# Caring about Sharing: User Perceptions of Multiparty Data Sharing

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## **Abstract**

Data sharing between companies is typically regarded as one-size-fits-all in practice and in research. For instance, the main source of information available to users about how a company shares their data is privacy policies. Privacy policies use ambiguous terms such as 'third-parties' and 'partners' with regard to who data is shared with. In the real-world, data sharing has more nuance than is captured by these overarching terms. We investigate whether users perceive different data sharing scenarios differently through an online survey with scenarios that describe specific types of multiparty data sharing practices. We determine users' perceptions when explicitly presented with how their data is shared, who it is shared with, and why. We show that users have preferences and that variations in acceptability exist which depend on the nature of the data sharing collaboration. Users caring about sharing, necessitates more transparent sharing practices and regulations.

## 1 Introduction

Collaborations and contracts between companies increasingly involve the disclosure of data. Mastercard sold a stockpile of transaction data to Google to track whether Google ran ads that led to a sale at a physical store [9]. Data moving between companies is not limited to direct sales or targeted advertising. Data sharing can also occur through the purchase or merging of companies such as Google purchasing Fitbit [26]. Although Google's purchase of Fitbit includes a statement that the health and wellness data will not be used for Google advertising, it does not clarify how other data could be used and whether the health and wellness data can be used in ways not related to advertising. Through a legal request one user determined Tim Hortons' loyalty program app shared its users' precise location regularly with a third-party (Radar Labs Inc.) that identified users' home, work, travel destinations, as well as visits to a competitor. The third-party ultimately shared the users' precise locations with Tim Hortons' parent company, Restaurants Brand International [46].

There are even collaborations between technology and health companies that can and do occur. There are collaborations between Google and Ascension [69], Microsoft and Providence St. Joseph Health [12], and COVID-19 contact tracing tools [29]. Some of these collaborations only include the use of services, but others require sharing data in some form to perform computations, including machine learning. In addition to these forms of collaborations, the line dividing health and technology companies is blurring with the development of new services such as Amazon Care<sup>1</sup> and Telus Health<sup>2</sup>. Amazon's health care service specifies that patient information is exclusively used for supporting Care Medical, however, it is unclear how this could affect users' understanding and perceptions of health care data being used by technology companies.

We refer to companies that acquire or share data in these ways as collaborating for multiparty data sharing. Mechanisms to perform privacy-enhanced multiparty data sharing exist in the literature as secure computation, such as private set intersection [13,62] and federated machine learning [47,73]. While companies, such as Microsoft and Google, may choose to use privacy-enhanced computation in their collaborations, how to convey these practices fairly to users and indeed how users feel about enhanced computations is a question we address within this paper. Multiparty data sharing can be oneway, where only one of the companies in the exchange acquires data, two-way where the parties involved pool their collective data, or an exchange involving more than two-parties.

Although privacy policies should contain information for users about the data a company collects and how that company uses the data, such documents are hard to read and rarely read, making them inaccessible to users [44,56]. Users who trust one company with their data may not understand that their data could be shared or purchased nor the corresponding privacy risks. However, it can be confusing for people reading privacy policies about sharing their data to understand what their data will be used for and make informed decisions based

<sup>&</sup>lt;sup>1</sup>Available across the United States, https://amazon.care/

<sup>&</sup>lt;sup>2</sup>Manages Canadian health care records, https://www.telus.com/en/health

on their perceptions of it.

**Research Questions.** We study users' perceptions of multiparty data sharing via an online survey. We analyze users' perceptions of various data sharing events (termed as scenarios), what potential controls users want, and identify avenues for improving regulations and engineering better systems to meet those needs. To this end we address the following research questions (*with salient results emphasized*):

**RQ1:** How does the overall acceptability vary across different types of multiparty collaborations? How do the types of companies involved further impact it?

The overall acceptability of multiparty data sharing is lower for collaborations that are not reciprocal. The inclusion of a health company in non-reciprocal collaborations is even less acceptable. (Section 4.2).

**RQ2:** How does acceptability vary in multiparty data sharing for different user controls (consent, purpose, retention)?

Across user controls, preferences for consent vary the most between collaboration types, however, optin consent is, generally speaking, the most acceptable. (Section 4.1 and 4.2)

## 2 Related Work

**Privacy Perceptions.** Users' perceptions of privacy have shown many changes over the years and so have their preferences [3, 17, 35]. Past work has often focused on data sharing for advertising purposes [15, 45, 77, 80], with the additions of privacy perceptions for IoT, mobile, and smart homes in more recent years [4,24,39,51,74,78]. Regardless of whether the data is shared intentionally or unintentionally leaked via a data breach, user perceptions tend to perceive such treatments of their data negatively [25,31,43,45,64,72].

Even when a users' data is only disclosed to a single company, different contexts influence what trade-offs users are willing to make at the expense of their privacy in terms of benefits, or how their data is being used [5, 7, 8, 19, 53, 76]. Further complicating matters are 'third parties' or 'partners' that data can be shared with. Users do not understand what these third parties are and how their data can be shared with these parties [64]. In cases where such terms are used in a privacy policy, it can remain ambiguous to users as to who their data can be shared with and thus prevent them from making an informed decision [22,41].

In general, survey methodology research cautions that respondents may have difficulty predicting their behaviour or be inclined to report the perceived desirable response [63]. In the case of security research, recent work from Redmiles et

al. [65,66] shows that surveys can provide meaningful results for general constructs. We use a similar survey design to previous work on acceptability for IoT and data breaches [4,32].

Thus far, research has primarily treated third-parties or partners in much the same manner as privacy policies do. Third parties are treated as monolithic black-box entities that can take many forms and treat data in different ways. Ebert et al. [20] include 'data sharing' among the legal principles of their study, but again it is left as a general concept. In this work, we build on past investigations into user perceptions of data sharing by specifically providing respondents with scenarios based on real-world examples of how their data could be shared with one or more other parties. We revisit whether policy and design decisions relating to these continually evolving multiparty data sharing scenarios can rely on past results, or whether different structures of data sharing result in different perceptions that need to be addressed.

User Controls and Accessibility. Though not strictly targeting the multiparty data sharing setting, methods to provide users with controls include toggles [28], permission settings [33,40], and privacy nudges [2]. Despite this, such controls can still be hard for users to understand and use [1,2,6,21,24,27,67]. Difficulties associated with providing users with controls to set their own privacy preferences are not limited to the design of such controls. That is, users can be manipulated or tricked such that opting out of behavioural based advertising is limited [27,38]. With this in mind, we specify explicitly details users may want to have user controls for in the survey. These aspects for potential controls include what purposes users find acceptable for their data, how they want to be informed (to get consent), and how long they will permit their data to be used in this way.

Park and Sandhu proposed *usage control* to generalize these controls and the idea that beyond privacy policies for all users there can be individual controls required for each user [60,61]. Ebert et al. [20] referred to usage control variables such as storage and retention as legal preferences in their analysis. They do not focus on types of data sharing, but instead on the effect of the contexts of a fitness tracker versus a rewards card. Similar to Park and Sandhu's application to social media controls, in the case of multiparty data sharing, there are many potential parties that users may or may not want to share their data with and the type of data they are willing to share may vary for different companies [60].

Law and Policy. There are a number of regulations, both old and more recent, that apply to the privacy of users' data [16, 54, 58, 68]. However, they do not necessarily provide protections for all of the possible treatments of users' data [42, 57]. Even with the recent California Consumer Privacy Act (CCPA<sup>3</sup>), the right to opt-out of data sales does not

<sup>3</sup>https://oag.ca.gov/privacy/ccpa

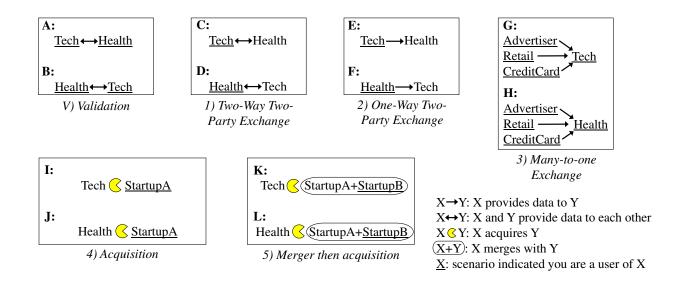


Figure 1: Overview of scenarios (A-L) presented in our survey and collaboration types (V, 1-5) that we investigate. For reference, Scenario C, "TechForYou is a large internet company that offers a search engine, email accounts and smartphone platforms to users. GoodHealth runs a chain of hospitals across the country and stores health data for millions of patients during its day-to-day operations. TechForYou and GoodHealth will share the customer data they hold with one another. You are a customer of TechForYou".

stop companies from manipulating users such that it is difficult or unappealing to opt-out [57]. Furthermore, it can only prevent companies from *selling* users' data, it does not prevent companies from sharing or exchanging data with other companies or affiliates. Multiparty data sharing needs to be better understood with respect to user preferences and perceptions to produce more specific regulations addressing all types of collaborations.

a financial institution, to introduce the concept of multiparty data sharing. We used a free-form text response question to gather participants initial thoughts on this scenario and what could influence their perceptions. Our pilot study free-form responses report a desire for user controls that we incorporate into our final survey.

