

CS2040S

Data Structures and Algorithms

Welcome!

# Today: How to Search!

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## Algorithm Analysis

- Big-O Notation
- Model of computation

## Searching

## Peak Finding

- 1-dimension
- 2-dimensions

# Administrivia

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Submit your tutorial choices by 23:59 TODAY.

Submit two sets of choices:

1. First choices.
2. All feasible choices.

More first choices → more likely to get.

DO NOT select a choice that conflicts.

# Administrativia

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Available slots: 8am Thursday, Friday

Facts:

1. Many of you do not like 8am slots.
2. I do not like 8am slots.
3. Many of you will be in 8am slots.

SoC has limited classroom availability, so there is nothing we can do about this.

We will only consider appeals with proof of conflict.

# Administrivia

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## Recitation appeals

Procedure:

1. Fill out (new) survey on Coursemology.
2. Only fill out survey if you have a problem.
3. We will not accept appeals changing out of 8am slot due to lack of sleep. Sorry. :)

# Today: How to Search!

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## Algorithm Analysis

- Big-O Notation
- Model of computation

## Searching

## Peak Finding

- 1-dimension
- 2-dimensions

# Algorithm Analysis

Which takes longer?

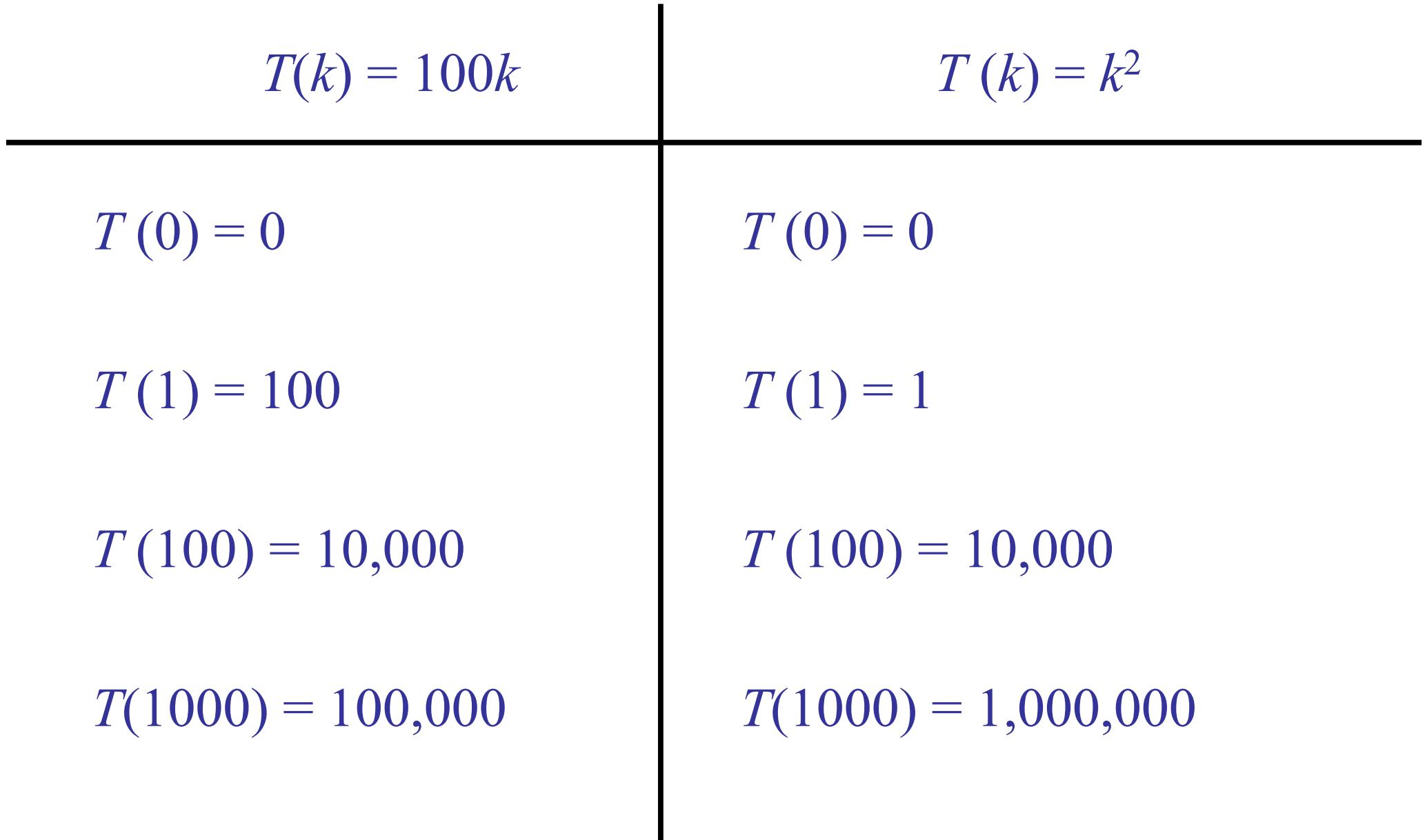
```
void pushAll(int k) {  
    for (int i=0;  
         i<= 100*k;  
         i++)  
    {  
        stack.push(i);  
    }  
}
```

$100k$  push operations

```
void pushAdd(int k) {  
    for (int i=0; i<= k; i++)  
    {  
        for (int j=0; j<= k; j++) {  
            stack.push(i+j);  
        }  
    }  
}
```

$k^2$  push operations

# Which grows faster?



# Always think of big input

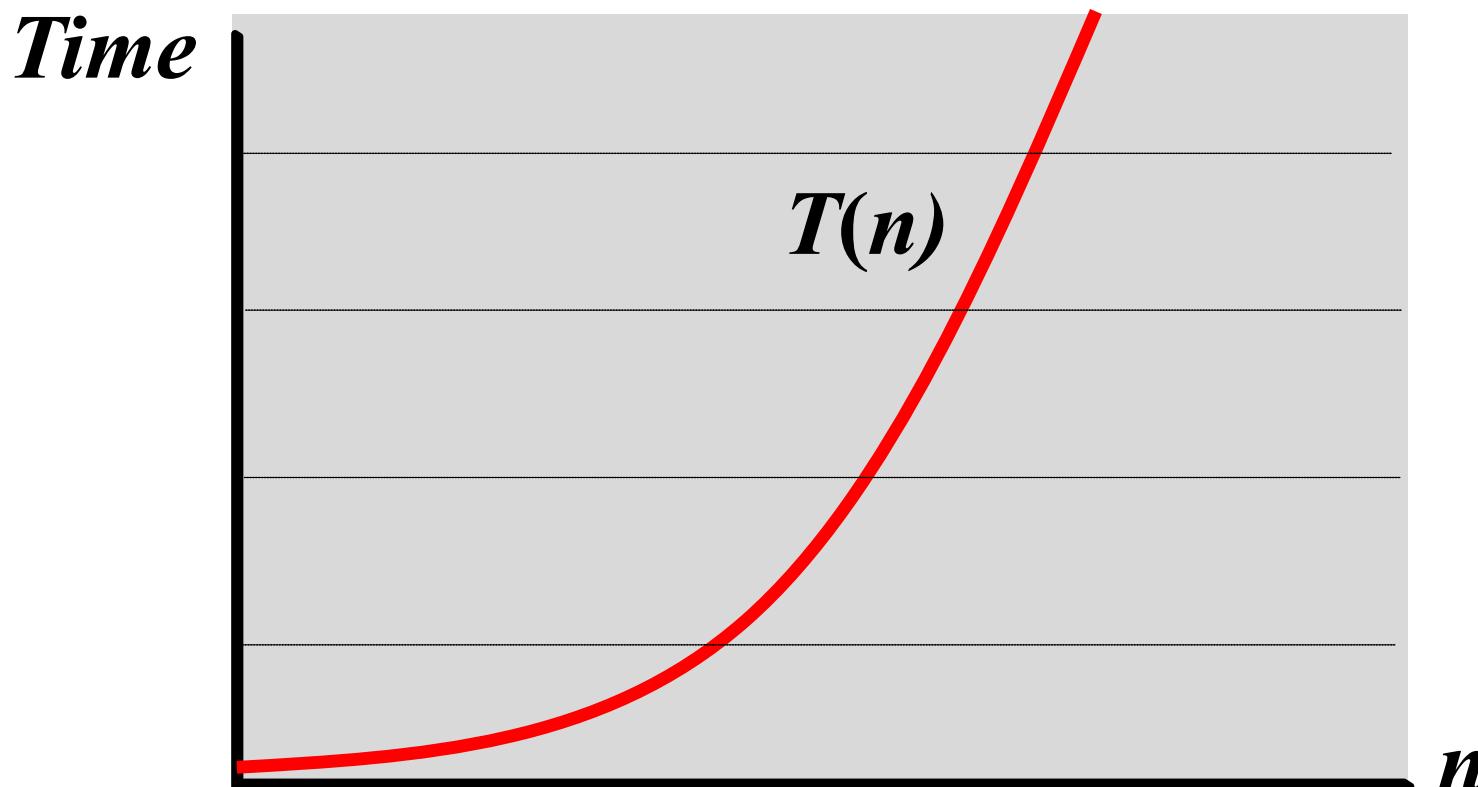


# Big-O Notation

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How does an algorithm scale?

- For large inputs, what is the running time?
- $T(n)$  = running time on inputs of size  $n$



# Big-O Notation

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Definition:  $T(n) = O(f(n))$  if  $T$  grows no faster than  $f$

**$T(n) = O(f(n))$**  if:

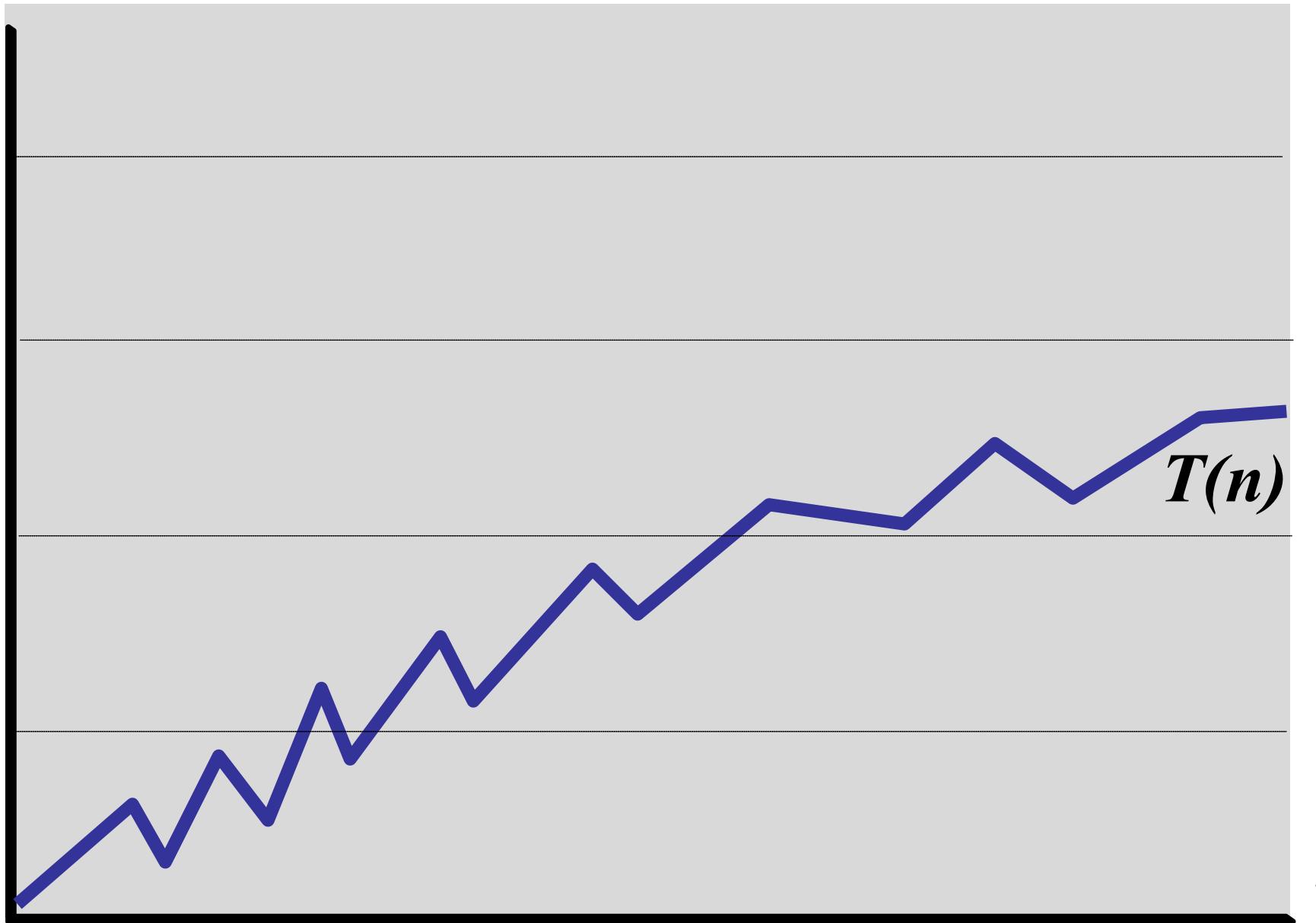
- there exists a constant  $c > 0$
- there exists a constant  $n_0 > 0$

such that for all  $n > n_0$ :

