#### Johns Hopkins Engineering

#### **Principles of Database Systems**

Module 8 / Lecture 1
Normalization for Relational Databases II



### **Relation Decomposition**

- Universal Relation  $R = \{A_1, A_2, ..., A_n\}$
- Decomposition of R:  $D = \{R_1, R_2, ..., R_n\}$
- No attributes are lost after decomposition. In other words, each attribute in R will appear in at least one relation as attribute preservation.
  - $\circ R_1 U R_2 U R_3 ... R_n = R$

### **Properties of Decompositions**

#### Dependence preservation property

Decomposed relations preserve all original dependencies

#### Nonadditive (lossless) join property

- After natural joining decomposed relations, no spurious tuples are allowed.
- Previous 2NF and 3NF examples demonstrate successive nonadditive join decomposition.

(a) EMPLOYEE

Ename	<u>Ssn</u>	Bdate	Address	Dnum
Smith, John B.	123456789	1965-01-09	731 Fondren, Houston, TX	5
Wong, Franklin T.	333445555	1955-12-08	638 Voss, Houston, TX	5
Zelaya, Alicia J.	999887777	1968-07-19	3321 Castle, Spring, TX	4
Wallace, Jennifer S.	987654321	1941-06-20	291 Berry, Bellaire, TX	4
Narayan, Ramesh K.	666884444	1962-09-15	975 Fire Oak, Humble, TX	5
English, Joyce A.	453453453	1972-07-31	5631 Rice, Houston, TX	5
Jabbar, Ahmad V.	987987987	1969-03-29	980 Dallas, Houston, TX	4
Borg, James E.	888665555	1937-11-10	450 Stone, Houston, TX	1
Berger, Anders C.	999775555	1965-04-26	6530 Braes, Bellaire, TX	NULL
Benitez, Carlos M.	888664444	1963-01-09	7654 Beech, Houston, TX	NULL

#### DEPARTMENT

Dname	<u>Dnum</u>	Dmgr_ssn
Research	5	333445555
Administration	4	987654321
Headquarters	1	888665555

**Figure 15.2a** Issues with NULL-value joins. Some EMPLOYEE tuples have NULL for the join attribute Dnum.

Ename	<u>Ssn</u>	Bdate	Address	Dnum	Dname	Dmgr_ssn
Smith, John B.	123456789	1965-01-09	731 Fondren, Houston, TX	5	Research	333445555
Wong, Franklin T.	333445555	1955-12-08	638 Voss, Houston, TX	5	Research	333445555
Zelaya, Alicia J.	999887777	1968-07-19	3321 Castle, Spring, TX	4	Administration	987654321
Wallace, Jennifer S.	987654321	1941-06-20	291 Berry, Bellaire, TX	4	Administration	987654321
Narayan, Ramesh K.	666884444	1962-09-15	975 Fire Oak, Humble, TX	5	Research	333445555
English, Joyce A.	453453453	1972-07-31	5631 Rice, Houston, TX	5	Research	333445555
Jabbar, Ahmad V.	987987987	1969-03-29	980 Dallas, Houston, TX	4	Administration	987654321
Borg, James E.	888665555	1937-11-10	450 Stone, Houston, TX	1	Headquarters	888665555

**Figure 15.2b** Issues with NULL-value joins. Result of applying NATURAL JOIN to the EMPLOYEE and DEPARTMENT relations.

(c)

Ename	Ssn	Bdate	Address	Dnum	Dname	Dmgr_ssn
Smith, John B.	123456789	1965-01-09	731 Fondren, Houston, TX	5	Research	333445555
Wong, Franklin T.	333445555	1955-12-08	638 Voss, Houston, TX	5	Research	333445555
Zelaya, Alicia J.	999887777	1968-07-19	3321 Castle, Spring, TX	4	Administration	987654321
Wallace, Jennifer S.	987654321	1941-06-20	291 Berry, Bellaire, TX	4	Administration	987654321
Narayan, Ramesh K.	666884444	1962-09-15	975 Fire Oak, Humble, TX	5	Research	333445555
English, Joyce A.	453453453	1972-07-31	5631 Rice, Houston, TX	5	Research	333445555
Jabbar, Ahmad V.	987987987	1969-03-29	980 Dallas, Houston, TX	4	Administration	987654321
Borg, James E.	888665555	1937-11-10	450 Stone, Houston, TX	1	Headquarters	888665555
Berger, Anders C.	999775555	1965-04-26	6530 Braes, Bellaire, TX	NULL	NULL	NULL
Benitez, Carlos M.	888665555	1963-01-09	7654 Beech, Houston, TX	NULL	NULL	NULL

**Figure 15.2c** Issues with NULL-value joins. Result of applying LEFT OUTER JOIN to EMPLOYEE and DEPARTMENT.

- A similar problem due to the null values in the FK columns is called dangling records.
- The problem may occur if the design is decomposed too far.

