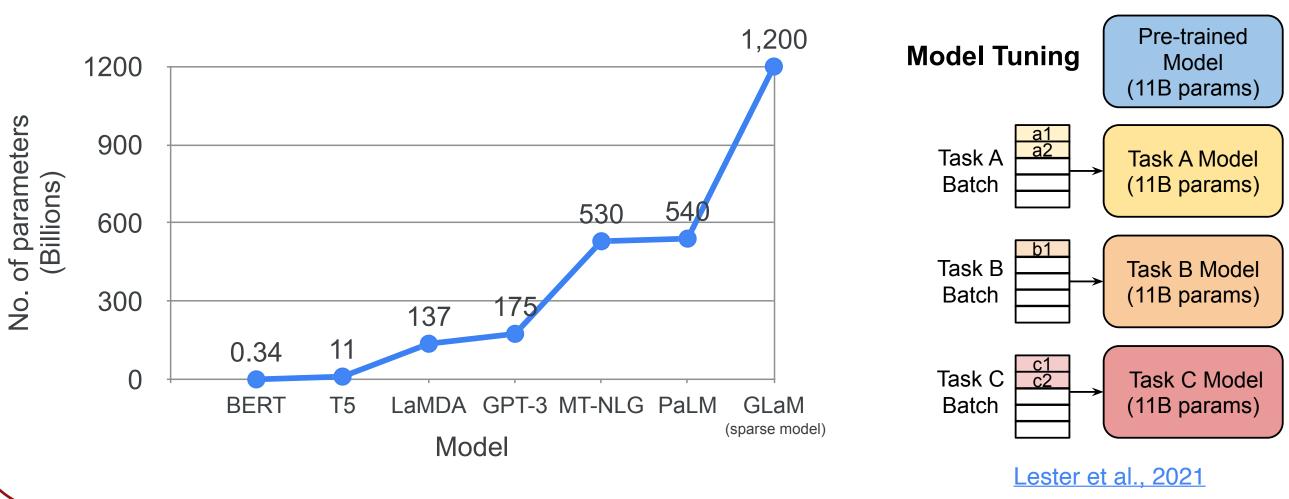
SPoT: Better Frozen Model Adaptation through Soft Prompt Transfer

Tu Vu^{1,2}, Brian Lester¹, Noah Constant¹, Rami Al-Rfou¹, Daniel Cer¹

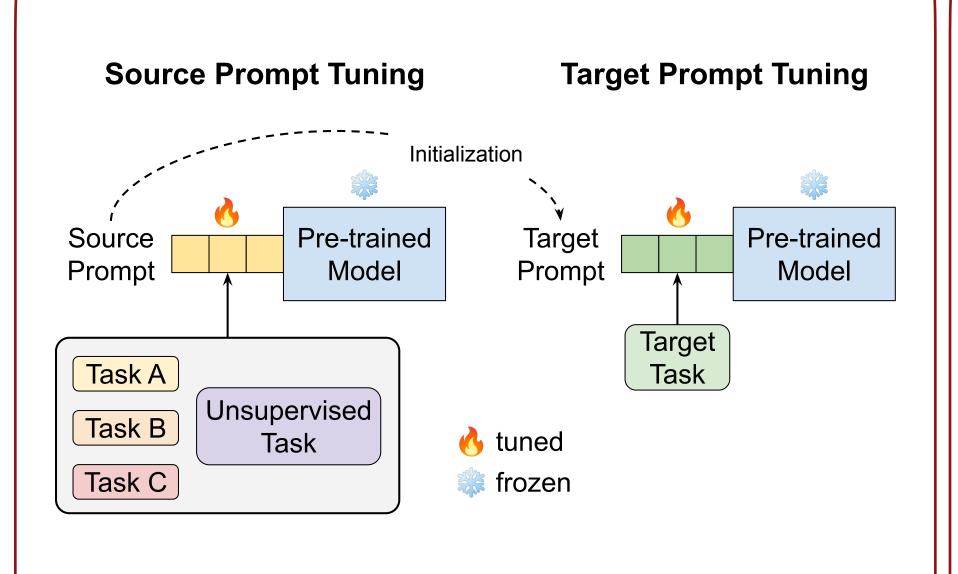
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Amherst

Pre-trained language models are costly to share and serve as model capacity increases