**$T(n) \leq c f(n)$**

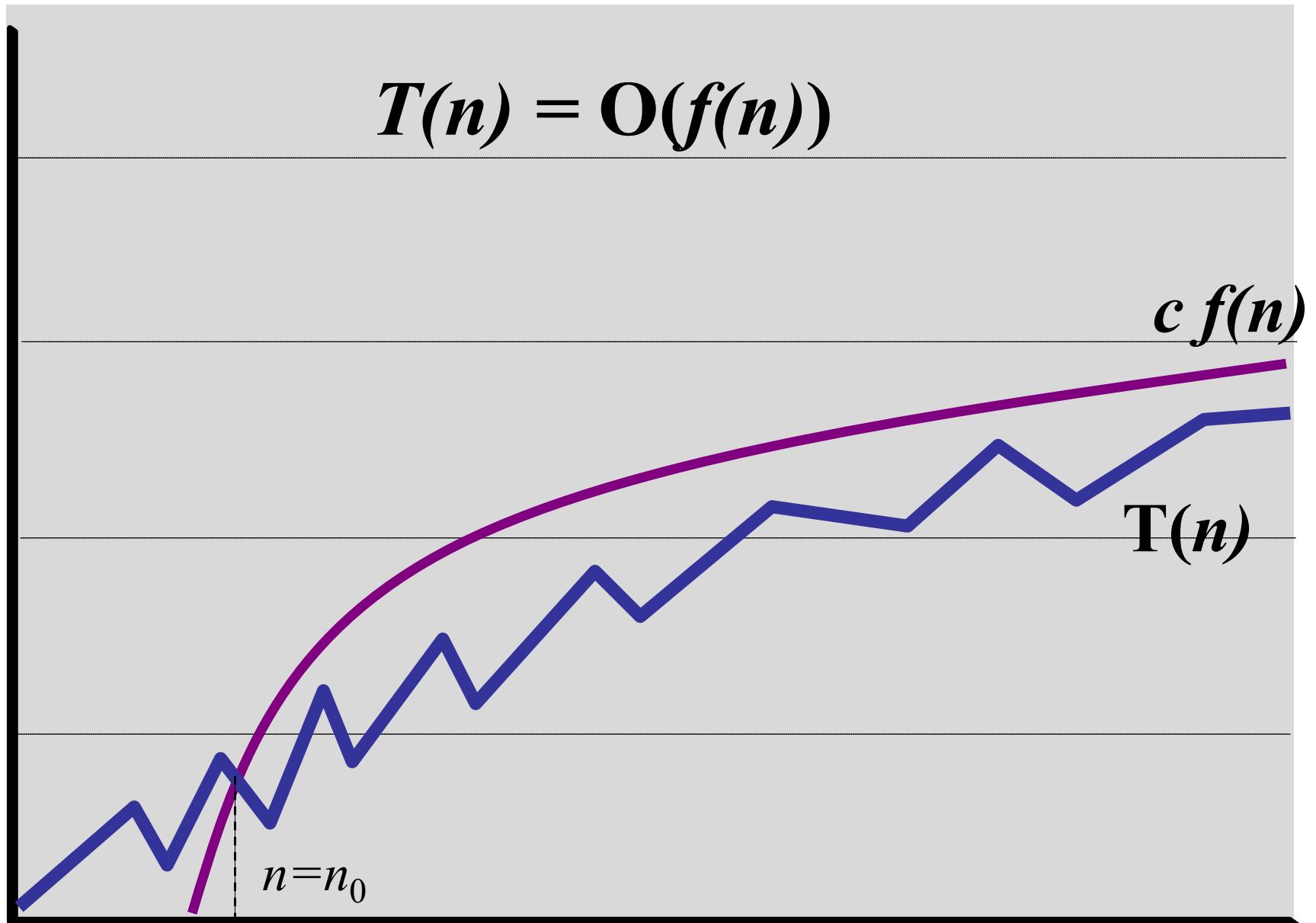
# Big-O Notation

---



# Big-O Notation

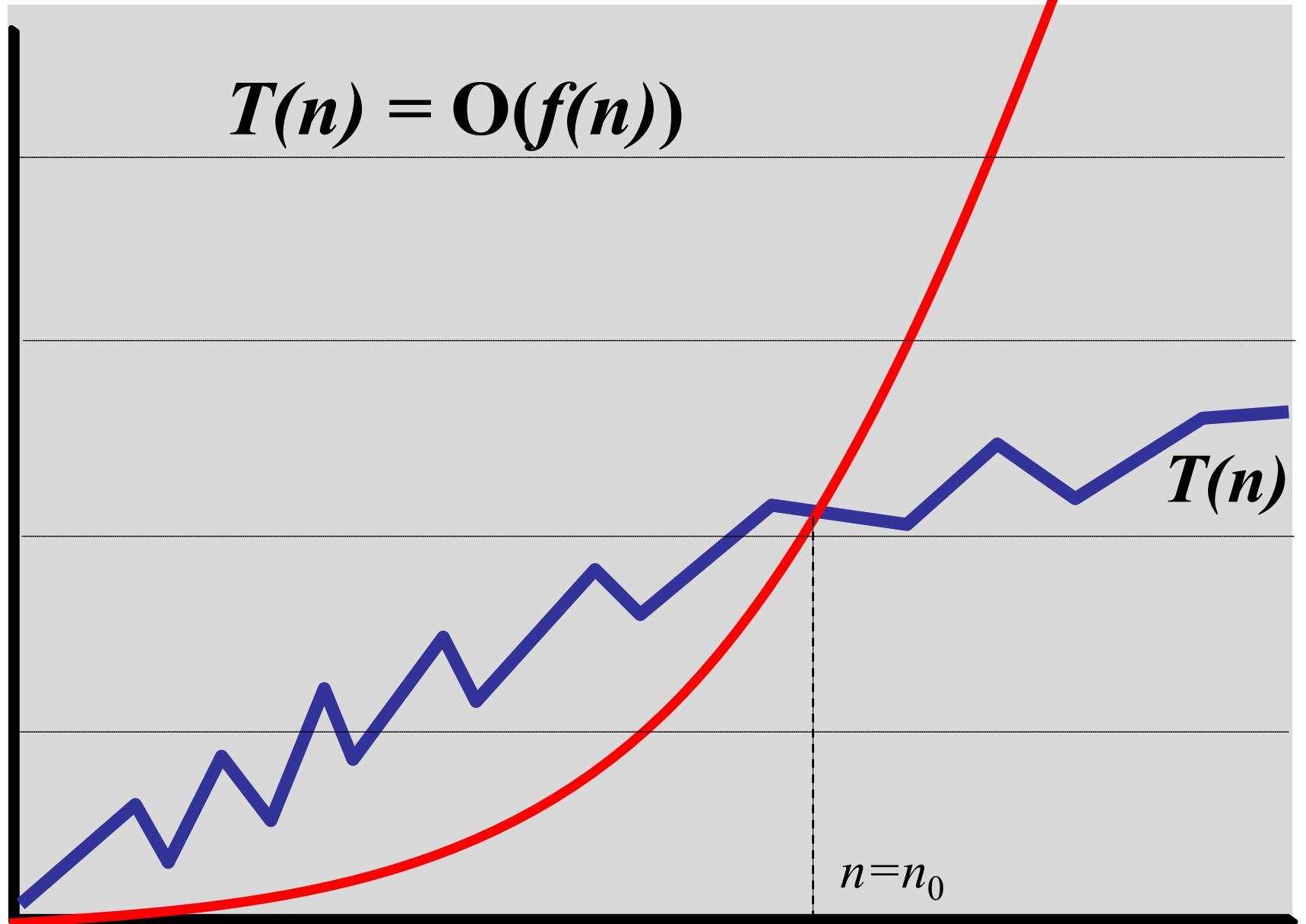
---



$n$

# Big-O Notation

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# Big-O Notation

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Example proof:  $T(n) = O(n^2)$

$$T(n) = 4n^2 + 24n + 16$$

# Example

$T(n)$	$f(n)$	big-O
$T(n) = 1000n$	$f(n) = n$	$T(n) = O(n)$
$T(n) = 1000n$	$f(n) = n^2$	$T(n) = O(n^2)$
$T(n) = n^2$	$f(n) = n$	$T(n) \neq O(n)$
$T(n) = 13n^2 + n$	$f(n) = n^2$	$T(n) = O(n^2)$

Not  
tight

# Big-O Notation

---

Definition:  $T(n) = O(f(n))$  if  $T$  grows no faster than  $f$

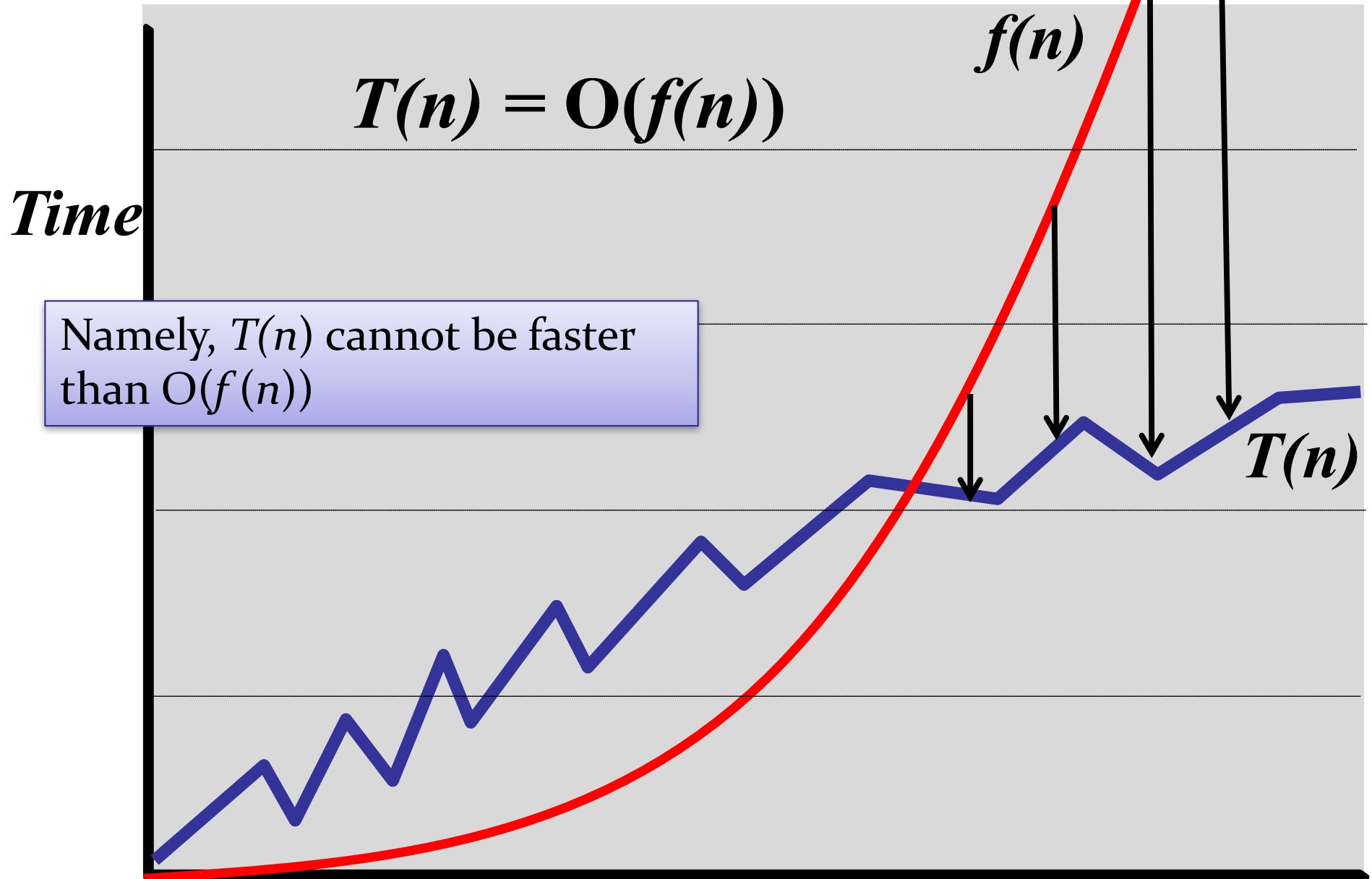
**$T(n) = O(f(n))$**  if:

- there exists a constant  $c > 0$
- there exists a constant  $n_0 > 0$

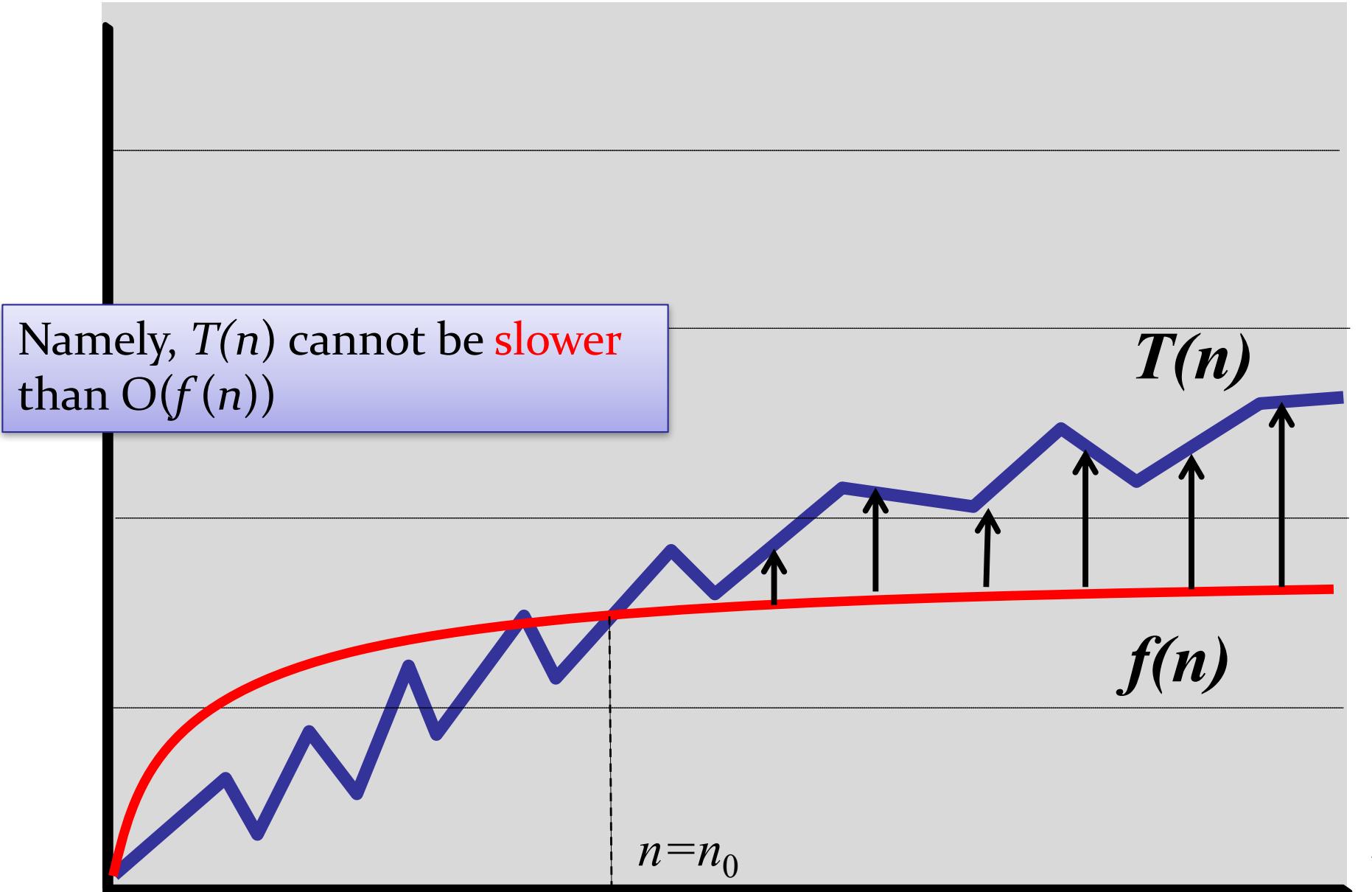
such that for all  $n > n_0$ :

**$T(n) \leq c f(n)$**

# Big-O Notation as Upper Bound



# How about Lower bound?



# Big-O Notation

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Definition:  $T(n) = \Omega(f(n))$  if  $T$  grows no slower than  $f$

**$T(n) = \Omega(f(n))$  if:**

- there exists a constant  $c > 0$
- there exists a constant  $n_0 > 0$

such that for all  $n > n_0$ :

**$T(n) \geq c f(n)$**

# Example

$T(n)$	$f(n)$	big-O
$T(n) = 1000n$	$f(n) = 1$	$T(n) = \Omega(1)$
$T(n) = n$	$f(n) = n$	$T(n) = \Omega(n)$
$T(n) = n^2$	$f(n) = n$	$T(n) = \Omega(n)$
$T(n) = 13n^2 + n$	$f(n) = n^2$	$T(n) = \Omega(n^2)$

# Big-O Notation

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Exercise:

True or false:

$$\text{“}f(n) = O(g(n)) \text{ if and only if } g(n) = \Omega(f(n))\text{”}$$

Prove that your claim is correct using the definitions of  $O$  and  $\Omega$  or by giving an example.

# Big-O Notation

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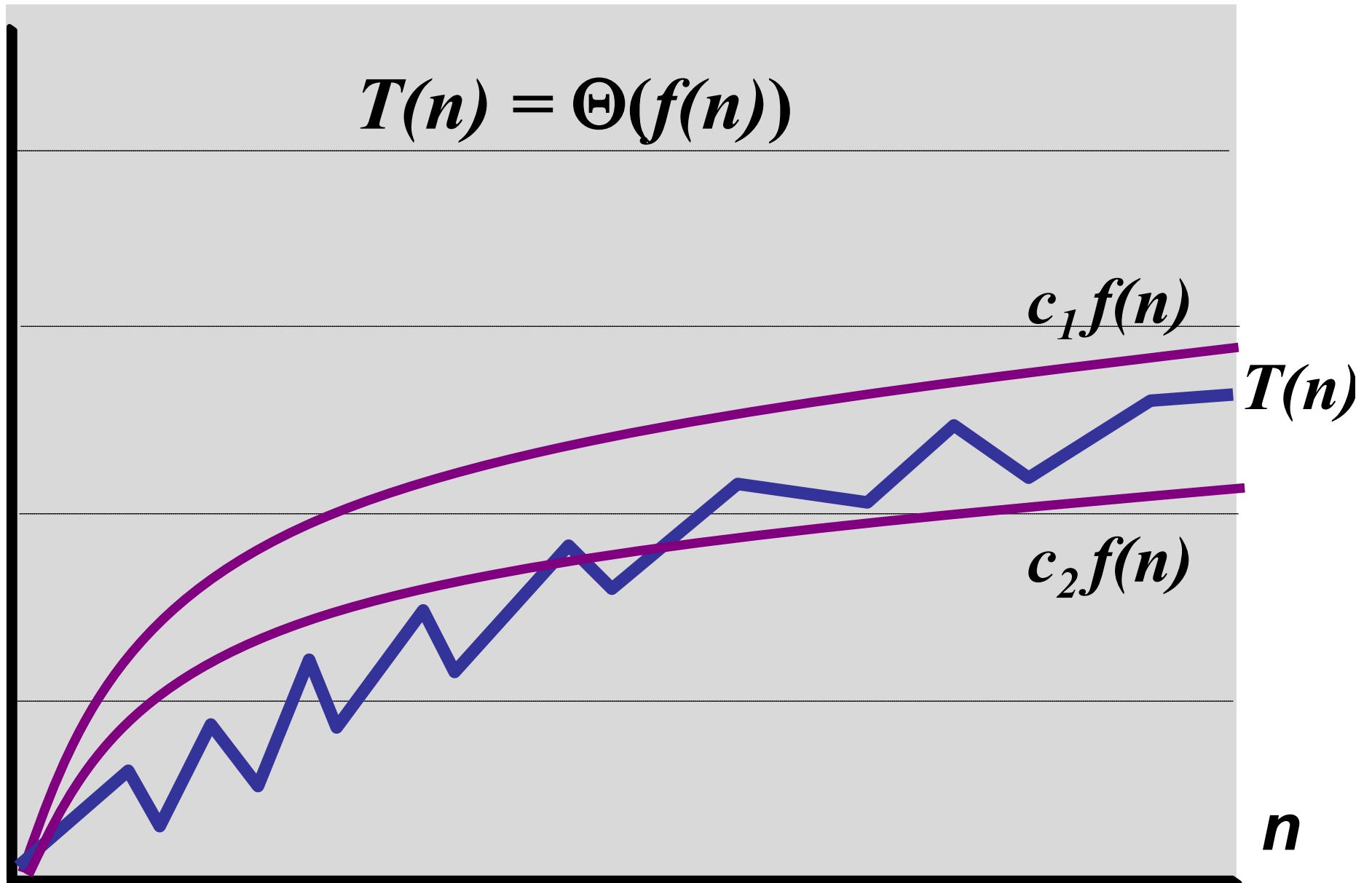
Definition:  $T(n) = \Theta(f(n))$  if  $T$  grows at the same rate as  $f$

**$T(n) = \Theta(f(n))$**  if and only if:

- $T(n) = O(f(n))$  , and
- $T(n) = \Omega(f(n))$

# Big-O Notation

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# Example

$T(n)$	$f(n)$	big-O
$T(n) = 1000n$	$f(n) = n$	$T(n) = \Theta(n)$
$T(n) = n$	$f(n) = 1$	$T(n) \neq \Theta(1)$
$T(n) = 13n^2 + n$	$f(n) = n^2$	$T(n) = \Theta(n^2)$
$T(n) = n^3$	$f(n) = n^2$	$T(n) \neq \Theta(n^2)$

# Big-O Notation

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Rules:

If  $T(n)$  is a polynomial of degree  $k$  then:

$$T(n) = O(n^k)$$

Example:

$$10n^5 + 50n^3 + 10n + 17 = O(n^5)$$

# Big-O Notation

---

Rules:

If  $T(n) = O(f(n))$  and  $S(n) = O(g(n))$  then

$$T(n) + S(n) = O(f(n) + g(n))$$

Example:

$$10n^2 = O(n^2)$$

$$5n = O(n)$$

$$10n^2 + 5n = O(n^2 + n) = O(n^2)$$

# Big-O Notation

---

Rules:

If  $T(n) = O(f(n))$  and  $S(n) = O(g(n))$  then:

$$T(n)*S(n) = O(f(n)*g(n))$$

Example:

$$10n^2 = O(n^2)$$

$$5n = O(n)$$

$$(10n^2)(5n) = 50n^3 = O(n*n^2) = O(n^3)$$

$$n^4 + 3n^2 + n^2 + 17 = ?$$

- A.  $O(1)$
- B.  $O(n)$
- C.  $O(n^2)$
- D.  $O(n^3)$
- E.  $O(n^4)$

$$4n^2\log(n) + 8n + 16 = ?$$

1.  $O(\log n)$
2.  $O(n)$
3.  $O(n \log n)$
4.  $O(n^2 \log n)$
5.  $O(2^n)$

$$2^{2n} + 2^n + 2 =$$

1.  $O(n)$
2.  $O(n^6)$
3.  $O(2^n)$
4.  $O(2^{2n})$
5.  $O(n^n)$

$$\log(n!) =$$

1.  $O(\log n)$
2.  $O(n)$
3.  $O(n \log n)$
4.  $O(n^2)$
5.  $O(2^n)$

$\log(n!) =$

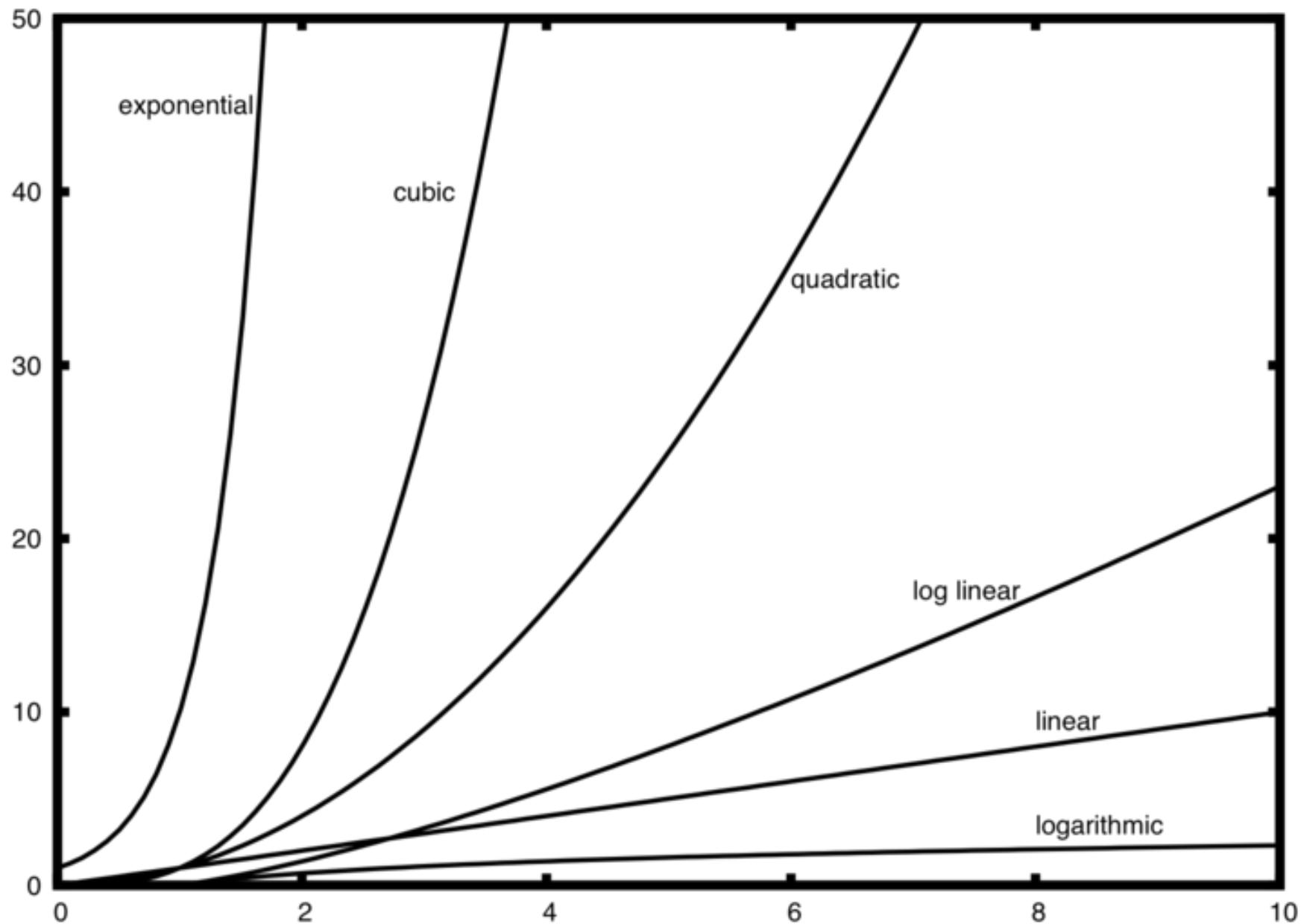
1.  $O(\log n)$
2.  $O(n)$
3.  $O(n \log n)$
4.  $O(n^2)$
5.  $O(2^n)$

Hint: Sterling's Approximation

$$n! \approx \sqrt{2\pi n} \left(\frac{n}{e}\right)^n$$

# In General

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# Model of Computation?

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Different ways to “compute”:

- Sequential (RAM) model of computation
- Parallel (PRAM, BSP, Map-Reduce)
- Circuits
- Turing Machine
- Counter machine
- Word RAM model
- Quantum computation
- Etc.

# Model of Computation

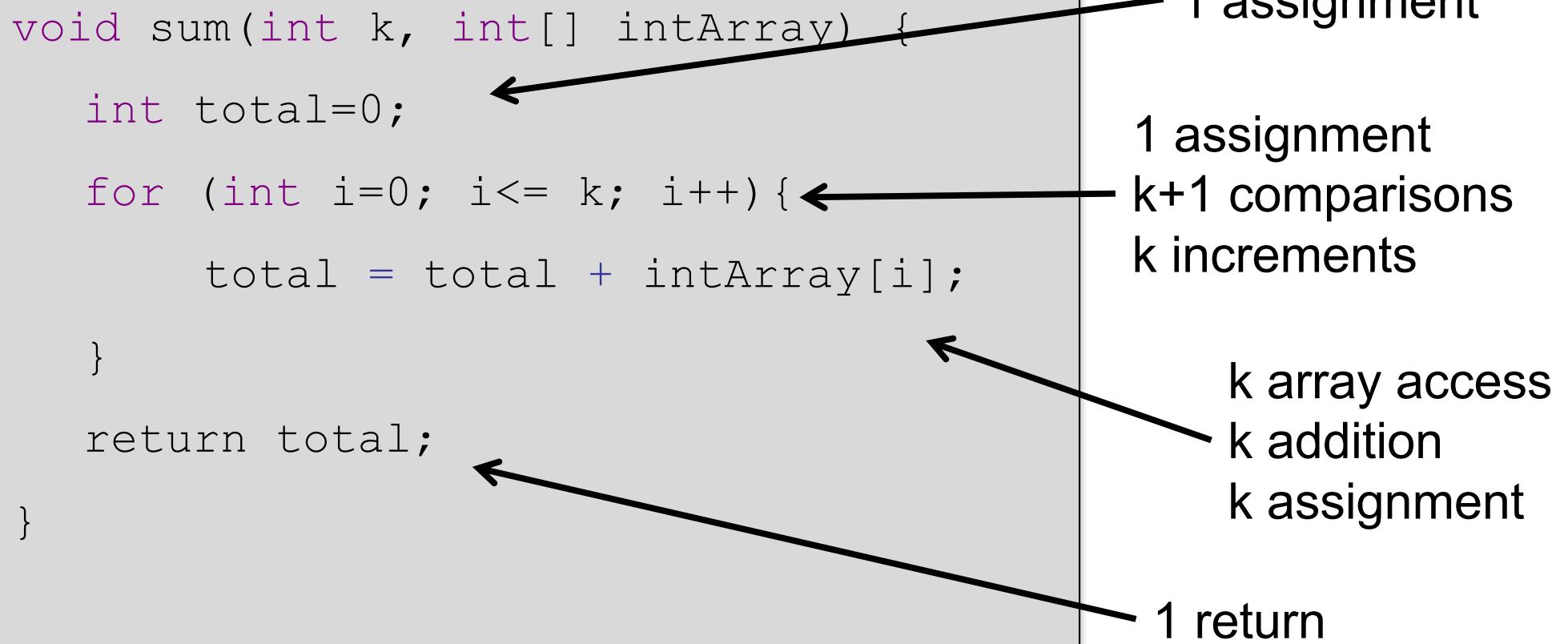
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## Sequential Computer

- One thing at a time
- All operations take constant time
  - Addition, subtraction, multiplication, comparison

# Algorithm Analysis

Example:



$$\text{Total: } 1 + 1 + (k+1) + 3k + 1 = 4k+4 = O(k)$$

# Algorithm Analysis

## Example:

```
void sum(int k, int[] intArray) {  
    int total=0;  
  
    String name="Stephanie";  
  
    for (int i=0; i<= k; i++) {  
        total = total + intArray[i];  
  
        name = name + "?"  
    }  
  
    return total;  
}
```

What is the cost of this operation?

Not 1!  
Not constant!  
Not k!

Moral: all costs are not 1.

# Rules

---

## Loops

**cost = (# iterations)x(max cost of one iteration)**

```
int sum(int k, int[] intArray) {  
    int total=0;  
  
    for (int i=0; i<= k; i++) {  
        total = total + intArray[i];  
    }  
    return total;  
}
```



# Rules

---

## Nested Loops

**cost = (# iterations)(max cost of one iteration)**

```
int sum(int k, int[] intArray) {  
    int total=0;  
    for (int i=0; i<= k; i++) {  
        for (int j=0; j<= k; j++) {  
            total = total + intArray[i];  
        }  
    }  
    return total;
```



# Rules

---

## Sequential statements

cost = (cost of first) + (cost of second)

```
int sum(int k, int[] intArray) {  
    for (int i=0; i<= k; i++)  
        intArray[i] = k;  
    for (int j =0; j<= k; j++)  
        total = total + intArray[i];  
    return total;  
}
```

# Rules

---

if / else statements

cost = max(cost of first, cost of second)

<= (cost of first) + (cost of second)

```
void sum(int k, int[] intArray) {  
    if (k > 100)  
        doExpensiveOperation();  
    else  
        doCheapOperation();  
    return;  
}
```

# Rules

---

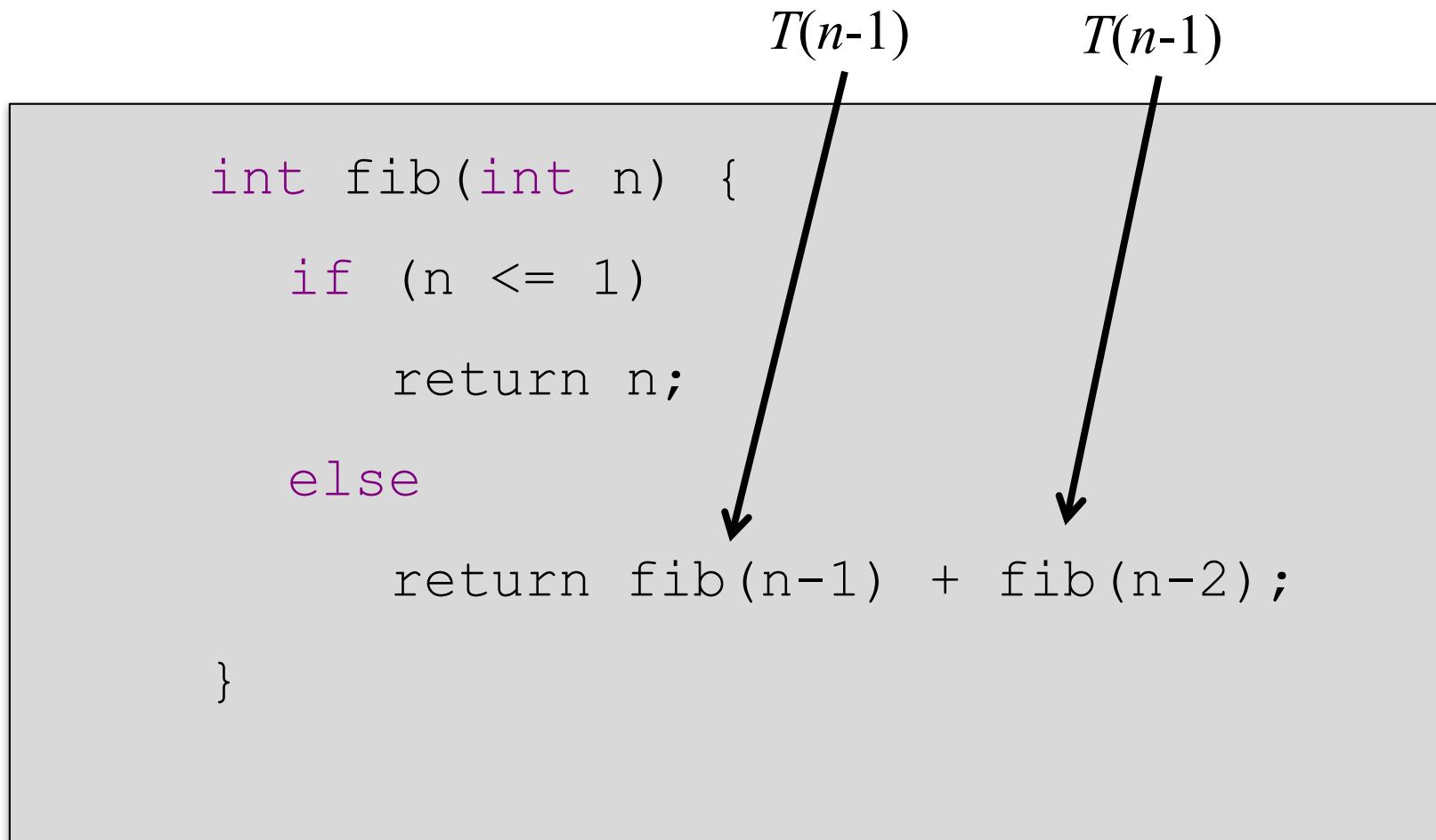
For recursive function calls.....



# Recurrences

---

$$\begin{aligned}T(n) &= 1 + T(n - 1) + T(n - 2) \\&= O(2^n)\end{aligned}$$



# What is the running time?

1.  $O(1)$
2.  $O(n)$
3.  $O(n \log n)$
4.  $O(n^2)$
5.  $O(2^n)$

```
for (int i = 0; i<n; i++)  
    for (int j = 0; j<i; j++)  
        store[i] = i + j;
```

# Today: Divide and Conquer!

---

## Algorithm Analysis

- Big-O Notation
- Model of computation

## Searching

## Peak Finding

- 1-dimension
- 2-dimensions

# Binary Search

---

Sorted array: A [1 . . n]

2	4	4	5	6	7	8	9	11	17	23	28
---	---	---	---	---	---	---	---	----	----	----	----

Search for  $k$  in array A.

# Binary Search

---

Sorted array: A [1 . . n]

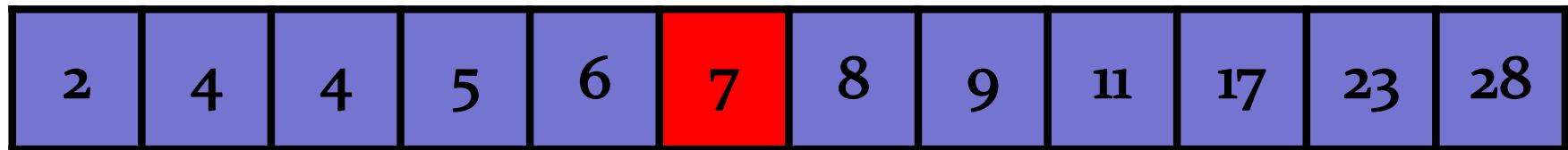
2	4	4	5	6	7	8	9	11	17	23	28
---	---	---	---	---	---	---	---	----	----	----	----

Search for 17 in array A.

# Binary Search

---

Sorted array: A [1 .. n]



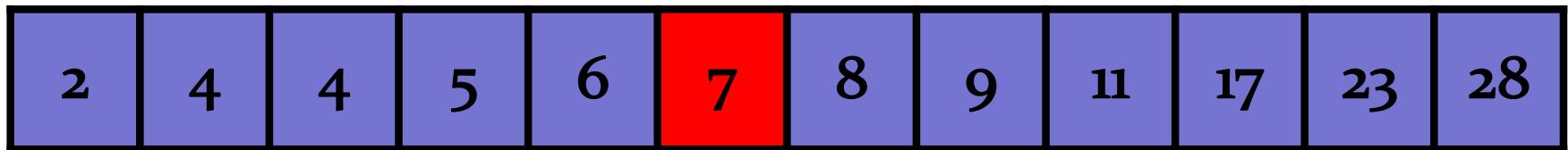
Search for 17 in array A.

- Find middle element: 7

# Binary Search

---

Sorted array: A [1 . . n]



Search for 17 in array A.

