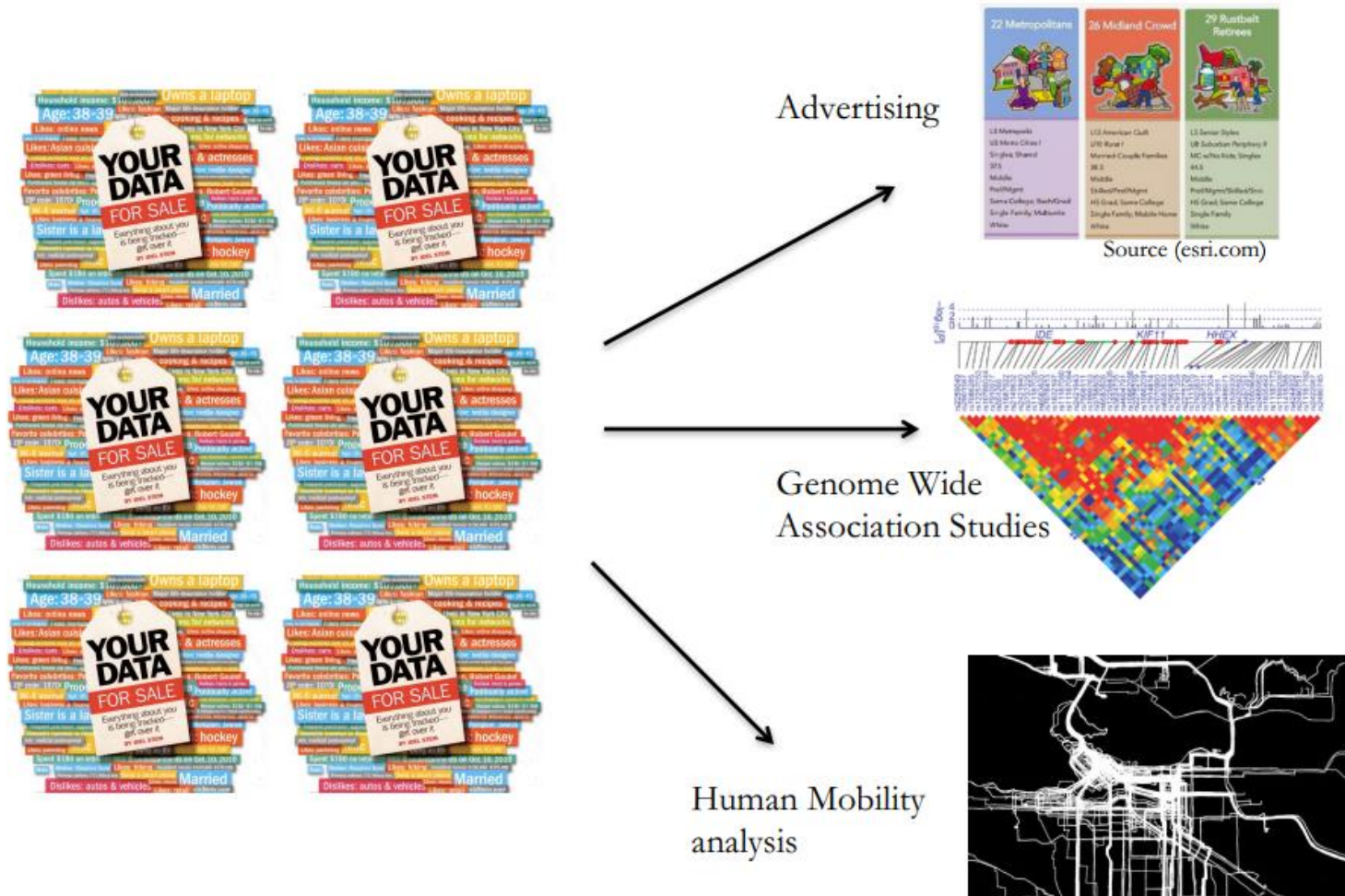


Data Anonymization and Differential Privacy

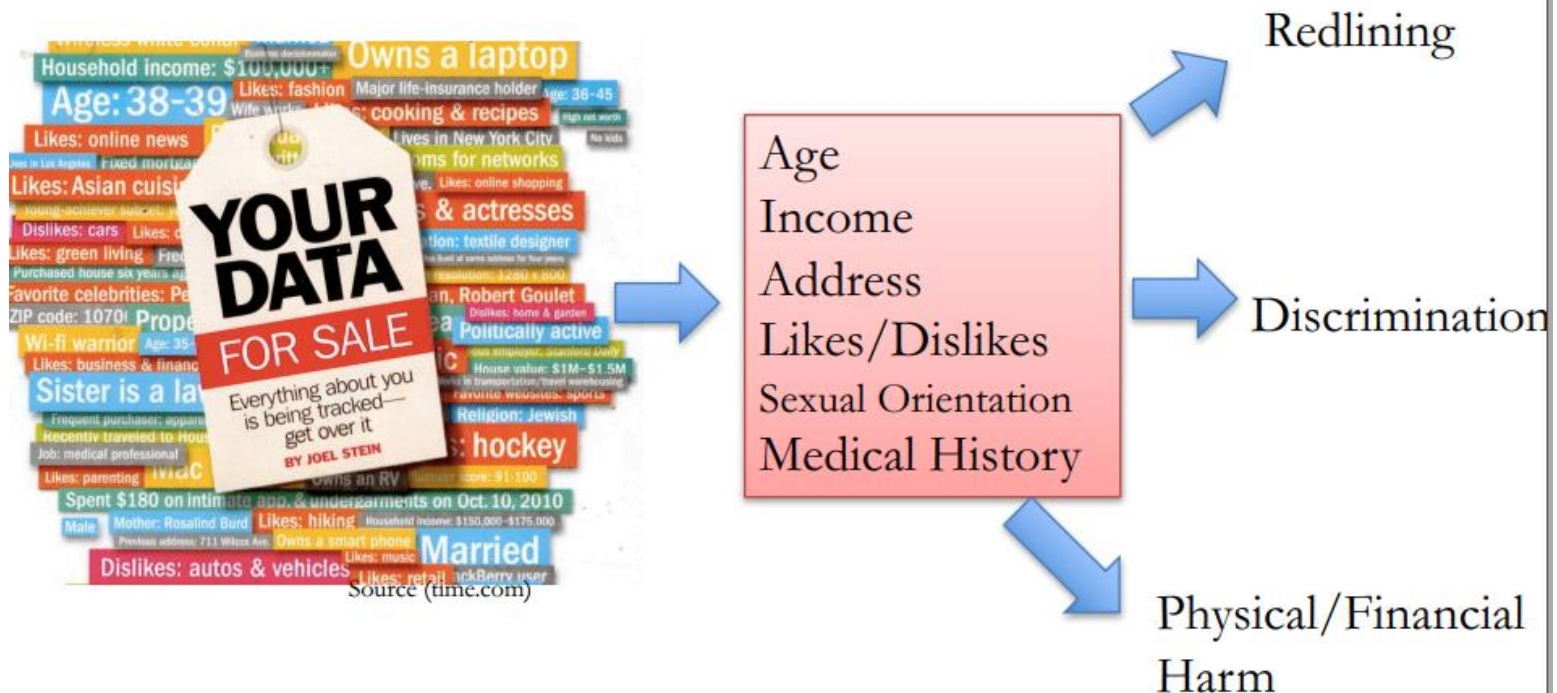
Dr. Chen Zhang

Department of Computer Science
The Hong Kong University of Science and Technology

Aggregated Personal Data is Invaluable



Personal Data is ... Very ... Personal!



