# Geometric Transformations

Lecturer:

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Course www: <a href="https://www.scss.tcd.ie/~ramcdonn/">https://www.scss.tcd.ie/~ramcdonn/</a>

Credit: Some slides taken from Robb T. Koether, Hampden-Sydney College

# Objectives

- Learn how to carry out transformations
  - Rotation
  - Translation
  - Scaling
  - Combinations!

# Extra Reading

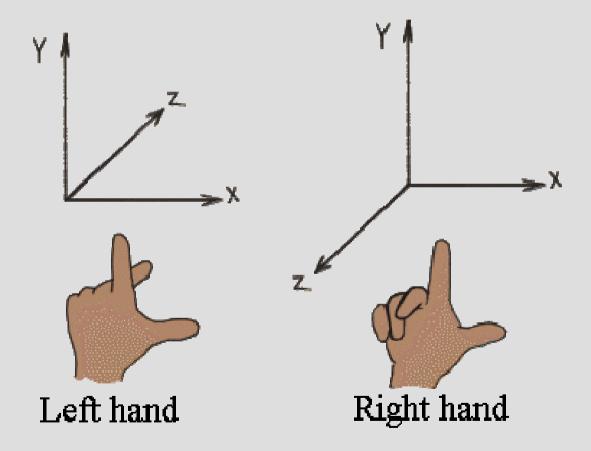
- Chapter 3: Geometric Objects and Transformations
- Interactive Computer Graphics: A Top Down Approach with OpenGL, 6<sup>th</sup> Edition (or other) Angel
- Chapter 4: Math for 3D Graphics
- OpenGL Superbible, 6<sup>th</sup> Edition
- Elementary Linear Algebra, Anton

# Linear Algebra

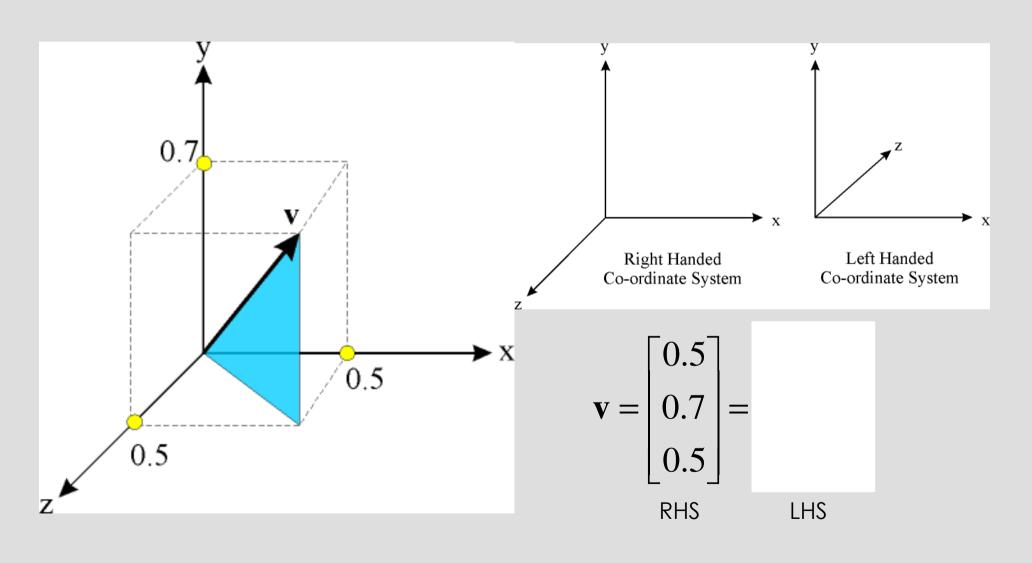
- Linear algebra is the cornerstone of computer graphics.
- Fundamentally, we need to be able to manipulate points and vectors.
  - these form the basis of all geometric objects & operations
- Geometric operations (scale, rotate, translate, perspective projection) are defined using matrix transformations.
- Optical effects (reflect, refract) defined using vector algebra.

# Co-ordinate Systems

- By convention we usually employ a Cartesian basis:
  - basis vectors are mutually orthogonal and unit length
  - basis vectors named x, y and z
- We need to define the relationship between the 3 vectors: there are 2 possibilities:
  - right handed systems: z comes out of page
  - left handed systems: **z** goes into page
  - (note: OpenGL uses a right handed system)
- This affects direction of rotations and specification of normal vectors



# Cartesian co-ordinate System



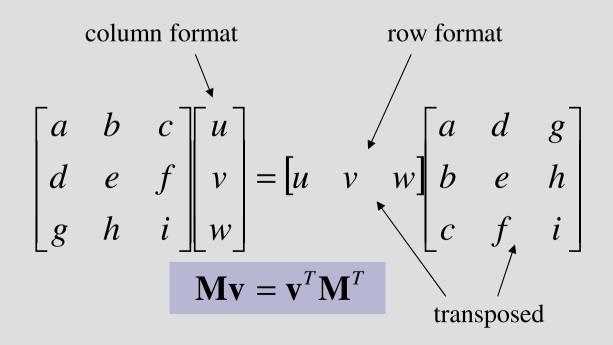
### Conventions

- Vector quantities denoted as  ${\bf v}$  or  $\vec{v}$
- Each vector is defined with respect to a set of basis vectors (which define a co-ordinate system).
- We will use column format vectors:

$$\mathbf{v} = \begin{bmatrix} v_1 \\ v_2 \\ v_3 \end{bmatrix} \neq \begin{bmatrix} v_1 & v_2 & v_3 \end{bmatrix} \quad \left( = \begin{bmatrix} v_1 & v_2 & v_3 \end{bmatrix}^T \right)$$