Prompt Tuning to the rescue... but there is still room for improvement! **Prompt Tuning** Mixed-task Batch Pre-trained Model (11B params) performance Task Prompts PROMPTDESIGN (GPT-3) stability (20K params each) Lester et al., 2021 10^{11} **Model Parameters**

Our generic SPoT approach

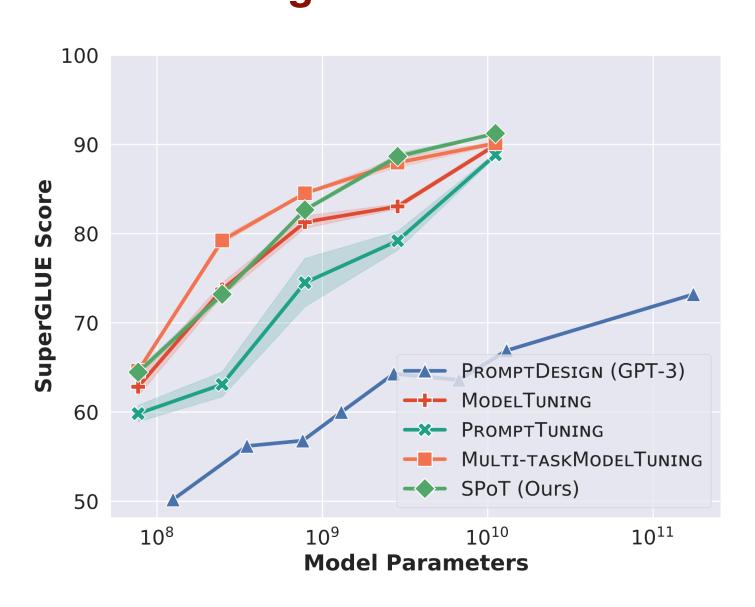


We learn a single generic source prompt on one or more source tasks, which is then used to initialize the prompt for each target task.

SPoT improves Prompt Tuning's performance & stability

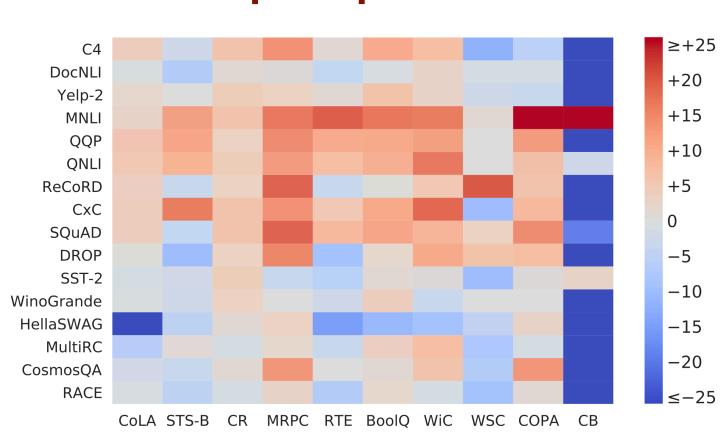
Method	GLUE	SUPERGLUE
BASELINE		
PROMPTTUNING	$81.2_{0.4}$	$66.6_{0.2}$
longer tuning	78.4 _{1.7}	63.1 _{1.1}
SPOT with different source mixtures		
GLUE (8 tasks)	$82.8_{0.2}$	73.2 _{0.3}
longer tuning	$82.0_{0.2}$	$70.7_{\scriptscriptstyle 0.4}$
C4	82.0 _{0.2}	67.7 _{0.3}
MNLI	$82.5_{0.0}$	$72.6_{0.8}$
SQuAD	$82.2_{0.1}$	$72.0_{\scriptscriptstyle 0.4}$
SuperGLUE (8 tasks)	$82.0_{0.1}$	$66.6_{0.2}$
NLI (7 tasks)	$82.6_{0.1}$	$71.4_{0.2}$
Paraphrasing/similarity (4 tasks)	$82.2_{0.1}$	$69.7_{0.5}$
Sentiment (5 tasks)	$81.1_{0.2}$	$68.6_{0.1}$
MRQA (6 tasks)	$81.8_{0.2}$	$68.4_{0.2}$
RAINBOW (6 tasks)	$80.3_{0.6}$	$64.0_{0.4}$
Translation (3 tasks)	$82.4_{0.2}$	$65.3_{0.1}$
Summarization (9 tasks)	$80.9_{0.3}$	$67.1_{1.0}$
GEM (8 tasks)	$81.9_{0.2}$	$70.5_{0.5}$
All (C4 + 55 supervised tasks)	$81.8_{0.2}$	$67.9_{0.9}$