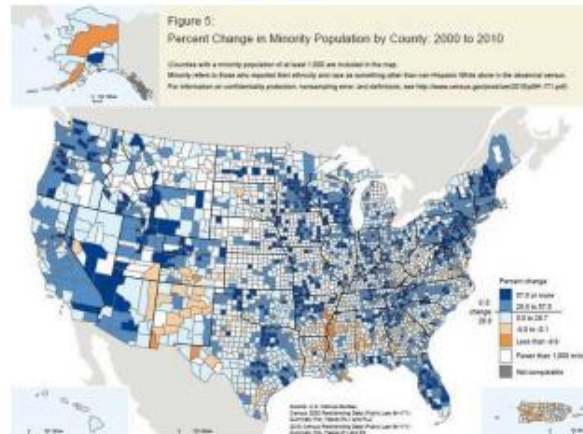
Aggregated Personal Data

- ... is made publicly available in many forms.

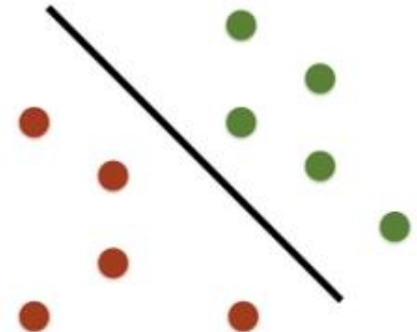
De-identified records
(e.g., medical)



Statistics
(e.g., demographic)



Predictive models
(e.g., advertising)

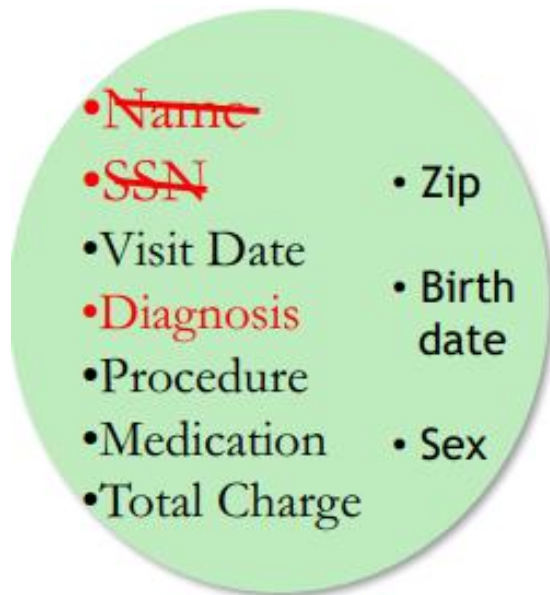


Data “Anonymization”

- Anonymity: the property that certain records or transactions not to be attributable to any individual.
- How?
 - Remove “personally identifying information” (PII)
 - Name, Social Security number, phone number, email, address... what else?
- Problem: PII has no technical meaning
 - In privacy breaches, any information can be personally identifying

The Massachusetts Governor Privacy Breach

[Sweeney, 2010]

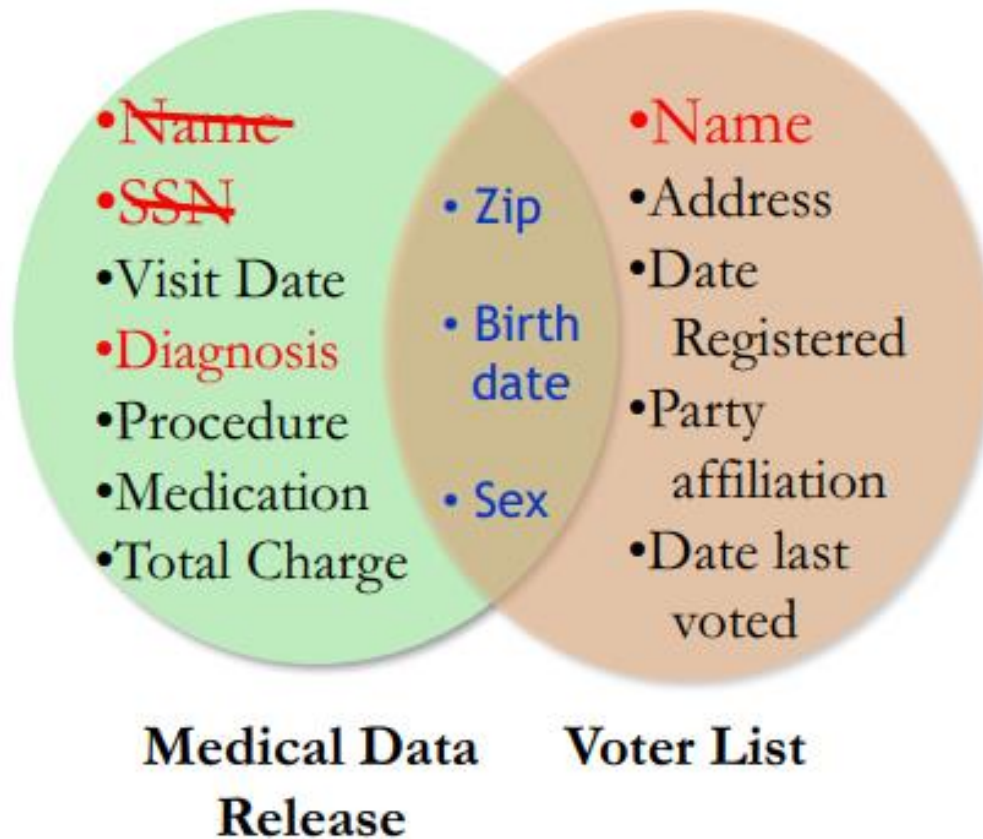


**Medical Data
Release**

SSN: Social Security Number

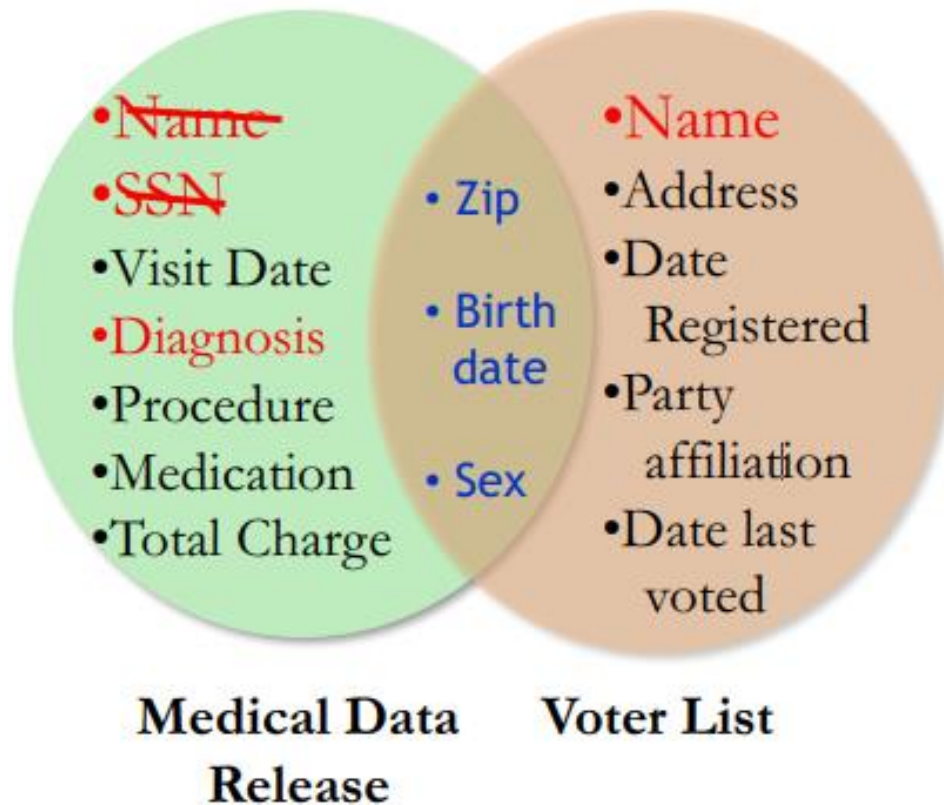
The Massachusetts Governor Privacy Breach

[Sweeney, 2010]



Linkage Attack

[Sweeney, 2010]



- Governor of MA uniquely identified using ZipCode, Birth Date, and Sex.