- Find middle element: 7
- Compare 17 to middle element:  $17 > 7$

# Binary Search

---

Sorted array: A [1 . . n]

2	4	4	5	6	7	8	9	11	17	23	28
---	---	---	---	---	---	---	---	----	----	----	----

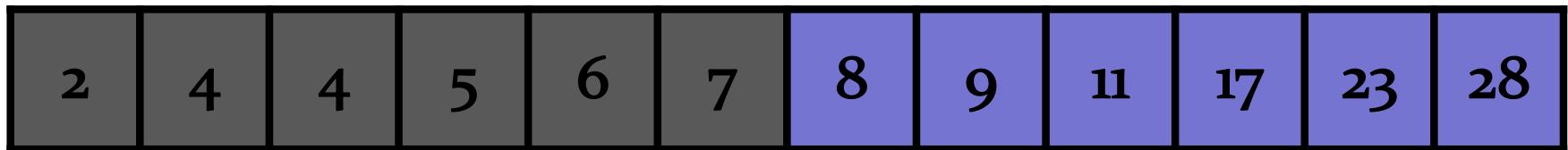
Search for 17 in array A.

- Find middle element: 7
- Compare 17 to middle element:  $17 > 7$

# Binary Search

---

Sorted array: A [1 . . n]



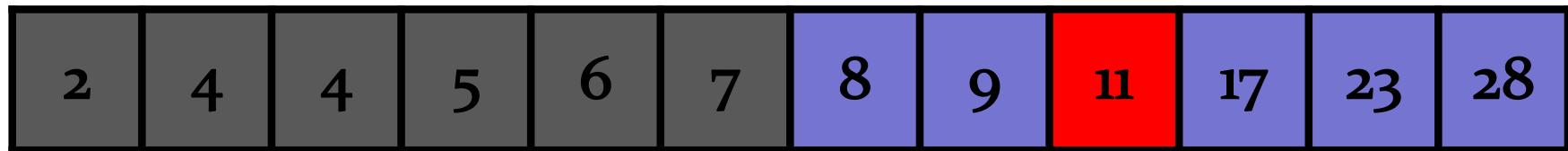
Search for 17 in array A.

- Find middle element: 7
- Compare 17 to middle element:  $17 > 7$
- Recurse on right half

# Binary Search

---

Sorted array: A [1 .. n]



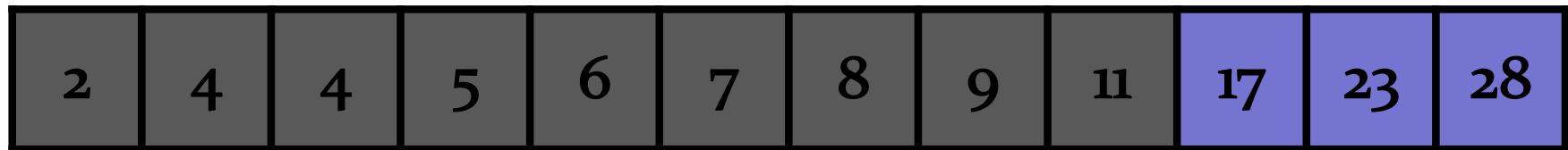
Search for 17 in array A.

- Find middle element
- Compare 17 to middle element
- Recurse

# Binary Search

---

Sorted array: A [1 . . n]



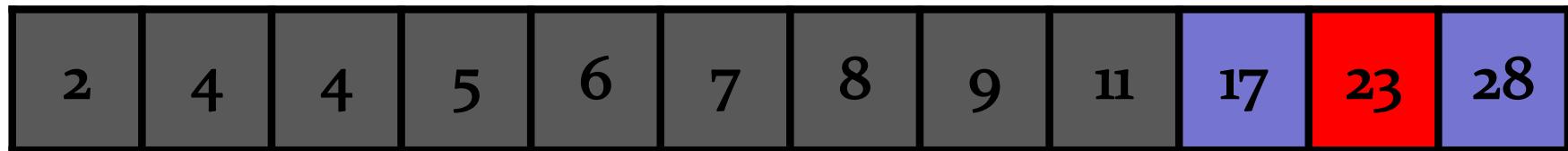
Search for 17 in array A.

- Find middle element
- Compare 17 to middle element
- Recurse

# Binary Search

---

Sorted array: A [1 . . n]



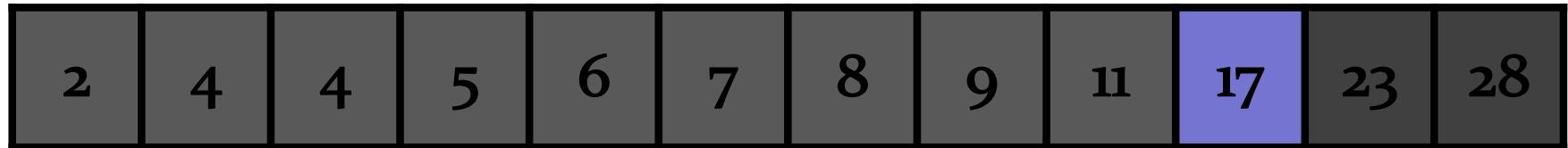
Search for 17 in array A.

- Find middle element
- Compare 17 to middle element
- Recurse

# Binary Search

---

Sorted array: A [1 . . n]



Search for 17 in array A.

- Find middle element
- Compare 17 to middle element
- Recurse

# Binary Search

---

Sorted array: A [1 . . n]



Search for 17 in array A.

- Find middle element
- Compare 17 to middle element
- Recurse

# Problem Solving: Reduce the Problem

---

Sorted array:  $A[1 \dots n]$

2	4	4	5	6	7	8	9	11	17	23	28
---	---	---	---	---	---	---	---	----	----	----	----

Reduce-and-Conquer:

- Start with  $n$  elements to search.
- Eliminate half of them.
- End with  $n/2$  elements to search.
- Repeat.

# programming pearls

By Jon Bentley

## WRITING CORRECT PROGRAMS

### The Challenge of Binary Search

Even with the best of designs, every now and then a programmer has to write subtle code. This column is about one problem that requires particularly careful code: binary search.

After defining the problem and sketching an algorithm to solve it, we'll use principles of program verification in several stages as we develop the program.



Jon Bentley

Most programmers think that with the above description in hand, writing the code is easy; they're wrong. The only way you'll believe this is by putting down this column right now, and writing the code yourself. Try it.

# programming pearls

By Jon Bentley

I've given this problem as an in-class assignment in courses at Bell Labs and IBM. The professional programmers had one hour (sometimes more) to convert the above description into a program in the language of their choice; a high-level pseudo-code was fine. At the end of the specified time, almost all the programmers reported that they had correct code for the task. We would then take 30 minutes to examine their code, which the programmers did with test cases. In many different classes and with over a hundred programmers, the results varied little: 90 percent of the programmers found bugs in their code (and I wasn't always convinced of the correctness of the code in which no bugs were found).

I found this amazing: only about 10 percent of professional programmers were able to get this small program right. But they aren't the only ones to find this task difficult. In the history in Section 6.2.1 of his *Sorting and Searching*, Knuth points out that while the first binary search was published in 1946, the first published binary search without bugs did not appear until 1962.



Jon Bentley

# Binary Search (buggy)

---

Sorted array: A [1 .. n]

2	4	4	5	6	7	8	9	11	17	23	28
---	---	---	---	---	---	---	---	----	----	----	----

Search (A, key, n)

```
begin = 0
end = n-1
while begin != end do:
    if key < A[ (begin+end) /2] then
        end = (begin+end) /2 - 1
    else begin = (begin+end) /2
return A[begin]
```

# Binary Search (buggy)

Sorted array:  $A[1..n]$

2	4	4	5	6	7	8	9	11	17	23	28
---	---	---	---	---	---	---	---	----	----	----	----

Search( $A$ ,  $key$ ,  $n$ )

May not terminate!

begin = 0

end = n

**while** begin != end **do:**

**if** key <  $A[(begin+end)/2]$  **then**

        end =  $(begin+end)/2 - 1$

**else** begin =  $(begin+end)/2$

**return**  $A[begin]$

Round down?

Reduce end < begin?

# Binary Search (buggy)

Sorted array: A [1 .. n]

2	4	4	5	6	7	8	9	11	17	23	28
---	---	---	---	---	---	---	---	----	----	----	----

Search (A, key, n)

begin = 0

end = n

**while** begin != end **do:**

**if** key < A[ (begin+end) /2 ] **then**

        end = (begin+end) /2 - 1

**else** begin = (begin+end) /2

**return** A[begin] ← A[begin] == key?

# Binary Search (buggy)

Sorted array: A [1 .. n]

2	4	4	5	6	7	8	9	11	17	23	28
---	---	---	---	---	---	---	---	----	----	----	----

Search (A, key, n)

begin = 0

end = n  **array out of bounds**

**while** begin != end **do**:

**if** key < A[ (begin+end) /2 ] **then**

        end = (begin+end) /2 - 1

**else** begin = (begin+end) /2

**return** A[begin]

# Binary Search

---

## Specification:

- Returns element if it is in the array.
- Returns “null” if it is not in the array.

## Alternate Specification:

- Returns index if it is in the array.
- Returns -1 if it is not in the array.

# Binary Search

---

Sorted array: A [1 .. n]

2	4	4	5	6	7	8	9	11	17	23	28
---	---	---	---	---	---	---	---	----	----	----	----

Search (A, key, n)

```
begin = 0
end = n-1
while begin < end do:
    if key <= A[ (begin+end) /2 ] then
        end = (begin+end) /2
    else begin = 1+ (begin+end) /2
return A[begin]
```

# Binary Search

Sorted array: A [1 .. n]

2	4	4	5	6	7	8	9	11	17	23	28
---	---	---	---	---	---	---	---	----	----	----	----

Search (A, key, n)

begin = 0

end = n-1

**while** begin < end ~~do:~~

**if** key <= A[ (begin+end) /2 ] **then**

    end = (begin+end) /2

**else** begin = 1+ (begin+end) /2

**return** A[begin]

less-than-or-equal

# Binary Search

Sorted array: A [1 .. n]

2	4	4	5	6	7	8	9	11	17	23	28
---	---	---	---	---	---	---	---	----	----	----	----

Search (A, key, n)

begin = 0

end = n-1

**while** begin < end **do**:

**if** key <= A[ (begin+end) /2 ] **then**

        end = (begin+end) /2

**else** begin = 1+ (begin+end) /2

**return** A[begin]

strictly greater than

# Binary Search

Sorted array:  $A[1..n]$

2	4	4	5	6	7	8	9	11	17	23	28
---	---	---	---	---	---	---	---	----	----	----	----

Search ( $A$ ,  $key$ ,  $n$ )

begin = 0

end =  $n-1$

**while** begin < end **do**:

**if** key <=  $A[(begin+end)/2]$  **then**

        end =  $(begin+end)/2$

**else** begin =  $1 + (begin+end)/2$

**return**  $A[begin]$

Array of out  
bounds?

# Binary Search

Sorted array:  $A[1..n]$

2	4	4	5	6	7	8	9	11	17	23	28
---	---	---	---	---	---	---	---	----	----	----	----

**Search** ( $A$ ,  $key$ ,  $n$ )

begin = 0

end =  $n-1$

**while** begin < end **do**:

**if** key <=  $A[(begin+end)/2]$  **then**

        end =  $(begin+end)/2$

**else** begin =  $1 + (begin+end)/2$

**return**  $A[begin]$

Array of out  
bounds?  
No: division  
rounds down.

# Binary Search

---

Sorted array: A [1 .. n]

2	4	4	5	6	7	8	9	11	17	23	28
---	---	---	---	---	---	---	---	----	----	----	----

Search (A, key, n)

begin = 0

end = n-1

**while** begin < end **do**:

**if** key <= A[ (begin+end) /2 ] **then**

        end = (begin+end) /2

**else** begin = 1+ (begin+end) /2

**return** A[begin]

Oops, still has a  
bug...

# Binary Search

Sorted array: A [1 .. n]

2	4	4	5	6	7	8	9	11	17	23	28
---	---	---	---	---	---	---	---	----	----	----	----

Search (A, key, n)

begin = 0

end = n-1

**while** begin < end **do**:

**if** key <= A[ (begin+end) /2 ] **then**

        end = (begin+end) /2

**else** begin = 1+ (begin+end) /2

**return** A[begin]

What if **begin > MAX\_INT/2**?

# Binary Search

Sorted array: A [1 .. n]

2	4	4	5	6	7	8	9	11	17	23	28
---	---	---	---	---	---	---	---	----	----	----	----

Search (A, key, n)

begin = 0

end = n-1

**while** begin < end **do**:

**if** key <= A[ (begin+end) /2 ] **then**

        end = (begin+end) /2

**else** begin = 1+ (begin+end) /2

**return** A[begin]

What if begin > MAX\_INT/2?

Overflow error: begin+end > MAX\_INT

# Binary Search

---

Sorted array: A [1 .. n]

2	4	4	5	6	7	8	9	11	17	23	28
---	---	---	---	---	---	---	---	----	----	----	----

```
Search(A, key, n)
    begin = 0
    end = n-1
    while begin < end do:
        mid = begin + (end-begin)/2;
        if key <= A[mid] then
            end = mid
        else begin = mid+1
    return A[begin]
```

# Precondition and Postcondition

---

Precondition:

- Fact that is true when the loop/method begins.

Postcondition:

- Fact that is true when the loop/method ends.

# Loop Invariants

---

Invariant:

- relationship between variables that is always true.

Loop Invariant:

- relationship between variables that is true at the beginning (or end) of each iteration of a loop.

# Binary Search

---

Sorted array: A [1 .. n]

2	4	4	5	6	7	8	9	11	17	23	28
---	---	---	---	---	---	---	---	----	----	----	----

Search (A, key, n)

begin = 0

end = n

**while** begin < end - 1    **do:**

**if** key < A[ (begin+end) / 2 ] **then**

        end = (begin+end) / 2

**else** begin = (begin+end) / 2

**return** A[begin]

# Binary Search

---

Functionality:

- If element is in the array, return it.

Preconditions:

- Array is of size n
- Array is sorted

Postcondition:

- $A[\text{begin}] = \text{key}$

# Binary Search

---

## Functionality:

- If element is in the array, return it.

## Preconditions:

- Array is of size n
- Array is sorted

Should we do input validation to make sure array is sorted??

## Postcondition:

- $A[\text{begin}] = \text{key}$

# Binary Search

---

## Functionality:

- If element is in the array, return it.

## Preconditions:

- Array is of size n
- Array is sorted

Should we do input validation to make sure array is sorted??  
NO: too slow!

## Postcondition:

- $A[\text{begin}] = \text{key}$

# Binary Search

---

Sorted array: A[1..n]

2	4	4	5	6	7	8	9	11	17	23	28
---	---	---	---	---	---	---	---	----	----	----	----

```
Search(A, key, n)
    begin = 0
    end = n-1
    while begin < end do:
        mid = begin + (end-begin)/2;
        if key <= A[mid] then
            end = mid
        else begin = mid+1
    return A[begin]
```

# Binary Search

---

Loop invariant:

- $A[\text{begin}] \leq \text{key} \leq A[\text{end}]$

Interpretation:

- The key is in the range of the array

Error checking:

```
if ((A[begin] > key) or (A[end] < key))  
    System.out.println("error");
```

# Binary Search

---

Sorted array: A[1..n]

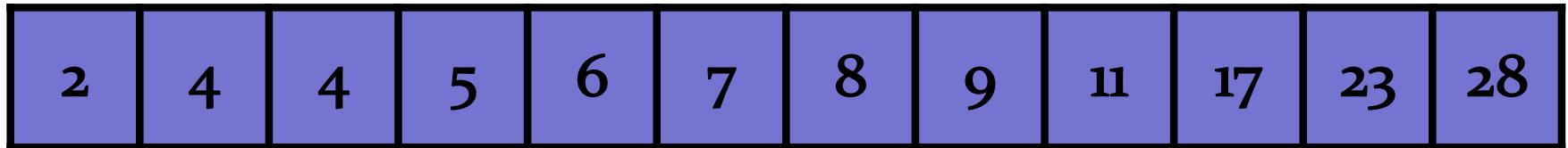
2	4	4	5	6	7	8	9	11	17	23	28
---	---	---	---	---	---	---	---	----	----	----	----

```
Search(A, key, n)
    begin = 0
    end = n-1
    while begin < end do:
        mid = begin + (end-begin)/2;
        if key <= A[mid] then
            end = mid
        else begin = mid+1
    return A[begin]
```

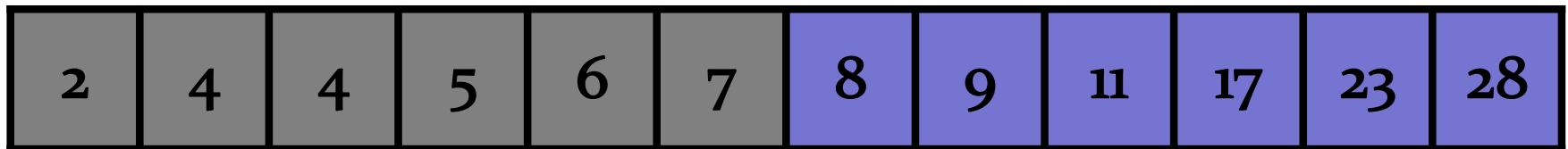
# Binary Search

---

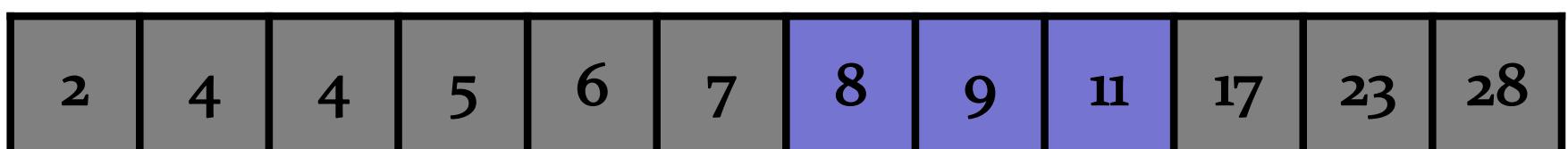
n



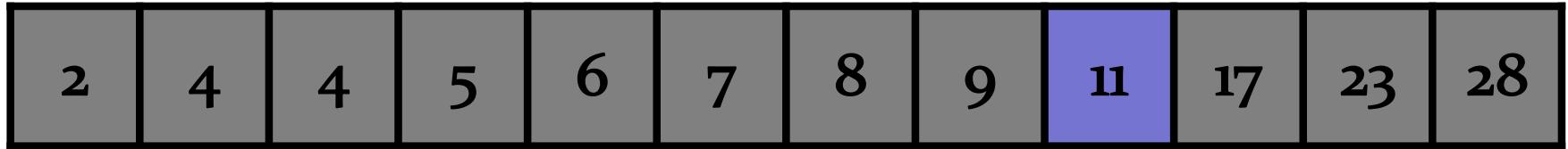
n/2



n/4



n/8



# Binary Search

Sorted array:  $A[1..n]$

2	4	4	5	6	7	8	9	11	17	23	28
---	---	---	---	---	---	---	---	----	----	----	----

Iteration 1:  $(\text{end} - \text{begin}) = n$

Iteration 2:  $(\text{end} - \text{begin}) = n/2$

Iteration 3:  $(\text{end} - \text{begin}) = n/4$

...