#### (a) EMPLOYEE\_1

Ename	<u>Ssn</u>	Bdate	Address
Smith, John B.	123456789	1965-01-09	731 Fondren, Houston, TX
Wong, Franklin T.	333445555	1955-12-08	638 Voss, Houston, TX
Zelaya, Alicia J.	999887777	1968-07-19	3321 Castle, Spring, TX
Wallace, Jennifer S.	987654321	1941-06-20	291 Berry, Bellaire, TX
Narayan, Ramesh K.	666884444	1962-09-15	975 Fire Oak, Humble, TX
English, Joyce A.	453453453	1972-07-31	5631 Rice, Houston, TX
Jabbar, Ahmad V.	987987987	1969-03-29	980 Dallas, Houston, TX
Borg, James E.	888665555	1937-11-10	450 Stone, Houston, TX
Berger, Anders C.	999775555	1965-04-26	6530 Braes, Bellaire, TX
Benitez, Carlos M.	888665555	1963-01-09	7654 Beech, Houston, TX

**Figure 15.3** The dangling tuple problem. (a) The relation EMPLOYEE\_1 (includes all attributes of EMPLOYEE from Figure 15.2(a) except Dnum).

#### (b) EMPLOYEE\_2

<u>Ssn</u>	Dnum
123456789	5
333445555	5
999887777	4
987654321	4
666884444	5
453453453	5
987987987	4
888665555	1
999775555	NULL
888664444	NULL

#### (c) EMPLOYEE\_3

<u>Ssn</u>	Dnum
123456789	5
333445555	5
999887777	4
987654321	4
666884444	5
453453453	5
987987987	4
888665555	1

**Figure 15.3** The dangling tuple problem. (b) The relation EMPLOYEE\_2 (includes Dnum attribute with NULL values). (c) The relation EMPLOYEE\_3 (includes Dnum attribute but does not include tuples for which Dnum has NULL values).

### Multivalued Dependencies (MVD)

- MVD represents a dependence between attributes (e.g., A, B, and C) in a relation, such that for each value of A there is a set of values for B and a set of values for C. The set of values for B and C are independent of each other.
- MVDs are the consequence of 1NF, which disallowed an attribute with multiple values.
- Informally, if two independent 1:M relationships are mixed in the same relation, an MVD may arise.

# Fourth Normal Form (4NF)

A relation R is in 4NF if and only if, the relation is in Boyce-Codd normal form and contains no nontrivial multi-valued dependencies.

```
Examples:

EMP(ENAME, PNAME, DNAME) →

[EMP_PROJECT(ENAME, PNAME) and

EMP_DEPENDENT(ENAME, DNAME)

EMP1(ENAME, DEGREE, LANGUAGE) →

[EMP1_DEGREE(ENAME, DEGREE) and

EMP1_LANGUAGE (ENAME, LANGUAGE)
```

# Fourth Normal Form (4NF) (cont.)

#### (a) EMP

<u>Ename</u>	<u>Pname</u>	<u>Dname</u>
Smith	Х	John
Smith	Υ	Anna
Smith	Х	Anna
Smith	Υ	John

#### (b) EMP\_PROJECTS

<u>Ename</u>	<u>Pname</u>
Smith	Х
Smith	Y

**EMP\_DEPENDENTS** 

<u>Ename</u>	<u>Dname</u>
Smith	John
Smith	Anna

**Figure 14.15** Fourth and fifth normal forms. (a) The EMP relation with two MVDs: Ename ->> Pname and Ename ->> Dname. (b) Decomposing the EMP relation into two 4NF relations EMP\_PROJECTS and EMP\_DEPENDENTS.