### Row vs. Column Formats

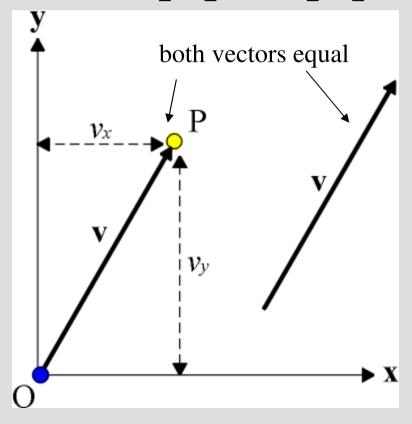
- Both formats, though appearing equivalent, are in fact fundamentally different:
  - be wary of different formats used in textbooks



#### **Vectors & Points**

- Although vectors and points are often used inter-changeably in graphics texts, it is important to distinguish between them.
  - vectors represent directions
  - points represent positions
- Both are meaningless without reference to a coordinate system
  - vectors require a set of basis vectors
  - points require an origin and a vector space

$$\mathbf{v} = \begin{bmatrix} v_x \\ v_y \end{bmatrix} \quad \mathbf{P} = \begin{bmatrix} v_x \\ v_y \end{bmatrix}$$



## Computer Graphics Problems

- Much of graphics concerns itself with the problem of displaying 3D objects in 2D screen
- We want to be able to:
  - rotate, translate, scale our objects
  - view them from arbitrary points of view
  - View them in perspective
- Want to display objects in coordinate systems that are convenient for us and to be able to reuse object descriptions
- Road example
  - Cars, tyres
  - View from a helicopter

#### Matrices

- If you need to rotate a million vertices representing a dinosaur object about some axis, you don't need to multiply each point by 5 different matrices
  - you simply multiply the 5 matrices together once and multiply each dinosaur point by that one matrix. Huge saving!





### Matrices

Matrix addition

Matrix multiplication

• 
$$\begin{bmatrix} a & c \\ b & d \end{bmatrix} \times \begin{bmatrix} e & g \\ f & h \end{bmatrix} = \begin{bmatrix} ae + cf & ag + ch \\ be + df & bg + dh \end{bmatrix}$$

- Not commutative in most cases
  - AB /= BA
  - If AB = AC, it does not necessarily follow that B = C
- It is associative and distributive
  - (AB)C = A(BC)
  - A(B+C) = AB + AC
  - (A+B)C = AC + BC
- Transpose A<sup>T</sup> of a matrix A is one whose rows are switched with its columns

### Question

$$\bullet A = \begin{bmatrix} 2 & 2 \\ 2 & 2 \end{bmatrix}$$

• A = 
$$\begin{bmatrix} 2 & 2 \\ 2 & 2 \end{bmatrix}$$
  
• B =  $\begin{bmatrix} 1 & -4 \\ 3 & 2 \end{bmatrix}$ 

What is A+B<sup>T</sup>?

#### Answer

$$\bullet A = \begin{bmatrix} 2 & 2 \\ 2 & 2 \end{bmatrix}$$

• B = 
$$\begin{bmatrix} 1 & -4 \\ 3 & 2 \end{bmatrix}$$

What is A+B<sup>T</sup>?

• 
$$AB^T = \begin{bmatrix} 2 & 2 \\ 2 & 2 \end{bmatrix} + \begin{bmatrix} 1 & 3 \\ -4 & 2 \end{bmatrix} = \begin{bmatrix} 3 & 5 \\ -2 & 4 \end{bmatrix}$$

### Geometric Transformations

- Many geometric transformations are linear and can be represented as a matrix multiplication.
- Function f is linear iff:

$$f(\alpha x + \beta y) = \alpha f(x) + \beta f(y)$$

- Implications:
  - to transform a line we transform the *end-points*. Points between are *affine combinations* of the transformed endpoints.
  - Given line defined by points P and Q, points along transformed line are affine combinations of transformed P'and Q'

$$L(t) = P + t(Q - P)$$
  
$$L'(t) = P' + t(Q' - P')$$

# Homogeneous Co-ordinates

 Basis of the homogeneous co-ordinate system is the set of n basis vectors and the origin position:

$$\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n$$
 and  $P_o$ 

 All points and vectors are therefore compactly represented using their ordinates:

$$\begin{bmatrix} a_1 \\ \vdots \\ a_n \\ a_o \end{bmatrix}$$
 or more usually 
$$\begin{bmatrix} x \\ y \\ z \\ w \end{bmatrix}$$

# Homogeneous Co-ordinates

• Vectors have no positional information and are represented using  $a_o = 0$  whereas points are represented with  $a_o = 1$ :

$$\vec{v} = a_1 \mathbf{v}_1 + \dots + a_n \mathbf{v}_n + 0$$

$$P = a_1 \mathbf{v}_1 + \dots + a_n \mathbf{v}_n + P_o$$

• Examples:

[O.:	2]	$\lceil 1.0 \rceil$		$\lceil 0.2 \rceil$	[	1.0	
1	3	1.0		1.3		1.0	
2.	2	0.0		2.2		0.0	
1		_ 1 _		$\begin{bmatrix} 0 \end{bmatrix}$		$\begin{bmatrix} 0 \end{bmatrix}$	
Points			A	Associated vectors			

## Homogenous Coordinates

- Using this scheme, every rotation, translation, and scaling operation can be represented by a matrix multiplication, and any combination of the operations corresponds to the products of the corresponding matrices
- Using homogeneous co-ordinates allows us to treat translation in the same way as rotation and scaling