SPoT helps close the gap with Model Tuning across model sizes



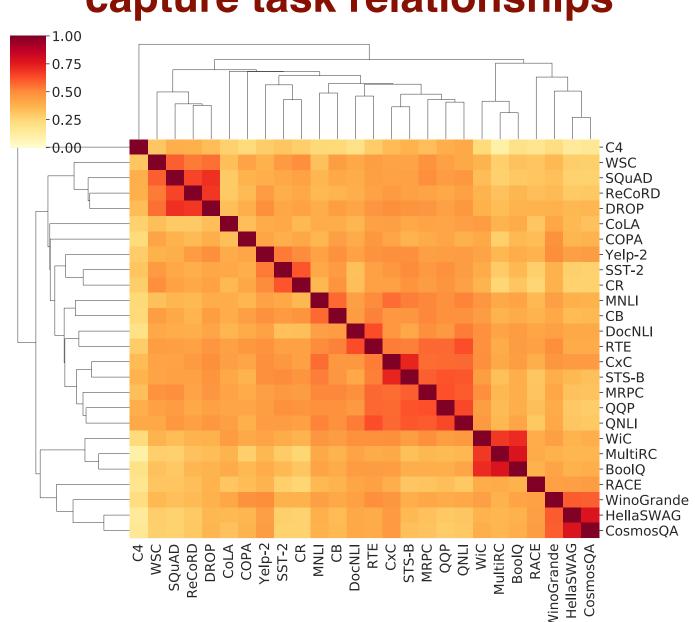
With a score of 89.2 on SuperGLUE, SPoT is the first parameter-efficient approach that is competitive with methods that tune billions of parameters.

Many tasks benefit each other via prompt transfer

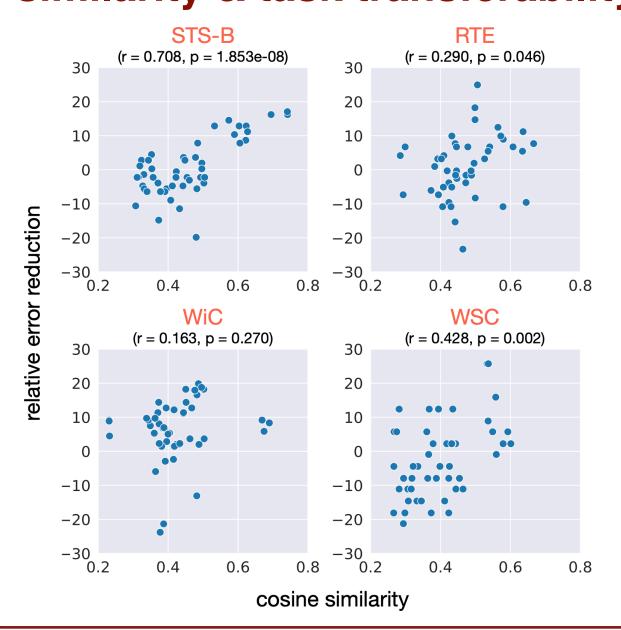


A large-scale study on prompt transferability with 26 NLP tasks (16 source tasks, 10 target tasks, 160 source-target combinations).