# Fourth Normal Form (4NF) (cont.)

#### (a) EMP

<u>Ename</u>	<u>Pname</u>	<u>Dname</u>
Smith	X	John
Smith	Υ	Anna
Smith	Х	Anna
Smith	Υ	John
Brown	W	Jim
Brown	Х	Jim
Brown	Y	Jim
Brown	Z	Jim
Brown	W	Joan
Brown	Х	Joan
Brown	Y	Joan
Brown	Z	Joan
Brown	W	Bob
Brown	Х	Bob
Brown	Y	Bob
Brown	Z	Bob

#### b) EMP PROJECTS

<u>Ename</u>	<u>Pname</u>
Smith	X
Smith	Υ
Brown	W
Brown	Х
Brown	Υ
Brown	Z

#### **EMP\_DEPENDENTS**

<u>Ename</u>	<u>Dname</u>
Smith	Anna
Smith	John
Brown	Jim
Brown	Joan
Brown	Bob

**Figure 15.4** Decomposing a relation state of EMP that is not in 4NF. (a) EMP relation with additional tuples. (b) Two corresponding 4NF relations EMP\_PROJECTS and EMP\_DEPENDENTS. Decomposing a relation state of EMP that is not in 4NF.

The EMP relation is BCNF. The EMP relation with two MVDs: Ename ->> Pname and Ename ->> Dname; and additional tuples.

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#### **Principles of Database Systems**

Module 8 / Lecture 2
Normalization for Relational Databases II



# Fifth Normal Form (5NF)

- A relation R is subject to a join dependency
  - o R can be decomposed to  $(R_1, R_2, ..., R_n)$  and each has a subset of the attributes of R
  - o R can always be recreated by joining the multiple relations  $(R_1, R_2, ..., R_n)$
- Relation R is in 5NF if and only if, R is in 4NF and the relation has no join dependency.

A cyclical nature of a relation may require further normalization.

Example with an embedded three M:N relationships: SUPPLIER\_PART\_PROJ(SNAME, PARTNAME, PROJNAME)

```
SUPPLIER_PART(<u>SNAME</u>, <u>PARTNAME</u>),
SUPPLIER_PROJ(<u>SNAME</u>, <u>PROJNAME</u>),
PART_PROJ(<u>PARTNAME</u>, <u>PROJNAME</u>)
```

#### (c) SUPPLY

<u>Sname</u>	Part_name	Proj_name
Smith	Bolt	ProjX
Smith	Nut	ProjY
Adamsky	Bolt	ProjY
Walton	Nut	ProjZ
Adamsky	Nail	ProjX
Adamsky	Bolt	ProjX
Smith	Bolt	ProjY

(d) R<sub>1</sub>

•	
<u>Sname</u>	Part_name
Smith	Bolt
Smith	Nut
Adamsky	Bolt
Walton	Nut
Adamsky	Nail

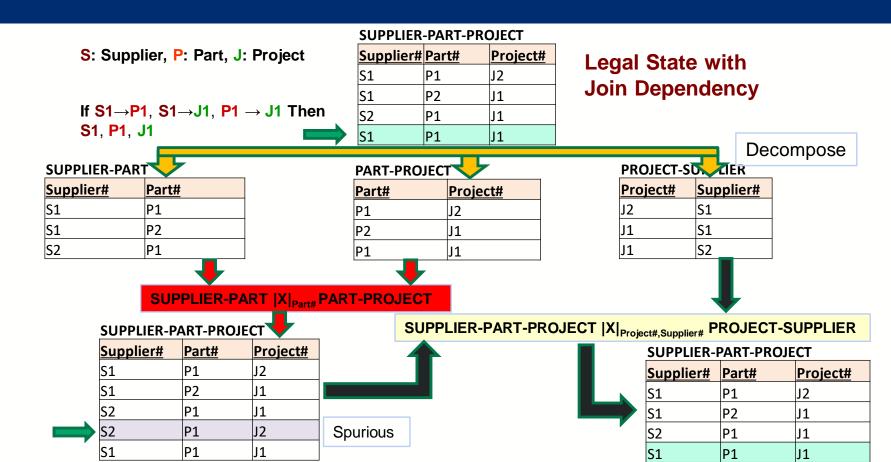
R.

<u>Sname</u>	Proj_name
Smith	ProjX
Smith	ProjY
Adamsky	ProjY
Walton	ProjZ
Adamsky	ProjX

 $R_3$ 

_	
Part_name	Proj_name
Bolt	ProjX
Nut	ProjY
Bolt	ProjY
Nut	ProjZ
Nail	ProjX

**Figure 14.15** Fourth and fifth normal forms. (c) The relation SUPPLY with no MVDs is in 4NF but not in 5NF if it has the JD( $R_1$ ,  $R_2$ ,  $R_3$ ). (d) Decomposing the relation SUPPLY into the 5NF relations  $R_1$ ,  $R_2$ ,  $R_3$ .