#### Translation

- Simplest of the operations
  - Add a positive number moves to the right
  - Add a negative number moves to the left
- Addition of constant values, causes uniform translations in those directions
- Translations are independent and can be performed in any order (including all at once)
  - Object moved one unit to the right then up
  - Same as if moved one unit up and to the right
  - Net result is motion of sqrt(2) units to the upper-right

#### Translation

#### Definition (Translation)

A translation is a displacement in a particular direction

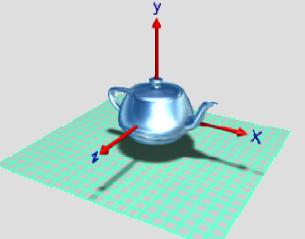
 A translation is defined by specifying the displacements a, b, and c

$$x' = x + a$$
$$y' = y + b$$
$$z' = z + c$$

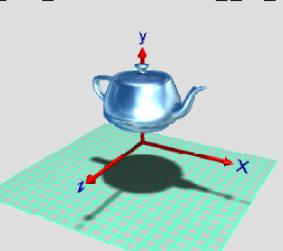
### Translation

- Translation only applies to points, we never translate vectors.
- Remember: points have homogeneous co-ordinate w = 1

$$\begin{vmatrix}
x' = x + a \\
y' = y + b \\
z' = z + c
\end{vmatrix} = \begin{bmatrix}
x' \\
y' \\
z' \\
1
\end{bmatrix} = \begin{bmatrix}
x + a \\
y + b \\
z + c \\
1
\end{bmatrix} = \begin{bmatrix}
1 & 0 & 0 & a \\
0 & 1 & 0 & b \\
0 & 0 & 1 & c \\
0 & 0 & 0 & 1
\end{bmatrix} \begin{bmatrix}
x \\
y \\
z \\
1
\end{bmatrix}$$



translate along y



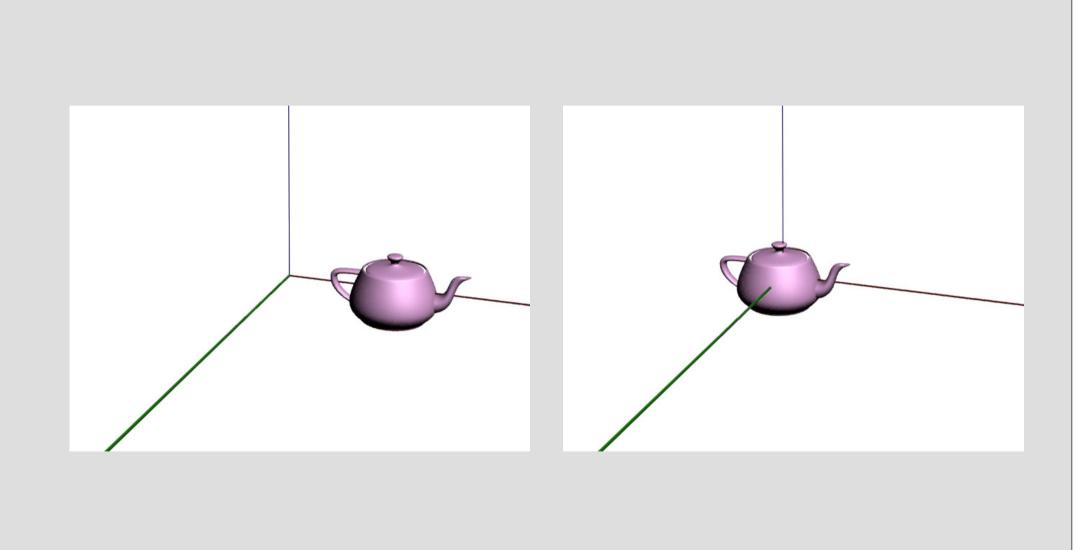
# Scaling

- What if we want to make things larger or smaller?
- Have a car model
  - Want one 3 times smaller!



# Scaling an object

- 3 times smaller
- Multiply all our coordinates by 1/3
- We get a model that is 1/3 of the size
- However
  - If original coordinates described a car 1 mile from the origin
  - Miniature car would only be 1/3 mile from the origin
- Solution translation to origin, and then scale, then translate back



# Scaling

#### Definition (Scaling)

A scaling is an expansion or contraction in the x, y, and z directions by scale factors sx, sy, sz and centred at the point (a,b, c)

