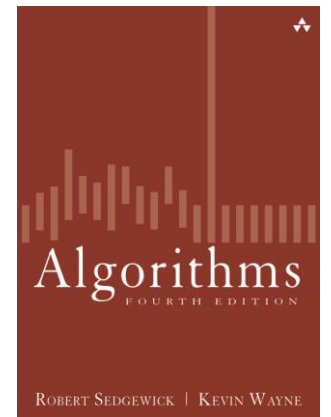


# ID1020: Analysis of Algorithms

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Slides adapted from *Algorithms* 4<sup>th</sup> Edition, Sedgewick.

# Analysis av algorithms

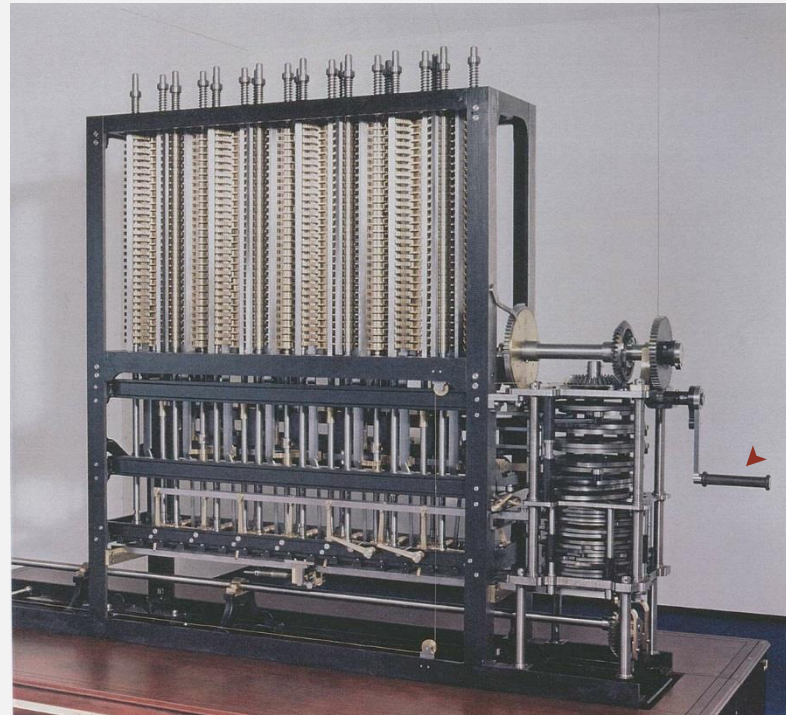
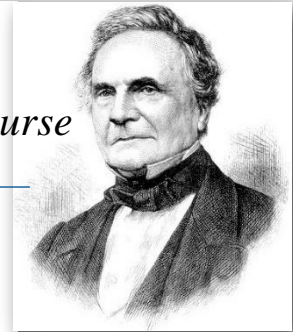
- Introduction
- Observations
- Mathematical models
- Order-of-growth classifications
- Theory of algorithms
- Memory complexity

# How many times must we turn the crank?

---

*As soon as an Analytic Engine exists, it will necessarily guide the future course of the science. Whenever any result is sought by its aid, the question will arise—By what course of calculation can these results be arrived at by the machine in the shortest time? ”*

*Charles Babbage (1864)*



# DoS (denial-of-service) attacks

“We present a new class of low-bandwidth denial of service attacks that exploit algorithmic deficiencies in many common applications' data structures. **Frequently used data structures have “average-case” expected running time that's far more efficient than the worst case. For example, both binary trees and hash tables can degenerate to linked lists with carefully chosen input.** We show how an attacker can effectively compute such input, and we demonstrate attacks against the hash table implementations in .. the Squid web proxy”

Källe: The Risks Digest ([catless.ncl.ac.uk/Risks](http://catless.ncl.ac.uk/Risks))

# Cast of characters



**Programer** need to develop a working solution.



**Customer** want to solve problem efficiently.

**You** might play any or all of these roles someday



**Theoretician** wants to understand...



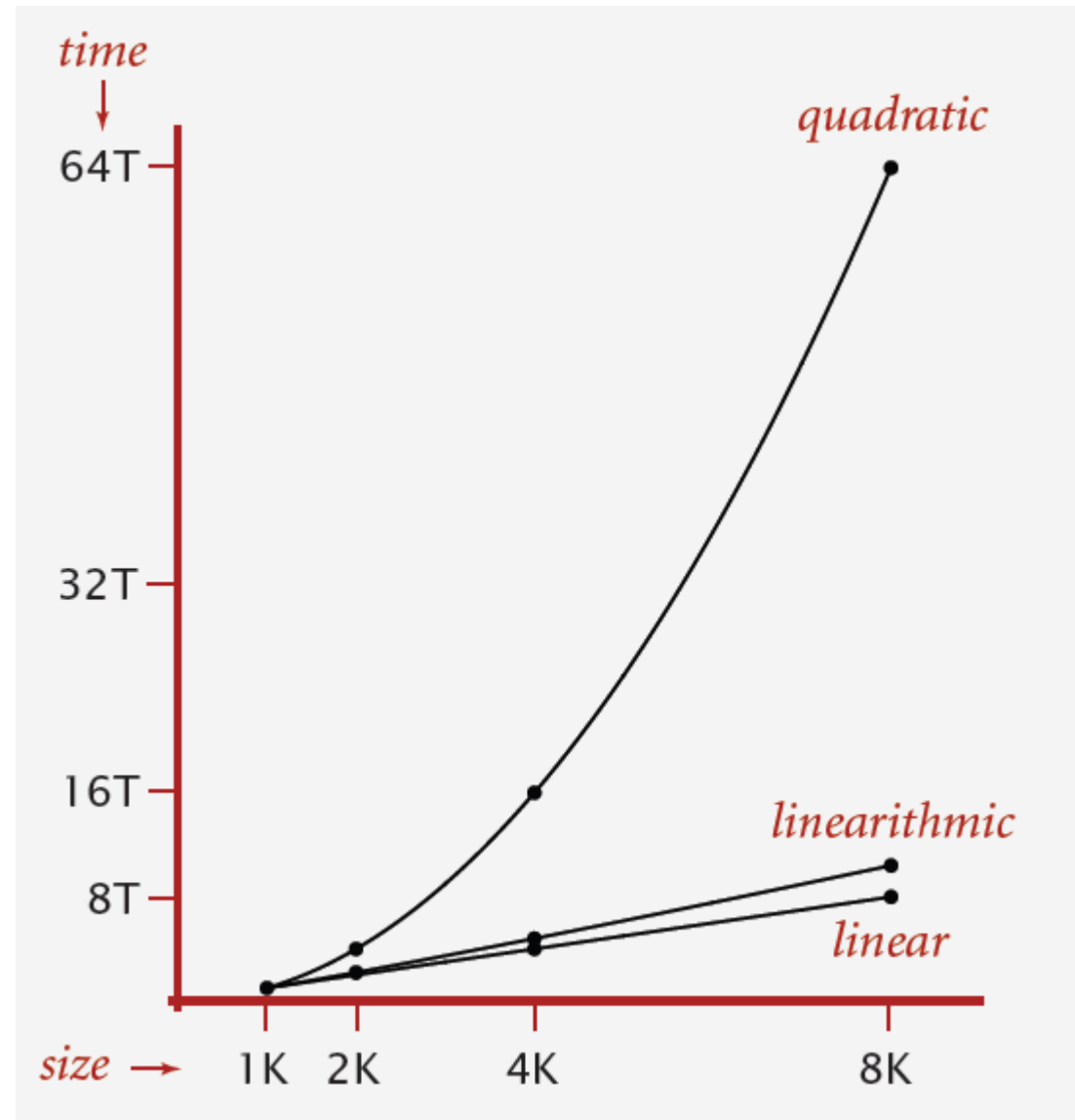
# Purpose of analysis

- Estimate running time of a program
- To be able to compare algorithms in the context of application needs
- Put focus on code segments that are most frequently run
- Choose an algorithm that suits the problem
  - Provide performance guarantees
- Understand the theory

↑ Courses focussed on the theory of algorithms at KTH:  
DD1352, DD2352, DD2440

# Algorithmic success

- N-body simulation.
- Simulate the gravitational forces involving  $N$  bodies.
- Brute force:  $N^2$  steps
- Barnes-Hut algorithm:  $N \log N$  steps  
=> enables new research



# Difficult problem

- Fibonacci sequence

- Every number is the sum of the two preceding Fibonacci numbers

- t.ex., 0, 1, 1, 2, 3, 5, 8, 13, 21...

