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Sorting Algorithms

Project Report

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Overview of Sorting Algorithms

Three sorting algorithms, insertion sort, quick sort and merge sort were selected for analysis within the scope of this project. Why particularly these algorithms were selected for the research? They are conceptually different from each other in their approach to solving the problem, complexity, memory utilization, time and space efficiency.

- **Insertion sort** is a simple compact algorithm which doesn't require extra memory, but does a lot of memory shift operations within the array. Its time efficiency varies between the best-case linear $O(n)$ for already sorted arrays and the average-case and worst-case quadratic $O(n^2)$ efficiency for random and sorted in descending order arrays respectively. This is a typical range of efficiencies covered by the majority of known sorting algorithms. It demonstrates efficiency close to its linear best-case efficiency for sorted or almost sorted arrays. It is also good for small arrays.
- **Quick sort** using Hoare partitioning is an algorithm of higher complexity. It partitions the array and swaps elements within this array without allocating any additional memory for its job. However it uses recursion technique uses memory stack when executed on a computer. Its average-case and best-case efficiencies are linear-logarithmic $O(n \log n)$, and its worst-case efficiency is quadratic $O(n^2)$ for arrays already sorted either in ascending or descending order. This makes the quick sort algorithm to be very efficient for sorting big completely unsorted arrays.
- **Merge sort** recursively halves an array and copies its partitions into new arrays allocated in memory. Then it goes the opposite way and recursively merges these arrays back into one bigger array by placing their elements in sorted order. This algorithm heavily uses memory in order to keep multiple copies of array partitions. This becomes a critical problem when this algorithm attempts to sort a big array on a computer which has very limited amount of fast memory. Its efficiency class is the same for best-, average- and worst-case efficiencies which is linear-logarithmic $O(n \log n)$.

All the algorithms discussed and implemented in this project are taken from book *Design and Analysis of Algorithms* by Ananiy Levitin. Translated into the program code, these algorithms look almost identical to those in the textbook. We will return to these algorithms later to discuss how the program written for this research helped us to test and analyze their behavior.

Program Description

The program written for this project aimed to serve as an efficiency analysis tool for algorithms implemented. This program analyzes one of the sorting algorithms selected by the user via the program's command line arguments. The program consists of two major parts. The first part is responsible for generating test cases for algorithms and their efficiency analysis. The second part is a collection of search algorithms with built in statistics gathering code. Both parts are more or less independent, so new algorithms can be added easily if needed.

Generating Test Cases

The best, average and worst efficiency cases for the sorting algorithms implemented can be tested by generating three types of test cases. These test cases are sequences of elements stored in random, descending and ascending orders. For every algorithm, the program automatically generates a set of sequences for every of these three test cases.

- **Random** order sequences of integers are generated for the first test case using a random number generator. This way, every generated array with random numbers is different from the another array with random numbers generated for this test case. This test case is the best way to test the average-case efficiency for all sorting algorithms.
- **Descending** order sequences of integers are generated by simply decreasing the value of every next element of the sequence by one. A series of such data sequences form the second test case. This test case is used to make the algorithms implemented to demonstrate their worst-case efficiency.
- **Ascending** order sequences of integers are generated by simply increasing by one the value of every consecutive element in the array. A series of such sequences form the third test case. As we will see from program analysis, some algorithms demonstrate their best-case efficiency while others demonstrate their worst-case efficiency.

A single test case represents a series of arrays of integers in which every consequent array length grows exponentially. For example, for base 10, the length of arrays in a single test case will be $10^1=10$, $10^2=100$, $10^3=1000$, etc. For base 2, the arrays of length 2, 4, 8, 16, 32, etc. will be generated. The base for the array size can be defined by the user via the second command line argument of the program. If it is not defined, it is 10 by default.

The number of sequences generated for every test case depends on the array length base value, time efficiency demonstrated by the test case, or by a handled out of memory exception. The program would generate big sequences faster for big base values and therefore will sooner feed the big array into a sorting algorithm. If the efficiency being demonstrated by the algorithm for a test case is quadratic, than big arrays would slow down the program execution sooner than the linear-logarithmic and linear test cases. This will make the program stop from generating new arrays and then finish the test cases. By default, if test case took more than 10 seconds, the program would finish generating new array sequences for this test case and stop after the last array was sorted. For a test case, approximately 6-8 base-10 length arrays or 16-29 base-2 length arrays will be generated.

Results Validation

The generated arrays are passed into an algorithm for sorting. The algorithm sorts the sequence by updating the elements in the array provided. Once sorting is finished, the program passes the array to the method which checks whether the array is actually sorted. In case if the algorithm was implemented with mistakes, and didn't sort the array, the program would display a message about the problem. If no problems are detected and the array was sorted correctly, then no other message is displayed.

Statistics Gathering

As mentioned above, every algorithm implemented has built in routines for gathering statistics about algorithm execution. This statistics collects the following information:

- number of elements in the array
- number of comparisons of array element
- number of memory operations manipulating with array elements
- total time taken to sort this array

Statistics collected while sorting individual arrays of one test case is stored into a list which thereby stores all statistics about one test case. After the test case finishes, its statistics is displayed in the form of table which shows the statistics gathered. The first four columns correspond to those four statistics fields mentioned above plus one column which shows computed average time taken to process one element of the array.