Generally we centre the scaling at the origin

$$x' = s_x x$$

$$y' = s_y y$$

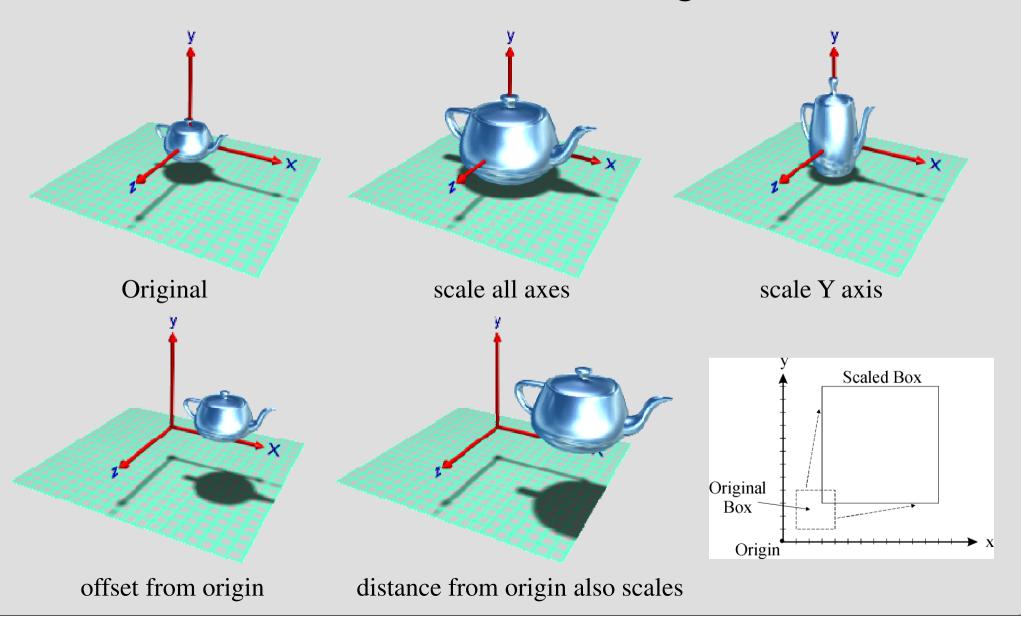
$$z' = s_z z$$

# Non-Uniform Scaling

- Make an object twice as big in the x-direction
  - Multiply all x-coordinates by 2, leave y&z unchanged
- 3 times as large in the y-direction
  - Multiply all y-coordinates by 3, leave z&x unchanged

### Scale

all vectors are scaled from the origin:



#### Scale

$$\begin{bmatrix} x' \\ y' \\ z' \end{bmatrix} = \begin{bmatrix} s_x x \\ s_y y \\ s_z z \end{bmatrix} = \begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & s_z \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} \implies \mathbf{v}' = \mathbf{S}\mathbf{v}$$

We would also like to scale points thus we need a homogeneous transformation for consistency:

$$\begin{bmatrix} x' \\ y' \\ z' \\ w' \end{bmatrix} = \begin{bmatrix} s_x x \\ s_y y \\ s_z z \\ w \end{bmatrix} = \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ w \end{bmatrix}$$
 
$$\mathbf{S}^{-1} = \begin{bmatrix} 1/& 0 & 0 & 0 \\ s_x & 0 & 0 & 0 \\ 0 & 1/s_y & 0 & 0 \\ 0 & 0 & 1/s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

#### Rotation

- Consider rotation in the x-y plane about the origin by an angle 0 in counter-clockwise direction
  - Same as rotation about the z-axis

#### Rotation

#### Definition (Rotation)

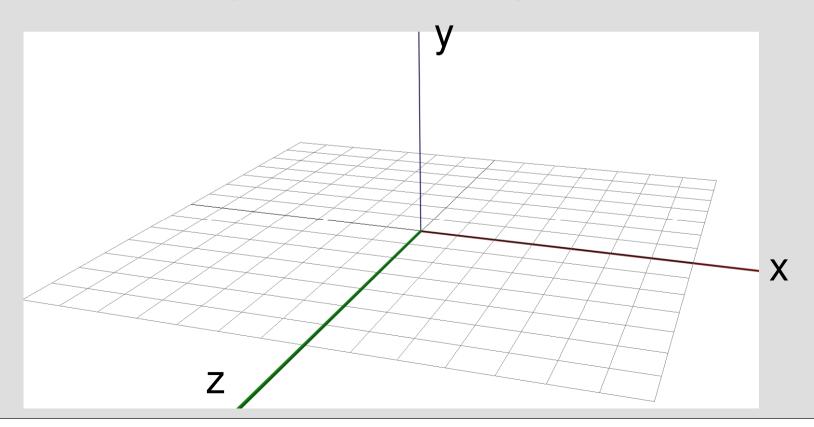
A rotation turns about a point (a,b) through an angle  $\theta$ 

- Generally, we rotate about the origin
- Using the z-axis as the axis of rotation, the equations are:

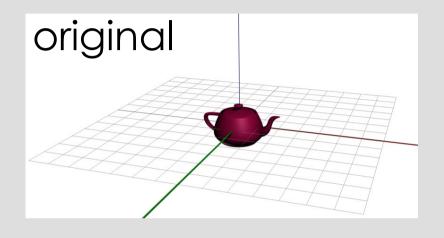
$$x' = x \cos \theta - y \sin \theta$$
$$y' = x \sin \theta + y \cos \theta$$
$$z' = z$$

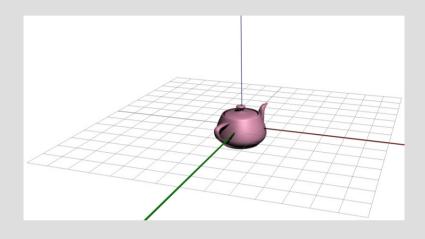
### Rotation - idea

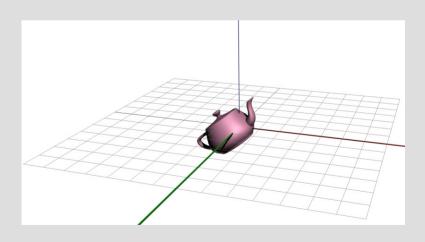
- Visualise rotation about an axis:
  - Put your eye on that axis in the positive direction and look towards the origin
  - Then, a positive rotation corresponds to a counter-clockwise rotation

