods).

Let's see how close and throw control a coroutine. Example 16-8 lists the demo\_exc\_han dling function used in the following examples.

Example 16-8. coro\_exc\_demo.py: test code for studying exception handling in a coroutine

- Special handling for DemoException.
- 2 If no exception, display received value.
- **3** This line will never be executed.

The last line in Example 16-8 is unreachable because the infinite loop can only be aborted with an unhandled exception, and that terminates the coroutine immediately.

Normal operation of demo\_exc\_handling is shown in Example 16-9.

Example 16-9. Activating and closing demo\_exc\_handling without an exception

```
>>> exc_coro = demo_exc_handling()
>>> next(exc_coro)
-> coroutine started
>>> exc_coro.send(11)
-> coroutine received: 11
>>> exc_coro.send(22)
-> coroutine received: 22
>>> exc_coro.close()
>>> from inspect import getgeneratorstate
>>> getgeneratorstate(exc_coro)
'GEN CLOSED'
```

If the DemoException is thrown into the coroutine, it's handled and the demo\_exc\_han dling coroutine continues, as in Example 16-10.

Example 16-10. Throwing DemoException into demo\_exc\_handling does not break it

```
>>> exc_coro = demo_exc_handling()
>>> next(exc coro)
-> coroutine started
>>> exc coro.send(11)
-> coroutine received: 11
>>> exc_coro.throw(DemoException)
*** DemoException handled. Continuing...
>>> getgeneratorstate(exc coro)
'GEN SUSPENDED'
```

On the other hand, if an unhandled exception is thrown into the coroutine, it stops its state becomes 'GEN\_CLOSED'. Example 16-11 demonstrates it.

Example 16-11. Coroutine terminates if it can't handle an exception thrown into it

```
>>> exc_coro = demo_exc_handling()
>>> next(exc coro)
-> coroutine started
>>> exc coro.send(11)
-> coroutine received: 11
>>> exc_coro.throw(ZeroDivisionError)
Traceback (most recent call last):
ZeroDivisionError
>>> getgeneratorstate(exc coro)
'GEN CLOSED'
```

If it's necessary that some cleanup code is run no matter how the coroutine ends, you need to wrap the relevant part of the coroutine body in a try/finally block, as in Example 16-12.

Example 16-12. coro\_finally\_demo.py: use of try/finally to perform actions on coroutine termination

```
class DemoException(Exception):
    """An exception type for the demonstration."""
def demo_finally():
   print('-> coroutine started')
   try:
        while True:
            trv:
                x = yield
            except DemoException:
                print('*** DemoException handled. Continuing...')
            else:
```

```
print('-> coroutine received: {!r}'.format(x))
finally:
    print('-> coroutine ending')
```

One of the main reasons why the yield from construct was added to Python 3.3 has to do with throwing exceptions into nested coroutines. The other reason was to enable coroutines to return values more conveniently. Read on to see how.

## Returning a Value from a Coroutine

Example 16-13 shows a variation of the averager coroutine that returns a result. For didactic reasons, it does not yield the running average with each activation. This is to emphasize that some coroutines do not yield anything interesting, but are designed to return a value at the end, often the result of some accumulation.

The result returned by averager in Example 16-13 is a named tuple with the number of terms averaged (count) and the average. I could have returned just the average value, but returning a tuple exposes another interesting piece of data that was accumulated: the count of terms.

Example 16-13. coroaverager2.py: code for an averager coroutine that returns a result

```
from collections import namedtuple
Result = namedtuple('Result', 'count average')
def averager():
   total = 0.0
    count = 0
    average = None
   while True:
       term = yield
       if term is None:
           break 1
       total += term
        count += 1
        average = total/count
    return Result(count, average)
```

- In order to return a value, a coroutine must terminate normally; this is why this version of averager has a condition to break out of its accumulating loop.
- 0 Return a namedtuple with the count and average. Before Python 3.3, it was a syntax error to return a value in a generator function.

To see how this new averager works, we can drive it from the console, as in Example 16-14.

Example 16-14. coroaverager2.py: doctest showing the behavior of averager

```
>>> coro_avg = averager()
>>> next(coro_avg)
>>> coro avg.send(10)
>>> coro_avg.send(30)
>>> coro avg.send(6.5)
>>> coro_avg.send(None)
Traceback (most recent call last):
StopIteration: Result(count=3, average=15.5)
```

- 0 This version does not yield values.
- Sending None terminates the loop, causing the coroutine to end by returning the result. As usual, the generator object raises StopIteration. The value attribute of the exception carries the value returned.

Note that the value of the return expression is smuggled to the caller as an attribute of the StopIteration exception. This is a bit of a hack, but it preserves the existing behavior of generator objects: raising StopIteration when exhausted.

Example 16-15 shows how to retrieve the value returned by the coroutine.

Example 16-15. Catching StopIteration lets us get the value returned by averager

```
>>> coro_avg = averager()
>>> next(coro avg)
>>> coro avg.send(10)
>>> coro_avg.send(30)
>>> coro avg.send(6.5)
>>> try:
... coro_avg.send(None)
... except StopIteration as exc:
... result = exc.value
>>> result
Result(count=3, average=15.5)
```

This roundabout way of getting the return value from a coroutine makes more sense when we realize it was defined as part of PEP 380, and the yield from construct handles it automatically by catching StopIteration internally. This is analogous to the use of StopIteration in for loops: the exception is handled by the loop machinery in a way that is transparent to the user. In the case of yield from, the interpreter not only consumes the StopIteration, but its value attribute becomes the value of the yield from expression itself. Unfortunately we can't test this interactively in the console, because it's a syntax error to use yield from—or yield, for that matter—outside of a function.4

The next section has an example where the averager coroutine is used with yield from to produce a result, as intended in PEP 380. So let's tackle yield from.

# Using yield from

The first thing to know about yield from is that it is a completely new language construct. It does so much more than yield that the reuse of that keyword is arguably misleading. Similar constructs in other languages are called await, and that is a much better name because it conveys a crucial point: when a generator gen calls yield from subgen(), the subgen takes over and will yield values to the caller of gen; the caller will in effect drive subgen directly. Meanwhile gen will be blocked, waiting until subgen terminates.5

We've seen in Chapter 14 that yield from can be used as a shortcut to yield in a for loop. For example, this:

```
>>> def gen():
            for c in 'AB':
               yield c
           for i in range(1, 3):
                vield i
    . . .
    >>> list(gen())
    ['A', 'B', 1, 2]
Can be written as:
    >>> def gen():
            yield from 'AB'
            yield from range(1, 3)
    >>> list(gen())
    ['A', 'B', 1, 2]
```

- 4. There is an iPython extension called ipython-yf that enables evaluating yield from directly in the iPython console. It's used to test asynchronous code and works with asyncio. It was submitted as a patch to Python 3.5 but was not accepted. See Issue #22412: Towards an asyncio-enabled command line in the Python bug tracker.
- 5. As I write this, there is an open PEP proposing the addition of await and async keywords: PEP 492 Coroutines with async and await syntax.

