

# Equivariant CSM classes of coincident root loci

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# Coincident root loci

Consider a degree  $m$  homogeneous binary form  $f \in \operatorname{Sym}^m V^*$  on a two dimensional complex vector space  $V \cong \mathbb{C}^2$ . Taking the roots of the equation  $f(z) = 0$  gives us a bijection between  $\mathbb{P}\operatorname{Sym}^m V^*$  and the space of unordered multisets of  $m$  points in  $\mathbb{P}^1 = \mathbb{P}V$ .

This space is naturally stratified by specifying the multiplicities of the roots: Given a partition  $\mu = (\mu_1, \dots, \mu_n)$  of  $m$ , define  $X_\mu \subset \mathbb{P}\operatorname{Sym}^m V^*$  be the set of forms which have  $n$  distinct roots, with multiplicities  $\mu_1, \mu_2, \dots, \mu_n$ .

$$\mathbb{P}\operatorname{Sym}^m V^* = \coprod_{\mu \vdash m} X_\mu$$

We call the loci  $X_\mu$  *coincident root loci*.<sup>1</sup>

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<sup>1</sup>also called: multiple root loci, pejorative manifolds, discriminant strata, factorization manifolds,  $\lambda$ -Chow varieties, etc.

# The goal: Compute $c_{\text{SM}}(X_\mu)$

Our goal here is to compute the  $\text{GL}_2$ -equivariant Chern-Schwartz-MacPherson classes  $c_{\text{SM}}(X_\mu) \in H_{\text{GL}_2}^*(\mathbb{P}\text{Sym}^m V^*)$  of the loci  $X_\mu$ .

Motivation:

- ▶ it is a very natural question (already studied by Hilbert, Schubert)
- ▶ it has lots of potential applications in enumerative geometry
- ▶ we want to see more worked-out examples anyway

**Theorem** (Hilbert):

$$\deg(\overline{X}_\mu) = \frac{n!}{\prod_i e_i!} \cdot \prod_i \mu_i$$

where  $\mu = (\mu_1, \dots, \mu_n) = (1^{e_1}, 2^{e_2}, \dots, r^{e_r})$ .

# Previous results

- ▶ Schubert (1886): some enumerative consequences for some particular  $\mu$ -s
- ▶ Hilbert (1887): the degree:  $\deg(\overline{X_\mu}) \in \mathbb{N}_+$
- ▶ Aluffi (1998): the non-equivariant CSM:

$$c_{\text{SM}}(X_\mu) \in H^*(\mathbb{P}^m) = \mathbb{Z}[h] / h^{m+1}$$

- ▶ Fehér, Némethi, Rimányi ( $\sim 2003$ ; published in 2006): the equivariant dual via localization

$$[\text{cone}(X_\mu)] \in H_{\text{GL}_2}^*(\mathbb{C}^{m+1}) = \mathbb{Z}[\alpha, \beta]^{S_2} = \mathbb{Z}[c_1, c_2]$$

- ▶ Kőmüves (2003): the same equivariant dual via restriction equations

## Remarks:

- ▶ The dual class is the lowest degree part of the CSM class
- ▶ The projective ( $X_\mu \subset \mathbb{P}^m$ ) and the affine ( $\text{cone}(X_\mu) \subset \mathbb{C}^{m+1}$ ) versions are equivalent
- ▶ The localization and the restriction methods are secretly the same (in this particular case)

# Software

There is a software package implementing all computations described here, and also those in previous works. It is available at:

<http://hackage.haskell.org/package/coincident-root-loci>

It is written in the Haskell programming language. Installation:

1. install the Haskell Platform (<http://www.haskell.org/platform>)
2. then type:

```
cabal upgrade
cabal install coincident-root-loci
```

Example (in the interactive shell `ghci`):

```
ghci> pretty $ convertGam chernToSchur
      $ umbralClosedCSM $ toPartition [4,3,1,1]

"1694520*s[3,2] + 13548870*s[3,3] + 1006344*s[4,1] + 19483740*s[4,2] +
93239748*s[4,3] + 190659015*s[4,4] + 181440*s[5] + 6904440*s[5,1] +
...
8280*g^7*s[1,1] + 6960*g^7*s[2] + 45*g^8 + 405*g^8*s[1] + 10*g^9"
```

# Ambient CSM classes

We are always working in the following situation:  $j : X \subset M$  is a possibly singular,  $G$ -invariant locally closed subvariety in a smooth ambient variety  $M$ .

With some abuse of notation, in this context by  $c_{\text{SM}}(X)$  we always mean the Poincaré dual of the pushforward of the CSM class from  $X$  to  $M$ :

$$\underbrace{c_{\text{SM}}(X \subset M)}_{\text{our version}} := \text{Dual} \left[ j_* \underbrace{c_{\text{SM}}(X)}_{\text{standard}} \right] \in H_G^*(M)$$

This seems to be the natural thing to do in our setting, when  $M$  is stratified by invariant subvarieties. It also fits better with the applications. Finally, it's much simpler to work in  $H_G^*(M)$  which is typically very well understood. (Working in cohomology instead of homology is just personal preference).

Note that Aluffi also came to this conclusion, from different considerations.<sup>2</sup>

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<sup>2</sup>P. Aluffi: Characteristic classes of singular varieties; Warsaw lecture notes

# Projective vs. affine

We have three different versions of CSM classes here:

- ▶ projective, non-equivariant classes:  $c_{\text{SM}}(X_\mu \subset \mathbb{P}^m) \in H^*(\mathbb{P}^m)$
- ▶ projective, equivariant classes:  $c_{\text{SM}}^{\text{equiv}}(X_\mu \subset \mathbb{P}^m) \in H_{\text{GL}_2}^*(\mathbb{P}^m)$
- ▶ affine, equivariant classes:  $c_{\text{SM}}^{\text{equiv}}(\text{cone}(X_\mu) \subset \mathbb{C}^{m+1}) \in H^*(B\text{GL}_2)$

