

# *Category Theory*

## Notes and Exercises

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### Introduction

This document contains notes and exercises from [1].

### Chapter 1

**Exercise (1).** In **Rel**, let the objects be sets and the arrows be relations between sets,<sup>1</sup> with identities and composites defined as usual for relations.

- (a) **Rel** is a category.
- (b) Let  $G : \mathbf{Sets} \rightarrow \mathbf{Rel}$  map sets to themselves and functions to their graphs, so  $G(A) = A$  and

$$G(f : A \rightarrow B) = \{\langle x, f(x) \rangle \mid x \in A\} \subseteq A \times B$$

Then  $G$  is a functor.

- (c) Let  $C : \mathbf{Rel}^{\text{op}} \rightarrow \mathbf{Rel}$  map sets to themselves and relations to their inverses, so  $C(A) = A$  and

$$C(R \subseteq A \times B) = R^{-1} = \{\langle y, x \rangle \mid \langle x, y \rangle \in R\} \subseteq B \times A$$

Then  $C$  is a functor.

*Proof.*

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<sup>1</sup>An arrow  $A \rightarrow B$  between sets  $A$  and  $B$  is understood as a triple  $(R, A, B)$  with  $R \subseteq A \times B$ .

- (a) We must verify that composition of relations is associative and unital. Suppose  $R \subseteq A \times B$ ,  $S \subseteq B \times C$ , and  $T \subseteq C \times D$ . For  $\langle w, z \rangle \in A \times D$ , by the definition of composition we have

$$\begin{aligned}
\langle w, z \rangle \in (T \circ S) \circ R &\iff \exists x \in B [\langle w, x \rangle \in R \wedge \langle x, z \rangle \in T \circ S] \\
&\iff \exists x \in B, y \in C [\langle w, x \rangle \in R \wedge \langle x, y \rangle \in S \wedge \langle y, z \rangle \in T] \\
&\iff \exists y \in C [\langle w, y \rangle \in S \circ R \wedge \langle y, z \rangle \in T] \\
&\iff \langle w, z \rangle \in T \circ (S \circ R)
\end{aligned}$$

So  $(T \circ S) \circ R = T \circ (S \circ R)$ . It is immediate that  $R \circ 1_A = R = 1_B \circ R$ , where  $1_X$  denotes the identity relation on  $X$ .

- (b) By construction,  $G$  maps objects to objects and arrows to arrows, and  $G(f : A \rightarrow B)$  is an arrow from  $G(A) = A$  to  $G(B) = B$ . Clearly  $G(1_A) = 1_A = 1_{G(A)}$ . If  $f : A \rightarrow B$  and  $g : B \rightarrow C$ , then  $(g \circ f)(x) = z$  if and only if  $f(x) = y$  and  $g(y) = z$ , so  $G(g \circ f) = G(g) \circ G(f)$ .
- (c) Recall for a relation  $R \subseteq A \times B$ ,  $R$  is represented as an arrow in **Rel** by the triple  $(R, A, B)$ , and in **Rel**<sup>op</sup> by the triple  $(R, B, A)$ , where it is denoted by  $R^*$ .<sup>2</sup> So in **Rel**,  $\text{dom } R = A$  and  $\text{cod } R = B$ , whereas in **Rel**<sup>op</sup>,  $\text{dom } R^* = B = B^*$  and  $\text{cod } R^* = A = A^*$ , where  $R$  and  $R^*$  are here treated as arrows.

By construction,  $C$  maps objects to objects and arrows to arrows. Now  $C((R, B, A)) = (R^{-1}, B, A)$ , so  $C$  preserves domains and codomains. Also

$$C(1_{A^*}) = C(1_A^*) = 1_A^{-1} = 1_A = 1_{C(A^*)}$$

For  $S \subseteq B \times C$ ,

$$C(R^* \circ S^*) = C((S \circ R)^*) = (S \circ R)^{-1} = R^{-1} \circ S^{-1} = C(R^*) \circ C(S^*) \quad \square$$

### Exercise (2).

- (a) **Rel**  $\cong$  **Rel**<sup>op</sup>
- (c) For any set  $X$  with powerset  $P(X)$ ,  $P(X) \cong P(X)^{\text{op}}$  as poset categories.

*Proof.*

- (a) The functor in Exercise 1(c) is its own inverse, hence is an isomorphism.

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<sup>2</sup>Importantly,  $R$  is *not* represented in **Rel**<sup>op</sup> by  $(R^{-1}, B, A)$ . The arrow is reversed by swapping the domain and codomain, but the underlying relation (set of ordered pairs) is unchanged.

- (c) Recall in  $P(X)$  there exists a unique arrow  $A \rightarrow B$  if and only if  $A \subseteq B$ , hence in  $P(X)^{\text{op}}$  there exists a unique arrow  $A \rightarrow B$  if and only if  $A \supseteq B$ .

For  $A \subseteq X$ , write  $\overline{A} = X - A = \{x \in X \mid x \notin A\}$ . Define  $C : P(X)^{\text{op}} \rightarrow P$  by  $C(A) = \overline{A}$  and

$$C(A \rightarrow B) = \overline{A} \rightarrow \overline{B} = C(A) \rightarrow C(B)$$

which is well defined since  $A \supseteq B$  if and only if  $\overline{A} \subseteq \overline{B}$ . Clearly  $C$  maps objects to objects and arrows to arrows, and also preserves domains and codomains. Substituting  $A$  for  $B$  above shows that  $C$  preserves identities. For  $X \supseteq A \supseteq B \supseteq D$ ,

$$C(A \rightarrow B \rightarrow D) = \overline{A} \rightarrow \overline{B} \rightarrow \overline{D} = C(A) \rightarrow C(B) \rightarrow C(D)$$

so  $C$  preserves composites. Therefore  $C$  is a functor. Since  $C$  is clearly its own inverse,  $C$  is an isomorphism.  $\square$

**Exercise (3).**

- (a) In **Sets**, the isomorphisms are precisely the bijections.
- (b) In **Mon**, the isomorphisms are precisely the bijective homomorphisms.
- (c) In **Pos**, the isomorphisms are *not* the bijective homomorphisms.

*Proof.*

- (a) A function  $f : A \rightarrow B$  has a (two-sided) inverse if and only if it is bijective. Indeed, suppose  $g : B \rightarrow A$  is an inverse of  $f$ . If  $a, a' \in A$  and  $f(a) = f(a')$ , then

$$a = 1_A(a) = (g \circ f)(a) = g(f(a)) = g(f(a')) = (g \circ f)(a') = 1_A(a') = a'$$

If  $b \in B$ , then  $b = 1_B(b) = (f \circ g)(b) = f(g(b))$ . Conversely, if  $f$  is bijective, then for each  $b \in B$  we can let  $g(b)$  be the unique  $a \in A$  with  $f(a) = b$ . Then  $g : B \rightarrow A$  is clearly an inverse of  $f$ .

- (b) A monoid homomorphism is, in particular, a function, hence an isomorphism is a bijective homomorphism by (a). Conversely, if  $f : A \rightarrow B$  is a bijective homomorphism, then  $f$  has an inverse *function*  $g : B \rightarrow A$  by (a). If  $b, b' \in B$ , then

$$bb' = 1_B(b)1_B(b') = (f \circ g)(b)(f \circ g)(b') = f(g(b))f(g(b')) = f(g(b)g(b'))$$

so

$$g(bb') = g(f(g(b)g(b'))) = (g \circ f)(g(b)g(b')) = 1_A(g(b)g(b')) = g(b)g(b')$$

Therefore  $g$  is a homomorphism and hence  $f$  is an isomorphism.

- (c) As in (b), a poset homomorphism is, in particular, a function, hence an isomorphism is a bijective homomorphism by (a). However, unlike in (b), the inverse of a bijective homomorphism need not be a homomorphism. For example, consider a poset consisting of two copies of  $\mathbb{N} = (N, \leq)$  with no relations between the copies. Map this poset into  $\mathbb{N}$  by “zipping” the two copies together, sending one to the evens in order, and the other to the odds in order. This mapping is clearly a bijective homomorphism, but its inverse is not since, for example,  $0 \leq 1$  in the image, but the preimage of 0 is not related to the preimage of 1.  $\square$

**Exercise (5).** Let  $\mathbf{C}$  be a category and  $C \in \mathbf{C}$ . Let  $U : \mathbf{C}/C \rightarrow \mathbf{C}$  “forget about the base object  $C$ ” by mapping each object  $f : A \rightarrow C$  to its domain  $A$  and each arrow  $a : A \rightarrow B$  to “itself.”<sup>3</sup> Then  $U$  is a functor.

Let  $F : \mathbf{C}/C \rightarrow \mathbf{C}^{\rightarrow}$  map objects to themselves and each arrow  $a : A \rightarrow B$  to the pair  $(a, 1_C)$ , where  $1_C$  is the identity arrow for  $C$  in  $\mathbf{C}$ . Then  $F$  is a functor, and  $\mathbf{dom} \circ F = U$ , where  $\mathbf{dom} : \mathbf{C}^{\rightarrow} \rightarrow \mathbf{C}$  is the functor mapping each object  $f : A \rightarrow B$  to its domain  $A$  and each arrow  $(g_1, g_2)$  to  $g_1$ .

*Proof.*  $U$  maps objects to objects and arrows to arrows, and preserves domains and codomains of arrows. Since  $\mathbf{C}/C$  inherits identities and composites from  $\mathbf{C}$ ,  $U$  also preserves identities and composites. Therefore  $U$  is a functor.

$F$  maps objects to objects and arrows to arrows, and preserves domains and codomains of arrows, since if  $a : A \rightarrow B$  maps  $f : A \rightarrow C$  to  $f' : B \rightarrow C$  in  $\mathbf{C}/C$ , then  $1_C \circ f = f = f' \circ a$ , hence  $(a, 1_C)$  maps  $f$  to  $f'$  in  $\mathbf{C}^{\rightarrow}$ . Since  $\mathbf{C}^{\rightarrow}$  also inherits identities and composites from  $\mathbf{C}$ ,  $F$  also preserves identities and composites. Therefore  $F$  is a functor. Clearly  $\mathbf{dom} \circ F = U$ .  $\square$

**Exercise (6).** Let  $\mathbf{C}$  be a category and  $C \in \mathbf{C}$ . Then  $C/C = \mathbf{C}^{\text{op}}/C$ .<sup>4</sup>

<sup>3</sup>An arrow in  $\mathbf{C}/C$  is understood as a triple  $(a, f, f')$  where  $a : A \rightarrow B$ ,  $f : A \rightarrow C$ , and  $f' : B \rightarrow C$  are arrows in  $\mathbf{C}$  with  $f = f' \circ a$ . So  $U((a, f, f')) = a$ .

<sup>4</sup>In this exercise, we informally identify an arrow  $f : A \rightarrow B$  in  $\mathbf{C}$  with the corresponding reversed arrow  $f^* : B^* \rightarrow A^*$  in  $\mathbf{C}^{\text{op}}$ , and with any corresponding arrows in slices or coslices.

*Proof.* The arrows out of  $C$  in  $\mathbf{C}$  are precisely the arrows into  $C$  in  $\mathbf{C}^{\text{op}}$ , and the commuting triangles among the former in  $\mathbf{C}$  are precisely the commuting triangles among the latter in  $\mathbf{C}^{\text{op}}$ . Therefore  $\mathbf{C}/\mathbf{C}$  and  $\mathbf{C}^{\text{op}}/\mathbf{C}$  have the same objects and arrows. They also have the same identities and composites since these are inherited from  $\mathbf{C}$  and  $\mathbf{C}^{\text{op}}$ , respectively, where they are the same by definition of  $\mathbf{C}^{\text{op}}$ .  $\square$

*Remark.* Similarly  $\mathbf{C}/\mathbf{C}^{\text{op}} = \mathbf{C}/\mathbf{C}$ .

**Exercise (8).** For a (small) category  $\mathbf{C}$ , let  $P(\mathbf{C})$  consist of the objects from  $\mathbf{C}$  ordered as follows:

$$A \leq B \text{ if and only if there exists an arrow } A \rightarrow B \text{ in } \mathbf{C}$$

Then  $P(\mathbf{C})$  is a preorder, and  $P : \mathbf{Cat} \rightarrow \mathbf{Pre}$  determines a functor with  $P \circ C = 1_{\mathbf{Pre}}$ , where  $C : \mathbf{Pre} \rightarrow \mathbf{Cat}$  is the evident inclusion functor.

*Proof.* Reflexivity and transitivity of the order in  $P(\mathbf{C})$  follow from the existence of identities and composites in  $\mathbf{C}$ . So  $P$  maps categories to preorders. For a functor  $F : \mathbf{C} \rightarrow \mathbf{D}$ , let  $P(F)$  be the restriction of  $F$  to objects. If  $A \leq B$  in  $P(\mathbf{C})$ , there exists an arrow  $f : A \rightarrow B$  in  $\mathbf{C}$ . But then  $F(f) : F(A) \rightarrow F(B)$  is an arrow in  $\mathbf{D}$ , so  $F(A) \leq F(B)$  in  $P(\mathbf{D})$ . Therefore  $P(F)$  is monotone, and hence  $P$  maps functors to preorder homomorphisms. Since  $P$  just restricts functors to objects, it preserves domains and codomains, identities, and composites, hence it is a functor. It is obvious that  $P \circ C = 1_{\mathbf{Pre}}$ .  $\square$

*Remark.* In general  $C \circ P \neq 1_{\mathbf{Cat}}$  because  $P$  loses information about the arrow structure of categories. Specifically, multiple arrows from one object to another will be represented by a single relation between those objects under  $P$ .

**Exercise (11).** There exists a functor  $M : \mathbf{Sets} \rightarrow \mathbf{Mon}$  mapping each set  $A$  to the free monoid on  $A$ .

*Proof.* We prove this in two ways.

(a) Let  $M(A) = A^*$  and for  $f : A \rightarrow B$  define  $M(f) : A^* \rightarrow B^*$  by

$$M(f)(a_1 \cdots a_k) = f(a_1) \cdots f(a_k) \quad a_1, \dots, a_k \in A$$

$M(f)$  is well defined on  $A^*$  since every element in  $A^*$  can be expressed uniquely as a product of elements of  $A$ , and by construction  $M(f)$  is a

monoid homomorphism extending  $f$ . So  $M$  maps objects to objects and arrows to arrows. Clearly  $M$  preserves domains and codomains of arrows and  $M(1_A) = 1_{A^*}$ . If  $g : B \rightarrow C$ , then

$$\begin{aligned} M(g \circ f)(a_1 \cdots a_k) &= (g \circ f)(a_1) \cdots (g \circ f)(a_k) \\ &= g(f(a_1)) \cdots g(f(a_k)) \\ &= M(g)(f(a_1) \cdots f(a_k)) \\ &= M(g)(M(f)(a_1 \cdots a_k)) \\ &= (M(g) \circ M(f))(a_1 \cdots a_k) \end{aligned}$$

So  $M(g \circ f) = M(g) \circ M(f)$  and  $M$  preserves composites. Therefore  $M$  is a functor.

- (b) Let  $M(A)$  be “the” free monoid on  $A$  satisfying the universal mapping property (Propositions 1.9 and 1.10). For  $f : A \rightarrow B \rightarrow |M(B)|$ , let  $M(f)$  be the unique monoid homomorphism from  $M(A)$  to  $M(B)$  extending  $f$ . Clearly  $M$  maps objects to objects and arrows to arrows, and preserves domains and codomains of arrows. Now  $1_{M(A)}$  extends  $1_A$ , hence we must have  $M(1_A) = 1_{M(A)}$ . Similarly if  $g : B \rightarrow C \rightarrow |M(C)|$ , then  $M(g) \circ M(f)$  extends  $g \circ f$ , hence we must have  $M(g \circ f) = M(g) \circ M(f)$ . Therefore  $M$  is a functor.  $\square$

*Remark.* A homomorphism  $h : M(A) \rightarrow B$  is uniquely determined by its action on  $A$ , where this action is  $|h| \circ i : A \rightarrow |M(A)| \rightarrow |B|$ . This is trivially true by the universal mapping property since  $h$  extends  $|h| \circ i$  to  $M(A)$ , that is,  $|h| \circ i = |h| \circ i$ . This is a familiar concept in mathematics (for example, a linear transformation of a vector space is uniquely determined by its action on a basis, etc.).

## Chapter 2

**Exercise (1).** In **Sets**, the epis are precisely the surjections. Therefore the isos are precisely the epi-monos.

*Proof.* If  $f : A \rightarrow B$  is a surjection, then  $f$  has a right inverse (AC), hence  $f$  is a split epi. Conversely, if  $f$  is not a surjection, there exists  $b \in B$  with  $b \notin f[A]$ . Define  $g : B \rightarrow 2$  by

$$g(x) = \begin{cases} 1 & \text{if } x = b \\ 0 & \text{otherwise} \end{cases}$$

Then  $g \neq 0$ , but  $g \circ f = 0 \circ f$ , so  $f$  is not an epi. Therefore the epis are precisely the surjections.

Now by this result and Proposition 2.2, the epi-monos are precisely the bijections. By Exercise 1.3, the bijections are precisely the isos. Therefore the epi-monos are precisely the isos.  $\square$

**Exercise (2).** In a poset category, every arrow is an epi-mono since there is at most one arrow between any two objects.

**Exercise (3).** Inverses are unique.

*Proof.* If  $f : A \rightarrow B$  and  $g, g' : B \rightarrow A$  are inverses of  $f$ , then

$$g = g \circ 1_B = g \circ (f \circ g') = (g \circ f) \circ g' = 1_A \circ g' = g' \quad \square$$

**Exercise (4).** Let  $f : A \rightarrow B$ ,  $g : B \rightarrow C$ , and  $h : A \rightarrow C$  form a commutative triangle ( $h = g \circ f$ ):



- (a) If  $f$  and  $g$  are monic [epic, iso], so is  $h$ .
- (b) If  $h$  is monic, so is  $f$ .
- (c) If  $h$  is epic, so is  $g$ .
- (d) If  $h$  is monic,  $g$  need not be.
- (e) If  $h$  is epic,  $f$  need not be.