Iteration  $k$ :  $(\text{end} - \text{begin}) = n/2^k$

Another invariant!

$$n/2^k = 1 \rightarrow k = \log(n)$$

# Key Invariants:

---

## Correctness:

- $A[\text{begin}] \leq \text{key} \leq A[\text{end}]$

## Performance:

- $(\text{end}-\text{begin}) \leq n/2^k$  in iteration  $k$ .

# Binary Search

---

Sorted array: A[1..n]

2	4	4	5	6	7	8	9	11	17	23	28
---	---	---	---	---	---	---	---	----	----	----	----

Not just for searching arrays:

- Assume a complicated function:

int complicatedFunction(int s)

- Assume the function is always increasing:

complicatedFunction(i) < complicatedFunction(i+1)

- Find the minimum value j such that:

complicatedFunction(j) > 100

# Today: Divide and Conquer!

---

## Algorithm Analysis

- Big-O Notation
- Model of computation

## Searching

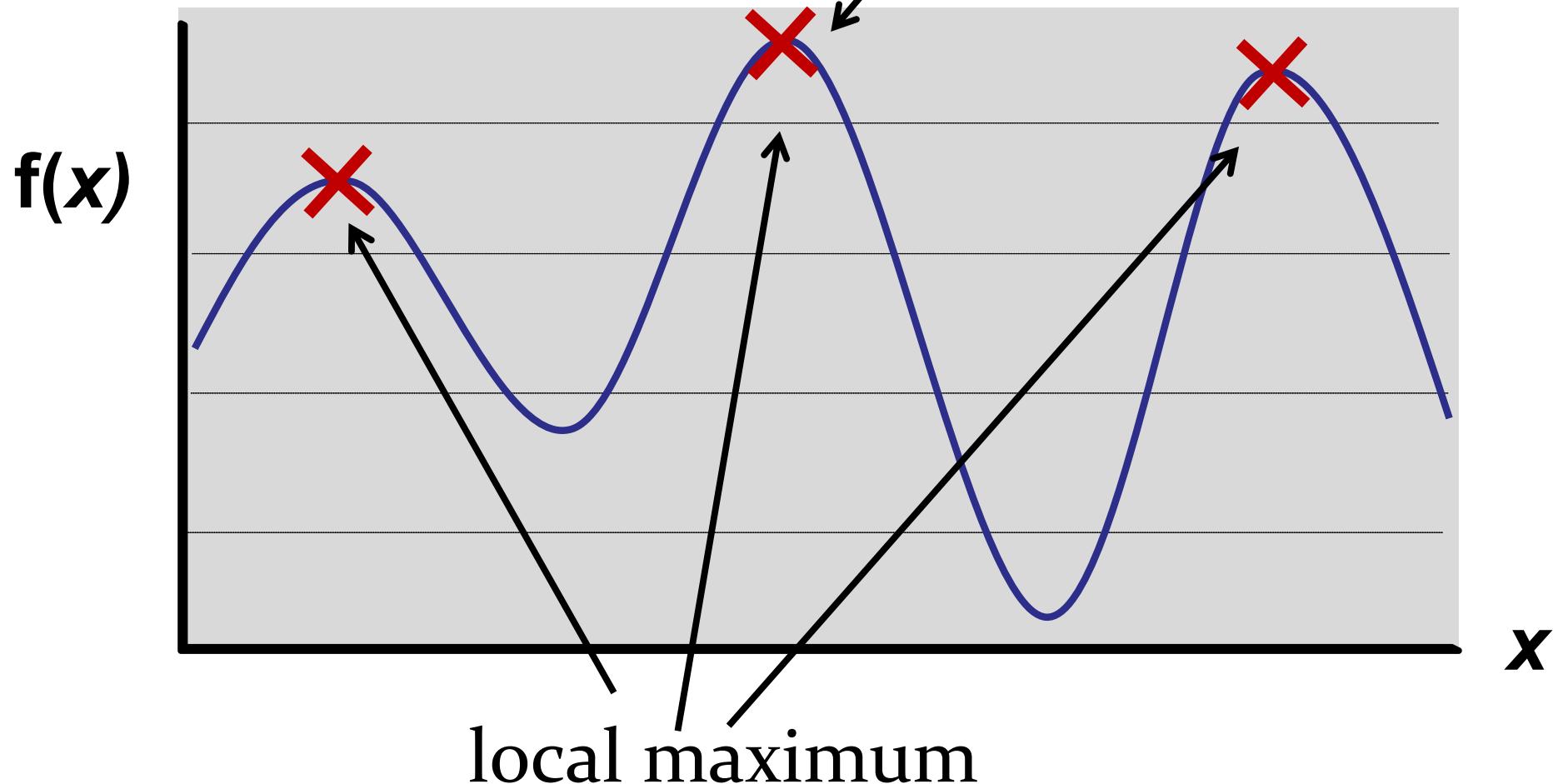
## Peak Finding

- 1-dimension
- 2-dimensions

# Peak Finding

---

Input: Some function  $f(x)$



# Peak Finding

---

Global Maximum for Optimization problems:

- Find a good solution to a problem.
- Find a design that uses less energy.
- Find a way to make more money.
- Find a good scenic viewpoint.
- Etc.

Why local maximum?

- Finds a *good enough* solution.
- Local maxima are close to the global maximum?
- Much, much faster.

# Global Maximum

---

Input: Array  $A[1..n]$

Output: *global* maximum element in  $A$

# How long to find a global maximum?

Input: Array  $A[1..n]$

Output: maximum element in  $A$

1.  $O(\log n)$
2.  $O(n)$
3.  $O(n \log n)$
4.  $O(n^2)$
5.  $O(2^n)$

# Global Maximum

---

Unsorted array: A [1 .. n]

7	4	9	2	11	6	23	4	28	8	17	5
---	---	---	---	----	---	----	---	----	---	----	---

FindMax (A, n)

```
max = A[1]  
for i = 1 to n do:  
    if (A[i] > max) then max=A[i]
```

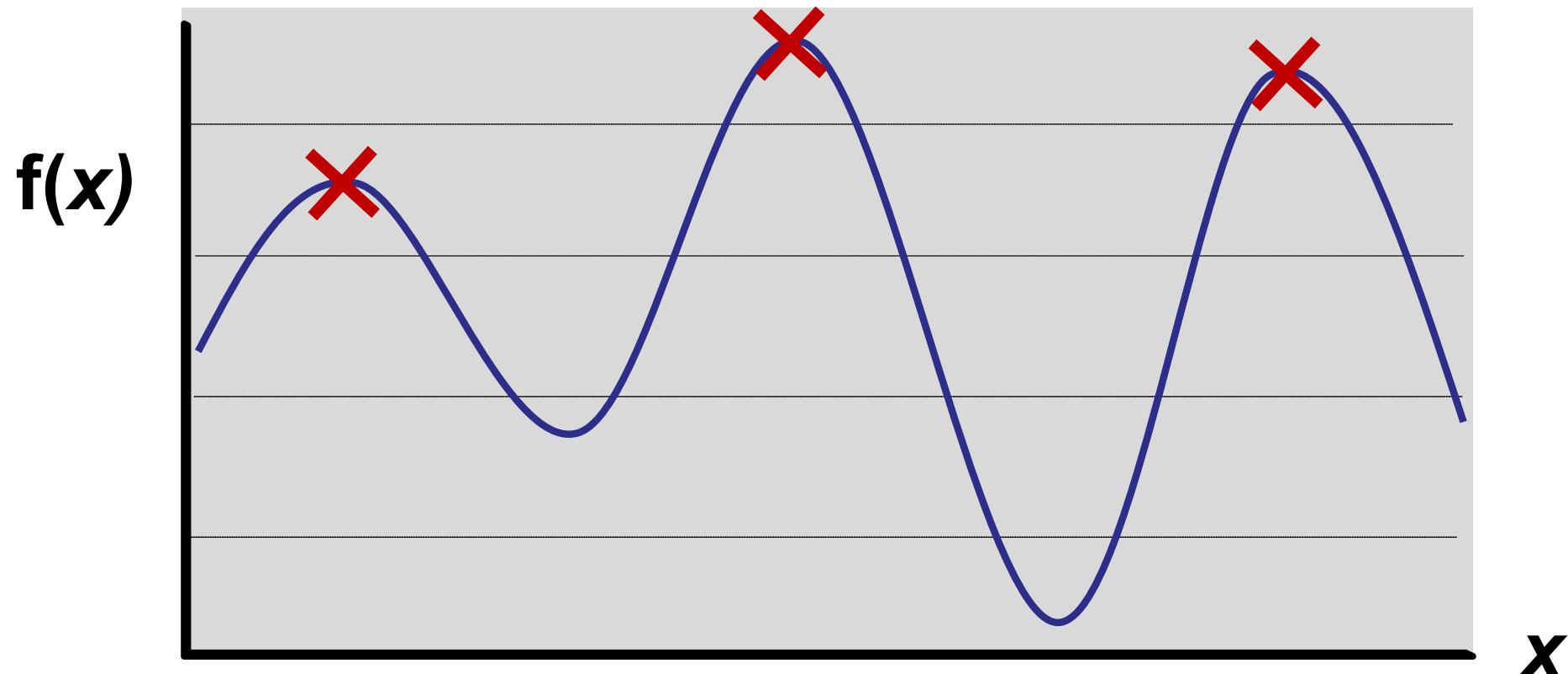
Time Complexity:  $O(n)$

Too slow!

# Peak (Local Maximum) Finding

---

Input: Some function  $f(x)$

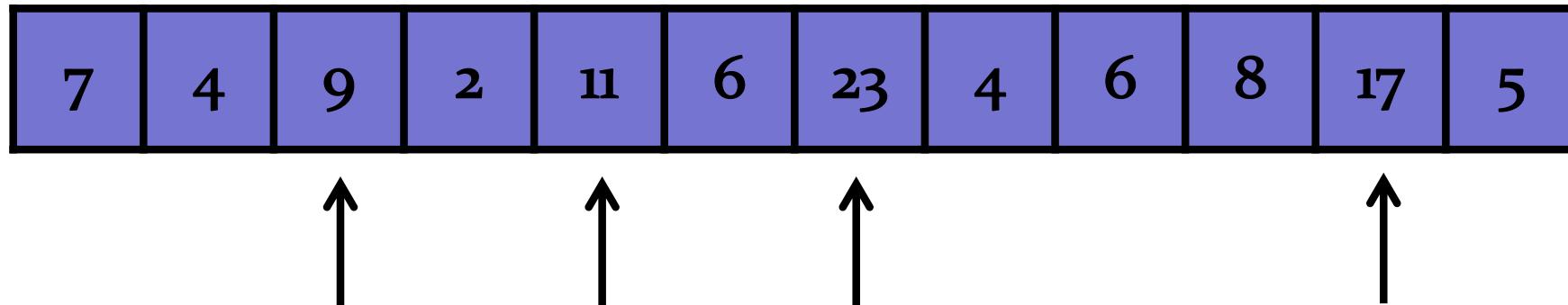


Output: A **local** maximum

# Peak Finding

---

Input: Some ~~function~~ array  $A[1..n]$



Output: a local maximum in  $A$

$$A[i-1] \leq A[i] \text{ and } A[i+1] \leq A[i]$$

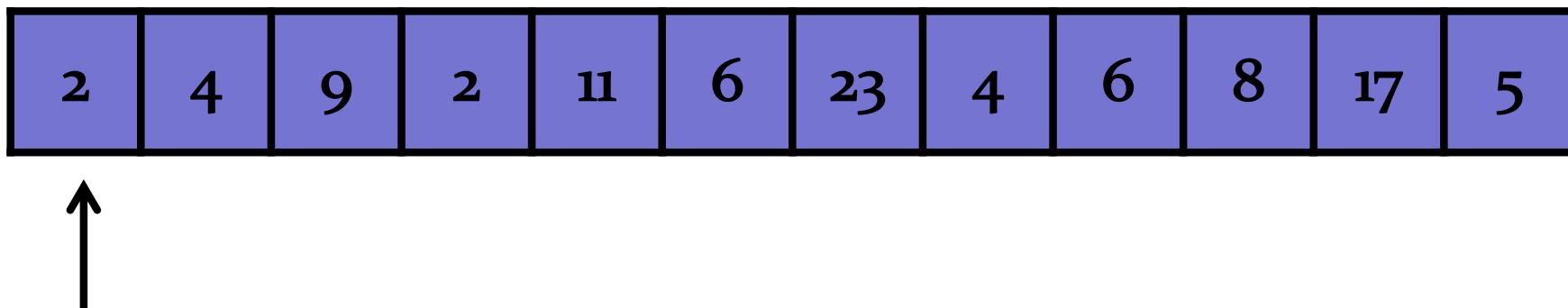
Assume that

$$A[0] = A[n] = -\infty$$

# Peak Finding: Algorithm 1

---

Input: Some array  $A[1..n]$



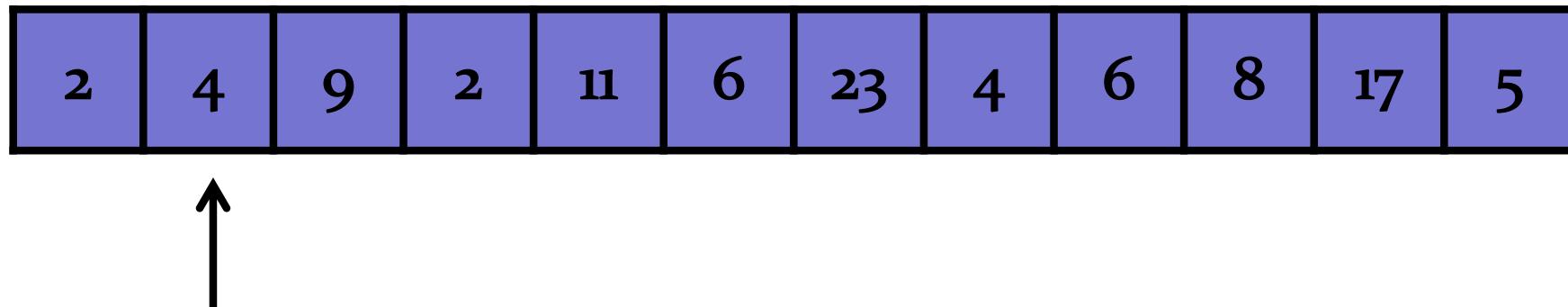
FindPeak

- Start from  $A[1]$
- Examine every element
- Stop when you find a peak.

# Peak Finding: Algorithm 1

---

Input: Some array  $A[1..n]$



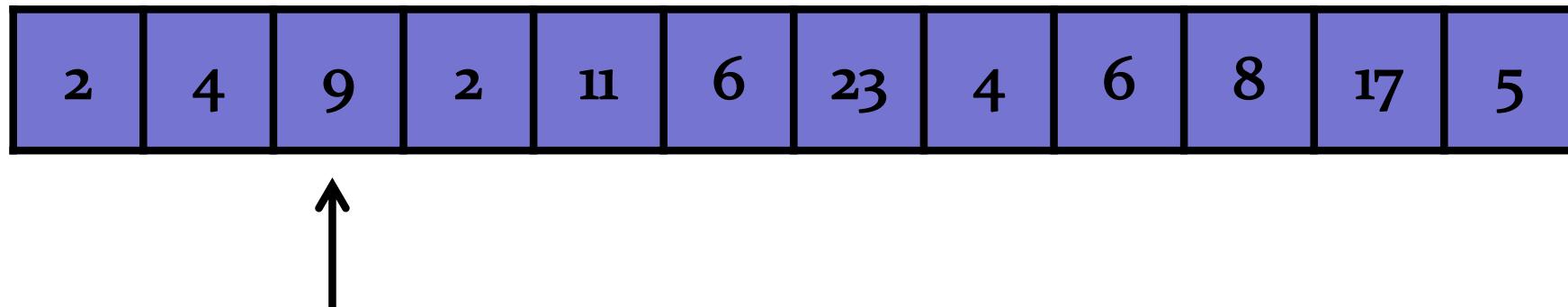
FindPeak

- Start from  $A[1]$
- Examine every element
- Stop when you find a peak.