# 3 Methodology

We collected 1025 responses to our online survey through SurveyMonkey in March 2021. Each participant was compensated \$3.04 for their response and spent, on average, four minutes to complete the survey. Our final participant set is N = 916 after excluding the 109 respondents that failed an attention checking question. Respondents could exit the survey at any time and could skip any question in the survey. Our study received ethics approval from our institution's office of research ethics (ORE). See survey at https://bkacsmar.github.io/files/SurveyUsenix2022.pdf.

# 3.1 Survey Design

Prior to the final survey, we ran a pilot study with N = 26 participants. We asked participants in the pilot study what they would agree to in a multiparty data sharing setting. The pilot had one scenario, between a technology company and

# 3.2 Survey Structure

Each survey provides one of twelve scenarios to respondents followed by a series of questions on user controls and privacy mechanisms. The twelve scenarios are categorized by the number of companies and which companies send and receive data (see Figure 1 for an overview of the scenarios). Each collaboration scenario is based upon real-world examples from Canada and the United States. For each question, excluding the free-form responses and correctness checks, respondents select a value from a five-point semantic differential [59] acceptability scale: "Completely Unacceptable", "Somewhat Unacceptable", "Neutral", "Somewhat Acceptable", and "Completely Acceptable" as in Apthorpe et al. [4]. Respondents rate acceptability given specified variables (shown as (a) through (k) in Table 1). For analysis, the values we assign to our scale are 1-5 where 1 is "Completely Unacceptable" and 5 is "Completely Acceptable".

## 3.3 Nature of Collaboration

The nature, or type, of the collaboration encodes the number of participating companies and how the data flows between those companies. Notably, we test the inclusion of a health company versus a technology company within the collaboration types. To check whether the ordering of the companies influences respondents, we include two identical scenarios, Scenarios A and B, where the only difference between them is the order in which the health and technology company are introduced. The following defines our five collaboration types with examples.

Two-way, Two-party Exchange (Type 1). In a 'two-way two-party exchange' there are two participating companies. During the exchange, the two companies send data to and receive data from one another. Four of our scenarios are a 'two-way two-party exchange' (Scenarios A-D). We use two of these four scenarios (C and D) in our collaboration type analysis, and we use the remaining two (A and B) for validation only. Examples of such a collaboration would be two companies that perform a computation, such as private set intersection dual execution, that uses extended methods to ensure both companies receive the result [48].

One-way, Two-party Exchange (Type 2). Perhaps the most conventional and well understood collaboration type is the 'one-way two-party exchange' (Scenarios E and F). In this case there are two companies where one acquires data from the other, perhaps in exchange for a monetary amount. Such collaborations could be two parties computing the intersection of data they hold where one party receive the resulting intersection [9]. Other examples of this collaboration type include insurance telematics (use-based insurance) [37] and computing joint cyber threats [10].

Many-to-One Exchange (Type 3). A company may acquire data related to their users from multiple other companies or data brokers. We include two scenarios of this form (Scenarios G and H) with a total of four participating companies. In these 'many-to-one' scenarios, three of the companies are providing data to one other company. This structure in practice, could of course take many forms depending on the number of participating companies and which companies provide or receive data. We chose this structure based on the real-world examples of companies acquiring data from a series of other 'partner' companies. For example, advertising networks may acquire data from any number of sources, including other apps, websites, and their competitors, depending on users' permission settings [23, 34].

**Acquisition** (**Type 4**). In our 'acquisition' scenarios, a single party purchases, or acquires, another (Scenarios I and J). Examples of acquisitions relating to data sharing include Google acquiring Fitbit [26], Microsoft acquiring

LinkedIn [11], and WealthSimple acquiring SimpleTax [30]. The company SimpleTax promised to never sell its users' data, however, this did not account for when the company itself was sold. In such acquisitions the data held by a company may be included in its assets and upon purchase becomes available to the acquiring company depending on the applicability of regulations such as the FTC Act <sup>4</sup>. In the case of the purchase of SimpleTax, the explicit promise to never share its users' data was removed from its privacy policy going forward (only affecting data since the purchase) [30].

Merger then Acquisition (Type 5). Generally speaking, the difference between a merger and an acquisition can be thought of as two companies equally choosing to come together as one company in a merger versus one company taking ownership of another during an acquisition. In both cases, assets, which may include data, are consolidated in some manner. We include a scenario where two startups merge, forming a new company, which is then acquired by a third company (Scenarios K and L). In this case it is possible for an individual to have shared their data with one of the original start-ups, with no expectation that these two additional companies they have no connection with would come to possess it. Sometimes a merger with other acquired companies can be a part of an acquisition, and sometimes they are separate events; but they are both possible outcomes for smaller companies [75].

## 3.4 User Controls

Usage control enforcement mechanisms are components that can be written into designs or regulations which give users the ability to specifically set what they agree to. We use eleven usage control variables (listed in Table 1 as (b) through (k)) within our survey. The variables are selected from responses to our pilot study and real-world examples. We investigate how purpose of use, data retention, and the method of acquiring consent or notifying users can impact the acceptability of multiparty data sharing scenarios.

**Purpose.** There are three purposes of data sharing in our survey. These purposes are 'generating advertising revenue', 'providing users with a monetary reward' (e.g., free service, reduced rate [37], or gift-card), and 'improving services' [21, 71]. Note that while we included a variety of examples within the monetary return question, these examples may not have been viewed the same by all respondents. That is, respondents may have interpreted free service as an advertising funded service rather than an additional bonus service. Respondents that interpreted a free service in such a way may have been less inclined to consider the service as a monetary benefit in the same sense as a gift card or discount.

<sup>&</sup>lt;sup>4</sup>https://www.ftc.gov/about-ftc/what-we-do/enforcement-authority

**Data Retention.** Users are known to have misconceptions about what happens when their data is deleted [50]. To prevent misconceptions, our data retention questions provide an explicit duration for each of the three retention questions. The duration values include keeping the data 'indefinitely', keeping the data for a 'specified duration' of time (e.g., three months, one year, etc.), or more ambiguously, keeping the data until the company (or companies) is 'finished using it'. We note here that the deliberate inclusion of the more ambiguous 'after they finished using it' does leave the potential for respondents to interpret it differently. Data may be used by companies in computations such as aggregate statistics, private set intersection, or to train machine learning models. Respondents may differ in whether they believe that continuing to use computations on data means that a company continues to use the data. We left interpretation of when the use ends open to the respondents.

**Notification and Consent.** We avoid directly asking participants whether they would consent, which would likely be influenced by perceived socially desirable behaviour [36]. Instead, we focus on notification strategies that inform users. Depending on local laws and regulations companies use a variety of methods to inform (or not inform) users how personal data can be used. We select a subset of those methods to evaluate any potential influence on the acceptability of multiparty data sharing.

In our survey we include four questions relating to informing users. First, 'concealed consent', where no formal notification is provided, and the respondents learns of the collaboration via the media. Second, there is 'assumed consent' where an email or app notification is sent which indicates to the user that by continuing to use the service, they are agreeing to the data sharing. Third, there is 'opt-out consent' that provides an option to specifically disallow the data to be shared. Fourth, 'opt-in consent', where the data is not shared by default and requires explicit permission.

