

## Lecture 18: Dynamic Programming III

### Previously

- Recurrence: Fibonacci, DAG Relaxation, Rod cutting
- Prefix/Suffix: Text Just, LIS, LCS
- **Subsequence:** Optimal Play
- **Extra state:** Bellman-Ford
- Applications: counting, optimization, string processing

### Dynamic Programming Steps (SR. BST)

1. Define **Subproblems** subproblem  $x \in X$ 
  - Describe the meaning of a subproblem **in words**, in terms of parameters
  - **Often subsets of input:** prefixes, suffixes, contiguous subsequences
  - **Often record partial state:** add subproblems by incrementing some auxiliary variables
2. **Relate** Subproblems  $x(i) = f(x(j), \dots)$  for one or more  $j < i$ 
  - State topological order to argue relations are acyclic and form a DAG
3. Identify **Base Cases**
  - State solutions for all reachable independent subproblems
4. Compute **Solution** from Subproblems
  - Compute subproblems via top-down memoized recursion or bottom-up
  - State how to compute solution from subproblems (possibly via parent pointers)
5. Analyze Running **Time**
  - $\sum_{x \in X} \text{work}(x)$ , or if  $\text{work}(x) = W$  for all  $x \in X$ , then  $|X| \times W$

## Edit Distance

- Can modify a string using single character **edit operations**:
  - **Delete** a character
  - **Replace** a character with another character
  - **Insert** a character between two characters
- Can transform  $A$  into  $B$  in  $O(\max(|A|, |B|))$  edit operations. What is fewest?
- Input: two strings  $A$  and  $B$ , Output: minimum number of edit operations to change  $A$  to  $B$
- Example:  $A = \text{"dog"}, B = \text{"dingo"}$  minimum is 3 edits

### 1. Subproblems

- Modify  $A$  until its last character matches last in  $B$  ( $A[i]$  is  $i$ th letter in  $A$ , from 1 to  $|A|$ )
- $x(i, j)$ : minimum number of edits to transform prefix ending at  $A[i]$  into prefix ending at  $B[j]$

### 2. Relate

- If  $A[i] = B[j]$ , then match!
- Otherwise, need to edit to make last element from  $A$  equal to  $B[j]$
- Edit is either an insertion, replace, or deletion (**Guess!**)
- Deletion removes  $A[i]$
- Insertion adds  $B[j]$  to start of prefix ending at  $A[i]$ , then removes it and  $B[j]$
- Replace changes  $A[i]$  to  $B[j]$  and removes both  $A[i]$  and  $B[j]$
- $$x(i, j) = \begin{cases} x(i-1, j-1) & \text{if } A[i] = B[j] \\ 1 + \min(x(i-1, j), x(i, j-1), x(i-1, j-1)) & \text{otherwise} \end{cases}$$
- Subproblems  $x(i, j)$  only depend on strictly smaller  $i$  and  $j$ , so acyclic

### 3. Base

- $x(i, 0) = i, x(0, j) = j$  (need many insertions or deletions)

### 4. Solution

- Solve subproblems via recursive top down or iterative bottom up
- Solution to original problem is  $x(|A|, |B|)$
- (Can store parent pointers to reconstruct edits transforming  $A$  to  $B$ )

### 5. Time

- # subproblems:  $(|A| + 1)(|B| + 1)$
- work per subproblem:  $O(1)$
- $O(|A||B|)$  running time

## Arithmetic Parenthesization

- Input: arithmetic expression containing  $n$  integers, with integers  $a_i$  and  $a_{i+1}$  separated by binary operator  $o_i(a, b)$  from  $\{+, \times\}$
- Start with **positive** integers!
- Output: Where to place parentheses to maximize the evaluated expression
- Example:  $7 + 4 \times 3 + 5 \rightarrow ((7) + (4)) \times ((3) + (5)) = 88$

### 1. Subproblems

- Observation: Parentheses form nested structure (order of operations)
- Idea: Use contiguous subsets of expression
- $x(i, j)$ : maximum parenthesized evaluation of subsequence from integer  $i$  to  $j$

### 2. Relate

- Guess location of outer-most parenthesis, last operation evaluated
- $x(i, j) = \max \{o_k(x(i, k), x(k + 1, j)) \mid k \in \{i, \dots, j - 1\}\}$
- Subproblems  $x(i, j)$  only depend on strictly smaller  $j - i$ , so acyclic

### 3. Base

- $x(i, i) = a_i$

### 4. Solution

- Solve subproblems via recursive top down or iterative bottom up
- Maximum evaluated expression is given by  $x(1, n)$
- Store parent pointers (two!) to find parenthesization, (forms binary tree!)

### 5. Time

- # subproblems: less than  $n \times n \times 2 = O(n^2)$
- work per subproblem  $O(n) \times 2 \times 2 = O(n)$
- $O(n^3)$  running time

## Arithmetic Parenthesization (Negative)

- Input: arithmetic expression containing  $n$  integers, with integers  $a_i$  and  $a_{i+1}$  separated by binary operator  $o_i(a, b)$  from  $\{+, \times\}$
- Now allow **negative** integers!
- Output: Where to place parentheses to maximize the evaluated expression
- Example:  $7 + (-4) \times 3 + (-5) \rightarrow ((7) + ((-4) \times ((3) + (-5)))) = 15$

### 1. Subproblems

- Old problems sufficient?  $(-3) \times (-3) = 9 > (-2) \times (-2) = 4$
- $x(i, j, +1)$ : maximum parenthesized evaluation of subsequence from integer  $i$  to  $j$
- $x(i, j, -1)$ : minimum parenthesized evaluation of subsequence from integer  $i$  to  $j$

### 2. Relate

- Guess location of outer-most parenthesis, last operation evaluated
- $x(i, j, +1) = \max \{o_k(x(i, k, s_1), x(k+1, j, s_2)) \mid k \in \{i, \dots, j-1\}, s_1, s_2 \in \{-1, +1\}\}$
- $x(i, j, -1) = \min \{o_k(x(i, k, s_1), x(k+1, j, s_2)) \mid k \in \{i, \dots, j-1\}, s_1, s_2 \in \{-1, +1\}\}$
- Subproblems  $x(i, j, s)$  only depend on strictly smaller  $j - i$ , so acyclic

### 3. Base

- $x(i, i, s) = a_i$

### 4. Solution

- Solve subproblems via recursive top down or iterative bottom up
- Maximum evaluated expression is given by  $x(1, n, +1)$
- Store parent pointers (two!) to find parenthesization, (forms binary tree!)

### 5. Time

- # subproblems: less than  $n \times n \times 2 = O(n^2)$
- work per subproblem  $O(n) \times 2 \times 2 = O(n)$
- $O(n^3)$  running time