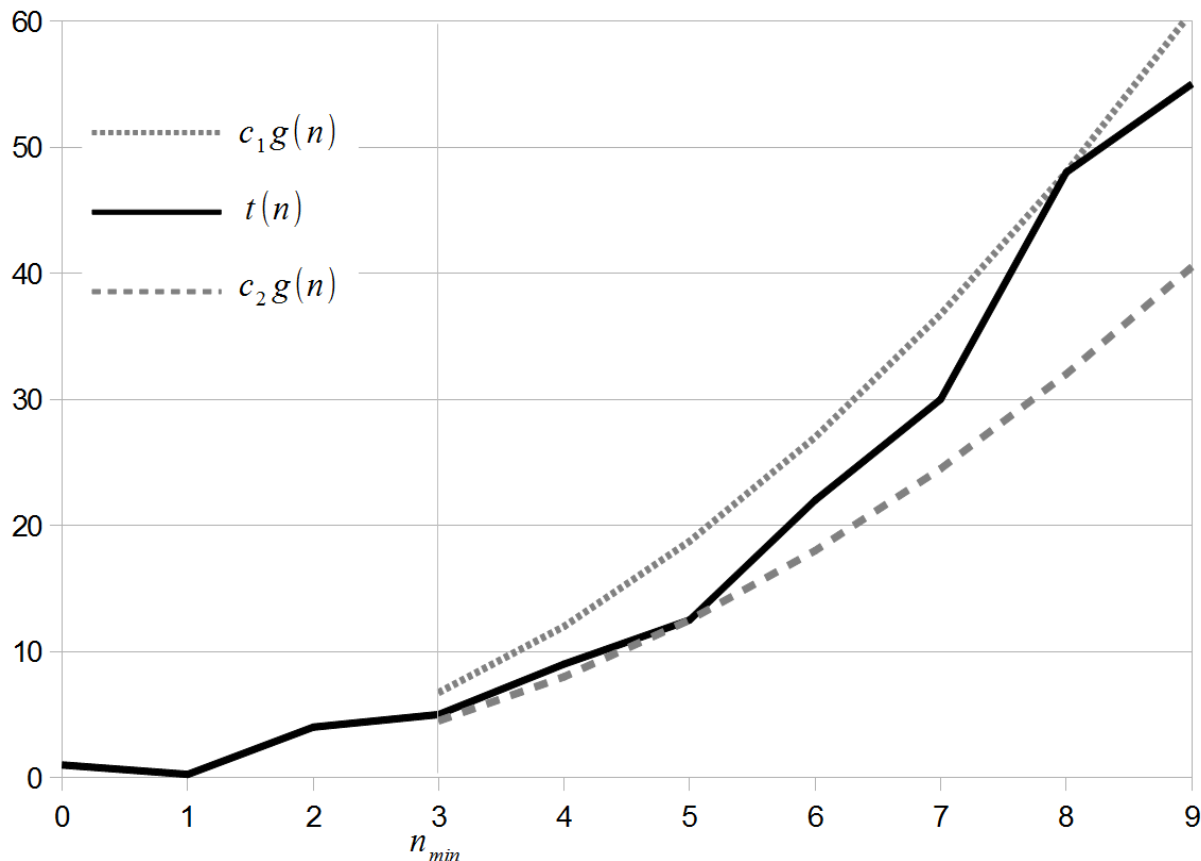
The number of comparisons and memory operations describe the algorithm efficiency in terms of its basic operations. These numbers are not affected by other processes executed in parallel in the operating system or hardware performance characteristics. Total time and time per element describe real behavior of an algorithm implemented in a program being executed which shares hardware resources with other processes in the operating system.

Statistics Processing

After test case statistics is collected, it is matched to different mathematical models describing possible efficiency classes in method *matchEfficiencyClass*. For every efficiency class model, the program computes percentage how well this statistics matches a particular efficiency class. The efficiency model which matched the given statistics with the highest percentage is then selected to describe the behavior of the given test case efficiency for the given algorithm.

Computations are done as follows. For every test case, let S_{tc} be the set which contains statistics S_i about sorting arrays A_i where $0 < i < |S_{tc}|$. Let n_i be the length of array A_i , and $t(n_i)$ be the number of comparisons the algorithm did in order to sort A_i . Let $c_2 g(n_i)$ be the lower bound and $c_1 g(n_i)$ be the upper bound such that for for some constants c_1 , c_2 , n_{min} and function $g(n_i)$, $c_2 g(n_i) \leq t(n_i) \leq c_1 g(n_i)$ for all $n \geq n_{min}$. Then $t(n_i) = x_i g(n_i)$ for some variable x_i such that $c_2 \leq x_i \leq c_1$. (See example chart on the next page)

These definitions can be applied to estimate the efficiency class of an algorithm for a particular test case. The program starts matching collected statistics data to a particular known function $g(n_i) \in \{1, \log_2 n, n, n \log_2 n, n^2\}$. This allows to compute the value of x_i for every array of length n_i which required $t(n_i)$ comparison to be sorted. Now equation $t(n_i) = x_i g(n_i)$ can be solved for x_i , $x_i = g(n_i) / t(n_i)$. In order to find c_1 and c_2 which would satisfy the condition $c_2 \leq x_i \leq c_1$ mentioned above, we find $c_1 = \max(x_i \geq x_{min})$ and $c_2 = \min(x_i \geq x_{min})$ among all x_i computed. Once c_1 and c_2 , the upper and lower bound constants, are known, we can compute how well test case S_{tc} fits the offered efficiency model $g(n)$ by computing the ratio $r = c_2 / c_1$ where $0 \leq r \leq 1$. For values of r approaching to 1, the program can determine when $t(n) \in \Theta(g(n))$.



Understanding Program Output

For each of the sorting algorithms, three different types of test cases were generated and executed. These test cases allowed to demonstrate average, best and worst-case efficiencies of the algorithms. Please see attached statistics tables and efficiency analysis results produced by the program.

Base-10 exponential increase of array lengths makes the table human-readable and concise and allows to observe how each of the algorithm behaves while sorting arrays of very different sizes sizes, small ones with 10 elements and huge ones with million and more elements. Processing randomly generated arrays of big sizes allows the algorithms to demonstrate their pure average-case efficiency. On the other hand, the length and the number of comparisons grow so fast from 10^1 to 10^6 or 10^8 that it is hard to plot them on a chart even using logarithmic scales. However, from the table, we can observe the order of growth of comparisons as a function of array length and see how it fits the efficiency class defined by program analysis.