They are related by the substitutions:

$$c_{\text{SM}}(X_\mu) = c_{\text{SM}}^{\text{equiv}}(X_\mu) |_{\{\alpha \mapsto 0, \beta \mapsto 0\}}$$

$$c_{\text{SM}}^{\text{equiv}}(\text{cone}(X_\mu)) = c_{\text{SM}}^{\text{equiv}}(X_\mu) |_{\{\gamma \mapsto 0\}}$$

$$c_{\text{SM}}^{\text{equiv}}(X_\mu) = c_{\text{SM}}^{\text{equiv}}(\text{cone}(X_\mu)) |_{\{\alpha \mapsto \alpha + \gamma/m, \beta \mapsto \beta + \gamma/m\}}$$

Note that in the affine case, there is an extra stratum  $X_0 = \{0\} \subset \mathbb{C}^{m+1}$ .  
It's CSM class is:

$$c_{\text{SM}}(X_0) = [\{0\}] = \prod_{i=0}^m \underbrace{(i\alpha + (m-i)\beta)}_{w_i}$$

# Segre-SM classes and intersection theory

The Segre-SM classes, while seemingly just a simple variation:

$$s_{\text{SM}}(X \subset M) = \frac{c_{\text{SM}}(X)}{c(TM)}$$

are much more useful for doing intersection theory.

The reason for this is that they behave well with respect to pullback (and as a corollary, also wrt. intersection). In particular:

**Theorem** (Ohmoto): Given a  $G$ -representation  $W$ , an invariant subvariety  $X \subset W$ , a  $W$ -bundle  $E \rightarrow B$  with classifying map  $\varphi : B \rightarrow BG$ , and a section  $\sigma : B \rightarrow E$  transversal to  $X$ , we have

$$\underbrace{s_{\text{SM}}(\sigma^{-1}(X_E \subset E) \subset B)}_{\text{non-equivariant}} = \varphi^* \underbrace{s_{\text{SM}}(X \subset W)}_{\text{equivariant}}$$



# Applications to enumerative geometry

The most straightforward application of this idea is the following: Given a generic degree  $d$  hypersurface  $\mathcal{H} \subset \mathbb{P}^n$ , intersecting it with any line  $\mathbb{P}^1 \subset \mathbb{P}^n$  gives us  $d$  points on that line.

More precisely, if the hypersurface is defined by the equation  $F = 0$  with  $F \in \text{Sym}^d(\mathbb{C}^{n+1})^*$ , then restricting  $F$  to the fibers of the tautological subbundle  $K^2 \rightarrow \text{Gr}_2 \mathbb{C}^{n+1}$  gives us a section  $\sigma = F|_K$  of the bundle  $\text{Sym}^d K^*$ . Then  $\sigma^{-1}(X_\mu)$  is the locus of lines in  $\mathbb{P}^n$  which meet  $\mathcal{H}$  with the prescribed contact multiplicities.

For example  $\mu = (2, 1^{d-2})$  gives the set of tangent lines;  $\mu = (3, 1^{d-3})$  the flex lines,  $\mu = (2, 2, 1^{d-4})$  the bitangent lines, etc. The zero stratum gives the lines lying on  $\mathcal{H}$ .

Already the equivariant dual allows us to answer questions like: How many  $4\times$  tangent lines are to a generic degree  $d$  surface in  $\mathbb{P}^3$ ?

# The geometric situation

$$\begin{array}{ccc} \mathcal{U}_n \subset \overbrace{\mathbb{P}^1 \times \cdots \times \mathbb{P}^1}^{\mathcal{M}^n} & \xrightarrow{\Delta^\mu} & \overbrace{\mathbb{P}^1 \times \mathbb{P}^1 \times \cdots \times \mathbb{P}^1}^{\mathcal{M}^m} \supset Y_\mu \\ & & \downarrow \pi \\ & & \mathbb{P}\mathrm{Sym}^m V^* = \mathbb{P}^m \supset X_\mu \end{array}$$

Notations:

- ▶  $n$  is the number of parts of the partition  $(\mu_1, \dots, \mu_n)$
- ▶  $m \geq n$  is the total number of points  $m = \mu_1 + \mu_2 + \cdots + \mu_n$
- ▶  $\mathcal{M}^k = \mathbb{P}^1 \times \mathbb{P}^1 \times \cdots \times \mathbb{P}^1$ , product of  $k$  projective lines
- ▶  $\mathcal{U}_k = \{(z_1, \dots, z_k) \mid z_i \neq z_j\} \subset \mathcal{M}^k$  is the set of distinct points
- ▶  $\Delta^\mu$  is the diagonal map corresponding to  $\mu$
- ▶  $\pi$  simply forgets the order of points.

Clearly, we have  $X_\mu = \pi(\Delta^\mu(\mathcal{U}_n))$ .

# Computation strategies

## Strategy I:

- ▶ Observe that  $\mathcal{M}^n$  is a smooth blow-up of  $\overline{X}_\mu$ . Taking the pushforward of  $c(\mathcal{M}^n)$  we get a linear combination of the  $c_{\text{SM}}(X_\lambda)$  classes, where  $X_\lambda \subset \overline{X}_\mu$  (equivalently,  $\mu$  is a refinement of  $\lambda$ );
- ▶ Since the smallest stratum,  $X_{(m)}$  is smooth (it's just the rational normal curve), we know its CSM class, and we can work out the rest recursively.

## Strategy II:

- ▶ Solve the analogous problem in  $\mathbb{P}^1 \times \cdots \times \mathbb{P}^1$  to get  $c_{\text{SM}}(\mathcal{U}_n)$ ;
- ▶ Compute the pushforward  $c_{\text{SM}}(X_\mu) = \frac{1}{\text{aut}(\mu)} \cdot \pi_* \Delta_*^\mu c_{\text{SM}}(\mathcal{U}_n)$ .

## Computation strategies, page 2

Both strategies work.

Unfortunately “Strategy I” requires a Möbius inversion for the poset defined by the closure relation between the strata:  $\lambda \prec \mu$  if  $X_\lambda \subset \overline{X_\mu}$ . Combinatorially, this is the (inverse of the) *refinement poset* of partitions.