# Peak Finding: Algorithm 1

---

Input: Some array  $A[1..n]$



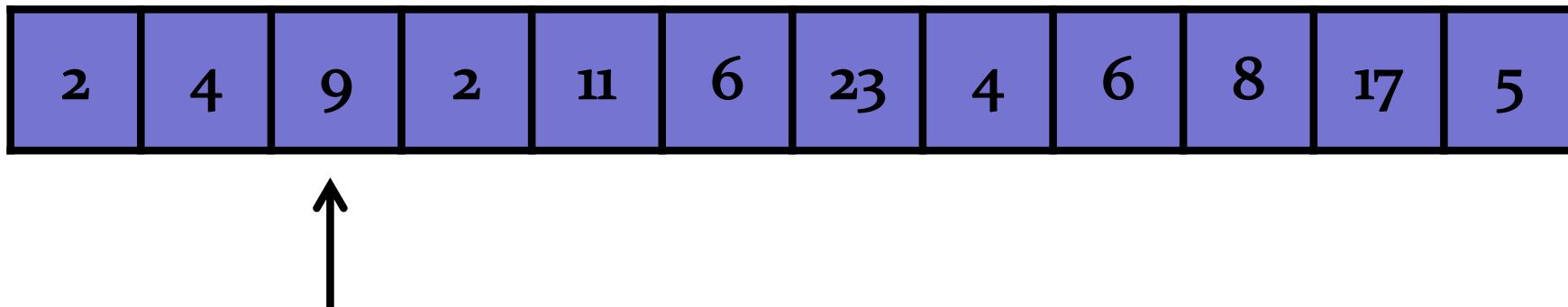
FindPeak

- Start from  $A[1]$
- Examine every element
- Stop when you find a peak.

# Peak Finding: Algorithm 1

---

Input: Some array  $A[1..n]$



Running time:  $n$

Simple improvement?

# Peak Finding: Algorithm 1

---

Input: Some array  $A[1..n]$

2	2	3	4	5	6	9	11	13	15	17	25
---	---	---	---	---	---	---	----	----	----	----	----



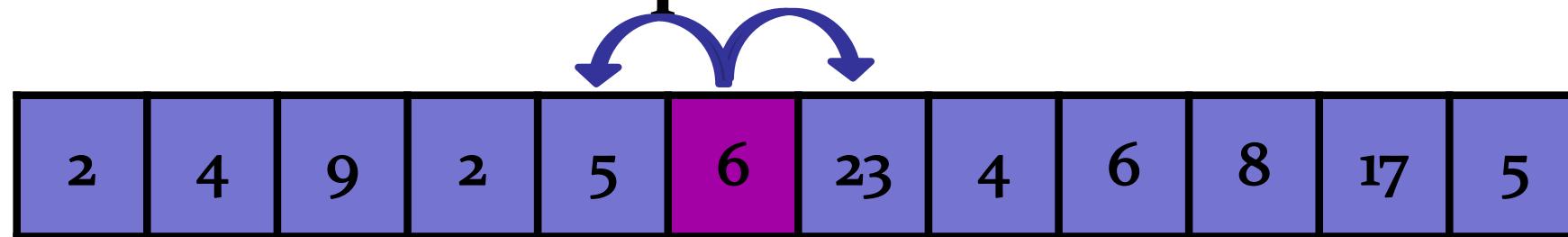
Start in the middle!



Worst-case:  $n/2$

# Peak Finding: Algorithm 2

## Divide-and-Conquer

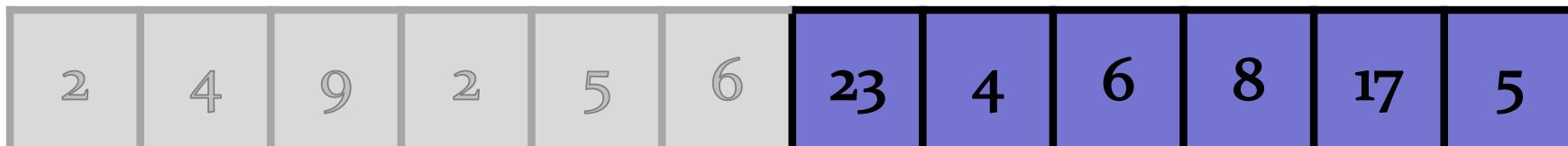


Start in the middle

$5 < 6?$  ← OK

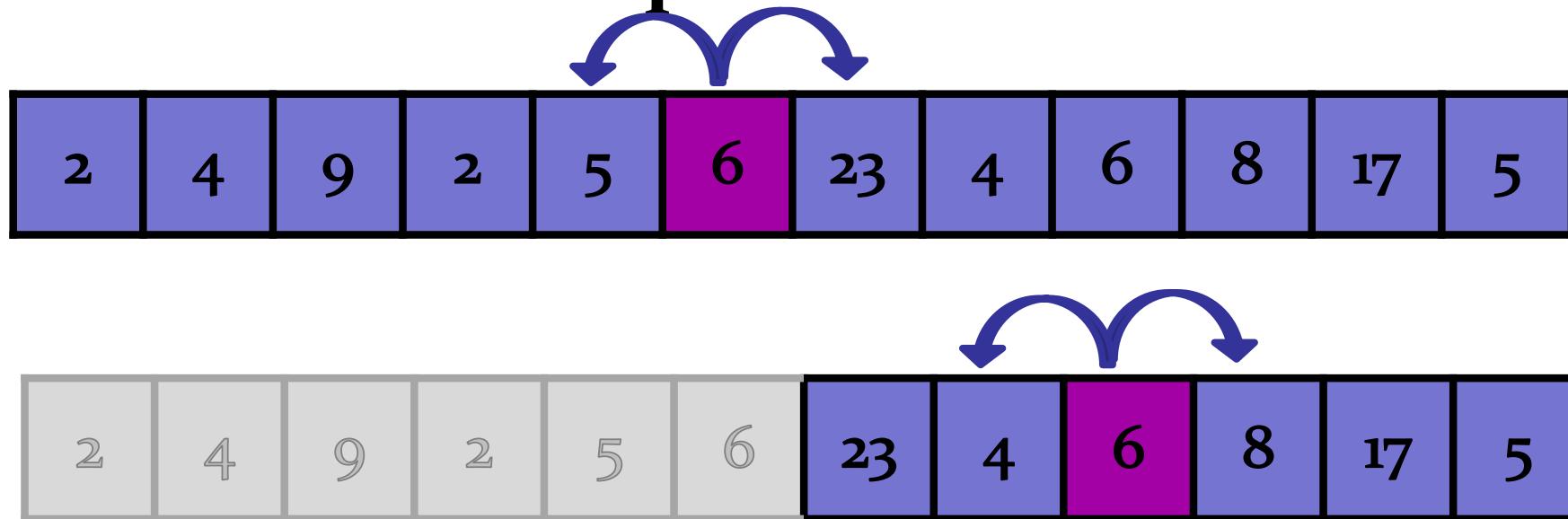
$6 > 23?$  ← NO

Recurse!



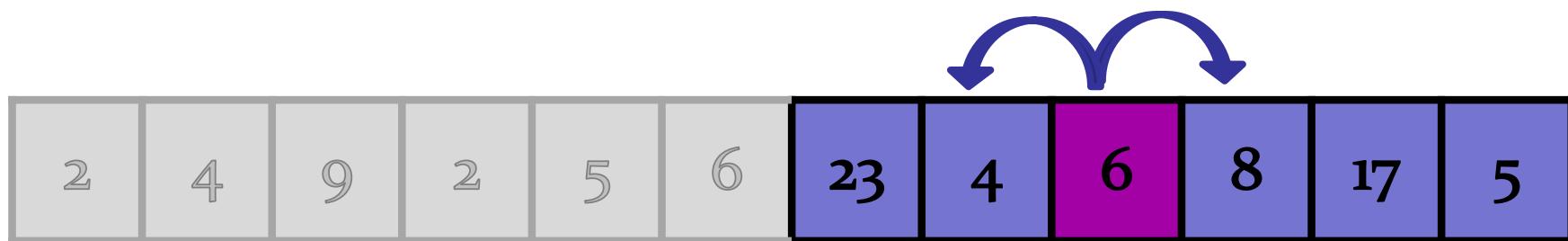
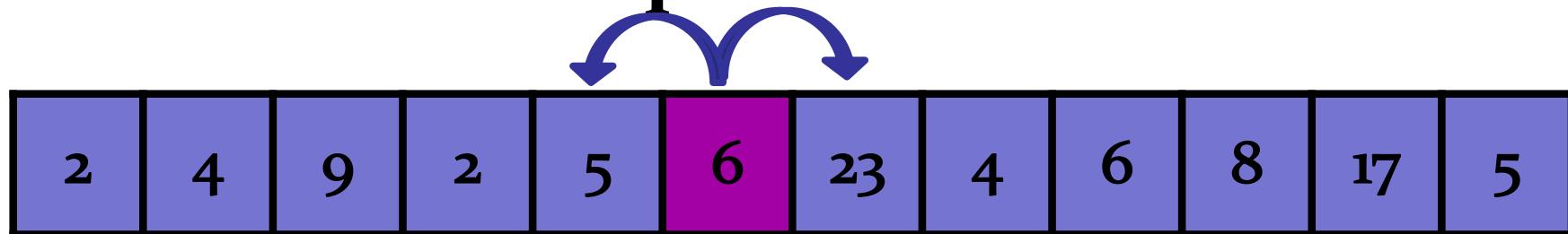
# Peak Finding: Algorithm 2

## Divide-and-Conquer



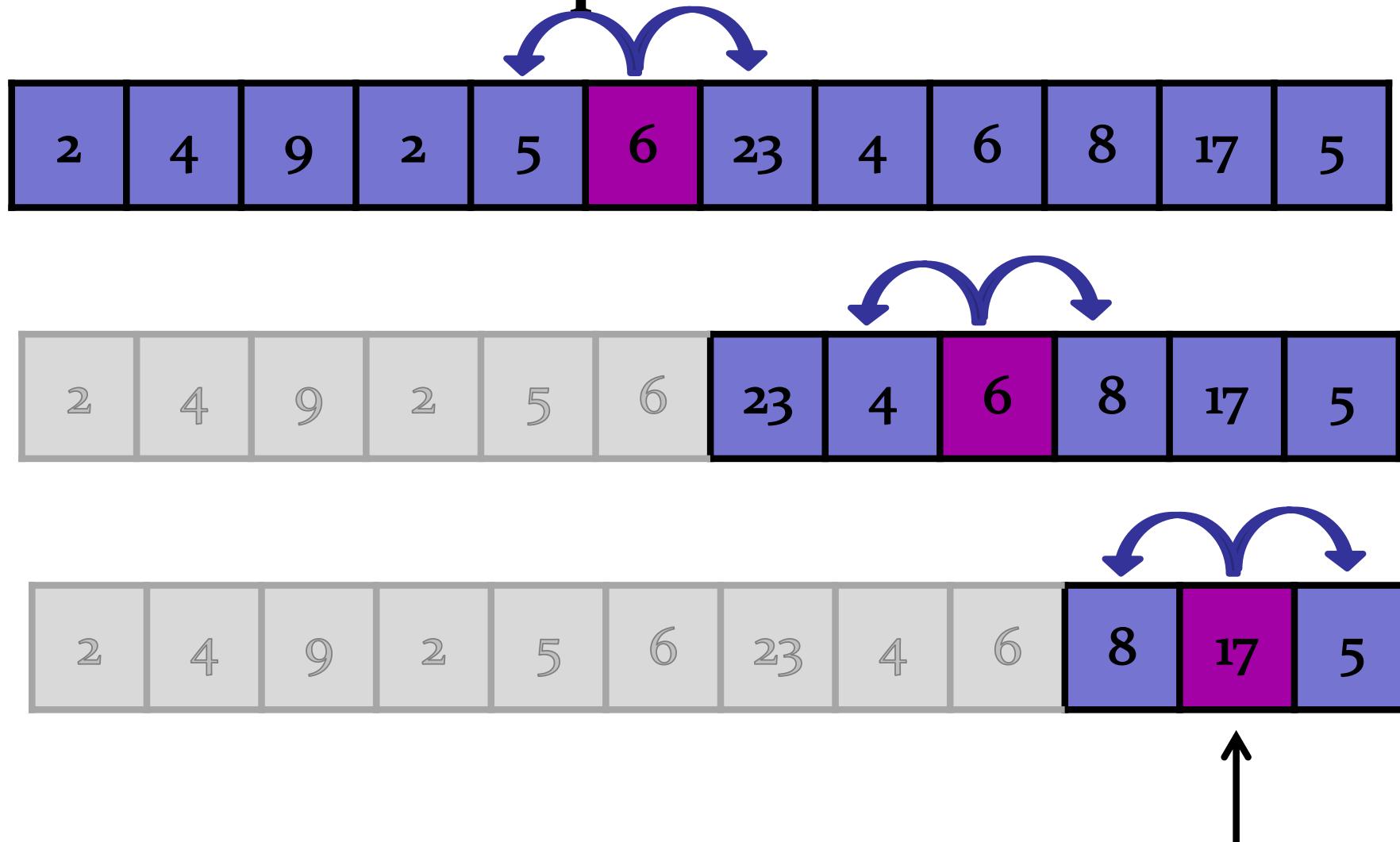
# Peak Finding: Algorithm 2

## Divide-and-Conquer



# Peak Finding: Algorithm 2

## Divide-and-Conquer



We found a peak!

# Peak Finding: Algorithm 2

---

Input: Some array  $A[1..n]$

2	4	9	2	11	6	23	4	6	8	17	5
---	---	---	---	----	---	----	---	---	---	----	---

**FindPeak( $A, n$ )**

**if  $A[n/2]$  is a peak then return  $n/2$**

**else if  $A[n/2+1] > A[n/2]$  then**

**Search for peak in right half.**

**else if  $A[n/2-1] > A[n/2]$  then**

**Search for peak in left half.**

# Peak Finding: Algorithm 2

---

Input: Some array  $A[1..n]$

2	4	9	2	11	6	23	4	6	8	17	5
---	---	---	---	----	---	----	---	---	---	----	---

**FindPeak( $A, n$ )**

**if  $A[n/2]$  is a peak then return  $n/2$**

**else if  $A[n/2+1] > A[n/2]$  then**

**FindPeak ( $A[n/2+1..n], n/2$ )**

**else if  $A[n/2-1] > A[n/2]$  then**

**FindPeak ( $A[1..n/2-1], n/2$ )**

# Peak Finding: Algorithm 2

---

Key property:

- If we recurse in the right half, then there exists a peak in the right half.



# Peak Finding: Algorithm 2

---

Key property:

- If we recurse in the “higher” half, then there exists a peak in the right half.

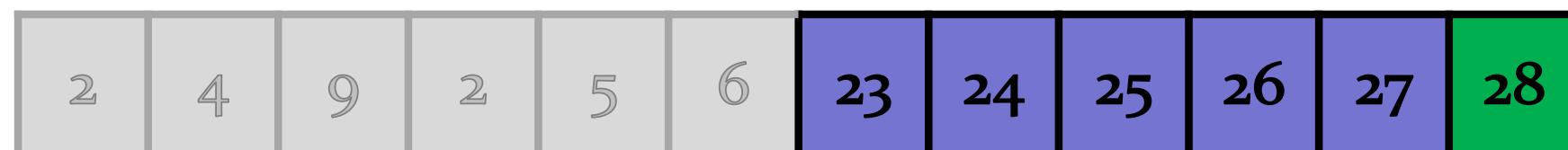
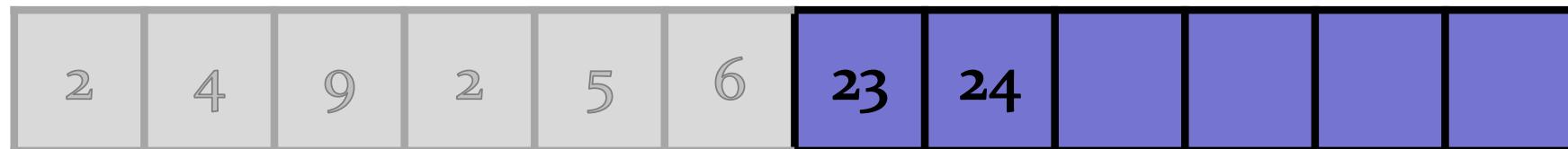
Explanation: Induction!

- Even though there is “no peak” in the right half.
- Given:  $A[\text{middle}] < A[\text{middle} + 1]$
- Since no peaks,  $A[\text{middle}+1] < A[\text{middle}+2]$
- Since no peaks,  $A[\text{middle}+2] < A[\text{middle}+3]$
- ...
- Since no peaks,  $A[n-1] < A[n]$  ← PEAK!!

# Peak Finding: Algorithm 2

Recurse on right half, since  $23 > 6$ .

- Assume no peaks in right half.



# Key Invariants:

---

## Correctness:

There exists a peak in the range [begin, end].

# Key Invariants:

---

## Correctness:

There exists a peak in the range [begin, end].

Every peak in [begin, end] is a valid peak in [1, n].

If the recursive call finds a  
peak, is it valid?

# Peak Finding: Algorithm 2

---

## Running time?

2	4	9	2	11	6	23	4	6	8	17	5
---	---	---	---	----	---	----	---	---	---	----	---

FindPeak( $A, n$ )

**if**  $A[n/2]$  is a peak **then return**  $n/2$

**else if**  $A[n/2+1] > A[n/2]$  **then**

        Search for peak in right half.

**else if**  $A[n/2-1] > A[n/2]$  **then**

        Search for peak in left half.