*Proof.*

- (a) Suppose  $f$  and  $g$  are monic. If  $x, y : D \rightarrow A$  and  $h \circ x = h \circ y$ , then

$$g \circ (f \circ x) = (g \circ f) \circ x = h \circ x = h \circ y = (g \circ f) \circ y = g \circ (f \circ y)$$

so  $f \circ x = f \circ y$  since  $g$  is monic, and  $x = y$  since  $f$  is monic. Therefore  $h$  is monic.

Suppose  $f$  and  $g$  are epic. If  $i, j : C \rightarrow D$  and  $i \circ h = j \circ h$ , then

$$(i \circ g) \circ f = i \circ (g \circ f) = i \circ h = j \circ h = j \circ (g \circ f) = (j \circ g) \circ f$$

so  $i \circ g = j \circ g$  since  $f$  is epic, and  $i = j$  since  $g$  is epic. Therefore  $h$  is epic.<sup>5</sup>

If  $f$  and  $g$  are isos, then  $h^{-1} = f^{-1} \circ g^{-1}$ , so  $h$  is an iso.

(b) If  $f$  is not monic, choose  $x \neq y$  such that  $f \circ x = f \circ y$ . Then

$$h \circ x = (g \circ f) \circ x = g \circ (f \circ x) = g \circ (f \circ y) = (g \circ f) \circ y = h \circ y$$

So  $h$  is not monic.

(c) If  $g$  is not epic, choose  $i \neq j$  such that  $i \circ g = j \circ g$ . Then

$$i \circ h = i \circ (g \circ f) = (i \circ g) \circ f = (j \circ g) \circ f = j \circ (g \circ f) = j \circ h$$

So  $h$  is not epic.<sup>6</sup>

(d),(e) In **Sets**, let  $A = C = 1$  and  $B = 2$  and let  $f = 0_{A \rightarrow B}$  and  $g = 0_{B \rightarrow C}$ . Then  $h = 0_{A \rightarrow C}$  is both monic and epic, but  $g$  is not monic and  $f$  is not epic.  $\square$

**Exercise (5).** For  $f : A \rightarrow B$ , the following are equivalent:

- (a)  $f$  is an iso.
- (b)  $f$  is a mono and a split epi.
- (c)  $f$  is a split mono and an epi.
- (d)  $f$  is a split mono and a split epi.

*Proof.* It is immediate that (a)  $\implies$  (d)  $\implies$  (b),(c).

For (b)  $\implies$  (a), suppose that  $f$  is monic and  $g : B \rightarrow A$  satisfies  $f \circ g = 1_B$ . We claim  $g$  also satisfies  $g \circ f = 1_A$ , so  $f$  is an iso. But this follows from

$$f \circ (g \circ f) = (f \circ g) \circ f = 1_B \circ f = f = f \circ 1_A$$

since  $f$  is monic.

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<sup>5</sup>This also follows from the previous result by duality. If  $f$  and  $g$  are epic in  $\mathbf{C}$ , then  $f^*$  and  $g^*$  are monic in  $\mathbf{C}^{\text{op}}$ , so  $h^*$  is monic in  $\mathbf{C}^{\text{op}}$ , so  $h$  is epic in  $\mathbf{C}$ .

<sup>6</sup>This also follows from the previous result by duality. If  $h$  is epic in  $\mathbf{C}$ , then  $h^* = f^* \circ g^*$  is monic in  $\mathbf{C}^{\text{op}}$ , so  $g^*$  is monic in  $\mathbf{C}^{\text{op}}$ , so  $g$  is epic in  $\mathbf{C}$ .



For (c)  $\implies$  (a), suppose that  $f$  is epic and  $g : B \rightarrow A$  satisfies  $g \circ f = 1_A$ . We claim  $g$  also satisfies  $f \circ g = 1_B$ , so  $f$  is an iso. But this follows from

$$(f \circ g) \circ f = f \circ (g \circ f) = f \circ 1_A = f = 1_B \circ f$$

since  $f$  is epic.<sup>7</sup> □

**Exercise (7).** A retract of a projective object is projective.

*Proof.* Let  $P$  be projective and  $R$  be a retract of  $P$  where  $s : R \rightarrow P$ ,  $r : P \rightarrow R$ , and  $r \circ s = 1_R$ . Suppose  $f : R \rightarrow Y$  and  $e : X \twoheadrightarrow Y$ . Note  $f \circ r : P \rightarrow Y$ , so by projectivity of  $P$  there exists  $p : P \rightarrow X$  such that  $e \circ p = f \circ r$ :



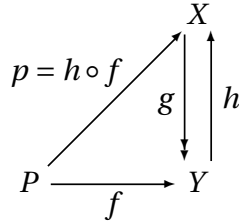
Now  $p \circ s : R \rightarrow X$  and

$$e \circ (p \circ s) = (e \circ p) \circ s = (f \circ r) \circ s = f \circ (r \circ s) = f \circ 1_R = f$$

Therefore  $R$  is projective. □

**Exercise (8).** In **Sets**, every set is projective.

*Proof.* If  $f : P \rightarrow Y$  and  $g : X \twoheadrightarrow Y$ , then since  $g$  is surjective (Exercise 1),  $g$  has a right inverse  $h : Y \rightarrow X$  with  $g \circ h = 1_Y$ . Set  $p = h \circ f : P \rightarrow X$ :



Then

$$g \circ p = g \circ (h \circ f) = (g \circ h) \circ f = 1_Y \circ f = f$$

Therefore  $P$  is projective. □

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<sup>7</sup>This also follows from the previous result by duality. If  $f$  is epic and  $g$  is a left inverse of  $f$  in  $\mathbf{C}$ , then  $f^*$  is monic and  $g^*$  is a right inverse of  $f^*$  in  $\mathbf{C}^{\text{op}}$ . Therefore  $f^*$  is an iso in  $\mathbf{C}^{\text{op}}$ , so  $f$  is an iso in  $\mathbf{C}$ .

*Remark.* Projectivity is more interesting in categories of structured sets, where it implies “freeness” of structure allowing factoring of outgoing morphisms.

**Exercise (11).** For a set  $A$ , let  $A\text{-}\mathbf{Mon}$  be the category of  $A$ -monoids  $(M, m)$ , where  $M$  is a monoid and  $m : A \rightarrow U(M)$ , with arrows  $h : (M, m) \rightarrow (N, n)$ , where  $h : M \rightarrow N$  is a monoid homomorphism and  $n = U(h) \circ m$ .

An initial object in  $A\text{-}\mathbf{Mon}$  is just a free monoid on  $A$  in  $\mathbf{Mon}$ .

*Proof.* The  $A$ -monoid  $(M, m)$  is initial if and only if for all  $A$ -monoids  $(N, n)$ , there is a unique  $A$ -monoid homomorphism  $h : (M, m) \rightarrow (N, n)$ . This is just to say that  $m : A \rightarrow U(M)$  and for all monoids  $N$  with  $n : A \rightarrow U(N)$  there is a unique monoid homomorphism  $h : M \rightarrow N$  with  $n = U(h) \circ m$ . But this is just the universal mapping property for the free monoid on  $A$  in  $\mathbf{Mon}$ .  $\square$

**Exercise (12).** For a Boolean algebra  $B$ , Boolean homomorphisms  $p : B \rightarrow \mathbf{2}$  correspond exactly to ultrafilters in  $B$ .

*Proof.* If  $p : B \rightarrow \mathbf{2}$  is a homomorphism, then  $U_p = p^{-1}(1)$  is an ultrafilter in  $B$ . Indeed,  $U_p \neq \emptyset$  since  $p(1) = 1$ , and  $U_p \neq B$  since  $p(0) = 0 \neq 1$ . If  $a \in U_p$  and  $a \leq b$ , then  $1 = p(a) \leq p(b)$ , so  $p(b) = 1$  and  $b \in U_p$ . If  $a \in U_p$  and  $b \in U_p$ , then

$$p(a \wedge b) = p(a) \wedge p(b) = 1 \wedge 1 = 1$$

so  $a \wedge b \in U_p$ . Finally, if  $a \notin U_p$  then  $p(a) = 0$ , so  $p(\neg a) = \neg p(a) = 1$  and  $\neg a \in U_p$ . On the other hand,  $p(a) \wedge p(\neg a) = 0$ , so we cannot have  $a \in U_p$  and  $\neg a \in U_p$ .

Conversely, if  $U$  is an ultrafilter in  $B$  and  $p_U : B \rightarrow \mathbf{2}$  is defined by

$$p_U(x) = \begin{cases} 1 & \text{if } x \in U \\ 0 & \text{otherwise} \end{cases}$$

then  $p$  is a homomorphism, by reasoning similar to that above. Clearly the maps  $p \mapsto U_p$  and  $U \mapsto p_U$  are mutually inverse.  $\square$

**Exercise (13).** In any category with binary products,

$$(A \times B) \times C \cong A \times (B \times C)$$

*Proof.* Instead of using the universal property of a ternary product, we use only the universal properties of the binary products. Define

$$f = \langle p_A \circ p_{A \times B}, \langle p_B \circ p_{A \times B}, p_C \rangle \rangle : (A \times B) \times C \rightarrow A \times (B \times C)$$

where the  $p$ 's are the obvious projections, and

$$g = \langle \langle q_A, q_B \circ q_{B \times C} \rangle, q_C \circ q_{B \times C} \rangle : A \times (B \times C) \rightarrow (A \times B) \times C$$

where the  $q$ 's are the obvious projections. Then  $f$  and  $g$  are inverses, so they are isomorphisms. For example,

$$\begin{aligned} f \circ g &= \langle p_A \circ p_{A \times B}, \langle p_B \circ p_{A \times B}, p_C \rangle \rangle \circ g \\ &= \langle p_A \circ p_{A \times B} \circ g, \langle p_B \circ p_{A \times B} \circ g, p_C \circ g \rangle \rangle \\ &= \langle p_A \circ \langle q_A, q_B \circ q_{B \times C} \rangle, \langle p_B \circ \langle q_A, q_B \circ q_{B \times C} \rangle, q_C \circ q_{B \times C} \rangle \rangle \\ &= \langle q_A, \langle q_B \circ q_{B \times C}, q_C \circ q_{B \times C} \rangle \rangle \\ &= \langle q_A, q_{B \times C} \rangle \\ &= 1_{A \times (B \times C)} \end{aligned} \quad \square$$

**Exercise (15).** For a category  $\mathbf{C}$  and objects  $A, B \in \mathbf{C}$ , let  $\mathbf{C}_{A,B}$  be the category with objects  $(X, x_1, x_2)$ , where  $x_1 : X \rightarrow A$  and  $x_2 : X \rightarrow B$  in  $\mathbf{C}$ , and with arrows  $f : (X, x_1, x_2) \rightarrow (Y, y_1, y_2)$ , where  $f : X \rightarrow Y$  and  $x_i = y_i \circ f$  in  $\mathbf{C}$ .

A terminal object in  $\mathbf{C}_{A,B}$  is just a product of  $A$  and  $B$  in  $\mathbf{C}$ .

*Proof.* Object  $(P, p_1, p_2)$  in  $\mathbf{C}_{A,B}$  is terminal if and only if for all objects  $(X, x_1, x_2)$  there is a unique  $p : (X, x_1, x_2) \rightarrow (P, p_1, p_2)$ . This is just to say that  $p_1 : P \rightarrow A$ ,  $p_2 : P \rightarrow B$ , and for all objects  $X \in \mathbf{C}$  with arrows  $x_1 : X \rightarrow A$  and  $x_2 : X \rightarrow B$  there is a unique  $p : X \rightarrow P$  with  $x_i = p_i \circ p$ . But this is just the universal mapping property for the product  $A \times B$  in  $\mathbf{C}$ .  $\square$

*Remark.* The objects in  $\mathbf{C}_{A,B}$  are just pairs of “generalized elements” of  $A$  and  $B$  in  $\mathbf{C}$ . A terminal object in  $\mathbf{C}_{A,B}$  has a unique “generalized element” for every such pair, hence it is just the product  $A \times B$ .

**Exercise (16).** Let  $\mathbf{C}(\lambda)$  be the category of types in the  $\lambda$ -calculus. Then the product functor  $\times : \mathbf{C}(\lambda) \times \mathbf{C}(\lambda) \rightarrow \mathbf{C}(\lambda)$  maps objects  $A$  and  $B$  to  $A \times B$  and arrows  $f : A \rightarrow B$  and  $g : A' \rightarrow B'$  to  $f \times g : A \times A' \rightarrow B \times B'$  where

$$f \times g = \lambda c. \langle f(\text{fst}(c)), g(\text{snd}(c)) \rangle$$

For any fixed type  $A$ , there is a functor  $A \rightarrow (-)$  on  $\mathbf{C}(\lambda)$  taking each type  $X$  to the type  $A \rightarrow X$ .

*Proof.* We know  $\mathbf{C}(\lambda)$  has products, so the product functor is defined on  $\mathbf{C}(\lambda)$ . For  $f : A \rightarrow B$  and  $g : A' \rightarrow B'$ , if

$$A \xleftarrow{p_1} A \times A' \xrightarrow{p_2} A'$$

where  $p_1 = \lambda z. \text{fst}(z)$  and  $p_2 = \lambda z. \text{snd}(z)$ , then

$$\begin{aligned} f \times g &= \langle f \circ p_1, g \circ p_2 \rangle \\ &= \lambda c. \langle f(p_1 c), g(p_2 c) \rangle \\ &= \lambda c. \langle f(\text{fst}(c)), g(\text{snd}(c)) \rangle \end{aligned}$$

Fix a type  $A$ . Let  $A \rightarrow (-)$  map each type  $X$  to the type  $A \rightarrow X$  and map each function  $f : X \rightarrow Y$  to the function  $\bar{f} : (A \rightarrow X) \rightarrow (A \rightarrow Y)$  given by  $\bar{f} = \lambda g. f \circ g$ , where  $f \circ g = \lambda x. f(gx)$ . We claim this mapping is a functor.

Indeed, this mapping clearly maps objects to objects and arrows to arrows and it preserves domains and codomains of arrows. It also clearly preserves identities. If  $g : Y \rightarrow Z$ , then

$$\begin{aligned} \overline{g \circ f} &= \lambda h. (g \circ f) \circ h \\ &= \lambda h. g \circ (f \circ h) \\ &= \lambda h. \bar{g}(\bar{f}h) \\ &= \bar{g} \circ \bar{f} \end{aligned}$$

So the mapping also preserves composites.<sup>8</sup> Therefore it is a functor.  $\square$

*Remark.* This result shows that in functional programming languages such as Haskell, functions of a fixed input type are “functorial” types. This implies that functions on arbitrary types can be lifted to operate on such functions through composition.

**Exercise (17).** In any category  $\mathbf{C}$  with products, define the *graph* of an arrow  $f : A \rightarrow B$  by

$$\Gamma(f) = \langle 1_A, f \rangle : A \rightarrow A \times B$$

Then  $\Gamma(f)$  is a mono for every arrow  $f$ .

In **Sets**,  $\Gamma$  determines a functor  $G : \mathbf{Sets} \rightarrow \mathbf{Rel}$  mapping sets to themselves and functions to their graphs.

---

<sup>8</sup>Note preservation of identities and composites relies on  $\beta\eta$ -equivalence for *equality* of the functions involved.

*Proof.* To see that  $\Gamma(f)$  is a mono, suppose  $x, y : X \rightarrow A$  and  $\Gamma(f) \circ x = \Gamma(f) \circ y$ . By the universal mapping property of  $A \times B$ ,

$$\Gamma(f) \circ x = \langle 1_A, f \rangle \circ x = \langle 1_A \circ x, f \circ x \rangle = \langle x, f \circ x \rangle$$

Similarly  $\Gamma(f) \circ y = \langle y, f \circ y \rangle$ . Therefore  $\langle x, f \circ x \rangle = \langle y, f \circ y \rangle$ , so  $x = y$ .

Define  $G : \mathbf{Sets} \rightarrow \mathbf{Rel}$  by  $G(A) = A$  and  $G(f : A \rightarrow B) = \Gamma(f)[A] \subseteq A \times B$ . Then clearly  $G$  is just the map from Exercise 1.1(b), which is a functor.  $\square$

*Remark.* Recall that a monoid homomorphism  $h : M(A) \rightarrow B$  is uniquely determined by its action on  $A$ . For inclusion  $i : A \rightarrow |M(A)|$  and homomorphisms  $j, k : M(A) \rightarrow B$ , this implies that if  $|j| \circ i = |k| \circ i$  then  $j = k$ . So while  $i$  is not an epi in **Sets** (it is not a surjection) and is not even an arrow in **Mon** (it is not a homomorphism), it is like an epi if we blur the line between **Sets** and **Mon**. It is “structurally surjective” in the sense that once a homomorphic structure is determined on  $A$ , it is determined on  $M(A)$ .<sup>9</sup>

## Chapter 3

**Exercise (1).** Let  $\mathbf{C}$  be a (locally small) category. Then

$$A \xrightarrow{c_1} C \xleftarrow{c_2} B$$

is a coproduct if and only if for all objects  $Z$  the function

$$\begin{aligned} \text{Hom}(C, Z) &\rightarrow \text{Hom}(A, Z) \times \text{Hom}(B, Z) \\ f &\mapsto \langle f \circ c_1, f \circ c_2 \rangle \end{aligned}$$

is an iso.