Apparently, these posets behave badly:<sup>3</sup> We don’t even know the *signs* of the Möbius function in general! So “Strategy I” gives us a working algorithm, but it is slow, and gives no insight.

Hence, we will follow “Strategy II” instead. This is exactly the same strategy Aluffi<sup>4</sup> follows, we just work out the equivariant version here (which is rather more intricate).

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<sup>3</sup>G. Ziegler: On the poset of partitions of an integer (1986)

<sup>4</sup>P. Aluffi: Char. classes of discriminants and enumerative geometry (1998)

# Equivariant cohomology

We have to describe the cohomology rings of the spaces we work in.

First the projective lines:

$$H_{\mathrm{GL}_2}^*(\mathbb{P}^1) = \mathbb{Z}[\alpha, \beta; \xi]^{S_2} / ( (\alpha + \xi)(\beta + \xi) = 0 ) = \mathbb{Z}[c_1, c_2; \xi] / ( \xi^2 + c_1\xi + c_2 = 0 )$$

$$H_{\mathrm{GL}_2}^*(\mathcal{M}^m) = \mathbb{Z}[\alpha, \beta; u_1, \dots, u_m]^{S_2} / ( (\alpha + u_i)(\beta + u_i) = 0 : 1 \leq i \leq m )$$

where  $\xi = -c_1(L)$  and  $u_i = -c_1(L_i)$ , the Chern classes of the tautological line bundles;  $c_1, c_2$  are the generators of  $H^*(B\mathrm{GL}_2)$ :  $c_i = c_i(K)$  for the tautological bundle  $K^2 \rightarrow \mathrm{Gr}_2(\mathbb{C}^\infty) = B\mathrm{GL}_2$ ; and the Chern roots  $\alpha, \beta$  via the splitting principle:  $c_1 = \alpha + \beta$  and  $c_2 = \alpha\beta$ .

More generally, given a representation  $W$  (in our case  $W = \mathrm{Sym}^m V^*$ ):

$$H_{\mathrm{GL}_2}^*(\mathbb{P}W) = \mathbb{Z}[\alpha, \beta; \gamma]^{S_2} / ( \prod_i (w_i + \gamma) = 0 )$$

where  $w_i \in H_{\mathbb{T}^2}^*(\mathrm{pt}) = \mathbb{Z}[\alpha, \beta]$  are the *weights* of the representation.

In our case  $w_i = \pm((n-i)\alpha + i\beta)$  for  $0 \leq i \leq n$ .

# A warning about signs

There are several sign choices to be made here:

- ▶  $\xi = \pm c_1(L^1) \in H^*(\mathbb{P}^m)$
- ▶  $\alpha + \beta = c_1 = \pm c_1(K^2) \in H^*(BGL_2)$
- ▶ which representation to use:  $\mathrm{Sym}^m V^2$  or  $\mathrm{Sym}^m V^{2*}$

For the first two, our choices are  $\xi = -c_1(L)$  and  $c_1 = +c_1(K)$ .

The third one is more confusing, because there are *canonical* isomorphisms between  $\mathbb{P}^1 \cong \mathbb{P}^{1*}$  and  $\mathbb{P}\mathrm{Sym}^m V^2 \cong \mathbb{P}\mathrm{Sym}^m V^{2*}$ .

For brevity, we will abuse the notation and pretend we are working with  $\mathrm{Sym}^m V$  instead of  $\mathrm{Sym}^m V^*$ . This is not important except for the applications (and for positivity), and we can just put back the signs at that point.

# The pushforward along the diagonal maps

First, consider the small diagonal  $\Delta^k : \mathbb{P}^1 \rightarrow \mathcal{M}^k$ :

$$\Delta^k(z) = (z, z, \dots, z) \in \mathbb{P}^1 \times \mathbb{P}^1 \times \dots \times \mathbb{P}^1 = \mathcal{M}^k$$

**Lemma:**

$$\begin{aligned}\Delta_* 1 &= \sum_{j=0}^{m-1} \sigma_{m-1-j}(\mathbf{u}) \cdot \tau_j(\alpha, \beta) \\ \Delta_* \xi &= -\alpha\beta \cdot \sum_{j=0}^m \sigma_{m-j}(\mathbf{u}) \cdot \tau_{j-2}(\alpha, \beta)\end{aligned}$$

where  $\tau_k$  is defined by

$$\tau_k(\alpha, \beta) = \frac{\alpha^{k+1} - \beta^{k+1}}{\alpha - \beta} = \begin{cases} \sum_{i=0}^k \alpha^{k-i} \beta^i, & k \geq 0 \\ 0, & k = -1 \\ -\frac{1}{\alpha\beta}, & k = -2 \end{cases}$$

## The pushforward along the diagonal maps, page 2

The general diagonal map  $\Delta^\mu$  is simply assembled from copies of  $\Delta^k$  with  $k = \mu_i$ .

*Sketch of proof of the Lemma:* Clearly we have

$$\xi = -c_1(L) = -c_1(\Delta^* L_i) = \Delta^*(-c_1(L_i)) = \Delta^* u_i,$$

and thus from the adjunction formula:

$$B = \Delta_* \xi = \Delta_*(\xi \cdot 1) = \Delta_*(\Delta^* u_i \cdot 1) = u_i \cdot \Delta_* 1 = u_i \cdot A.$$

The left-hand side is independent of  $i$ , and it turns out that there is a unique pair of polynomials  $A$  and  $B$  (up to a scalar factor) of the right degree satisfying this equation.