When we first mentioned yield from in "New Syntax in Python 3.3: yield from" on page 433, the code from Example 16-16 demonstrates a practical use for it.<sup>6</sup>

Example 16-16. Chaining iterables with yield from

```
>>> def chain(*iterables):
... for it in iterables:
         vield from it
>>> s = 'ABC'
>>> t = tuple(range(3))
>>> list(chain(s, t))
['A', 'B', 'C', 0, 1, 2]
```

A slightly more complicated—but more useful—example of yield from is in "Recipe 4.14. Flattening a Nested Sequence" in Beazley and Jones's *Python Cookbook*, *3E* (source code available on GitHub).

The first thing the yield from x expression does with the x object is to call iter(x) to obtain an iterator from it. This means that x can be any iterable.

However, if replacing nested for loops yielding values was the only contribution of yield from, this language addition wouldn't have had a good chance of being accepted. The real nature of yield from cannot be demonstrated with simple iterables; it requires the mind-expanding use of nested generators. That's why PEP 380, which introduced yield from, is titled "Syntax for Delegating to a Subgenerator."

The main feature of yield from is to open a bidirectional channel from the outermost caller to the innermost subgenerator, so that values can be sent and yielded back and forth directly from them, and exceptions can be thrown all the way in without adding a lot of exception handling boilerplate code in the intermediate coroutines. This is what enables coroutine delegation in a way that was not possible before.

The use of yield from requires a nontrivial arrangement of code. To talk about the required moving parts, PEP 380 uses some terms in a very specific way:

delegating generator

The generator function that contains the yield from <iterable> expression.

subgenerator

The generator obtained from the <iterable> part of the yield from expression. This is the "subgenerator" mentioned in the title of PEP 380: "Syntax for Delegating to a Subgenerator."

6. Example 16-16 is a didactic example only. The itertools module already provides an optimized chain function written in C.

caller

PEP 380 uses the term "caller" to refer to the client code that calls the delegating generator. Depending on context, I use "client" instead of "caller," to distinguish from the delegating generator, which is also a "caller" (it calls the subgenerator).



PEP 380 often uses the word "iterator" to refer to the subgenerator. That's confusing because the delegating generator is also an iterator. So I prefer to use the term subgenerator, in line with the title of the PEP—"Syntax for Delegating to a Subgenerator." However, the subgenerator can be a simple iterator implementing only \_\_next\_\_, and yield from can handle that too, although it was created to support generators implementing \_\_next\_\_, send, close, and throw.

Example 16-17 provides more context to see yield from at work, and Figure 16-2 identifies the relevant parts of the example.<sup>7</sup>

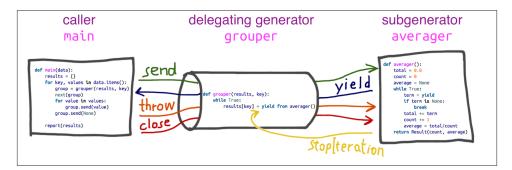


Figure 16-2. While the delegating generator is suspended at yield from, the caller sends data directly to the subgenerator, which yields data back to the caller. The delegating generator resumes when the subgenerator returns and the interpreter raises StopIteration with the returned value attached.

The coroaverager3.py script reads a dict with weights and heights from girls and boys in an imaginary seventh grade class. For example, the key 'boys;m' maps to the heights of 9 boys, in meters; 'girls;kg' are the weights of 10 girls in kilograms. The script feeds the data for each group into the averager coroutine we've seen before, and produces a report like this one:

- \$ python3 coroaverager3.py
  9 boys averaging 40.42kg
- 7. The picture in Figure 16-2 was inspired by a diagram by Paul Sokolovsky.

```
9 boys averaging 1.39m
10 girls averaging 42.04kg
10 girls averaging 1.43m
```

The code in Example 16-17 is certainly not the most straightforward solution to the problem, but it serves to show yield from in action. This example is inspired by the one given in What's New in Python 3.3.

Example 16-17. coroaverager3.py: using yield from to drive averager and report statistics

```
from collections import namedtuple
Result = namedtuple('Result', 'count average')
# the subgenerator
total = 0.0
   count = 0
   average = None
   while True:
       term = yield 2
       if term is None: 

③
           break
       total += term
       count += 1
       average = total/count
   return Result(count, average)
# the delegating generator
def grouper(results, key):
   while True: 6
       results[key] = yield from averager()
# the client code, a.k.a. the caller
def main(data): 8
   results = {}
   for key, values in data.items():
       group = grouper(results, key)
       next(group)
                    0
       for value in values:
           group.send(value)
       group.send(None) # important! 12
    # print(results) # uncomment to debug
    report(results)
# output report
```

```
def report(results):
   for key, result in sorted(results.items()):
        group, unit = key.split(';')
        print('{:2} {:5} averaging {:.2f}{}'.format(
              result.count, group, result.average, unit))
data = {
    'girls:kg':
       [40.9, 38.5, 44.3, 42.2, 45.2, 41.7, 44.5, 38.0, 40.6, 44.5],
    'girls;m':
        [1.6, 1.51, 1.4, 1.3, 1.41, 1.39, 1.33, 1.46, 1.45, 1.43],
    'boys;kg':
        [39.0, 40.8, 43.2, 40.8, 43.1, 38.6, 41.4, 40.6, 36.3].
    'boys;m':
        [1.38, 1.5, 1.32, 1.25, 1.37, 1.48, 1.25, 1.49, 1.46],
}
if __name__ == '__main__':
   main(data)
```

- 0 Same averager coroutine from Example 16-13. Here it is the subgenerator.
- 2 Each value sent by the client code in main will be bound to term here.
- 0 The crucial terminating condition. Without it, a yield from calling this coroutine will block forever.
- The returned Result will be the value of the yield from expression in grouper. 4
- 6 grouper is the delegating generator.
- 6 Each iteration in this loop creates a new instance of averager; each is a generator object operating as a coroutine.
- 0 Whenever grouper is sent a value, it's piped into the averager instance by the yield from. grouper will be suspended here as long as the averager instance is consuming values sent by the client. When an averager instance runs to the end, the value it returns is bound to results[key]. The while loop then proceeds to create another averager instance to consume more values.
- 8 main is the client code, or "caller" in PEP 380 parlance. This is the function that drives everything.
- 9 group is a generator object resulting from calling grouper with the results dict to collect the results, and a particular key. It will operate as a coroutine.
- Prime the coroutine. 1
- **(1)** Send each value into the grouper. That value ends up in the term = yield line of averager; grouper never has a chance to see it.

Ø Sending None into grouper causes the current averager instance to terminate, and allows grouper to run again, which creates another averager for the next group of values.

The last callout in Example 16-17 with the comment "important!" highlights a crucial line of code: group. send(None), which terminates one averager and starts the next. If you comment out that line, the script produces no output. Uncommenting the print(re sults) line near the end of main reveals that the results dict ends up empty.



If you want to figure out for yourself why no results are collected, it will be a great way to exercise your understanding of how yield from works. The code for coroaverager3.py is in the Fluent Python code repository. The explanation is next.