#### Example:

- Agents represent companies. Companies represent properties. Agents sell properties.
- Mary sells RE/MAX home property and Century 21 commercial property
- Mary does not sell RE/MAX commercial property nor Century 21 homes

<u>Agent</u>	Company	Property
Mary	RE/MAX	home
Mary	Century 21	commercial property

 If an agent sells a certain property and the agent represents the company, then she sells properties for that company

<u>Agent</u>	Company	<u>Property</u>
Mary	RE/MAX	home
Mary	RE/MAX	commercial property
Mary	Century 21	home
Mary	Century 21	commercial property
Steve	RE/MAX	home

Repetition of facts for Mary - Sell home twice

#### Example:

- No repetition of facts
- Reconstruct all true facts from 3 relations instead of the single relation.

<u>Agent</u>	<u>Company</u>
Mary	RE/MAX
Mary	Century 21
Steve	RE/MAX

Company	<b>Property</b>
RE/MAX	home
RE/MAX	commercial property
Century 21	home
Century 21	commercial property

<u>Agent</u>	<u>Property</u>
Mary	home
Mary	commercial property
Steve	home

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#### **Principles of Database Systems**

Module 8 / Lecture 3
Normalization for Relational Databases II



#### **Additional Notes on Normalization**

- Case tools do not understand functional dependencies (not expert systems). Therefore, they can not fully support all normal forms.
- Normalization is executed in a series of steps. As normalization proceeds, the relations become more restricted to meet the required normal forms' criteria.
- Normalization comprehensively relies on functional dependencies among key attributes and non-key attributes.

- Each normalization process may break down a relation into more relations. How much normalization is enough?
  - 3NF is the standard. When a database is normalized, it generally implies that the database is in 3NF.
  - In general, a normalized design is in 3NF that may also be BCNF, 4NF, and 5NF without additional decomposition.

#### Problems Solved by 1NF:

- Resolve embedded multi-valued attributes and repeating groups
- Resolve embedded one-to-many relationship

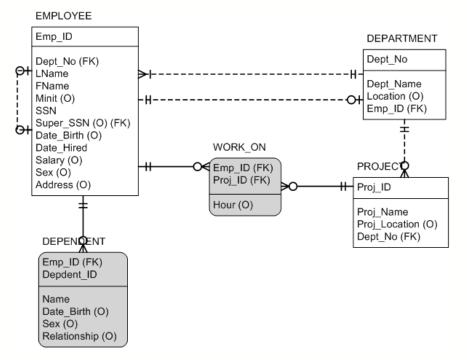
#### Problems Solved by 2NF:

- Resolve an attribute that does not depend on the FULL PK, it needs to be taken out to form a new relation
- Resolve a one-to-many identifying relationship

#### Problems Solved by 3NF:

- Take the attributes that functionally depend on another nonkey attribute out to form a new relation. A new parent table will be created, and the original table is a child table with a non-identifying relationship.
- Resolve a one-to-many non-identifying relationship since attributes are dependent on another non-key attribute (a PK of a parent table.)

Verify your ERD using normalization as a refinement process



Example: Company ERD

- 1. Check if all underlying domains contain atomic values (single-valued) only, not a set of values, not repeating groups.
- 2. Check for every non-key attribute is fully functionally dependent on the full primary key (no partial dependencies).
- 3. Check for no non-key attribute of R is functionally dependent on another non-key attribute.

- A model may be normalized, but it may still not be a correct representation of the business.
- After the normalization process, the database usually consists of more tables. There are conditions that require a database to denormalize in favor of performance such as quicker response time, high throughput, and high frequency for a certain set of transactions.

- When denormalizing the database design, always start with tables in 3NF.
- A foreign key appearing twice in an entity without rolenames implies a redundant relationship structure in the model.

# Performance Issues on Database Design

It is sometimes necessary to add or change the index structure or create a cluster to improve data access time. Indexes provide quick access to rows of data and avoid full table scan. They are automatically used when referenced in the WHERE clause of a SQL statement.

#### Performance Issues on Database Design (cont.)

- It is good practice to build indexes for primary keys (unique indexes) and foreign keys (generally non-unique indexes).
- May be helpful to build indexes for alternate keys (unique indexes), and any non-key columns frequently used in WHERE clauses
- Consider all other options prior to denormalization, especially adding or changing the index structure.