From program input, it is also possible to notice that time/element ratio for linear-logarithmic test cases changes from decreasing to increasing when array length grows from 10^6 and 10^7 . This could be partially explained with the fact that the program was executed on a processor with 8 MB cache which can store approximately 2×10^6 integers. As we can observe, our array sizes often exceeds this memory capacity.

Algorithm Analysis

In the overview of sorting algorithms section we discussed expected average, best and worst case efficiency for every algorithm in the scope of this research. The program used for algorithm testing allowed us to receive practical evidence of what was expected. Test results can be summarized by the table as follows.

Test Case	Insertion Sort	Quick Sort	Merge Sort
Random Sequence	average-case $O(n^2)$ (98.5%) 5.6 min for $n=10^6$	average-, best-case $O(n \log_2 n)$ (89.9%) 136.5 ms for $n=10^6$	average-, worst-case $O(n \log_2 n)$ (91.7%) 203.1 ms for $n=10^6$
Descending Sequence	worst-case $O(n^2)$ (99.9%) 11.2 min for $n=10^6$	worst-case $O(n^2)$ (99.7%) 12.7 min for $n=10^6$	best-case $O(n \log_2 n)$ (97.2%) 101.6 ms for $n=10^6$
Ascending Sequence	best-case $O(n)$ (99.9%) 3.8 ms for $n=10^6$	worst-case $O(n^2)$ (99.7%) 13.7 min for $n=10^6$	best-case $O(n \log_2 n)$ (98.0%) 99.9 ms for $n=10^6$

Every sorting algorithms demonstrated its own strengths and weaknesses. Test cases executed and analyzed by the program allowed to observe many interesting details about sorting algorithms..

- **Insertion sort** is very inefficient for big unsorted arrays but very efficient for small unsorted arrays. As we can see from the program output, this is the most efficient algorithm for sorting small random sequences 10 elements when compared to other two algorithms. For example, it showed to be 31 times faster than the asymptotically superior merge sort algorithm for such small arrays. Small arrays like this can easily fit in the CPU registers or its highest level cache, and compact insertion sort algorithm which doesn't need extra memory benefits from it. At the same time, the merge sort needs to make multiple copies of array partitions and push them into memory stack due to its recursive nature. Insertion sort also showed the best efficiency among other algorithms when given an already sorted array of any size. It correctly detects elements which are sorted already and simply skips them. It can be very practical if there is a need to resort a previously sorted array in which just one element was replaced.
- **Quick sort** demonstrated the best efficiency among other algorithms when given a big unsorted array. Descending and ascending sequences demonstrated very bad quadratic efficiencies. At the same time, linear logarithmic efficiency of the quick sort starts very slow but doesn't slow down much for bigger n values. Two examples which demonstrate worst-case behavior of the quick sort are attached to this paper. Known solutions which can improve the algorithm and fix its worst-case behavior exist. For example, median-of-three partitioning algorithm can offer some improvement over Hoare partitioning implemented for this project.
- **Merge sort** algorithm demonstrated the most balanced high results for all test cases given. This can be explained by the fact that for any input, the algorithm copies and then merges array partitions. For random arrays, it demonstrated

slightly slower efficiency than the quick sort. However it showed the best efficiency among other algorithms while sorting sequences in descending order. Its balanced efficiency makes it the most universal among these three algorithms. It may show good results on computers which have fast memory of sufficient capacity.

Conclusion

The program with built in statistics collection and mathematical analysis tool developed for this project helped a lot to analyze the algorithms in this project. The efficiency estimation tool in the program helped to evaluate the efficiency of the algorithms for every test cases and demonstrated very high accuracy of its estimates. If I had more time, I would have spent it making more helpful tools like this one. A good programmer should sometimes write such programs like the one developed for this project in order to examine various (not only sorting) algorithms to make design decisions about their application based on its results.

Deep study of search algorithms demonstrates that nearly every sorting algorithm has its own strengths which deserve attention, and at the same time, there is no single perfect universal sorting algorithm. A programmer making a decision about which sorting algorithm would work better for a particular set of data should consider the specifics of the data sets to be sorted. Whether these are arrays of length up to 10 elements, almost sorted arrays, big unsorted arrays or arrays sorted in descending order, all of these issues should determine which algorithm to choose. It is often good to have multiple algorithms implemented in one program and make this program flexible to decide which of the algorithms to use.

insertion sort; input order: random

length	comparisons	memory ops	total time	time/element
10	36	36	0.005 ms	533 ns
100	2460	2460	0.183 ms	1828 ns
1000	253795	253795	9.050 ms	9050 ns
10000	25029240	25029240	27.924 ms	2792 ns
100000	2502808870	2502808870	3317.517 ms	33175 ns
1000000	250076901421	250076901421	336514.671 ms	336514 ns

quadratic: 98.5%

insertion sort; input order: descending

length	comparisons	memory ops	total time	time/element
10	54	54	0.000 ms	33 ns
100	5049	5049	0.008 ms	79 ns
1000	500499	500499	0.683 ms	682 ns
10000	50004999	50004999	66.094 ms	6609 ns
100000	5000049999	5000049999	6669.391 ms	66693 ns
1000000	500000499999	500000499999	669565.085 ms	669565 ns

quadratic: 99.9%

insertion sort; input order: ascending

test case terminated due to "OutOfMemoryError" exception

length	comparisons	memory ops	total time	time/element
10	9	9	0.000 ms	0 ns
100	99	99	0.000 ms	3 ns
1000	999	999	0.004 ms	3 ns
10000	9999	9999	0.038 ms	3 ns
100000	99999	99999	0.378 ms	3 ns
1000000	999999	999999	3.811 ms	3 ns
10000000	9999999	9999999	38.210 ms	3 ns
100000000	99999999	99999999	381.331 ms	3 ns

linear: 99.9%

BUILD SUCCESSFUL (total time: 16 minutes 57 seconds)