Here is an overview of how Example 16-17 works, explaining what would happen if we omitted the call group.send(None) marked "important!" in main:

- Each iteration of the outer for loop creates a new grouper instance named group; this is the delegating generator.
- The call next(group) primes the grouper delegating generator, which enters its while True loop and suspends at the yield from, after calling the subgenerator averager.
- The inner for loop calls group.send(value); this feeds the subgenerator averag er directly. Meanwhile, the current group instance of grouper is suspended at the vield from.
- When the inner for loop ends, the group instance is still suspended at the yield from, so the assignment to results [key] in the body of grouper has not happened yet.
- Without the last group.send(None) in the outer for loop, the averager subgenerator never terminates, the delegating generator group is never reactivated, and the assignment to results [key] never happens.
- When execution loops back to the top of the outer for loop, a new grouper instance is created and bound to group. The previous grouper instance is garbage collected (together with its own unfinished averager subgenerator instance).



The key takeaway from this experiment is: if a subgenerator never terminates, the delegating generator will be suspended forever at the yield from. This will not prevent your program from making progress because the yield from (like the simple yield) transfers control to the client code (i.e., the caller of the delegating generator). But it does mean that some task will be left unfinished.

Example 16-17 demonstrates the simplest arrangement of yield from, with only one delegating generator and one subgenerator. Because the delegating generator works as a pipe, you can connect any number of them in a pipeline: one delegating generator uses yield from to call a subgenerator, which itself is a delegating generator calling another subgenerator with yield from, and so on. Eventually this chain must end in a simple generator that uses just yield, but it may also end in any iterable object, as in Example 16-16.

Every yield from chain must be driven by a client that calls next(...) or .send(...) on the outermost delegating generator. This call may be implicit, such as a for loop.

Now let's review the formal description of the yield from construct, as presented in PEP 380.

# The Meaning of yield from

While developing PEP 380, Greg Ewing—the author—was questioned about the complexity of the proposed semantics. One of his answers was "For humans, almost all the important information is contained in one paragraph near the top." He then quoted part of the draft of PEP 380 which at the time read as follows:

"When the iterator is another generator, the effect is the same as if the body of the subgenerator were inlined at the point of the yield from expression. Furthermore, the subgenerator is allowed to execute a return statement with a value, and that value becomes the value of the yield from expression."

Those soothing words are no longer part of the PEP—because they don't cover all the corner cases. But they are OK as a first approximation.

The approved version of PEP 380 explains the behavior of yield from in six points in the Proposal section. I reproduce them almost exactly here, except that I replaced every occurrence of the ambiguous word "iterator" with "subgenerator" and added a few clarifications. Example 16-17 illustrates these four points:

8. Message to Python-Dev: "PEP 380 (yield from a subgenerator) comments" (March 21, 2009).

- Any values that the subgenerator yields are passed directly to the caller of the delegating generator (i.e., the client code).
- Any values sent to the delegating generator using send() are passed directly to the subgenerator. If the sent value is None, the subgenerator's \_\_next\_\_() method is called. If the sent value is not None, the subgenerator's send() method is called. If the call raises StopIteration, the delegating generator is resumed. Any other exception is propagated to the delegating generator.
- return expr in a generator (or subgenerator) causes StopIteration(expr) to be raised upon exit from the generator.
- The value of the yield from expression is the first argument to the StopItera tion exception raised by the subgenerator when it terminates.

The other two features of yield from have to do with exceptions and termination:

- Exceptions other than GeneratorExit thrown into the delegating generator are passed to the throw() method of the subgenerator. If the call raises StopItera tion, the delegating generator is resumed. Any other exception is propagated to the delegating generator.
- If a GeneratorExit exception is thrown into the delegating generator, or the close() method of the delegating generator is called, then the close() method of the subgenerator is called if it has one. If this call results in an exception, it is propagated to the delegating generator. Otherwise, GeneratorExit is raised in the delegating generator.

The detailed semantics of yield from are subtle, especially the points dealing with exceptions. Greg Ewing did a great job putting them to words in English in PEP 380.

Ewing also documented the behavior of yield from using pseudocode (with Python syntax). I personally found it useful to spend some time studying the pseudocode in PEP 380. However, the pseudocode is 40 lines long and not so easy to grasp at first.

A good way to approach that pseudocode is to simplify it to handle only the most basic and common use case of yield from.

Consider that yield from appears in a delegating generator. The client code drives delegating generator, which drives the subgenerator. So, to simplify the logic involved, let's pretend the client doesn't ever call .throw(...) or .close() on the delegating generator. Let's also pretend the subgenerator never raises an exception until it terminates, when StopIteration is raised by the interpreter.

Example 16-17 is a script where those simplifying assumptions hold. In fact, in much real-life code, the delegating generator is expected to run to completion. So let's see how yield from works in this happier, simpler world.

Take a look at Example 16-18, which is an expansion of this single statement, in the body of the delegating generator:

```
RESULT = yield from EXPR
```

Try to follow the logic in Example 16-18.

Example 16-18. Simplified pseudocode equivalent to the statement RESULT = yield from EXPR in the delegating generator (this covers the simplest case: .throw(...) and .close() are not supported; the only exception handled is StopIteration)

#### RESULT = $_{\Gamma}$ 8

- The EXPR can be any iterable, because iter() is applied to get an iterator \_i (this is the subgenerator).
- 2 The subgenerator is primed; the result is stored to be the first yielded value \_y.
- If StopIteration was raised, extract the value attribute from the exception and assign it to \_r: this is the RESULT in the simplest case.
- While this loop is running, the delegating generator is blocked, operating just as a channel between the caller and the subgenerator.
- Yield the current item yielded from the subgenerator; wait for a value \_s sent by the caller. Note that this is the only yield in this listing.
- Try to advance the subgenerator, forwarding the \_s sent by the caller.
- If the subgenerator raised StopIteration, get the value, assign to \_r, and exit the loop, resuming the delegating generator.
- \_r is the RESULT: the value of the whole yield from expression.

In this simplified pseudocode, I preserved the variable names used in the pseudocode published in PEP 380. The variables are:

- \_i (iterator)
  - The subgenerator
- \_y (yielded)

A value yielded from the subgenerator

\_г (result)

The eventual result (i.e., the value of the yield from expression when the subgenerator ends)

\_s (sent)

A value sent by the caller to the delegating generator, which is forwarded to the subgenerator

\_e (exception)

An exception (always an instance of StopIteration in this simplified pseudocode)

Besides not handling .throw(...) and .close(), the simplified pseudocode always uses .send(...) to forward next() or .send(...) calls by the client to the subgenerator. Don't worry about these fine distinctions on a first reading. As mentioned, Example 16-17 would run perfectly well if the yield from did only what is shown in the simplified pseudocode in Example 16-18.