*Proof.* By duality, the given diagram is a coproduct in  $\mathbf{C}$  if and only if

$$A^* \xleftarrow{c_1^*} C^* \xrightarrow{c_2^*} B^*$$

is a product in  $\mathbf{C}^{\text{op}}$ . We know this diagram is a product in  $\mathbf{C}^{\text{op}}$  if and only if for all objects  $Z^*$ , the function

$$\begin{aligned} \text{Hom}_{\mathbf{C}^{\text{op}}}(Z^*, C^*) &\rightarrow \text{Hom}_{\mathbf{C}^{\text{op}}}(Z^*, A^*) \times \text{Hom}_{\mathbf{C}^{\text{op}}}(Z^*, B^*) \\ f^* &\mapsto \langle c_1^* \circ f^*, c_2^* \circ f^* \rangle \end{aligned}$$

---

<sup>9</sup>Compare with Example 2.5.

is an iso (Proposition 2.20). But by definition of  $\mathbf{C}^{\text{op}}$ ,

$$\text{Hom}_{\mathbf{C}^{\text{op}}}(Z^*, X^*) = \text{Hom}_{\mathbf{C}}(X, Z)$$

for all objects  $X \in \mathbf{C}$  and  $c_i^* \circ f^* = (f \circ c_i)^*$  for all arrows  $f \in \mathbf{C}$ , so the functions are the same.  $\square$

**Exercise (2).** The free monoid functor preserves coproducts, that is,

$$M(A + B) \cong M(A) + M(B)$$

*Proof.* By the universal property of  $M(A)$ , let  $i_1 : M(A) \rightarrow M(A + B)$  extend the inclusion  $A \rightarrow A + B \rightarrow |M(A + B)|$ .<sup>10</sup> Similarly let  $i_2 : M(B) \rightarrow M(A + B)$  extend the inclusion  $B \rightarrow A + B \rightarrow |M(A + B)|$ . We claim

$$M(A) \xrightarrow{i_1} M(A + B) \xleftarrow{i_2} M(B)$$

is a coproduct of  $M(A)$  and  $M(B)$ , from which the desired result follows by uniqueness of the coproduct (Proposition 3.12).

Given  $x : M(A) \rightarrow N$  and  $y : M(B) \rightarrow N$ , let  $|x|_A : A \rightarrow |N|$  be the composite of the inclusion  $A \rightarrow |M(A)|$  with  $|x| : |M(A)| \rightarrow |N|$ , and similarly let  $|y|_B : B \rightarrow |N|$  be the composite of the inclusion  $B \rightarrow |M(B)|$  with  $|y| : |M(B)| \rightarrow |N|$ . By the universal property of  $A + B$ , there is a unique copairing  $[|x|_A, |y|_B] : A + B \rightarrow |N|$ , and by the universal property of  $M(A + B)$  this copairing extends uniquely to a homomorphism  $z : M(A + B) \rightarrow N$ .

It follows from the copairing and the universal property of  $M(A)$  that  $z \circ i_1 = x$  since both  $z \circ i_1$  and  $x$  extend  $|x|_A$  to  $M(A)$ , and similarly  $z \circ i_2 = y$ . Moreover it follows from uniqueness of the copairing and the extension that  $z$  uniquely satisfies these equations. Therefore  $z$  is a copairing  $[x, y]$ , and  $M(A + B)$  is a coproduct of  $M(A)$  and  $M(B)$  as claimed.  $\square$

**Exercise (5).** Let  $\mathbf{C}$  be the category of proofs in a natural deduction system with disjunction introduction rules

$$\frac{\varphi}{\varphi \vee \psi} \quad \frac{\psi}{\varphi \vee \psi}$$

---

<sup>10</sup>The inclusion  $A \rightarrow A + B$  is from the coproduct construction, and the inclusion  $A + B \rightarrow |M(A + B)|$  is from the free monoid construction. Observe  $A \rightarrow A + B$  is lifted to  $i_1$  by the free monoid functor.

and disjunction elimination rule

$$\frac{\begin{array}{c} [\varphi] \quad [\psi] \\ \vdots \quad \vdots \\ \varphi \vee \psi \quad \vartheta \quad \vartheta \end{array}}{\vartheta}$$

Note the introduction rules give proofs  $i_1 : \varphi \rightarrow \varphi \vee \psi$  and  $i_2 : \psi \rightarrow \varphi \vee \psi$ , and the elimination rule gives a proof  $[p, q] : \varphi \vee \psi \rightarrow \vartheta$  from proofs  $p : \varphi \rightarrow \vartheta$  and  $q : \psi \rightarrow \vartheta$ .

For any proofs  $p : \varphi \rightarrow \vartheta$ ,  $q : \psi \rightarrow \vartheta$ , and  $r : \varphi \vee \psi \rightarrow \vartheta$ , identify proofs under the equations

$$[p, q] \circ i_1 = p \quad [p, q] \circ i_2 = q \quad [r \circ i_1, r \circ i_2] = r$$

to disregard unnecessary introduction and elimination of disjunction.

Then **C** has coproducts, and in fact  $\varphi + \psi = \varphi \vee \psi$ .

*Proof.* To see that

$$\varphi \xrightarrow{i_1} \varphi \vee \psi \xleftarrow{i_2} \psi$$

is a coproduct, suppose  $p : \varphi \rightarrow \vartheta$  and  $q : \psi \rightarrow \vartheta$  are proofs. Let  $r : \varphi \vee \psi \rightarrow \vartheta$  be the proof given by application of the elimination rule to  $p$  and  $q$ . Then by the first two identification rules,  $r \circ i_1 = p$  and  $r \circ i_2 = q$ . If  $s : \varphi \vee \psi \rightarrow \vartheta$  also satisfies these properties, then by the second identification rule

$$s = [s \circ i_1, s \circ i_2] = [p, q] = [r \circ i_1, r \circ i_2] = r$$

Therefore  $r$  is unique, and so  $\varphi \vee \psi$  is a coproduct.  $\square$

*Remark.* Dually, it can be shown that the category of proofs in a system with conjunction elimination rules

$$\frac{\varphi \wedge \psi}{\varphi} \quad \frac{\varphi \wedge \psi}{\psi}$$

determining proofs  $p_1 : \varphi \wedge \psi \rightarrow \varphi$  and  $p_2 : \varphi \wedge \psi \rightarrow \psi$ , together with conjunction introduction rule

$$\frac{\begin{array}{c} [\vartheta] \quad [\vartheta] \\ \vdots \quad \vdots \\ \vartheta \quad \varphi \quad \psi \end{array}}{\varphi \wedge \psi}$$

determining a proof  $\langle p, q \rangle : \vartheta \rightarrow \varphi \wedge \psi$  from proofs  $p : \vartheta \rightarrow \varphi$  and  $q : \vartheta \rightarrow \psi$ , all under identification rules

$$p_1 \circ \langle p, q \rangle = p \quad p_2 \circ \langle p, q \rangle = q \quad \langle p_1 \circ r, p_2 \circ r \rangle = r$$

for arbitrary proofs  $p : \vartheta \rightarrow \varphi$ ,  $q : \vartheta \rightarrow \psi$ , and  $r : \vartheta \rightarrow \varphi \wedge \psi$ , has products, and in fact  $\varphi \times \psi = \varphi \wedge \psi$ .

**Exercise (6).** The category **Mon** has all equalizers.

*Proof.* Given any monoid homomorphisms  $f, g : A \rightarrow B$ , define the set

$$E = \{x \in A \mid f(x) = g(x)\}$$

We claim  $E$  is a submonoid of  $A$ . Indeed,  $u_A \in E$  since  $f(u_A) = u_B = g(u_A)$ , and if  $x, y \in E$  then  $xy \in E$  since

$$f(xy) = f(x)f(y) = g(x)g(y) = g(xy)$$

Let  $i : E \rightarrow A$  be the inclusion homomorphism. It follows that  $i$  is an equalizer of  $f$  and  $g$ , by the same argument used in **Sets**.  $\square$

**Exercise (7).** Let **C** be a category with coproducts. If  $P$  and  $Q$  are projective, then  $P + Q$  is projective.

*Proof.* Suppose

$$P \xrightarrow{i_1} P + Q \xleftarrow{i_2} Q$$

is a coproduct diagram. If  $f : P + Q \rightarrow X$  and  $e : E \rightarrow X$ , then by projectivity of  $P$  and  $Q$  there exist arrows  $\overline{f \circ i_1} : P \rightarrow E$  and  $\overline{f \circ i_2} : Q \rightarrow E$  satisfying equations  $e \circ \overline{f \circ i_k} = f \circ i_k$ . Define  $\overline{f} = [\overline{f \circ i_1}, \overline{f \circ i_2}]$ . Then

$$e \circ \overline{f} = e \circ [\overline{f \circ i_1}, \overline{f \circ i_2}] = [e \circ \overline{f \circ i_1}, e \circ \overline{f \circ i_2}] = [f \circ i_1, f \circ i_2] = f$$

Therefore  $P + Q$  is projective.  $\square$

**Exercise (8).** An object  $Q$  is *injective* in a category **C** if  $Q^*$  is projective in  $\mathbf{C}^{\text{op}}$ , that is, if for all arrows  $f : X \rightarrow Q$  and monos  $m : X \rightarrow A$ , there exists  $\overline{f} : A \rightarrow Q$  such that  $\overline{f} \circ m = f$ :



In **Pos**, the empty poset is not injective, but the singleton poset is injective.



*Proof.* For the empty poset, consider any nonempty  $A$ . □

**Exercise (11).** The category **Sets** has all coequalizers.

*Proof.* Given any functions  $f, g : A \rightarrow B$ , let  $\sim$  be the equivalence relation on  $B$  generated by pairs  $f(x) \sim g(x)$  for all  $x \in A$ .<sup>11</sup> Let  $C$  be the quotient  $B/\sim$ . We claim the projection  $\pi : B \rightarrow C$  given by  $y \mapsto [y]$  is a coequalizer of  $f$  and  $g$ .

Clearly  $\pi \circ f = \pi \circ g$  since for  $x \in A$ ,  $f(x) \sim g(x)$ , hence

$$\pi(f(x)) = [f(x)] = [g(x)] = \pi(g(x))$$

Suppose  $h : B \rightarrow D$  satisfies  $h \circ f = h \circ g$ . Let  $\sim_h$  be the equivalence relation on  $B$  defined by

$$y \sim_h z \iff h(y) = h(z)$$

Note  $f(x) \sim_h g(x)$  for all  $x \in A$  since  $h \circ f = h \circ g$ . This implies  $\sim \subseteq \sim_h$ , so if  $y \sim z$  then  $h(y) = h(z)$ . In other words,  $h$  respects  $\sim$ . Define  $\bar{h} : C \rightarrow D$  by  $[y] \mapsto h(y)$ . Then  $\bar{h}$  is well defined since  $h$  respects  $\sim$ , and  $\bar{h} \circ \pi = h$ . Moreover,  $\bar{h}$  is unique since  $\pi$  is epic (surjective).

Therefore  $\pi$  is a coequalizer of  $f$  and  $g$ . □

**Exercise (14).** In the category **Sets**:

(a) If  $f : A \rightarrow B$  and

$$A \xleftarrow{p_1} A \times A \xrightarrow{p_2} A$$

then the equalizer of  $f \circ p_1$  and  $f \circ p_2$  is an equivalence relation on  $A$ , called the *kernel* of  $f$ .

(b) If  $R$  is an equivalence relation on  $A$  and  $\pi : A \rightarrow A/R$  is the projection  $x \mapsto [x]$ , then  $\ker \pi = R$ .

(c) If  $R$  is a binary relation on  $A$  and  $\langle R \rangle$  is the equivalence relation on  $A$  generated by  $R$ , then the projection  $\pi : A \rightarrow A/\langle R \rangle$  is a coequalizer of the projections  $p_1, p_2 : R \rightarrow A$ .

(d) If  $R$  is a binary relation on  $A$ , then  $\langle R \rangle$  is just the kernel of the coequalizer of the projections  $p_1, p_2 : R \rightarrow A$ .

*Proof.*

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<sup>11</sup>This is defined as the intersection of all equivalence relations on  $B$  containing all such pairs, which is the smallest equivalence relation containing all such pairs. Note this intersection is well defined since  $B \times B$  is an equivalence relation on  $B$  containing all such pairs.

(a) We know (Example 3.15) that the equalizer is just (the inclusion of)

$$\begin{aligned} E &= \{(x, y) \mid f \circ p_1(x, y) = f \circ p_2(x, y)\} \\ &= \{(x, y) \mid f(x) = f(y)\} \subseteq A \times A \end{aligned}$$

It is immediate that  $E$  is an equivalence relation on  $A$ .

(b) We have

$$\begin{aligned} (x, y) \in \ker \pi &\iff \pi(x) = \pi(y) \\ &\iff [x] = [y] \\ &\iff (x, y) \in R \end{aligned}$$

(c) By the proof of Exercise 11 with  $f = p_1$  and  $g = p_2$ .

(d) By part (b) we know  $\langle R \rangle = \ker \pi$  where  $\pi : A \rightarrow A/\langle R \rangle$  is the projection  $x \mapsto [x]$ , and by part (c) we know  $\pi$  is a coequalizer of the projections  $p_1, p_2 : R \rightarrow A$ .  $\square$

*Remark.* The kernel of a function is just the set of pairs of elements identified or equated by the function. For projection under an equivalence relation, this is obviously just the relation itself. If we want to identify elements under an *arbitrary* relation (using a quotient construction), then we must also identify elements under the equivalence relation generated by that relation.

## Chapter 4

*Remark.* For a group in a category, the characterizing “equations”

$$\begin{aligned} m(m(x, y), z) &= m(x, m(y, z)) \\ m(x, u) &= x = m(u, x) \\ m(x, ix) &= u = m(ix, x) \end{aligned}$$

must be understood to *mean* that the following diagrams commute:

$$\begin{array}{ccccc} (Z \times Z) \times Z & \xrightarrow{\cong} & Z \times (Z \times Z) & & \\ \downarrow (m \circ (x \times y)) \times z & & \downarrow x \times (m \circ (y \times z)) & & \\ G \times G & \xrightarrow{m} & G & \xleftarrow{m} & G \times G \end{array}$$

$$\begin{array}{ccccc}
Z \times 1 & \xleftarrow[\cong]{\langle 1_Z, ! \rangle} & Z & \xrightarrow[\cong]{\langle !, 1_Z \rangle} & 1 \times Z \\
\downarrow x \times u & & \downarrow x & & \downarrow u \times x \\
G \times G & \xrightarrow{m} & G & \xleftarrow{m} & G \times G \\
\downarrow x \times ix & & \downarrow u! & & \downarrow ix \times x \\
Z \times Z & \xleftarrow{\Delta} & Z & \xrightarrow{\Delta} & Z \times Z \\
\downarrow x \times ix & & \downarrow u! & & \downarrow ix \times x \\
G \times G & \xrightarrow{m} & G & \xleftarrow{m} & G \times G
\end{array}$$

Note that a “pair” like  $(x, y)$  in an “equation” is interpreted as a product arrow  $x \times y$  in the corresponding diagram.

The defining diagrams for  $G$  commute if and only if the above diagrams commute for all  $x, y, z : Z \rightarrow G$ . Indeed, for the forward direction, the above diagrams can be factored through the diagrams for  $G$ ; for the reverse direction, taking  $x = y = z = 1_G$  in the above diagrams yields the diagrams for  $G$ .

*Remark.* The homomorphism theorem for groups (Theorem 4.10) shows that for a group homomorphism  $h : G \rightarrow H$ ,  $\ker h$  is universal among the normal subgroups of  $G$  factorization through which preserves  $h$ . Equivalently,  $G/\ker h$  is universal among quotients through which  $h$  is preserved.

In detail,  $K = \ker h$  is a normal subgroup of  $G$  making the following diagram commute:

$$\begin{array}{ccc}
G & \xrightarrow{h} & H \\
\downarrow \pi_K & \nearrow \overline{h_K} & \\
G/K & & 
\end{array}$$

Given any normal subgroup  $N$  of  $G$  making the following diagram commute:

$$\begin{array}{ccc}
G & \xrightarrow{h} & H \\
\downarrow \pi_N & \nearrow \overline{h_N} & \\
G/N & & 
\end{array}$$

there exists a unique homomorphism  $\pi_{K/N} : G/N \rightarrow G/K$  making the following diagram commute:

$$\begin{array}{ccc} G/N & \xrightarrow{\overline{h_N}} & H \\ \pi_{K/N} \downarrow & \nearrow \overline{h_K} & \\ G/K & & \end{array}$$

Indeed,  $\pi_{K/N}([x]_N) = [x]_K$  is a well defined homomorphism since  $N \subseteq K$ , and

$$(\overline{h_K} \circ \pi_{K/N})([x]_N) = \overline{h_K}(\pi_{K/N}([x]_N)) = \overline{h_K}([x]_K) = h(x) = \overline{h_N}([x]_N)$$

Also  $\pi_{K/N}$  is unique since  $\overline{h_K}$  is injective. In other words,  $\overline{h_N}$  factors uniquely through  $\overline{h_K}$ .

Intuitively, as  $N$  ranges from 1 to  $K$ ,  $G/N$  “collapses” more and more of the structure of  $G$  while still preserving  $h$ . Since  $G/K$  is the “smallest” with this property, it is always possible to collapse from  $G/N$  to  $G/K$  and still preserve  $h$ .

Observe  $\pi_{K/N}$  is surjective and  $\ker \pi_{K/N} = K/N$ , from which it follows that

$$(G/N)/(K/N) \cong G/K$$

This is just the third isomorphism theorem for groups.

**Exercise (1).** Let  $G$  be a group. A categorical congruence  $\sim$  on  $G$  (viewed as a category<sup>12</sup>) is the same thing as an equivalence relation on  $G$  determined by a normal subgroup  $N \subseteq G$ . Moreover,  $G/\sim = G/N$ .