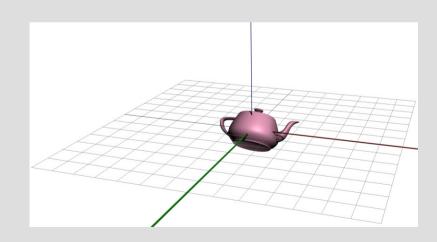


# Which Axis?

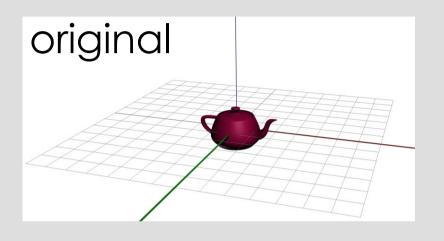


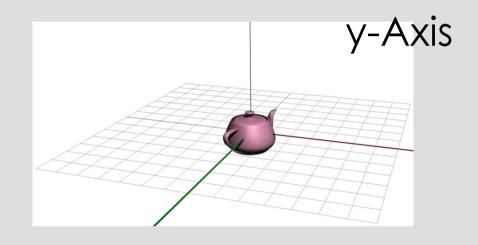


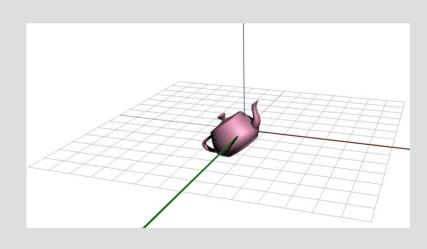


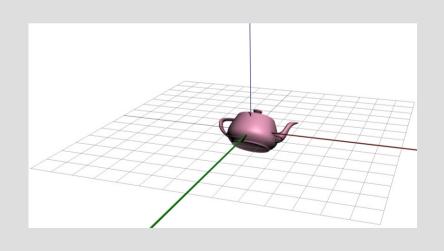


### Which Axis?







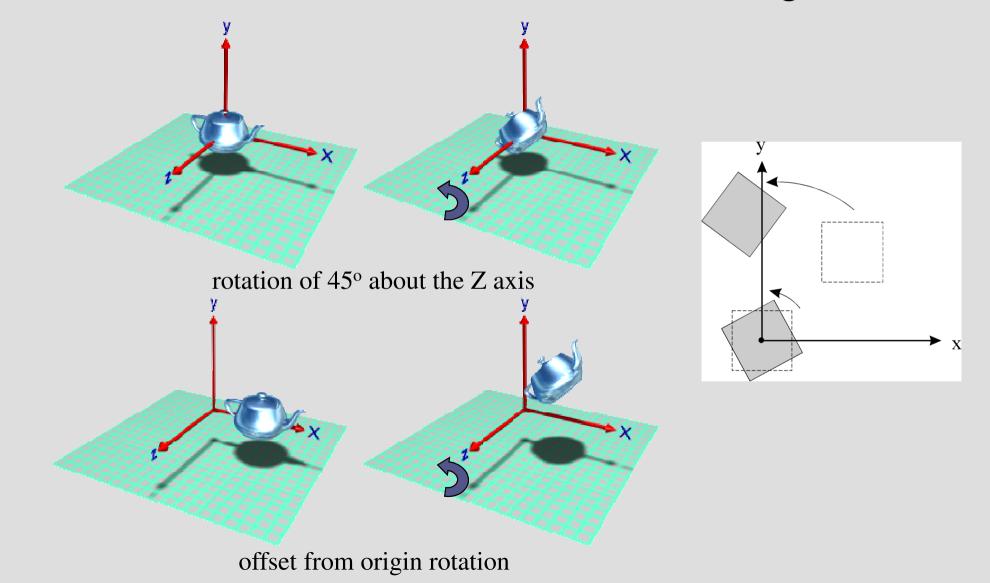


z-axis

(-) x-axis

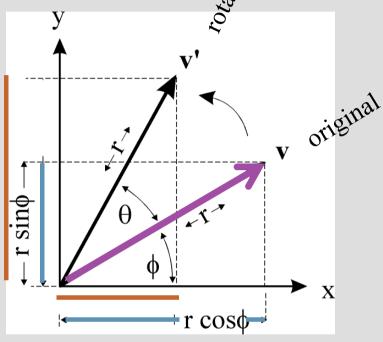
# Rotation

• Rotations are anti-clockwise about the origin:



### Rotation about the z-axis

$$\mathbf{v} = \begin{bmatrix} r\cos\phi \\ r\sin\phi \end{bmatrix} \quad \mathbf{v}' = \begin{bmatrix} \\ \\ \end{bmatrix}$$



expand 
$$(\phi + \theta) \Rightarrow \begin{cases} x' = r \cos \phi \cos \theta - r \sin \phi \sin \theta \\ y' = r \cos \phi \sin \theta + r \sin \phi \cos \theta \end{cases}$$

but 
$$\frac{x = r\cos\phi}{y = r\sin\phi} \Rightarrow \frac{x' = x\cos\theta - y\sin\theta}{y' = x\sin\theta + y\cos\theta}$$

#### Rotation about the z-axis

 Rotation in the clockwise direction is the inverse of rotation in the counter-clockwise direction and vice versa

#### Rotation

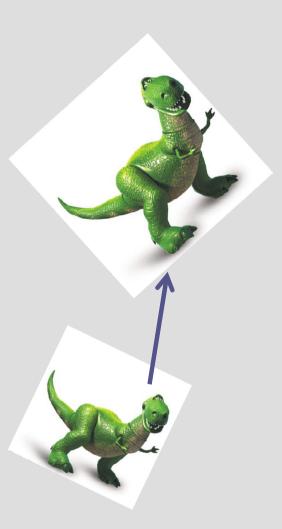
- 2D rotation of  $\theta$  about origin:  $\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$
- 3D homogeneous rotations:

$$\mathbf{R}_{x} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos\theta & -\sin\theta & 0 \\ 0 & \sin\theta & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \mathbf{R}_{y} = \begin{bmatrix} \cos\theta & 0 & \sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\theta & 0 & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \mathbf{R}_{z} = \begin{bmatrix} \cos\theta & -\sin\theta & 0 & 0 \\ \sin\theta & \cos\theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

- Note: difference for rotation about y, due to RHS
- Note:  $\cos(-\theta) = \cos\theta$  $\sin(-\theta) = -\sin\theta$   $\Rightarrow \mathbf{R}^{-1}(\theta) = \mathbf{R}(-\theta) = \mathbf{R}^{T}(\theta)$
- If  $M^{-1} = M^T$  then M is orthonormal. All orthonormal matrices are rotations about the origin.