- fibonacci(0) = 0

- fibonacci(1) = 1

- fibonacci(n) = fibonacci(n - 1) + fibonacci(n - 2)

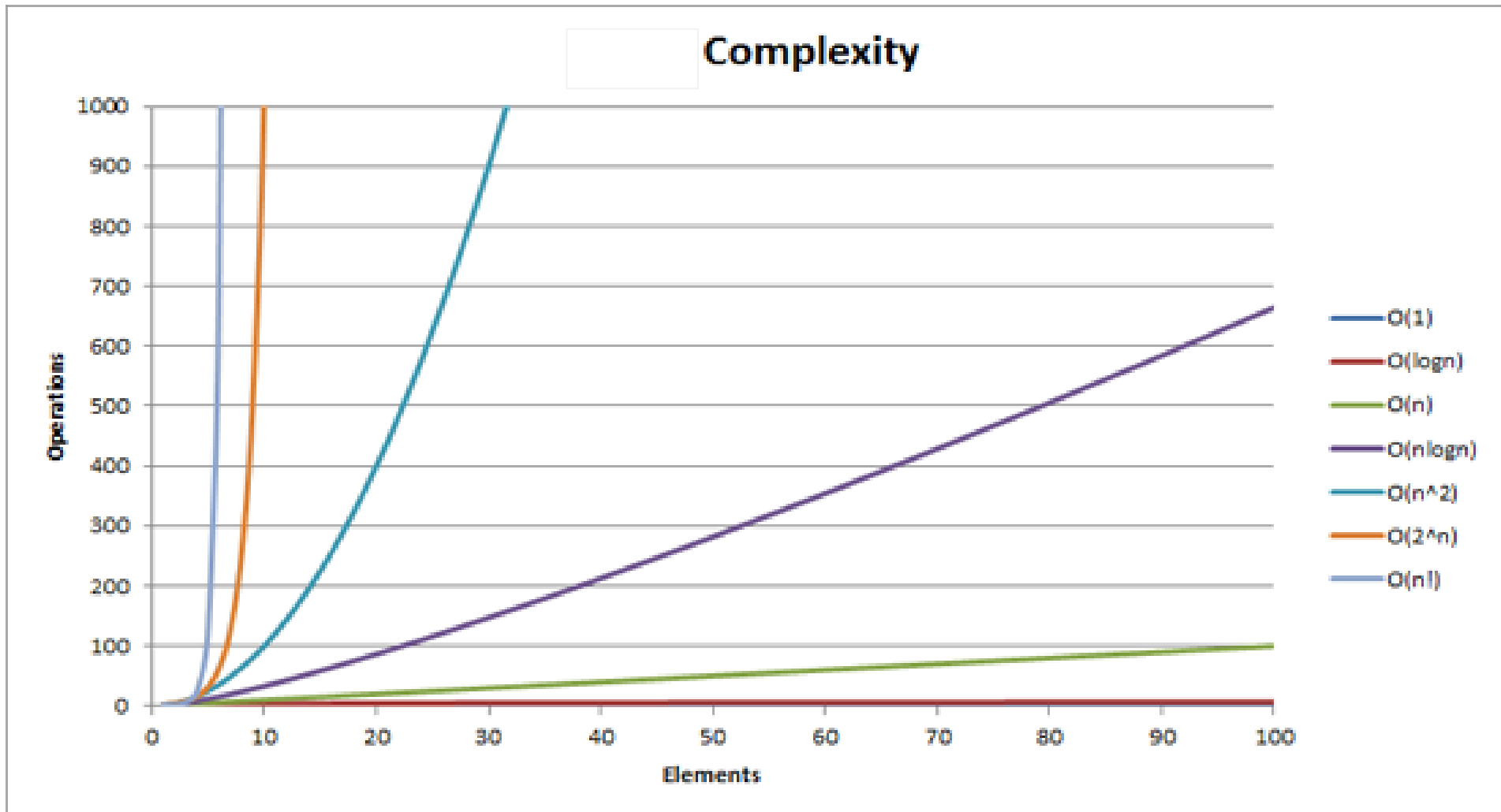
- fibonacci(0) och fibonacci(1) are the base cases

$$F(n) = \begin{cases} 0 & \text{if } n = 0; \\ 1 & \text{if } n = 1; \\ F(n - 1) + F(n - 2) & \text{if } n > 1. \end{cases}$$



# Exponential time complexity is bad news

- Fibonacci example – recursive Fibonacci cannot even compute fib(50)



# Challenge

- Can my program handle large input?

Why is the program so slow?

Why does i run out of memory?



- **Insight.** [Knuth 1970s] Use the **scientific method** to understand performance.

# Analys av algoritms

- Introduction
- Observations
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- Theory of algorithms
- Memory complexity

# Scientific method applied to understanding algorithms

- We want to predict the performance of algorithms.
- Scientific method:
  - **Observe** some feature of the natural world
  - **Hypothesize** a model that is consistent with observations
  - **Predict** new events with the hypothesis
  - **Verify** the predictions
  - **Validate** repeat until hypothesis and observations agree
- Principles
  - An experiment must be reproducible (by others too!)
  - A hypothesis must be falsifiable
- Feature of the natural world
  - The computer itself

# Example: 3-SUM

- 3-SUM. Given  $N$  integers, how many triplets sum to exactly zero?

```
>more 8ints.txt
8
30 -40 -20 -10 40 0 10 5

>java ThreeSum 8ints.txt
4
```

|   | a[i] | a[j] | a[k] | sum |
|---|------|------|------|-----|
| 1 | 30   | -40  | 10   | 0   |
| 2 | 30   | -20  | -10  | 0   |
| 3 | -40  | 40   | 0    | 0   |
| 4 | -10  | 0    | 10   | 0   |

# 3-SUM: Brute-force algorithm

```
public class ThreeSum
{
    public static int count(int[] a)
    {
        int N = a.length;
        int count = 0;
        for (int i = 0; i < N; i++) {
            for (int j = i+1; j < N; j++) {
                for (int k = j+1; k < N; k++) {
                    if (a[i] + a[j] + a[k] == 0)
                        count++;
                }
            }
        }
        return count;
    }
    public static void main(String[] args) {
        int[] a = In.readInts(args[0]);
        StdOut.println(count(a));
    }
}
```

← Test each triplet

← Simplified: Integer overflow ignored

# Measure running time

- Don't measure by hand!
  - Let the computer do it

```
<dependency>  
  <groupId>edu.princeton.cs.introcs</groupId>  
  <artifactId>stdlib-package</artifactId>  
  <version>1.0</version>  
</dependency>
```



```
public static void main(String[] args) {  
    int[] a = In.readInts(args[0]);  
    Stopwatch stopwatch = new Stopwatch();  
    StdOut.println(ThreeSum.count(a));  
    double time = stopwatch.elapsedTime();  
    StdOut.println("Körtiden var: " + time);  
}
```

# Empirical analysis

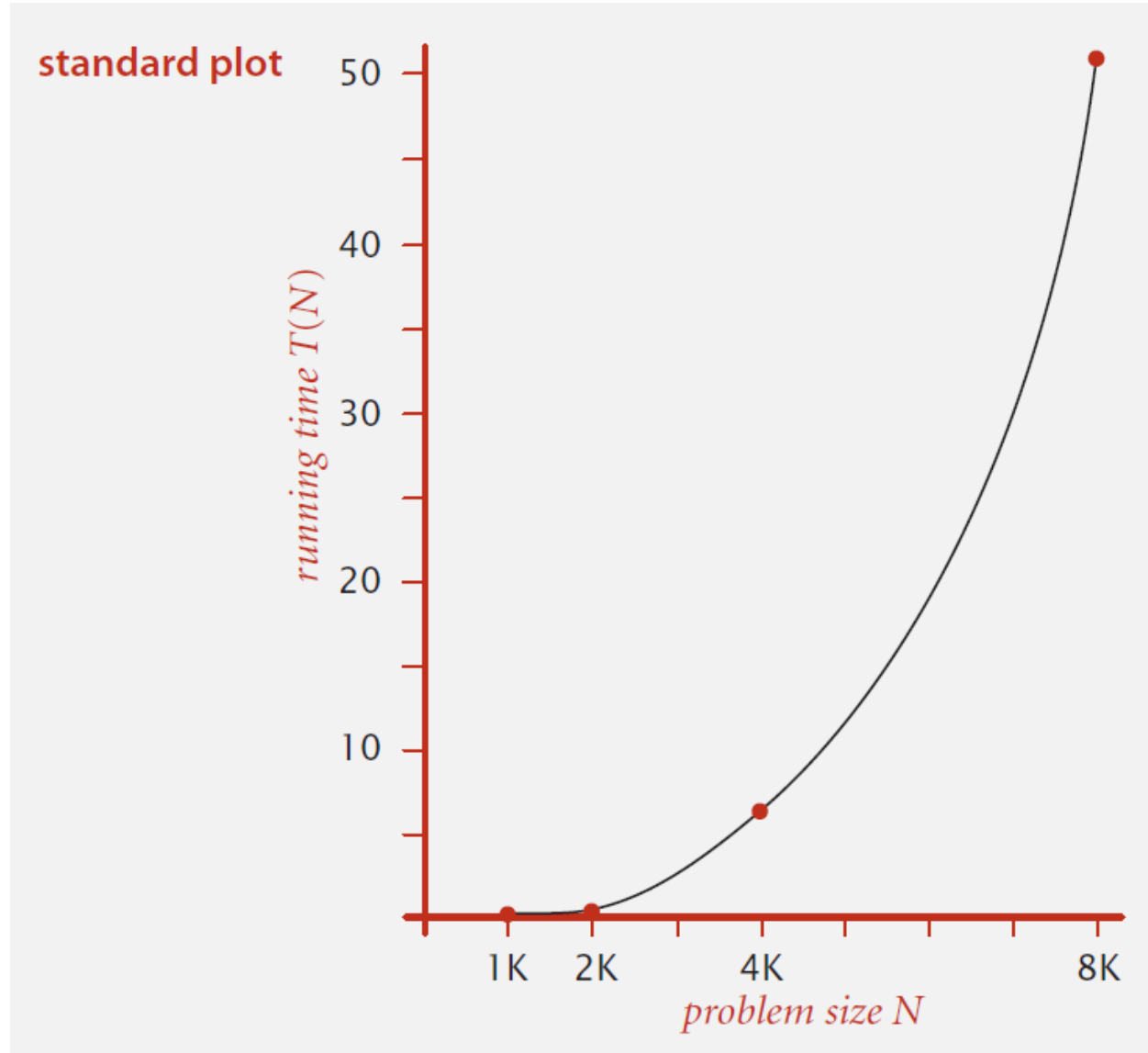
- Run the 3-SUM program for different sizes of input and measure the running time.