*Proof.* If  $\sim$  is a categorical congruence on  $G$ , then  $\sim$  determines an equivalence relation on the arrows of  $G$ , which are just the elements of  $G$ . Let  $N = [1]$  be the equivalence class of the identity  $1 \in G$ . For  $x, y \in G$ , observe

$$\begin{aligned} x \sim y &\iff xy^{-1} \sim yy^{-1} = 1 && \text{by closure of } \sim \\ &\iff xy^{-1} \in [1] = N \end{aligned}$$

Now  $1 \in N$ , and if  $x, y \in N$  then  $x \sim y$ , so  $xy^{-1} \in N$ . Hence  $N$  is a subgroup of  $G$ . Moreover, if  $x \in N$  and  $y \in G$ , then again by closure

$$yx y^{-1} \sim y1 y^{-1} = yy^{-1} = 1$$

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<sup>12</sup>Recall a group is a category with only one object in which every arrow is an iso.

so  $yxy^{-1} \in N$ . Hence  $N$  is normal. The above biconditional shows that  $\sim$  is just the equivalence relation determined by  $N$ .

Conversely, if  $N$  is a normal subgroup of  $G$ , then the equivalence relation defined by

$$x \sim y \iff xy^{-1} \in N$$

is a categorical congruence. Indeed, if  $x \sim y$  then  $x$  is trivially parallel to  $y$  since all arrows are parallel (there being only one object). If  $x \sim y$ , then  $xy^{-1} \in N$ , so for  $w, z \in G$ ,

$$wxz(wyz)^{-1} = wxzz^{-1}y^{-1}w^{-1} = w(xy^{-1})w^{-1} \in N$$

since  $N$  is normal, so  $wxz \sim wyz$ . Hence  $\sim$  is closed under composition.

Now for congruence  $\sim$ ,  $G/\sim$  consists of one object and arrows which are the equivalence classes of the arrows in  $G$  under  $\sim$ , composed by  $[x][y] = [xy]$ . This arrow structure matches the element structure of  $G/N$ , where  $N$  is the normal subgroup of  $G$  corresponding to  $\sim$ . Therefore  $G/\sim = G/N$ .  $\square$

*Remark.* This exercise shows that the homomorphism theorem for categories (Theorem 4.13) is in fact a generalization of the homomorphism theorem for groups (Theorem 4.10).

**Exercise (3).** If  $G$  is an abelian group, then  $G$  is a group in the category of groups.

*Proof.* Since  $G$  is a group,  $G$  is an object in the category. Define  $m : G \times G \rightarrow G$  by  $m(x, y) = xy$ . Then for  $(x, y), (x', y') \in G \times G$ ,

$$\begin{aligned} m((x, y)(x', y')) &= m(xx', yy') && \text{since } (x, y)(x', y') = (xx', yy') \\ &= xx'yy' \\ &= xyx'y' && \text{since } G \text{ is abelian} \\ &= m(x, y)m(x', y') \end{aligned}$$

So  $m$  is a homomorphism, that is, an arrow in the category. Define  $u : 1 \rightarrow G$  by  $u(u) = u$  and  $i : G \rightarrow G$  by  $i(x) = x^{-1}$ . Clearly  $u$  is a homomorphism. If  $x, y \in G$ , then

$$i(xy) = (xy)^{-1} = y^{-1}x^{-1} = x^{-1}y^{-1} = i(x)i(y)$$

since  $G$  is abelian. So  $i$  is a homomorphism.

Now for  $x, y, z \in G$ , we have

$$m(m(x, y), z) = m(xy, z) = (xy)z = x(yz) = m(x, yz) = m(x, m(y, z))$$

and

$$m(x, u) = xu = x = ux = m(u, x)$$

and

$$m(x, i(x)) = m(x, x^{-1}) = xx^{-1} = u = x^{-1}x = m(x^{-1}, x) = m(i(x), x)$$

So  $m$  is associative,  $u$  is a unit for  $m$ , and  $i$  is an inverse for  $m$ , for elements of  $G$ . It follows that this is also true for generalized elements of  $G$ , by definition of the homomorphism composition and pairing operations in the category. Therefore  $G$  is a group in the category.  $\square$

**Exercise (7).** Let  $\sim$  be a congruence in  $\mathbf{C}$ . If  $f, f' : A \rightarrow B$ ,  $g, g' : B \rightarrow C$ ,  $f \sim f'$ , and  $g \sim g'$ , then  $gf \sim g'f'$ .

*Proof.* By two applications of closure, we have

$$gf \sim gf' \sim g'f' \quad \square$$

*Remark.* Together with the fact that congruent arrows are parallel, this exercise shows that composition in the congruence category  $\mathbf{C}^\sim$  is well defined.

**Exercise (8).** Let  $F, G : \mathbf{C} \rightarrow \mathbf{D}$  be functors such that  $F(X) = G(X)$  for all objects  $X \in \mathbf{C}$ . Define a relation  $\sim$  on the arrows of  $\mathbf{D}$  as follows:

$$f \sim g \iff f \text{ and } g \text{ are parallel and for all functors } H : \mathbf{D} \rightarrow \mathbf{E} \text{ with } HF = HG, H(f) = H(g).$$

Then  $\sim$  is a congruence on  $\mathbf{D}$ , and  $\mathbf{D}/\sim$  is a coequalizer of  $F$  and  $G$ .

*Proof.* It is immediate that  $\sim$  is an equivalence relation on parallel arrows. If  $f, g : A \rightarrow B$ ,  $a : X \rightarrow A$ ,  $b : B \rightarrow Y$ , and  $f \sim g$ , we claim  $bfa \sim bga$ . Indeed,  $bfa$  and  $bga$  are parallel since  $f$  and  $g$  are parallel, and if  $H : \mathbf{D} \rightarrow \mathbf{E}$  is a functor with  $HF = HG$ , then

$$H(bfa) = H(b)H(f)H(a) = H(b)H(g)H(a) = H(bga)$$

So  $\sim$  is closed under composition, and hence is a congruence.

Now if  $f \in \mathbf{C}$ , then  $F(f)$  and  $G(f)$  are parallel since  $F$  and  $G$  agree on objects, and if  $H : \mathbf{D} \rightarrow \mathbf{E}$  with  $HF = HG$ , then

$$H(F(f)) = HF(f) = HG(f) = H(G(f))$$

Therefore  $F(f) \sim G(f)$  for all  $f \in \mathbf{C}$ .<sup>13</sup>

Let  $P : \mathbf{D} \rightarrow \mathbf{D}/\sim$  be the projection functor  $f \mapsto [f]$ . Then  $PF$  and  $PG$  agree on objects since  $F$  and  $G$  do, and  $PF$  and  $PG$  agree on arrows since  $F(f) \sim G(f)$  for all  $f \in \mathbf{C}$ . Therefore  $PF = PG$ . If  $H : \mathbf{D} \rightarrow \mathbf{E}$  is any functor with  $HF = HG$ , then  $H$  respects the congruence by definition of the congruence, so the functor  $\overline{H} : \mathbf{D}/\sim \rightarrow \mathbf{E}$  given by  $[f] \mapsto H(f)$  is well defined with  $\overline{H}P = H$ . Moreover,  $\overline{H}$  is unique in this regard since  $P$  is epic.  $\square$

## Chapter 5

*Remark.* The pullback functor  $\mathbf{C}^{\text{op}} \rightarrow \mathbf{Cat}$  (Proposition 5.10) is closely related to the slice functor  $\mathbf{C}/(-) : \mathbf{C} \rightarrow \mathbf{Cat}$  (Section 1.6). They both map an object  $C$  to the slice category  $\mathbf{C}/C$ . The former maps an arrow to a functor taking the “inverse image” (pullback) under that arrow, while the latter maps an arrow to a functor taking the image (composite) under that arrow.

**Exercise (1).** Let  $\mathbf{C}$  be a category and  $X \in \mathbf{C}$ . A pullback in  $\mathbf{C}$  over  $X$  is just a product in  $\mathbf{C}/X$ .

*Proof.* This follows directly from the universal mapping properties. Alternately, we know (Example 5.20) that a pullback is a limit of a diagram of this type:



Similarly (Example 5.17), a product is a limit of a diagram of this type:



A diagram of the former type in  $\mathbf{C}$  over  $X$  is just a diagram of the latter type in  $\mathbf{C}/X$ , so the limits coincide.  $\square$

**Exercise (3).** A pullback of a mono is a mono.

<sup>13</sup>In fact,  $\sim$  is the congruence generated by pairs  $F(f) \sim G(f)$  for all arrows  $f \in \mathbf{C}$ . Compare with Exercise 3.13.

*Proof.* Suppose  $m : M \rightarrowtail A$  is a mono and  $m' : M' \rightarrow A'$  is a pullback of  $m$  along  $f : A' \rightarrow A$ . Further suppose  $x, y : X \rightarrow M'$  with  $m'x = m'y$ :

$$\begin{array}{ccccc}
 X & \xrightarrow{x} & M' & \xrightarrow{f'} & M \\
 & \searrow y & \downarrow m' & & \downarrow m \\
 & & A' & \xrightarrow{f} & A
 \end{array}$$

Then

$$m(f'x) = (mf')x = (fm')x = f(m'x) = f(m'y) = (fm')y = (mf')y = m(f'y)$$

It follows that  $f'x = f'y$  since  $m$  is monic. Set  $g = m'x = m'y$  and  $h = f'x = f'y$ . Since  $M'$  is a pullback, there is a unique  $z : X \rightarrow M'$  with  $m'z = g$  and  $f'z = h$ , and since  $x$  and  $y$  both satisfy these equations, it follows that  $x = y$ . Therefore  $m'$  is monic as desired.  $\square$

**Exercise (4).** Let  $\mathbf{C}$  be a category,  $A \in \mathbf{C}$ , and  $M, N \in \text{Sub}_{\mathbf{C}}(A)$ . Then

$$M \subseteq N \iff \forall z : Z \rightarrow A (z \in_A M \implies z \in_A N)$$

*Proof.* If  $M \subseteq N$ , let  $i : M \rightarrow N$  satisfy  $m = ni$ . For  $z : Z \rightarrow A$  with  $z \in_A M$ , let  $\bar{z} : Z \rightarrow M$  satisfy  $z = m\bar{z}$ :

$$\begin{array}{ccccc}
 Z & \xrightarrow{\bar{z}} & M & \xrightarrow{i} & N \\
 & \searrow z & \downarrow m & & \nearrow n \\
 & & A & & 
 \end{array}$$

Then

$$z = m\bar{z} = (ni)\bar{z} = n(i\bar{z})$$

So  $i\bar{z} : Z \rightarrow N$  witnesses  $z \in_A N$ .

Conversely, if  $z \in_A M$  implies  $z \in_A N$ , then since  $m \in_A M$  trivially ( $m = m1_A$ ), we have  $m \in_A N$ . This means there is  $i : M \rightarrow N$  with  $m = ni$ , so  $M \subseteq N$ .  $\square$

**Exercise (5).** Let  $\mathbf{C}$  be a category,  $A \in \mathbf{C}$ , and  $M, N \in \text{Sub}_{\mathbf{C}}(A)$ . Then

$$M \equiv N \iff \forall z : Z \rightarrow A (z \in_A M \iff z \in_A N)$$



*Proof.* Immediate from Exercise 4.  $\square$

*Remark.* The previous two results justify the abuse of subset notation for sub-objects through the abuse of set membership notation for generalized elements.

**Exercise (6).** Let  $\mathbf{C}$  be a category with products and pullbacks. Then  $\mathbf{C}$  has equalizers.

*Proof.* Given  $f, g : A \rightarrow B$ , construct this pullback:

$$\begin{array}{ccc} E & \xrightarrow{h} & B \\ \downarrow e & & \downarrow \langle 1_B, 1_B \rangle \\ A & \xrightarrow{\langle f, g \rangle} & B \times B \end{array}$$

We claim that  $e : E \rightarrow A$  is an equalizer of  $f$  and  $g$ . Indeed, since the diagram commutes,

$$\langle fe, ge \rangle = \langle f, g \rangle e = \langle 1_B, 1_B \rangle h = \langle h, h \rangle$$

Therefore  $fe = ge$ . And if  $z : Z \rightarrow A$  with  $fz = gz$ , then this square commutes:

$$\begin{array}{ccc} Z & \xrightarrow{fz = gz} & B \\ \downarrow z & & \downarrow \langle 1_B, 1_B \rangle \\ A & \xrightarrow{\langle f, g \rangle} & B \times B \end{array}$$

Since  $E$  is a pullback, there exists a unique  $u : Z \rightarrow E$  with  $z = eu$ .  $\square$

**Exercise (7).** Let  $\mathbf{C}$  be a locally small category with all small limits and  $C \in \mathbf{C}$ . Then the representable functor

$$\mathrm{Hom}_{\mathbf{C}}(C, -) : \mathbf{C} \rightarrow \mathbf{Sets}$$

is continuous.

*Proof.* We prove this directly from the definition of limit, not using products and equalizers.<sup>14</sup>

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<sup>14</sup>Compare the proof of Proposition 5.25.

Write  $H = \text{Hom}_{\mathbf{C}}(C, -)$ . Let  $D : \mathbf{J} \rightarrow \mathbf{C}$  be a diagram of type  $\mathbf{J}$  in  $\mathbf{C}$ , and let  $p_j : \varprojlim_j D_j \rightarrow D_j$  be a limit for  $D$  in  $\mathbf{C}$ . We claim  $H(p_j) : H(\varprojlim_j D_j) \rightarrow H(D_j)$  is a limit for  $HD$  in **Sets**. Indeed, clearly it is a cone to  $HD$  in **Sets** since  $H$  is a functor. Suppose  $(X, x_j)$  is a cone to  $HD$  in **Sets**, so the arrows  $x_j : X \rightarrow H(D_j)$  form commutative triangles of the following form for  $\alpha : i \rightarrow j$  in  $\mathbf{J}$ :

$$\begin{array}{ccc} & X & \\ x_i \swarrow & & \searrow x_j \\ H(D_i) & \xrightarrow{H(D_\alpha)} & H(D_j) \end{array}$$

We must show there is a unique  $u : X \rightarrow H(\varprojlim_j D_j)$  in **Sets** with  $x_j = H(p_j)u$ . To this end, observe that for  $x \in X$ ,  $(C, x_j(x))$  is a cone to  $D$  in  $\mathbf{C}$ . Indeed, from the above diagram it follows that for  $j \in \mathbf{J}$ ,  $x_j(x) : C \rightarrow D_j$  in  $\mathbf{C}$  and for  $\alpha : i \rightarrow j \in \mathbf{J}$ , this diagram commutes:

$$\begin{array}{ccc} & C & \\ x_i(x) \swarrow & & \searrow x_j(x) \\ D_i & \xrightarrow{D_\alpha} & D_j \end{array}$$

Let  $u(x)$  be the unique  $C \rightarrow \varprojlim_j D_j$  in  $\mathbf{C}$  with  $x_j(x) = p_j u(x)$ . Then for  $x \in X$ ,

$$(H(p_j)u)(x) = H(p_j)(u(x)) = p_j u(x) = x_j(x)$$

So  $H(p_j)u = x_j$ . Moreover, if  $u' : X \rightarrow H(\varprojlim_j D_j)$  in **Sets** satisfies  $x_j = H(p_j)u'$ , then for  $x \in X$ ,  $u'(x) : C \rightarrow \varprojlim_j D_j$  in  $\mathbf{C}$  with  $x_j(x) = H(p_j)u'(x)$ , so  $u'(x) = u(x)$  by uniqueness of  $u(x)$ . Therefore  $u' = u$ , so  $u$  is unique as needed.  $\square$

**Exercise (9).** Let  $\mathbf{C}$  be a category with limits of type  $\mathbf{J}$ . There exists a category **Diagrams**( $\mathbf{J}, \mathbf{C}$ ) of diagrams of type  $\mathbf{J}$  in  $\mathbf{C}$ , and a limit functor

$$\varprojlim_{\mathbf{J}} : \mathbf{Diagrams}(\mathbf{J}, \mathbf{C}) \rightarrow \mathbf{C}$$

In particular, there exists a product functor

$$\prod_{i \in I} : \mathbf{Sets}^I \rightarrow \mathbf{Sets}$$

for  $I$ -indexed families of sets.

*Proof.* The objects in **Diagrams**(**J**, **C**) are functors  $F : \mathbf{J} \rightarrow \mathbf{C}$ , and the arrows are natural transformations between those functors.<sup>15</sup> More specifically, an arrow  $\theta : F \rightarrow G$  between  $F : \mathbf{J} \rightarrow \mathbf{C}$  and  $G : \mathbf{J} \rightarrow \mathbf{C}$  is a family of arrows  $\theta_j : Fj \rightarrow Gj$  for each  $j \in \mathbf{J}$  such that for  $\alpha : i \rightarrow j \in \mathbf{J}$ , this square commutes:

$$\begin{array}{ccc} Fi & \xrightarrow{\theta_i} & Gi \\ F\alpha \downarrow & & \downarrow G\alpha \\ Fj & \xrightarrow{\theta_j} & Gj \end{array}$$

The identity  $1_F$  consists of the identity arrows  $1_{Fj}$  for  $j \in \mathbf{J}$ . If  $\theta : F \rightarrow G$  and  $\lambda : G \rightarrow H$ , then  $\lambda\theta : F \rightarrow H$  consists of the composite arrows  $\lambda_j\theta_j$  for  $j \in \mathbf{J}$ . Indeed, the diagrams involved obviously commute, and associativity and unity of composition are inherited from **C**.

For  $F : \mathbf{J} \rightarrow \mathbf{C}$ , let  $\varprojlim F$  be the vertex of the limit of  $F$  in **C**. For  $\theta : F \rightarrow G$ , let  $f_j : \varprojlim F \rightarrow Fj$  and  $g_j : \varprojlim G \rightarrow Gj$  in **C** and observe that  $\theta_j f_j : \varprojlim F \rightarrow Gj$  is a cone to  $G$  in **C**. Let  $\varprojlim \theta$  be the unique  $u_\theta : \varprojlim F \rightarrow \varprojlim G$  in **C** such that  $\theta_j f_j = g_j u_\theta$ . We claim  $\varprojlim$  is a functor. Indeed, it clearly maps objects to objects and arrows to arrows and preserves domains and codomains of arrows. It is also clear that  $\varprojlim 1_F = 1_{\varprojlim F}$ . If  $\theta : F \rightarrow G$  and  $\lambda : G \rightarrow H$ , then  $\varprojlim \lambda\theta$  is the unique  $u_{\lambda\theta} : \varprojlim F \rightarrow \varprojlim H$  such that  $\lambda_j \theta_j f_j = h_j u_{\lambda\theta}$ . Now  $u_\lambda u_\theta : \varprojlim F \rightarrow \varprojlim H$  and it follows from  $\theta_j f_j = g_j u_\theta$  and  $\lambda_j g_j = h_j u_\lambda$  that  $\lambda_j \theta_j f_j = h_j u_\lambda u_\theta$ . Therefore  $u_{\lambda\theta} = u_\lambda u_\theta$  by uniqueness of  $u_{\lambda\theta}$ , that is,  $\varprojlim \lambda\theta = (\varprojlim \lambda)(\varprojlim \theta)$ , as desired.