# Peak Finding: Algorithm 2

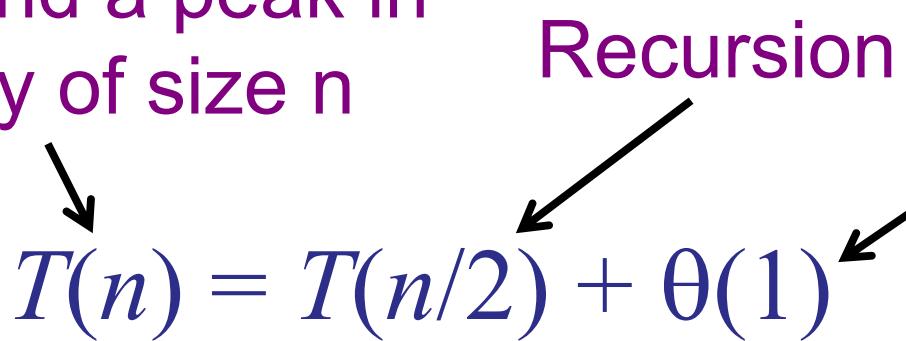
## Running time:

Time to find a peak in  
an array of size  $n$

Time for comparing  
 $A[n/2]$  with neighbors

$$T(n) = T(n/2) + \theta(1)$$

Recursion



Unrolling the recurrence:

$$T(n) = \theta(1) + \theta(1) + \dots + \theta(1) = O(\log n)$$

# Peak Finding: Algorithm 2

Unrolling the recurrence:

Rule:  
 $T(X) = T(X/2) + O(1)$

$$T(n) = T(n/2) + \theta(1)$$

$$= T(n/4) + \theta(1) + \theta(1)$$

$$= T(n/8) + \theta(1) + \theta(1) + \theta(1)$$

...

...

$$= T(1) + \theta(1) + \dots + \theta(1) =$$

$$= \theta(1) + \theta(1) + \dots + \theta(1) =$$

How many times can you divide a number  $n$  in half before you reach 1?

1.  $n/4$
2.  $\sqrt{n}$
3.  $\log_2(n)$
4.  $\arctan(1+\sqrt{5}/2n)$
5. I don't know.

# Peak Finding: Algorithm 2

---

How many times can you divide a number  $n$  in half before you reach 1?

$$\underbrace{2 \times 2 \times \dots \times 2}_{\log(n)} = 2^{\log(n)} = n$$

Note: I always assume  $\log = \log_2$

$$O(\log_2 n) = O(\log n)$$

# Peak Finding: Algorithm 2

## Running time:

Time to find a peak in  
an array of size  $n$

Time for comparing  
 $A[n/2]$  with neighbors

$$T(n) = T(n/2) + \theta(1)$$

Recursion

Unrolling the recurrence:

$$T(n) = \underbrace{\theta(1) + \theta(1) + \dots + \theta(1)}_{\log(n)} = O(\log n)$$

# Summary

---

Peak finding algorithm:

Key idea: Binary Search

Running time:  $O(\log n)$

# Onwards...

---

The 2<sup>nd</sup> dimension!



# Peak Finding 2D (the sequel)

Given: 2D array  $A[1..n, 1..m]$

					$m$
10	8	5	2	1	
3	2	1	5	7	
17	5	1	4	1	
7	9	4	6	4	
8	1	1	2	6	

Output: a peak that is not smaller than the  
(at most) 4 neighbors.

# 2D: Algorithm 1

---

Step 1: Find global max for each column

3	4	5	2
2	1	2	5
1	9	1	2
7	5	3	3

7     9     5     5 ← Find 1D peak.

Step 2: Find peak in the array of max elements.

## Algorithm 1-2D

Step 1: Find global max for each column.

Step 2: Find peak in the max array.

---

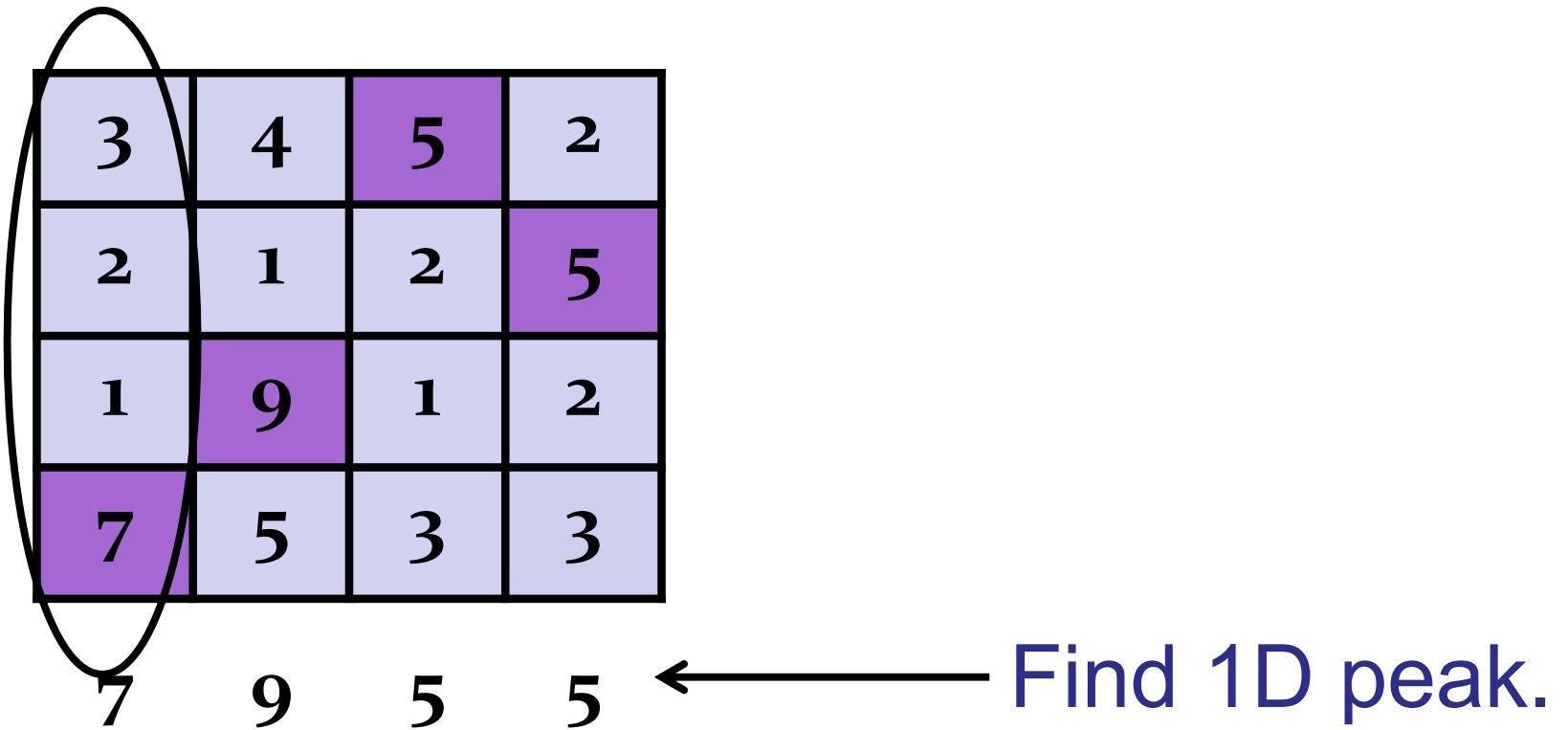
Is this algorithm correct?

1. Yes
2. No

## 2D: Algorithm 1

---

Step 1: Find global max for each column



Step 2: Find peak in the array of max elements.

Running time:  $O(mn + \log(m))$

## 2D: Algorithm 2

---

Step 1: Find a (local) peak for each column

3	4	5	2
2	1	2	5
1	9	1	2
7	5	3	3

7      9      5      5      ← Find 1D peak.

Step 2: Find peak in the array of peaks.

## Algorithm 2-2D

Step 1: Find 1D-peak for each column.

Step 2: Find peak in the max array.

---

Is this algorithm correct?

1. Yes
2. No

## 2D: Algorithm 2 (Counter Example)

---

Step 1: Find a (local) peak for each column

3	4	5	2
2	1	2	5
1	9	1	2
7	5	3	3

3      4      3      3 ← Find 1D peak.

Step 2: Find peak in the array of peaks.

## 2D: Algorithm 1

Step 1: Find ~~global max for each column~~

3	4	5	2
2	1	2	5
1	9	1	2
7	5	3	3

7    9    5    5 ← Find 1D peak.

Step 2: Find peak in the array of max elements.

Running time:  $O(mn + \log(m))$

## 2D: Algorithm 2

---

Step 1: Find a **global** max for each column

3	4	5	2
2	1	2	5
1	9	1	2
7	5	3	3

?      ?      ?      ? ← Find 1D peak.

Step 2: Find **peak** in the array of peaks by **lazy** evaluation.

7	10	12	20	7	9	4	3	1	18	5	17	4
19	11	7	4	6	8	10	3	5	6	8	14	8
6	9	14	4	7	9	3	12	9	8	3	10	6

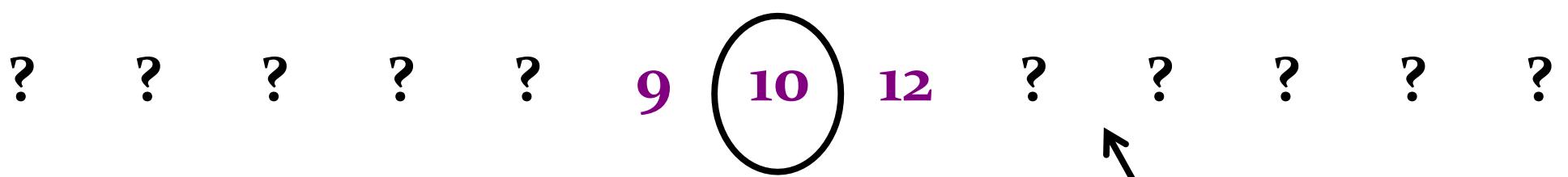
?      ?      ?      ?      ?      ?      ?      ?      ?      ?      ?      ?      ?

Find 1D Peak:

Step 1: Check middle element.

Step 2: Recurse left/right half.

7	10	12	20	7	9	4	3	1	10	5	17	4
19	11	7	4	6	8	10	3	5	6	8	14	8
6	9	14	4	7	9	3	12	9	8	3	10	6



Find 1D Peak:

Step 1: Check middle element.

Step 2: Recurse left/right half.

Column  
Max Array

7	10	12	20	7	9	4	3	1	10	5	17	4
19	11	7	4	6	8	10	3	5	6	8	14	8
6	9	14	4	7	9	3	12	9	8	3	10	6

?, ?, ?, ?, ?, 9, 10, 12, ?, 18, **8**, 14, ?

Find 1D Peak:

Step 1: Check middle element.

Step 2: Recurse left/right half.

7	10	12	20	7	9	4	3	1	10	5	17	4
19	11	7	4	6	8	10	3	5	6	8	14	8
6	9	14	4	7	9	3	12	9	8	3	10	6

?

?

?

?

?

8

10

12

?

18

8

14

8

Find 1D Peak:

Step 1: Check middle element.

Step 2: Recurse left/right half.

7	10	12	20	7	9	4	3	1	10	5	17	4
19	11	7	4	6	8	10	3	5	6	8	14	8
6	9	14	4	7	9	3	12	9	8	3	10	6

?      ?      ?      ?      ?      8      10      12      ?      18      8      **14**      8

How many columns do we need to examine?

1.  $O(m)$
2.  $O(\sqrt{m})$
3.  $O(\log m)$
4.  $O(1)$

# 2D: Algorithm 2

---

Find peak in the array of peaks:

- Use 1D Peak Finding algorithm
- For each column examined by the algorithm, find the maximum element in the column.

Running time:

- 1D Peak Finder Examines  $O(\log m)$  columns
- Each column requires  $O(n)$  time to find max
- Total:  $O(n \log m)$

(Much better than  $O(nm)$  of before.)

# 2D Algorithm 3

---

# 2D Algorithm 3

## Divide-and-Conquer

1. Find MAX element of middle column.
2. If found a peak, DONE.
3. Else:
  - If left neighbor is larger, then recurse on left half.
  - If right neighbor is larger, then recurse on right half.

10	8	4	2	1
3	2	2	12	13
17	5	1	11	1
7	4	6	9	4
8	1	1	2	6

recurse  
right

# 2D Algorithm 3

## Correctness

1. Assume no peak on right half.
2. Then, there is some increasing path:  
 $9 \rightarrow 11 \rightarrow 12 \rightarrow \dots$
3. Eventually, the path must end max.
4. If there is no max in the right half, then it must cross to the left half... Impossible!

10	8	4	2	1
3	2	2	12	13
17	5	1	11	1
7	4	6	9	4
8	1	1	2	6

at a  
recuse  
right

# 2D Algorithm 3

## Divide-and-Conquer

$$T(n,m) = T(n,m/2) + O(n)$$

Recurse once on array of size  $[n, m/2]$

Do  $n$  work to find max element in column.

10	8	4	2	1
3	2	2	12	13
17	5	1	11	1
7	4	6	9	4
8	1	1	2	6

recurse  
right

# Recurrence Analysis

---

$$\begin{aligned} T(n, m) &= T(n, m/2) + n \\ &= T(n, m/4) + n + n \\ &= T(n, m/8) + n + n + n \\ &= T(n, m/16) + n + n + n + n \\ &= \dots \end{aligned}$$

# Recurrence Analysis

---

$$T(n, m) = T(n, m/2) + n$$

$T(n, m) = ??$

1.  $O(\log m)$
2.  $O(nm)$
3.  $O(n \log m)$
4.  $O(m \log n)$

# Recurrence Analysis

$$\begin{aligned} T(n, m) &= T(n, m/2) + n \\ &= T(n, m/4) + n + n \\ &= T(n, m/8) + n + n + n \\ &= T(n, m/16) + n + n + n + n \\ &= \dots \\ &= \dots \\ &= \dots \\ &= T(n, 1) + n + n + n + \dots + n \end{aligned}$$

n

$\log(m)$

$\log(m)$

# 2D Algorithm 3

## Divide-and-Conquer

1. Find MAX element of middle column.
2. If found a peak, DONE.
3. Else:
  - If left neighbor is larger, then recurse on left half.
  - If right neighbor is larger, then recurse on right half.

10	8	4	2	1
3	2	2	12	13
17	5	1	11	1
7	4	6	9	4
8	1	1	2	6

brace under last two rows

recurse  
right

$$T(n) = O(n \log m)$$

# 2D Algorithm 4

---

Can we do better than  $O(n \log m)$ ?

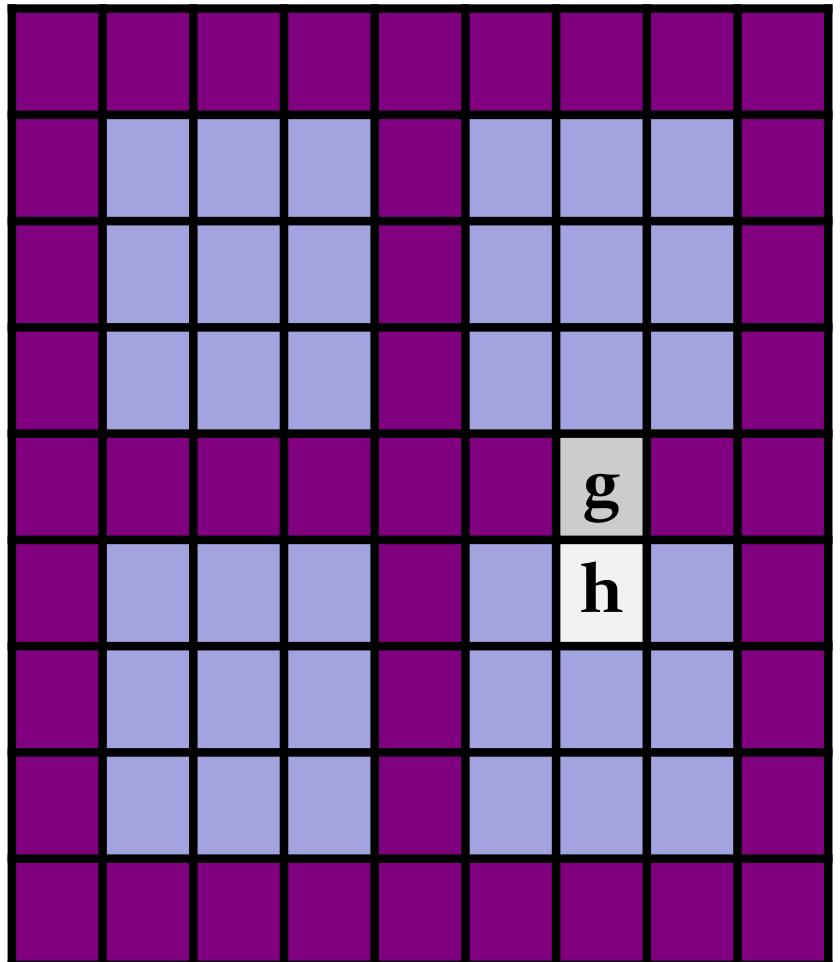
# 2D Algorithm 4

---

## Divide-and-Conquer

1. Find MAX element on border + cross.
2. If found a peak, DONE.
3. Else:

Recurse on quadrant containing element bigger than MAX.