quick sort; input order: random

length	comparisons	memory ops	total time	time/element
10	32	9	0.009 ms	899 ns
100	733	174	0.041 ms	409 ns
1000	11875	2402	0.665 ms	664 ns
10000	156472	31940	6.076 ms	607 ns
100000	2088129	393869	13.667 ms	136 ns
1000000	25138467	4725584	136.518 ms	136 ns
10000000	304956810	54579476	1538.725 ms	153 ns
100000000	3406259189	626734840	17244.630 ms	172 ns

linearlogarithmic: 89.8%

quick sort; input order: descending

length	comparisons	memory ops	total time	time/element
10	63	9	0.001 ms	133 ns
100	5148	99	0.010 ms	99 ns
1000	501498	999	0.830 ms	829 ns
10000	50014998	9999	77.253 ms	7725 ns
100000	5000149998	99999	7708.426 ms	77084 ns
1000000	500001499998	999999	764333.652 ms	764333 ns

quadratic: 99.7%

quick sort; input order: ascending

length	comparisons	memory ops	total time	time/element
10	63	9	0.002 ms	166 ns
100	5148	99	0.011 ms	106 ns
1000	501498	999	0.853 ms	852 ns
10000	50014998	9999	79.987 ms	7998 ns
100000	5000149998	99999	7857.703 ms	78577 ns
1000000	500001499998	999999	819236.483 ms	819236 ns

quadratic: 99.7%

BUILD SUCCESSFUL (total time: 26 minutes 59 seconds)

merge sort; input order: random

length	comparisons	memory ops	total time	time/element
10	23	68	0.166 ms	16557 ns
100	543	1344	0.157 ms	1569 ns
1000	8709	19952	1.958 ms	1957 ns
10000	120526	267232	14.039 ms	1403 ns
100000	1536335	3337856	22.073 ms	220 ns
1000000	18673777	39902848	203.068 ms	203 ns
10000000	220099394	466445568	2230.303 ms	223 ns
100000000	2532917967	5331564544	24700.060 ms	247 ns

linearlogarithmic: 91.7%

merge sort; input order: descending

length	comparisons	memory ops	total time	time/element
10	19	68	0.002 ms	199 ns
100	356	1344	0.007 ms	73 ns
1000	5044	19952	0.073 ms	73 ns
10000	69008	267232	0.795 ms	79 ns
100000	853904	3337856	8.934 ms	89 ns
1000000	10066432	39902848	101.577 ms	101 ns
10000000	118788160	466445568	1214.713 ms	121 ns
100000000	1351335168	5331564544	12902.411 ms	129 ns

linearlogarithmic: 97.2%

merge sort; input order: ascending

length	comparisons	memory ops	total time	time/element
10	15	68	0.002 ms	233 ns
100	316	1344	0.007 ms	73 ns
1000	4932	19952	0.076 ms	75 ns
10000	64608	267232	0.813 ms	81 ns
100000	815024	3337856	9.033 ms	90 ns
1000000	9884992	39902848	99.909 ms	99 ns
10000000	114434624	466445568	1148.436 ms	114 ns
100000000	1314447104	5331564544	13729.146 ms	137 ns

linearlogarithmic: 98.0%

BUILD SUCCESSFUL (total time: 58 seconds)

```

1  /**
2   * @author      Bogdan Kovch
3   * @class       CS 350
4   * @assignment   final project
5   * @file        SortAlgs.java
6   * @date        May 29, 2013 - June 6, 2013
7   */
8
9  /**
10   * This program analyzes one of the sorting algorithms specified by the user. The program
11   * automatically generates a series of arrays of integers (sequences) for every test case and
12   * feeds them into the algorithm. Every algorithm has built in routines for gathering statistics
13   * about algorithm's work while processing a particular sequence of integers. Statistics for
14   * different individual sequences is combined into the statistics of an individual test case. Then
15   * every test case statistics is passed to a procedure which matches this statistics to various
16   * mathematical models of efficiency classes and computes percentage how well this data matches
17   * every model. The efficiency model which matched the given statistics with the highest percentage
18   * is then selected to describe the behavior of the given test case efficiency for the given
19   * algorithm. For more details, please see the project report and comments within code.
20   *
21   * Compilation notes: use flags -Xss1024m and -Xmx4096m to deal with possible memory limitations.
22   */
23
24  package sortalgs;
25
26  import java.util.ArrayList;
27  import java.util.Iterator;
28  import java.util.List;
29  import java.util.Random;
30
31  public class SortAlgs {
32
33      private static Random rand;          // random number generator for random sequences
34      private static int base = 10;        // base for sequence sizes in base^i for 0 < i
35      private static int exp_incr = 1;     // exponent increase of i in base^i
36      private static final double MAX_TIME = Math.pow(10, 10); // 10^9 ns = 1 s
37      private static final int LENGTH_MIN = 1000; // minimum length required for an array to affect
38                                              // efficiency class match.
39
40      public enum Order {RANDOM, DESCENDING, ASCENDING} // order of elements in a generated sequence
41      public enum Algorithm {INSERTION, QUICK, MERGE} // sorting algorithms implemented
42      public enum EfficiencyClass {NONE, CONSTANT, // possible efficiency classes
43                                  LOGARITHMIC, LINEAR, LINEARLOGARITHMIC, QUADRATIC, EXPONENTIAL}
44
45      // method: program entry
46      // input: at least one command line argument
47      public static void main(String[] args) {
48          rand = new Random((System.currentTimeMillis()));
49          if(args.length < 1) { // at least one argument is required
50              System.out.println("command-line argument expected: 1. 'i', 'm', or 'q'");
51              System.out.println("2. array length base");
52              return; // no arguments given, terminate
53          }
54          if(args.length >= 2) // array length base for [length = base^exponent]
55              base = Integer.decode(args[1]);
56          if(args.length >= 3) // array length exponent increment for [length = base^exponent]
57              exp_incr = Integer.decode(args[2]);
58
59          Algorithm algorithm;
60          switch(args[0]){ // parse command line argument to define algorithm for testing
61              case "i": // "i" stands for insertion sort
62                  algorithm = Algorithm.INSERTION; break;