Now viewing the set  $I$  as a discrete category, an  $I$ -indexed family of sets is just a diagram of type  $I$  in **Sets**, and in this case the limit is just the product. Hence  $\prod$  is a functor by the above.  $\square$

*Remark.* It follows that if  $F, G : \mathbf{J} \rightarrow \mathbf{C}$  and  $F \cong G$ , then  $\varprojlim_{\mathbf{J}} F \cong \varprojlim_{\mathbf{J}} G$ .

**Exercise (12).** In **Pos**, let  $[n] = \{0 \leq \dots \leq n\}$ , let  $[n] \rightarrow [n+1]$  be inclusion, and let the sequence  $S : \omega \rightarrow \mathbf{Pos}$  be given by

$$[0] \rightarrow [1] \rightarrow \dots [n] \rightarrow [n+1] \rightarrow \dots$$

Then  $\varprojlim S = [0]$  and  $\varinjlim S = \omega$ .

*Proof.* Immediate from definitions.  $\square$

<sup>15</sup>In other words, **Diagrams**(**J**, **C**) is just the functor category **C**<sup>**J**</sup>. See Chapter 7.

## Chapter 6

*Remark.* Let  $\mathbf{C}$  be a locally small category with binary products and  $B, C \in \mathbf{C}$ . An object  $C^B \in \mathbf{C}$  is an exponential for  $B$  and  $C$  if and only if

$$\mathrm{Hom}_{\mathbf{C}}(A \times B, C) \cong \mathrm{Hom}_{\mathbf{C}}(A, C^B) \quad (1)$$

for all  $A \in \mathbf{C}$  and the isomorphism is natural in  $A$ .<sup>16</sup>

*Proof.* If  $C^B$  is an exponential, let  $\epsilon : C^B \times B \rightarrow C$  be the evaluation arrow. We know that transposition relative to  $\epsilon$  induces the isomorphism (1). Moreover, if  $f : A \times B \rightarrow C$  and  $g : A' \rightarrow A$ , then this diagram commutes:

$$\begin{array}{ccc} C^B \times B & \xrightarrow{\epsilon} & C \\ \lambda f \times 1_B \uparrow & & \uparrow 1_C \\ A \times B & \xrightarrow{f} & C \\ g \times 1_B \uparrow & \nearrow f \circ (g \times 1_B) & \\ A' \times B & & \end{array}$$

Since

$$(\lambda f \times 1_B) \circ (g \times 1_B) = (\lambda f \circ g) \times 1_B$$

it follows that

$$\lambda(f \circ (g \times 1_B)) = \lambda f \circ g$$

so this diagram commutes:

$$\begin{array}{ccc} \mathrm{Hom}(A \times B, C) & \xrightarrow{\cong} & \mathrm{Hom}(A, C^B) \\ \mathrm{Hom}(g \times 1_B, C) \downarrow & & \downarrow \mathrm{Hom}(g, C^B) \\ \mathrm{Hom}(A' \times B, C) & \xrightarrow{\cong} & \mathrm{Hom}(A', C^B) \end{array}$$

This establishes naturality of (1) in  $A$ .

<sup>16</sup>The concept of naturality is defined in Chapter 7.

Conversely, if (1) holds naturally in  $A$ , first take  $A = C^B$  and let  $\epsilon : C^B \times B \rightarrow C$  be the arrow corresponding to  $1_{C^B}$ . If  $f : A \times B \rightarrow C$  for some  $A$ , let  $\lambda f : A \rightarrow C^B$  be the arrow corresponding to  $f$  in (1). Then commutativity of

$$\begin{array}{ccc} \text{Hom}(C^B \times B, C) & \xrightarrow{\cong} & \text{Hom}(C^B, C^B) \\ \downarrow \text{Hom}(\lambda f \times 1_B, C) & & \downarrow \text{Hom}(\lambda f, C^B) \\ \text{Hom}(A \times B, C) & \xrightarrow{\cong} & \text{Hom}(A, C^B) \end{array}$$

implies

$$\epsilon \circ (\lambda f \times 1_B) = f$$

It follows that  $C^B$  (with  $\epsilon$ ) is an exponential.  $\square$

*Remark.* Let  $\mathbf{C}$  be a locally small category with binary products and  $B \in \mathbf{C}$ . If  $E : \mathbf{C} \rightarrow \mathbf{C}$  is a functor, then  $E \cong (-)^B$  if and only if

$$\text{Hom}_{\mathbf{C}}(A \times B, C) \cong \text{Hom}_{\mathbf{C}}(A, EC)$$

for all  $A, C \in \mathbf{C}$  and the isomorphism is natural in both  $A$  and  $C$ .

*Remark.* Let  $\mathbf{C}$  be a cartesian closed category and  $\eta = \lambda 1_{A \times B} : A \rightarrow (A \times B)^B$ . For  $f : A \times B \rightarrow C$ , to see that the diagram

$$\begin{array}{ccc} (A \times B)^B & \xrightarrow{f^B} & C^B \\ \eta \uparrow & \nearrow \lambda f & \\ A & & \end{array}$$

commutes, observe that the diagram

$$\begin{array}{ccccc} & C^B \times B & \xrightarrow{\epsilon} & C & \\ & \uparrow f^B \times 1_B & & \uparrow f & \\ (A \times B)^B \times B & \xrightarrow{\epsilon} & A \times B & & \\ \uparrow \eta \times 1_B & \nearrow 1_{A \times B} & & & \\ A \times B & & & & \end{array}$$

commutes and

$$(f^B \times 1_B) \circ (\eta \times 1_B) = (f^B \circ \eta) \times 1_B$$

This result shows that the transpose of  $f$  can be obtained by applying  $f$  after the transposed pairing operation  $\eta$ . The transpose  $\eta$  is “universal” because every transpose can be obtained from it in this way.

*Remark.* If  $\mathbf{C}$  and  $\mathbf{D}$  are cartesian closed categories, then so is  $\mathbf{C} \times \mathbf{D}$ . Moreover, the terminal object, products, and exponentials (including the evaluation and transpose maps for exponentials) are all computed pointwise.

*Proof.* Let  $1_{\mathbf{C}}$  be terminal in  $\mathbf{C}$  and  $1_{\mathbf{D}}$  be terminal in  $\mathbf{D}$ . Then for  $(C, D) \in \mathbf{C} \times \mathbf{D}$ ,  $(!_C, !_D) : (C, D) \rightarrow (1_{\mathbf{C}}, 1_{\mathbf{D}})$  is unique, so  $1_{\mathbf{C} \times \mathbf{D}} = (1_{\mathbf{C}}, 1_{\mathbf{D}})$  is terminal in  $\mathbf{C} \times \mathbf{D}$ .

Suppose  $(A, B), (C, D) \in \mathbf{C} \times \mathbf{D}$ . Then  $(A \times C, B \times D) \in \mathbf{C} \times \mathbf{D}$  and

$$(A, B) \xleftarrow{(p_1, p_1)} (A \times C, B \times D) \xrightarrow{(p_2, p_2)} (C, D)$$

For  $f : (X, Y) \rightarrow (A, B)$  and  $g : (X, Y) \rightarrow (C, D)$ , define

$$\langle f, g \rangle = (\langle f_1, g_1 \rangle, \langle f_2, g_2 \rangle) : (X, Y) \rightarrow (A \times C, B \times D)$$

Then

$$(p_1, p_1) \circ \langle f, g \rangle = (f_1, f_2) = f \quad \text{and} \quad (p_2, p_2) \circ \langle f, g \rangle = (g_1, g_2) = g$$

Conversely, for any  $h : (X, Y) \rightarrow (A \times C, B \times D)$ ,

$$\begin{aligned} \langle (p_1, p_1) \circ h, (p_2, p_2) \circ h \rangle &= \langle (p_1 \circ h_1, p_1 \circ h_2), (p_2 \circ h_1, p_2 \circ h_2) \rangle \\ &= (\langle p_1 \circ h_1, p_2 \circ h_1 \rangle, \langle p_1 \circ h_2, p_2 \circ h_2 \rangle) \\ &= (h_1, h_2) \\ &= h \end{aligned}$$

Therefore  $(A, B) \times (C, D) = (A \times C, B \times D)$  is a product in  $\mathbf{C} \times \mathbf{D}$ .

We claim  $(C^A, D^B)$  is an exponential for  $(A, B)$  and  $(C, D)$  in  $\mathbf{C} \times \mathbf{D}$ . Indeed, let  $\epsilon_{C^A} : C^A \times A \rightarrow C$  and  $\epsilon_{D^B} : D^B \times B \rightarrow D$  be evaluation arrows in  $\mathbf{C}$  and  $\mathbf{D}$  respectively and define

$$\epsilon = (\epsilon_{C^A}, \epsilon_{D^B}) : (C^A, D^B) \times (A, B) \rightarrow (C, D)$$

For  $f : (X, Y) \times (A, B) \rightarrow (C, D)$ ,  $f_1 : X \times A \rightarrow C$  and  $f_2 : Y \times B \rightarrow D$ , so  $\lambda f_1 : X \rightarrow C^A$  and  $\lambda f_2 : Y \rightarrow D^B$ . Define

$$\lambda f = (\lambda f_1, \lambda f_2) : (X, Y) \rightarrow (C^A, D^B)$$

Then

$$\begin{aligned}
\epsilon \circ (\lambda f \times 1_{(A,B)}) &= (\epsilon_{C^A}, \epsilon_{D^B}) \circ ((\lambda f_1, \lambda f_2) \times (1_A, 1_B)) \\
&= (\epsilon_{C^A}, \epsilon_{D^B}) \circ (\lambda f_1 \times 1_A, \lambda f_2 \times 1_B) \\
&= (f_1, f_2) \\
&= f
\end{aligned}$$

Conversely, for  $h : (X, Y) \rightarrow (C^A, D^B)$ ,

$$\bar{h} = \epsilon \circ (h \times 1_{(A,B)}) = (\epsilon_{C^A}, \epsilon_{D^B}) \circ (h_1 \times 1_A, h_2 \times 1_B) = (\bar{h}_1, \bar{h}_2)$$

So

$$\lambda \bar{h} = \lambda(\bar{h}_1, \bar{h}_2) = (\lambda \bar{h}_1, \lambda \bar{h}_2) = (h_1, h_2) = h$$

Therefore  $(C, D)^{(A,B)} = (C^A, D^B)$  is an exponential in  $\mathbf{C} \times \mathbf{D}$  as claimed.  $\square$

**Exercise (2).** Let  $\mathbf{C}$  be a cartesian closed category and  $A, B, C \in \mathbf{C}$ .

- (a)  $(A \times B)^C \cong A^C \times B^C$
- (b)  $(A^B)^C \cong A^{B \times C}$

*Proof.*

- (a) We prove that  $A^C \times B^C$  is an exponential for  $A \times B$  and  $C$ , from which the isomorphism follows by uniqueness of exponentials under the universal mapping property.

Let  $\epsilon_A : A^C \times C \rightarrow A$  and  $\epsilon_B : B^C \times C \rightarrow B$  be evaluation arrows, and let

$$\begin{aligned}
\alpha : (A^C \times B^C) \times (C \times C) &\cong (A^C \times C) \times (B^C \times C) \\
\langle \langle w, x \rangle, \langle y, z \rangle \rangle &\mapsto \langle \langle w, y \rangle, \langle x, z \rangle \rangle
\end{aligned}$$

for generalized elements  $w, x, y, z$ . Observe

$$\alpha : \langle w, x \rangle \times \langle y, z \rangle \mapsto \langle w \times y, x \times z \rangle$$

Define  $\epsilon : (A^C \times B^C) \times C \rightarrow A \times B$  by

$$\epsilon = (\epsilon_A \times \epsilon_B) \circ \alpha \circ (1_{A^C \times B^C} \times \langle 1_C, 1_C \rangle)$$

We claim  $(A^C \times B^C, \epsilon)$  is an exponential for  $A \times B$  and  $C$ ; that is, for all  $f : Z \times C \rightarrow A \times B$ , there is a unique  $\lambda f : Z \rightarrow A^C \times B^C$  such that  $\epsilon \circ (\lambda f \times 1_C) = f$ :

$$\begin{array}{ccccc}
 A^C \times B^C & & (A^C \times B^C) \times C & \xrightarrow{\epsilon} & A \times B \\
 \uparrow \lambda f & & \uparrow \lambda f \times 1_C & \nearrow f & \\
 Z & & Z \times C & & 
 \end{array}$$

Indeed, suppose  $f : Z \times C \rightarrow A \times B$ . Let  $f_1 = p_1 \circ f$  and  $f_2 = p_2 \circ f$ :

$$\begin{array}{ccccc}
 & Z \times C & & & \\
 & \swarrow f_1 & \downarrow f & \searrow f_2 & \\
 A & \xleftarrow{p_1} & A \times B & \xrightarrow{p_2} & B
 \end{array}$$

Then there exist unique  $\lambda f_1 : Z \rightarrow A^C$  and  $\lambda f_2 : Z \rightarrow B^C$  with  $\epsilon_A \circ (\lambda f_1 \times 1_C) = f_1$  and  $\epsilon_B \circ (\lambda f_2 \times 1_C) = f_2$ . Define  $\lambda f = \langle \lambda f_1, \lambda f_2 \rangle : Z \rightarrow A^C \times B^C$ . Then

$$\begin{aligned}
 \epsilon \circ (\lambda f \times 1_C) &= (\epsilon_A \times \epsilon_B) \circ \alpha \circ (1_{A^C \times B^C} \times \langle 1_C, 1_C \rangle) \circ (\langle \lambda f_1, \lambda f_2 \rangle \times 1_C) \\
 &= (\epsilon_A \times \epsilon_B) \circ \alpha \circ (\langle \lambda f_1, \lambda f_2 \rangle \times \langle 1_C, 1_C \rangle) \\
 &= (\epsilon_A \times \epsilon_B) \circ \langle \lambda f_1 \times 1_C, \lambda f_2 \times 1_C \rangle \\
 &= \langle \epsilon_A \circ (\lambda f_1 \times 1_C), \epsilon_B \circ (\lambda f_2 \times 1_C) \rangle \\
 &= \langle f_1, f_2 \rangle \\
 &= f
 \end{aligned}$$

Finally,  $\lambda f$  is unique in satisfying this property since  $\lambda f_1$  and  $\lambda f_2$  are unique. This establishes the claim.

- (b) We exhibit isomorphisms between  $(A^B)^C$  and  $A^{B \times C}$  directly. Define  $g : (A^B)^C \rightarrow A^{B \times C}$  and  $h : A^{B \times C} \rightarrow (A^B)^C$  by

$$g = \lambda(\bar{\epsilon} \circ \alpha) \quad h = \lambda\lambda(\epsilon \circ \alpha^{-1})$$

where  $\alpha : Z \times (B \times C) \cong (Z \times C) \times B$ .<sup>17</sup> We claim that  $g$  and  $h$  are mutually inverse, from which it follows that they are isomorphisms.

<sup>17</sup>We do not distinguish notationally between the different evaluation, transpose, inverse transpose, and isomorphism arrows involved. However, the context makes clear which ones are intended.



By the universal mapping property for exponentials applied twice,

$$h \circ g = 1_{(A^B)^C} \iff \epsilon \circ ((\epsilon \circ ((h \circ g) \times 1_C)) \times 1_B) = \epsilon \circ (\epsilon \times 1_B)$$

Observe

$$\begin{aligned} \epsilon \circ ((\epsilon \circ ((h \circ g) \times 1_C)) \times 1_B) &= \epsilon \circ ((\epsilon \circ (h \times 1_C) \circ (g \times 1_C)) \times 1_B) \\ &= \epsilon \circ ((\bar{h} \circ (g \times 1_C)) \times 1_B) \\ &= \epsilon \circ (\bar{h} \times 1_B) \circ ((g \times 1_C) \times 1_B) \\ &= \bar{\bar{h}} \circ ((g \times 1_C) \times 1_B) \\ &= \epsilon \circ \alpha^{-1} \circ ((g \times 1_C) \times 1_B) \\ &= \epsilon \circ \alpha^{-1} \circ \alpha(g \times (1_B \times 1_C)) \circ \alpha^{-1} \\ &= \epsilon \circ (g \times 1_{B \times C}) \circ \alpha^{-1} \\ &= \bar{g} \circ \alpha^{-1} \\ &= \bar{\epsilon} \circ \alpha \circ \alpha^{-1} \\ &= \bar{\epsilon} \\ &= \epsilon \circ (\epsilon \times 1_B) \end{aligned}$$

Therefore  $h \circ g = 1_{(A^B)^C}$ . Similarly  $g \circ h = 1_{A^{B \times C}}$ . So  $g$  and  $h$  are mutually inverse as claimed.  $\square$

*Remark.* In **Sets**, this exercise (circuitously) justifies the familiar exponent laws  $(ab)^c = a^c b^c$  and  $(a^b)^c = a^{bc}$  for  $a, b, c \in \mathbb{N}$ .