## 3.5 Privacy Mechanisms

Our survey includes questions on how acceptability is influenced by privacy mechanisms. The five privacy mechanisms we included are local differential privacy (LDP), central differential privacy (CDP), data anonymization, data aggregation, and encryption [52,79,81]. Respondents each received one of the five privacy mechanisms and rated the acceptability of the data sharing scenario, if it were to include that privacy mechanism. To validate that respondents understood the mechanisms, our research team manually generated informal descriptions of the mechanisms, and the survey asks respondents to match their privacy mechanism to the most accurate description. This unfortunately suggested respondents had low comprehension of the privacy mechanisms provided to them. Thus, we exclude privacy mechanism related results.

# 3.6 Demographics

We report an overview of demographics rounded to the nearest percent. All survey respondents are located in the United States. Of the total N = 916 participants, when asked to specify their gender, 47% specified man, 50% specified woman, 1% specified non-binary, 2% preferred not to say, and less than one percent chose to self-describe. Respondents specified an age range with 17% of respondents selecting 18-24, 22% 25-34, 15% 35-44, 21% 45-54, 22% 55-64, and 3% prefer not to say. In terms of employment, 70% reported the industry of their current form of employment, 18% reported being unemployed, 5% as student, and 6% responded with prefer not to say. The industries reported by those that were employed were diverse with the most frequent industry being education at 10%. A slight majority of participants reported completing a degree at 59% (bachelor, graduate, or associate). The remainder of participants education can be broken down as 23% with some college but no degree, 14% completed high school, 3% less than high school, and 1% prefer not to say.

## 3.7 Limitations

We recognize that our scenarios are not all encompassing of multiparty data sharing. We have included varying companies, data types, and structures such that it may guide the focus of future work. The companies we selected for this study include a focus on health companies and health data. This focus may have influenced respondents in hard to predict ways based on respondents presumptions about how health data is regulated as well as their willingness to share such data. Further, we use a semantic differential acceptability scale, but acknowledge that such scales could still result in bias over the duration of the questions presented. Responses were gathered while the COVID-19 pandemic was ongoing [14]. We cannot know how this may have affected respondents' answers, but it may have contributed to the higher unemployment percentage.

We further note that our participants, from across the United States, are WEIRD (Western, educated, industrialized, rich and democratic) [70]. We do not presume to make global assertions from our study but instead show that even within this group there is a diverse set of expectations and preferences not currently supported by technology nor required by regulations. Our scenarios are based on examples located in North America, where our respondents live. This is critical as different regions, even within WEIRD participant pools, have different existing laws and expectations. For example, EU citizens already have different protections than non-EU citizens. Finally, we acknowledge the potential for bias towards perceived socially desirable behaviour [66]. We attempt to mitigate this bias by using the more neutral term 'acceptable'. We ensure there are no mentions of privacy until the end of the survey, and we give participants the opportunity to provide their own views in free-form text.

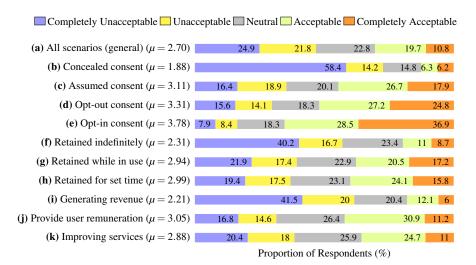


Figure 2: The acceptability distribution of multiparty data sharing across all scenarios for each variable. Acceptability is measured on a five-point semantic difference scale and each segment corresponds to the proportion of respondents who select that level of acceptability (N = 916).

## 4 Results

We first present respondents' overall perceptions of multiparty data sharing and related user controls. Second, we examine the differences in acceptability between and within each sharing type. This is followed by our analysis of demographic based variations in perceptions. Finally, we present an exploration of respondents' free-form responses. Recall, the labels for the variables and collaboration types are found in Table 1.

The results we present highlight our statistically significant findings. For interpretability, we report mean values for acceptability in this section. When we refer to statistically significant differences, we are not referring to these means, but include them as the statistical mean ranks are less interpretable. We use non-parametric statistical tests, which use mean ranks, as our data is not normally distributed. This decreases the risk of incorrectly saying a difference is significant. All statistical results use a significance level of 0.05. The details of our statistical analysis are in Appendix C.

Note that although we asked respondents questions with respect to how privacy mechanisms could impact acceptability, unfortunately respondents' comprehension of the privacy mechanisms definitions was low (based on our validation definitions) and so we exclude the acceptability results from this work. Please refer to Appendix D for details of respondent comprehension.

# 4.1 Overall Perceptions

We begin by determining a base understanding of how acceptable respondents find multiparty data sharing and our defined variables, regardless of the type of collaboration they received. The acceptability of the data sharing scenario in

'general' (a), is completely unacceptable or somewhat unacceptable to 45% of respondents. Without additional details about the collaboration, participants respond slightly more towards the unacceptable end of the scale, but almost 30% of respondents do find it to be at least somewhat acceptable. The distributions of how acceptable respondents found each variable are shown in Figure 2.

Within Informed Consent. All user control variables for consent, (b) through (e), have statistically significant differences in terms of acceptability. Overall, in terms of notification and consent, participants find data sharing more acceptable when they are explicitly informed or have more control over whether their data was used. 'Concealed consent', when they receive no formal notification, is overwhelmingly unacceptable to 73% of respondents ((b),  $\mu = 1.88$ ). Unacceptability is substantially reduced when users are notified in any manner, regardless of control (e.g., even if opt-in or opt-out options are not available). 'Opt-out consent' ((d),  $\mu = 3.31$ ), where users can toggle a setting to indicate they do not want their data shared, skews slightly more towards the acceptable end of the scale than the 'assumed consent' case ((c),  $\mu = 3.11$ ). 'Opt-in consent' achieves the highest acceptability ((e),  $\mu = 3.78$ ) within the consent/notification grouping with approximately 58% of respondents finding it at least somewhat acceptable.

Within Data Retention. We investigate respondents' perceptions with respect to data retention, (f) through (h), and find significant differences in their acceptability. Respondents find 'retaining data indefinitely' ((f),  $\mu = 2.31$ ) to be less acceptable than retaining the data until the company is 'finished

using it' ((g),  $\mu$  = 2.94) and less acceptable than retaining the data for a 'specified time' limit ((h),  $\mu$  = 2.99). There is no significant difference in the distributions of how acceptable respondents find data between retention for a 'set period of time' and 'as long as the company uses it'. However, in practice there could be no real difference in how long the data is retained between indefinite retention and retaining the data as long as the company is using it. This result highlights the risk of influencing users consent based on phrasing; something not currently strictly defined across regulations on data sharing.

Within Purpose. In terms of purpose of use, (i) through (k), there are statistically significant differences in how acceptable respondents find each purpose. Respondents' overall perceptions are summarized as follows. It is least acceptable when the company (or companies) uses the data to generate revenue ((i),  $\mu = 2.21$ ). Respondents find it somewhat more acceptable when there is an explicit tangible or perceived benefit to the user, such as a monetary reward ((j),  $\mu = 3.05$ ) or improved service ((k),  $\mu = 2.88$ ).

## 4.2 Nature of Collaboration

Recall the five types of collaboration defined in Section 3.1 and shown in Figure 1. First, we examine between group differences, that is, the differences in acceptability between different collaboration types. Second, we present within group differences, more specifically, the difference in acceptability between the scenarios that comprise a collaboration type.

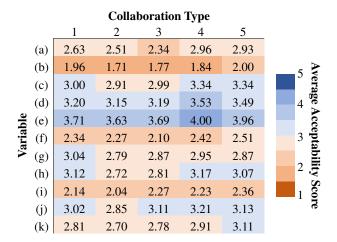


Figure 3: Average acceptability of variables for each collaboration type. The labels for collaboration types and variables correspond to those shown in Table 1.

Variable	Label
All scenarios (general)	(a)
Concealed consent	(b)
Assumed consent	(c)
Opt-out consent	(d)
Opt-in consent	(e)
Retained indefinitely	(f)
Retained while in use	(g)
Retained for set time	(h)
Generating revenue	(i)
Provide user remuneration	(j)
Improving services	(k)
Collaboration Type	Label
Validation	(V)
Two-way Two-Party Exchange	(1)
One-way Two-Party Exchange	(2)
Many-to-One Exchange	(3)
Acquisition	(4)
Merger then Acquisition	(5)

Table 1: Reference table for labels corresponding to usage controls and collaboration types.