| <b>N</b> | <b>tid (sekunder)</b> |
|----------|-----------------------|
| 250      | 0.0                   |
| 500      | 0.0                   |
| 1.000    | 0.1                   |
| 2.000    | 0.8                   |
| 4.000    | 6.4                   |
| 8.000    | 51.1                  |
| 16.000   | ?                     |



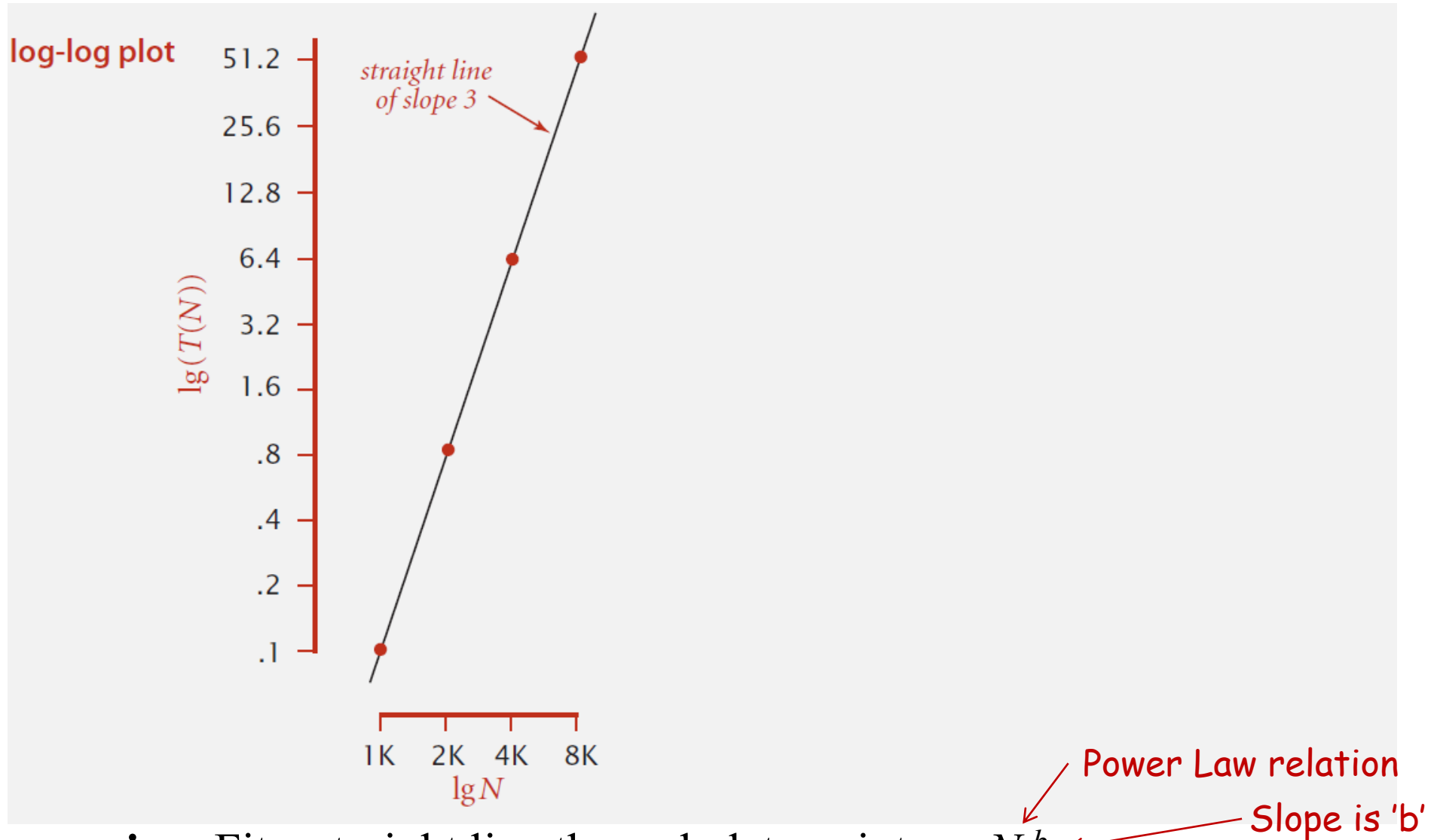
# Data Analysis

Standard plot: Running time  $T(N)$  vs. input size  $N$ .



# Data Analysis

Log-log graph: Draw  $\log(T(N))$  vs.  $\log(N)$  .



**Regression.** Fit a straight line through data points:  $a N^b$

**Hypothesis.** Running time is approx.  $1.006 \times 10^{-10} \times N^{2.999}$  seconds

# Prediction and validation

- Hypothesis. Running time is approx.  $1.006 \times 10^{-10} \times N^{2.999}$  sekunder.
  - Order-of-growth of running time is approximately  $N^3$
- Prediction
  - Last measurement - 51.0 seconds for  $N = 8.000$ .
  - We predict - 408.1 seconds for  $N = 16.000$ .
- Observationer

| N      | tid (sekunder) |
|--------|----------------|
| 8.000  | 51.1           |
| 8.000  | 51.0           |
| 8.000  | 51.1           |
| 16.000 | 410.8          |

Bingo! Observation  
validates hypothesis.

# Doubling hypothesis

- The *doubling hypothesis* is a quick way to estimate  $b$  in a power-law relation.
- Run the program and double the input size!

| N     | tid (sekunder) | Kvot | lg ratio |
|-------|----------------|------|----------|
| 250   | 0.0            | -    | -        |
| 500   | 0.0            | 4.8  | 2.3      |
| 1.000 | 0.1            | 6.9  | 2.8      |
| 2.000 | 0.8            | 7.7  | 2.9      |
| 4.000 | 6.4            | 8.0  | 3.0      |
| 8.000 | 51.1           | 8.0  | 3.0      |

← konvergera till  
ett konstant  
 $b \approx 3$

- Hypothesis. Running time  $\approx a N^b$ , with  $b = \lg \text{ratio}$
- Limitation. Cannot identify logarithmic factors

# Doubling hypothesis

- How do we estimate  $a$  (assuming that we know  $b$ ) ?
  - Run program for sufficiently large input and compute  $a$ .

| N     | time (seconds) |
|-------|----------------|
| 8.000 | 51.1           |
| 8.000 | 51.0           |
| 8.000 | 51.1           |

$$51.1 = a \times 80003$$
$$\Rightarrow a = 0.998 \times 10^{-10}$$

- Hypothesis. Running time is approx.  $0.998 \times 10^{-10} \times N^3$  seconds.

# Experimental algorithmics

- System independent factors

- algorithm
  - input data
- determines the exponent  
"b" in power law

- System dependent factors:

- Hardware: CPU, memory, cache, ...
  - Software: compiler, JVM, ...
  - System: operating system, network other apps , ...
- determines "a"  
in power law

- Difficult to get precise and reproducible observations

- But much easier than in other sciences!

# Analys av algoritms

- Introduction
- Observations
- Mathematical models
- Order-of-growth classifications
- Theory of algorithms
- Memory complexity

# Mathematical model

$$running\ time = \sum_{o \in operations} cost_o \times frequency_o$$

- Running time is the sum of the cost of all operations times the frequency.
- Need to analyze program/algorithm
- Cost depends on computer, compiler, JVM, etc..
- Frequency depends on the algorithm, and input data.
  - Need to find loops/recursive calls to identify the hotspots



# Cost of primitive operations

| operation                         | example    | nanoseconds |
|-----------------------------------|------------|-------------|
| integer add                       | $a + b$    | 2.1         |
| integer multiply                  | $a * b$    | 2.4         |
| integer divide                    | $a / b$    | 5.4         |
| floating-point add                | $a + b$    | 4.6         |
| floating-point multiply           | $a * b$    | 4.2         |
| floating-point divide             | $a / b$    | 13.5        |
| sine <code>Math.sin(theta)</code> | arctangent | 91.3        |
| ...                               | ...        | ...         |

[from the book, Running OS X on Macbook Pro 2.2GHz with 2GB RAM]

# Cost of primitive operations (2)

| operation            | example             | nanoseconds |
|----------------------|---------------------|-------------|
| variable declaration | int a               | $C_1$       |
| assignment statement | a = b               | $C_2$       |
| integer compare      | a < b               | $C_3$       |
| array element access | a[i]                | $C_4$       |
| array length         | a.length            | $C_5$       |
| 1D array allocation  | new int[N]          | $C_6N$      |
| 2D array allocation  | new int[N][N]       | $C_7N^2$    |
| string length        | s.length()          | $C_8$       |
| substring extraction | s.substring(N/2, N) | $C_9$       |
| string concatenation | s + t               | $C_{10}N^*$ |

\*Beginner mistake– string concatenation is expensive.