**Exercise (4).** **Mon** is not cartesian closed.

*Proof.* Suppose **Mon** is cartesian closed. Let  $M$  and  $N$  be any monoids with distinct homomorphisms  $f, g : M \rightarrow N$  (for example, take  $M = N = (\mathbb{N}, +)$ ,  $f = 0$ , and  $g = 1$ ). Define

$$\begin{array}{ll} f' : 1 \times M \rightarrow N & g' : 1 \times M \rightarrow N \\ (0, m) \mapsto f(m) & (0, m) \mapsto g(m) \end{array}$$

Then clearly  $f'$  and  $g'$  are also distinct homomorphisms. By assumption there is an exponential  $N^M$  and transpose homomorphisms

$$\lambda f' : 1 \rightarrow N^M \quad \text{and} \quad \lambda g' : 1 \rightarrow N^M$$

However since  $N^M$  is a monoid, there is only one homomorphism  $1 \rightarrow N^M$  (the identity element must be mapped to the identity element), so we must have  $\lambda f' = \lambda g'$ . Therefore

$$f' = \overline{\lambda f'} = \overline{\lambda g'} = g'$$

—contradicting that  $f' \neq g'$ .<sup>18</sup> □

**Exercise (9).** Let  $\mathbf{C}$  be a cartesian closed category and  $A, B \in \mathbf{C}$ . Then there is a bijective correspondence between arrows  $A \rightarrow B$  and arrows  $1 \rightarrow B^A$ .

*Proof.* This follows from

$$\mathrm{Hom}_{\mathbf{C}}(A, B) \cong \mathrm{Hom}_{\mathbf{C}}(1 \times A, B) \cong \mathrm{Hom}_{\mathbf{C}}(1, B^A)$$

The second isomorphism follows from the definition of the exponential. For the first isomorphism, let

$$1 \xleftarrow{!_{1 \times A}} 1 \times A \xrightarrow{p} A$$

be projections, and let  $q = \langle !_A, 1_A \rangle : A \rightarrow 1 \times A$ . Then  $p \circ q = 1_A$ , and conversely

$$q \circ p = \langle !_A, 1_A \rangle \circ p = \langle !_A \circ p, p \rangle = \langle !_A, p \rangle = \langle !_{1 \times A}, p \rangle = 1_{1 \times A}$$

so  $A \cong 1 \times A$ , and the functor  $\mathrm{Hom}_{\mathbf{C}}(-, B)$  preserves this isomorphism. □

**Exercise (12).** Let  $\mathbf{C}$  be a cartesian closed category and  $C \in \mathbf{C}$ . Exponentiation with base object  $C$  gives a contravariant functor  $C^{(-)} : \mathbf{C}^{\mathrm{op}} \rightarrow \mathbf{C}$ .

*Proof.* For objects  $A \in \mathbf{C}$ , define  $C^{(-)}(A) = C^A$ . For arrows  $f : A \rightarrow B$  in  $\mathbf{C}$ , define

$$C^{(-)}(f) = C^f = \lambda(\epsilon_{C^B} \circ (1_{C^B} \times f)) : C^B \rightarrow C^A$$

where  $\epsilon_{C^B} : C^B \times B \rightarrow C$  is evaluation, so the following diagram commutes:

$$\begin{array}{ccc} C^B \times B & \xrightarrow{\epsilon_{C^B}} & C \\ \uparrow 1_{C^B} \times f & & \uparrow \epsilon_{C^A} \\ C^B \times A & \xrightarrow{C^f \times 1_A} & C^A \times A \end{array}$$

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<sup>18</sup>See also Exercise 9.

Then  $C^{(-)}$  maps objects to objects and arrows to arrows and preserves domains and codomains of arrows in  $\mathbf{C}^{\text{op}}$ . Also

$$C^{1_A} = \lambda(\epsilon_{C^A} \circ (1_{C^A} \times 1_A)) = \lambda(\epsilon_{C^A} \circ 1_{C^A \times A}) = \lambda \epsilon_{C^A} = 1_{C^A}$$

so  $C^{(-)}$  preserves identities. If  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$ , then the following diagram commutes (the upper left and lower right inner squares are just the squares above for  $g$  and  $f$ , respectively, and the other two inner squares are trivial):

$$\begin{array}{ccccc}
 C^Z \times Z & \xrightarrow{\epsilon_{C^Z}} & C & \xrightarrow{1_C} & C \\
 \uparrow 1_{C^Z} \times g & & \uparrow \epsilon_{C^Y} & & \uparrow 1_C \\
 C^Z \times Y & \xrightarrow{C^g \times 1_Y} & C^Y \times Y & \xrightarrow{\epsilon_{C^Y}} & C \\
 \uparrow 1_{C^Z} \times f & & \uparrow 1_{C^Y} \times f & & \uparrow \epsilon_{C^X} \\
 C^Z \times X & \xrightarrow{C^g \times 1_X} & C^Y \times X & \xrightarrow{C^f \times 1_X} & C^X \times X
 \end{array}$$

On the left we have

$$(1_{C^Z} \times g) \circ (1_{C^Z} \times f) = 1_{C^Z} \times (g \circ f)$$

On the bottom we have

$$(C^f \times 1_X) \circ (C^g \times 1_X) = (C^f \circ C^g) \times 1_X$$

Commutativity of the diagram therefore implies

$$\overline{C^{g \circ f}} = \epsilon_{C^Z} \circ (1_{C^Z} \times (g \circ f)) = \epsilon_{C^X} \circ ((C^f \circ C^g) \times 1_X) = \overline{C^f \circ C^g}$$

It follows that  $C^{g \circ f} = C^f \circ C^g$  and hence  $C^{(-)}$  preserves composites in  $\mathbf{C}^{\text{op}}$ . This completes the proof that  $C^{(-)}$  is a contravariant functor.  $\square$

**Exercise (13).** Let  $\mathbf{C}$  be a cartesian closed category with coproducts and  $A, B, C \in \mathbf{C}$ . Then

$$(A + B) \times C \cong (A \times C) + (B \times C)$$

*Proof.* We prove that  $(A+B) \times C$  is a coproduct of  $A \times C$  and  $B \times C$ , from which the isomorphism follows by uniqueness of coproducts under the universal mapping property.

Observe the injections

$$A \times C \xrightarrow{i_1 \times 1_C} (A+B) \times C \xleftarrow{i_2 \times 1_C} B \times C$$

If  $f : A \times C \rightarrow Z$  and  $g : B \times C \rightarrow Z$ , then  $\lambda f : A \rightarrow Z^C$  and  $\lambda g : B \rightarrow Z^C$ , so  $[\lambda f, \lambda g] : A+B \rightarrow Z^C$ . Define

$$p = \overline{[\lambda f, \lambda g]} : (A+B) \times C \rightarrow Z$$

Then

$$\begin{aligned} p \circ (i_1 \times 1_C) &= \overline{[\lambda f, \lambda g]} \circ (i_1 \times 1_C) \\ &= \epsilon \circ ([\lambda f, \lambda g] \times 1_C) \circ (i_1 \times 1_C) \\ &= \epsilon \circ (([\lambda f, \lambda g] \circ i_1) \times 1_C) \\ &= \epsilon \circ (\lambda f \times 1_C) \\ &= f \end{aligned}$$

Similarly  $p \circ (i_2 \times 1_C) = g$ . Moreover, if  $q : (A+B) \times C \rightarrow Z$  is arbitrary, then  $\lambda q : A+B \rightarrow Z^C$ , so

$$\begin{aligned} \lambda q &= [\lambda q \circ i_1, \lambda q \circ i_2] \\ &= [\lambda(q \circ (i_1 \times 1_C)), \lambda(q \circ (i_2 \times 1_C))] \end{aligned}$$

because

$$\epsilon \circ ((\lambda q \circ i_k) \times 1_C) = \epsilon \circ (\lambda q \times 1_C) \circ (i_k \times 1_C) = q \circ (i_k \times 1_C)$$

It follows that

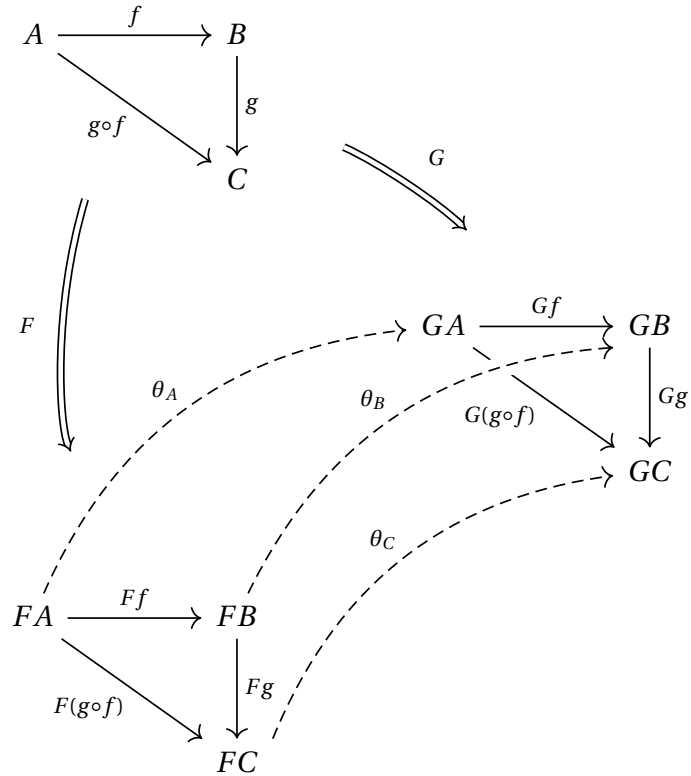
$$q = \overline{[\lambda(q \circ (i_1 \times 1_C)), \lambda(q \circ (i_2 \times 1_C))]}$$

from which it is immediate that  $p$  is unique in satisfying the equations above. Therefore  $(A+B) \times C$  is indeed a coproduct as desired.  $\square$

*Remark.* In **Sets**, this exercise (circuitously) justifies the familiar distributive law  $(a+b)c = ac + bc$  for  $a, b, c \in \mathbb{N}$ .

## Chapter 7

*Remark.* A natural transformation  $\theta : F \rightarrow G$  between two functors  $F : \mathbf{C} \rightarrow \mathbf{D}$  and  $G : \mathbf{C} \rightarrow \mathbf{D}$  looks like this:



*Remark.* For functors

$$\mathbf{B} \xrightarrow{R} \mathbf{C} \xrightleftharpoons[F]{G} \mathbf{D} \xrightarrow{S} \mathbf{E}$$

if  $F \cong G$ , then  $SFR \cong SGR$ .

*Proof.* If  $\varphi : F \rightarrow G$  is a natural transformation, define  $\psi : SFR \rightarrow SGR$  by

$$\psi_B = S(\varphi_{RB}) : SFRB \rightarrow SGRB$$

If  $f : B \rightarrow B' \in \mathbf{B}$ , then applying  $S$  to the commutative diagram

$$\begin{array}{ccc} FRB & \xrightarrow{\varphi_{RB}} & GRB \\ FRf \downarrow & & \downarrow GRf \\ FRB' & \xrightarrow{\varphi_{RB'}} & GRB' \end{array}$$

yields the commutative diagram

$$\begin{array}{ccc} SFRB & \xrightarrow{\psi_B} & SGRB \\ SFRf \downarrow & & \downarrow SGRf \\ SFRB' & \xrightarrow{\psi_{B'}} & SGRB' \end{array}$$

so  $\psi$  is a natural transformation. If  $\varphi$  is a natural isomorphism, then so is  $\psi$  by Lemma 7.11.  $\square$

*Remark.* If  $F_1 \cong G_1$  and  $F_2 \cong G_2$ , then by the previous remark  $F_1 F_2 \cong G_1 G_2$ .

*Remark.* The previous remarks are useful in establishing natural isomorphisms. For example, in a locally small category with products, it follows from

$$A \times (B \times C) \cong (A \times B) \times C$$

being natural in  $A, B, C$  that

$$\text{Hom}(A \times (B \times C), X) \cong \text{Hom}((A \times B) \times C, X)$$

is also natural in  $A, B, C$ .

*Remark.* Many universal properties can be characterized in terms of natural isomorphisms between representable functors. For example, in a locally small category, an object  $P$  is a product of objects  $A$  and  $B$  if and only if there is a natural isomorphism

$$\text{Hom}(-, P) \cong \text{Hom}(-, A) \times \text{Hom}(-, B)$$

Such characterizations are useful when applying the Yoneda principle.<sup>19</sup>

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<sup>19</sup>See Chapter 8.

*Remark.* The bifunctor lemma (Lemma 7.14) just says that a map  $F : \mathbf{A} \times \mathbf{B} \rightarrow \mathbf{C}$  is a functor if and only if it is functorial in each argument and the functors in each argument induce natural transformations between the functors in the other argument (this is the “interchange law”).

More specifically,  $F$  is a functor if and only if for each fixed  $A \in \mathbf{A}$  and  $B \in \mathbf{B}$ ,  $F(A, -)$  and  $F(-, B)$  are functors and for any  $\alpha : A \rightarrow A'$  and  $\beta : B \rightarrow B'$ ,  $F(-, \beta)$  is a natural transformation from  $F(-, B)$  to  $F(-, B')$  and  $F(\alpha, -)$  is a natural transformation from  $F(A, -)$  to  $F(A', -)$ :

$$\begin{array}{ccc} F(A, B) & \xrightarrow{F(A, \beta)} & F(A, B') \\ \downarrow F(\alpha, B) & & \downarrow F(\alpha, B') \\ F(A', B) & \xrightarrow{F(A', \beta)} & F(A', B') \end{array}$$

This just means that the two paths these functors induce between the objects  $F(A, B)$  and  $F(A', B')$  agree.

*Remark.* The fact that  $\mathbf{Par} \simeq \mathbf{Sets}_*$  can be used to explain the use of sentinel values in computer programming. The points introduced by the equivalence functor  $F : \mathbf{Par} \rightarrow \mathbf{Sets}_*$  are just sentinel values used to signal where partial functions are undefined.

*Remark.* If  $B$  is a finite Boolean algebra and  $b \in B$  with  $b \neq 0$ , then there is an atom  $a \in A(B)$  with  $a \leq b$ .

*Proof.* By induction on the number of elements less than  $b$ . □

*Remark* (Lemma 7.33). If  $B$  is a finite Boolean algebra, then

(i)  $b = \bigvee \{a \in A(B) \mid a \leq b\}$

(ii) If  $a \in A(B)$  and  $a \leq b \vee b'$ , then  $a \leq b$  or  $a \leq b'$ .

*Proof.* For (i), let  $c = \bigvee \{a \in A(B) \mid a \leq b\}$ . Clearly  $c \leq b$ . If  $b \not\leq c$ , then  $b \wedge \neg c \neq 0$ , so by the previous remark there is  $a \in A(B)$  with  $a \leq b \wedge \neg c$ . Now  $a \leq b$  so  $a \leq c$ , and also  $a \leq \neg c$ , so  $a \leq c \wedge \neg c = 0$ , contradicting that  $a \neq 0$ .

For (ii), if  $a \not\leq b$  and  $a \not\leq b'$ , then  $a \leq \neg b$  and  $a \leq \neg b'$  (Lemma 7.32), so

$$a \leq \neg b \wedge \neg b' = \neg(b \vee b')$$

and hence  $a \not\leq b \vee b'$ . □

**Exercise (1).** Let  $\mathcal{F} = \mathcal{P}^{\mathbf{BA}} \circ \text{Ult}^{\text{op}} : \mathbf{BA} \rightarrow \mathbf{Sets}^{\text{op}} \rightarrow \mathbf{BA}$ . For a Boolean algebra  $B$ , define  $\phi_B : B \rightarrow \mathcal{F}(B)$  by

$$\phi_B(b) = \{ V \in \text{Ult}(B) \mid b \in V \}$$

Then  $\phi_B$  is a Boolean homomorphism, and for any Boolean homomorphism  $h : A \rightarrow B$ , the following diagram commutes:

$$\begin{array}{ccc} A & \xrightarrow{\phi_A} & \mathcal{F}(A) \\ h \downarrow & & \downarrow \mathcal{F}(h) \\ B & \xrightarrow{\phi_B} & \mathcal{F}(B) \end{array}$$

*Proof.* It is immediate from ultrafilter properties that  $\phi_B$  is a homomorphism. For  $a \in A$ , we have

$$\begin{aligned} (\phi_B \circ h)(a) &= \phi_B(h(a)) \\ &= \{ V \in \text{Ult}(B) \mid h(a) \in V \} \\ &= \{ V \in \text{Ult}(B) \mid a \in h^{-1}(V) \in \text{Ult}(A) \} \\ &= \text{Ult}^{\text{op}}(h)^{-1} \{ U \in \text{Ult}(A) \mid a \in U \} \\ &= (\mathcal{P}^{\mathbf{BA}}(\text{Ult}^{\text{op}}(h)))(\phi_A(a)) \\ &= \mathcal{F}(h)(\phi_A(a)) \\ &= (\mathcal{F}(h) \circ \phi_A)(a) \end{aligned} \quad \square$$

**Exercise (2).** The homomorphism  $\phi_B$  from the previous exercise is injective.

*Proof.* If  $a, b \in B$  and  $a \neq b$ , then we may assume  $a \not\leq b$ , so  $a \wedge \neg b \neq 0$ . Now  $\uparrow(a \wedge \neg b)$  is a proper filter, which is contained in an ultrafilter  $V$  by the ultrafilter theorem. It follows that  $a \in V$  but  $b \notin V$ , so  $\phi_B(a) \neq \phi_B(b)$ .  $\square$

**Exercise (3).** The homomorphism  $\phi_B$  from the previous exercise is bijective if  $B$  is finite.

*Proof.* By Lemmas 7.32 and 7.33, the mapping  $\psi_B : \mathcal{F}(B) \rightarrow B$  defined by

$$\psi_B(S) = \bigvee \{ \bigwedge_{b \in V} b \mid V \in S \}$$

is left inverse to  $\phi_B$ .  $\square$



**Exercise (4).** The forgetful functors

$$\mathbf{Groups} \xrightarrow{U} \mathbf{Mon} \xrightarrow{V} \mathbf{Sets}$$

have the following properties:

	$U$	$V$
Injective on objects	Yes	No
Injective on arrows	Yes	Yes
Surjective on objects	No	No
Surjective on arrows	No	No
Faithful	Yes	Yes
Full	Yes	No

**Exercise (7).** A natural transformation is an isomorphism if and only if each of its components is an isomorphism.

*Proof.* Let  $F, G : \mathbf{C} \rightarrow \mathbf{D}$  be functors and  $\vartheta : F \rightarrow G$  a natural transformation with components  $\vartheta_C : FC \rightarrow GC$  for all  $C \in \mathbf{C}$ . We claim  $\vartheta$  is an iso in  $\mathbf{D}^{\mathbf{C}}$  if and only if  $\vartheta_C$  is an iso in  $\mathbf{D}$  for all  $C \in \mathbf{C}$ .