## 4.2.1 Between Collaboration Types.

We compare our five types of multiparty data sharing to investigate whether some sharing types are more acceptable to respondents. The different average acceptability scores across types of collaborations for variables (a) to (k) are shown in Figure 3. To determine which types of collaboration are more or less acceptable we perform a subsequent pairwise analysis.

With respect to acceptability in 'general' (a), the different collaboration types, (1) through (5), are statistically significantly different. Both 'acquisition' ((4),  $\mu = 2.96$ ) and 'merger then acquisition' ((5),  $\mu = 2.93$ ) are more acceptable than a 'one-way two-party exchange' ((2),  $\mu = 2.51$ ) and 'many-toone exchange' ((3),  $\mu = 2.34$ ). A possible attribution to the greater acceptability for mergers and mergers then acquisition rather than exchanges could be the indirectness by which data is acquired. Unlike in the specific exchange scenarios ('oneway two-party' and 'many-to-one') where data can be seen as a commodity, within the merger-acquisition scenarios nobody is explicitly seen as 'selling' users' data. Additionally, in the case of mergers and acquisitions, the company acquiring the data may be seen as the new shepherd of the data, continuing to provide the user with the services that led them to originally use the acquired companies' services.

**User Controls Between Collaboration Types.** We further compare between collaboration types for each of the user control mechanisms. We continue to observe statistically significant differences between mergers and acquisitions com-

pared to the other exchange types. Specifically, 'improving services' (k) is more acceptable for a 'merger then acquisition' ((5),  $\mu=3.11$ ) than a 'one-way two-party exchange' ((2),  $\mu=2.70$ ). 'Assumed consent' (c) is more acceptable for both merger collaboration types ('acquisition' ((4),  $\mu=3.34$ ) and 'merger then acquisition' ((5),  $\mu=3.34$ )) than for a 'one-way two-party exchange' ((2),  $\mu=2.91$ ). Finally, 'retained for a set time' (h) is more acceptable for an 'acquisition' ((4),  $\mu=3.17$ ) than a 'one-way two-party exchange' ((2),  $\mu=2.72$ ) The difference in acceptability between types for data retention and purpose could again be potentially attributed to the indirectness by which data is acquired in mergers and acquisitions.

There are no notable differences between collaboration types for 'concealed consent', 'generating revenue', 'retained indefinitely', and 'retained while in use'. This unchanging negative perception is likely because these attributes are considered more uniformly unacceptable. These results demonstrate another avenue where users would benefit from transparency in terms of the purpose and other contextual information, to make an informed decision of whether to consent, when companies are merged or acquired.

## 4.2.2 Within Collaboration Types.

Each collaboration type consists of two possible scenarios. We compare the scenarios within each collaboration type to one another to identify differences that exist depending on the sending and receiving companies as well as who the respondent is a user of. In our analysis we do not consider the order that the companies are introduced as a factor. This exclusion is based on our validation test for collaboration Type V; which found no statistically significant differences between the response distributions whether a health or technology company is introduced first, across variables (a) through (k).

We summarize the remainder of our results within collaboration types by their common themes. Overall, the within collaboration types analysis suggests that the inclusion of a health company negatively influences users' perceptions of the multiparty data sharing.

#### Collaboration over Commodification for Health Data.

We find an interesting result within the 'one-way two-party exchange', an exchange type where the key distinction between scenarios is a tech company giving away user data (Scenario E) versus a health company giving away user data (Scenario F). We identified statistically significant differences across seven of the eleven measured variables. The four non-significantly different variables are 'concealed consent', 'assumed consent', 'opt-out consent', and 'retained indefinitely'. For the seven variables that do have significant differences, they are all more acceptable for Scenario E when compared to Scenario F. In Scenario E, respondents are framed as a user of a technology company which is providing its data to a health

company. Whereas, in Scenario F respondents are framed as a user of a health company which is providing its data to a technology company. In both Scenario E and F, respondents are a user of the company giving away data.

This suggests the difference in acceptability could be attributed to the commoditization of health data being more objectionable than in the case of tech data. While respondents may be used to, or even have come to expect to have their data treated as a commodity by technology companies (Scenario E), the same may not be true for health companies. To further this idea, we look within 'two-way two-party exchanges' (Scenarios C and D), wherein the health company shares its data but also receives data in return. Respondents seem to interpret this reciprocity as providing some benefit to them, as opposed to being a 'sale'. When this reciprocity is absent in Scenario F, we see lower acceptability overall, possibly due to this commodification of health data which has an expectation to be the most protected data.

Health Companies Complicate Data Sharing. Health companies being involved negatively impact user perceptions of multiparty data sharing even when the health company is only receiving data. This is shown, first within 'many-to-one exchange', wherein a number of companies are sharing data with either a tech company (Scenario G) or a health company (Scenario H). We found a significant difference in acceptability of 'assumed consent'. Respondents who received the scenario where a technology company acquired the data (Scenario G,  $\mu = 3.25$ ), found 'assumed consent' to be more acceptable than when a health company received the data (Scenario H,  $\mu = 2.76$ ). This result implies that users were not as satisfied with simply being informed of data sharing, when it is shared with a health company, in contrast with a technology company. As both scenarios involve sharing financial data, we can hypothesise that users do not want their financial records to influence any future medical diagnoses. Users may be concerned for discrimination while receiving medical treatment or processing insurance, if a health company obtained their financial records.

The negative impacts of health company in data sharing is also shown within the 'acquisition' collaboration type. Scenarios within 'acquisition' involve a start-up that tracks user data on diet, fitness, and social habits being acquired by either a technology company (Scenario I) or a health company (Scenario J). Respondents found 'opt-in consent', the "strictest" consent option of the ones we tested, to be more acceptable when a technology company (Scenario I,  $\mu = 4.24$ ), rather than a health company (Scenario J,  $\mu = 3.77$ ) acquired a startup. We expect that respondents are more comfortable with their fitness habits influencing technology products, like in Scenario I, rather than having the potential to influence their medical treatment or insurance as in Scenario J.

As a final note on the inclusion of health companies and how they may influence respondents, we note that health data has certain laws surrounding it that respondents may believe will protect them. Further, respondents concerns with data transferring to or from a health company may also be attributed to respondents being unsure as to the purpose. From our free-form responses we know that the purpose of use for the data was a frequent condition for acceptability.

## 4.3 Demographic Variations

We evaluate responses across all scenarios for differences based upon demographic groupings. For demographic differences due to gender, we compare men versus women as we did not have enough respondents representing other genders, leaving us with N=887. We compare the two groups comprised of 432 men and 455 women across the variables (a through k). From our data we identify a significant difference in (b) 'concealed consent'. We can conclude that men ( $\mu=1.98$ ) found their consent not being explicitly granted, to be significantly more acceptable than women ( $\mu=1.76$ ) did.

To examine demographic variations due to age, we compare five age groups. From our data we identify a significant difference due to age group across all variables except for 'assumed consent', 'opt-out consent', and 'opt-in consent'. Across the variables 'concealed consent', 'retained indefinitely', 'generating revenue', and 'improving services', respondents aged 55-64 find each variable to be significantly less acceptable than their otherwise aged counterparts (18-24, 25-34, 45-54). Respondents aged 35-44 find the 'general scenario', 'retained for set time', and 'generating revenue' less acceptable than respondents aged 45-54. Additionally, those aged 35-44 find 'improving services' less acceptable than respondents aged 18-24. While older people, such as our respondents aged 55-64, could be generally expected to have more conservative views, we do not know why the middle age group, respondents aged 35-44, have a similar lower level of acceptability across variables. These results demonstrate that different demographics have different desires. User controls need to have sufficient individualization to support these differences.

# 4.4 Free-from General Perceptions

We analyze 789 non-empty free-form responses to the question 'In general, what are your thoughts on companies sharing data with other companies'. We exclude 62 responses that are either not interpretable or indicated a desire not to respond. For example, exclusions include: single or random character responses (e.g., 'a', 'alskj'), 'N/A', and 'no'.

