The natural history and transmission potential of asymptomatic 1

SARS-CoV-2 infection 2

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ABSTRACT

26 **Background**

- 27 Little is known about the natural history of asymptomatic SARS-CoV-2 infection or its
- 28 contribution to infection transmission.
- 29 **Methods**

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- We conducted a prospective study at a quarantine centre for COVID-19 in Ho Chi Minh City,
- 31 Vietnam. We enrolled quarantined people with RT-PCR-confirmed SARS-CoV-2 infection,
- 32 collecting clinical data, travel and contact history, and saliva at enrolment and daily
- and nasopharyngeal throat swabs (NTS) for RT-PCR testing. We compared the natural history and
- transmission potential of asymptomatic and symptomatic individuals.
- 35 **Results**
- 36 Between March 10th and April 4th, 2020, 14,000 quarantined people were tested for SARS-
- CoV-2; 49 were positive. Of these, 30 participated in the study: 13(43%) never had symptoms
- and 17(57%) were symptomatic. 17(57%) participants acquired their infection outside Vietnam.
- 39 Compared with symptomatic individuals, asymptomatic people were less likely to have
- 40 detectable SARS-CoV-2 in NTS samples collected at enrolment (8/13 (62%) vs. 17/17 (100%)
- 41 P=0.02). SARS-CoV-2 RNA was detected in 20/27 (74%) available saliva; 7/11 (64%) in the
- 42 asymptomatic and 13/16 (81%) in the symptomatic group (P=0.56). Analysis of the probability
- 43 of RT-PCR positivity showed asymptomatic participants had faster viral clearance than
- symptomatic participants (P<0.001 for difference over first 19 days). This difference was most
- pronounced during the first week of follow-up. Two of the asymptomatic individuals appeared
- to transmit the infection to up to four contacts.
- 47 Conclusions
- 48 Asymptomatic SARS-CoV-2 infection is common and can be detected by analysis of saliva or
- NTS. NTS viral loads fall faster in asymptomatic individuals, but they appear able to transmit
- 50 the virus to others.

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BACKGROUND The rapid global spread of severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2), has prompted the World Health Organization to declare a pandemic. As of April 23rd, 2020, more than 2.6 million confirmed cases and more than 180,000 deaths have been reported globally. Vietnam reported its first confirmed cases on January 22rd, 2020 [1]. Yet, as of April 24th, a total of 270 cases have been reported, with no deaths [2]. The clinical syndrome caused by SARS-CoV-2 is called COVID-19 [3], an infectious disease which varies from mild to severe, life-threatening respiratory infection. Asymptomatic infection with SARS-CoV-2 has been reported [4-6] in up to 43% of those with proven infection in a recent Italian study [7]. SARS-CoV-2 infected patients can be infectious prior to symptom (COVID-19) development and cause transmission [8, 9]. Furthermore, there is some evidence demonstrating the transmisson potential of those with RT-PCR confirmed infection who never develop symptoms during their infection (asymptomatic transmission) [4, 5, 7], suggesting asymptomatic infection may play an important role in the spread of SARS-CoV-2. SARS-CoV-2 is transmitted by respiratory droplets from infected people if they cough and/or sneeze. In the absence of respiratory symptoms, the mechanism by which asymptomatic individuals transmit SARS-CoV-2 to their contacts remains unclear. In most countries, only patients with moderate or severe disease are admitted to hospital for management [10-13], leaving those without symptoms, or with mild disease, uncharacterized, especially concerning their laboratory and virological findings. We therefore studied asymptomatic individuals with SARS-CoV-2 infection and those with mild disease identified as part of ongoing contact-tracing and airport quarantine implemented in

Ho Chi Minh City (HCMC), Vietnam. Our aims were to compare the duration of viral detection