Remark:  $\tau_k$  satisfies the recurrence

$$\tau_k = (\alpha + \beta)\tau_{k-1} - (\alpha\beta)\tau_{k-2} = c_1 \cdot \tau_{k-1} - c_2 \cdot \tau_{k-2}.$$



# The space of $n$ -tuples of points in $\mathbb{P}^1$

Consider the set of distinct points

$$\mathcal{U}_n = \{ (z_1, \dots, z_n) \in \mathbb{P}^1 \times \dots \times \mathbb{P}^1 : z_i \neq z_j \}$$

and more generally, for a *set partition*  $\varrho \in \mathcal{P}(n)$  of  $\{1, \dots, n\}$ ,

$$Y_\varrho = \{ (z_1, \dots, z_n) \in \mathbb{P}^1 \times \dots \times \mathbb{P}^1 : \\ : z_i = z_j \text{ iff } i, j \in A \text{ for } A \in \varrho \}$$

Note:  $\mathcal{U}_n$  corresponds to the set partition  $\{\{1\}, \dots, \{n\}\}$ .

This is completely analogous to the situation with unordered points, but we have set partitions instead of partitions.

Obs.:  $Y_\varrho = \Delta^\varrho(\mathcal{U}_k)$  where  $k = \ell(\varrho)$  is the number of parts of  $\varrho$ .

## Computing $c_{\text{SM}}(\mathcal{U}_n)$

Observe that  $Y_\varrho$  stratifies the space  $\mathcal{M}^n = \mathbb{P}^1 \times \cdots \times \mathbb{P}^1$ , hence we have

$$c(T\mathcal{M}^n) = \sum_{\varrho} c_{\text{SM}}(Y_\varrho) = c_{\text{SM}}(\mathcal{U}_n) + \sum_{\ell(\varrho) < n} \Delta_*^\varrho(c_{\text{SM}}(\mathcal{U}_{\ell(\varrho)}))$$

From this, we can compute  $c_{\text{SM}}(\mathcal{U}_n)$  recursively, since we know that  $c(T\mathbb{P}^1) = 1 + \alpha + \beta + 2\xi$ .

For  $n = 1, 2, 3$ , they are:

$$c_{\text{SM}}(\mathcal{U}^1) = 1 + \alpha + \beta + 2u_1$$

$$c_{\text{SM}}(\mathcal{U}^2) = 1 + \alpha + \beta + 2\alpha\beta + (u_1 + u_2)(1 + \alpha + \beta) + 2u_1u_2$$

$$c_{\text{SM}}(\mathcal{U}^3) = 1 - \alpha^2 - \beta^2 + 2\alpha\beta$$

## A formula for $c_{\text{SM}}(\mathcal{U}_n)$

**Theorem:** For  $n \geq 1$ , we have

$$c_{\text{SM}}(\mathcal{U}_n) = q^3 \cdot (q - u_1 - u_2 - \cdots - u_n)^{n-3}$$

after the “umbral substitution”  $q^k \mapsto Q_k$ , where  $Q_k$  is defined by the recurrence:

$$\begin{aligned} Q_0 &= 1 \\ Q_{k+1} &= (1 - (k-1)(\alpha + \beta)) \cdot Q_k - k(k-3) \cdot \alpha\beta \cdot Q_{k-1} \end{aligned}$$

This to be understood in the cohomology ring, where  $u_i^2 = 0$ .

This is an “umbral  $q$ -deformation” of Aluffi’s formula for the non-equivariant case (which is the same with  $q = 1$ ).

**Lemma:** The coefficients of  $Q_k$  are polynomials in  $k$ ; thus we can define a “stable”  $Q_\infty \in \mathbb{Q}[k][[c_1, c_2]]$

## Sketch of proof

Clearly  $c_{\text{SM}}(\mathcal{U}_n)$  must be symmetric in  $u_1, \dots, u_n$ , hence

$$c_{\text{SM}}(\mathcal{U}_n) = \sum_{i=0}^n \sigma_i(\mathbf{u}) \cdot p_{n,i}(\alpha, \beta)$$

for some polynomials  $p_{n,k}$ .

Consider the projection maps  $\vartheta : \mathcal{M}^n \rightarrow \mathcal{M}^{n-1}$  which simply forgets the last coordinate. Clearly  $\vartheta(\mathcal{U}_n) = \mathcal{U}_{n-1}$ , thus

$$\vartheta_* c_{\text{SM}}(\mathcal{U}_n) = \chi(\vartheta^{-1}(\text{pt})) \cdot c_{\text{SM}}(\mathcal{U}_{n-1})$$

where the fibrum  $\vartheta^{-1}(z_1, \dots, z_{n-1})$  is  $\mathbb{P}^1$  minus those points, having Euler characteristics  $\chi = 2 - (n-1) = 3-n$ .

It's easy to show that  $\vartheta_*$  simply extracts the coefficient of  $u_n$ , which shows how  $p_{n,i}$  depends on  $n$ .

## Sketch of proof, page 2

It follows that  $c_{\text{SM}}(\mathcal{U}_n)$  has the following form (for  $n \geq 3$ ):

$$c_{\text{SM}}(\mathcal{U}_n) = \sum_{i=0}^{n-3} (-1)^i \cdot \frac{(n-3)!}{(n-3-i)!} \cdot \sigma_i(\mathbf{u}) \cdot Q_{n-i}(\alpha, \beta)$$

for some  $Q_k$  (not depending on  $n$ ).

To understand  $Q_k$ , decompose  $\mathcal{U}_n \times \mathbb{P}^1$  according which (if any) of the points  $z_i$  the new point  $z_{n+1} \in \mathbb{P}^1$  coincides with:

$$\mathcal{U}_n \times \mathbb{P}^1 = \mathcal{U}_{n+1} \cup \prod_{i=1}^n \Delta^{(i)}(\mathcal{U}_n)$$

where  $\Delta^{(i)}$  duplicates the  $i$ -th point, so that  $z_i = z_{n+1}$  in the image.

Take the CSM of this equation; some more computation with that results the earlier recurrence.

# The pushforward along the order forgetting map

Let  $\pi : \mathcal{M}^m \rightarrow \mathbb{P}^m$  the order-forgetting map. This is a degree  $m!$  finite map.