Responses were coded in terms of their positive or negative perceptions of the practice of sharing data. Positive or negative responses can have a conditional component that indicates what improves or worsens their perceptions. The codes for the free-form responses perceptions were developed through discussion and definition by two members of the research team based on a sampling of the response set and the

Fre	equenc	y by	Colla	bora	tion T	уре
Code	All	1	2	3	4	5
Neg.	305	46	45	54	49	48
Neg. Cond.	107	19	15	12	17	22
Neutral	66	12	7	10	15	16
Resigned	32	6	4	5	7	10
Pos. Cond.	165	26	25	26	33	37
Pos.	51	5	10	4	13	8

Table 2: Frequency given Nature of Collaboration. Columns correspond to: 1. One-way two-party exchange, 2. Two-way two-party exchange, 3. Many-to-one exchange, 4. Acquisition, and 5. Merger then acquisition.

predefined 'positive' and 'negative' codes. A 'resigned' and a 'neutral' code were added after initial sampling to more accurately describe all responses. This methodology follows the process of Oates et al.'s [55] analysis and Miles et al.'s Qualitative Data Analysis: A Methods Sourcebook [49].

The final codebook used to code the free-form responses is *neg* for unconditionally negative, *neg*. *Cond*. for overall negative response but permitted cases, *neutral* for neither positive nor negative, *resigned* for negative but accepted, *pos*. *Cond*. for overall positive but forbidden cases, and *pos*. for unconditionally positive.

Two members of the research team each independently applied the perceptions codebook to the response set. The coded responses were reviewed for agreement by the two team members. The process for handling a disagreement in coding was for both coders to check their responses. If the difference could be attributed to having mislabelled the code, a correction would be made. The coders would come back together and check the new agreement. If disagreement persisted, it went to a tie-breaker coder. We include an overview of common themes that were indicated as influencing perceptions or requirements in 'conditional' responses. The final code counts are summarized in Table 2.

## 4.4.1 Polarity of Base Perceptions

Of the total (789) responses coded for positive and negative perceptions, 32 required a third coder to break the disagreement. The original two independent coders agreed on the codes for 757 responses, or 96% of responses after checking for errors. One of the 32 responses shared with the third coder was coded differently by all three coders and the consensus was to remove it due to the ambiguity.

Unconditionally negative responses formed the largest group of responses and included a breadth of subjects relating to purpose, laws and regulations, distrust, and risks. Objections include users' data being used for generating revenue for the company or for marketing purposes.

P58310: I think companies after having acquired

data as an asset has one intention and it's making money through exploitation"

P78909: "These companies are reprehensible! I will not consent to my data being shared for marketing purposes"

Other negative responses report distaste for being coerced into agreeing to data sharing in order to access services. Respondents consider such requirements an uneven trade given the risks associated with a breach that exist whenever data is collected, saved, and shared.

P20322: "I'm not happy about it because if you do agree you can't choose who it will be shared with. If you don't agree, you can't use the service"

P53560: "I hate it. Cookies and data thieves. Opting out often renders the website unaccessible- so it's coercion/entrapment. Data breaches wouldn't really happen if data wasn't retained"

Other possible risks of such sharing, according to respondents, include malicious outsiders and malicious companies. Respondents express concern with targeted manipulation by a company, such as advertising, using the shared data. Concern with breaches or leaks also includes concern for data leaking out in ways users do not expect.

P69036: "While there are clear and logical reasons for utilizing and selling this data it does have potential for targeted manipulation"

P36717: "no. It makes me feel like my personal information is keep leaking out. i feel more vulnerable"

The responses coded as 'resigned' essentially express that respondents know such sharing occurs, do not necessarily like it, but accept it as reality. Respondents also express a need for law or regulations, a belief that such events are likely more or less frequent than they know, a feeling of futility, and the implied agreement to such things when using apps. One participant's response encompasses each of the above themes.

P07944: "It's a gray area: users make and agreement with companies for information use based upon the scope and identity/reputation of a company. What happens with an individual's information in the event of a the business/organization being sold. Legally speaking, the matter is an open and shut case. However, a user may not want to have the same information use agreement with the new company...and their rights to having a say in how their information is being used are clearly being violated by the new company which technically

owns the rights to the information they have purchased since the company never negotiated terms with users and can use that information according to the company's desire and purposes. It's legal; but it sucks"

The neutral responses include two main types. First, some respondents directly say they are neutral or do not care about such sharing. Second, some respondents express some potential limitations on such sharing, but that they still did not have strong feelings about it either way.

P79659: "I don't have definite objections to companies sharing data with other companies"

P60109: "It depends on what it's used for and must have complete consent from an individual that isn't forced"

Few of the unconditionally positive responses say more than a one to three word answer. For example, 'good', 'epic', and 'sounds great' are common. The positive responses beyond sharing a generic response include some benefit to the individual or to the company. Benefits include personalizing advertising, ad opportunities, and new developments. While distaste for data being used for advertising was found in many negative responses, such as the earlier examples, this distaste was not universal.

P14505: "I think that it is acceptable because they need to use this data for advertising opportunities"

P98147: "Data sharing encourages more connection and collaboration between researchers, which can result in important new findings within the field. In a time of reduced monetary investment for science and research, data sharing is more efficient because it allows researchers to share resources"

# 4.4.2 Conditionals and User Control

In this section we focus on the responses coded as conditional. We highlight requirements users report as necessary for the scenarios to be acceptable. Specifically, we review 'positive conditional', 'negative conditional', and 'neutral' coded responses with respect to their conditionals. We include 'neutral' as our code definition of 'neither positive nor negative', does not prevent conditions from being specified in the response. Whether respondents viewed the scenario positively or negatively, they expressed similar themes.

**Consent.** The importance of consent and transparency is prominent in both positive and negative conditionals, with an emphasis on informed consent. Respondents express a need for easily accessible opt-out options and that consent (to data sharing) should not be a requirement for using a service.

P66884: "It's inappropriate unless the user consents explicitly and should never be a requirement for use"

P10652: "I do not think it is acceptable unless they have the users permission. Or an option to cancel information sharing. If the user has a choice and is OK with it then I believe it's fine"

P19193: "If they make people aware (in BIG print, not small, easy-to-miss print) then it's fine"

When expressing the importance of users' consent, some respondents highlight that data sharing should not be taken lightly. There are risks that can be associated with data being provided to other entities that cannot be properly evaluated without details as to where the data is going, what the data is, and why it is being shared. Receiving user consent requires full transparency with respect to each of those attributes.

P91741: "It should not be shared unless the individual gives authority to do so. It is private information that should not be shared on a whim"

P09262: "I don't think companies should share customer's personal information unless specific consent is received from the customer to where/what the information is shared to, as well as why"

Furthermore, consent can be withdrawn and cannot be assumed to be transferable between entities, even in the case of a company being purchased.

P41281: "Information collected, with the users permission, should never be shared with another company or assumed to be the property of said company if they merge with another company. This would be true regardless of whether the original company remains in the same business, or moves into a different service."

However, some respondents highlight that sufficient transparency can be advantageous to companies building goodwill after mergers or acquisitions.

P48036: "...The company can email its acquired users and them that they bought out the nicestartup and they want to use the data in order to improve their services and then list their services so people can decide for themselves. You'll be surprised how many people will agree to continue, there's no need to hide, lie, or manipulate anything. Just be honest! You'll earn respect and loyalty as well"

**Data Type and Processing.** Respondents indicate preferences for the kind of data and how the data is processed.

P31222: "I do not like the idea of any personal, individual information being shared with other companies, either for free or for a price, but if a study is performed on that data and then the study results are shared I completely think that is okay"

The type of data that is acceptable or unacceptable is not universal. Respondents mention opposition to medical or health data generally, although there is some acknowledgment of possible exceptions. While personally identifiable information (PII) is generally expressed as inappropriate to share, what counts as PII is less universal. Some respondents consider buying habits to be fine while others highlight the private nature of such financial transactions [18].

P45732: "I don't mind sharing information as long as it's not financial"

P71169: "I have a problem with this when it's sensitive personal information such as health information. I don't have as much of a problem with this when it's something less sensitive, such as my buying habits"

**Purpose.** The acceptability of different data sharing purposes, at least as far as the free-form responses are concerned, is highly individualized to what each respondent considers beneficial or detrimental. Some respondents find advertising acceptable while others do not. Sharing data to improve services or scientific investigations are spoken of positively while selling users' data for monetary gain is aggressively opposed.