# Example: 1-SUM

- How many instructions are executed as a function of the input size  $N$  ?

```
int count = 0;
for (int i = 0; i < N; i++) {
    if (a[i] == 0) {
        count++;
    }
}
```

| operation            | frekvens      |
|----------------------|---------------|
| variable declaration | 2             |
| assignment statement | 2             |
| less than compare    | $N+1$         |
| equal to compare     | $N$           |
| array access         | $N$           |
| increment            | $N$ till $2N$ |

# Example: 2-SUM

How many instructions are executed as a function of the input size  $N$ ?

```
int count = 0;
for (int i = 0; i < N; i++) {
    for (int j = i+1; j < N; j++) {
        if (a[i] + a[j] == 0) {
            count++;
        }
    }
}
```

$$\leftarrow 0 + 1 + 2 + \dots + N = (0.5)(N)(N-1) = \binom{N}{2}$$

| operation            | frekvens                      |
|----------------------|-------------------------------|
| variable declaration | $N+2$                         |
| assignment statement | $N+2$                         |
| less than compare    | $(0.5)(N+1)(N+2)$             |
| equal to compare     | $(0.5)(N)(N-1)$               |
| array access         | $N(N-1)$                      |
| increment            | $(0.5)(N)(N-1)$ till $N(N-1)$ |

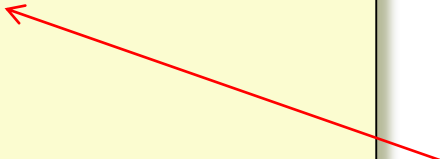
# Can we simplify the analysis

- Even in 2-SUM, complex calculation
- Can we simplify without losing precision?
  - Yes we can!

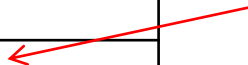
# Simplification 1: cost model

Cost model. Choose on primitive operation as a proxy for running time.

```
int count = 0;
for (int i = 0; i < N; i++) {
    for (int j = i+1; j < N; j++) {
        if (a[i] + a[j] == 0) {
            count++;
        }
    }
}
```


$$0 + 1 + 2 + \dots + N = (0.5)(N)(N-1) = \binom{N}{2}$$

| operation            | frekvens                      |
|----------------------|-------------------------------|
| variable declaration | $N+2$                         |
| assignment statement | $N+2$                         |
| less than compare    | $(0.5)(N+1)(N+2)$             |
| equal to compare     | $(0.5)(N)(N-1)$               |
| array access         | $N(N-1)$                      |
| increment            | $(0.5)(N)(N-1)$ till $N(N-1)$ |



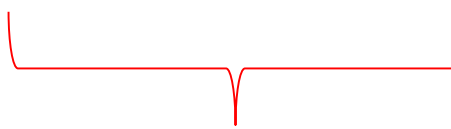
cost model =  
array-accesser

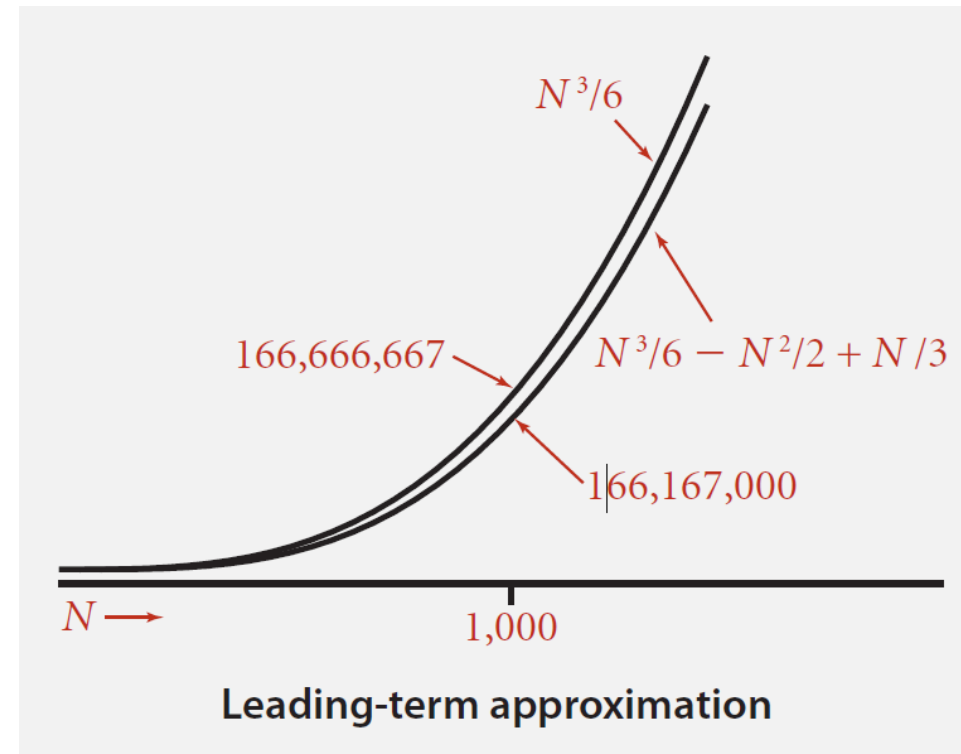
# Simplification 2: tilde notation

- Estimate running time (or memory) as a function of the input size  $N$ .
- Ignore lower-order terms
  - When  $N$  is large, lower order terms are negligible
  - When  $N$  is small, we don't care

T.ex.

$$\frac{1}{6} N^3 - \frac{1}{2} N^2 + \frac{1}{3} N \approx \frac{1}{6} N^3$$

  
ignore lower order terms  
(t.ex.,  $N=1000$ : 500.000 vs 166 miljon)



Mathematical definition:  $f(N) \sim g(N)$  means  $\lim_{N \rightarrow \infty} \frac{f(N)}{g(N)} = 1$

# Example: 2-SUM

- How many array accesses are needed as a function of the input size  $N$  ?
  - $N^2$  array-accesser.

```
int count = 0;
for (int i = 0; i < N; i++) {
    for (int j = i+1; j < N; j++) {
        if (a[i] + a[j] == 0) {
            count++;
        }
    }
}
```

inner loop

$$0 + 1 + 2 + \dots + N = (0.5)(N)(N-1) = \binom{N}{2}$$



# Example: 3-SUM

- How many array accesses are needed as a function of the input size  $N$  ?

$1/2 N^3$  array-accesser.

```
int count = 0;
for (int i = 0; i < N; i++) {
    for (int j = i+1; j < N; j++) {
        for (int k = j+1; k < N; k++) {
            if (a[i]+a[j]+ a[k] == 0) {
                count++;
            }
        }
    }
}
```

inre loop

$$\binom{N}{3} \approx \frac{1}{6}N^3$$

# Approximating a discrete sum

- How can we approximate a discrete sum?

- Take a course in discrete mathematics.
- Replace the sum with an integral and use calculus.

$$1 + 2 + \dots + N$$

$$\sum_{i'=1}^N i \sim \int_{x=1}^N x dx \sim 1/2 N^2$$

$$1 + 1/2 + \dots + 1/N$$

$$\sum_{i'=1}^N \frac{1}{i} \sim \int_{x=1}^N \frac{1}{x} \cdot dx \sim \ln N$$

3-SUM triple loop

$$\sum_{i'=1}^N \sum_{j'=i}^N \sum_{k'=j}^N 1 \sim \int_{x=1}^N \int_{x=1}^N \int_{x=1}^N dz \cdot dy \cdot dx \sim 1/6 N^3$$

# Mathematical models for running time

- In principle accurate mathematical models are available
- In practice
  - Formulas can be complicated
  - Advanced maths may be needed
  - Let the mathematicians deal with it ☺