#### Combining Rotation, Translation, & Scaling

- Often advantageous to combine various transformations to form a more complex transformation
- If we do the algebra things get complicated quickly
- Easier method matrices

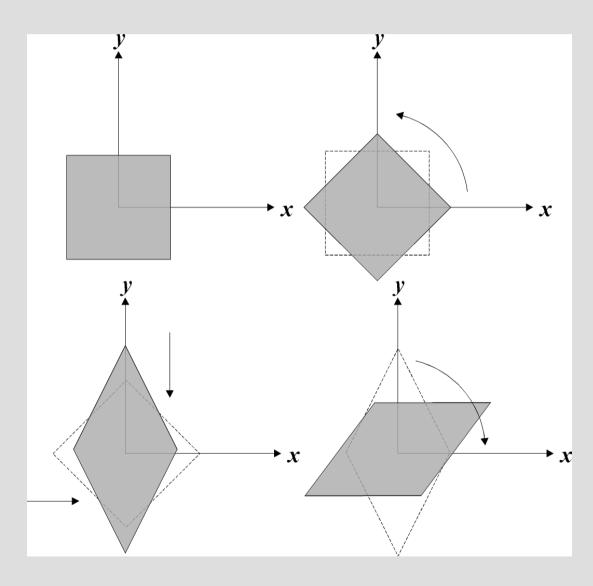


### Homogenous Coordinates

 Using this scheme, every rotation, translation, and scaling operation can be represented by a matrix multiplication, and any combination of the operations corresponds to the products of the corresponding matrices

#### Affine Transformations

 All affine transformations are combinations of rotations, scaling and translations.



# Transformation Composition

- It is common for graphics programs to apply more than one transformation to an object
  - Take vector  $v_1$ , Scale it (S), then rotate it (R)
  - First,  $v_2 = \mathbf{S}v_1$ , then,  $v_3 = \mathbf{R}v_2$
  - $\vee_3 = \mathbf{R}(\mathbf{S}\vee_1)$
  - Since matrix multiplication is associative:  $v_3 = (RS)v_1$
- In other words, we can represent the effects of transforms by two matrices in a single matrix of the same size by multiplying the two matrices: M = RS

# Transformation Composition

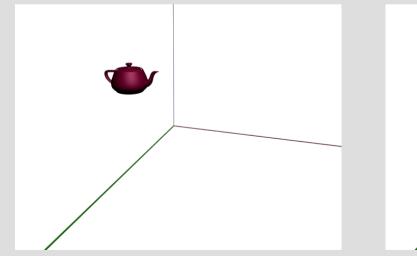
 More complex transformations can be created by concatenating or composing individual transformations together.

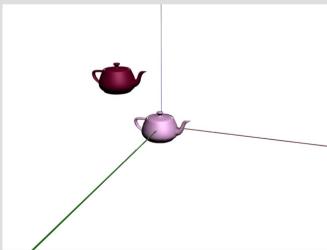
$$\mathbf{M} = \mathbf{T} \circ \mathbf{R} \circ \mathbf{S} \circ \mathbf{T} = \mathbf{T}\mathbf{R}\mathbf{S}\mathbf{T} \quad \mathbf{v}' = \mathbf{T}[\mathbf{R}[\mathbf{S}[\mathbf{T}\mathbf{v}]]] = \mathbf{M}\mathbf{v}$$

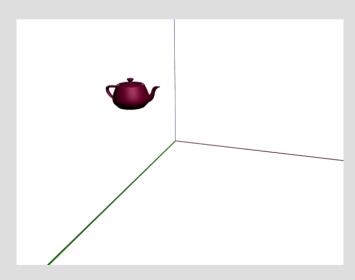
- Matrix multiplication is non-commutative ⇒ order is vital
- We can create an affine transformation representing rotation about a point  $P_R$ :  $\mathbf{M} = \mathbf{T}(P_R)\mathbf{R}(\theta)\mathbf{T}(-P_R)$
- translate to origin, rotate about origin, translate back to original location

# Rotation about a point

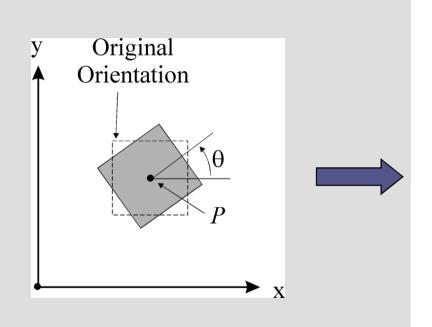
- What if rotation is not about the origin?
  - Translate the centre of rotation to the origin,
  - Perform the rotation
  - Translate back