But the reality is more complicated, because of the need to handle .throw(...) and .close() calls from the client, which must be passed into the subgenerator. Also, the subgenerator may be a plain iterator that does not support .throw(...) or .close(), so this must be handled by the yield from logic. If the subgenerator does implement those methods, inside the subgenerator both methods cause exceptions to be raised, which must be handled by the yield from machinery as well. The subgenerator may also throw exceptions of its own, unprovoked by the caller, and this must also be dealt with in the yield from implementation. Finally, as an optimization, if the caller calls next(...) or .send(None), both are forwarded as a next(...) call on the subgenerator; only if the caller sends a non-None value, the .send(...) method of the subgenerator is used.

For your convenience, following is the complete pseudocode of the yield from expansion from PEP 380, syntax-highlighted and annotated. Example 16-19 was copied verbatim; only the callout numbers were added by me.

Again, the code shown in Example 16-19 is an expansion of this single statement, in the body of the delegating generator:

RESULT = yield from EXPR

Example 16-19. Pseudocode equivalent to the statement RESULT = yield from EXPR in the delegating generator

```
_i = iter(EXPR)
try:
   _y = next(_i) 2
except StopIteration as _e:
   r = e.value
else:
   while 1:
       try:
           _s = yield _y 5
       except GeneratorExit as e: 6
           try:
               m = i.close
           except AttributeError:
               pass
           else:
               _m()
           raise _e
       except BaseException as _e: 
           _x = sys.exc_info()
           trv:
               _m = _i.throw
           except AttributeError:
               raise _e
           else: (3)
               try:
                   _y = _m(*_x)
               except StopIteration as _e:
                  _r = _e.value
                   break
       else: 9
           try:
               if _s is None: 1
                  _y = next(_i)
               else:
                   _y = _i.send(_s)
           except StopIteration as _e:
               r = e.value
               break
```

#### RESULT = \_r

- The EXPR can be any iterable, because iter() is applied to get an iterator \_i (this is the subgenerator).
- 0 The subgenerator is primed; the result is stored to be the first yielded value \_y.
- 3 If StopIteration was raised, extract the value attribute from the exception and assign it to \_r: this is the RESULT in the simplest case.

- While this loop is running, the delegating generator is blocked, operating just as a channel between the caller and the subgenerator.
- Yield the current item yielded from the subgenerator; wait for a value \_s sent by the caller. This is the only yield in this listing.
- **6** This deals with closing the delegating generator and the subgenerator. Because the subgenerator can be any iterator, it may not have a close method.
- This deals with exceptions thrown in by the caller using .throw(...). Again, the subgenerator may be an iterator with no throw method to be called—in which case the exception is raised in the delegating generator.
- If the subgenerator has a throw method, call it with the exception passed from the caller. The subgenerator may handle the exception (and the loop continues); it may raise StopIteration (the \_r result is extracted from it, and the loop ends); or it may raise the same or another exception, which is not handled here and propagates to the delegating generator.
- If no exception was received when yielding...
- Try to advance the subgenerator...
- Call next on the subgenerator if the last value received from the caller was None, otherwise call send.
- If the subgenerator raised StopIteration, get the value, assign to \_r, and exit the loop, resuming the delegating generator.
- **18** \_r is the RESULT: the value of the whole yield from expression.

Most of the logic of the yield from pseudocode is implemented in six try/except blocks nested up to four levels deep, so it's a bit hard to read. The only other control flow keywords used are one while, one if, and one yield. Find the while, the yield, the next(...), and the .send(...) calls: they will help you get an idea of how the whole structure works.

Right at the top of Example 16-19, one important detail revealed by the pseudocode is that the subgenerator is primed (second callout in Example 16-19). This means that auto-priming decorators such as that in "Decorators for Coroutine Priming" on page 469 are incompatible with yield from.

In the same message I quoted in the opening of this section, Greg Ewing has this to say about the pseudocode expansion of yield from:

<sup>9.</sup> In a message to Python-ideas on April 5, 2009, Nick Coghlan questioned whether the implicit priming done by yield from was a good idea.

You're not meant to learn about it by reading the expansion—that's only there to pin down all the details for language lawyers.

Focusing on the details of the pseudocode expansion may not be helpful—depending on your learning style. Studying real code that uses yield from is certainly more profitable than poring over the pseudocode of its implementation. However, almost all the yield from examples I've seen are tied to asynchronous programming with the asyncio module, so they depend on an active event loop to work. We'll see yield from numerous times in Chapter 18. There are a few links in "Further Reading" on page 500 to interesting code using yield from without an event loop.

We'll now move on to a classic example of coroutine usage: programming simulations. This example does not showcase yield from, but it does reveal how coroutines are used to manage concurrent activities on a single thread.

## **Use Case: Coroutines for Discrete Event Simulation**

Coroutines are a natural way of expressing many algorithms, such as simulations, games, asynchronous I/O, and other forms of event-driven programming or co-operative multitasking.<sup>10</sup>

— Guido van Rossum and Phillip J. Eby PEP 342—Coroutines via Enhanced Generators

In this section, I will describe a very simple simulation implemented using just coroutines and standard library objects. Simulation is a classic application of coroutines in the computer science literature. Simula, the first OO language, introduced the concept of coroutines precisely to support simulations.



The motivation for the following simulation example is not academic. Coroutines are the fundamental building block of the asyncio package. A simulation shows how to implement concurrent activities using coroutines instead of threads—and this will greatly help when we tackle asyncio with in Chapter 18.

Before going into the example, a word about simulations.

### **About Discrete Event Simulations**

A discrete event simulation (DES) is a type of simulation where a system is modeled as a sequence of events. In a DES, the simulation "clock" does not advance by fixed increments, but advances directly to the simulated time of the next modeled event. For example, if we are simulating the operation of a taxi cab from a high-level perspective, one

10. Opening sentence of the "Motivation" section in PEP 342.

event is picking up a passenger, the next is dropping the passenger off. It doesn't matter if a trip takes 5 or 50 minutes: when the drop off event happens, the clock is updated to the end time of the trip in a single operation. In a DES, we can simulate a year of cab trips in less than a second. This is in contrast to a continuous simulation where the clock advances continuously by a fixed—and usually small—increment.

Intuitively, turn-based games are examples of discrete event simulations: the state of the game only changes when a player moves, and while a player is deciding the next move, the simulation clock is frozen. Real-time games, on the other hand, are continuous simulations where the simulation clock is running all the time, the state of the game is updated many times per second, and slow players are at a real disadvantage.

Both types of simulations can be written with multiple threads or a single thread using event-oriented programming techniques such as callbacks or coroutines driven by an event loop. It's arguably more natural to implement a continuous simulation using threads to account for actions happening in parallel in real time. On the other hand, coroutines offer exactly the right abstraction for writing a DES. SimPy<sup>11</sup> is a DES package for Python that uses one coroutine to represent each process in the simulation.



In the field of simulation, the term *process* refers to the activities of an entity in the model, and not to an OS process. A simulation process may be implemented as an OS process, but usually a thread or a coroutine is used for that purpose.

If you are interested in simulations, SimPy is well worth studying. However, in this section, I will describe a very simple DES implemented using only standard library features. My goal is to help you develop an intuition about programming concurrent actions with coroutines. Understanding the next section will require careful study, but the reward will come as insights on how libraries such as asyncio, Twisted, and Tornado can manage many concurrent activities using a single thread of execution.