Because of symmetry reasons,  $\pi_*$  is fully determined by the polynomials  $P_k(m)$  for  $0 \leq k \leq m$ :

$$P_k(m) := \pi_*(u_1 u_2 \cdots u_k) = \pi_*(u_{\sigma(1)} \cdots u_{\sigma(k)}) \in \mathbb{Z}[\alpha, \beta; \gamma]^{S_2}$$

These can be computed recursively by considering subspaces of the form

$$Z_{k,l} = \underbrace{\{0\} \times \cdots \times \{0\}}_{k \text{ times}} \times \underbrace{\mathbb{P}^1 \times \cdots \times \mathbb{P}^1}_{m-k-l \text{ times}} \times \underbrace{\{\infty\} \times \cdots \times \{\infty\}}_{l \text{ times}} \subset \mathcal{M}^m$$

**Lemma:**  $P_k(m) = (m-k)! \cdot \hat{P}_k(m)$  where  $\hat{P}_k$  satisfies the recurrence

$$\hat{P}_0(m) = 1$$

$$\hat{P}_{k+1}(m) = (\gamma + k(\alpha + \beta)) \cdot \hat{P}_k(m) + k(m-k+1) \cdot \alpha\beta \cdot \hat{P}_{k-1}(m)$$

**Observation:**  $\hat{P}_k$  is a homogeneous degree  $k$  polynomial in  $\alpha, \beta, \gamma$ ;  
furthermore, the coefficients of  $\hat{P}_k(m)$  are polynomials in  $m$ .

# The umbral formula for $c_{\text{SM}}(X_\mu)$

**Theorem:** Define the polynomial  $\Theta(k)$  by the formula:

$$\Theta(k) = \frac{(\beta + q)(\alpha + t)^k - (\alpha + q)(\beta + t)^k}{(\alpha - \beta)} \in \mathbb{Z}[\alpha, \beta; t, q]$$

then

$$c_{\text{SM}}(X_\mu) = \frac{1}{\text{aut}(\mu)} \prod_{i=1}^n \Theta(\mu_i)$$

after the umbral substitution

$$\begin{aligned} t^j &\longmapsto P_j(m) = (m - j)! \cdot \hat{P}_j(m) \\ q^k &\longmapsto Q_k \cdot \underbrace{(n - 3)(n - 4) \cdots (k - 4)}_{\text{falling factorial } (n - 3)_{(n - k)}} \end{aligned}$$

Here  $\text{aut}(\mu) = e_1! \cdot e_2! \cdots e_r!$  where  $\mu = (1^{e_1}, 2^{e_2}, \dots, r^{e_r})$ .

# Stability

It's a natural question, and also important for applications, to consider the family of partitions  $(\mu, 1^d)$  for  $d \in \mathbb{N}$ . Note that  $\text{codim}(X_{\mu, 1^d})$  does not depend on  $d$ .

**Theorem:** Assuming that  $n_0 = \ell(\mu) \geq 3$ , the coefficients of  $c_{\text{SM}}(\text{cone}(X_{\mu, 1^d}))$  are polynomials in  $d$  (in any of the three  $\mathbb{Z}$ -module bases  $\alpha^i \beta^j$ ,  $c_1^e c_2^f$  or  $s_{a,b}$ ).

Furthermore the degrees of these polynomials are bounded by:

- ▶  $\deg(p_{e,f}(d)) \leq 2e + 3f$  for the coefficient of  $c_1^e c_2^f$
- ▶  $\deg(p_{i,j}(d)) \leq 2(i + j)$  for the coefficient of  $\alpha^i \beta^j$
- ▶  $\deg(p_{a,b}(d)) \leq 2(a + b)$  for the coefficient of  $s_{a,b}$

Hence, we can interpolate the coefficient polynomials from the first few values (which we can compute with software).



# Stability, sketch of proof, page 1

Step 1: The coeffs of  $Q_k$  are polynomials in  $k$ , with the same degree bounds.

Denoting the coeff. of  $c_1^i c_2^j$  in  $Q_k$  by  $q_{ij}(k)$ , we can rewrite the recurrence as:

$$\underbrace{q_{ij}(k+1) - q_{ij}(k)}_{\Delta_{ij}(k)} = -(k-1) \cdot q_{i-1,j}(k) - k(k-3) \cdot q_{i,j-1}(k-1)$$

from which the statement follows by induction on  $i, j$ :

$$\begin{aligned} q_{ij}(k) &= q_{ij}(0) + \sum_{r=0}^{k-1} \Delta_{ij}(r) \\ &= q_{ij}(0) - \sum_{r=0}^{k-1} \underbrace{(r-1) \cdot q_{i-1,j}(r) + r(r-3) \cdot q_{i,j-1}(r-1)}_{\text{polynomial in } r} \end{aligned}$$

The degree bound follows (again by induction) from:

$$\deg(q_{ij}) = 1 + \max \left\{ \underbrace{2(i-1) + 3j + 1}_{\deg(q_{i-1,j})}, \underbrace{2i + 3(j-1) + 2}_{\deg(q_{i,j-1})} \right\} = 2i + 3j$$

## Stability, sketch of proof, page 2

Step 2: Observe that  $\Theta(1) = q - t$ , hence (assuming  $1 \notin \mu$ ):

$$c_{\text{SM}}(X_{\mu, 1^d}) = \frac{1}{d!} \cdot c_{\text{SM}}(X_{\mu}) \cdot (q - t)^d$$

Considering a single term  $c_1^e c_2^f t^a q^b$  in  $c_{\text{SM}}(X_{\mu})$ , that will become

$$\frac{1}{d!} \cdot c_1^e c_2^f \cdot t^a q^b \cdot (q - t)^d = \frac{1}{d!} \cdot c_1^e c_2^f \cdot \sum_{i=0}^d (-1)^i \binom{d}{i} t^{i+a} q^{d-i+b}$$

After the substitution  $q^k \mapsto (n-3)_{(n-k)} \cdot Q_k$  and  $t^j \mapsto (m-j)! \cdot \widehat{P}_j(m)$ :

$$c_1^e c_2^f \cdot \sum_{i=0}^{\infty} \frac{(-1)^i}{i!} \widehat{P}_i(m_0+d) \cdot \frac{(m_0-a+d-i)!}{(d-i)!} \cdot Q_{d-i+b} \cdot (n_0-3+d)_{(n_0-b+i)}$$

To finish the proof, stare at this formula for a long time, and also consider very carefully what happens when  $i > d$ ...