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and abundance in the respiratory tract, including saliva, of asymptomatic and mildly symptomatic patients, and assess their ability to transmit the virus to others. MATERIALS AND METHODS Vietnam contaiment approach Since January 2020, various control measures, including isolation of confirmed cases, contacttracing, airport quarantine and social distancing have been implemented in Vietnam with increasing stringency as the pandemic progressed worldwide (Figure 1) [14, 15]. Accordingly, anyone known to have been in contact with a confirmed COVID-19 case, or having travelled to Vietnam from a COVID-19 affected country, were isolated for ≥14 days at a designated isolation center. From the second week of March 2020, all isolated individuals were subject to serial SARS-CoV-2 nasopharyngeal throat swab (NTS) screening by real time RT-PCR. A confirmed case was established if two independent RT-PCR assays (E gene and RdRP RT-PCR assays) were positive [16]. Confirmed cases were admitted to a designated COVID-19 hospital for follow-up until they recovered and/or had at least two consecutive days with negative SARS-CoV-2 RT-PCR NTS [17]. **Setting** The Hospital for Tropical Diseases (HTD) is a tertiary referral infectious diseases hospital responsible for receiving and treating patients with COVID-19 in southern Vietnam. From January 2020 to the first week of April, HTD was responsible for RT-PCR screening of 80% of quarantined people in HCMC. In addition to its main campus in the centre of HCMC, HTD has two designated 300-bed centres for the care of confirmed/suspected cases with COVID-19, namely Cu Chi and Can Gio

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Hospitals, located approximately 60 km to the West and East, respectively, of HCMC (Figure 98 **2A**). The present study was conducted at Cu Chi Hospital. Patient erollment and data collection We enrolled individuals with confirmed SARS-CoV-2 infection admitted to Cu Chi Hospital from March 10th to April 4th, 2020. From each participant, we prospectively collected 101 102 demographic and clinical data, travel history and information concerning contact with confirmed COVID-19 cases, using standardized paper case record forms. 104 We collected NTS, combining them into a single tube containing 1ml of viral transport medium. NTS were taken daily from enrolment to hospital discharge (Figure 2B). 106 Additionally, a saliva sample was obtained at enrolment. After collections, clinical samples were stored at -4^oC at the study site and were then transferred to the HTD laboratory in HCMC 108 within 4 hours for analysis. Viral RNA extraction and SARS-CoV-2 RT-PCR analysis 110 We manually extracted viral RNA from 140 ul of NTS and saliva samples (if volume was 111 sufficient for testing) using the QIAamp viral RNA kit (QIAgen GmbH, Hilden, Germany), and 112 then recovered the cleaned up RNA in 50 ul of elution buffer provided with the kit. Since we enroled patients who had a confirmed diagnosis by two independent RT-PCRs (Egene and 114 RdRp assays) as per the WHO recomendation [16], we used E gene assay for testing of samples collected from enrolment onward. Real time RT-PCR were carried out as previously described 116 [16]. **Data analysis** 118 For viral-load associated analysis, in the absence of quantitative RT-PCR results, we use cycle threshold (Ct) values as surrogates. We used the t-test to compare the difference in measured Ct

values obtained at enrolment between the two groups, Wilcoxon signed-rank test to compare the measured Ct values between NST and saliva, and chi-square test to compare two proportions. We compared the trend in the detection probability of SARS-CoV-2 and the viral RNA load in NTS between asymptomatic and symptomatic individuals. For the detection probability, we fitted a logistic regression model that quantifies the probability to test positive over time. We used generalized estimating equations (geepack package in R [18]) to correct for the repeated measurements per individual. We assumed that those who left the study earlier, after several days with a negative test result, remained negative until day 19. For the trend in Ct, we used a zero-inflated mixed effects model for semi-continuous data (GLMMadaptive package in R). Since the Ct data have an upper threshold of 40.5, we used the transformation Y=40.5-Ct. Hence, an undetectable viral load was given the value zero. We report the mean value on the original scale, which is a weighted combination of the value 40.5 for those that test negative and the measured value of those that test positive. Additionally, we compared the measured Ct values between the two groups; for this we used a random effects model. Further details can be found in the supplementary code. Note that inference with respect to measured Ct values should be interpreted with caution, because the subgroup of negative values is selectively excluded. Apart from R, we also performed the analysis in SPSS V23.0 (IBM Corp. NY, US) and generated the figures using GraphPad PRISM® V5.04 (GraphPad Software Inc, CA, US) and R. **Ethics**

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This clinical study received approvals from the Institutional Review Board of the HTD and the Oxford Tropical Research Ethics Committee of the University of Oxford. Study participants gave their written informed consent.