```

```

63         case "q":                // "q" stands for quick sort
64             algorithm = Algorithm.QUICK; break;
65         case "m":                // "m" stands for merge sort
66             algorithm = Algorithm.MERGE; break;
67         default:                // unknown argument provided
68             System.out.println("invalid 1st argument - use 'i', 'm', or 'q'.");
69             return;
70     }
71     doAlgorithmAnalysis(algorithm); // do the analysis of the selected algorithm
72 }
73
74 ////////////////////////////////////////////////////////////////// Analysis Methods //////////////////////////////////////////////////////////////////
75
76 // method: manages the analysis process of the algorithm provided
77 //          generates test cases one for each sequence order type
78 //          generates a series of sequences for every test case
79 //          feeds each sequence into a specified sorting algorithm
80 //          double checks whether the algorithm actually sorted the sequence
81 //          gathers algorithm performance statistics for every sequence in every test case
82 //          analyses and defines efficiency class for every test case for the algorithm
83 //          displays statistics and analysis results
84 // input:  sorting algorithm to be analysed
85 public static void doAlgorithmAnalysis(Algorithm algorithm) {
86     for(Order order: Order.values()) { // generate test cases for every sequence order type
87         System.out.printf("\n%s sort; input order: %s\n", // print description of
88             algorithm.toString().toLowerCase(),           // algorithm and
89             order.toString().toLowerCase());               // sequence order type
90         List<Statistics> stats = new ArrayList<>();          // stores test case statistics
91         double time = System.nanoTime();                    // time when this test case started
92         try {                                                // handle possible memory exceptions
93             for(int i = exp_incr;                            // generate sequences for sorting
94                 System.nanoTime()-time < MAX_TIME;          // until time is gone
95                 i += exp_incr) {                             // increase next sequence multiple times
96                 int[] sequence = generateSequence(order, (int)Math.pow(base, i));
97                 Statistics s;                                // contains statistics for this sequence
98                 switch(algorithm) {                          // select requested sorting algorithm
99                     case INSERTION:
100                         s = insertionSort(sequence); break;
101                     case QUICK:
102                         s = quickSortInit(sequence); break;
103                     case MERGE:
104                         s = mergeSortInit(sequence); break;
105                     default:
106                         return;
107                 }
108                 if(!sequenceIsSorted(sequence)) // check if the algorithm sorted the sequence
109                     System.out.println("Error! Sequence is not sorted! Algorithm failure!");
110                 stats.add(s); // add sequence sorting statistics to test case statistics
111             }
112         } catch (OutOfMemoryError e) {
113             System.out.println("test case terminated due to \"OutOfMemoryError\" exception");
114         }
115         printStats(stats); // display current test case statistics
116         evaluateEfficiencyClass(stats); //evaluate test case efficiency class
117     }
118 }
119
120 // method: generates a sequence of specified size with elements in given order
121 // input:  order (random, descending or ascending) of elements in the sequence to be generated
122 //          size of the sequence to be generated
123 // output: sequence (array of integers) generated
124 public static int[] generateSequence(Order order, int size) {

```

```

125         if(size <= 0)                                // require nonnegative size
126             return null;
127         int[] sequence = new int[size];                // allocate a new array of needed size
128         switch(order){
129             case RANDOM:
130                 for(int i = 0; i < size; i++)
131                     sequence[i] = rand.nextInt(Integer.MAX_VALUE);
132                 return sequence;
133             case DESCENDING:
134                 for(int i = 0; i < size; i++)
135                     sequence[i] = size - i;
136                 return sequence;
137             case ASCENDING:
138                 for(int i = 0; i < size; i++)
139                     sequence[i] = i;
140                 return sequence;
141             default:                                    // unknown order type
142                 return null;
143         }
144     }
145
146     // method: checks whether the given sequence is sorted in ascending order
147     // input:  sequence (array of integers) to be checked
148     // output: true if sequence is sorted or false otherwise
149     public static boolean sequenceIsSorted(int[] sequence) {
150         if(sequence == null || sequence.length <= 1) // validate sequence passed
151             return true;
152         int length = sequence.length;
153         for(int i = 0, j = 1; j < length; i++, j++) // compare every pair of consecutive elements
154             if( sequence[i] > sequence[j] )
155                 return false;
156         return true;
157     }
158
159     // method: displays test case statistics in the form of table
160     // input:  list containing statistics about processing a particular test case
161     // output: display output visualizing the statistics
162     public static void printStats(List<Statistics> stats) {
163         System.out.printf("%10s %15s %15s %15s %15s\n",    // table header
164             "length", "comparisons", "memory ops", "total time", "time/element");
165         Iterator<Statistics> iterator = stats.iterator();
166         while(iterator.hasNext()) {                        // display every entry in the table
167             Statistics s = iterator.next();
168             if(s == null || s.length <= 0)                // validate entry and data
169                 continue;
170             long timePerElement = s.time / s.length;      // calculate field "time/element"
171             System.out.printf("%10d %15d %15d %12.3f ms %15s\n",
172                 s.length, s.comparisons, s.memoryOps,
173                 s.time * Math.pow(10, -6),
174                 timePerElement + " ns" );
175         }
176     }
177
178     // method: defines efficiency class for processing the test case described in given statistics
179     // input:  list containing statistics about processing a particular test case
180     // output: displays to which efficiency class processing of this test case belongs
181     public static void evaluateEfficiencyClass(List<Statistics> stats) {
182         EfficiencyClass bestEfficiency = EfficiencyClass.NONE; // efficiency with the best match
183         double bestMatch = 0.0;                                // best match score
184         for(EfficiencyClass efficiency: EfficiencyClass.values()) { // try every efficiency class
185             double match = matchEfficiencyClass(stats, efficiency);
186             if(match > bestMatch) {                            // store the best efficiency