#### Performance Issues on Database Design (cont.)

- Be extremely reluctant to denormalize the default design because it may cause data inconsistency problems
- Can consider denormalizing the design to reduce the number of tables and avoid the join operation to improve system performance in web applications

#### The Benefits of A Set of Well-designed Tables

- Reduced storage of redundant data, which eliminates the cost of updating duplicates and avoids the risk of inconsistent results based on the duplicates
- Increased ability to effectively enforce integrity constraints
- Increased ability to adapt to the growth and change of the system
- Increased productivity based on the inherent flexibility of welldesigned relational systems

# Role of Normalization in the Database Development Process

- A refinement process, not as an initial design process
- Intuitively group related attributes to form your entity types and relationships in ERD
- Can be done in an informal manner without the tedious process of recording functional dependencies in practice
- May identify the overlooked M-N relationships

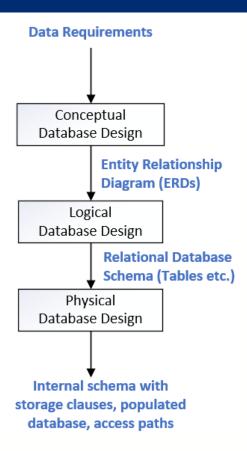
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#### **Principles of Database Systems**

Module 8 / Lecture 4
ER and EER to Relational Mapping



### **Database Design Process**



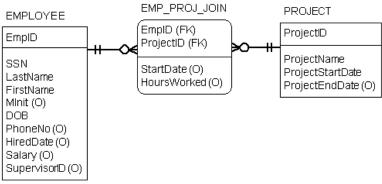
Using a conceptual schema design (Entity Relationship Diagram) to create a relational database schema

### **Converting ER Model to Relational Model**

- Create relations for the conceptual data model to represent the entity types, relationships, and attributes that have been identified
- Implement the concepts of relational databases, primary keys, foreign keys, and data integrity

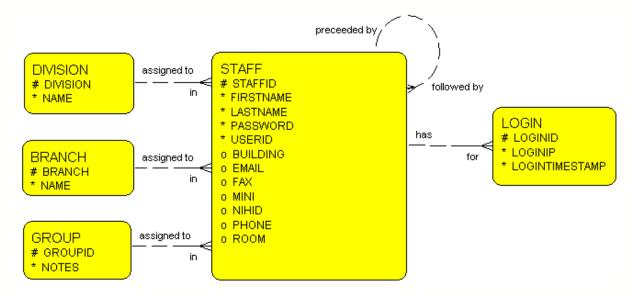
#### Converting ER Model to Relational Model (Cont.)

- Database design tools may have different graphical representations.
- ERwin and Visio show a foreign key attribute in a child relation:



#### Converting ER Model to Relational Model (Cont.)

Oracle designer does not include a foreign key into a child relation. Why?



## **How to Map Entity Types and Relationships to Relations**

ER Model	Mapping to Relation
Strong entity type	Create relation with all simple attributes
Weak entity type	Create relation with all simple attributes, and combine partial key of weak entity and a FK from the parent entity type as the PK
1:1 relationship type with mandatory participation on both sides	Combine entities into one relation or create two relations (see next) (e.g., EMPLOYEE vs. OFFICE or BADGE as 1-1 relationship)

# How to Map Entity Types and Relationships to Relations (cont.)

ER Model	Mapping to Relation
1:1 relationship type with mandatory participation on one side	Post PK of entity on optional side to act as FK in relation representing entity on mandatory side (e.g., EMPLOYEE and CAR have 1-1 relationship)
1:M relationship type	Post PK of entity on <b>one</b> (parent) side to act as FK in relation representing entity on <b>many</b> (child) side

# How to Map Entity Types and Relationships to Relations (cont.)

ER Model	Mapping to Relation
M:M relationship type	Create two 1:M relation types and follow above mapping and add additional attributes to the transitional relation (Be aware whether identifying or non-identifying relationships)
Multi-valued attribute	Create a new relation and post a copy of the PK of the parent entity into the new relation to act as a FK (e.g., DEPT_LOCATION)
N-ary relationship type	Create a new relation and post all PKs of the parent entities into the new relation to act as a PK and FKs (e.g., SUP_PRJ_PART_JOIN)