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Viral detection in NTS and saliva samples

RESULTS RT-PCR screening of quarantined people Between March 10th and April 4th, 2020, approximately 14,000 people were referred to one of nine designated quarantine centres deployed across HCMC, and were screened for SARS-CoV-2 by RT-PCR of NTS. Forty-nine people had a positive test, accounting for 96% (49/51) of all reported cases in HCMC during the same period. The other two self-presented to local hospitals after falling ill. Of these 49, 33 (67%) were admitted to Cu Chi Hospital, and 30 (30/33, 91%) agreed to participate in the clinical study (**Figure 2B**). **Baseline characteristics of the study participants** Of the 30 study participants, 16 were imported cases (i.e. they acquired the infection outside of Vietnam, Supplementary Figure 2), and 14 acquired the infection locally; all 14 had an epidemiological link with two community transmission clusters occurring in HCMC during the study period. Of the locally acquired infections, 7 (50%) were asymptomatic; while 6 (38%) of the imported cases were asymptomatic. Those with locally acquired infection were more likely to be male than those with infection acquired outside of Vietnam (**Table 2**). Seventeen of the participants had mild respiratory disease; i.e. no requirement for supplementary oxygen during hospitalization. None of the participants developed severe disease. The other 13 patients had no symptoms or signs of infection throughout their hospital admission. The demographic and laboratory characteristics of the two groups were similar at enrolment (Table 1 and 2). A small proportion of symptomatic patients presented with diarrhea and/or lost their sense of smell. None of the 30 participants had abnormal findings on chest radiographs.

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Compared with symptomatic patients, those with asymptomatic infection were less likely to have detectable SARS-CoV-2 in NTS samples collected at enrolment (8/13 (62%) vs. 17/17 (100%) P=0.02). However, 4/5 patients whose NTS collected at enrolment were negative had an NTS positive result in one of the subsequent sampling days, but with a high Ct value (Supplementary Figure 2), suggesting these patients had low viral load in their respiratory samples. Of the 30 study participants, 27 (90%) had a saliva sample collected at enrollment with sufficient volume for RT-PCR analysis. SARS-CoV-2 RNA was detected in 20/27 (74%) available saliva, 7/11 (64%) in the asymptomatic and 13/16 (81%) in the symptomatic group (P=0.56). There was one patient, whose NTS collected at enrolment was negative but saliva was positive. Accordingly, a combination of both NTS and saliva samples collected at enrolment slightly increased the diagnostic yield of samples collected at enrolment of the asymptomatic group Quantification of viral RNA in NTS and saliva at enrolment At enrolment, among those who were RT-PCR positive, the viral loads measured in NTS and saliva were similar in asymptomatic and symptomatic patients (Figure 3A). However, among asymptomatic patients who had both saliva and NTS collected, higher viral load was observed in NTS than in saliva (P=0.031) (**Figure 3B**). A similar trend was observed for symptomatic cases (P=0.064) (**Figure 3B**). Quantification of viral RNA and duration of viral detection in NTS During follow-up, Ct-values differed between the two groups (P=0.027 for difference over first 19 days, **Figure 4A**), with asymptomatic patients having lower viral load than the symptomatic

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patients. If restricted to RT-PCR positive samples, viral RNA abundance was similar to slightly lower in the asymptomatic participants (P=0.086) (Supplementary Figure 3). Analysis of the probability of RT-PCR positivity showed asymptomatic participants had a lower probability of having a positive RT-PCR result (i.e. a faster viral clearance) than symptomatic participants (P<0.001 for difference over first 19 days, Figure 4B). This difference was most pronounced during the first week of follow-up. After this period, the probability of detection quickly fell to almost zero in both groups. The majority of the positive patients were weakly positive (Ct value >32) in this period. **Presumed transmission from asymptomatic carriers** Fourteen participants were identified to have an epidemiological link with two communitytransmission clusters occurring in HCMC during the study period. Cluster #1 had three patients participating in the present study. Of these three, 2 had contact with a confirmed case on March 2nd, who was not enrolled in this study because this patient was admitted to a different hospital. Subsequently, one developed fever, runny nose and sore throat on March 12th, 2020, suggesting an incubation period of 10 days, and tested positive for SARS-CoV-2 on March 13rd, 2020. The other had no fever or any signs/symptoms suggestive of infection and was positive for SARS-CoV-2 on March 14, 2020. Two days later, a colleague of these two cases developed mild respiratory symptoms, including runny nose and loss of sense of smell, and tested positive for SARS-CoV-2 on March 17th, 2020. Cluster #2 included 11 study participants, including 7 with asymptomatic infection (Figure 5). We identified a transmission chain involving an asymptomatic participant (patient #19) who was positive for SARS-CoV-2 on March 25 (Ct value of NTS: 24, and saliva: 28). Subsequently, a contact of this case (patient #22) was positive for SARS-CoV-2 on March 23rd