### The Taxi Fleet Simulation

In our simulation program, *taxi\_sim.py*, a number of taxi cabs are created. Each will make a fixed number of trips and then go home. A taxi leaves the garage and starts "prowling"—looking for a passenger. This lasts until a passenger is picked up, and a trip starts. When the passenger is dropped off, the taxi goes back to prowling.

The time elapsed during prowls and trips is generated using an exponential distribution. For a cleaner display, times are in whole minutes, but the simulation would work as well

11. See the official documentation for Simpy—not to be confused with the well-known but unrelated SymPy, a library for symbolic mathematics.

using float intervals.<sup>12</sup> Each change of state in each cab is reported as an event. Figure 16-3 shows a sample run of the program.

```
$ python3 taxi sim.py -s 3
        Event(time=0, proc=0, action='leave garage')
taxi: 0
        Event(time=2, proc=0, action='pick up passenger')
            Event(time=5, proc=1, action='leave garage')
taxi: 1
taxi: 1
            Event(time=8, proc=1, action='pick up passenger')
taxi: 2
               Event(time=10, proc=2, action='leave garage')
taxi: 2
               Event(time=15, proc=2, action='pick up passenger')
               Event(time=17, proc=2, action='drop off passenger')
taxi: 2
taxi: 0 Event(time=18, proc=0, action='drop off passenger')
               Event(time=18, proc=2, action='pick up passenger')
taxi: 2
               Event(time=25, proc=2, action='drop off passenger')
taxi: 2
taxi: 1
            Event(time=27, proc=1, action='drop off passenger')
taxi: 2
               Event(time=27, proc=2, action='pick up passenger')
taxi: 0 Event(time=28, proc=0, action='pick up passenger')
taxi: 2
               Event(time=40, proc=2, action='drop off passenger')
taxi: 2
               Event(time=44, proc=2, action='pick up passenger')
taxi: 1
            Event(time=55, proc=1, action='pick up passenger')
taxi: 1
            Event(time=59, proc=1, action='drop off passenger')
        Event(time=65, proc=0, action='drop off passenger')
taxi: 0
            Event(time=65, proc=1, action='pick up passenger')
taxi: 1
taxi: 2
               Event(time=65, proc=2, action='drop off passenger')
               Event(time=72, proc=2, action='pick up passenger')
taxi: 2
taxi: 0 Event(time=76, proc=0, action='going home')
taxi: 1
            Event(time=80, proc=1, action='drop off passenger')
            Event(time=88, proc=1, action='pick up passenger')
taxi: 1
               Event(time=95, proc=2, action='drop off passenger')
taxi: 2
taxi: 2
               Event(time=97, proc=2, action='pick up passenger')
taxi: 2
               Event(time=98, proc=2, action='drop off passenger')
taxi: 1
            Event(time=106, proc=1, action='drop off passenger')
taxi: 2
               Event(time=109, proc=2, action='going home')
            Event(time=110, proc=1, action='going home')
taxi: 1
*** end of events ***
```

Figure 16-3. Sample run of taxi\_sim.py with three taxis. The -s 3 argument sets the random generator seed so program runs can be reproduced for debugging and demonstration. Colored arrows highlight taxi trips.

The most important thing to note in Figure 16-3 is the interleaving of the trips by the three taxis. I manually added the arrows to make it easier to see the taxi trips: each arrow

<sup>12.</sup> I am not an expert in taxi fleet operations, so don't take my numbers seriously. Exponential distributions are commonly used in DES. You'll see some very short trips. Just pretend it's a rainy day and some passengers are taking cabs just to go around the block—in an ideal city where there are cabs when it rains.

starts when a passenger is picked up and ends when the passenger is dropped off. Intuitively, this demonstrates how coroutines can be used for managing concurrent activities.

Other things to note about Figure 16-3:

- Each taxi leaves the garage 5 minutes after the other.
- It took 2 minutes for taxi 0 to pick up the first passenger at time=2; 3 minutes for taxi 1 (time=8), and 5 minutes for taxi 2 (time=15).
- The cabbie in taxi 0 only makes two trips (purple arrows): the first starts at time=2 and ends at time=18; the second starts at time=28 and ends at time=65—the longest trip in this simulation run.
- Taxi 1 makes four trips (green arrows) then goes home at time=110.
- Taxi 2 makes six trips (red arrows) then goes home at time=109. His last trip lasts only one minute, starting at time=97.<sup>13</sup>
- While taxi 1 is making her first trip, starting at time=8, taxi 2 leaves the garage at time=10 and completes two trips (short red arrows).
- In this sample run, all scheduled events completed in the default simulation time of 180 minutes; last event was at time=110.

The simulation may also end with pending events. When that happens, the final message reads like this:

```
*** end of simulation time: 3 events pending ***
```

The full listing of taxi\_sim.py is at Example A-6. In this chapter, we'll show only the parts that are relevant to our study of coroutines. The really important functions are only two: taxi\_process (a coroutine), and the Simulator.run method where the main loop of the simulation is executed.

Example 16-20 shows the code for taxi\_process. This coroutine uses two objects defined elsewhere: the compute\_delay function, which returns a time interval in minutes, and the Event class, a named tuple defined like this:

```
Event = collections.namedtuple('Event', 'time proc action')
```

In an Event instance, time is the simulation time when the event will occur, proc is the identifier of the taxi process instance, and action is a string describing the activity.

Let's review taxi\_process play by play in Example 16-20.

13. I was the passenger. I realized I forgot my wallet.

Example 16-20. taxi\_sim.py: taxi\_process coroutine that implements the activities of each taxi

- taxi\_process will be called once per taxi, creating a generator object to represent its operations. ident is the number of the taxi (e.g., 0, 1, 2 in the sample run); trips is the number of trips this taxi will make before going home; start\_time is when the taxi leaves the garage.
- The first Event yielded is 'leave garage'. This suspends the coroutine, and lets the simulation main loop proceed to the next scheduled event. When it's time to reactivate this process, the main loop will send the current simulation time, which is assigned to time.
- **3** This block will be repeated once for each trip.
- An Event signaling passenger pick up is yielded. The coroutine pauses here. When the time comes to reactivate this coroutine, the main loop will again send the current time.
- An Event signaling passenger drop off is yielded. The coroutine is suspended again, waiting for the main loop to send it the time of when it's reactivated.
- The for loop ends after the given number of trips, and a final 'going home' event is yielded. The coroutine will suspend for the last time. When reactivated, it will be sent the time from the simulation main loop, but here I don't assign it to any variable because it will not be used.
- When the coroutine falls off the end, the generator object raises StopIteration.

You can "drive" a taxi yourself by calling taxi\_process in the Python console. <sup>14</sup> Example 16-21 shows how.