$$TN = c_1 A + c_2 B + c_3 C + c_4 D + c_5 E$$

$E$

$A$  = array access

$B$  = integer add

$C$  = integer compare

$D$  = increment

$E$  = variable assignment

$c_1..c_5$  = cost  
 $A..E$  = frequency

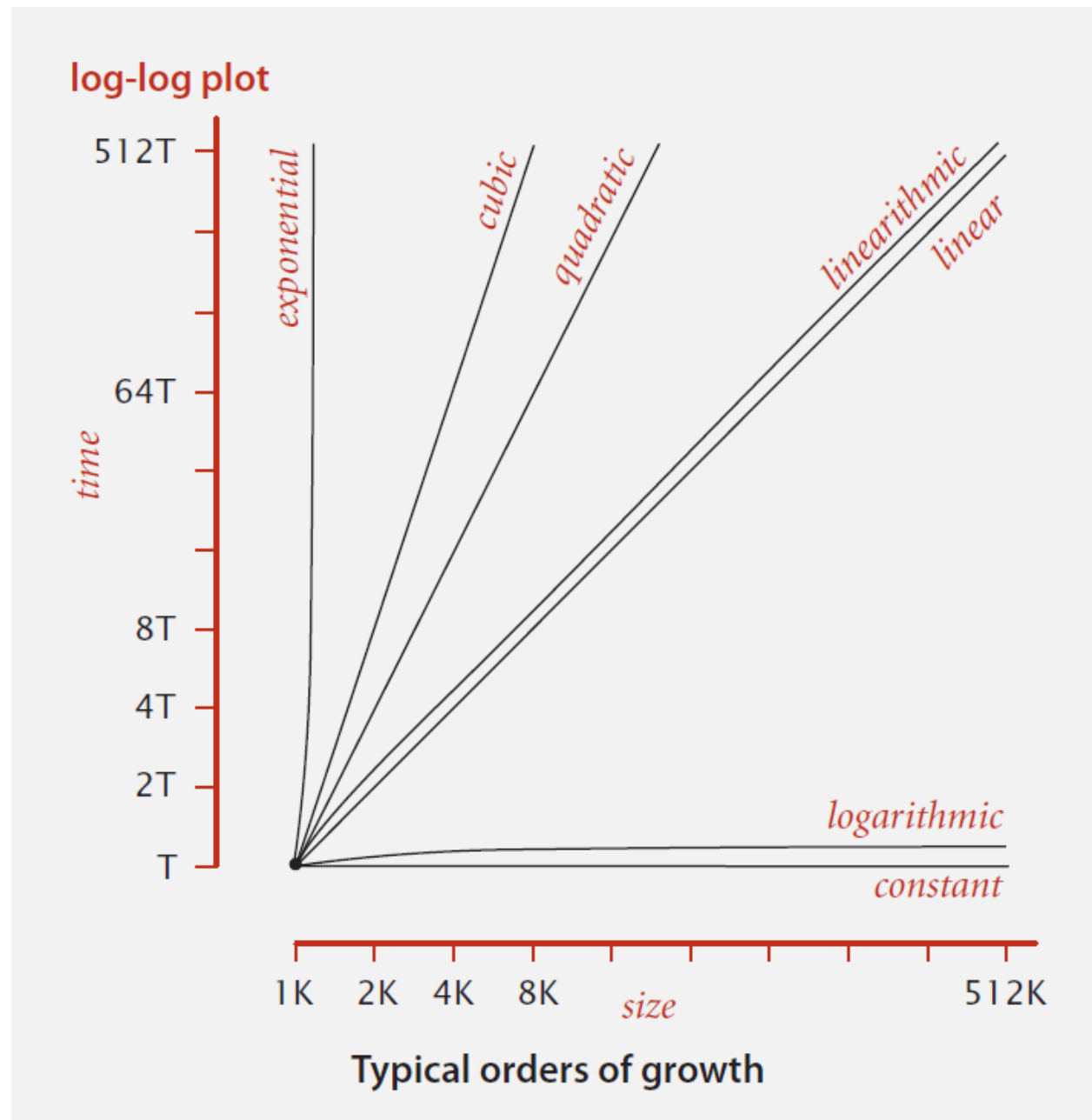
- We use approximate models in ID1020:  $T(N) \sim c N^3$ .

# Analysis av algorithms

- Introduction
- Observations
- Mathematical models
- Order-of-growth classifications
- Theory of algorithms
- Memory complexity

# Common orders-of-growth

- Small set of functions suffices for most algorithms
- $1$ ,  $\log N$ ,  $N$ ,  $N \log N$ ,
- $N^2$ ,  $N^3$ ,  $2^N$



# Common order-of-growth classifications

| Running time    | Name         | Example            |
|-----------------|--------------|--------------------|
| $\sim 1$        | constant     | sum two integers   |
| $\sim \log N$   | logarithmic  | binary search      |
| $\sim N$        | linear       | find maximum       |
| $\sim N \log N$ | linearithmic | mergesort          |
| $\sim N^2$      | quadratic    | check all pairs    |
| $\sim N^3$      | cubic        | check all triplets |
| $\sim 2^N$      | exponential  | check all subsets  |

# Common order-of-growth classifications

| Order of growth | Code example  | Description        |
|-----------------|---|--------------------|
| 1               | <code>a = b + c;</code>   | statement          |
| Log N           | <code>while (N &gt; 1)<br/>{ N = N / 2; ... }</code>  | binary search      |
| N               | <code>for (int i = 0; i &lt; N; i++)<br/>{ ... }</code>   | single loop        |
| N log N         | [se mergesort föreläsning]  | divide and conquer |
| $N^2$           | <code>for (int i = 0; i &lt; N; i++)<br/>  for (int j = 0; j &lt; N; j++)<br/>  { ... }</code>  | double loop        |
| $N^3$           | <code>for (int i = 0; i &lt; N; i++)<br/>  for (int j = 0; j &lt; N; j++)<br/>    for (int k = 0; k &lt; N; k++)<br/>    { ... }</code> | triple loop        |
| $2^N$           | [combinatorial search]  | exhaustive search  |

# Implications when varying input size

Size of problem that can be solved in a few minutes

| Beräkningstid | 1970s                 | 1980s            | 1990s                | 2000s                |
|---------------|-----------------------|------------------|----------------------|----------------------|
| 1             | any                   | any              | any                  | any                  |
| log N         | any                   | any              | any                  | any                  |
| N             | millions              | tens of millions | hundreds of millions | billions             |
| N logN        | hundreds of thousands | millions         | millions             | hundreds of millions |
| $N^2$         | hundreds              | thousand         | thousands            | tens of thousands    |
| $N^3$         | hundred               | hundreds         | thousand             | thousands            |
| $2^N$         | 20                    | 20s              | 20s                  | 30                   |

**Vi need linear or linearithmic algorithms to keep pace with Moores law.**



# Implications when varying input size (2)

Time need when the size of the input is in the millions

| Beräkningstid | 1970s   | 1980s   | 1990s           | 2000s     |
|---------------|---------|---------|-----------------|-----------|
| 1             | instant | instant | instant         | instant   |
| log N         | instant | instant | instant         | instant   |
| N             | minutes | seconds | second          | instant   |
| N logN        | hour    | minutes | tens of seconds | seconds   |
| $N^2$         | decades | years   | months          | weeks     |
| $N^3$         | never   | never   | never           | millennia |

**Vi need linear or linearithmic algorithms to keep pace with Moores law.**

# More implications

| Order-of-Growth $F_n$ | Name         | description                       | effect on a program that runs for a few seconds |                               |
|-----------------------|--------------|-----------------------------------|---|-------------------------------|
|                       |              |                                   | time for 100x more data                         | size for 100x faster computer |
| 1                     | constant     | independent of input size         | —   | —                             |
| $\log N$              | logarithmic  | nearly independent of input size  | —   | —                             |
| $N$                   | linear       | optimal for $N$ inputs            | a few minutes                                   | 100x                          |
| $N \log N$            | linearithmic | nearly optimal for $N$ inputs     | a few minutes                                   | 100x                          |
| $N^2$                 | quadratic    | not practical for large problems  | several hours                                   | 10x                           |
| $N^3$                 | cubic        | not practical for medium problems | several weeks                                   | 4–5x                          |
| $2^N$                 | exponential  | useful only for tiny problems     | forever   | 1x                            |

# Analysics example: binary search

# Binary Search in a list

- I'm thinking of word in a long alphabetically ordered list. Can you guess which one?
  - How many guesses are needed?(There are roughly two hundred thousand words in the Swedish Academy Wordlist.)

**LAT**

No, it comes before LAT.

**FUL**

No, it comes after FUL

- - - (15 guesses later) - - -

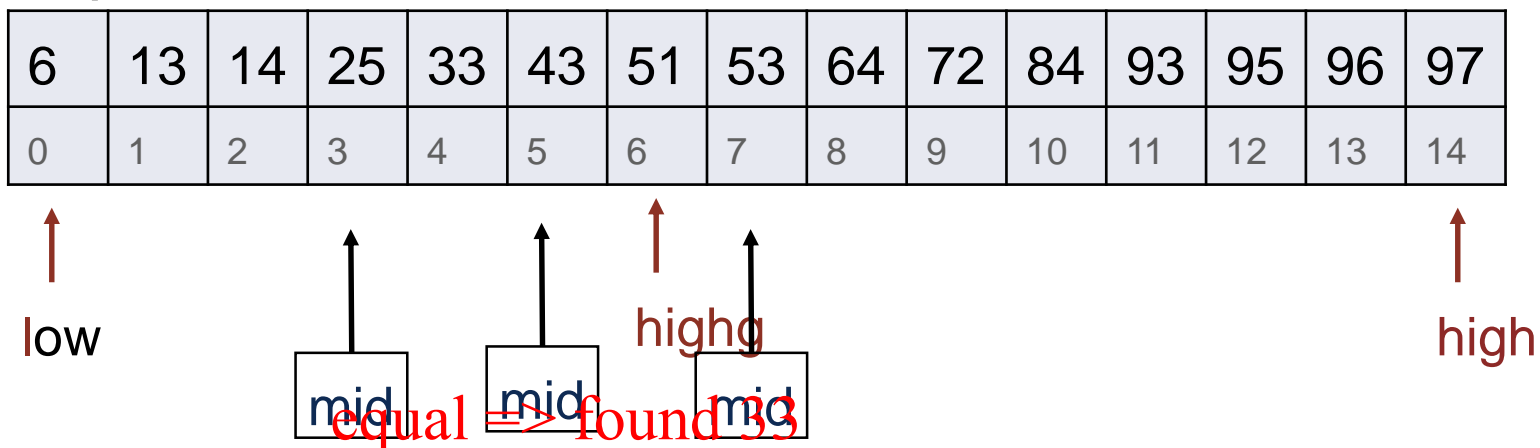
**17 guesses in total**

As the number of words is approximately  $2^{17}$  only one word is left after 17 guesses.

# Binary search

- **Goal.** Given a sorted array and a key, find the index of the key in the array.
- **Binary search.** Compare key with median of remaining candidates.
  - If too low, go right.
  - If too high go left.
  - If  $low == high$  then we found the word (if it exists).

For example, search for 33



# Binary search: Java implementation

- Is the algorithm easy to implement?