Suppose  $\vartheta$  is an iso with inverse  $\psi : G \rightarrow F$ , so  $\psi \circ \vartheta = 1_F$  and  $\vartheta \circ \psi = 1_G$ . Since composites and identities in  $\mathbf{D}^{\mathbf{C}}$  are defined componentwise, it is immediate that  $\psi_C$  is an inverse of  $\vartheta_C$ , so  $\vartheta_C$  is an iso, for all  $C \in \mathbf{C}$ .

Suppose conversely that for all  $C \in \mathbf{C}$ ,  $\vartheta_C : FC \rightarrow GC$  is an iso, so there is an inverse  $\psi_C : GC \rightarrow FC$  with  $\psi_C \circ \vartheta_C = 1_{FC}$  and  $\vartheta_C \circ \psi_C = 1_{GC}$ . We claim the family  $\psi$  is a natural transformation from  $G$  to  $F$ . Indeed, for  $\alpha : B \rightarrow C$  in  $\mathbf{C}$ , we know  $\vartheta_C \circ F\alpha = G\alpha \circ \vartheta_B$ :

$$\begin{array}{ccc} FB & \xrightarrow{\vartheta_B} & GB \\ F\alpha \downarrow & & \downarrow G\alpha \\ FC & \xrightarrow{\vartheta_C} & GC \end{array}$$

Applying  $\psi_C$  on the left and  $\psi_B$  on the right, we obtain  $\psi_C \circ G\alpha = F\alpha \circ \psi_B$ :

$$\begin{array}{ccc} GB & \xrightarrow{\psi_B} & FB \\ G\alpha \downarrow & & \downarrow F\alpha \\ GC & \xrightarrow{\psi_C} & FC \end{array}$$

So  $\psi \in \mathbf{D}^{\mathbf{C}}$ . It is immediate that  $\psi \circ \vartheta = 1_F$  and  $\vartheta \circ \psi = 1_G$ , so  $\vartheta$  is an iso.  $\square$

*Remark.* If a natural transformation consists of monos, it is a mono, but the converse is not true.

**Exercise (9).** The function

$$\begin{aligned}\eta_A : A &\rightarrow \mathcal{P}\mathcal{P}(A) \\ a &\mapsto \{X \subseteq A \mid a \in X\}\end{aligned}$$

is a natural transformation from  $1_{\mathbf{Sets}}$  to  $\mathcal{P}\mathcal{P}$ , where  $\mathcal{P}$  is the contravariant powerset functor.

*Proof.* If  $f : A \rightarrow B$  is a function, we claim the following diagram commutes:

$$\begin{array}{ccc} A & \xrightarrow{\eta_A} & \mathcal{P}\mathcal{P}(A) \\ f \downarrow & & \downarrow \mathcal{P}\mathcal{P}(f) \\ B & \xrightarrow{\eta_B} & \mathcal{P}\mathcal{P}(B) \end{array}$$

By definition,

$$\begin{aligned}\mathcal{P}(f) : \mathcal{P}(B) &\rightarrow \mathcal{P}(A) \\ Y &\mapsto f^{-1}(Y) = \{x \in A \mid f(x) \in Y\}\end{aligned}$$

so

$$\begin{aligned}\mathcal{P}\mathcal{P}(f) : \mathcal{P}\mathcal{P}(A) &\rightarrow \mathcal{P}\mathcal{P}(B) \\ \mathcal{C} &\mapsto (f^{-1})^{-1}(\mathcal{C}) = \{Y \subseteq B \mid f^{-1}(Y) \in \mathcal{C}\}\end{aligned}$$

Therefore for  $x \in A$ ,

$$\begin{aligned}(\eta_B \circ f)(x) &= \eta_B(f(x)) \\ &= \{Y \subseteq B \mid f(x) \in Y\} \\ &= \{Y \subseteq B \mid x \in f^{-1}(Y)\} \\ &= \{Y \subseteq B \mid f^{-1}(Y) \in \eta_A(x)\} \\ &= \mathcal{P}\mathcal{P}(f)(\eta_A(x)) \\ &= (\mathcal{P}\mathcal{P}(f) \circ \eta_A)(x)\end{aligned} \quad \square$$

*Remark.* The function  $\eta_A$  is actually a natural embedding since if  $x \neq y$ , then  $\{x\} \in \eta_A(x) - \eta_A(y)$ , so  $\eta_A(x) \neq \eta_A(y)$ .

**Exercise (10).** Let  $\mathbf{C}$  be a locally small category. There exists a functor

$$\text{Hom} : \mathbf{C}^{\text{op}} \times \mathbf{C} \rightarrow \mathbf{Sets}$$

inducing the familiar representable functors

$$\text{Hom}(C, -) : \mathbf{C} \rightarrow \mathbf{Sets} \quad \text{Hom}(-, C) : \mathbf{C}^{\text{op}} \rightarrow \mathbf{Sets}$$

*Proof.* By the bifunctor lemma (Lemma 7.14), it is sufficient to prove that the representable functors satisfy the “interchange law”, that is, for all  $\alpha : A' \rightarrow A$  and  $\beta : B \rightarrow B'$ , the following diagram commutes:

$$\begin{array}{ccc} \text{Hom}(A, B) & \xrightarrow{\text{Hom}(\alpha, B)} & \text{Hom}(A', B) \\ \text{Hom}(A, \beta) \downarrow & & \downarrow \text{Hom}(A', \beta) \\ \text{Hom}(A, B') & \xrightarrow{\text{Hom}(\alpha, B')} & \text{Hom}(A', B') \end{array}$$

Indeed, for  $f : A \rightarrow B$ ,

$$\begin{aligned} (\text{Hom}(\alpha, B') \circ \text{Hom}(A, \beta))(f) &= \text{Hom}(\alpha, B')(\text{Hom}(A, \beta)(f)) \\ &= \text{Hom}(\alpha, B')(\beta \circ f) \\ &= \beta \circ f \circ \alpha \\ &= \text{Hom}(A', \beta)(f \circ \alpha) \\ &= \text{Hom}(A', \beta)(\text{Hom}(\alpha, B)(f)) \\ &= (\text{Hom}(A', \beta) \circ \text{Hom}(\alpha, B))(f) \end{aligned} \quad \square$$

**Exercise (12).** If  $\mathbf{C} \simeq \mathbf{D}$  and  $\mathbf{C}$  has binary products, so does  $\mathbf{D}$ .

*Proof.* By the characterization of equivalence (Proposition 7.26), there exists a functor  $F : \mathbf{C} \rightarrow \mathbf{D}$  which is fully faithful and essentially surjective on objects.

If  $X, Y \in \mathbf{D}$ , fix  $A, B \in \mathbf{C}$  with  $\vartheta_X : F(A) \cong X$  and  $\vartheta_Y : F(B) \cong Y$ . In  $\mathbf{C}$ , there is a product diagram

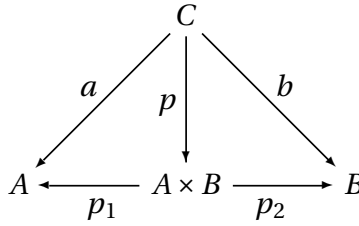
$$A \xleftarrow{p_1} A \times B \xrightarrow{p_2} B$$

Applying  $F$  to this diagram, we obtain

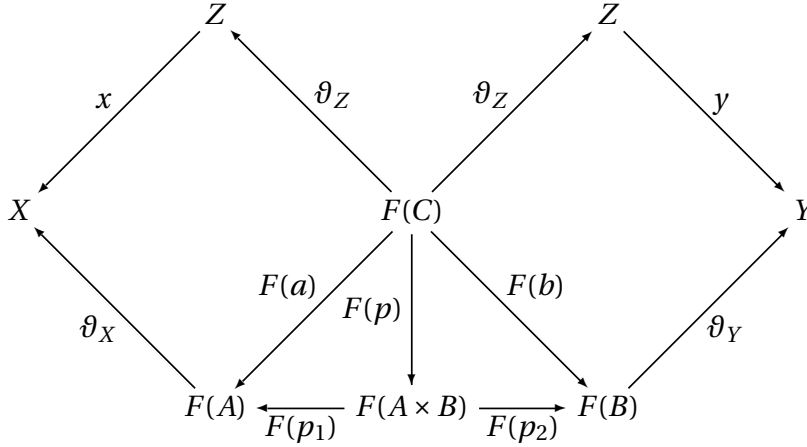
$$X \xleftarrow{\vartheta_X} F(A) \xleftarrow{F(p_1)} F(A \times B) \xrightarrow{F(p_2)} F(B) \xrightarrow{\vartheta_Y} Y$$

We claim this is a product diagram of  $X$  and  $Y$  in  $\mathbf{D}$ .

Indeed, for  $Z \in \mathbf{D}$  with  $x: Z \rightarrow X$  and  $y: Z \rightarrow Y$ , fix  $C \in \mathbf{C}$  with  $\vartheta_Z: F(C) \cong Z$ . Also fix  $a: C \rightarrow A$  and  $b: C \rightarrow B$  with  $F(a) = \vartheta_X^{-1} \circ x \circ \vartheta_Z$  and  $F(b) = \vartheta_Y^{-1} \circ y \circ \vartheta_Z$ . In  $\mathbf{C}$ , there is a unique pair  $p = \langle a, b \rangle: C \rightarrow A \times B$  with  $p_1 \circ p = a$  and  $p_2 \circ p = b$ :



Applying  $F$  to this diagram, we obtain



It is immediate from this diagram that  $\langle x, y \rangle = F(p) \circ \vartheta_Z^{-1}$ , which is unique since  $p$  is unique and  $F$  is fully faithful.  $\square$

**Exercise (13).** The “size” of a category is respected by isomorphism but not by equivalence. For example, we know  $\mathbf{Ord}_{\text{fin}} \simeq \mathbf{Sets}_{\text{fin}}$ , but  $\mathbf{Ord}_{\text{fin}}$  is countably infinite while  $\mathbf{Sets}_{\text{fin}}$  is not even small.

**Exercise (17).** Let  $I$  be a set. Then

$$\mathbf{Sets}^I \simeq \mathbf{Sets}/I$$

and this equivalence is “natural” in the sense that for any function  $f : J \rightarrow I$ , the following diagram commutes up to natural isomorphism, where  $\mathbf{Sets}^f$  is the reindexing functor, and  $f^*$  is the pullback functor:

$$\begin{array}{ccc} \mathbf{Sets}^I & \longrightarrow & \mathbf{Sets}/I \\ \mathbf{Sets}^f \downarrow & & \downarrow f^* \\ \mathbf{Sets}^J & \longrightarrow & \mathbf{Sets}/J \end{array}$$

*Proof.* Define  $\Phi_I : \mathbf{Sets}^I \rightarrow \mathbf{Sets}/I$  as follows:

- Objects: for an indexed family of sets  $(A_i)_{i \in I}$ , let  $p_i : A_i \rightarrow I$  be constant with  $p_i(x) = i$  for all  $x \in A_i$ , and define the “indexing projection”

$$\Phi_I((A_i)_{i \in I}) = \pi_I = [p_i] : \coprod_{i \in I} A_i \rightarrow I$$

where we take  $\coprod_{i \in I} A_i = \bigcup_{i \in I} (A_i \times \{i\})$ .

- Arrows: for an indexed family of functions  $(f_i : A_i \rightarrow B_i)_{i \in I}$ , define

$$\Phi_I((f_i : A_i \rightarrow B_i)_{i \in I}) = [\mu_i \circ f_i] : \coprod_{i \in I} A_i \rightarrow \coprod_{i \in I} B_i$$

where  $\mu_i : B_i \rightarrow \coprod_{i \in I} B_i$  is the  $i$ -th coproduct injection.

It is immediate that  $\Phi_I$  is a functor. Define  $\Psi_I : \mathbf{Sets}/I \rightarrow \mathbf{Sets}^I$  as follows:

- Objects: for a function  $\alpha : X \rightarrow I$ , define

$$\Psi_I(\alpha) = (\alpha^{-1}(i))_{i \in I}$$

- Arrows: for functions  $\alpha : X \rightarrow I$ ,  $\beta : Y \rightarrow I$ , and  $\gamma : X \rightarrow Y$  with  $\alpha = \beta \circ \gamma$ , define

$$\Psi_I(\gamma) = (\gamma|_{\alpha^{-1}(i)} : \alpha^{-1}(i) \rightarrow \beta^{-1}(i))_{i \in I}$$

It is also immediate that  $\Psi_I$  is a functor,  $\Psi_I \circ \Phi_I = 1_{\mathbf{Sets}^I}$ , and  $\Phi_I \circ \Psi_I \cong 1_{\mathbf{Sets}/I}$ , where for  $\alpha : X \rightarrow I$  in  $\mathbf{Sets}/I$ , a natural isomorphism from  $\alpha$  to  $(\Phi_I \circ \Psi_I)(\alpha)$  is given by  $x \mapsto (x, \alpha(x))$ . Therefore  $\mathbf{Sets}^I \simeq \mathbf{Sets}/I$ .

Now suppose  $f : J \rightarrow I$  is a function. Define

$$\begin{aligned} \mathbf{Sets}^f : \mathbf{Sets}^I &\rightarrow \mathbf{Sets}^J \\ (A_i)_{i \in I} &\mapsto (A_{f(j)})_{j \in J} \\ (f_i : A_i \rightarrow B_i)_{i \in I} &\mapsto (f_{f(j)} : A_{f(j)} \rightarrow B_{f(j)})_{j \in J} \end{aligned}$$

It is immediate that  $\mathbf{Sets}^f$  is a functor (the “reindexing functor”). We already know that pullback  $f^* : \mathbf{Sets}/I \rightarrow \mathbf{Sets}/J$  is a functor (Proposition 5.10). We claim that  $\Phi_J \circ \mathbf{Sets}^f = f^* \circ \Phi_I$ , which is equivalent up to natural isomorphism to  $\mathbf{Sets}^f = \Psi_J \circ f^* \circ \Phi_I$ . The latter follows from the pullback diagram

$$\begin{array}{ccc} \coprod_{j \in J} A_{f(j)} & \longrightarrow & \coprod_{i \in I} A_i \\ \pi_J \downarrow & & \downarrow \pi_I \\ J & \xrightarrow{f} & I \end{array}$$

where the upper arrow is the reindexing function  $(x, j) \mapsto (x, f(j))$ .  $\square$

*Remark.* We already know that  $\mathbf{Sets}^I \cong \prod_{i \in I} \mathbf{Sets}$  (Example 7.15), so this result shows that  $\mathbf{Sets}/I \cong \prod_{i \in I} \mathbf{Sets}$ . In particular,  $\mathbf{Sets}/2 \simeq \mathbf{Sets} \times \mathbf{Sets}$ . Since  $\mathbf{Sets} \times \mathbf{Sets}$  is cartesian closed (by the remark in Chapter 6 above), this implies  $\mathbf{Sets}/2$  is cartesian closed (by Exercise 12, and similar arguments).

*Remark.* We have a functor  $\mathbf{Sets}^{(-)} : \mathbf{Sets}^{\text{op}} \rightarrow \mathbf{Cat}$ , which maps a set  $I$  to the category  $\mathbf{Sets}^I$  and maps a function  $f : J \rightarrow I$  to the functor  $\mathbf{Sets}^f : \mathbf{Sets}^I \rightarrow \mathbf{Sets}^J$ . Indeed, note for  $g : K \rightarrow J$  that

$$\mathbf{Sets}^{fg} = \mathbf{Sets}^g \circ \mathbf{Sets}^f$$

since

$$\begin{aligned} \mathbf{Sets}^{fg}((A_i)_{i \in I}) &= (A_{(fg)(k)})_{k \in K} \\ &= (A_{f(gk)})_{k \in K} \\ &= \mathbf{Sets}^g((A_{f(j)})_{j \in J}) \\ &= \mathbf{Sets}^g(\mathbf{Sets}^f((A_i)_{i \in I})) \\ &= (\mathbf{Sets}^g \circ \mathbf{Sets}^f)((A_i)_{i \in I}) \end{aligned}$$

*Remark.* For a category  $\mathbf{C}$  and functors  $F, G : \mathbf{C} \rightarrow \mathbf{Cat}$ , say that  $\Phi : F \rightarrow G$  is a *natural equivalence of categories*<sup>20</sup> if it is a family of equivalences of categories

$$(\Phi_C : FC \simeq GC)_{C \in \mathbf{C}} \quad (1)$$

such that for all  $f : C \rightarrow C'$ , there is a natural isomorphism

$$\Phi_{C'} \circ Ff \cong Gf \circ \Phi_C \quad (2)$$

—that is, the following diagram commutes up to natural isomorphism:

$$\begin{array}{ccc} FC & \xrightarrow{\Phi_C} & GC \\ Ff \downarrow & & \downarrow Gf \\ FC' & \xrightarrow{\Phi_{C'}} & GC' \end{array}$$

Note this definition generalizes that of natural isomorphism for category-valued functors by allowing equivalence instead of isomorphism in (1) and allowing natural isomorphism instead of equality in (2).