Example 16-21. Driving the taxi\_process coroutine

```
>>> from taxi_sim import taxi_process
>>> taxi = taxi_process(ident=13, trips=2, start_time=0)
>>> next(taxi) ②
```

14. The verb "drive" is commonly used to describe the operation of a coroutine: the client code drives the coroutine by sending it values. In Example 16-21, the client code is what you type in the console.

```
Event(time=0, proc=13, action='leave garage')
Event(time=7, proc=13, action='pick up passenger') 4
>>> taxi.send( .time + 23) 6
Event(time=30, proc=13, action='drop off passenger')
>>> taxi.send(_.time + 5) 6
Event(time=35, proc=13, action='pick up passenger')
>>> taxi.send(_.time + 48) 7
Event(time=83, proc=13, action='drop off passenger')
>>> taxi.send(_.time + 1)
Event(time=84, proc=13, action='going home') 
Traceback (most recent call last):
 File "<stdin>", line 1, in <module>
StopIteration
```

- 0 Create a generator object to represent a taxi with ident=13 that will make two trips and start working at t=0.
- 0 Prime the coroutine; it yields the initial event.
- **3** We can now send it the current time. In the console, the \_ variable is bound to the last result; here I add 7 to the time, which means the taxi will spend 7 minutes searching for the first passenger.
- 4 This is yielded by the for loop at the start of the first trip.
- 6 Sending\_.time + 23 means the trip with the first passenger will last 23 minutes.
- 6 Then the taxi will prowl for 5 minutes.
- The last trip will take 48 minutes.
- 8 After two complete trips, the loop ends and the 'going home' event is yielded.
- 9 The next attempt to send to the coroutine causes it to fall through the end. When it returns, the interpreter raises StopIteration.

Note that in Example 16-21 I am using the console to emulate the simulation main loop. I get the .time attribute of an Event yielded by the taxi coroutine, add an arbitrary number, and use the sum in the next taxi.send call to reactivate it. In the simulation, the taxi coroutines are driven by the main loop in the Simulator.run method. The simulation "clock" is held in the sim\_time variable, and is updated by the time of each event yielded.

To instantiate the Simulator class, the main function of taxi sim.py builds a taxis dictionary like this:

```
taxis = {i: taxi_process(i, (i + 1) * 2, i * DEPARTURE_INTERVAL)
        for i in range(num taxis)}
sim = Simulator(taxis)
```

DEPARTURE\_INTERVAL is 5; if num\_taxis is 3 as in the sample run, the preceding lines will do the same as:

Therefore, the values of the taxis dictionary will be three distinct generator objects with different parameters. For instance, taxi 1 will make 4 trips and begin looking for passengers at start\_time=5. This dict is the only argument required to build a Simu lator instance.

The Simulator.\_\_init\_\_ method is shown in Example 16-22. The main data structures of Simulator are:

#### self.events

A PriorityQueue to hold Event instances. A PriorityQueue lets you put items, then get them ordered by item[0]; i.e., the time attribute in the case of our Event namedtuple objects.

### self.procs

A dict mapping each process number to an active process in the simulation—a generator object representing one taxi. This will be bound to a copy of taxis dict shown earlier.

Example 16-22. taxi\_sim.py: Simulator class initializer

#### class Simulator:

```
def __init__(self, procs_map):
    self.events = queue.PriorityQueue()
    self.procs = dict(procs_map) ②
```

- The PriorityQueue to hold the scheduled events, ordered by increasing time.
- We get the procs\_map argument as a dict (or any mapping), but build a dict from it, to have a local copy because when the simulation runs, each taxi that goes home is removed from self.procs, and we don't want to change the object passed by the user.

Priority queues are a fundamental building block of discrete event simulations: events are created in any order, placed in the queue, and later retrieved in order according to the scheduled time of each one. For example, the first two events placed in the queue may be:

```
Event(time=14, proc=0, action='pick up passenger')
Event(time=11, proc=1, action='pick up passenger')
```

This means that taxi 0 will take 14 minutes to pick up the first passenger, while taxi 1 starting at time=10—will take 1 minute and pick up a passenger at time=11. If those two events are in the queue, the first event the main loop gets from the priority queue will be Event(time=11, proc=1, action='pick up passenger').

Now let's study the main algorithm of the simulation, the Simulator.run method. It's invoked by the main function right after the Simulator is instantiated, like this:

```
sim = Simulator(taxis)
sim.run(end_time)
```

The listing with callouts for the Simulator class is in Example 16-23, but here is a highlevel view of the algorithm implemented in Simulator.run:

- 1. Loop over processes representing taxis.
  - a. Prime the coroutine for each taxi by calling next() on it. This will yield the first Event for each taxi.
  - b. Put each event in the self.events queue of the Simulator.
- 2. Run the main loop of the simulation while sim\_time < end\_time.
  - a. Check if self.events is empty; if so, break from the loop.
  - b. Get the current event from self.events. This will be the Event object with the lowest time in the PriorityQueue.
  - c. Display the Event.
  - d. Update the simulation time with the time attribute of the current\_event.
  - e. Send the time to the coroutine identified by the proc attribute of the cur rent\_event. The coroutine will yield the next\_event.
  - f. Schedule next\_event by adding it to the self.events queue.

The complete Simulator class is Example 16-23.

Example 16-23. taxi\_sim.py: Simulator, a bare-bones discrete event simulation class; focus on the run method

#### class Simulator:

```
def __init__(self, procs_map):
   self.events = queue.PriorityQueue()
   self.procs = dict(procs_map)
"""Schedule and display events until time is up"""
   # schedule the first event for each cab
   for _, proc in sorted(self.procs.items()): 2
      first event = next(proc)
```

```
self.events.put(first event)
# main loop of the simulation
sim time = 0
while sim time < end time:</pre>
   if self.events.empty():
       print('*** end of events ***')
       break
   current event = self.events.get()
   sim_time, proc_id, previous_action = current_event
   print('taxi:', proc_id, proc_id * ' ', current_event)
   active_proc = self.procs[proc_id]
   next_time = sim_time + compute_duration(previous_action)
       next_event = active_proc.send(next_time)
   except StopIteration:
       del self.procs[proc_id]
       self.events.put(next event)
else:
   msg = '*** end of simulation time: {} events pending ***'
   print(msg.format(self.events.qsize()))
```

- The simulation end\_time is the only required argument for run.
- Use sorted to retrieve the self.procs items ordered by the key; we don't care about the key, so assign it to \_.
- next(proc) primes each coroutine by advancing it to the first yield, so it's ready to be sent data. An Event is yielded.
- Add each event to the self.events PriorityQueue. The first event for each taxi is 'leave garage', as seen in the sample run (Example 16-20).
- **5** Zero sim\_time, the simulation clock.
- Main loop of the simulation: run while sim\_time is less than the end\_time.
- The main loop may also exit if there are no pending events in the queue.
- Get Event with the smallest time in the priority queue; this is the current\_event.
- Unpack the Event data. This line updates the simulation clock, sim\_time, to reflect the time when the event happened.<sup>15</sup>
- Display the Event, identifying the taxi and adding indentation according to the taxi ID.
- Retrieve the coroutine for the active taxi from the self.procs dictionary.