- Algorithm first published in 1946.
- First bug-free implementation in 1962.
- A bug was found in Java Arrays.binarySearch() as recently as 2006.

```
public static int binarySearch(int[] a, int key)    {  
    int lo = 0, hi = a.length-1;  
    while (lo <= hi) {  
        int mid = lo + (hi - lo) / 2;  
        if (key < a[mid]) { hi = mid - 1; }  
        else if (key > a[mid]) { lo = mid + 1; }  
        else { return mid; }  
    }  
    return -1;  
}
```

3-way  
compare

**Invariant.** IF  $\text{key}$  exists in the array  $a[]$  THEN  $a[\text{lo}] \leq \text{key} \leq a[\text{hi}]$

# Binary search: mathematical analysis

- **Theorem.** Binary search compares keys at most  $1 + \lg N$  times to search in a sorted array of size  $N$ .
- **Definition.**  $T(N) \equiv$  number of key comparisons in a sorted subarray of size  $\leq N$ .
- **Binary search “recurrence”.**  $T(N) \leq T(N/2) + 1$  för  $N > 1$ , med  $T(1) = 1$ .



Left or right half

- **Proof**

[assume  $N$  is a power of 2]

$$\begin{aligned} T(N) &\leq T(N/2) + 1 && \text{[ given ]} \\ &\leq T(N/4) + 1 + 1 && \text{[ apply recurrence to first term]} \\ &\leq T(N/8) + 1 + 1 + 1 && \text{[ apply recurrence to first term]} \\ &\vdots \\ &\leq T(N/N) + 1 + 1 + \dots + 1 && \text{[ stop applying, } T(1) = 1 \text{ ]} \\ &= 1 + \lg N \end{aligned}$$

# A $N^2 \log N$ algorithm for 3-SUM

- Algorithm.

- Step 1: Sort the  $N$  numbers.
- Step 2: For every pair of numbers  $a[i]$  och  $a[j]$ , do a binary search för  $-(a[i] + a[j])$ .

- Analysis. Time complexity is  $N^2 \log N$ .

- Steg 1:  $N^2$  with "insertion sort".
- Steg 2:  $N^2 \log N$  with binary search.

input

30 -40 -20 -10 40 0 10 5

sort

-40 -20 -10 0 5 10 30 40

Binary search

|            |                |
|------------|----------------|
| (-40, -20) | 60             |
| (-40, -10) | 50             |
| (-40, 0)   | 40             |
| (-40, 5)   | 35             |
| (-40, 10)  | 30             |
| ⋮          | ⋮              |
| (-40, 40)  | 0              |
| ⋮          | ⋮              |
| (-10, 0)   | 10             |
| ⋮          | ⋮              |
| (-20, 10)  | <del>30</del>  |
| ⋮          | ⋮              |
| (10, 30)   | <del>-40</del> |
| (10, 40)   | -50            |
| (30, 40)   | -70            |

Only search if  
 $a[i] < a[j] < a[k]$   
to avoid doing it  
twice



# Comparing programs

- **Conclusion.** The sorting-based  $N^2 \log N$  algorithm for 3-SUM is noticeably faster in practice compared to brute-force  $N^3$  algorithm.

| NN    | tid (sekunder) |
|-------|----------------|
| 1,000 | 0.1            |
| 2,000 | 0.8            |
| 4,000 | 6.4            |
| 8,000 | 51.1           |

ThreeSum.java

| N      | tid (sekunder) |
|--------|----------------|
| 1,000  | 0.14           |
| 2,000  | 0.18           |
| 4,000  | 0.34           |
| 8,000  | 0.96           |
| 16,000 | 3.67           |
| 32,000 | 14.88          |
| 64,000 | 59.16          |

ThreeSumDeluxe.java

# Analysis av algorithms

- Introduction
- Observations
- Mathematical models
- Order-of-growth classifications
- Theory of algorithms
- Memory complexity

# Types of analysis

- **Best case.** Lower bound on cost.
  - Easiest input – fastest possible.
- **Worst case.** Upper bound on cost.
  - Most difficult input – slowest possible
  - Gives a guarantee for all possible inputs.
- **Average (expected) case.** Expected case for “random” input.
  - Need a model for what random means.
  -

**T.ex 1.** Array accesses for brute-force 3-SUM.

Best:  $\sim \frac{1}{2} N^3$

Average:  $\sim \frac{1}{2} N^3$

Worst:  $\sim \frac{1}{2} N^3$

**T.ex 2.** Comparisons with binary search.

Best:  $\sim 1$

Average:  $\sim \lg N$

Worst:  $\sim \lg N$

# Types of analysis

- Best case. Lower bound on cost
- Worst case. Upper bound on cost
- Average case. Expected cost
  
- What happens if given input does not match are input model?
  - Vi need to understand the characteristics of the input.
  
- Method 1: design for worst case.
- Method 2: randomize and/or trust in probabilistical guarantees.

# Theory of algorithms

- Goal.

- Determine the difficulty of the problem
- Develop optimal algorithms

- Approach.

- Suppress details in the analysis: look for result within a constant factor
- Focus on worst case (eliminating input variability)

- Upper bound. Performance guarantee for all input.

- Lower bound. Prove that there exists no faster algorithm (within constant factor).

- Optimal algorithm. Lower bound == upper bound (within a constant factor).

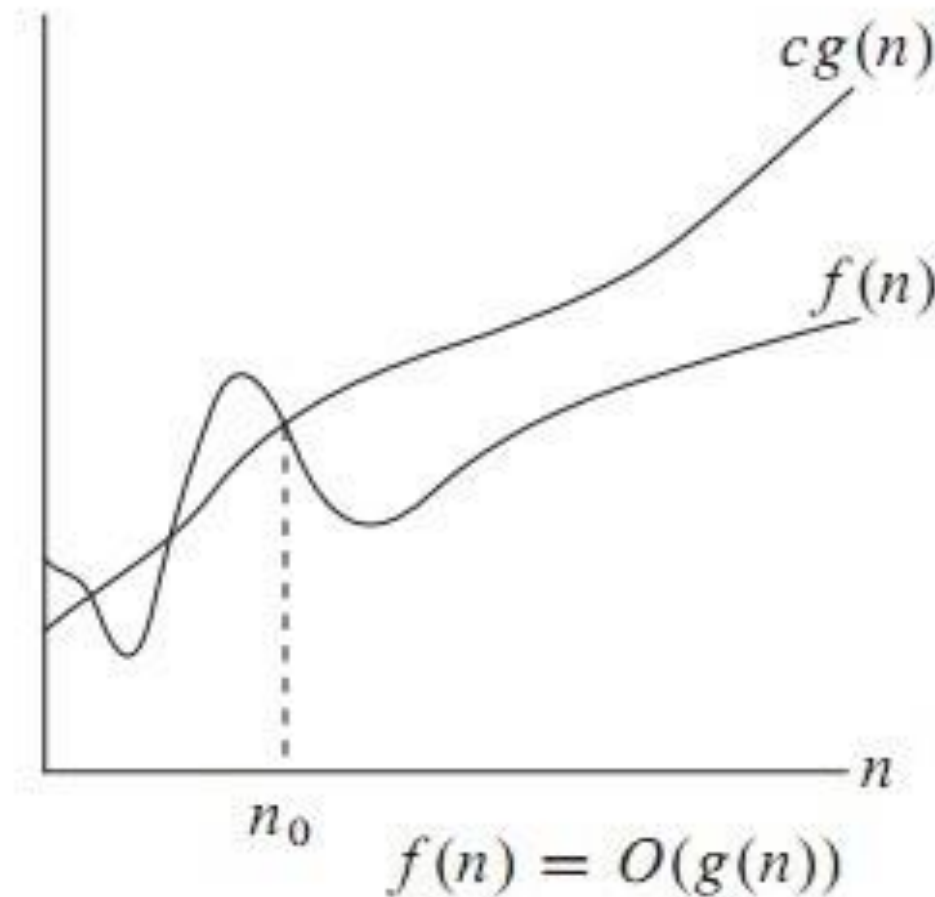
# Notations used in the theory of algorithms

| notation                      | describes                  | example       | Shorthand for   | used                 |
|-------------------------------|----------------------------|---------------|---|----------------------|
| <b>Big Theta</b>              | Asymptotic order of growth | $\Theta(N^2)$ | $\frac{1}{2} N^2$<br>$10 N^2$<br>$5 N^2 + 22 N \log N + 3N$<br>$\vdots$ | Classify algorithms  |
| <b>Big Oh</b><br>(Stora ordo) | $\Theta(N^2)$ and smaller  | $O(N^2)$      | $10 N^2$<br>$100 N$<br>$22 N \log N + 3 N$<br>$\vdots$                  | Develop upper bounds |
| <b>Big Omega</b>              | $\Theta(N^2)$ and larger   | $\Omega(N^2)$ | $\frac{1}{2} N^2$<br>$N^5$<br>$N^3 + 22 N \log N + 3 N$<br>$\vdots$     | Develop lower bounds |

# Big-Oh ( $O$ )

- Big-Oh determine the upper bound.