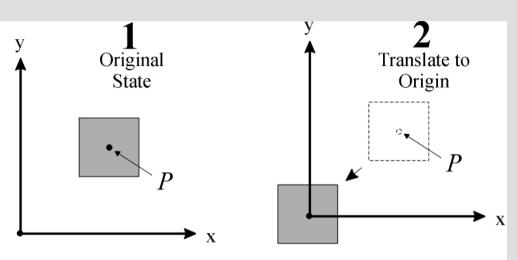






# Transformation Composition





# Transformation Composition

Rotation in **XY** plane by *q* degrees anti-clockwise about point *P* 

$$\mathbf{M} = \mathbf{T}(P)\mathbf{R}(\theta)\mathbf{T}(-P)$$

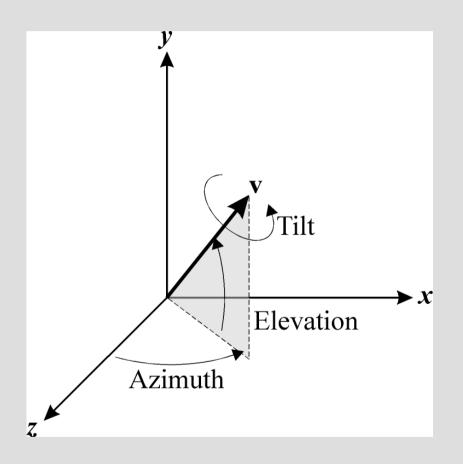
$$= \begin{bmatrix} 1 & 0 & 0 & P_x \\ 0 & 1 & 0 & P_y \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} \cos\theta & -\sin\theta & 0 & 0 \\ \sin\theta & \cos\theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & -P_x \\ 0 & 1 & 0 & -P_y \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

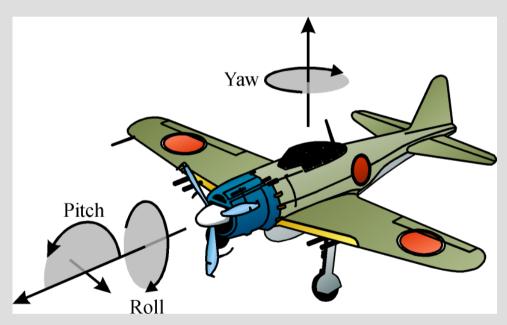
$$= \begin{bmatrix} \cos\theta & -\sin\theta & 0 & P_x - P_x \cos\theta + P_y \sin\theta \\ \sin\theta & \cos\theta & 0 & P_y - P_x \sin\theta - P_y \cos\theta \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Euler Angles

- Euler angles represent the angles of rotation about the co-ordinate axes required to achieve a given orientation  $(\theta_x, \theta_y, \theta_z)$
- The resulting matrix is:  $\mathbf{M} = \mathbf{R}(\theta_x)\mathbf{R}(\theta_y)\mathbf{R}(\theta_z)$
- Any required rotation may be described (though not uniquely) as a composition of 3 rotations about the coordinate axes.
- Remember rotation does not commute ⇒ order is important

# Rotational DOF





Sometimes known as roll, pitch and yaw

#### OpenGL - Uniforms

- Pass data into a shader that stays the same is uniform
  - e.g., transformation matrix
- Get data directly from application to shaders
- Simply place the keyword uniform at beginning of variable definition
  - uniform float fTime
  - uniform mat4 modelMatrix

#### Using Uniforms to Transform Geometry

 Now it is time to put all our knowledge together and build a program that does a little more than pass vertices through un-transformed

#### The Old Vertex Shader

```
in vec4 vPosition;
void main () {
 // The value of vPosition should be between -1.0 and +1.0
 gl_Position = vPosition;
out vec4 fColor;
void main () {
 // No matter what, color the pixel red!
 fColor = vec4 (1.0, 0.0, 0.0, 1.0);
```

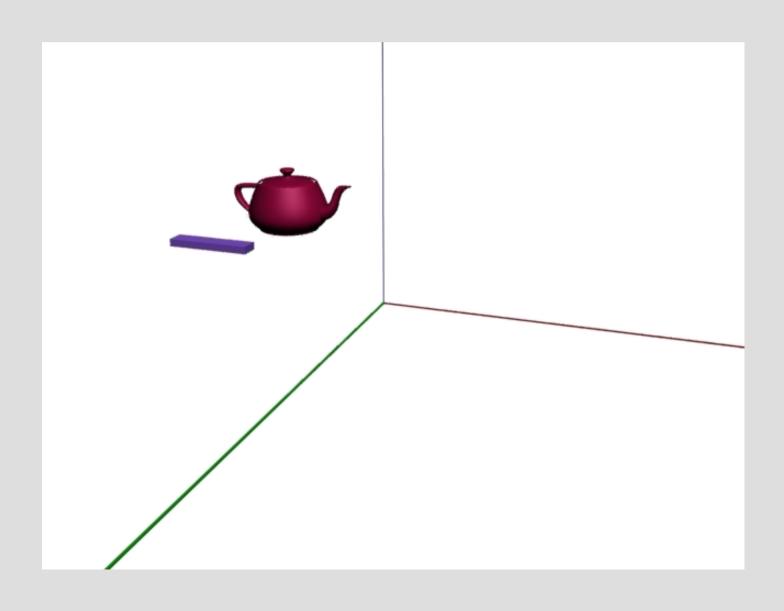
#### A Better Vertex Shader

```
in vec4 vPosition; // the vertex in local coordinate system
uniform mat4 mM; // the matrix for the pose of the model
uniform mat4 mV; // The matrix for the pose of the camera
uniform mat4 mP; // The projection matrix (perspective)
void main () {
  // The value of vPosition should be between -1.0 and +1.0
  gl Position = mP * mV * mM * vPosition;
    New position in NDC
                                           Original (local) position
```

#### General Rotation

- What if you want to rotate about an axis that does not happen to be one of the 3 principle axes?
  - Can do this using operations we already have
- Strategy:
  - Do one or two rotations about the principal axes to get the axis we want aligned with the z-axis
  - Then, rotate about the z-axis
  - Undo the rotations we did to align your axis with the z-axis

# Rotation about an arbitrary axis

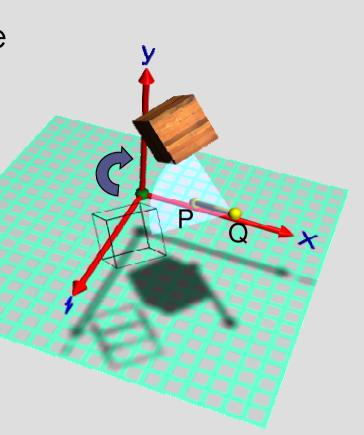


# Rotation about an arbitrary axis

 A frequent requirement is to determine the matrix for rotation about a given axis.