```

```

187         bestMatch = match;
188         bestEfficiency = efficiency;
189     }
190 }
191 System.out.printf("%s: %3.1f%%\n",                // print the best match info
192                 bestEfficiency.toString().toLowerCase(), bestMatch * 100.0);
193 }
194
195 // method:  computes the score describing how well the test case statistics matches the
196 //           efficiency class offered
197 // input:   list containg statistics about a test case
198 //           efficiency class to which the statistics needs to be matched and evaluated
199 // output:  the score within [0.0, 1.0] describing how the statistics mathes the offered
200 //           efficiency class: 0.0 - no match, 1.0 - perfect match
201 public static double matchEfficiencyClass(List<Statistics> stats, EfficiencyClass effClass) {
202     int size = stats.size();
203     double lower = Double.MAX_VALUE;    // lower bound constant of Theta(n^2)
204     double upper = Double.MIN_VALUE;    // upper bound constant of Theta(n^2)
205
206     // Find potential min and max constants for lower and upper bounds of Theta(n^2).
207     // If all constants in the statistics within the lower and upper bound constants are very
208     // similar in terms of the offered efficiency model then the statistics trend matches this
209     // efficiency class well.
210     for(int i = 0; i < size; i++) {      // ignore statistics of the first smallest sequences
211         Statistics s = stats.get(i);    // retrieve an individual statistics entry
212         if(s == null)                   // check if entry is valid
213             continue;
214         double length = (double)s.length;
215         if(length < LENGTH_MIN )        // ignore statistics from small arrays
216             continue;
217         double x;                        // potential upper/lower bound constant
218         switch(effClass) {               // select math model for offered efficiency class
219             case CONSTANT:
220                 x = s.comparisons; break;
221             case LINEAR:    // solve (x * length = s.comparisons) for x
222                 x = s.comparisons / length; break;
223             case LOGARITHMIC:// solve (x * log_ length = s.comparisons) for x
224                 x = s.comparisons / (Math.log10(length)/Math.log10(2)); break;
225             case LINEARLOGARITHMIC:    // solve (x * length log_2 length = s.comparisons) for x
226                 x = s.comparisons / (length * Math.log10(length)/Math.log10(2)); break;
227             case QUADRATIC: // solve (x * length^2 = s.comparisons) for x
228                 x = s.comparisons / (length * length); break;
229             default:
230                 return 0.0; // unknown efficiency class
231         }
232         if(x < lower)
233             lower = x;
234         if(x > upper)
235             upper = x;
236     }
237     if( upper != 0)
238         return lower/upper;
239     else
240         return 0.0;
241 }
242
243 ////////////////////////////////////////////////// Insertion Sort Algorithm //////////////////////////////////////
244
245 // method:  sorts a given sequence using insertion sort algorithm
246 // input:   sequence (array of integers) to be sorted
247 // output:  statistics describing the process of sorting the sequence given
248 //           sequence sorted in ascending order

```

```

249     public static Statistics insertionSort(int[] sequence) {
250         if(sequence == null)                // check if sequence is valid
251             return null;
252         int length = sequence.length;
253         Statistics s = new Statistics(length);    // object for storing algorithm's statistics
254         s.time = System.nanoTime();              // take start time
255         for(int i = 1; i < length; i++ ) {        // traverse all sequence
256             int value = sequence[i];              // currently selected value to be inserted
257             int idx = i;                          // index of the currently selected value
258             s.comparisons++;                      // gathering statistics
259             while(idx > 0 && value < sequence[idx-1]) { // traverse unsorted part of the sequence
260                 sequence[idx] = sequence[idx - 1]; // shift an element to the right
261                 idx--;                             // select position to the left
262                 s.memoryOps++;                     // gathering statistics
263                 s.comparisons++;                   // gathering statistics
264             }
265             sequence[idx] = value;                 // insert the value
266             s.memoryOps++;                         // gathering statistics
267         }
268         s.time = System.nanoTime() - s.time;      // take finish time and compute total time
269         return s;
270     }
271
272     ////////////////////////////////////////////////// Quick Sort Algorithm ////////////////////////////////////////////
273
274     // method: prepares statistics before invoking the quicksort algorithm
275     // input:  sequence (array of integers) to be sorted
276     // output: statistics describing the process of sorting the sequence given
277     //         sequence sorted in ascending order
278     public static Statistics quickSortInit(int[] sequence) {
279         if(sequence == null)                // check if sequence is valid
280             return null;
281         int length = sequence.length;
282         Statistics s = new Statistics(sequence.length);
283         s.time = System.nanoTime();          // take start time
284         quickSort(sequence, 0, length-1, s); // do the actual quicksort
285         s.time = System.nanoTime() - s.time; // take finish time and compute total time
286         return s;
287     }
288
289     // method: sorts a subsequence using quicksort algorithm
290     // input:  sequence (array of integers)
291     //         left and right indices which define the subsequence of sequence
292     //         object for storing algorithm's statistics
293     // output: subsequence sorted in ascending order
294     //         updated statistics
295     public static void quickSort(int[] sequence, int left, int right, Statistics s) {
296         if(left < right) {
297             int split = hoarePartition(sequence, left, right, s); // sort this partition
298             quickSort(sequence, left, split-1, s);               // sort left partition
299             quickSort(sequence, split+1, right, s);              // sort right partition
300         }
301     }
302
303     // method: partitions and sorts a subarray using Hoare's partitioning algorithm
304     // input:  sequence (array of integers)
305     //         left and right indices which define the subsequence of sequence
306     //         object for storing algorithm's statistics
307     // output: pivot index for further splitting into partitions
308     //         updated statistics
309     public static int hoarePartition(int[] sequence, int left, int right, Statistics s) {
310         int pivot, i, j;