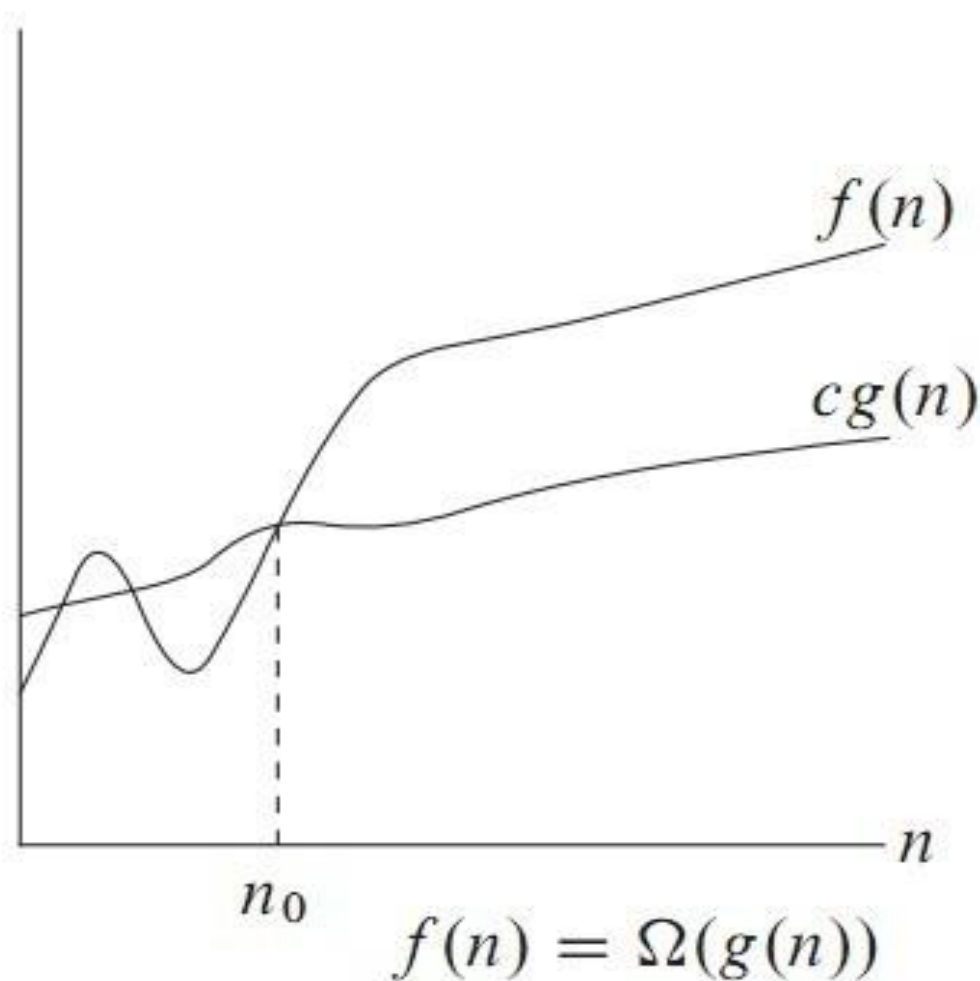
$f(n) = O(g(n))$  if  $\exists c > 0$  och  $n_0 > 0$  där  $f(n) \leq cg(n) \forall n \geq n_0$



# Big-Omega Notation

- Big-Omega determines the lower bound.

$$\Omega(g(n)) = \{f(n) \mid \exists c > 0 \text{ och } n_0 > 0 \text{ där } 0 \leq cg(n) \leq f(n) \forall n \geq n_0\}$$

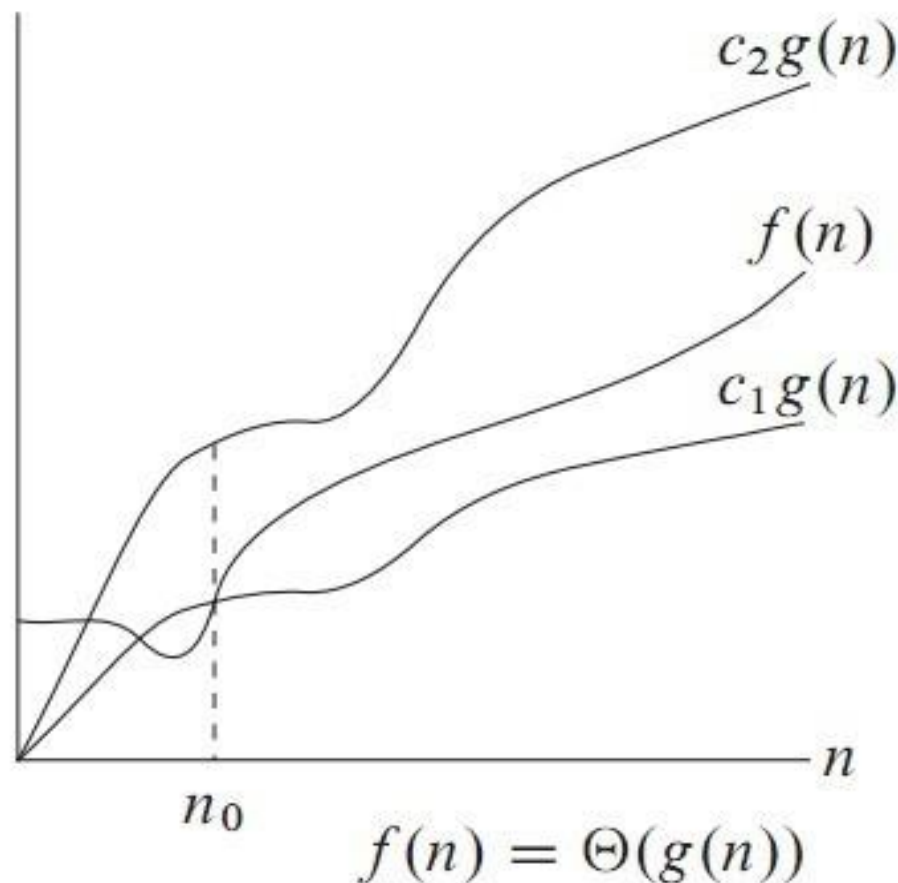




# Theta Notation

- Theta notation provides both lower and upper bound.

$$\Theta(g(n)) = \{f(n) \mid \exists c_1, c_2, n_0 > 0 \text{ där } 0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n) \forall n \geq n_0\}$$



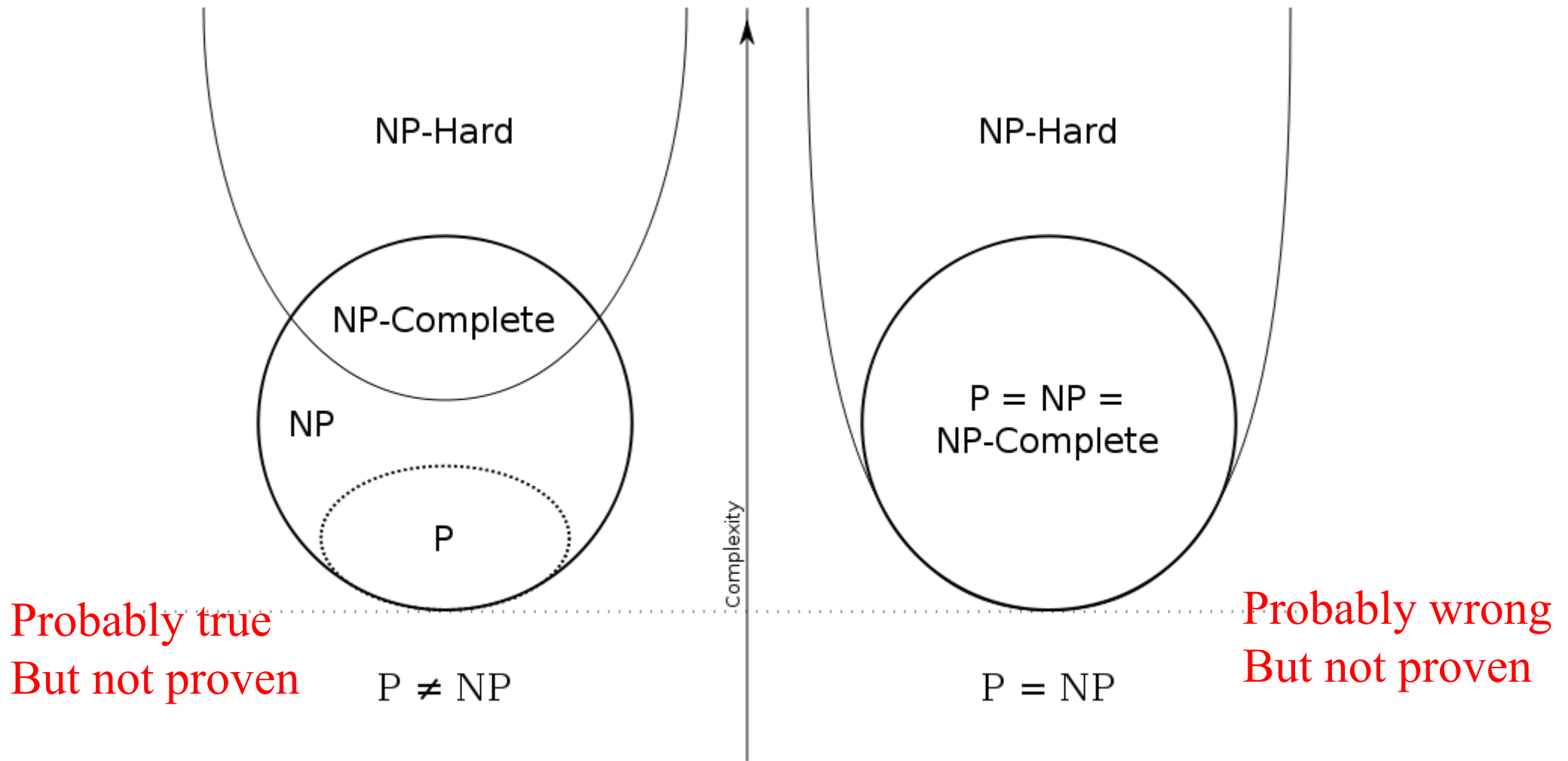
# Class P

- Class P consists of problems that can be solved in polynomial time.
- Polynomial time  $O(n^k)$  for a constant  $k$ , where  $n$  is input size.
- Note both  $\sim N^2$  and  $\sim N^{3000}$  belong to P !!

