Integrated QMS + OHS + EMS Stage I Audit Checklist

ISO Standard: ISO 9001+45001+14001 - Integrated Quality, OH&S; and Environmental Management Systems

Description: Integrated ISO 9001:2015 + ISO 45001:2018 + ISO 14001:2015 Stage I Audit Checklist for Quality, Occupational Health & Safety, and Environmental Management Systems

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Created By: System Admin

Audit Checklist Items

#	Clause	Type	Question	Compliance
1	5.2	Document	Is an integrated policy documented and communicated covering quality, health & safety, and environment?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Verify integrated QHSE policy	
2	7.5	Document	Are the IMS manual, processes, and supporting procedures established, maintained, and accessible to staff?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Check IMS documentation	
3	6.2	Document	Are quality, safety, and environmental objectives defined, measurable, and linked to policy commitments?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Verify QHSE objectives	
4	6.1.3	Document	Are legal and other requirements identified, documented, and integrated into operational controls?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Check legal compliance register	
5	8.1	Process	Are procedures for operational control, risk assessment, and emergency response documented and implemented?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Verify operational procedures	
6	7.5	Process	Is documented information reviewed, updated, and retained in a controlled manner?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Check document control	
7	7.1	Requirement	Are facilities maintained to ensure product quality, worker safety, and environmental protection?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Assess facility conditions	

8	6.1.2	Requirement	Are risk controls in place for machinery, hazardous materials, emissions, waste, and energy use?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Verify risk controls	
9	7.1.4	Requirement	Are work areas organized, labelled, and kept free of hazards affecting safety or product quality?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Check workplace organization	
10	7.1.3	Process	Is preventive maintenance carried out on critical equipment and safety systems?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Verify maintenance programs	
11	8.5.2	Requirement	Are waste management, reuse, and disposal processes compliant with legal and environmental standards?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Check waste management	
12	8.2	Process	Are emergency arrangements (first aid, fire, spill response, evacuation) established and tested?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Verify emergency preparedness	
13	8.4	Requirement	Are contractors and visitors briefed on and compliant with QHSE requirements?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Check external party controls	
14	4.4	Requirement	Does management understand process-based, risk-based, and life-cycle thinking required for the IMS?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Interview management	
15	7.2	Requirement	Is there evidence of training and awareness programs covering quality, safety, and environment?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Check training records	
16	5.3	Requirement	Do key staff understand their roles and responsibilities in achieving QHSE objectives?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Verify role understanding	
17	10.3	Requirement	Has the organization established plans for continual improvement and compliance evaluation?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Check improvement planning	
18	9.2	Requirement	Does management understand the need for internal audits and management reviews across all systems?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Verify audit understanding	
19	9.1	Process	Are KPIs established for quality (e.g., nonconformities), safety (e.g., incidents), and environment (e.g., emissions, waste)?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Verify KPI definitions	

20	9.1.1	Process	Are monitoring systems in place for product inspection, incident reporting, and environmental data collection?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Check monitoring systems	