

Assignment 10 – Sorting - Searching Ordered Data

Write pseudo-code not Java for problems requiring code. You are responsible for the appropriate level of detail. For all the questions in this set, assume you are working in arrays.

1. How many comparisons and interchanges (in terms of file size n) are performed by Simple insertion sort for the following files:

i) A sorted file

ii) A file that is sorted in reverse order (that is, from largest to smallest)

iii) A file in which $x[0]$, $x[2]$, $x[4]$... are the smallest elements in sorted order, and in which $x[1]$, $x[3]$, $x[5]$... are the largest elements in sorted order, e.g. [3 14 5 15 9 18 11 19].

- i) For a sorted file there are $O(n)$ comparisons, and 0 interchanges
- ii) For a list of length 2, there would be one comparison and one interchange. For a list of length 3 there would be 3 comparisons and 3 interchanges. Extrapolating, for a list of length n there would be $\frac{1}{2} * n^2$ comparisons and $\frac{1}{2} * n^2$ interchanges, which approaches $O(n^2)$ complexity.
- iii) For a list of this style, a list of length 3 would require 3 comparisons and 1 interchange. A list of size 5 would require 7 comparisons and 3 interchanges. Extrapolating, a list of length n would require $\frac{1}{4} n^2$ comparisons and $\frac{1}{8} n^2$ interchanges, which still approaches $O(n^2)$ complexity.

2. How many comparisons and interchanges (in terms of file size n) are performed by Shell Sort using increments 2 and 1 for the following files:

i) A sorted file

ii) A file that is sorted in reverse order (that is, from largest to smallest)

iii) A file in which $x[0]$, $x[2]$, $x[4]$... are the smallest elements in sorted order, and in which $x[1]$, $x[3]$, $x[5]$... are the largest elements in sorted order, e.g. [3 14 5 15 9 18 11 19].

[8, 7, 6, 5, 4, 3, 2, 1]

[7, 8, 5, 6, 3, 4, 1, 2]

- i) For a sorted file there are $n/2 + n = 3n/2$ comparisons and 0 swaps
- ii) For a list in reverse order, using the increment of 2 there would be $n/2$ comparisons and $n/2$ swaps. For the increment of 1, the first two items would require 1 comparison and 0 swaps. A list of length 4 would require 6 comparisons and 4 swaps. A list of size 6 would require

15 comparisons and 12 swaps. Expanding, a list of size n would require $2n^2$ comparisons and $2 * n^2 - n/2$ swaps.

- iii) Using the increment of 2, there would be $n/2$ comparisons and 0 swaps. This is not a great increment for this list. After the increment of 2, it would require the same number of comparisons and interchanges as the insertion sort, which was $\frac{1}{2} n^2$ and $\frac{1}{2} * n^2$.

3. Determine the number of comparisons (as a function of n and m) that are performed in merging two ordered files a and b of sizes n and m , respectively, by the merge method presented in the lecture, on each of the following sets of ordered files:

- a. $m=n$ and $a[i] < b[i] < a[i+1]$, e.g. $a=[6, 9, 12, 15, 29, 37]$ and $b=[8, 10, 14, 25, 33, 45]$
b. $m=n$ and $a[n] < b[1]$, e.g. $a=[2, 5, 9]$ and $b=[12, 14, 16]$

$a[i]$ refers the value in position i of file a , etc.

- a) Each insert into a sorted array (except the last one) will require a comparison. Therefore, the number of comparisons = $n + m - 1$.
b) In this example, you will only need comparisons for inserting the indices of array a into a sorted array. Inserting array b will not require any comparisons. Therefore the number of comparisons is n .

4. Determine the number of comparisons (as a function of n and m) that are performed in merging two ordered files a and b of sizes n and m , respectively, by the merge method presented in the lecture, on each of the following sets of ordered files:

- a. $m=n$ and $a[n/2] < b[1] < b[m] < a[(n/2)+1]$,

e.g. $a=[2, 5, 7, 55, 61, 72]$ and $b=[9, 15, 17, 21, 29, 46]$

- b. $m=1$ and $b[1] < a[1]$
c. $m=1$ and $a[n] < b[1]$

$a[i]$ refers the value in position i of file a , etc.

- a) For insert into a sorted array, all values in a and b will have a comparison except the last half of array a . Therefore the number of comparisons is $m + n/2$.
b) For this example, there will need to be only one comparison. The index in b is inserted first, then there are no values left in b to compare to a . So the number of comparisons is 1.
c) For this example, you will need to compare every value in a to the value in b . So the number of comparisons is n .
d)

For questions 5 – 6, compare the efficiency of using sequential search on an ordered table of size n and an unordered table of the same size for the key *target*:

- 5. a) If no record with the key *target* is present.**

b) If one record with the key *target* is present and only one is sought.

a) The search will take $O(n)$.

b) The search will take a maximum time of $O(n)$, a minimum time of $O(1)$ and an average time of $n/2$ (technically $O(n)$).

6. **a) If more than one record with the key *target* is present and it is desired to find only the first one.**

b) If more than one record with the key *target* is present and it is desired to find them all.

a) The search will take a maximum time of $O(n)$, a minimum time of $O(1)$, and an average time of $n/(1+b)$, where b is the number of times the target appears in the list. As $b \rightarrow n$, the search time approaches $O(1)$.

b) The search will take a maximum time of $O(n)$, a minimum time of $O(1)$, and an average time of $n \cdot (b/(b+1))$, where b is the number of times the target appears in the list. As $b \rightarrow n$, the search time approaches $O(n)$.