<sup>15.</sup> This is typical of a discrete event simulation: the simulation clock is not incremented by a fixed amount on each loop, but advances according to the duration of each event completed.

- Ø Compute the next activation time by adding the sim\_time and the result of calling compute\_duration(...) with the previous action (e.g., 'pick up passen ger', 'drop off passenger', etc.)
- B Send the time to the taxi coroutine. The coroutine will yield the next\_event or raise StopIteration when it's finished.
- If StopIteration is raised, delete the coroutine from the self.procs dictionary.
- **1** Otherwise, put the next\_event in the queue.
- 1 If the loop exits because the simulation time passed, display the number of events pending (which may be zero by coincidence, sometimes).

Linking back to Chapter 15, note that the Simulator.run method in Example 16-23 uses else blocks in two places that are not if statements:

- The main while loop has an else statement to report that the simulation ended because the end time was reached—and not because there were no more events to process.
- The try statement at the bottom of the while loop tries to get a next\_event by sending the next\_time to the current taxi process, and if that is successful the else block puts the next event into the self.events queue.

I believe the code in Simulator, run would be a bit harder to read without those else blocks.

The point of this example was to show a main loop processing events and driving coroutines by sending data to them. This is the basic idea behind asyncio, which we'll study in Chapter 18.

## Chapter Summary

Guido van Rossum wrote there are three different styles of code you can write using generators:

There's the traditional "pull" style (iterators), "push" style (like the averaging example), and then there are "tasks" (Have you read Dave Beazley's coroutines tutorial yet?...).<sup>16</sup>

Chapter 14 was devoted to iterators; this chapter introduced coroutines used in "push style" and also as very simple "tasks"—the taxi processes in the simulation example. Chapter 18 will put them to use as asynchronous tasks in concurrent programming.

<sup>16.</sup> Message to thread "Yield-From: Finalization guarantees" in the Python-ideas mailing list. The David Beazley tutorial Guido refers to is "A Curious Course on Coroutines and Concurrency".

The running average example demonstrated a common use for a coroutine: as an accumulator processing items sent to it. We saw how a decorator can be applied to prime a coroutine, making it more convenient to use in some cases. But keep in mind that priming decorators are not compatible with some uses of coroutines. In particular, yield from subgenerator() assumes the subgenerator is not primed, and primes it automatically.

Accumulator coroutines can yield back partial results with each send method call, but they become more useful when they can return values, a feature that was added in Python 3.3 with PEP 380. We saw how the statement return the\_result in a generator now raises StopIteration(the\_result), allowing the caller to retrieve the\_result from the value attribute of the exception. This is a rather cumbersome way to retrieve coroutine results, but it's handled automatically by the yield from syntax introduced in PEP 380.

The coverage of yield from started with trivial examples using simple iterables, then moved to an example highlighting the three main components of any significant use of yield from the delegating generator (defined by the use of yield from in its body), the subgenerator activated by yield from, and the client code that actually drives the whole setup by sending values to the subgenerator through the pass-through channel established by yield from in the delegating generator. This section was wrapped up with a look at the formal definition of yield from behavior as described in PEP 380 using English and Python-like pseudocode.

We closed the chapter with the discrete event simulation example, showing how generators can be used as an alternative to threads and callbacks to support concurrency. Although simple, the taxi simulation gives a first glimpse at how event-driven frameworks like Tornado and asyncio use a main loop to drive coroutines executing concurrent activities with a single thread of execution. In event-oriented programming with coroutines, each concurrent activity is carried out by a coroutine that repeatedly yields control back to the main loop, allowing other coroutines to be activated and move forward. This is a form of cooperative multitasking; coroutines voluntarily and explicitly yield control to the central scheduler. In contrast, threads implement preemptive multitasking. The scheduler can suspend threads at any time—even halfway through a statement—to give way to other threads.

One final note: this chapter adopted a broad, informal definition of a coroutine: a generator function driven by a client sending it data through .send(...) calls or yield from. This broad definition is the one used in PEP 342 — Coroutines via Enhanced Generators and in most existing Python books as I write this. The asyncio library we'll see in Chapter 18 is built on coroutines, but a stricter definition of coroutine is adopted there: asyncio coroutines are (usually) decorated with an @asyncio.coroutine decorator, and they are always driven by yield from, not by calling .send(...) directly on

them. Of course, asyncio coroutines are driven by next(...) and .send(...) under the covers, but in user code we only use yield from to make them run.

## **Further Reading**

David Beazley is the ultimate authority on Python generators and coroutines. The *Python Cookbook*, *3E* (O'Reilly) he coauthored with Brian Jones has numerous recipes with coroutines. Beazley's PyCon tutorials on the subject are legendary for their depth and breadth. The first was at PyCon US 2008: "Generator Tricks for Systems Programmers". PyCon US 2009 saw the legendary "A Curious Course on Coroutines and Concurrency" (hard-to-find video links for all three parts: part 1, part 2, part 3). His most recent tutorial from PyCon 2014 in Montréal was "Generators: The Final Frontier," in which he tackles more concurrency examples—so it's really more about topics in Chapter 18 of *Fluent Python*. Dave can't resist making brains explode in his classes, so in the last part of "The Final Frontier," coroutines replace the classic Visitor pattern in an arithmetic expression evaluator.

Coroutines allow new ways of organizing code, and just as recursion or polymorphism (dynamic dispatch), it takes some time getting used to their possibilities. An interesting example of classic algorithm rewritten with coroutines is in the post "Greedy algorithm with coroutines," by James Powell. You may also want to browse "Popular recipes tagged *coroutine*" in the ActiveState Code recipes database.

Paul Sokolovsky implemented yield from in Damien George's super lean MicroPython interpreter designed to run on microcontrollers. As he studied the feature, he created a great, detailed diagram to explain how yield from works, and shared it in the python-tulip mailing list. Sokolovsky was kind enough to allow me to copy the PDF to this book's site, where it has a more permanent URL.

As I write this, the vast majority of uses of yield from to be found are in asyncio itself or code that uses it. I spent a lot of time looking for examples of yield from that did not depend on asyncio. Greg Ewing—who penned PEP 380 and implemented yield from in CPython—published a few examples of its use: a BinaryTree class, a simple XML parser, and a task scheduler.

Brett Slatkin's *Effective Python* (Addison-Wesley) has an excellent short chapter titled "Consider Coroutines to Run Many Functions Concurrently" (available online as a sample chapter). That chapter includes the best example of driving generators with yield from I've seen: an implementation of John Conway's Game of Life in which coroutines are used to manage the state of each cell as the game runs. The example code for *Effective Python* can be found in a GitHub repository. I refactored the code for the Game of Life example—separating the functions and classes that implement the game from the testing snippets used in Slatkin's book (original code). I also rewrote the tests

as doctests, so you can see the output of the various coroutines and classes without running the script. The refactored example is posted as a GitHub gist.

Other interesting examples of yield from without asyncio appear in a message to the Python Tutor list, "Comparing two CSV files using Python" by Peter Otten, and a Rock-Paper-Scissors game in Ian Ward's "Iterables, Iterators, and Generators" tutorial published as an iPython notebook.