- Such rotations have 3 degrees of freedom (DOF):
  - 2 for spherical angles specifying axis orientation
  - 1 for twist about the rotation axis
- Assume axis is defined by points P and Q
- Pivot point is P and rotation axis vector is: P = Q

$$\mathbf{v} = \frac{P - Q}{\left|P - Q\right|}$$

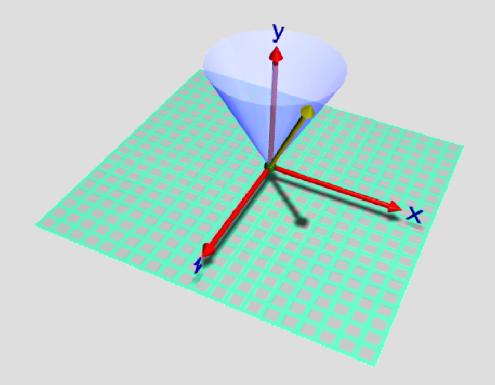


# Rotation about an arbitrary axis

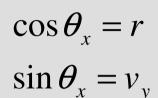
- 1. Translate the pivot point of the axis to the origin  $\Rightarrow$   $\mathbf{T}(-P)$
- 2. Determine a series of rotations to achieve the required rotation about the desired vector.
- 3. Rotate the axis and object so that the axis lines up with  $\mathbf{z}$  say  $\Rightarrow \mathbf{R}(\theta_{y})\mathbf{R}(\theta_{x})$
- 4. Rotate about **z** by the required angle  $\theta \Rightarrow \mathbf{R}(\theta)$
- 5. Undo the first 2 rotations to bring us back to the original orientation  $\Rightarrow \mathbf{R}(-\theta_{\mathsf{x}})\mathbf{R}(-\theta_{\mathsf{y}})$
- 6. Translate back to the original position  $\Rightarrow \mathbf{T}(P)$
- 7. The final rotation matrix is:  $\mathbf{M} = \mathbf{T}(P)\mathbf{R}(-\theta_{v})\mathbf{R}(-\theta_{x})\mathbf{R}(\theta)\mathbf{R}(\theta_{x})\mathbf{R}(\theta_{v})\mathbf{T}(-P)$

#### Rotation about an axis

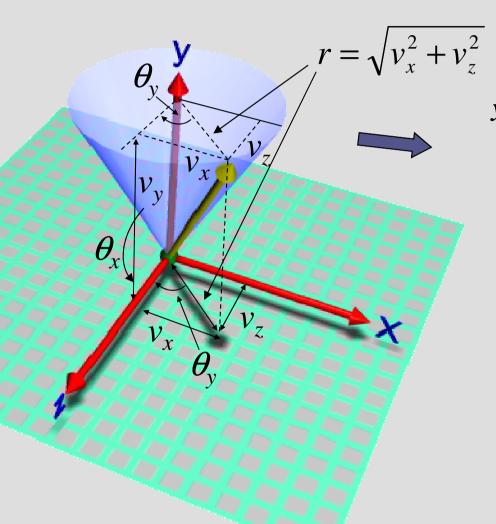
- We need the Euler angles  $\theta_x$  and  $\theta_y$  which will orient the rotation axis along the **z** axis.
- We determine these using simple trigonometry.

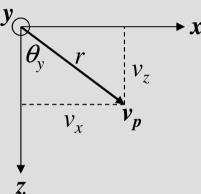


# Aligning axis with z



-Rotate line segment into the plane y=0





$$\cos \theta_{y} = \frac{v_{z}}{r}$$

$$\sin \theta_{y} = \frac{v_{x}}{r}$$

$$\sin \theta_{y} = \frac{v_{x}}{r}$$

### Aligning axis with z

- Note that as shown the rotation about the x axis is anti-clockwise but the y axis rotation is clockwise.
- Therefore the required **y** axis rotation is  $-\theta_{V} \Rightarrow$

$$\mathbf{R}(\theta_{x}) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & r & -v_{y} & 0 \\ 0 & v_{y} & r & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \Rightarrow \mathbf{R}(-\theta_{x}) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & r & v_{y} & 0 \\ 0 & -v_{y} & r & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\mathbf{R}(\theta_{y}) = \begin{bmatrix} v_{z} / & 0 & v_{x} / & 0 \\ 0 & 1 & 0 & 0 \\ -v_{x} / & 0 & v_{z} / & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \Rightarrow \mathbf{R}(-\theta_{y}) = \begin{bmatrix} v_{z} / & 0 & -v_{x} / & 0 \\ 0 & 1 & 0 & 0 \\ v_{x} / & 0 & v_{z} / & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\mathbf{M} = \mathbf{T}(P)\mathbf{R}(\theta_y)\mathbf{R}(-\theta_x)\mathbf{R}(\theta)\mathbf{R}(\theta_x)\mathbf{R}(-\theta_y)\mathbf{T}(-P)$$

### Recommended Reading

- "Homogeneous Coordinates and Computer Graphics" by Tom Davis
- http://www.geometer.org/mathcircles/cghomogen.pdf
- Interactive Computer Graphics: A Top-down approach with OpenGL, by Edward Angel