**Name linked to
Diagnosis**

Observation #1: Dataset Joins

- Attacker learns sensitive data by joining two datasets on common attributes
 - Anonymized dataset with sensitive attributes
 - Example: age, race, symptoms
 - “Harmless” dataset with individual identifiers
 - Example: name, address, age, race
- Demographic attributes (age, ZIP code, race, etc.) are common in datasets with information about individuals

Observation #2: Quasi-Identifiers

- Quasi-identifiers are pieces of information that are not of themselves unique identifiers, but are sufficiently well correlated with an entity that they can be combined with other quasi-identifiers to create a unique identifier.
- Sweeney's observation: (birthdate, ZIP code, gender) uniquely identifies more than 60% of US population
- Publishing a record with a quasi-identifier is as bad as publishing it with an explicit identity
- Eliminating quasi-identifiers is not desirable
 - For example, users of the dataset may want to study distribution of diseases by age and ZIP code

Race	Age	Symptoms	Blood type	Medical history
...
...

quasi-identifiers

sensitive attributes

Anonymization in a Nutshell

- Dataset is a relational table
- Attributes (columns) are divided into **quasi-identifiers** and **sensitive attributes**

Race	Age	Symptoms	Blood type	Medical history
...
...

quasi-identifiers

sensitive attributes

- Generalize/suppress quasi-identifiers, don't touch sensitive attributes (keep them “truthful”)

k-Anonymity

- Proposed by Samarati and Sweeney (1998)
- Definition: Each (transformed) quasi-identifier group **must appear in at least k records in the anonymized dataset**
 - k is chosen by the data owner
 - Example: any age-race combination from original DB must appear at least 10 times in anonymized DB
- Guarantees that any join on quasi-identifiers with the anonymized dataset will contain at least k records for each quasi-identifier

Achieving k-Anonymity

Most designs based on **generalization and suppression**

- Generalization

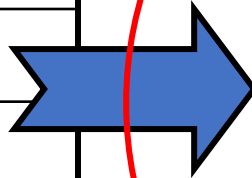
- Individual values of attributes replaced by **broader category**
 - Area code instead of phone number: 3442 8765 -- >> 3442 xxxx
 - Value “23” of the age attribute is replaced by 20<Age<=30

- Suppression

- Replace certain values of the attributes by an asterisk ‘*’ (not releasing a value at all.)
 - Example: replace all the values in the 'Name' attribute with a ‘*’.

Example: 3-Anonymity

Caucas	78712	Flu
Asian	78705	Shingles
Caucas	78754	Flu
Asian	78705	Acne
AfrAm	78705	Acne
Caucas	78705	Flu



Caucas	787XX	Flu
Asian/AfrAm	78705	Shingles
Caucas	787XX	Flu
Asian/AfrAm	78705	Acne
Asian/AfrAm	78705	Acne
Caucas	787XX	Flu

This is 3-anonymous, right?

Problem of k-Anonymity

When joining with external database ,
adversary learns Rusty Shackelford has Flu

...
Rusty Shackelford	Caucas	78705
...



Caucas	787XX	Flu
Asian/AfrAm	78705	Shingles
Caucas	787XX	Flu
Asian/AfrAm	78705	Acne
Asian/AfrAm	78705	Acne
Caucas	787XX	Flu

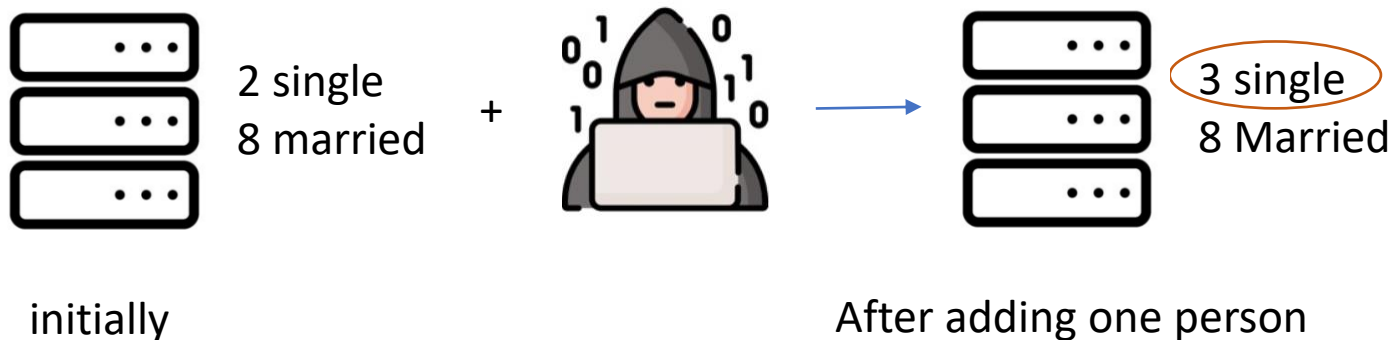
Problem: sensitive attributes are not “diverse”
within each quasi-identifier group

Other Attempts

- L -diversity
 - Entropy of sensitive attributes within each quasi-identifier group must be at least L
- t -closeness
 - Distribution of sensitive attributes within each quasi-identifier group should be “close” to their distribution in the entire original database

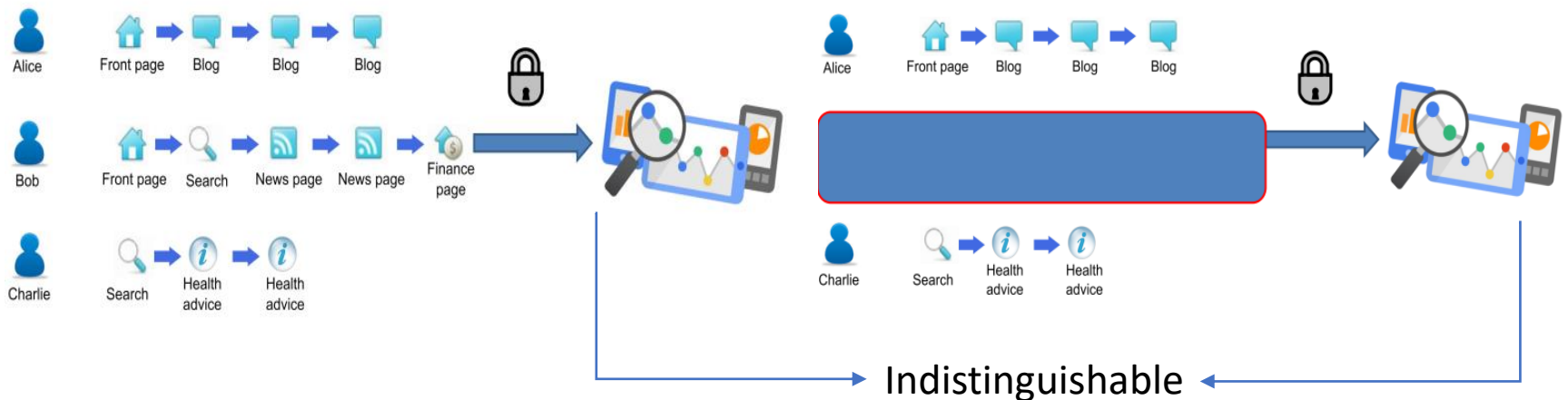
Differential Attacks

- Compares the variations in the input with variations in the encrypted output to find the desired key or plaintext message.
- Example:

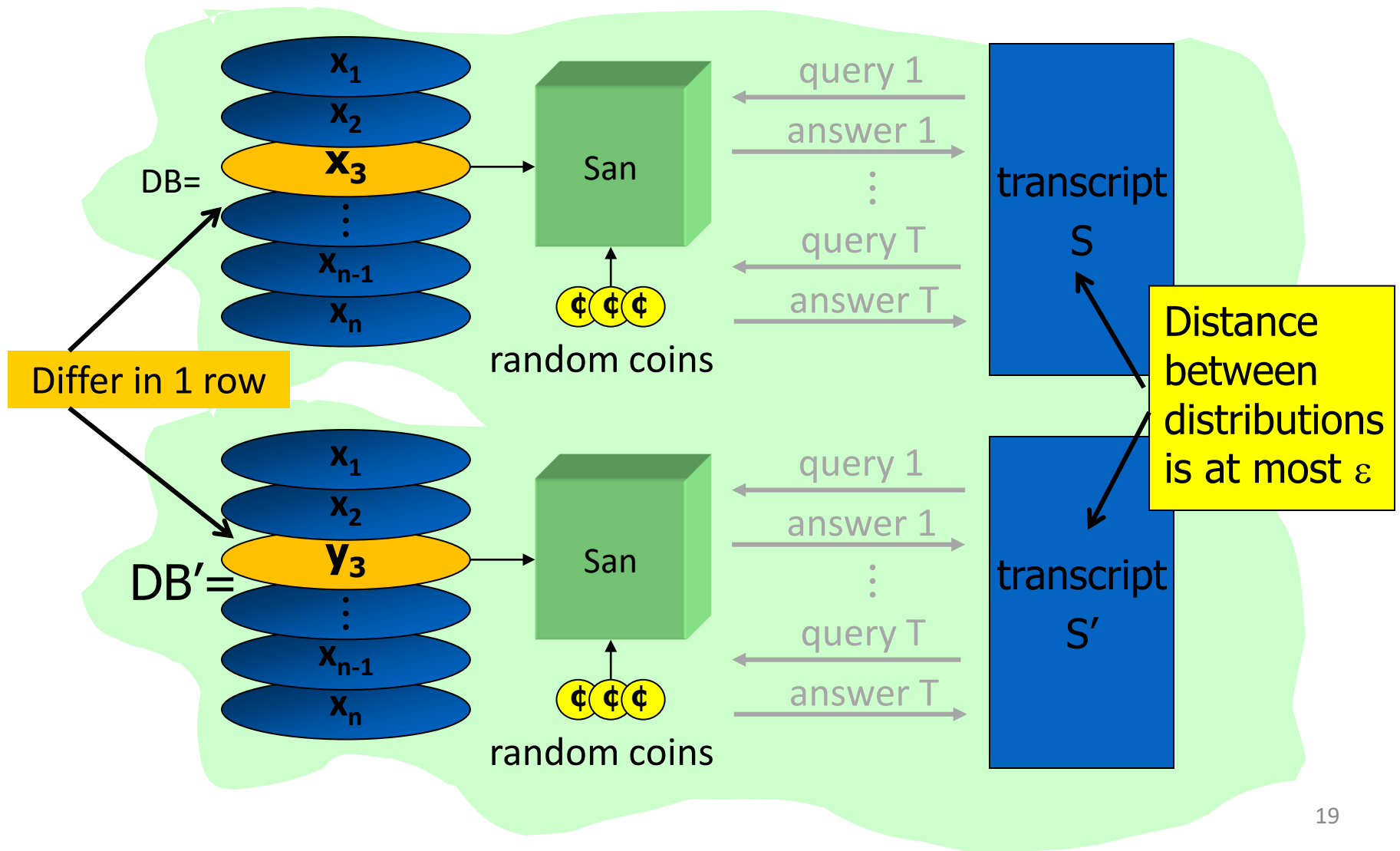


Differential Privacy

- Statistical outcome is indistinguishable regardless of **whether a particular user record is in the data or not**.
 - “Whatever is learned would be learned regardless of whether or not you participate”.

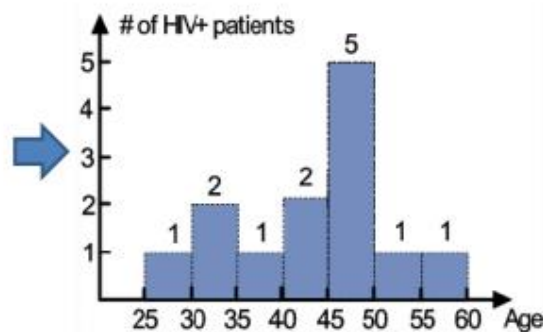


Indistinguishability

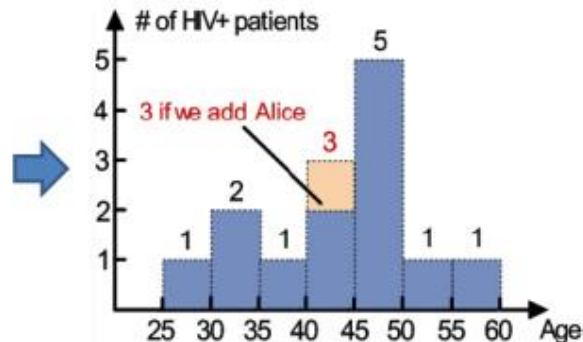


An Example: Statistical Data Release

Name	Age	HIV+
Frank	42	Y
Bob	31	Y
Mary	28	Y
Dave	43	N
...



Name	Age	HIV+
Alice	43	Y
Frank	42	Y
Bob	31	Y
Mary	28	Y
Dave	43	N
...

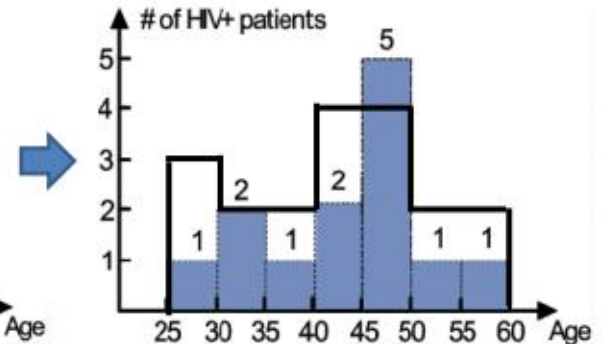
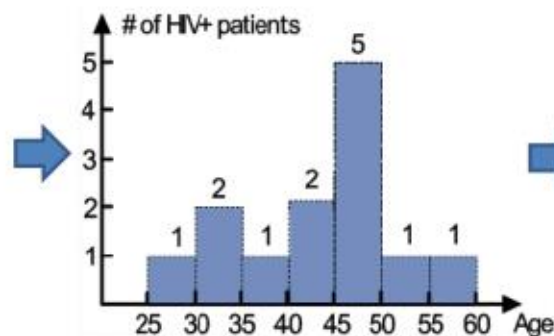


Original records

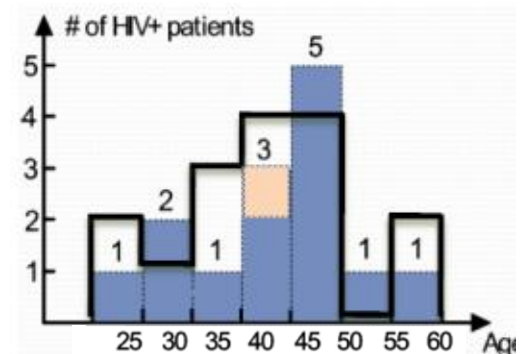
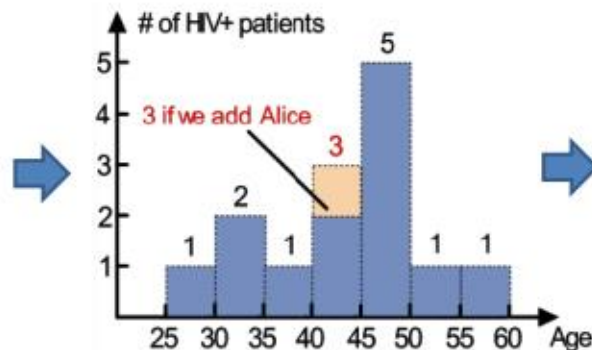
Original histogram

An Example: Statistical Data Release

Name	Age	HIV+
Frank	42	Y
Bob	31	Y
Mary	28	Y
Dave	43	N
...



