

# Reinforcement Learning-Based Agent Design

Implementation in Gymnasium Environments

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# What is Reinforcement Learning?

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Reinforcement learning is a learning paradigm based on trial-and-error interaction between an agent and an environment. In particular:

- An agent observes the environment state, selects an action  $a$ , and receives a scalar reward  $r$ .
- The environment transitions to a new state  $s$  in response to the action.
- The objective is to learn a policy that maximizes expected cumulative reward over time.
- Training data is generated online by the agent itself, not from a fixed dataset.
- Policies are commonly represented by neural networks trained using backpropagation.

Observations may be partial or noisy representations of the true environment state.

# Key Challenges in Reinforcement Learning

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Challenges specific to the reinforcement learning setting:

- Data is non-independent and non-identically distributed, since the agent's policy determines which experiences are collected.
- Exploration is required to discover rewarding actions, but excessive exploration degrades short-term performance.
- Rewards are often delayed, making it difficult to associate actions with their long-term consequences.

# Gymnasium: The RL Environment Standard

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Gymnasium specifies a common abstraction for reinforcement learning environments.

Environment abstraction:

- An environment is exposed through a unified interface.
- Interaction follows a well defined agent environment loop.
- This separation allows algorithms to be reused across tasks.

Core elements:

- Action space defines what decisions are available to the agent.
- Observation space defines how the environment state is perceived.
- `reset()` initializes an episode
- `step(a)` advances the system by one interaction step.

# Monte Carlo Tree Search (MCTS)

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Monte Carlo Tree Search is a planning algorithm for sequential decision making.

Core idea:

- Decisions are evaluated by simulating possible future action sequences.
- A search tree is grown incrementally from the current state.
- Computational effort is focused on actions that appear promising.

Algorithm structure:

- The tree alternates between states and actions.
- Simulations estimate long term outcomes without requiring a learned model.
- Action quality is refined through repeated sampling.

# MCTS applied to CartPole

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## Task setting:

- Control a cart to keep an attached pole balanced in an upright position.
- Performance is measured by episode duration.

## Environment description:

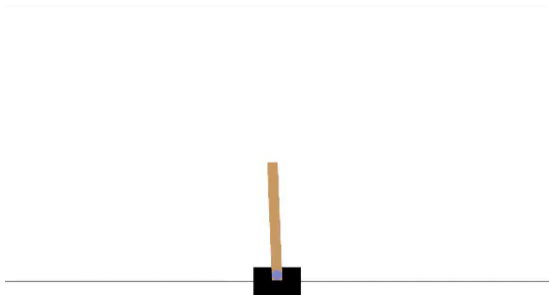
- Observations are low dimensional state vectors describing cart and pole dynamics.
- State variables include cart position and velocity, and pole angle and angular velocity.
- Actions correspond to discrete left or right forces applied to the cart.

## Reward design:

- The agent receives a constant positive reward at each time step.
- Reward accumulation directly reflects how long the pole remains balanced.
- Episodes terminate when the pole falls or the cart leaves the allowed range.

# MCTS demonstration on CartPole

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MCTS controlled agent interacting with the CartPole environment

# Cross Entropy Method (CEM)

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Core idea:

- Maintain a probability distribution over candidate solutions.
- Sample multiple solutions and evaluate them by running full episodes.
- Select the highest performing samples as elite.
- Update the distribution to increase the likelihood of elite solutions.

Use in reinforcement learning:

- The policy is represented by a set of parameters.
- Policies are sampled, executed in the environment, and scored by total reward.
- Only top performing policies influence the next parameter distribution.
- Repeating this process progressively concentrates on better policies.



# CEM applied to LunarLander

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## Task setting:

- Control a spacecraft to achieve a safe and fuel efficient landing.
- Performance is evaluated over complete episodes.

## Environment description:

- Observations encode position, velocity, orientation, and ground contact.
- Actions correspond to discrete engine commands controlling thrust.

## Reward design:

- Continuous shaping encourages stable descent and correct positioning.
- Fuel usage is penalized to discourage unnecessary thrust.
- Successful landings are strongly rewarded, crashes are heavily penalized.