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(Ct value of NTS: 23, and saliva: 34), although this contact did not develop symptoms. Furthermore, on March 27th, a contact of both patient #19 and #22 (patient #27) presented with cough and sore throat, with positive NTS for SARS-COV-2. Additionally, patient #26, contact of patient #22, who was also a contact of patient #19, was confirmed with SARS-CoV-2 on March 20th, also without any symptoms. An additional transmission chain from cluster #2 was recorded between patient #24 and #29, both of whom were asymptomatic (Figure 5). **DISCUSSION** Despite the rapid global spread of SARS-CoV-2, community transmission of SARS-CoV-2 in Vietnam remains exceptionally low [2]. Indeed, while the first reported cases date back to January 23rd, 2020, as of April 24th, there have been only 270 reported cases in Vietnam, including 170 imported cases and 100 cases acquired locally [2, 19]. During the same period, the number of confirmed cases worldwide increased from 582 to more than 2.7 million. Social distancing, school closure, isolation of confirmed cases and their contacts, and airport quarantine [14, 15] coupled with RT-PCR testing for all the isolated people have been the main measures leading to the current success of Vietnam's COVID-19 control [14, 15]. The quarantine of large numbers of contacts has offered a unique opportunity to study the natural history of SARS-CoV-2 infection, especially in those without symptoms. Using data from 30 patients, representing 56% of the reported case in HCMC since the beginning of the epidemic, we provide important insights into the natural history of SARS-CoV-2 infection. We found that 43% of SARS-CoV-2 positive cases were asymptomatic, with comparable detection rates and viral load of SARS-CoV-2 in saliva between symptomatic and asymptomatic cases. However, at enrolment and during follow up asymptomatic individuals had a lower probability of having a postive RT-PCR diagnosis and lower viral load in NTS. Yet,

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despite these data suggesting faster viral clearance from the respiratory tract, we found good evidence these asymptomatic individuals transmitted the virus to others. SARS-CoV-2 RNA has previously been detected in saliva of COVID-19 patients [20, 21], demonstrating the utility potential of easy-to-collect saliva samples for the diagnosis of COVID-19 [22]. However, to the best of our knowledge, detection of SARS-CoV-2 in saliva of asymptomatic cases has not been reported. Slightly higher viral loads (lower Ct values in Figure 3) were found in NTS than saliva, but saliva is an easier specimen to collect and may represent a better sample for mass disease-screening programmes. The ease of detecting virus in the saliva is also consistent with the known high infectiousness of SARS-CoV-2 and its ready ability to spread through droplet transmission even without respiratory symptoms. Although the viral loads at enrolment were similar between the asymptomatic and symptomatic participants (if restricted to the positive cases), the virus appeared to be cleared faster from the respiratory tract in asymptomatic people. These differences suggest symptoms and subsequent disease severity may depend on the size of the infectious viral inoculum and/or an individual's ability to clear the infection. However, we cannot also rule out that the time from infection to sample collection was longer in asymptomatic individuals. Other reasons for asymptomatic infection include pre-existing cross-immunity as a consequence of previous exposure to common human coronavirus, which may enhance immunity and control of the infection in some individuals [23]. Nevertheless, despite faster viral clearance in asymptomatic individuals, we found good evidence that they were still able to transmit the infection. Two of the asymptomatic participants were the highly likely origin of at least 2, and possible 4 further infections. Transmission from asymptomatic and especially pre-symptomatic individuals has been

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suggested previously [4-6, 8, 9] and may explain why the virus is so hard to control. The finding supports the Vietnam approach of vigorous case-finding, quarantining, and testing and suggests they are essential of the infection is to be controlled. The strengths of our study include the inclusion of the majority of asymptomatic and symptomatic cases reported in southern Vietnam over 4 weeks, without selection bias based on symptoms or disease severity. In so doing, we were able to study prospectively the full spectrum of SARS-CoV-2 infection. Our study also has some limitations. We did not perform virus culture to demonstrate the infectiousness of SARS-CoV-2 detected by RT-PCR in saliva, although through contact history, we identified at least two transmission events from completely asymptomatic individuals. Additionally, we did not perform chest computerized tomography scans [24], which are more sensitive than chest radiographs for the detection of lung abnormalities. Therefore, we may have underestimated the sub-clinical findings of SARS-CoV-2 infection. Lastly, none of the participants developed severe disease. However, as of April 23, 2020, only three severe COVID-19 cases have been reported in HCMC and there have, as yet, been no COVID-19 related deaths in Vietnam. To summarize, we demonstrate that a high proportion (43%) of quarantined people who were RT-PCR positive for SARS-CoV-2 were asymptomatic. These individuals carried SARS-CoV-2 in their respiratory tract and saliva, and were potentially contagious. They would not have been identified without the control measures as currently applied in Vietnam. Therefore, our findings emphasize the importance of contact tracing, airport quarantine and RT-PCR screening for SARS-CoV-2 among isolated people in controlling the ongoing pandemic.