# Stability for the Segre-SM classes

Recall that

$$c(\mathrm{Sym}^m \mathbb{C}^2) = \prod_{i=0}^m (1 + \underbrace{i\alpha + (m-i)\beta}_{w_i})$$

**Lemma:** The coefficients of  $c(\mathrm{Sym}^m \mathbb{C}^2)$  are polynomials in  $m$ , with the usual degree bounds:  $2e + 3f$  for  $c_1^e c_2^f$  and  $2(i+j)$  for  $\alpha^i \beta^j$  or  $s_{i,j}$ .

Remark: as  $c(\mathrm{Sym}^m \mathbb{C}^2) = c_{\mathrm{SM}}(\mathrm{cone}(\overline{X_{1^m}}))$ , this is not too surprising.

**Lemma:** The same is true for the inverse  $\frac{1}{c(\mathrm{Sym}^m \mathbb{C}^2)}$ .

Remark: This is again not too surprising, as we have the duality:

$$c(\mathrm{Sym}^m \mathbb{C}^2) = \sum_{k=0}^{m+1} e_k(\mathbf{w}) \qquad \frac{1}{c(\mathrm{Sym}^m \mathbb{C}^2)} = \sum_{k=0}^{\infty} (-1)^k h_k(\mathbf{w})$$

where  $e_k$  and  $h_k$  are the elementary resp. complete symmetric polynomials. It also follows from a direct power series inversion argument.

**Corollary:** The same is also true for the Segre-SM classes  $s_{\mathrm{SM}}(X_\mu)$ .

# Positivity of Segre-SM classes

**Conjecture:** Depending on sign conventions, the Schur-coefficients of the Segre-SM classes  $s_{\text{SM}}(X_\mu)$  of the open strata (for  $m \geq 2$ ), have either:

- ▶ alternating signs, starting with a positive sign at degree  $\text{codim}(X_\mu)$ ;
- ▶ are fully positive or fully negative, depending on the parity of  $\text{codim}(X_\mu)$ .

Remark: Obviously they cannot be just simply positive, as we have

$$1 = s_{\text{SM}}(\mathbb{P}^m) = \sum_{\mu \vdash m} s_{\text{SM}}(X_\mu)$$

**Conjecture:** For  $m \geq 2$ , the Segre-SM classes are also alternating linear combinations of the CSM classes  $c_{\text{SM}}(\mathbb{S}_{ij}^\circ)$  of Schubert cells  $\mathbb{S}_{ij}^\circ \subset \text{Gr}_2(\mathbb{C}^N)$ .

It is known that  $c_{\text{SM}}(\mathbb{S}_{ij}^\circ)$  are Schur-positive<sup>5</sup>. Conjecture: The Schur polynomials  $s_{ij}$  can be written as alternating linear combinations of the  $c_{\text{SM}}(\mathbb{S}_{ij}^\circ)$  classes.

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<sup>5</sup>P. Aluffi, C. Mihalcea: Chern classes of Schubert cells and varieties  
J. Huh: Positivity of Chern classes of Schubert cells and varieties

# Intersection theory

The best kept secret of CSM classes: For  $A, B \subset M$  intersecting transversally, we should have

$$c_{\text{SM}}(A \cap B) = \frac{c_{\text{SM}}(A) \cdot c_{\text{SM}}(B)}{c(M)}$$

“Proof”:  $s_{\text{SM}}(A \cap B) = s_{\text{SM}}(\Delta^{-1}(A \times B)) = \Delta^* s_{\text{SM}}(A \times B)$

**Corollary** (Aluffi<sup>6</sup>): The non-equivariant CSM class of  $X \subset \mathbb{P}^m$  contains the same information as the numbers  $\chi(X \cap H_1 \cap \cdots \cap H_k)$  for  $k \geq 0$ , where  $H_i \subset \mathbb{P}^m$  are generic hyperplanes.

Proof:  $c_{\text{SM}}(X \cap \mathbb{P}^{m-k}) = c_{\text{SM}}(X) \cdot s_{\text{SM}}(\mathbb{P}^{m-k})$ . It's easy to show that  $s_{\text{SM}}(H_i) = \frac{h}{1+h}$ , hence

$$s_{\text{SM}}(\mathbb{P}^{m-k} \subset \mathbb{P}^m) = \frac{h^k}{(1+h)^k} = h^k \cdot \sum_{i=0}^{\infty} (-1)^i \cdot h^i \cdot \binom{i+k-1}{k-1}$$

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<sup>6</sup>P. Aluffi: Euler chars. of general linear sections and poly Chern classes

# Closure of the strata

For the applications, we usually want the CSM or Segre-SM classes of the *closure*  $\overline{X}_\mu$  of the strata  $X_\mu$ . For any *concrete* partition  $\mu$ , this is easy to compute:

$$c_{\text{SM}}(\overline{X}_\mu) = \sum_{\lambda \prec \mu} c_{\text{SM}}(X_\lambda)$$

Unfortunately, we don't have a nice general *formula* for it (and we don't really expect one).