P24797: "It depends upon the purpose (my benefit or detriment), the data security to ensure the original personally identifiable data is secure or destroyed and the trust based on the history of how the company previously handled data"

**Health.** Health data is the most controversial type of data sharing, and a number of respondents express concern for whether legitimate sharing purposes exist. Many respondents that mention health data do so with intense negativity and concerns over the relevant ethics and legality of the exchange or purchase of health-related data.

P94865: "Repugnant, especially in light of forprofit health systems attempting to maximize profitability from patient interactions"

P72271: "There are stringent rules about sharing data under HIPAA in the US and this clearly violates it, along with potentially exposing PII"

P77878: "worried that data will be mined for insurance companies so they can eliminate or remove costly illnesses"

Even within the topic of health data, some respondents reflect upon the potential for acceptable data sharing settings. Privacy protections are key to improving the acceptability of health data sharing. Protections could include regulations, privacy mechanisms, and greater transparency.

P20986: "It depends. I think it can be beneficial under certain circumstances, but I would be hesitant having any healthcare data shared outside my practitioners. However, I recognize how it can improve goods/services, but there has to be a lot of protection in place anytime data is shared"

P44838: "I believe for health records it should be acceptable for continuance of care but not for advertising or making money"

# 5 Discussion

Disambiguate Third Parties. Privacy policies that give companies unrestricted ability to share data with 'third-parties' and 'partners' do not encapsulate the details that influence users' preferences. Our results show users care about who data is being shared with, what is being shared, and the structure of the collaboration. In terms of 'who', health companies sharing data is less acceptable than technology companies. In terms of 'what', it is more acceptable to share fitness data with a technology than a health company. Structurally, reciprocity improves acceptability over one-way 'sale' type transactions. Transparency with respect to the nature of any collaboration is required to support the preferences our respondents expressed. Thus, regulations, such as CCPA, need to have detailed requirements for companies to clearly outline the properties we identify for data sharing.

Explicit over Implicit Consent. Implied consent is inferred based on a person's actions or circumstances. When companies make consent conditional for the use of their service, the use of the service is taken as consent. In contrast to implied consent, explicit consent is unmistakably provided by the user, possibly in writing. It is specific, can be rescinded, and is non-transferable. Informed consent requires users to have an understanding of the implications and extent of what their agreement applies to when using an app or tool. Respondents in our study expressed a clear preference for explicit consent that requires them to opt-in over implied consent (e.g., 'concealed consent' or 'assumed consent'). Respondents' preference for, and emphasis on consent and transparency, held for both statistical analysis and free-form responses.

Reduce Ambiguities to Communicate Privacy. Although user controls affect the acceptability of collaborations, the effect does not always correspond to the impact on privacy in practice. For example, retaining data 'while in use' and 'indefinitely' may have no practical difference. Despite this, respondents found it more acceptable for companies to retain data 'while they are using it'. Companies could abuse such misunderstandings by making something seem more private in practice than it actually is.

Similarly, each of the five privacy mechanisms we included have a different effect on privacy in practice. Respondents to our study had difficulties understanding our descriptions of the privacy mechanisms. Unless users can distinguish between accessible descriptions, they will not be making informed decisions. Therefore, when companies use privacy mechanisms, they should be compelled by law to ensure it is either easy to understand or that users are not required to understand the privacy mechanism used to successfully make an informed choice. Going forward, researchers and policy makers must focus on conveying the significance of different privacy implications and changing the information provided to users such that it is clear and concise and not perceived as minor details.

## 6 Conclusion

We presented the results of our survey on user perceptions of multiparty data sharing. Our results indicate that the type of data sharing collaboration affects acceptability as do the available user controls. Based on these results, we recommend that regulations for data sharing do not solely rely on past work that focused on only one company receiving data from another (whether for advertising or other purposes). We hope the recommendations we have made help other privacy researchers and regulators mitigate the inequity imposed on users by data commodification.

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## A Survey Questions

Note that the ordering of questions two through five were randomized. The first question was always the scenario and the last question before the freeform response was always the privacy mechanism question and correctness check. Additionally, the order of the options within questions were randomized. The below format, such as spacing, is not representative of the original readability of the survey, however, in the interest of including the full survey response with the paper we have placed it within the templates style. A pdf copy of the survey is available at **Redacted**.

### 1. (Receive one of the twelve scenarios)

- A. TechForYou is a large internet company that offers a search engine, email accounts and smartphone platforms to users. GoodHealth runs a chain of hospitals across the country and stores health data for millions of patients during its day-to-day operations. TechForYou and GoodHealth will share the customer data they hold with one another. You are a customer of TechForYou and GoodHealth. How acceptable is this scenario?
- B. GoodHealth runs a chain of hospitals across the country and stores health data for millions of patients during its day-to-day operations. Tech-ForYou is a large internet company that offers a search engine, email accounts and smartphone platforms to users. GoodHealth and TechForYou will share the customer data they hold with one another. You are a customer of GoodHealth and TechForYou. How acceptable is this scenario?
- C. TechForYou is a large internet company that offers a search engine, email accounts and smartphone platforms to users. GoodHealth runs a chain of hospitals across the country and stores health data for millions of patients during its day-to-day operations. TechForYou and GoodHealth will share the customer data they hold with one another. You are a customer of TechForYou. How acceptable is this scenario?
- D. GoodHealth runs a chain of hospitals across the country and stores health data for millions of patients during its day-to-day operations. Tech-ForYou is a large internet company that offers a search engine, email accounts and smartphone platforms to users. GoodHealth and TechForYou will share the customer data they hold with one another. You are a customer of GoodHealth. How acceptable is this scenario?
- E. TechForYou is a large internet company that offers a search engine, email accounts and smartphone platforms to users. GoodHealth runs a chain of hospitals across the country and stores health data for millions of patients during its day-to-day operations. TechForYou will share its customer data with GoodHealth. You are a customer of TechForYou. How acceptable is this scenario?

- F. GoodHealth runs a chain of hospitals across the country and stores health data for millions of patients during its day-to-day operations. Tech-ForYou is a large internet company that offers a search engine, email accounts and smartphone platforms to users. GoodHealth will share its patients' health records with TechForYou. You are a customer of GoodHealth. How acceptable is this scenario?
- G. TechForYou is a large technology enterprise that wants to acquire additional data about its users. TechForYou wants to buy data about its users from other companies: SmartAd (an online advertising company), MyHomeRetail (a large retail company selling housewares), and MyPurchaseCard (a credit card company). From these other companies, TechForYou will get information on the ads that TechForYou users see, their shopping habits, and their purchasing habits. You are a customer of all these companies. How acceptable is this scenario?
- H. GoodHealth runs a chain of hospitals across the country and stores health data for millions of patients during its day-to-day operations. GoodHealth wants to buy data about its users from other companies: SmartAd (an online advertising company), MyHomeRetail (a large retail company selling housewares), and MyPurchaseCard (a credit card company). From these other companies, GoodHealth will get information on the ads that GoodHealth users see, their shopping habits, and purchasing habits. You are a customer of all these companies. How acceptable is this scenario?
- I. NiceStartup is a tech startup that that helps its users track their diet, fitness, and social habits. Tech-ForYou is a large internet company that offers a search engine, email accounts and smartphone platforms to users. TechForYou has bought out NiceStartup and as a result TechForYou now has access to all the data NiceStartup had collected from its users. You are a customer of NiceStartup. How acceptable is this scenario?
- J. NiceStartup is a tech startup that that helps its users track their diet, fitness, and social habits. Good-Health runs a chain of hospitals across the country and stores health data for millions of patients during its day-to-day operations. GoodHealth bought out NiceStartup and as a result GoodHealth now has access to all the data NiceStartup had collected from its users. You are a customer of NiceStartup. How acceptable is this scenario?
- K. AlmostStartup is a tech startup that developed a fitness tracking device. NiceStartup is another tech startup that helps its users track their diet, fitness,

- and social habits using an app. NiceStartup merged with AlmostStartup. NiceStartup now has access to all the data AlmostStartup had collected from its users. TechForYou is a large internet company that offers a search engine, email accounts and smartphone platforms to users. Now, TechForYou has bought out NiceStartup and as a result TechForYou now has access to all the data both startups, NiceStartup and AlmostStartup, had collected from their users. You were a customer of AlmostStartup. How acceptable is this scenario?
- L. AlmostStartup is a tech startup that developed a fitness tracking device. NiceStartup is another tech startup that helps its users track their diet, fitness, and social habits using an app. NiceStartup merged with AlmostStartup. NiceStartup now has access to all the data AlmostStartup had collected from its users. GoodHealth runs a chain of hospitals across the country and stores health data for millions of patients during its day-to-day operations. Now, GoodHealth has bought out NiceStartup and as a result GoodHealth now has access to all the data both startups, NiceStartup and AlmostStartup, had collected from their users. You were a customer of AlmostStartup. How acceptable is this scenario?
- 2. How acceptable is the above scenario if the company (or companies) use the acquired data...
  - (a) for generating advertising revenue?
  - (b) in exchange for providing you with a monetary return (e.g. free service, reduced rate, or gift-card)?
  - (c) to improve the company's (or companies') services?
- 3. How acceptable is the above scenario if the company (or companies) deletes the acquired data...
  - (a) never?
  - (b) after a specified length of time (e.g. three months, one year, etc.)?
  - (c) after they have finished using it?
- 4. How acceptable is the above scenario if the company (or companies) inform you by...
  - (a) an email or app notification which indicates that by continuing to use their service you agree to your data being shared?
  - (b) providing an option to allow your data to be shared? Your data will not be shared by default unless you select this option.