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FIGURE LEGENDS

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- of SARS-CoV-2 RT-PCR testing and the duration of the clinical study.
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- PCR screening of quarantined people between March 10th, 2020 and April 5th, 2020, and the
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- 397 available NTS and saliva collected from 30 participants at enrolment, (**B**) Data only include
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- over the course of hospitalization; (A) Changes of Ct values, relatively reflect the level of viral
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- Figure 5: Illustration of cases with an epidemiological link with community transmission
- 406 cluster #2.
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- 408 cases. Patients sitting on the open circle are people of the cluster who first had contacts with
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411 412 **Supplementary Figure 1:** Map showing countries where the imported cases stayed before 413 travelling to Vietnam 414 415 **Note to supplementary Figure 1**: Maps were obtained from https://mapchart.net/ 416 **Supplementary Figure 2:** Individuals data on Ct values of SARS-CoV-2 real-time RT-PCR 417 obtained from analysis of nasopharyngeal throat swabs collected at enrollment and during 418 follow-up 419 Note to Supplementary Figure 2: Horizontal dash lines indicate the assay cut off. 420 **Supplementary Figure 3:** Dynamics of Ct values during the course of quarantine or 421 hospitalization in nasopharyngeal throat swabs of people who remained RT-PCR positive.

Table 1:Baseline characteristics of the study participants

	All (N=30)	Symptomatic group (N=17)	Asymptomatic group (N=13)
Age in years, median (range)	29 (16–60)	27 (18–58)	30 (16–60)
Gender (female/male), n/n	15/15	9/8	6/7
Arriving in Vietnam from abroad, n(%)	16 (53)	10 (59)	6 (47)
Locally acquired infection, n(%)	14 (47)	7 (41)	7 (53)
Nationality, n(%)			
Vietnamese	19 (63)	12 (71)	7 (53)
Others	11 (37)#	5 (29)22	6 (46) ^{&}
Days from confirmed diagnosis to enrolment, median (range)	2 (2 – 5)	2 (0 – 3)	2 (1 – 5)
Days from admission to enrolment, median (range)	1 (0 – 2)	1 (0 – 2)	1 (0 – 2)
Duration of stay (days)	16 (9-26)	16 (11-26)	15 (9-23)
Laboratory results, median (range)			
/normal range	5 16 (2 1 0 0) (4 11)	5.0 (2.4.0.2)	5.51 (2.15, 4.02)
White-cell count $(\times 10^3 \text{per } \mu\text{l})$	5.16 (3.1–9.9)/(4-11)	5.0 (3.4–8.3)	5.51 (3.15–4.83)
Lymphocyte counts (×10 ³ per μl)	1.65 (0.56-2.94)/(1.5-4)	1.47 (0.56-2.94)	1.88 (1.17-2.5)
Hemoglobin ((g/dl)	14.3 (10–17.3)/13-18)	14.4 (11.6–16.8)	14.15 (10–17.3
Hematocrit (%)	35.5 (28.5–42.3)/(37-52)	36.5 (28.5–42.3)	36 (35.78–42.27)
Platelet count (per µl)	257 (130–414)/(150-450)	249 (130–414)	265.5 (174–321)
Glucose (mmol/liter)	85 (6 –340)*/(70-130)	84.2 (68–340)¥	101.65 (64–146) [£]
Creatinine (mg/dl)	1.0 (0.9–1.5) (0.5–1.2)	1.0 (0.9–12.4)	1 (0.96–1.54) [£]
Aspartate aminotransferase (U/liter)	22.5 (15.4–56.8)*/(<40)	22.5(17.4–56.8)	$17.4 (15.4-32.4)^{£}$ $19.15(9.7-44.9)^{£}$
Alanine aminotransferase (U/liter)	22.3 (9.7-44.9)/(<37)	24 (10.2–34.8)	19.15(9.7–44.9)
Clinical signs/symptoms**	8 (27)	8 (47)	NA
Fever, n(%)	10 (33)	10 (59)	NA NA
Cough, n(%) Rhinorrhea, n(%)	3 (10)	3 (18)	NA NA
Fatigue, n(%)	1 (3)	1 (6)	NA NA
Diarrhea, n(%)	3 (10)	3 (18)	NA NA
Sore throat, n(%)	6 (20)	6 (36)	NA NA
Muscle pain, n(%)	3 (10)	3 (18)	NA NA
Headache, n(%)	2 (7)	2 (12)	NA NA
Abdominal pain, n(%)	1 (3)	1 (6)	NA NA
Lost sense of smell, n(%)	3 (10)	3 (18)	NA
Comorbidity, n(%)	2 (7)	2 (12)##	0