# How to Map Entity Types and Relationships to Relations (cont.)

Table 9.1	Correspondence between ER and Relational Models	
ER MODEL		RELATIONAL MODEL
Entity type		Entity relation
1:1 or 1:N 1	relationship type	Foreign key (or relationship relation)
M:N relation	onship type	Relationship relation and two foreign keys
<i>n</i> -ary relationship type		Relationship relation and n foreign keys
Simple attribute		Attribute
Composite attribute		Set of simple component attributes
Multivalued attribute		Relation and foreign key
Value set		Domain
Key attribu	te	Primary (or secondary) key

### Mapping COMPANY ER Schema Into A Relational Database Schema

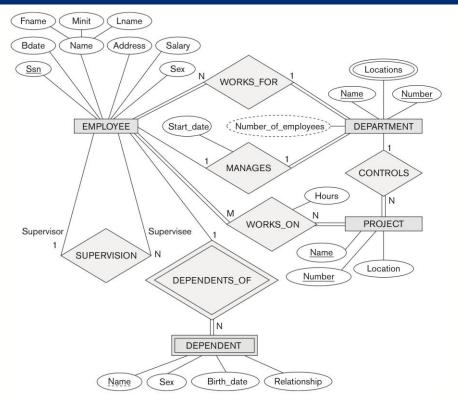


Figure 9.1 The ER conceptual schema diagram for the COMPANY database.

# Mapping COMPANY ER Schema Into A Relational Database Schema (cont.)

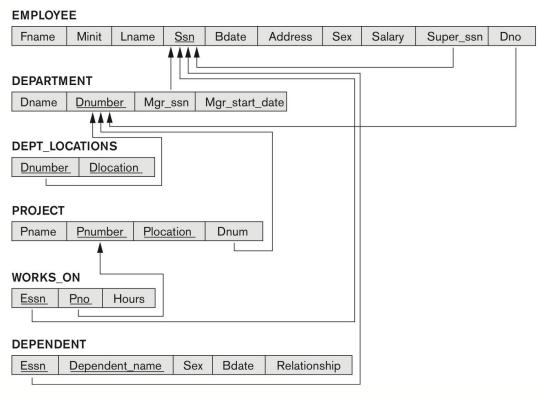
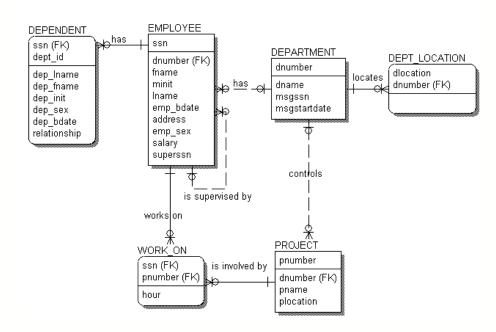


Figure 9.2 Result of mapping the COMPANY ER schema into a relational database schema.

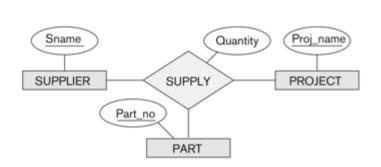
## Mapping COMPANY ER Schema Into A Relational Database Schema (cont.)

IE notation is supported by DB design tools.

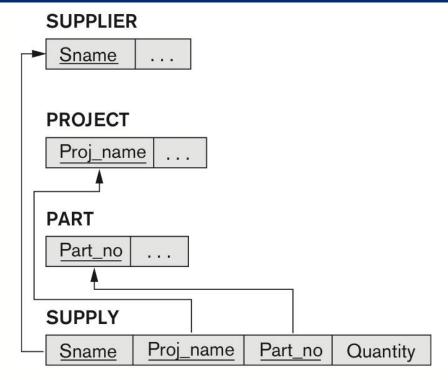
ER Diagram for the COMPANY



### Mapping A Ternary Relationship Schema



**Figure 3.17** Ternary relationship types. (a) The SUPPLY relationship.



**Figure 9.4** Mapping the n-ary relationship type SUPPLY from Figure 3.17(a).

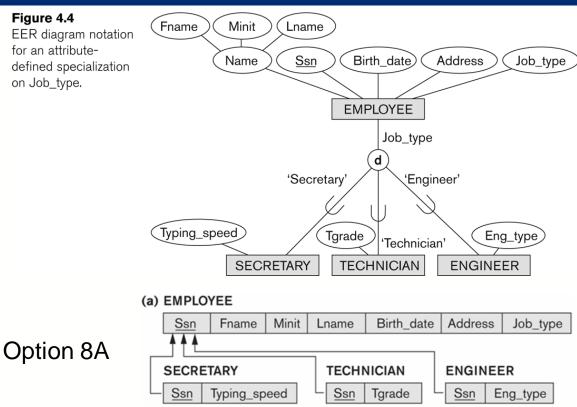
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#### **Principles of Database Systems**