- (c) providing an option to stop your data from being shared? Your data will be shared by default unless you select this option.
- (d) no formal notification? You learn of the scenario from an independent news report.
- 5. What is the shape of a red ball?
- 6. (Receive one of five privacy mechanisms)
  - (a) The original company will only share approximations of all customers' true data, using what's known as Local Differential Privacy (LDP). LDP guarantees that the odds of correctly guessing your true data from the shared approximation, are low. How acceptable is the above scenario if the company (or companies) used local differential privacy (LDP)?
  - (b) The new company will create approximations of all customers' true data, using what's known as Central Differential Privacy (CDP). The new company can compute statistics over these approximations, without using your true data anymore. CDP guarantees that the odds of correctly guessing your true data from an approximation, are low. How acceptable is the above scenario if the company (or companies) used centralized differential privacy (CDP)?
  - (c) Anonymizing the data means that personal identifiers such as your name, age, gender, email and contact address will be removed. Only the anonymized data for all users will be stored together (in a database). How acceptable is the above scenario if the company (or companies) only shared anonymized data?
  - (d) The company will combine your data with other customers' data and calculate aggregate statistics, such as averages, over all customers' data. The original data will not be shared. How acceptable is the above scenario if the company (or companies) only shared aggregate statistics?
  - (e) Encryption means means that your data cannot be read by a person or computer who does not have a secret key to remove the encryption. Encryption allows the company to use your data without learning what it actually is. How acceptable is the above scenario if company (or companies) only shared encrypted data?
- 7. Which of the following best describes the technique in Question 6?
  - (a) Individual data is changed such that the original data is not recoverable without additional information.

Code	Description
Neg.	Unconditionally negative
Neg. Cond.	Overall negative but permitted cases
Neutral	Neither positive nor negative
Resigned	Negative but accepted
Pos. Cond.	Overall positive but forbidden cases
Pos.	Unconditionally positive

Table 3: Free-Form Response Perceptions Code Book

- (b) Collected data is received by the new company and they modify the data so that it is hard to identify individual contributions.
- (c) Individual data is changed by the original company so that it is hard to later identify the original individuals data contributions.
- (d) Parts of the data have been removed so that it is hard to connect to individuals.
- (e) All users' data is combined and only the results of the computations over the collective data are shared.
- 8. In general, what are your thoughts on companies sharing data with other companies?
- 9. -begin page three- Please specify your gender:
- 10. Please select your age group:respondents
- 11. Which of the following best describes the principal industry of your employment?
- 12. What is the highest level of school you have completed or the highest degree you have received?

## B Codebook

We include the codebook used by to code the free-form responses in Section 4.4 as Table 3.

## **C** Statistics

This appendix details the process and results of our statistical analysis. All statistical results presented use a significance level of 0.05. We use non-parametric statistical tests as our data is not normally distributed. This leaves the potential for incorrectly finding a difference insignificant. However, it decreases the risk of incorrectly saying a difference is significant. Additionally, when presenting the results of multiple comparison procedures, we report the *p*-value adjusted using

The distributions of acceptability for	Test Statistic	p
consent groups (b), (c), (d), (e) are the same	899.29	<0.001
retention groups (f), (g), (h) are the same	255.08	<0.001
purpose groups (i), (j), (k) are the same	435.79	<0.001

Table 4: Friedman's two-way analysis of variance by ranks results for the distribution of acceptability within informed consent groups, data retention groups, and purpose groups. For all variables within groups N = 916. A row with bold p value indicates that we reject that hypothesis and that for that group, the acceptability differs significantly between variables within the group.

the Bonferroni correction to account for the increased chance of false positive results due to multiple comparisons.

When presenting a result in this appendix, we refer to the corresponding section in the main body of the paper. See Table 1 for the variable names, collaboration types, and their corresponding labels used throughout this section. The multiparty data sharing scenarios and corresponding labels can be seen in Appendix A or Figure 1.

# C.1 Within Informed Consent, Data Retention, and Purpose

The statistics shown in this section correspond to the results presented in Section 4.1. We perform a Friedman's two-way analysis of variance by ranks for each of the distributions of acceptability: within informed consent groups, within data retention groups, and within purpose groups. The results of which can be seen in Table 4.

We perform a post-hoc analysis to identify which variables within a group differ and in what direction, for example within data retention, how do variables (f), (g), and (h) differ. To do this, we use Dunn's multiple comparison procedure which is shown in Table 5. The difference in mean rank (e.g., the mean rank of Var 1 subtract the mean rank of Var 2) shows the direction of the difference in acceptability of the pair. All pairs of variables have significantly different distributions of acceptability except for the (g), (h) variable pair from within data retention.

# **C.2** Collaboration Types

The statistics in this section correspond to the results presented in Section 4.2. As in the main body of the paper, we first present the results comparing acceptability between collaboration types and follow up with the results comparing

Var 1, Var 2	Difference in Mean Rank	Std. Test Statistic	p
	Informed Con	sent	
(a), (b)	-0.85	-14.098	< 0.001
(a), (c)	-1.07	-17.663	< 0.001
(a), (d)	-1.44	-23.798	< 0.001
(b), (c)	-0.22	-3.565	0.002
(b), (d)	-0.59	-9.700	< 0.001
(c), (d)	-0.37	-6.135	< 0.001
	Data Retenti	on	
(f), (g)	-0.46	-9.778	< 0.001
(f), (h)	-0.51	-10.864	< 0.001
(g), (h)	-0.05	-1.086	0.832
	Purpose		
(i), (j)	-0.71	-15.186	< 0.001
(i), (k)	-0.55	11.764	< 0.001
(k), (j)	-0.16	-3.423	0.002

Table 5: Dunn's multiple comparison test results for the distribution of acceptability compared pairwise between variables within informed consent, data retention, and purpose groups. All *p*-values are adjusted for multiple comparisons (6 comparisons for the consent group, 3 for each of the data retention and purpose groups) using Bonferroni correction.

within types.

Between collaboration types. We perform a Kruskal-Wallis test on the distribution of acceptability of each collaboration type (1-5) for each variable ((a) through (k)) shown in Table 6. We perform a post-hoc analysis for variables that have significant differences from the Kruskal-Wallis test to identify which collaboration types have pairwise differences. We use Dunn's multiple comparison procedure and show the results in Table 7. Only the collaboration type pairs that have significantly different distributions of acceptability are reported. The difference in mean rank (e.g., the mean rank of Type X subtract the mean rank of Type Y) shows the direction of the difference in acceptability collaboration types.