```

```

311     pivot = sequence[left];
312     i = left;
313     j = right + 1;
314     while(true) {
315         s.comparisons += 2;
316         while(i < right && sequence[++i] < pivot)
317             s.comparisons++;
318         while(sequence[--j] > pivot)
319             s.comparisons++;
320         if(i >= j)
321             break;
322         s.memoryOps++;
323         swap(sequence, i, j);
324     }
325     s.memoryOps++;
326     swap(sequence, left, j);
327     return j;
328 }
329
330 ////////////////////////////////////////////////// Merge Sort Algorithm ////////////////////////////////////////////
331
332 // method:  prepares statistics before invoking the mergesort algorithm
333 // input:    sequence (array of integers) to be sorted
334 // output:   statistics describing the process of sorting the sequence given
335 //           sequence sorted in ascending order
336 public static Statistics mergeSortInit(int[] sequence) {
337     if(sequence == null)
338         return null;
339     int length = sequence.length;
340     Statistics s = new Statistics(sequence.length);
341     s.time = System.nanoTime();           // take start time
342     mergeSort(sequence, s);              // do the actual quicksort
343     s.time = System.nanoTime() - s.time; // take finish time and compute total tome
344     return s;
345 }
346
347 // method:  sorts a sequence using recursive mergesort algorithm
348 // input:    sequence (array of integers) to be sorted
349 //           statistics describing the process of sorting the whole sequence
350 // output:   sequence sorted in ascending order
351 //           updated statistics
352 public static void mergeSort(int[] sequence, Statistics s) {
353     int length = sequence.length;
354     if(length > 1) {
355         int split = (int)Math.floor((double)length/2.0);
356         s.memoryOps += length;
357         int[] left = copyToNew(sequence, 0, split);
358         int[] right = copyToNew(sequence, split, length);
359         mergeSort(left, s);
360         mergeSort(right, s);
361         merge(left, right, sequence, s);
362     }
363 }
364
365
366 // method:  merges left and right sorted sequences into one sorted sequence.
367 // input:    left and right sorted sequences
368 //           statistics describing the process of sorting the whole sequence
369 // output:   sorted sequence
370 //           updated statistics
371 public static void merge(int[] left, int [] right, int[] sequence, Statistics s) {
372     int i=0, j=0, k=0;

```



```

373         int leftLength = left.length;
374         int rightLength = right.length;
375         int sequenceLength = sequence.length;
376         while(i < leftLength && j < rightLength) {
377             s.comparisons++;
378             s.memoryOps++;
379             if(left[i] <= right[j]) {
380                 sequence[k] = left[i];
381                 i++;
382             }
383             else {
384                 sequence[k] = right[j];
385                 j++;
386             }
387             k++;
388         }
389         s.memoryOps += sequenceLength - k;
390         if(i == leftLength)
391             copyFromIdx(right, sequence, j, k);
392         else
393             copyFromIdx(left, sequence, i, k);
394     }
395
396     // method: copies a subsequence of the source sequence into a new sequence
397     // input:   source sequence (array of integers)
398     //          left and right indices defining a subsequence of the source sequence
399     // output:  the copy of subsequence of source sequence
400     public static int[] copyToNew(int[] source, int left, int right) {
401         int length = right-left;
402         int[] destination = new int[length];
403         for(int i = left, j=0; i<right; i++, j++)
404             destination[j] = source[i];
405         return destination;
406     }
407
408     // method: copies elements from the source sequence into the destination sequence starting
409     //           from indices specified for both of the sequences.
410     // input:   source and destination sequences (arrays of integers)
411     //           source index to start copy from
412     //           destination index to start copy into
413     // output:  destination sequence with some elements copied from the source sequence
414     public static void copyFromIdx(int[] source, int[] destination, int srcIdx, int dstIdx) {
415         int srcLen = source.length;
416         int dstLen = destination.length;
417         for(; srcIdx < srcLen && dstIdx < dstLen; srcIdx++, dstIdx++)
418             destination[dstIdx] = source[srcIdx];
419     }
420
421     //////////////////////////////////// Generel Purpose Methods ////////////////////////////////////
422
423     // method: swaps two values in the sequence
424     // input:   sequence (array of integers) in which the elements have to be swapped
425     //           two indices fo elements which values must be swapped
426     // output:  sequence with swapped elements
427     public static void swap(int[] sequence, int i, int j) {
428         int buffer = sequence[i];
429         sequence[i] = sequence[j];
430         sequence[j] = buffer;
431     }
432 }