Module 8 / Lecture 5
ER and EER to Relational Mapping

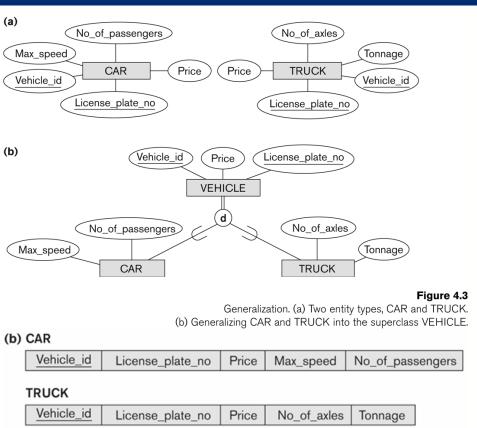


- Convert Superclass and Subclass Relationships
  - Option 8A: Create the superclass relation and all subclass relations first, then migrate the PK from the superclass relation into each subclass relation as 1:1 relationships.
  - Multiple-relation option superclass and subclasses
     Example EER schema in Figure 4.4



- Convert Superclass and Subclass Relationships
  - Option 8B: Do not create a superclass relation, and create all subclass relations with all attributes from the superclass relation.
  - Multiple-relation option –subclass relations only Example: Mapping the EER schema in Figure 4.4 (b) EMPLOYEE → SECRETARY, TECHNICIAN, ENGINEER

Mapping the EER schema in Figure 4.3 (b)



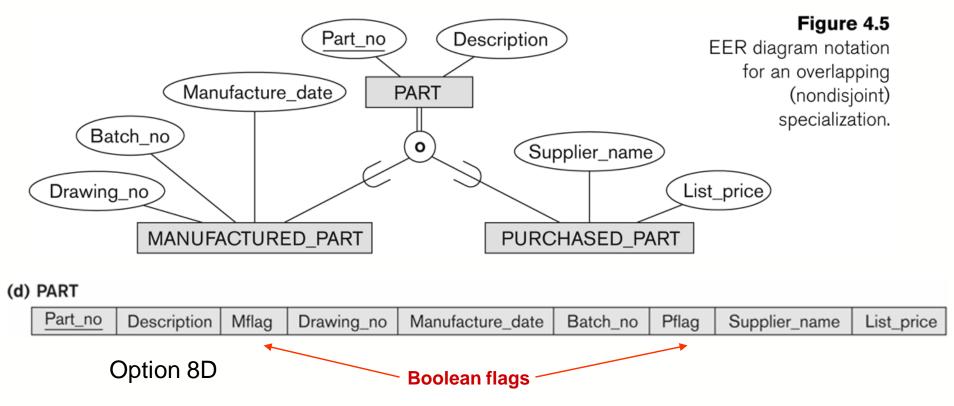
Option 8B

- Convert Superclass and Subclass Relationships
  - Option 8C: Create a single relation that combines (union) all attributes from all subclass relations with one type attribute (t). This approach is for a specialization whose subclasses are *disjoint*, and *t* is a type attribute to indicate what the tuple belongs to. Many null values will be created.
  - Single-relation option with one type attribute Example: Figure 4.4

Ssn Fname Minit Lname Birth\_date Address Job\_type Typing\_speed Tgrade Eng\_type

(c) EMPLOYEE

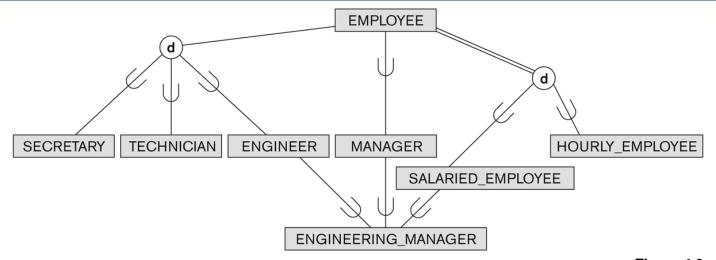
- Convert Superclass and Subclass Relationships
  - Option 8D: Create a single relation that combines (union) all attributes from the superclass and all subclass relations with a set (array) of type Boolean flags to indicate whether the tuple includes/belongs to the types. This approach is for a specialization whose subclasses are overlapping.
  - Single-relation option with multiple type attributes Example: Mapping Figure 4.5 using option 8D with Boolean type fields Mflag and Pflag.