Note to Table 1: *Brazil (n=3), UK (n=3), Canada (n=2), America (n=1), Czech Republic (n=1), Italy (n=1), $^{\square}$ UK (n=2), Canada (n=1), America (n=1), Czech Republic (n=1), &Brazil (n=3), UK (n=1), Canada (n=1), Italy (n=1), *n=17, $^{\square}$ n=18, $^{\square}$ n=11, $^{\Psi}$ n=10, $^{\Psi}$ n=6, **others (nausea, vomiting, short breathing, bleeding and taste disorder) were also recorded but none presented with these signs/symptoms, **degenerative spine in one and diabetes in one.

Table 2: Demographic of imported cases and cases of locally acquired infection

	Locally acquired infections (N=14)	Imported cases* (N=16)
Female gender, n (%)	4 (29%)	11 (69)
Age in years, median (range)	30.5 (23–51)	21.5 (16–60)
Asymptomatic, n (%)	7 (50)	6 (38)

Note to Supplementary Table 2: *Defined as cases arriving in Vietnam from abroad and positive for SARS-CoV-2 as part of airport quarantine and RT-PCR screening.

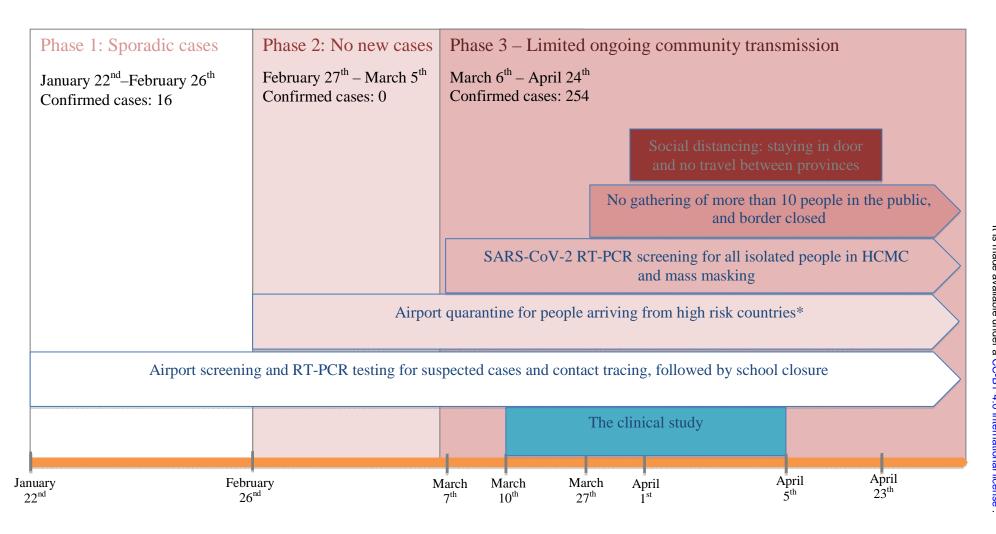


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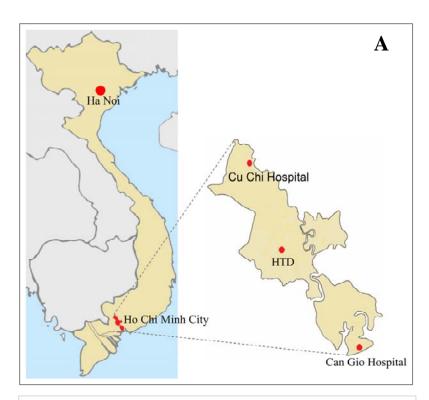