```

```
1  /**
2   * @author      Bogdan Kovch
3   * @class       CS 350
4   * @assignment   final project
5   * @file        Statistics.java
6   * @date        May 29, 2013 - June 6, 2013
7   */
8
9  /**
10   * This file defines the class used to populate instances encapsulating statistics data about
11   * sorting algorithm performance.
12   */
13
14  package sortalgs;
15
16  public class Statistics {
17      public int length = 0;           // number of elements in the sequence
18      public long comparisons = 0;     // number of element comparisons an algorithm performed
19      public long memoryOps = 0;       // number of memory operations; that is the number of
20                                      // writes into sequence elements
21      public long time = 0;           // total time taken to sort the sequence in nanoseconds
22
23      Statistics(int length){          // constructor defines the length of the sequence
24          this.length = length;
25      }
26  }
27
```

```

1 Quick Sort Worst-Case Efficiency Examples.
2 In these examples, array partitions are always unbalanced with one element in one partition and
3 the remaining elements in another partition. Pointers i and j need to move through the whole
4 bigger partition and finally do and undo one single unnecessary swap. Such behavior causes
5 quadratic efficiency.
6
7 Example 1. Array Sorted in Ascending Order: [1, 2, 3, 4, 5, 6, 7, 8, 9, 10].
8
9     0 1 2 3 4 5 6 7 8 9   - array indices
10      i                     j
11     1 2 3 4 5 6 7 8 9 10 - subarray to be partitioned (l=0, r=9); l<r
12     j i
13     2 1 3 4 5 6 7 8 9 10 - swap i and j
14     1 2 3 4 5 6 7 8 9 10 - undo last swap
15     1 2 3 4 5 6 7 8 9 10 - swap pivot and j
16     1                     - single element subarray (l=0, r=-1); l>r
17      i                     j
18     2 3 4 5 6 7 8 9 10 - subarray to be partitioned (l=1, r=9); l<r
19     j i
20     3 2 4 5 6 7 8 9 10 - swap i and j
21     2 3 4 5 6 7 8 9 10 - undo last swap
22     2 3 4 5 6 7 8 9 10 - swap pivot and j
23     2                     - single element subarray (l=1, r=0); l>r
24      i                     j
25     3 4 5 6 7 8 9 10 - subarray to be partitioned (l=2, r=9); l<r
26     j i
27     4 3 5 6 7 8 9 10 - swap i and j
28     3 4 5 6 7 8 9 10 - undo last swap
29     3 4 5 6 7 8 9 10 - swap pivot and j
30     3                     - single element subarray (l=2, r=1); l>r
31      i                     j
32     4 5 6 7 8 9 10 - subarray to be partitioned (l=3, r=9); l<r
33     j i
34     5 4 6 7 8 9 10 - swap i and j
35     4 5 6 7 8 9 10 - undo last swap
36     4 5 6 7 8 9 10 - swap pivot and j
37     4                     - single element subarray (l=3, r=2); l>r
38      i                     j
39     5 6 7 8 9 10 - subarray to be partitioned (l=4, r=9); l<r
40     j i
41     6 5 7 8 9 10 - swap i and j
42     5 6 7 8 9 10 - undo last swap
43     5 6 7 8 9 10 - swap pivot and j
44     5                     - single element subarray (l=4, r=3); l>r
45      i                     j
46     6 7 8 9 10 - subarray to be partitioned (l=5, r=9); l<r
47     j i
48     7 6 8 9 10 - swap i and j
49     6 7 8 9 10 - undo last swap
50     6 7 8 9 10 - swap pivot and j
51     6                     - single element subarray (l=5, r=4); l>r
52      i                     j
53     7 8 9 10 - subarray to be partitioned (l=6, r=9); l<r
54     j i
55     8 7 9 10 - swap i and j
56     7 8 9 10 - undo last swap
57     7 8 9 10 - swap pivot and j
58     7                     - single element subarray (l=6, r=5); l>r
59      i j
60     8 9 10 - subarray to be partitioned (l=7, r=9); l<r
61     j i
62     9 8 10 - swap i and j
63     8 9 10 - undo last swap
64     8 9 10 - swap pivot and j
65     8                     - single element subarray (l=7, r=6); l>r
66      i j
67     9 10 - subarray to be partitioned (l=8, r=9); l<r
68     j i
69     10 9 - swap i and j
70     9 10 - undo last swap
71     9 10 - swap pivot and j
72     9                     - single element subarray (l=8, r=7); l>r
73     10                    - single element subarray (l=9, r=9); l=r
74     1 2 3 4 5 6 7 8 9 10 - array has been sorted!

```

```

75
76
77
78 Example 2. Array Sorted In Descending Order: [10, 9, 8, 7, 6, 5, 4, 3, 2, 1]
79
80     0 1 2 3 4 5 6 7 8 9 - array indices
81     i           j
82     10 9 8 7 6 5 4 3 2 1 - subarray to be partitioned (l=0, r=9); l<r
83           ij
84     10 9 8 7 6 5 4 3 2 1 - swap i and j
85     10 9 8 7 6 5 4 3 2 1 - undo last swap
86     1 9 8 7 6 5 4 3 2 10 - swap pivot and j
87     i           j
88     1 9 8 7 6 5 4 3 2 - subarray to be partitioned (l=0, r=8); l<r
89     j i
90     9 1 8 7 6 5 4 3 2 - swap i and j
91     1 9 8 7 6 5 4 3 2 - undo last swap
92     1 9 8 7 6 5 4 3 2 - swap pivot and j
93     1 - single element subarray (l=0, r=-1); l>r
94     i           j
95     9 8 7 6 5 4 3 2 - subarray to be partitioned (l=1, r=8); l<r
96           ij
97     9 8 7 6 5 4 3 2 - swap i and j
98     9 8 7 6 5 4 3 2 - undo last swap
99     2 8 7 6 5 4 3 9 - swap pivot and j
100    i           j
101    2 8 7 6 5 4 3 - subarray to be partitioned (l=1, r=7); l<r
102    j i
103    8 2 7 6 5 4 3 - swap i and j
104    2 8 7 6 5 4 3 - undo last swap
105    2 8 7 6 5 4 3 - swap pivot and j
106    2 - single element subarray (l=1, r=0); l>r
107    i           j
108    8 7 6 5 4 3 - subarray to be partitioned (l=2, r=7); l<r
109           ij
110    8 7 6 5 4 3 - swap i and j
111    8 7 6 5 4 3 - undo last swap
112    3 7 6 5 4 8 - swap pivot and j
113    i           j
114    3 7 6 5 4 - subarray to be partitioned (l=2, r=6); l<r
115    j i
116    7 3 6 5 4 - swap i and j
117    3 7 6 5 4 - undo last swap
118    3 7 6 5 4 - swap pivot and j
119    3 - single element subarray (l=2, r=1); l>r
120    i           j
121    7 6 5 4 - subarray to be partitioned (l=3, r=6); l<r
122           ij
123    7 6 5 4 - swap i and j
124    7 6 5 4 - undo last swap
125    4 6 5 7 - swap pivot and j
126    i           j
127    4 6 5 - subarray to be partitioned (l=3, r=5); l<r
128    j i
129    6 4 5 - swap i and j
130    4 6 5 - undo last swap
131    4 6 5 - swap pivot and j
132    4 - single element subarray (l=3, r=2); l>r
133    ij
134    6 5 - subarray to be partitioned (l=4, r=5); l<r
135    ij
136    6 5 - swap i and j
137    6 5 - undo last swap
138    5 6 - swap pivot and j
139    5 - single element subarray (l=4, r=4); l=r
140    7 - single element subarray (l=6, r=5); l>r
141    8 - single element subarray (l=7, r=6); l>r
142    9 - single element subarray (l=8, r=7); l>r
143    10 - single element subarray (l=9, r=8); l>r
144    - single element subarray (l=10, r=9); l>r
145    1 2 3 4 5 6 7 8 9 10 - array has been sorted!

```