For the applications, we need  $c_{\text{SM}}(\overline{X}_{\mu,1^d})$ , and that's a problem. However, we can express at least some simple cases. Introduce the shorthand  $X_{[\mu]}$  for  $X_{\mu,1,1,\dots}$ ; then we have (set theoretically):

$$\begin{aligned}\overline{X}_{[1]} &= \mathbb{P}^m \\ \overline{X}_{[2]} &= \overline{X}_{[1]} - X_{[1]} \\ \overline{X}_{[2,2]} &= \overline{X}_{[2]} - X_{[2]} - X_{[3]} \\ \overline{X}_{[2,2,2]} &= \overline{X}_{[2,2]} - X_{[4]} - X_{[2,2]} - X_{[3,2]} - X_{[3,3]} - X_{[5,1,1]} \\ \overline{X}_{[3]} &= \overline{X}_{[2]} - X_{[2,2]} - X_{[2,2,2]} - X_{[2,2,2,2]} - \cdots = \overline{X}_{[2]} - \coprod_{k \geq 1} X_{[2^k]}\end{aligned}$$

## Closure of the strata, page 2

$$\begin{aligned}\overline{X_{[1]}} &= \mathbb{P}^m \\ \overline{X_{[2]}} &= \overline{X_{[1]}} - X_{[1]} \\ \overline{X_{[2,2]}} &= \overline{X_{[2]}} - X_{[2]} - X_{[3]} \\ \overline{X_{[2,2,2]}} &= \overline{X_{[2,2]}} - X_{[4]} - X_{[2,2]} - X_{[3,2]} - X_{[3,3]} - X_{[5,1,1]} \\ \overline{X_{[3]}} &= \overline{X_{[2]}} - X_{[2,2]} - X_{[2,2,2]} - X_{[2,2,2,2]} - \cdots = \overline{X_{[2]}} - \coprod_{k \geq 1} X_{[2^k]} \\ \overline{X_{[3,2]}} &= \overline{X_{[3]}} - X_{[3]} - X_{[4]} \\ \overline{X_{[k,2]}} &= \overline{X_{[k]}} - X_{[k]} - X_{[k+1]} \\ \overline{X_{[4]}} &= \overline{X_{[3]}} - \coprod_{k \geq 1} \coprod_{j \geq 0} X_{[3^k, 2^j]} \\ \overline{X_{[3,3]}} &= \overline{X_{[3,2]}} - X_{[5]} - \coprod_{j \geq 1} \left( X_{[3,2^j]} \cup X_{[4,2^j]} \cup X_{[5,2^j]} \right) \\ \overline{X_{[5]}} &= \overline{X_{[4]}} - \coprod_{k \geq 1} \coprod_{j \geq 0} \coprod_{i \geq 0} X_{[4^k, 3^j, 2^i]}\end{aligned}$$

Even though there are potentially infinite sums appearing here, in each codimension the sums are finite. Since the codimension is a lower bound for the degree of terms in the CSM, it follows that the stability is also true for these classes.

# The dual curve of a generic plane curve

A simple application is  $\mu = (2, 1^d - 2)$ ; in this case  $\overline{X}_\mu$  gives the variety of lines tangent to generic hypersurface in  $\mathbb{P}^n$ . For  $n = 2$  we get the dual curve  $\check{C}$  of a generic plane curve  $C$ .

**Calculation:** For a generic plane curve  $C$  of degree  $d \geq 2$

$$\begin{aligned} c_{\text{SM}}(\check{C}) &= c(\mathbb{P}^2) \cdot \varphi^* s_{\text{SM}}(\overline{X}_{2,1^{d-2}}) = \\ &= \underbrace{d(d-1)}_{\deg(\check{C})} \cdot s_1 + \underbrace{\frac{1}{2}(d-3)d(4-d-d^2)}_{\chi(\check{C})} \cdot s_{1,1} \end{aligned}$$

The degree is of course well known, and the Euler characteristic can be also checked using classical methods. For  $d \geq 2$ :

$$\chi(\check{C}_d) = 2, 0, -32, -130, -342, -728, -1360, -2322 \dots$$



# The locus of hyperflex lines to a generic surface

Exactly the same way, can consider any tangency condition in any dimension.

For example consider the locus  $\Phi_4 \subset \text{Gr}_2(\mathbb{C}^4)$  of hyperflex lines to a generic surface  $S \subset \mathbb{P}^3$  (meeting the surface at a point of order at least 4). This is a curve, and its CSM class is:

**Calculation:** For a generic surface  $S \subset \mathbb{P}^3$  of degree  $d \geq 4$

$$\begin{aligned} c_{\text{SM}}(\Phi_4) &= c(\mathbb{P}^3) \cdot \varphi^* s_{\text{SM}}(\bar{X}_{4,1^{d-4}}) = \\ &= \underbrace{2(d-3)d(3d-2)}_{[\Phi_4] \text{ or "degree"}} \cdot s_{2,1} + \underbrace{2d(158d-186-31d^2)}_{\chi(\Phi_4)} \cdot s_{2,2} \end{aligned}$$

# The locus of bitangent lines to a generic surface

Another example is the locus  $\Phi_{2,2} \subset \text{Gr}_2(\mathbb{C}^4)$  of bitangent lines to a generic surface  $S \subset \mathbb{P}^3$ . This is itself a surface in  $\text{Gr}_2(\mathbb{C}^4)$ , and its CSM class is:

**Calculation:** For a generic surface  $S \subset \mathbb{P}^3$  of degree  $d \geq 4$ , we have

$$\begin{aligned}
 c_{\text{SM}}(\Phi_{2,2}) &= c(\mathbb{P}^3) \cdot \varphi^* s_{\text{SM}}(\bar{X}_{2,2,1^{d-4}}) = \\
 &= \underbrace{\frac{1}{2}(d-3)(d-2)d(d+3) \cdot s_{1,1} + \frac{1}{2}(d-3)(d-2)(d-1)d \cdot s_2}_{[\Phi_{2,2}] \text{ or "bidegree"}} + \\
 &+ \underbrace{\frac{1}{3}(d-3)d(2-63d+18d^2+6d^3-2d^4) \cdot s_{2,1}}_{\text{no direct interpretation (?)}} + \\
 &+ \underbrace{\frac{1}{12}d(-6144+8096d-1872d^2-909d^3+396d^4+10d^5-24d^6+3d^7) \cdot s_{2,2}}_{\chi(\Phi_{2,2})}
 \end{aligned}$$

The (bi)degree of this and some similar loci was computed in:

E. Arrondo, M. Bertolini, C. Turrini: A focus on focal surfaces (2000)

# The locus of flex lines to a generic surface

Similarly we can consider the locus  $\Phi_3 \subset \text{Gr}_2(\mathbb{C}^4)$  of flex lines to a generic surface  $S \subset \mathbb{P}^3$ . This is again a surface in  $\text{Gr}_2(\mathbb{C}^4)$ , and its CSM class is:

**Calculation:** For a generic surface  $S \subset \mathbb{P}^3$  of degree<sup>7</sup>  $d \geq 4$ , we have

$$\begin{aligned} c_{\text{SM}}(\Phi_3) &= c(\mathbb{P}^3) \cdot \varphi^* s_{\text{SM}}(\bar{X}_{3,1^{d-3}}) = \\ &= \underbrace{3d(d-2) \cdot s_{1,1} + d(d-1)(d-2) \cdot s_2}_{[\Phi_3] \text{ or "bidegree"}} + \\ &\quad + \underbrace{(-d)(3d-8)(3d-4)}_{(???) \cdot s_{2,1}} \\ &\quad + \underbrace{\frac{1}{2}(d+1)(392 - 749d + 265d^2 - 8d^3 + d^4 - d^5)}_{\chi(\Phi_3)} \cdot s_{2,2} \end{aligned}$$

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<sup>7</sup>the coefficient of  $s_{2,2}$  fails to be a polynomial for  $d=3$

# The number of $4\times$ tangent lines to a generic surface

Question: How many  $4\times$  tangent lines are to a generic degree  $d \geq 8$  surface in  $\mathbb{P}^3$ ? This was first computed by Schubert.

Note that we simply want to count a zero dimensional locus, so we don't actually need the full power of CSM classes; the only thing we need is the equivariant dual of the locus  $\bar{X}_{2^4, 1^{d-8}}$ .

**Calculation:** For a generic surface  $S$  of degree  $d \geq 8$

$$c_{\text{SM}}(4\times) = \underbrace{\frac{1}{12}n \cdot \frac{(n-4)!}{(n-8)!} \cdot (n^3 + 6n^2 + 7n - 30)}_{\text{number of } 4\times \text{ tangent lines}} \cdot s_{2,2}$$

For  $d \geq 8$  these numbers are:

14752, 112320, 492000, 1620080, 4445280, 10719072...

# The number of maximally hyperflex lines

Question: Given a generic degree  $(2d + 1)$  hypersurface  $\mathcal{H}$  in  $\mathbb{P}^{d+1}$ , how many lines are in  $\mathbb{P}^{d+1}$  which meet  $\mathcal{H}$  at a single point with a contact of order  $(2d + 1)$ ?

Again, we don't need the power of CSM classes, simply the equivariant dual of  $X_{(2d+1)}$  (which is a rational normal curve).

**Calculation:** The locus of maximally hyperflex lines  $Z_{2d+1} \subset \text{Gr}_2(\mathbb{C}^{d+2})$  has CSM class

$$c_{\text{SM}}(Z_{2d+1}) = s_{d,d} \cdot \underbrace{\sum_{j=0}^d \frac{(2d+1)!}{d-j+1} \cdot \binom{2d-2j}{d-j}}_{\text{number of max. hyperflex lines}} \cdot \sigma_j(\Gamma_d)$$
$$\Gamma_d = \left\{ \frac{(2d+1-2i)^2}{i(2d+1-i)} \mid i \in \{1, 2, \dots, d\} \right\}$$

For  $d \geq 1$  the numbers are:

9, 575, 99715, 33899229, 19134579541, 16213602794675...

# Linear systems of hypersurfaces

A less trivial application is to consider pencils, nets or higher dimensional linear systems of degree  $d$  hyperfaces  $\mathcal{H}_y \subset \mathbb{P}^n$  parametrized by  $y \in \mathbb{P}^s$ .

Such a linear system is encoded by a linear map

$$\mathcal{F} \in \operatorname{Hom}[\mathbb{C}^{s+1}, \operatorname{Sym}^d(\mathbb{C}^{n+1})^*] = (\mathbb{C}^{s+1})^* \otimes \operatorname{Sym}^d(\mathbb{C}^{n+1})^*$$

Given a tangency condition  $\mu$ , we can define the incidence variety

$$\mathcal{J}_\mu = \left\{ (y, K) \in \mathbb{P}^s \times \operatorname{Gr}_2(\mathbb{C}^{n+1}) \mid \mathbb{P}K \text{ has contact type } \mu \text{ with } \mathcal{H}_y = \{\mathcal{F}_y = 0\} \right\} \subset \mathbb{P}^s \times \operatorname{Gr}_2(\mathbb{C}^{n+1})$$

Observation:  $\mathcal{J}_\mu = \sigma^{-1}(X_\mu)$  where the section  $\sigma$  of  $L^* \otimes \operatorname{Sym}^d K^*$  is defined by restricting  $\mathcal{F}$  to  $\operatorname{pr}_1^* L \otimes \operatorname{pr}_2^* K$ .

## Linear systems of hypersurfaces, page 2

**Observation:** We can compute  $c_{\text{SM}}(\mathcal{J}_\mu)$  using the same “twisting trick” which gives the correspondance between the affine and the projective CSM classes. Unfortunately, when projecting down to the second component, while  $(\text{pr}_2)_* c_{\text{SM}}(\mathcal{J}_\mu)$  is easy to compute, it does not normally agree with  $c_{\text{SM}}(\text{pr}_2(\mathcal{J}_\mu))\dots$

We can still do some counting though (but again, we don't need the full CSM class for that):

**Calculation:** Given a generic pencil of degree  $d \geq 4$  plane curves, the number of hyperflexes (contact of order  $\geq 4$ ) to the members of the family is  $6(d-3)(3d-2)$ :

$$c_{\text{SM}}(\bar{\mathcal{J}}_{(4,1^{d-4})}) = \underbrace{6(d-3)(3d-2)}_{\# \text{ hyperflex}} \cdot s_{1,1} \cdot \xi \in H^*(\mathbb{P}^1 \times \text{Gr}_2(\mathbb{C}^3))$$

It's easy to show that  $(\text{pr}_2)_*$  simply extracts the coefficient of  $\xi$ .