Name	Age	HIV+
Alice	43	Y
Frank	42	Y
Bob	31	Y
Mary	28	Y
Dave	43	N
...

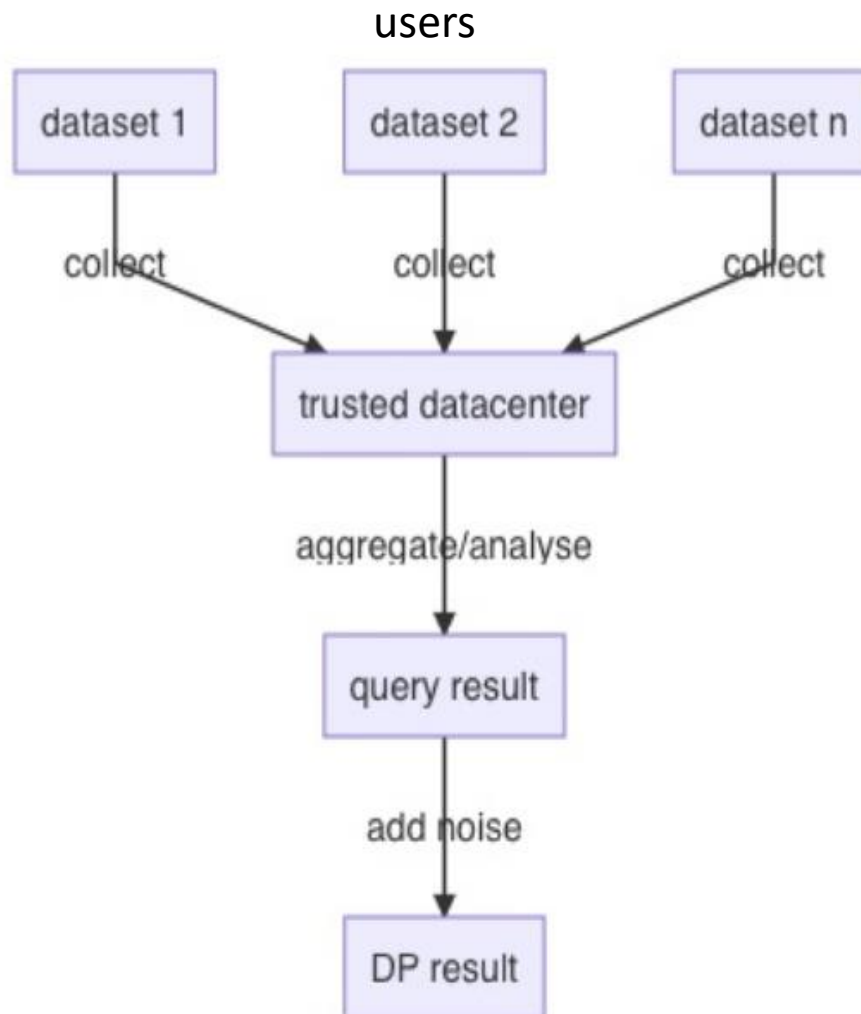


Original records

Original histogram

Perturbed histogram
with differential privacy

Framework of DP

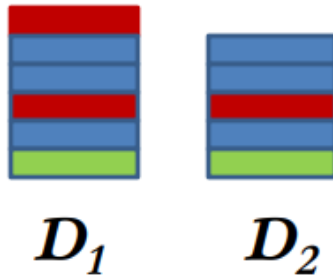


Formalizing Indistinguishability

[Dwork, ICALP'06]

For every pair of **neighboring databases** that differ in only one record

For every output



If algorithm A satisfies differential privacy then

$$\frac{\Pr[A(D_1) = O]}{\Pr[A(D_2) = O]} < \exp(\epsilon) \quad (\epsilon > 0)$$

Intuition: adversary should not be able to use output O to distinguish between any D_1 and D_2

- A is a randomized algorithm that takes a dataset as input (representing the actions of the trusted party holding the data).