Guido van Rossum sent a long message to the python-tulip Google Group titled "The difference between yield and yield-from" that is worth reading. Nick Coghlan posted a heavily commented version of the yield from expansion to Python-Dev on March 21, 2009; in the same message, he wrote:

Whether or not different people will find code using yield from difficult to understand or not will have more to do with their grasp of the concepts of cooperative multitasking in general more so than the underlying trickery involved in allowing truly nested gen-

PEP 492 — Coroutines with async and await syntax by Yury Selivanov proposes the addition of two keywords to Python: async and await. The former will be used with other existing keywords to define new language constructs. For example, async def will be used to define a coroutine, and async for to loop over asynchronous iterables with asynchronous iterators (implementing \_\_aiter\_\_ and \_\_anext\_\_, coroutine versions of \_\_iter\_\_ and \_\_next\_\_). To avoid conflict with the upcoming async keyword, the essential function asyncio.async() will be renamed asyncio.ensure future() in Python 3.4.4. The await keyword will do something similar to yield from, but will only be allowed inside coroutines defined with async def—where the use of yield and yield from will be forbidden. With new syntax, the PEP establishes a clear separation between the legacy generators that evolved into coroutine-like objects and a new breed of native coroutine objects with better language support thanks to infrastructure like the async and await keywords and several new special methods. Coroutines are poised to become really important in the future of Python and the language should be adapted to better integrate them.

Experimenting with discrete event simulations is a great way to become comfortable with cooperative multitasking. Wikipedia's "Discrete event simulation" article is a good place to start.<sup>17</sup> A short tutorial about writing discrete event simulations by hand (no special libraries) is Ashish Gupta's "Writing a Discrete Event Simulation: Ten Easy Lessons." The code is in Java so it's class-based and uses no coroutines, but can easily be ported to Python. Regardless of the code, the tutorial is a good short introduction to

<sup>17.</sup> Nowadays even tenured professors agree that Wikipedia is a good place to start studying pretty much any subject in computer science. Not true about other subjects, but for computer science, Wikipedia rocks.

the terminology and components of a discrete event simulation. Converting Gupta's examples to Python classes and then to classes leveraging coroutines is a good exercise.

For a ready-to-use library in Python, using coroutines, there is SimPy. Its online documentation explains:

SimPy is a process-based discrete-event simulation framework based on standard Python. Its event dispatcher is based on Python's generators and can also be used for asynchronous networking or to implement multi-agent systems (with both simulated and real communication).

Coroutines are not so new in Python but they were pretty much tied to niche application domains before asynchronous programming frameworks started supporting them, starting with Tornado. The addition of yield from in Python 3.3 and asyncio in Python 3.4 will likely boost the adoption of coroutines—and of Python 3.4 itself. However, Python 3.4 is less than a year old as I write this—so once you watch David Beazley's tutorials and cookbook examples on the subject, there isn't a whole lot of content out there that goes deep into Python coroutine programming. For now.

## Soapbox

#### Raise from lambda

In programming languages, keywords establish the basic rules of control flow and expression evaluation.

A keyword in a language is like a piece in a board game. In the language of Chess, the keywords are 3, 3, 2, 3, and 1. In the game of Go, it's 6.

Chess players have six different types of pieces to implement their plans, whereas Go players seem to have only one type of piece. However, in the semantics of Go, adjacent pieces form larger, solid pieces of many different shapes, with emerging properties. Some arrangements of Go pieces are indestructible. Go is more expressive than Chess. In Go there are 361 possible opening moves, and an estimated 1e+170 legal positions; for Chess, the numbers are 20 opening moves 1e+50 positions.

Adding a new piece to Chess would be a radical change. Adding a new keyword in a programming language is also a radical change. So it makes sense for language designers to be wary of introducing keywords.

Table 16-1. Number of keywords in programming languages

Keywords	Language	Comment
5	Smalltalk-80	Famous for its minimalist syntax.
25	Go	The language, not the game.
32	C	That's ANSI C. C99 has 37 keywords, C11 has 44.
33	Python	Python 2.7 has 31 keywords; Python 1.5 had 28.

Keywords	Language	Comment
41	Ruby	Keywords may be used as identifiers (e.g., class is also a method name).
49	Java	As in C, the names of the primitive types (char, float, etc.) are reserved.
60	JavaScript	Includes all keywords from Java 1.0, many of which are unused.
65	PHP	Since PHP 5.3, seven keywords were introduced, including goto, trait, and yield.
85	(++	According to cppreference.com, C++11 added 10 keywords to the existing 75.
555	COBOL	I did not make this up. See this IBM ILE COBOL manual.
∞	Scheme	Anyone can define new keywords.

Python 3 added nonlocal, promoted None, True, and False to keyword status, and dropped print and exec. It's very uncommon for a language to drop keywords as it evolves. Table 16-1 lists some languages, ordered by number of keywords.

Scheme inherited from Lisp a macro facility that allows anyone to create special forms adding new control structures and evaluation rules to the language. The user-defined identifiers of those forms are called "syntactic keywords." The Scheme R5RS standard states "There are no reserved identifiers" (page 45 of the standard), but a typical implementation such as MIT/GNU Scheme comes with 34 syntactic keywords predefined, such as if, lambda, and define-syntax—the keyword that lets you conjure new keywords.<sup>18</sup>

Python is like Chess, and Scheme is like Go (the game).

Now, back to Python syntax. I think Guido is too conservative with keywords. It's nice to have a small set of them, and adding new keywords potentially breaks a lot of code. But the use of else in loops reveals a recurring problem: the overloading of existing keywords when a new one would be a better choice. In the context of for, while, and try, a new then keyword would be preferable to abusing else.

The most serious manifestation of this problem is the overloading of def: it's now used to define functions, generators, and coroutines—objects that are too different to share the same declaration syntax.<sup>19</sup>

The introduction of yield from is particularly worrying. Once again, I believe Python users would be best served by a new keyword. Even worse, this starts a new trend: chaining existing keywords to create new syntax, instead of adding sensible, descriptive keywords. I fear one day we may be poring over the meaning of raise from lambda.

### **Breaking News**

- 18. "The Value Of Syntax?" is an interesting discussion about extensible syntax and programming language usability. The forum, Lambda the Ultimate, is a watering hole for programming language geeks.
- 19. A highly recommended post related to this issue in the context of JavaScript, Python, and other languages is "What Color Is Your Function?" by Bob Nystrom.

As I wrap up this book's technical review process, it seems Yury Selivanov's PEP 492 — Coroutines with async and await syntax is on the way to being accepted for implementation in Python 3.5 already! The PEP has the support of Guido van Rossum and Victor Stinner, respectively the author and a leading maintainer of the asyncio library that would be the main use case for the new syntax. In response to Selivanov's message to Python-ideas, Guido even hints at delaying the release of Python 3.5 so the PEP can be implemented.

Of course, this would put to rest most of the complaints I expressed in the preceding sections.