Within collaboration types. Each collaboration type (1-5) is comprised of two scenarios (from C to L), so within each collaboration type we perform a Mann-Whitney U test for each variable ((a) through (k)). For 'two-way two-party exchange' (type 1), we fail to identify any significant differences in the distribution of acceptability for its constituent scenarios C (N = 73) and D (N = 67). In 'one-way two-party exchange' (type 2), we identify significant differences between scenarios E and F in seven variables which can be seen in Table 8. For 'many-to-one exchange' (type 3), we identify one significant difference between scenario G (N = 64) and H

Between collaboration types, the acceptability distribution of	Test Statistic	p
(a) is the same	26.724	<0.001
$\dots$ (b) is the same	6.026	0.197
$\dots$ (c) is the same	15.113	0.004
$\dots$ (d) is the same	10.340	0.035
$\dots$ (e) is the same	12.058	0.017
$\dots$ (f) is the same	7.862	0.097
$\dots$ (g) is the same	2.774	0.596
(h) is the same	13.261	0.010
$\dots$ (i) is the same	7.044	0.134
$\dots$ (j) is the same	6.337	0.175
$\dots$ (k) is the same	10.337	0.035

Table 6: Kruskal-Wallis test results for the distribution of acceptability of variables (a) through (k) between collaboration types  $\{1 \ (N=140), 2 \ (N=150), 3 \ (N=134), 4 \ (N=162), 5 \ (N=170)\}$ . A row with bold p value indicates that we reject that hypothesis and that for that variable, the acceptability differs significantly between data sharing types.

Difference in Mean Rank	Std. Test Statistic	p
a) All scenarios (g	eneral)	
-75.46	-3.124	0.018
-69.42	-2.907	0.037
-104.31	4.190	< 0.001
-98.27	3.990	0.001
(c) Assumed con	sent	
-68.28	-2.825	0.047
-68.23	-2.855	0.043
	Mean Rank  a) All scenarios (g	Mean Rank         Statistic           a) All scenarios (general)         -75.46           -69.42         -2.907           -104.31         4.190           -98.27         3.990           (c) Assumed consent         -68.28           -2.825

(d) Opt-out consent

No pairwise differences due to Bonferroni correction.

(e) Opt-in consent

No pairwise differences due to Bonferroni correction.

(h) Retained for set time				
2, 4	-71.96	-2.973	0.030	
	(k) Improving se	rvices		
2, 5	-70.38	-2.948	0.032	

Table 7: Dunn's multiple comparison test results for the distribution of acceptability compared pairwise between collaboration types. All *p* values are adjusted for multiple comparisons (10 comparisons per variable) using Bonferroni correction.

(N=70) for 'assumed consent' (variable (c), p=0.035, std. test statistic= -2.107, mean rank difference= 13.84). For 'acquisition' (type 4), we identify a significant difference for 'opt-in consent' (variable (e)) between scenarios I (N=79)

and J (N = 83) (p = 0.004, std. test statistic = -2.915, mean rank difference = 20.24). For 'merger then acquisition' (type 5), we fail to identity any significant differences in acceptability of variables for scenario K (N = 74) compared with L (N = 96).

Difference in Mean Rank	Std. Test Statistic	p
16.04	-2.322	0.020
17.47	-2.550	0.011
16.11	-2.315	0.021
15.19	-2.188	0.029
17.22	-2.603	0.009
22.22	-3.202	0.001
15.24	-2.196	0.028
	16.04 17.47 16.11 15.19 17.22 22.22	Mean Rank         Statistic           16.04         -2.322           17.47         -2.550           16.11         -2.315           15.19         -2.188           17.22         -2.603           22.22         -3.202

Table 8: Mann-Whitney U test results for the One-Way Two-Party Exchange (collaboration type 2) scenarios {E (N = 81), F (N = 69)}.

# C.3 Demographics

The statistics in this section correspond to the results in Section 4.3. We show the statistical results for demographic variations, first, due to gender and, second, due to age.

**Gender acceptability variations.** For gender, we performed a Mann-Whitney U Test with two groups comprised of 432 men and 455 women compared for each of the variables (a through k). We found a significant result for 'concealed consent' (variable (b)). We can conclude that men found their consent not being explicitly granted, to be significantly more acceptable than women did (p = 0.008, std. test statistic= -2.647). The difference in mean rank between men and women for 'concealed consent' was 40.45.

**Age acceptability variations.** To examine how age group influences acceptability for each of the variables, (a) through (k), we performed a Kruskal-Wallis test comparing the five age groups  $\{18-24 \ (N=154), 25-34 \ (N=201), 35-44 \ (N=140), 45-54 \ (N=197), 55-64 \ (N=201)\}$ . These results are shown in Table 9.

In order to identify which age groups have pairwise differences, we conduct a post hoc Dunn's test shown in Table 10. Only the age group pairs that have significantly different distributions of acceptability are reported in this table. The difference in mean rank (e.g., the mean rank of Group 1 subtract the mean rank of Group 2) shows the direction of the difference in acceptability of the pair of age groups.

Between age groups, the acceptability distribution of	Test Statistic	p
(a) is the same	14.376	0.006
(b) is the same	27.059	< 0.001
(c) is the same	6.382	0.172
(d) is the same	8.848	0.065
(e) is the same	4.069	0.397
(f) is the same	21.429	< 0.001
(g) is the same	11.841	0.019
(h) is the same	12.913	0.012
(i) is the same	33.828	< 0.001
(j) is the same	11.977	0.018
(k) is the same	25.893	< 0.001

Table 9: Kruskal-Wallis test results for the distribution of acceptability of variables (a) through (k) between age groups  $\{18-24, 25-34, 35-44, 45-54, 55-64\}$ . A row with bold p value indicates that we reject that hypothesis and that for that variable, the acceptability differs significantly between age groups.

# D Privacy Mechanism Comprehension

We examine differences, in terms of comprehension, between the five privacy mechanisms. Respondents predominantly fail the comprehension check as to whether they understand their privacy mechanism. Only 37% of total respondents correctly identified the corresponding "layperson" description of the privacy mechanism they received. Data aggregation was the most correctly identified with 64% correctness. Respondents had the most difficulty comprehending LDP and CDP. As LDP and CDP are essentially modifications to aggregation when described less formally, it is not surprising that they were frequently thought to correspond to the aggregation description. Furthermore, it is plausible that respondents more easily comprehended the older and more familiar ideas of aggregation, encryption, and anonymization. In contrast, differential privacy (such as LDP or CDP), has been in use less than 15 years and is not yet part of colloquial knowledge. The breakdown of privacy mechanism comprehension is shown in Figure 4.

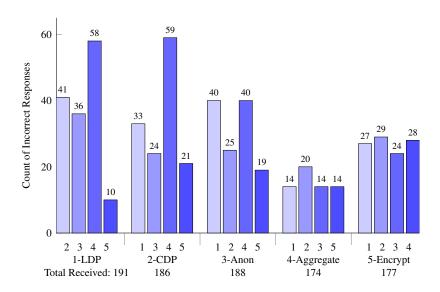


Figure 4: The counts of privacy mechanism received versus incorrectly guessed. Respondents receive the definition of a privacy mechanism and attempt to identify the layperson description that corresponds to that same privacy mechanism. For example, of the 191 respondents that received LDP (privacy mechanism 1), 41 incorrectly guessed they received CDP (privacy mechanism 2).

Group 1, Group	2 Difference in Mean Rank	Std. Test Statistic	p		
(a) All scenarios (general)					
35-44, 45-54	-90.38	-3.246	0.012		
	(b) Concealed cons	ent			
55-64, 18-24	-100.72	4.090	< 0.001		
55-64, 25-34	-94.35	4.113	< 0.001		
55-64, 45-54	-92.10	3.995	0.001		
	f) Retained indefini	tely			
55-64, 18-24	-95.98	3.628	0.003		
55-64, 25-34	-76.66	3.111	0.019		
55-64, 45-54	-87.21	3.521	0.004		
No pairwise dif	g) Retained while in ferences due to Bor	nferroni corr	ection.		
	h) Retained for set		0.044		
35-44, 45-54	-79.41	-2.847	0.044		
	(i) Generating rever	nue			
35-44, 45-54	-78.91	-2.900	0.037		
55-64, 18-24	-121.07	4.593	< 0.001		
55-64, 25-34	-84.94	3.459	0.005		
55-64, 45-54	-121.64	4.929	< 0.001		
(j) l	Provide user remun	eration			
55-64, 25-34	-76.83	3.075	0.021		
	(k) Improving servi	ces			
35-44, 18-24	-105.96	3.606	0.003		
55-64, 18-24	-114.78	4.260	< 0.001		
55-64, 25-34	-75.21	2.996	0.027		
55-64, 45-54	-77.57	3.075	0.021		

Table 10: Dunn's multiple comparison test results for the distribution of acceptability compared pairwise between age groups for variables that have significant differences. All p values are adjusted for multiple comparisons (10 comparisons per variable) using Bonferroni correction.