Example:  $\text{MAX} = g$

$$h > g$$

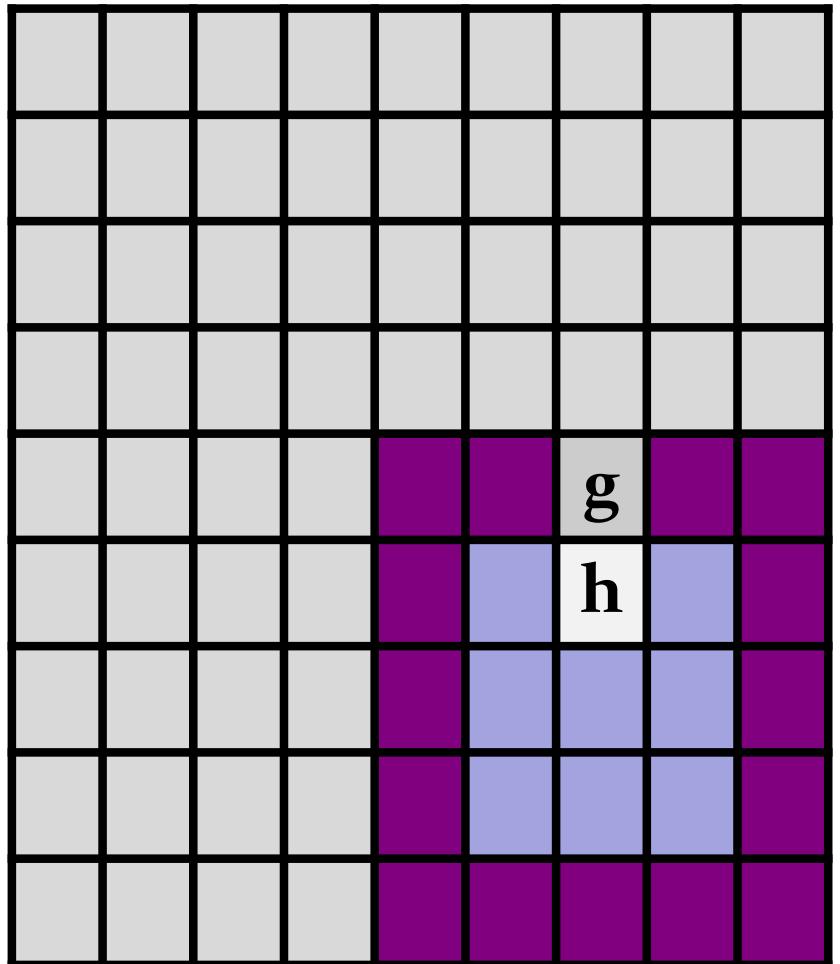
# 2D Algorithm 4

---

## Divide-and-Conquer

1. Find MAX element on border + cross.
2. If found a peak, DONE.
3. Else:

Recurse on quadrant containing element bigger than MAX.



Example:  $\text{MAX} = g$

$$h > g$$

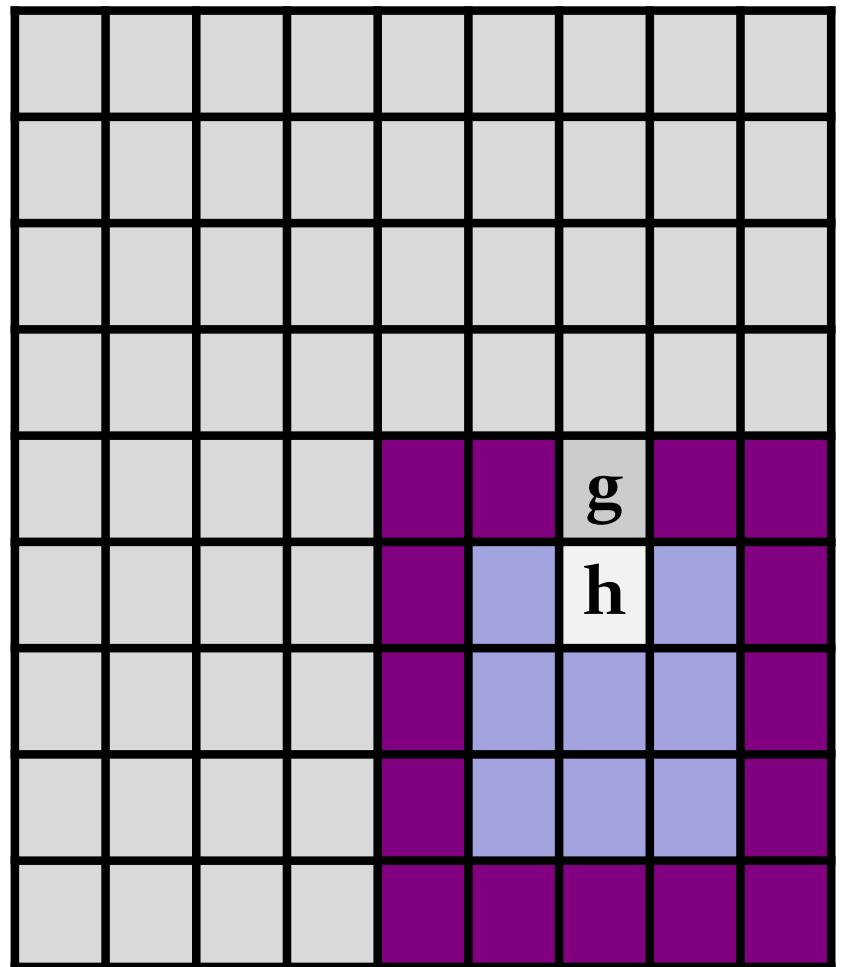
# 2D Algorithm 4

---

## Correctness

1. The quadrant contains a peak.

Proof: as before.



# 2D Algorithm 4

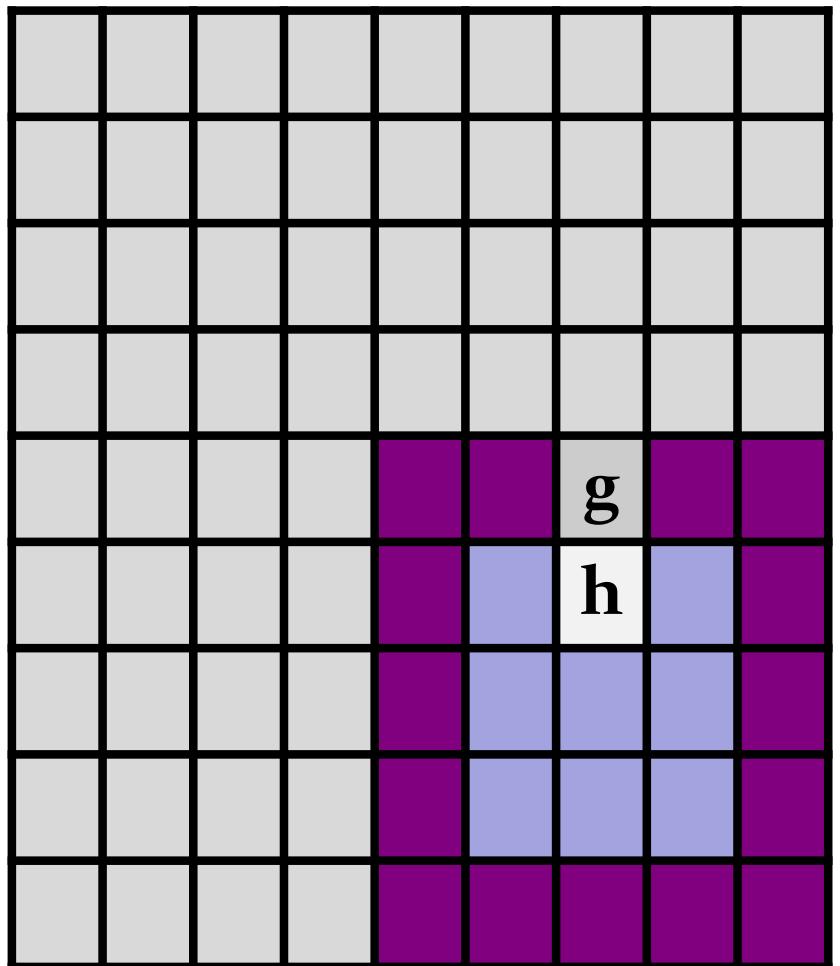
---

## Correctness

1. The quadrant contains a peak.

Proof: as before.

2. Every peak in the quadrant is NOT a peak in the matrix.



# 2D Algorithm 4

---

## Correctness

1. The quadrant contains a peak.

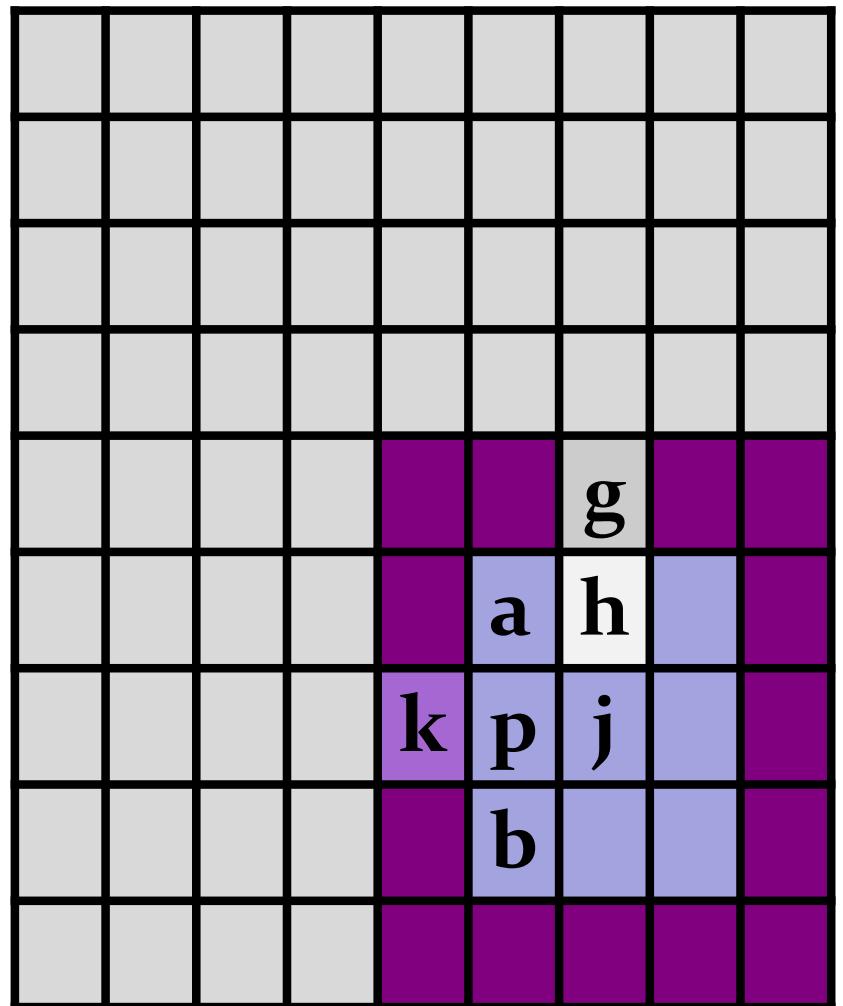
Proof: as before.

2. Every peak in the quadrant is NOT a peak in the matrix.

Example:  $k > p > j$

$$p > a$$

$$p > b$$



# 2D Algorithm 4

---

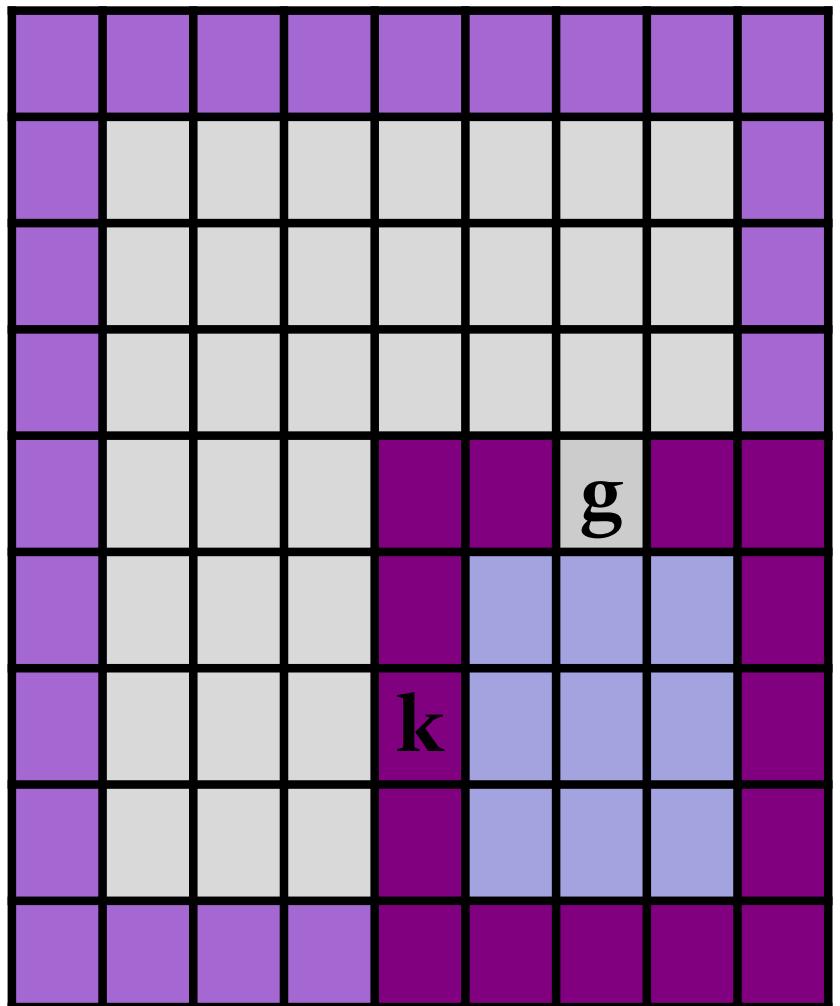
## Correctness

Key property:

Find a peak at least as large as every element on the boundary.

Proof:

If recursing finds an element at least as large as  $g$ , and  $g$  is as big as the biggest element on the boundary, then the peak is as large as every element on the boundary.



# 2D Algorithm 4

---

## Divide-and-Conquer

$$T(n,m) = T(n/2, m/2) + O(n + m)$$

Recurse *once* on array  
of size  $[n/2, m/2]$

Do  $6(n+m)$  work to find  
max element.

# Recurrence Analysis

---

$$\begin{aligned} T(n, m) &= T(n/2, m/2) + c(n+m) \\ &= T(n/4, m/4) + c(n/2 + m/2) + c(n + m) \\ &= T(n/8, m/8) + c(n/4 + m/4) + \dots \\ &= \dots \end{aligned}$$

# Recurrence Analysis

---

$$T(n, m) = T(n/2, m/2) + cn + cm$$

$T(n, m) = ??$

1.  $O(nm)$
2.  $O(n \log m)$
3.  $O(m \log n)$
4.  $O(n+m)$

# Recurrence Analysis

---

$$\begin{aligned} T(n, m) &= T(n/2, m/2) + c(n+m) \\ &= T(n/4, m/4) + c(n/2 + m/2 + n + m) \\ &= T(n/8, m/8) + c(n/4 + m/4 + \dots) \\ &= \dots \\ &= cn(1 + \frac{1}{2} + \frac{1}{4} + \dots) + \\ &\quad cm(1 + \frac{1}{2} + \frac{1}{4} + \dots) \\ &< 2cn + 2cm \\ &= O(n + m) \end{aligned}$$

# Summary

---

## 1D Peak Finding

- Divide-and-Conquer
- $O(\log n)$  time

## 2D Peak Finding

- Simple algorithms:  $O(n \log m)$
- Careful Divide-and-Conquer:  $O(n + m)$

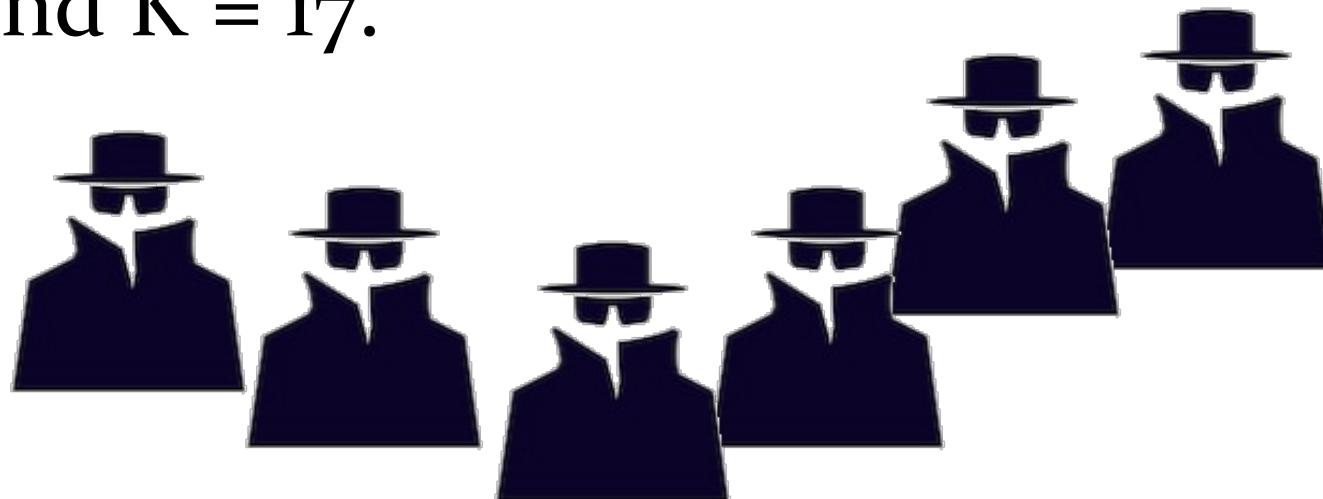
# Puzzle of the Week

---

There are **N** students in CS204oS and **K** of them are spies. Your job is to identify all the spies.

Let's assume:

$N = 1,024$  and  $K = 17$ .



# Puzzle of the Week

---

To catch a spy:



You can send some students on a mission.



If all K spies are on the mission, they will meet.

You learn if the meeting occurred or not.



You learn nothing else.



# Puzzle of the Week

---

N = 1024  
K = 17

Prove:



You need at least 122 missions to identify all the spies.



Find:



The best strategy you can to catch all the spies. (You might write a program!)