Environmental Management Stage I Audit Checklist

ISO Standard: ISO 14001:2015 - Environmental Management Systems

Description: ISO 14001:2015 Stage I Audit Checklist for Environmental Management System

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Created By: System Admin

Audit Checklist Items

#	Clause	Type	Question	Compliance
1	5.2	Document	Is the environmental policy documented, communicated, and aligned with the organization's context?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Verify environmental policy	
2	6.1.2	Document	Have environmental aspects and significant impacts been identified and documented?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Check aspects and impacts register	
3	6.1.3	Document	Are compliance obligations identified, documented, and integrated into procedures?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Verify legal compliance register	
4	6.2	Document	Are objectives, targets, and programs documented with responsibilities and timelines?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Check environmental objectives	
5	8.1	Process	Are procedures for operational control, monitoring, and emergency response established and maintained?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Verify operational procedures	
6	7.5	Process	Is documented information controlled, updated, and accessible as needed?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Check document control	
7	7.1	Requirement	Is the site layout, infrastructure, and equipment suitable for managing environmental aspects?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Assess site conditions	

8	8.1	Requirement	Are environmental controls in place for emissions, waste, water, and energy use?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Verify environmental controls	
9	8.1	Requirement	Is waste segregation, reuse, and disposal carried out responsibly and legally compliant?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Check waste management	
10	8.1	Requirement	Are hazardous materials handled and stored with spill prevention controls in place?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Verify hazardous material controls	
11	8.2	Process	Are emergency response arrangements (spill kits, fire protection, evacuation routes) in place and tested?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Check emergency preparedness	
12	8.1	Requirement	Are contractors and visitors informed of relevant environmental procedures?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Verify communication processes	
13	4.4	Requirement	Does management understand the process-based approach and life-cycle perspective in environmental management?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Interview management	
14	7.2	Requirement	Is there evidence of training or awareness programs related to ISO 14001 implementation?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Check training records	
15	5.3	Requirement	Do key staff understand their roles in achieving environmental objectives?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Verify role understanding	
16	10.3	Requirement	Has the organization planned for continual improvement of environmental performance?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Check improvement planning	
17	9.2	Requirement	Does management understand the requirement for compliance evaluation and management review?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Verify audit understanding	
18	9.1	Process	Are environmental performance indicators (KPIs) for energy, waste, and emissions identified and tracked?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Verify KPI definitions	
19	9.1.1	Process	Are monitoring systems (meter readings, waste logs, emission records) implemented and maintained?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Check monitoring systems	

20	9.1.2	Process	Is performance data analyzed and reviewed for trends and improvement opportunities?	■ Compliant ■ Needs Improvement ■ Non-Compliant ■ N/A
			Guidance: Verify data analysis	