# NP problems

- Time to solve NP-complete problems is proportional to number of combinations ( $2^n$ )
  - NP  $\neq$  Non-polynomial time
  - NP = *Non-deterministic polynomial time*
- NP is the class of problems that can be solved in polynomial time *with perfect guesses*.
  - NP are those problems that can be solved in polynomial time by a non-deterministic Turing machine.
- A problem is NP-complete when it is both in NP and the NP-hard classes.
  - NP-hard problem is as difficult as the most difficult problem in NP

# P=NP?



[source: wikipedia]

# Theory of algorithms - example

- **Goal.**
  - Establish “difficulty” of a problem and develop “optimal” algorithmsr.
  - For example. 1-SUM.
- **Upper bound.** A specific algorithm.
  - Ex. Brute-force algorithm for 1-SUM: Look at every array entry..
  - Running time of the optimal algorithm for 1-SUM is  $O(N)$ .
- **Lower bound.** Proof that no algorithm can do better
  - Ex. Have to examine all  $N$  entries.
  - Running time of the optimal algorithm for 1-SUM is  $\Omega(N)$ .
- **Optimal algorithm?** .
  - Lower bound equal upper bound (within constant factor).
  - Running time of the optimal algorithm for 1-SUM is  $O(N)$ .

# Theory of algorithms – example 2

- Goal.

- Establish “difficulty” of a problem and develop “optimal” algorithms.
- For example. 3-SUM.

- Upper bound. A specific algorithm.

- Ex. Brute-force algorithm for 3-SUM:  $O(N^3)$
- Better algorithm with binary search:  $O(N^2 \log N)$

- Lower bound. Proof that no algorithm can do better

- Ex. Have to examine all  $N$  entries.
- Running time of the optimal algorithm for 1-SUM is  $\Omega(N)$ .

- Optimal algorithm?

- Open problem?
- Between  $N \log N$  and  $N^2 \log N$
- Can lower bound be raised can upper bound (better algorithm be lowered)?

# Algorithm design

- Start
  - Develop an algorithm
  - Prove a lower bound
- Is there a difference?
  - Lower the upper bound (discover new algorithm).
  - Raise the lower bound (more difficult).
- The golden age of algorithm design.
  - 1970s.
  - Time of raising lower bounds and lowering upper bounds
  - Many optimal algorithms discovered
- To consider.
  - Is it too pessimistic to focus on *worst case*?
  - We need more precision “within a constant factor” to predict performance.

# ID1020: We focus on the Tilde-notation

- **Common error.** Interpret big-Oh as an approximation model .
- **ID1020.** Focus on approximation models : use Tilde-notation

| notation         | beskriver                    | exempel       | kort för  | används för att         |
|------------------|------------------------------|---------------|---|-------------------------|
| <b>Tilde</b>     | leading term                 | $\sim 10N^2$  | $10 N^2$<br>$10 N^2 + 22 N \log N$<br>$10 N^2 + 2 N + 37$           | Approximative models    |
| <b>Big Theta</b> | asymptotisk tillväxtsordning | $\Theta(N^2)$ | $\frac{1}{2} N^2$<br>$10 N^2$<br>$5 N^2 + 22 N \log N + 3N$         | klassificiera algoritms |
| <b>Big Oh</b>    | $\Theta(N^2)$ och mindre     | $O(N^2)$      | $10 N^2$<br>$100 N$<br>$22 N \log N + 3 N$                          | hitta övre gräns        |
| <b>Big Omega</b> | $\Theta(N^2)$ och större     | $\Omega(N^2)$ | $\frac{1}{2} N^2$<br>$N^5$<br>$N^3 + 22 N \log N + 3 N$<br>$\vdots$ | hitta lägre gräns       |



# In practice other factors may be important

- Large constants
  - Lower order terms can be important
- Non-dominant inner loops
- Hardware
  - Caching, etc.
- System disturbances
  - Garbage collection
  - Other processes
- Strong dependence on input characteristics

# Memory complexity

# Fundamentals

- Bit. 0 or 1.
- Byte. 8 bitar.
- Megabyte (MB). 1 miljon or  $2^{20}$  bytes.
- Gigabyte (GB). 1 miljard or  $2^{30}$  bytes.

NIST  
↓

Datorvetenskper  
↓



- 64-bits computer. Vi will assume 64-bit computer with 8-byte pointers
  - To address more memory
  - Pointers use more memory.



# Memory sizes

| typ     | bytes |
|---------|-------|
| boolean | 1     |
| byte    | 1     |
| char    | 2     |
| int     | 4     |
| float   | 4     |
| long    | 8     |
| double  | 8     |

Primitive types

| typ      | bytes      |
|----------|------------|
| char[]   | $2 N + 24$ |
| int[]    | $4 N + 24$ |
| double[] | $8 N + 24$ |

One dimensional arrays

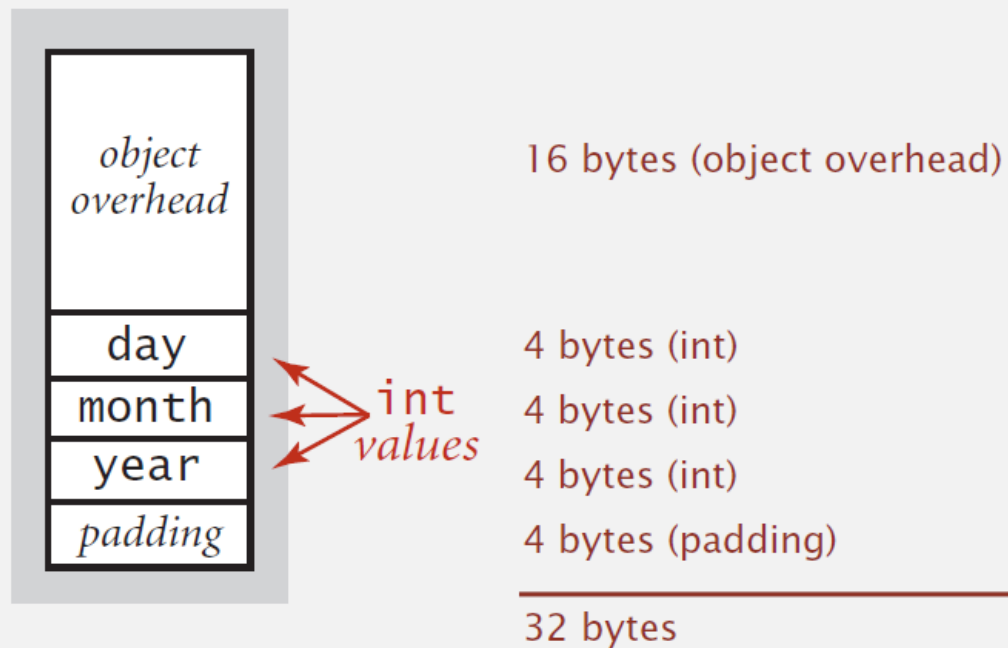
| typ        | bytes        |
|------------|--------------|
| char[][]   | $\sim 2 M N$ |
| int[][]    | $\sim 4 M N$ |
| double[][] | $\sim 8 M N$ |

Two dimensional arrays

# Memory sizes

- **Objekt overhead.** 16 bytes.
  - **Reference.** 8 bytes.
  - **Padding.** Every object must use a multiple of 8 bytes.
- 
- **Es.** A Date object uses 32 bytes memory.

```
public class Date
{
    private int day;
    private int month;
    private int year;
    ...
}
```



# Example

- How much memory does WeightedQuickUnionUF use a function of  $N$  ?
- Use tilde-notation.

```
public class WeightedQuickUnionUF {  
    private int[] id;  
    private int[] sz;  
    private int count;  
  
    public WeightedQuickUnionUF(int N) {  
        id = new int[N];  
        sz = new int[N];  
        for (int i = 0; i < N; i++) id[i] = i;  
        for (int i = 0; i < N; i++) sz[i] = 1;  
    }  
    ...  
}
```

← 16 bytes (objekt overhead)

← 8 + (4N + 24) bytes varje  
← (referens + int[] array)

← 4 bytes (int)

← 4 bytes (padding)

---

8N + 88 bytes

Memory usage:  $8N + 88 \sim 8N$  bytes.

# Conclusion

- Empirical analysis.
  - Run a program as an experiment.
  - Assume a "power law relation" develop hypothesis
  - Model enables prediction of programs performance
- Mathematical analysis.
  - Analyze by counting frequency of operations.
  - Use tilde-notation to simplify analysis.
  - Model enables us to predict behavior.
- Scientific method.
  - The mathematical model is independent of computer and system.
  - Empirical analysis is necessary to predict interesting properties precisely (e.g. performance).