Privacy Budget ϵ

For every pair of neighboring databases that differ in only one record

For every output



D_1



D_2

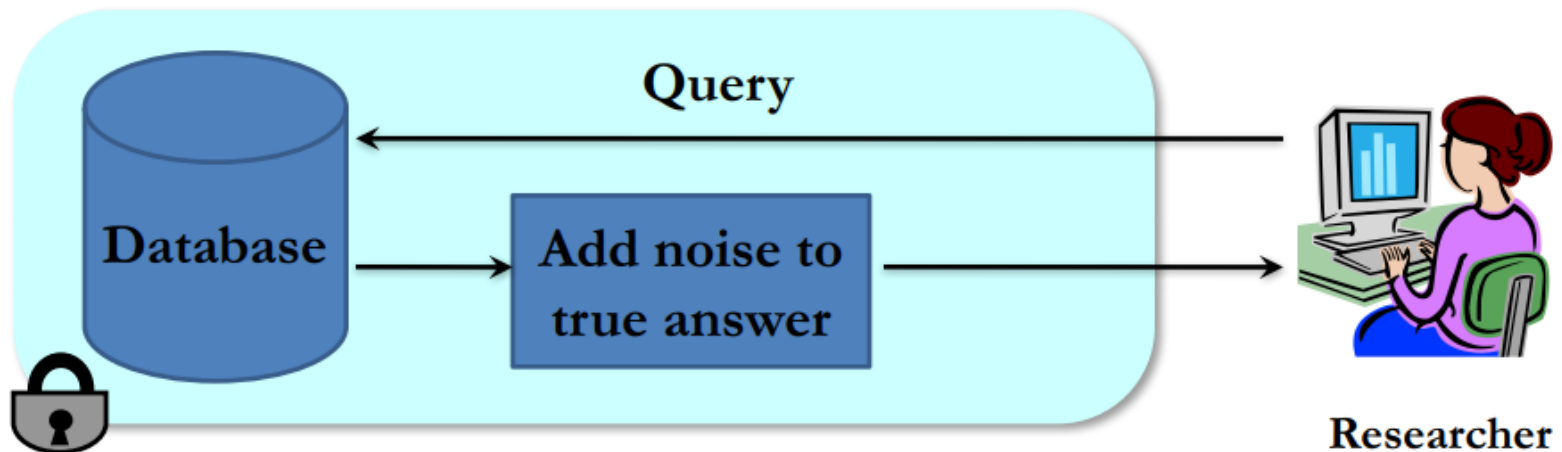


O

$$\Pr[A(D_1) = O] \leq e^\epsilon \Pr[A(D_2) = O]$$

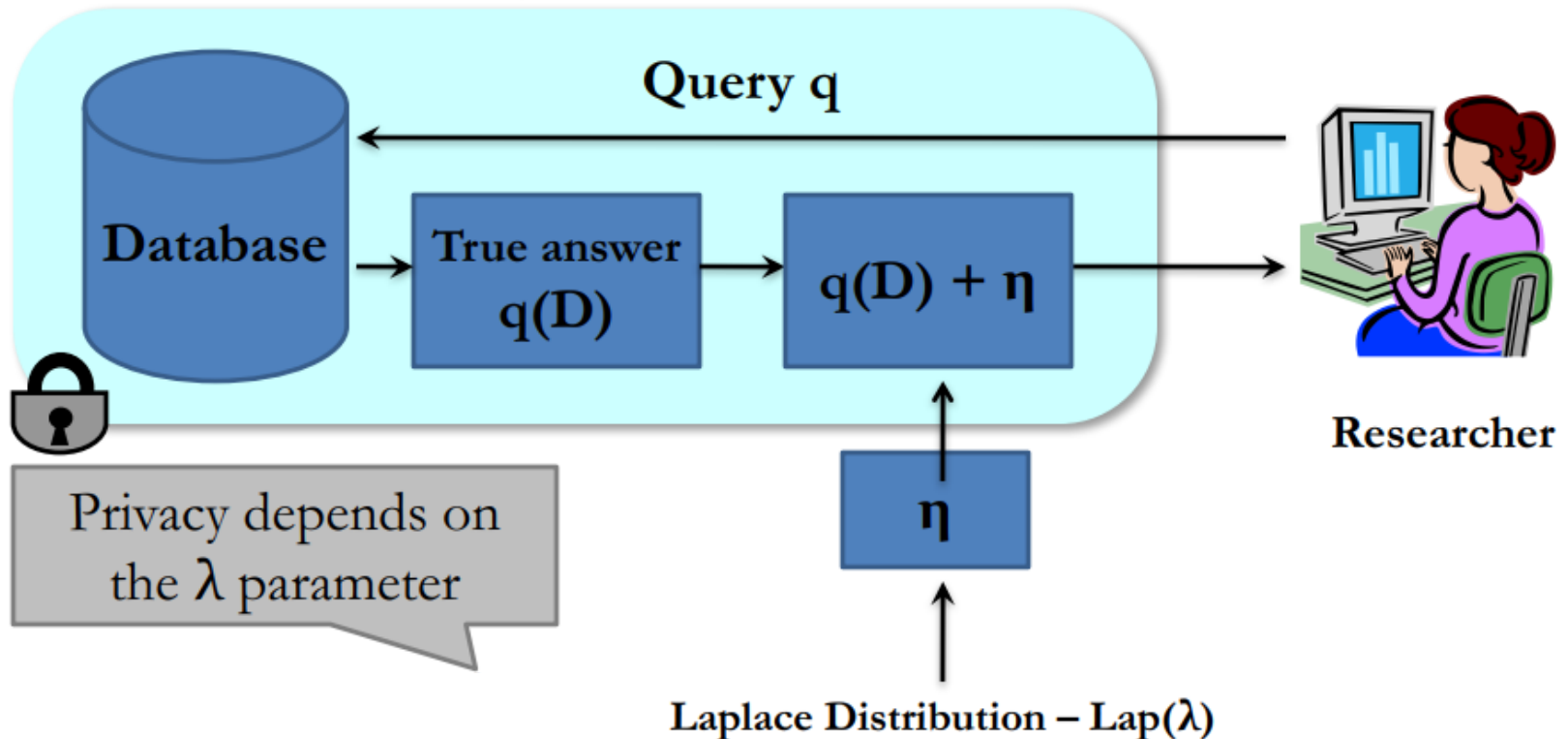
Controls the degree to which D_1 and D_2 can be distinguished.
Smaller ϵ gives more privacy (and worse utility)

Output Randomization



- Add noise to answers such that:
 - Each answer does not leak too much information about the database.
 - Noisy answers are close to the original answers.

Laplace Mechanism



$\lambda = \frac{s}{\epsilon}$, where ϵ is privacy budget and s is sensitivity

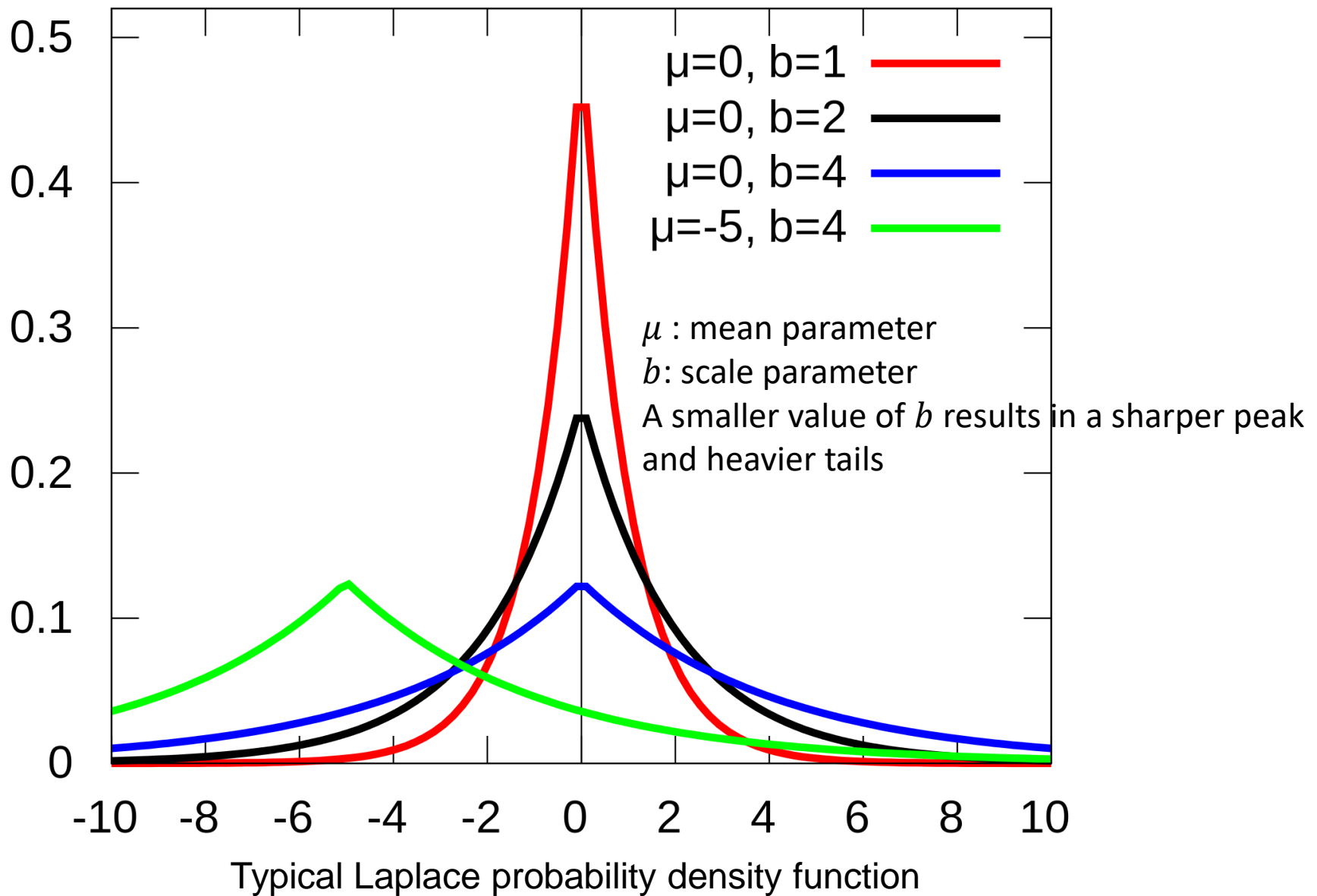
The sensitivity of a function reflects the amount the function's output will change when its input changes.