# CEM configuration for LunarLander

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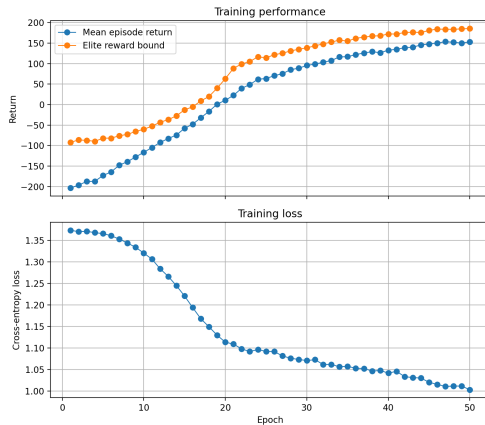
Model architecture:

- Input: low-dimensional state vector (flattened environment observation).
- Feature mapping: compact MLP with ReLU nonlinearities to capture state interactions.
- Policy head: final linear layer producing action logits for discrete control.
- Output: categorical action distribution over the 4 LunarLander actions.

Training and sampling:

- Adam optimizer with learning rate  $10^{-3}$  and cross-entropy loss.
- 1000 episodes sampled per epoch; top 20% retained by return.
- Episodes truncated at 300 steps; 10 optimization steps per epoch.

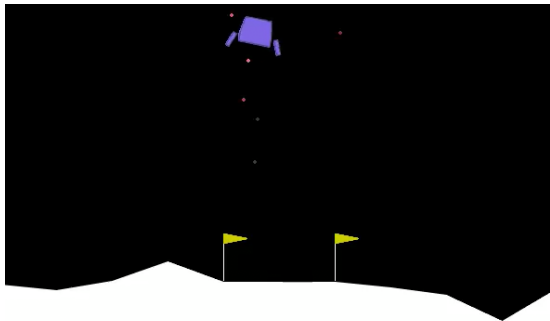
# Training results for LunarLander



Training performance of CEM on the LunarLander environment

# CEM demonstration on LunarLander

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CEM controlled agent interacting with the LunarLander environment

# CEM applied to Galaxian

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## Task setting:

- Control a spacecraft to survive enemy waves and score points.
- Performance is evaluated over complete game episodes.

## Environment description:

- Observations are raw RGB images representing the full game screen.
- Visual input encodes player position, enemies, bullets, and background.
- Actions correspond to discrete controls such as moving left, moving right, and firing.

## Reward design:

- Positive reward is given for destroying enemies.
- Survival is encouraged by avoiding terminal states.
- Episodes terminate when the player ship is destroyed.

# CEM configuration for Galaxian

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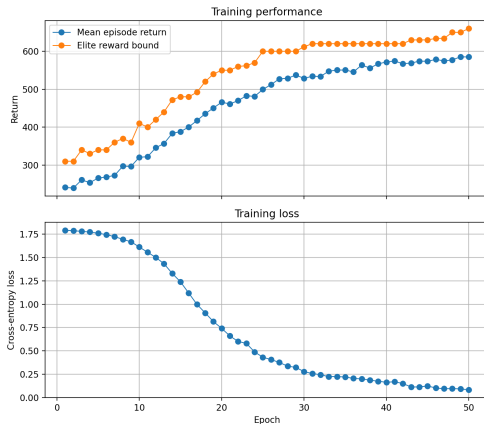
Model architecture:

- Input: single RGB frame resized to  $96 \times 96$  and normalized per channel.
- Feature extractor: deep convolutional network capturing spatial structure of Atari frames.
- Policy head: fully connected layers mapping visual features to action logits.
- Output: categorical action distribution over the discrete Galaxian action space.

Training and sampling:

- Adam optimizer with learning rate  $10^{-3}$  and cross-entropy loss.
- 300 episodes sampled per epoch; top 20% retained by return.
- Episodes truncated at 400 steps; 20 optimization steps per epoch.

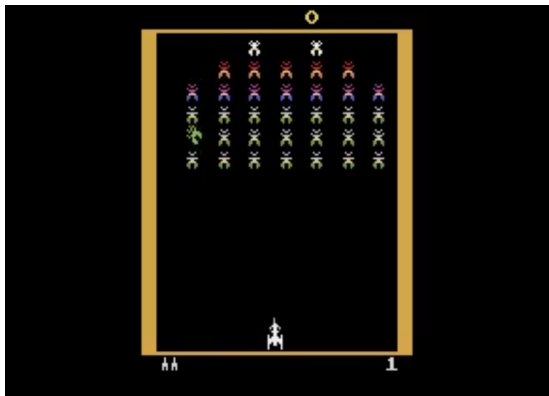
# Training results for Galaxian



Training performance of CEM on the Galaxian environment

# CEM demonstration on Galaxian

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CEM controlled agent interacting with the Galaxian environment



**Thank you for your attention!**

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Code: `https://github.com/blkdmr/rl-algorithms`