The previous exercise shows that there is a natural equivalence of this sort between the reindexing functor  $\mathbf{Sets}^{(-)} : \mathbf{Sets}^{\text{op}} \rightarrow \mathbf{Cat}$  and the pullback functor  $(-)^* : \mathbf{Sets}^{\text{op}} \rightarrow \mathbf{Cat}$ .<sup>21</sup>

## Chapter 8

*Remark.* In the proof of Proposition 8.7, the functors

$$\text{Hom}(yC, F_{(-)}) = \text{Hom}(yC, -) \circ F : J \rightarrow \mathbf{Sets}^{\text{C}^{\text{op}}} \rightarrow \mathbf{Sets}$$

and

$$F_{(-)}C = \text{ev}_C \circ F : J \rightarrow \mathbf{Sets}^{\text{C}^{\text{op}}} \rightarrow \mathbf{Sets}$$

are isomorphic since  $\text{Hom}(yC, -) \cong \text{ev}_C$  (Yoneda) and composition preserves isomorphism.<sup>22</sup> It follows that

$$\varprojlim_{j \in J} \text{Hom}(yC, F_j) \cong \varprojlim_{j \in J} F_j C$$

<sup>20</sup>A better concept for describing this phenomenon is that of *pseudonatural equivalence* in 2-categories. See <https://math.stackexchange.com/q/3713074>.

<sup>21</sup>See the remark at the beginning of Chapter 5 above.

<sup>22</sup>See the remark at the beginning of Chapter 7 above.

since the limit operation is functorial.<sup>23</sup>

In the rest of this remark, we use the notation  $U(-)$  to denote the arrow into a limit uniquely determined by a cone. To define the action of  $\varprojlim F_j$  on arrows in  $\mathbf{C}$ , first observe that for  $\alpha : j \rightarrow j'$  in  $J$  and  $f : D \rightarrow C$  in  $\mathbf{C}$ , the following diagram commutes by definition of the limit and naturality of  $F_\alpha$ :

$$\begin{array}{ccc}
 & \varprojlim F_j C & \\
 p_{j,C} \swarrow & & \searrow p_{j',C} \\
 F_j C & \xrightarrow{F_\alpha C} & F_{j'} C \\
 F_j f \downarrow & & \downarrow F_{j'} f \\
 F_j D & \xrightarrow{F_\alpha D} & F_{j'} D
 \end{array} \tag{1}$$

It follows that the functions  $F_j f \circ p_{j,C} : \varprojlim F_j C \rightarrow F_j D$  form a cone to  $F_{(-)} D$ , so the following diagram commutes:

$$\begin{array}{ccc}
 \varprojlim F_j C & \xrightarrow{U(F_j f \circ p_{j,C})} & \varprojlim F_j D \\
 p_{j,C} \downarrow & & \downarrow p_{j,D} \\
 F_j C & \xrightarrow{F_j f} & F_j D
 \end{array} \tag{2}$$

We therefore define

$$(\varprojlim F_j)(f) = U(F_j f \circ p_{j,C})$$

It follows that

$$(\varprojlim F_j)(1_C) = 1_{\varprojlim F_j C}$$

and for  $g : E \rightarrow D$  in  $\mathbf{C}$ ,

$$\begin{aligned}
 (\varprojlim F_j)(f \circ g) &= U(F_j(f \circ g) \circ p_{j,C}) \\
 &= U(F_j g \circ F_j f \circ p_{j,C}) \\
 &= U(F_j g \circ p_{j,D}) \circ U(F_j f \circ p_{j,C}) \\
 &= (\varprojlim F_j)(g) \circ (\varprojlim F_j)(f)
 \end{aligned}$$

<sup>23</sup>See Exercise 9 in Chapter 5 above.



so  $\varprojlim F_j$  is a (contravariant) functor.

To see that  $\varprojlim F_j$  is indeed the limit of the  $F_j$ , first observe that the functions  $p_{j,C} : \varprojlim F_j C \rightarrow F_j C$  form natural transformations  $p_j : \varprojlim F_j \rightarrow F_j$  by (2), and these  $p_j$  form a cone to  $F$  by (1). If  $q_j : G \rightarrow F_j$  is also a cone to  $F$ , then the following diagram commutes:

$$\begin{array}{ccccc}
 GC & \xrightarrow{Gf} & GD & & \\
 \downarrow q_{j,C} & & \downarrow q_{j,D} & \searrow q_{j',D} & \\
 F_j C & \xrightarrow{F_j f} & F_j D & \xrightarrow{F_{j'} D} & F_{j'} D
 \end{array} \tag{3}$$

In particular for each object  $C$  the  $q_{j,C}$  form a cone from  $GC$  to  $F_{(-)}C$ , and for  $\theta_C = U(q_{j,C})$  the following diagram commutes:

$$\begin{array}{ccccc}
 GC & \xrightarrow{Gf} & GD & & \\
 \downarrow \theta_C & & \downarrow \theta_D & \searrow q_{j,D} & \\
 \varprojlim F_j C & \xrightarrow{(\varprojlim F_j)(f)} & \varprojlim F_j D & \xrightarrow{p_{j,D}} & F_j D
 \end{array} \tag{4}$$

This implies  $\theta : G \rightarrow \varprojlim F_j$  is natural and  $p_j \circ \theta = q_j$ , so  $\varprojlim F_j$  is universal.

*Remark.* In the proof of Proposition 8.10, note that for an arrow  $h : C' \rightarrow C$  in  $\mathbf{C}$  and a functor  $F : \mathbf{C}^{\text{op}} \rightarrow \mathbf{Sets}$ , the diagram

$$\begin{array}{ccc}
 yC' & \xrightarrow{yh} & yC \\
 & \searrow x' & \swarrow x \\
 & F &
 \end{array} \tag{1}$$

commutes if and only if  $F(h)(x) = x'$ —that is, if and only if  $h : (x', C') \rightarrow (x, C)$  is

an arrow in  $\int_{\mathbf{C}} F$ —by commutativity of

$$\begin{array}{ccc} \mathrm{Hom}(yC, F) & \xrightarrow{\cong} & FC \\ \mathrm{Hom}(yh, F) \downarrow & & \downarrow Fh \\ \mathrm{Hom}(yC', F) & \xrightarrow{\cong} & FC' \end{array}$$

Taking  $F = P$  in (1) shows that  $P$  is a cocone.

For  $\vartheta : P \rightarrow Q$ , to see that the naturality diagram

$$\begin{array}{ccc} PC & \xrightarrow{\vartheta_C} & QC \\ Ph \downarrow & & \downarrow Qh \\ PC' & \xrightarrow{\vartheta_{C'}} & QC' \end{array}$$

commutes, observe that for  $x \in PC$  and  $x' = P(h)(x)$ ,

$$(Qh \circ \vartheta_C)(x) = Q(h)(\vartheta_{(x,C)}) = \vartheta_{(x',C')} = \vartheta_{C'}(x') = (\vartheta_{C'} \circ Ph)(x)$$

where the second equality follows by taking  $F = Q$  in (1).

Now for any  $\eta : P \rightarrow Q$ , we have commutativity of

$$\begin{array}{ccc} \mathrm{Hom}(yC, P) & \xrightarrow{\cong} & PC \\ \mathrm{Hom}(yC, \eta) \downarrow & & \downarrow \eta_C \\ \mathrm{Hom}(yC, Q) & \xrightarrow{\cong} & QC \end{array} \tag{2}$$

Taking  $\eta = \vartheta$  in (2) shows that  $\vartheta \circ x = \vartheta_{(x,C)}$ . If  $\varphi : P \rightarrow Q$  satisfies  $\varphi \circ x = \vartheta_{(x,C)}$ , then taking  $\eta = \varphi$  in (2) shows that  $\varphi = \vartheta$ .

*Remark.* If  $P, Q \in \mathbf{Sets}^{\mathbf{C}^{\mathrm{op}}}$  and  $\vartheta : P \rightarrow Q$ , then there is a functor

$$I(\vartheta) : \int_{\mathbf{C}} P \rightarrow \int_{\mathbf{C}} Q$$

defined by  $I(\vartheta)(x, C) = (\vartheta_C x, C)$ . Indeed, if  $h : (x', C') \rightarrow (x, C)$  is an arrow in  $\int_{\mathbf{C}} P$ , then commutativity of

$$\begin{array}{ccc} PC & \xrightarrow{\vartheta_C} & QC \\ Ph \downarrow & & \downarrow Qh \\ PC' & \xrightarrow{\vartheta_{C'}} & QC' \end{array}$$

implies

$$Q(h)(\vartheta_C x) = \vartheta_{C'}(P(h)(x)) = \vartheta_{C'} x'$$

so  $I(\vartheta)(h) = h : (\vartheta_{C'} x', C') \rightarrow (\vartheta_C x, C)$  is an arrow in  $\int_{\mathbf{C}} Q$ . Clearly  $I(\vartheta)$  preserves identities and composites, so it is a functor. Note it preserves the underlying objects and arrows in  $\mathbf{C}$ .

Moreover, if  $\mathbf{C}$  is small then  $I : \mathbf{Sets}^{\mathbf{C}^{\text{op}}} \rightarrow \mathbf{Cat}$  is a functor, where  $I(P) = \int_{\mathbf{C}} P$ . In other words, *integrating over a (small) category is functorial*.

*Remark.* In the proof of Proposition 8.11, to define the action of  $F_!$  on an arrow  $\vartheta : P \rightarrow Q$  we use the previous remark. Write  $P \cong \varinjlim_{j \in J} A_j$  and  $Q \cong \varinjlim_{k \in K} B_k$  where  $J = \int_{\mathbf{C}} P$  and  $K = \int_{\mathbf{C}} Q$  and  $A : J \rightarrow \mathbf{C}$  and  $B : K \rightarrow \mathbf{C}$  are the projections. Since  $I(\vartheta) : J \rightarrow K$  preserves the underlying objects and arrows in  $\mathbf{C}$ , it follows that  $\varinjlim_{k \in K} F B_k$  is a cocone to  $F A_{(-)}$  in  $\mathcal{E}$ , and so there is a unique arrow

$$F_! \vartheta : \varinjlim_{j \in J} F A_j \rightarrow \varinjlim_{k \in K} F B_k$$

induced by the universal property of  $\varinjlim_{j \in J} F A_j$ . Clearly  $F_!$  preserves identities and composites, so it is a functor.

**Exercise (1).** If  $F : \mathbf{C} \rightarrow \mathbf{D}$  is fully faithful, then  $C \cong C'$  iff  $FC \cong FC'$ .

*Proof.* The forward direction holds since functors preserve isos. For the reverse direction, if  $x : FC \rightarrow FC'$  and  $y : FC' \rightarrow FC$  are inverses, then since  $F$  is full there are  $f : C \rightarrow C'$  and  $g : C' \rightarrow C$  with  $Ff = x$  and  $Fg = y$ . Now

$$F(g \circ f) = F(g) \circ F(f) = y \circ x = 1_{FC} = F(1_C)$$

so  $g \circ f = 1_C$  since  $F$  is faithful. Similarly  $f \circ g = 1_{C'}$ , so  $f$  and  $g$  are inverses.  $\square$

**Exercise (2).** The representable functors generate  $\mathbf{Sets}^{\mathbf{C}^{\text{op}}}$ .

*Proof.* If  $P, Q \in \mathbf{Sets}^{\mathbf{C}^{\text{op}}}$  and  $\varphi, \psi : P \rightarrow Q$  satisfy  $\varphi \circ \vartheta = \psi \circ \vartheta$  for all  $\vartheta : yC \rightarrow P$ , then

$$\text{Hom}(yC, \varphi) = \text{Hom}(yC, \psi)$$

so we have a commutative diagram by the Yoneda lemma:

$$\begin{array}{ccc} \text{Hom}(yC, P) & \xrightarrow{\cong} & PC \\ \downarrow & & \downarrow \varphi_C \quad \downarrow \psi_C \\ \text{Hom}(yC, Q) & \xrightarrow{\cong} & QC \end{array}$$

It follows that  $\varphi_C = \psi_C$  for all  $C$ , so  $\varphi = \psi$ . □

**Exercise (3).** If  $\mathbf{C}$  is a locally small cartesian closed category, then

$$(A \times B)^C \cong A^C \times B^C \tag{1}$$

and if  $\mathbf{C}$  also has binary coproducts, then

$$A^{(B+C)} \cong A^B \times A^C \tag{2}$$

*Proof.* By the Yoneda principle. For (1), we have

$$\begin{aligned} \text{Hom}(X, (A \times B)^C) &\cong \text{Hom}(X \times C, A \times B) \\ &\cong \text{Hom}(X \times C, A) \times \text{Hom}(X \times C, B) \\ &\cong \text{Hom}(X, A^C) \times \text{Hom}(X, B^C) \\ &\cong \text{Hom}(X, A^C \times B^C) \end{aligned}$$

For (2), we have

$$\begin{aligned} \text{Hom}(X, A^{(B+C)}) &\cong \text{Hom}(X \times (B + C), A) \\ &\cong \text{Hom}(X \times B + X \times C, A) \\ &\cong \text{Hom}(X \times B, A) \times \text{Hom}(X \times C, A) \\ &\cong \text{Hom}(X, A^B) \times \text{Hom}(X, A^C) \\ &\cong \text{Hom}(X, A^B \times A^C) \end{aligned}$$

All isos are natural in  $X$ . □

**Exercise (7).** The Yoneda embedding  $y : \mathbf{C} \rightarrow \mathbf{Sets}^{\mathbf{C}^{\text{op}}}$  preserves binary products and exponentials.

*Proof.* For products, we have

$$\begin{aligned} y(A \times B)(C) &= \text{Hom}(C, A \times B) \\ &\cong \text{Hom}(C, A) \times \text{Hom}(C, B) \\ &= yA(C) \times yB(C) \\ &= (yA \times yB)(C) \end{aligned}$$

and the iso is natural in  $C$ . For exponentials, we have

$$\begin{aligned} y(B^A)(C) &= \text{Hom}(C, B^A) \\ &\cong \text{Hom}(C \times A, B) \\ &= yB(C \times A) \\ &\cong \text{Hom}(y(C \times A), yB) \\ &\cong \text{Hom}(yC \times yA, yB) \\ &= yB^{yA}(C) \end{aligned}$$

and the isos are natural in  $C$ . □

**Exercise (10).** The  $\lambda$ -calculus is complete with respect to diagram categories.

*Proof.* Anything provable in the  $\lambda$ -calculus is true in every cartesian closed model, hence in every diagram model since they are cartesian closed. On the other hand, if something is not provable it is not true in some cartesian closed model, hence not true in some containing diagram model. □

**Exercise (11).** The slice category  $\mathbf{Sets}/X$  is cartesian closed.

*Proof.*  $\mathbf{Sets}/X \simeq \mathbf{Sets}^X$  and  $\mathbf{Sets}^X$  is cartesian closed. □

## Chapter 9

*Remark.* In the proof of Corollary 9.5, view  $F : \mathbf{C}^{\text{op}} \rightarrow \mathbf{D}^{\text{op}}$  and  $U : \mathbf{D}^{\text{op}} \rightarrow \mathbf{C}^{\text{op}}$ , so

$$\psi = \phi^{-1} : \text{Hom}_{\mathbf{C}^{\text{op}}}(UD, C) \cong \text{Hom}_{\mathbf{D}^{\text{op}}}(D, FC)$$

is natural in  $C$  and  $D$ . Apply Proposition 9.4 to obtain the unit  $\epsilon : 1_{\mathbf{D}^{\text{op}}} \rightarrow FU$  for  $\mathbf{D}^{\text{op}}$ , which is just the desired counit  $\epsilon : FU \rightarrow 1_{\mathbf{D}}$  for  $\mathbf{D}$ .

*Remark.* In the proof of Proposition 9.9, to see that  $\varphi_D : UD \cong VD$  is natural in  $D$ , let  $h : D \rightarrow D'$  and observe that this diagram commutes:

$$\begin{array}{ccc}
 \mathrm{Hom}(UD, UD) & \xrightarrow[\cong]{(\varphi_D)_*} & \mathrm{Hom}(UD, VD) \\
 (Uh)_* \downarrow & & \downarrow (Vh)_* \\
 \mathrm{Hom}(UD, UD') & \xrightarrow[\cong]{(\varphi_{D'})_*} & \mathrm{Hom}(UD, VD')
 \end{array}$$

Chasing  $1_{UD}$  around the diagram, we see that

$$Vh \circ \varphi_D = \varphi_{D'} \circ Uh$$

so  $\varphi$  is natural in  $D$ , and  $U \cong V$ .

**Exercise (1).** For functors  $F : \mathbf{C} \rightarrow \mathbf{D}$  and  $U : \mathbf{D} \rightarrow \mathbf{C}$ , given an isomorphism

$$\phi : \mathrm{Hom}_{\mathbf{D}}(FC, D) \cong \mathrm{Hom}_{\mathbf{C}}(C, UD)$$

natural in  $C$  and  $D$ , the family  $\eta_C : C \rightarrow UFC$  defined by  $\eta_C = \phi(1_{FC})$  is a natural transformation  $\eta : 1_{\mathbf{C}} \rightarrow UF$ .

*Proof.* For  $h : C' \rightarrow C$ , the following diagram commutes by naturality:

$$\begin{array}{ccc}
 \mathrm{Hom}(FC, FC) & \xrightarrow[\cong]{\phi} & \mathrm{Hom}(C, UFC) \\
 (Fh)^* \downarrow & & \downarrow h^* \\
 \mathrm{Hom}(FC', FC) & \xrightarrow[\phi]{\cong} & \mathrm{Hom}(C', UFC) \\
 (Fh)_* \uparrow & & \uparrow (UFh)_* \\
 \mathrm{Hom}(FC', FC') & \xrightarrow[\phi]{\cong} & \mathrm{Hom}(C', UFC')
 \end{array}$$

Chasing  $1_{FC}$  and  $1_{FC'}$  around the diagram, we see that

$$\eta_C \circ h = UFh \circ \eta_{C'}$$

so  $\eta$  is natural. □

## References

- [1] Awodey, Steve. *Category Theory, 2nd ed.* Oxford, 2010.