A random variable has a Laplace(μ, b) distribution if its probability density function is

$$f(x \mid \mu, b) = \frac{1}{2b} \exp\left(-\frac{|x - \mu|}{b}\right)$$
$$= \frac{1}{2b} \begin{cases} \exp\left(-\frac{\mu - x}{b}\right) & \text{if } x < \mu \\ \exp\left(-\frac{x - \mu}{b}\right) & \text{if } x \geq \mu \end{cases}$$

Here, μ is a location parameter and $b > 0$, which is sometimes referred to as the diversity, is a scale parameter. If $\mu = 0$ and $b = 1$, the positive half-line is exactly an exponential distribution scaled by 1/2.

https://en.wikipedia.org/wiki/Laplace_distribution



https://en.wikipedia.org/wiki/Laplace_distribution

Composition Theorems

Why composition?

- Reasoning about privacy of a complex algorithm is hard.



- Helps software design
 - If building blocks are proven to be private, it would be easy to reason about privacy of a complex algorithm built entirely using these building blocks.

Sequential Composition

- If M_1, M_2, \dots, M_k are algorithms that access a private database D such that each M_i satisfies ϵ_i -differential privacy,

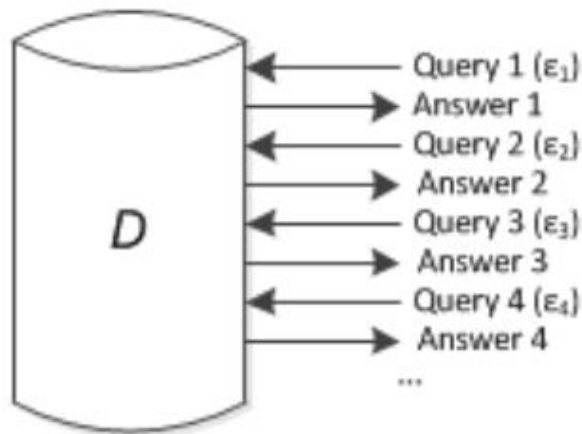
then running all k algorithms sequentially satisfies ϵ -differential privacy with $\epsilon = \epsilon_1 + \dots + \epsilon_k$

Parallel Composition

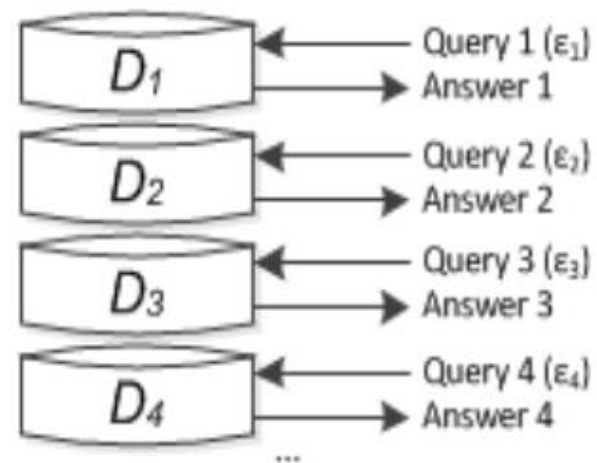
- If M_1, M_2, \dots, M_k are algorithms that access disjoint databases D_1, D_2, \dots, D_k such that each M_i satisfies ϵ_i -differential privacy,

then running all k algorithms in “parallel” satisfies ϵ -differential privacy with $\epsilon = \max\{\epsilon_1, \dots, \epsilon_k\}$

Composition theorems



Sequential composition
 $\sum_i \epsilon_i$ –differential privacy

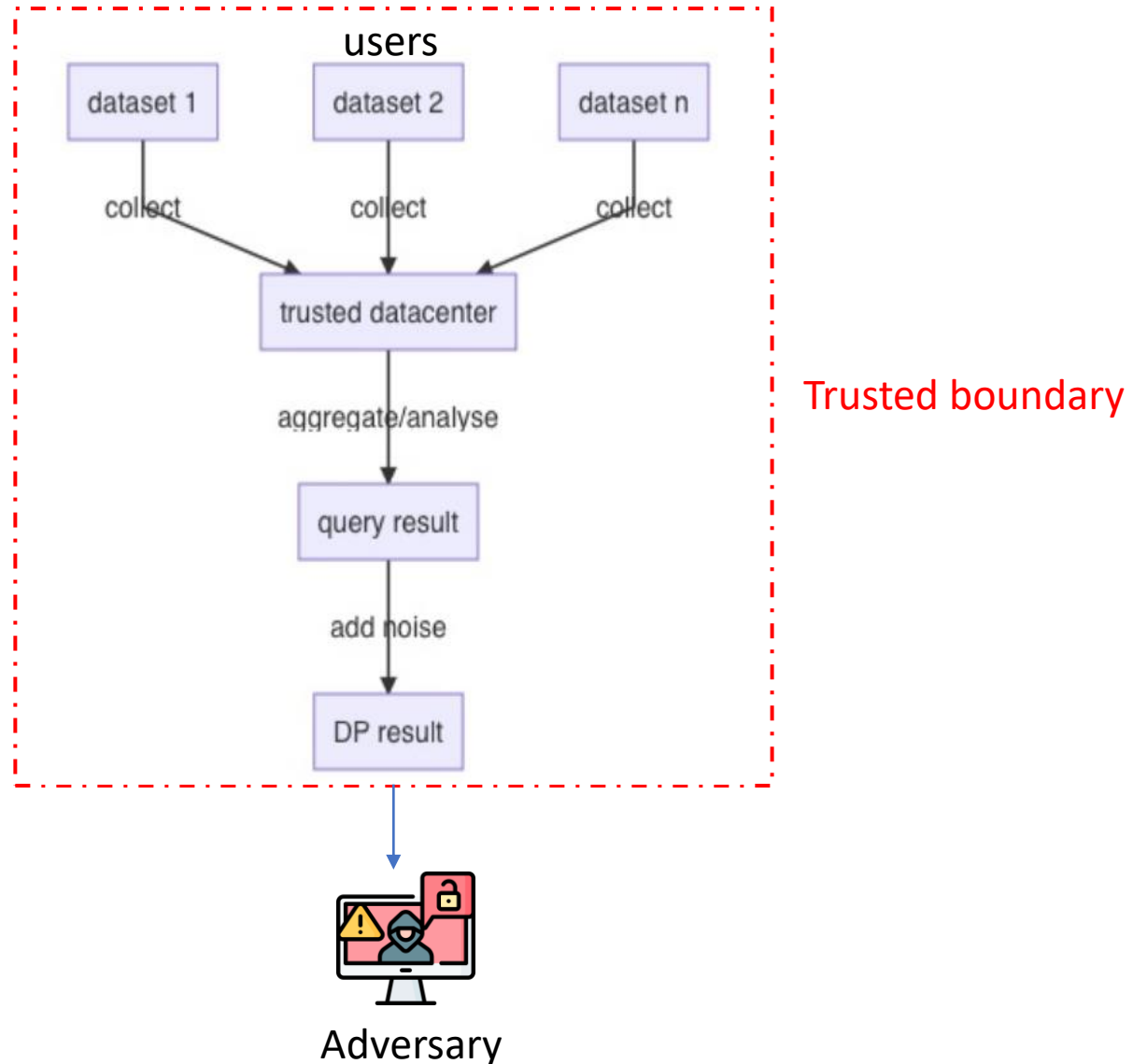


Parallel composition
 $\max(\epsilon_i)$ –differential privacy

Summary

- Differential privacy ensures that an attacker can't infer the presence or absence of a single record in the input based on any output
- Basic algorithm with random perturbation
 - Laplacian mechanism
- Composition rules help build complex algorithms using building blocks

