Combining Neural Density Estimation and Computational State Space Models for Human Activity Recognition

Master Thesis

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submitted to the
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September 2022

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Chapter 1

Introduction

The task of recognizing human activities from sensor data is relevant for many real world applications. Its uses range from optimizing logistics in warehouses to providing healthcare and assistance systems for elderly and disabled people. Consequently, human activity recognition (HAR) has been an active topic of research in recent years. Methods to perform sensor-based HAR, broadly fall into either of two categories, each with their respective strengths and weaknesses: Symbolic methods as proposed in [3, 9] can employ prior domain knowledge and thus may need less training data, but often lack the capacity to learn from complex, time-series sensor data. One such approach are computational state space models (CSSMs) [3], which employ probabilistic inference on top of symbolic, rule based representations of possible actions within a domain to reason about observed activities. Contrary to this, modern, data-driven methods are capable of handling complex, multi-channel sensor data and have shown promising results in the past. An approach using a convolutional neural network (CNN) is described in [6]. The drawback of purely data-driven methods is, that they are oblivious to the causal structure of activities in the domain and thus may require more training data which is often hard to obtain. The goal of hybrid models is to combine both approaches in order to obtain state of the art performance while being able to incorporate prior knowledge to reduce the need for training data.

To benefit from the advantages of symbolic and data-driven methods, the main goal of this thesis is to develop a hybrid system for HAR by combining existing components in a novel way. The aim of this hybrid system is to achieve a greater sample efficiency than current state of the art systems. That is, with a fixed amount of limited training data, the hybrid system should outperform both data-driven and purely symbolic approaches. To build this hybrid model, an implementation of computational state space models (CSSM) [3] referred to as computational causal

behavior models (CCBM) will be combined with an observation model that is obtained from sensor data via neural density estimation methods. Initial experiments will be conducted with a type of normalizing flows called masked autoregressive flow (MAF) [7] since it has shown promising performance on density estimation tasks, as shown in [2]. The system will be evaluated on a data set of raw sensor data from inertial measurement units (IMUs) of people performing the task of cooking a carrot soup, eating at, and cleaning up afterwards. It is available for download from the University of Rostock [**?**].

Additionally, an important research goal of this thesis is to examine which component contributes in what part to the final performance. To this end, an ablation study will be conducted. For this, the performance of the symbolic approach with a simple observation model, the density estimation component with a simple prediction, the full hybrid model, and a baseline of quadratic discriminant analysis (QDA) will be compared on the data set introduced above. The results of this ablation study can be found in chapter (insert ref).

1.1 Problem Statement

1.2 Contribution

1.3 Related Work

Intellectually closest to this thesis is the approach proposed by Rueda et al. introduced in [10]. They present the idea of using deep neural architectures as the observation model required by CSSMs. In particular the authors use a CNN to learn from time-series sensor data provided by inertial measurement units (IMUs), and predict the observed actions. In the line of this work, a similar approach will be taken. With the difference being, that the observation model is obtained by a neural density estimator like MAF. Which is subsequently combined with CSSMs to perform probabilistic inference. However, many other approaches to combine deep neural architectures with symbolic reasoning have been proposed in recent literature. DeepProbLog [5] is introduced as a framework which combines neural networks and probabilistic-logical modeling via the existing language ProbLog [8]. This is done by extending ProbLog with neural predicates. (The evaluation of DeepProbLog shows that this framework is capable of performing symbolic reasoning as well as deep learning from examples in an end-to-end fashion. The downside of this approach is that its inference algorithms and language are ill-suited for dynamic systems, such as HAR. In [4] another hybrid architecture is proposed. This model combines high-level features extracted by a deep neural net-

work with context information about process steps to obtain state-of-the-art HAR performance. (A very recent approach that shows promising results for HAR is DUSTIN [1] which employs knowledge infusion on top of features extracted via a neural network. That is, the model uses a CNN to extract features from time series sensor data as well as the high-level context and concatenates to this representation a set of features which are obtained by a knowledge based reasoner. Just as for CSSMs, this has the added benefit that common-sense knowledge can be introduced to the model, which means that estimated action sequences are consistent with the user-context. The evaluation of DUSTIN shows that it is able to outperform other state-of-the-art neuro-symbolic approaches while having a high sample efficiency at the same time.

Chapter 2

Theoretical Framework

2.1 Preliminaries

2.2 Distribution Estimation

The task of estimating the joint probability distribution $p(x)$ from a set of examples $\{\boldsymbol{x}_n\}_{n=1}^{N}$ is a central aspect in many machine learning applications. Let $\boldsymbol{x}$ be an example with D variables $x_1, x_2, ..., x_D$. The chain rule of probability states that any joint distribution of variables can be decomposed into a product of joint conditional probabilities, where variable x_d only depends on the prior variables $x_{1:d-1}$. According to this rule, the joint distribution $p(x)$ can be expressed as

$$p(x) = \prod_{d=1}^{D} p(x_d|x_{1:d-1}) \tag{2.1}$$

where some arbitrary but fixed ordering of the variables is assumed (correct?). This property can be exploited in order to estimate the joint distribution of variables. To perform this task, many methods exist. In the line of this work we will focus on methods based feed-forward neural networks which fulfill certain properties that allow correct estimation of probability densities.

2.3 Symbolic Reasoning

(TODO: Preliminaries of / Introduction to Computational State Space models here) The goal of human activity recognition is to recognize certain activities in a sequence of actions that a human could perform. As such the HAR context is always a dynamic one, i.e. entities within this system are subject to changing states

starting from some initial state. Due to combinatorial explosion the state space is potentially very large. Thus, a system which allows tractable inference in dynamic systems is required. Computational State Space models (CSSMs) have been shown to fulfill this property, even in complex, real-world applications [3]. The Authors found that the domain model used in their evaluation had circa 10^8 states.

Chapter 3

Algorithms

Chapter 4

Experimental Evaluation

4.1 Settings

4.2 Experiments

4.3 Results

Chapter 5

Conclusion

5.1 Summary

5.2 Future Work

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Appendix A

Program Code / Resources

The source code, a documentation, some usage examples, and additional test results are available at ...

They as well as a PDF version of this thesis is also contained on the CD-ROM attached to this thesis.

Appendix B

Further Experimental Results

In the following further experimental results are ...

Ehrenwörtliche Erklärung

Ich versichere, dass ich die beiliegende Master-/Bachelorarbeit ohne Hilfe Dritter und ohne Benutzung anderer als der angegebenen Quellen und Hilfsmittel angefertigt und die den benutzten Quellen wörtlich oder inhaltlich entnommenen Stellen als solche kenntlich gemacht habe. Diese Arbeit hat in gleicher oder ähnlicher Form noch keiner Prüfungsbehörde vorgelegen. Ich bin mir bewusst, dass eine falsche Er- klärung rechtliche Folgen haben wird.

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