### **Mapping Shared Subclasses**

- A shared class is a subclass of several superclasses indicating multiple inheritance (specialization lattice)
- The classes must all have the same key attribute
- Mapping Figure 4.6

### Mapping Shared Subclasses (cont.)



**Figure 4.6** A specialization lattice with shared subclass ENGINEERING\_MANAGER.

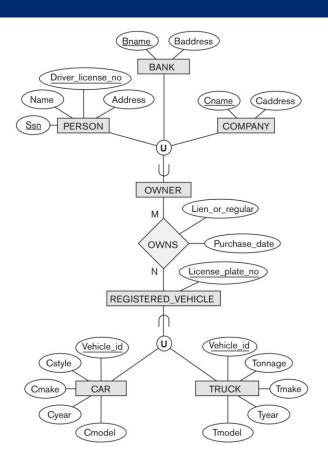
How do you map the EER specialization lattice? Multiple-relation options (8A or 8B) in slides 15, 17 Single-relation options (8C or 8D) in slides 18, 20 Which one is a better choice and why? Open for Discussions

### **Mapping of Categories**

- Is a subclass of the union of two or more superclasses that can have different entity types
- Can use a surrogate key

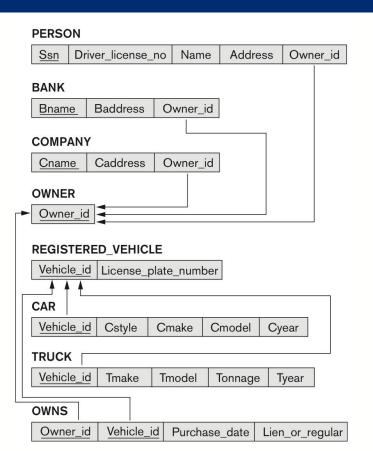
Example: Mapping the EER categories (union types) in Figure 4.8 to relations.

#### **Mapping of Categories (cont.)**



**Figure 4.8** Two categories (union types): OWNER and REGISTERED\_VEHICLE.

### **Mapping of Categories (cont.)**



**Figure 9.7** Mapping the EER categories (union types) in Figure 4.8 to relations.

### Challenges on EER-to-Relation Mapping

- Can have multiple options available for specialization and generalization
  - Create more relations (tables) with multiple-relation options
  - Create fewer relations (tables) with single-relation options
- Consider implementation complications and performance when considering EER-to-Relation mapping

### **EER-to-Relation Mapping using ERwin**

- Use supertype and subtype instead of superclass and subclass
- Create an identifying relationship between a supertype entity and its subtype entities
- Apply a transform to create an identifying relationship between a supertype entity and its subtype entities
  - Create a simple model
  - Improve query performance
  - Simplify application development and maintenance

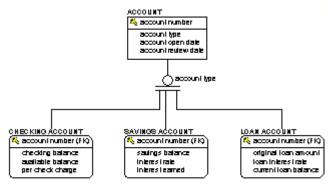
#### **EER-to-Relation Mapping using ERwin (cont.)**

#### Example:

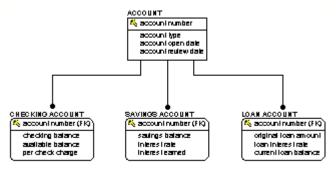
Bank ERD with various account types:
Checking, Saving and Loan

Mapping multiple relations with supertype and subtypes

Comments on this design



Before Applying the Transform



After Applying the Transform

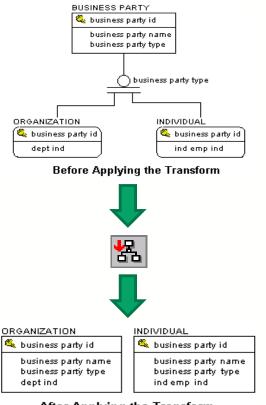
#### **EER-to-Relation Mapping using ERwin (cont.)**

#### Example:

Business Party ERD with Organization and individual types

Mapping to multiple relations with a result of "Rolling Down" two subtype relations

Comments on this design



#### **EER-to-Relation Mapping using ERwin (cont.)**

#### Example:

Payment ERD with Check and Credit Card types

Mapping to multiple relations with a result of "Rolling up" a supertype relation

Comments on this design

#### Relational Mapping Considerations

Normalized and Compact Design