The problem of DP: Need A Trust Data Center



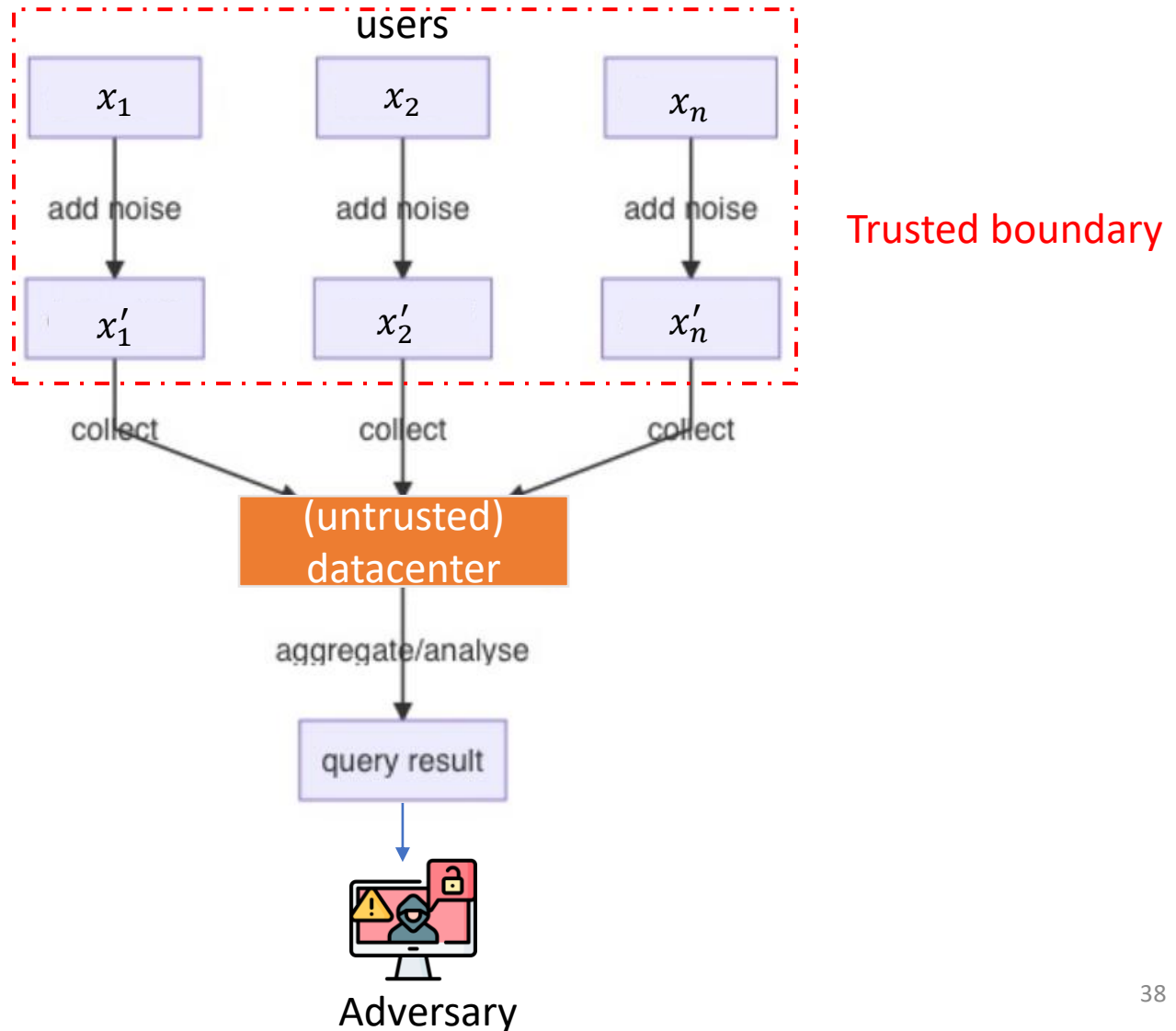
Trying to Reduce Trust

- Most work on differential privacy assumes a **trusted party**
 - Data aggregator (e.g., organizations) that sees the true, raw data
 - Can compute exact query answers, then perturb for privacy
- A reasonable question: can we **reduce the amount of trust**?
 - Can we remove the trusted party from the equation?
 - Users produce **locally private output**, aggregate to answer queries

Local Differential Privacy

- How about having **each user run a DP algorithm** on their data?
 - Then combine all the results to get a final answer
- On first glance, this idea seems crazy
 - Each user adds noise to mask their own input
 - So surely the **noise** will always **overwhelm the signal**?
- But ... noise can **cancel out** or be **subtracted out**
 - We end up with the true answer, plus noise which can be smaller


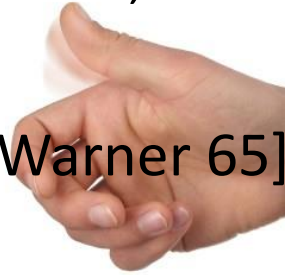
Framework of Local Differential Privacy



Local Differential Privacy

- We can achieve LDP, and obtain reasonable accuracy (for large N)
- Generic approach: apply centralized DP algorithm to local data
 - But error might be quite large
 - Unclear how to merge private outputs (e.g. private clustering)
- So we seek to design **new LDP algorithms**
 - Maximize the accuracy of the results
 - Minimize the costs to the users (space, time, communication)
 - Ensure that there is an accurate algorithm for aggregation

Privacy with A Coin Toss: Randomized Response

- Each user has a **single bit** of private information 
 - Encoding e.g. political/sexual/religious preference, illness, etc.
- Randomize Response (RR): toss an unbiased coin [Warner 65] 
 - If **Heads** (probability $p = \frac{1}{2}$), report the **true answer**
 - Else, toss unbiased coin again: if **Heads**, report True, else False
- Collect responses from **N** users, subtract noise
 - See Differential Privacy Tutorial.ipynb
 - Generalization: allow biased coins ($p \neq \frac{1}{2}$)

Key difference between DP and LDP

- DP concerns two neighboring datasets
- LDP concerns any two values
- As a result, the amount of noise is different: In aggregated result for **counting queries**
 - Noise in DP is $\Omega(1)$ (sensitivity is constant)
 - But in LDP, even noise for each user is constant, the aggregated result is $\Omega(\sqrt{n})$ [1]

[1] Optimal lower bound for differentially private multi-party aggregation by T.-H. H. Chan, E. Shi, and D. Song

The Use of Differential Privacy

- Google:

- [Chrome](#)
- [Google Maps](#)
- Google assistant
- [BigQuery](#)
- differential privacy library developed by Google:
<https://github.com/google/differential-privacy>



- Apple:

- iOS e.g., [Learning iconic scenes](#), discovering new words
- Safari e.g., Auto-play intent analysis



- Microsoft:

- Windows e.g., understand overall app usage
- Advertiser queries on LinkedIn
- Machine learning
- <https://blogs.microsoft.com/ai-for-business/differential-privacy/>



Reflecting on LDP



- Local Differential Privacy is a big success for privacy research
 - Adopted by Google, Apple, Microsoft and more for deployment
 - Deployments affecting (hundreds of) millions of users
 - In contrast, centralized DP has smaller success
- However, there are reasons to pause and reflect:
 - LDP only works when you can rely on millions of active participants
 - Privacy settings are not very tight: deployed ϵ ranges from 0.5 to 8+