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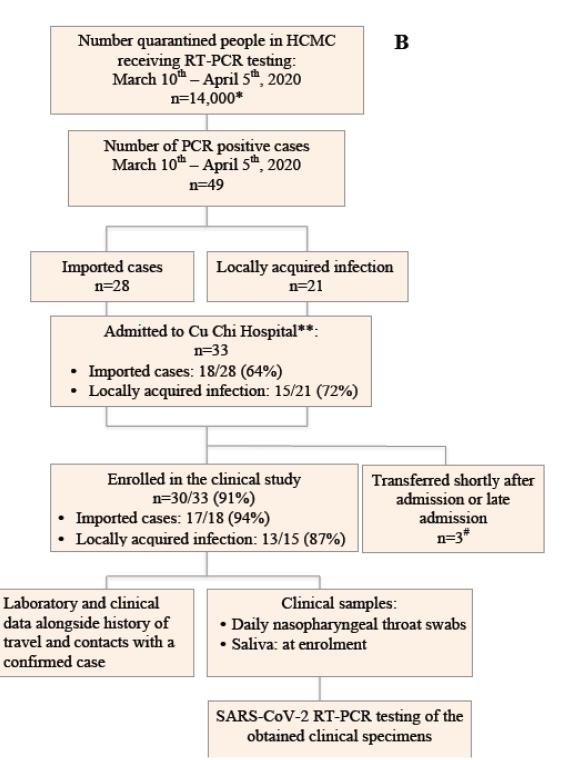
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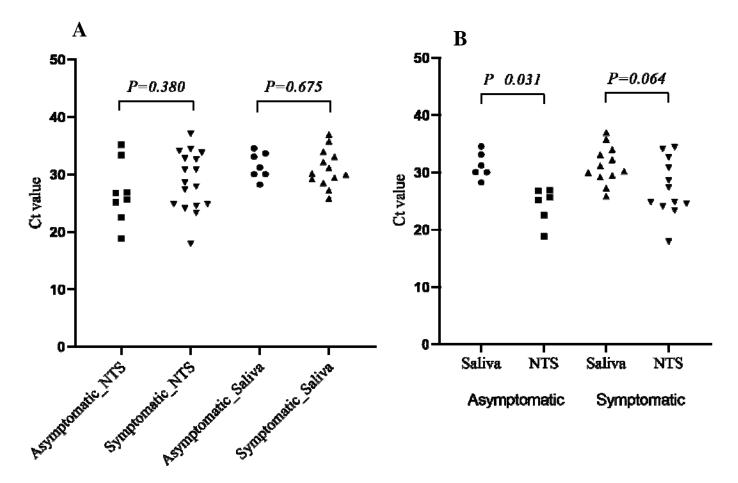
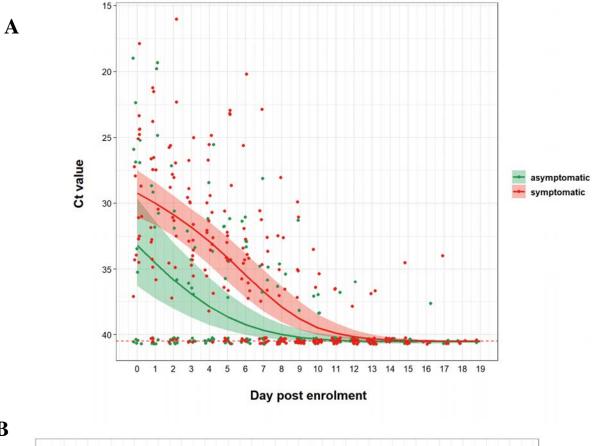


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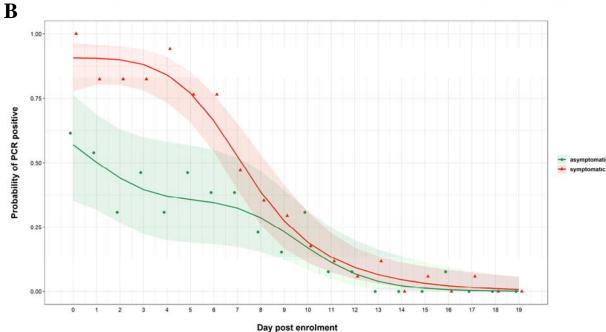