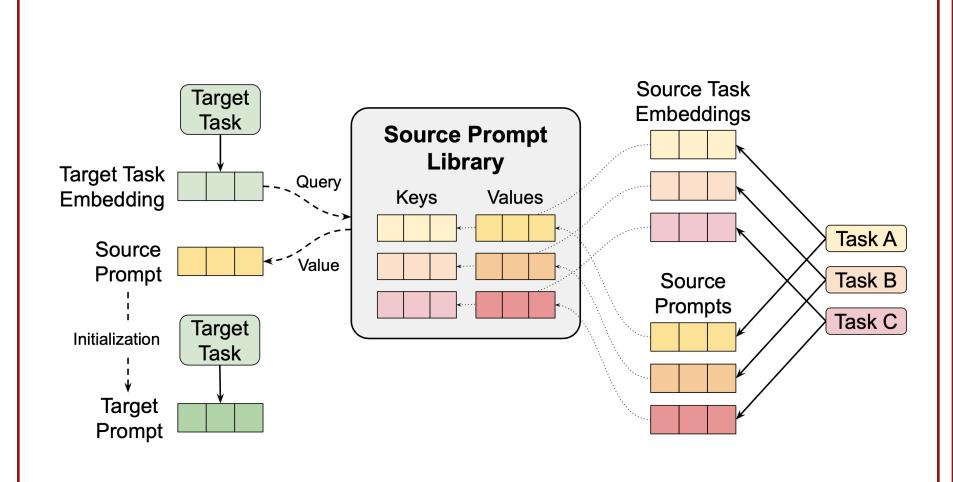
Task prompts capture task relationships



Correlation between task prompt similarity & task transferability



Our targeted SPoT approach



We learn separate prompts for various source tasks, saving early checkpoints as task embeddings and best checkpoints as source prompts. These form the keys and values of our prompt library. Given a novel target task, a user: (i) computes a task embedding, (ii) retrieves an optimal source prompt, and (iii) trains a target prompt, initialized from the source prompt.

Retrieving targeted source tasks via task embeddings is helpful

Method	Change		Avg. score
	Abs.	Rel.	g
BASELINE	-	-	74.7 _{0.7}
Brute-force Search ($k=48$	3)		
ORACLE	$6.0_{0.5}$	26.5 _{1.1}	80.7 _{0.0}
COSINE SIMILARITY OF AVERA	GE TOK	ENS	
BEST OF TOP- k		44 =	7 .0
k = 1	$1.5_{0.5}$	$11.7_{1.1}$	$76.2_{0.1}$
k = 3	$2.7_{0.6}$	$16.6_{1.1}$	$77.4_{0.3}$
k = 6	$3.8_{0.1}$	$20.0_{1.1}$	$78.5_{0.5}$
k = 9	$4.5_{0.4}$	$22.2_{1.1}$	$79.2_{0.1}$
k = 12	$5.0_{0.9}$	$23.6_{2.2}$	$79.7_{\ 0.4}$
k = 15	5.4 _{0.8}	24.9 _{1.8}	80.1 _{0.3}
PER-TOKEN AVERAGE COSINE	Similar	ITY	
BEST OF TOP- k			
k = 1	$2.0_{0.4}$	$12.1_{1.1}$	$76.7_{0.7}$
k = 3	$2.9_{0.6}$	$17.0_{0.6}$	$77.5_{0.4}$
k = 6	$4.5_{0.5}$	$22.1_{1.2}$	$79.2_{0.1}$
k = 9	$4.6_{0.5}$	$22.6_{0.9}$	$79.5_{0.2}$
k = 12	$5.0_{0.6}$	$23.5_{1.4}$	$79.6_{0.1}$
k = 15	$5.3_{0.9}$	$24.5_{2.2}$	$80.0_{0.4}$
Top-k Weighted Average			
best $k=3$	$1.9_{0.5}$	11.5 _{2.7}	76.6 _{0.1}
TOP-k MULTI-TASK MIXTURE			
best $k = 12$	$3.1_{0.5}$	$15.3_{2.8}$	$77.8_{0.1}$

Task embeddings provide an effective means of predicting and exploiting task transferability, eliminating 69% of the source task search space while keeping 90% of the best-case quality gain.

Conclusion

- ♦ We show that scale is not necessary for Prompt Tuning to match Model Tuning's performance; SPOT matches or beats Model Tuning across all model sizes.
- ♦ We conduct a large-scale and systematic study on task transferability in the context of prompt tuning.
- ♦ We propose an efficient retrieval method that measures task embedding similarity to identify which tasks could benefit each other.
- ◆ Our library of task prompts, pre-trained models, and practical recommendations are available at https:// github.com/google-research/prompt-tuning/tree/main/ prompt_tuning/spot.

References

→ Brian Lester, Rami Al-Rfou, and Noah Constant. 2021. The power of scale for parameter-efficient prompt tuning. In EMNLP 2021, pages 3045-3059.