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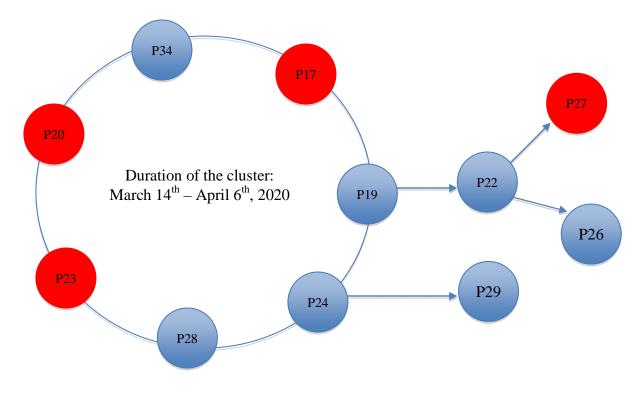
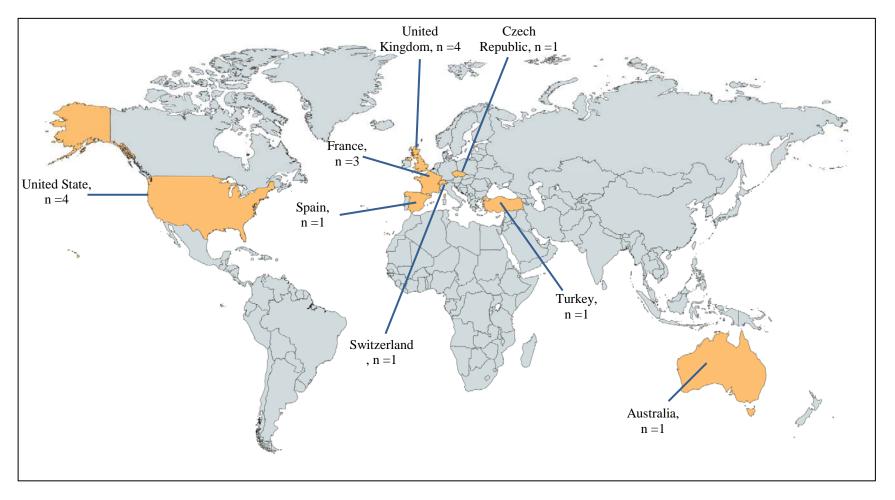


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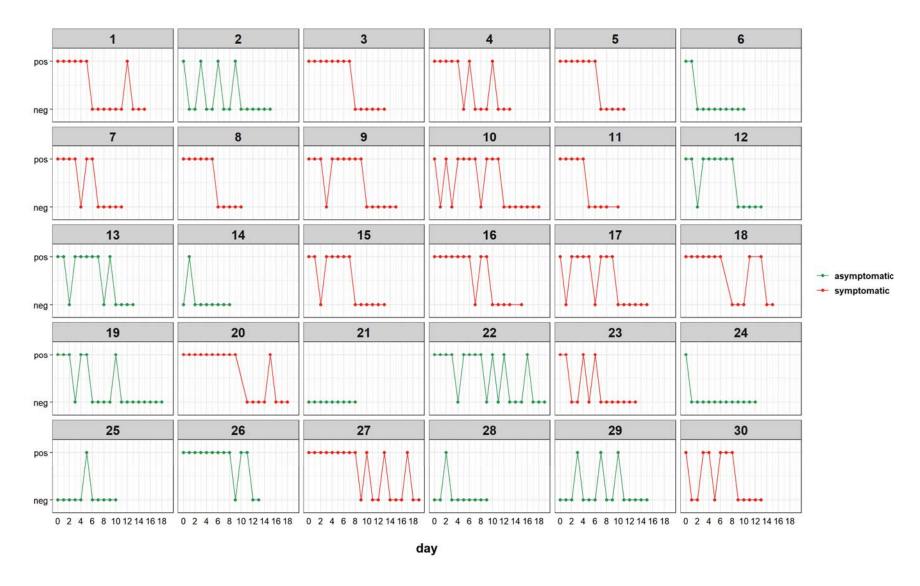
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SUPPLEMENTARY MATERIALS



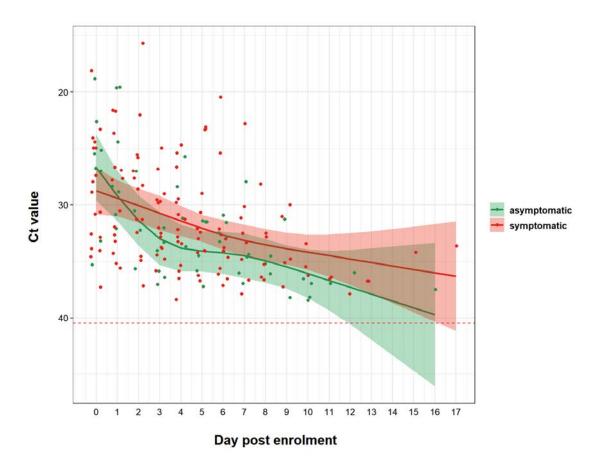
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