

11: Multirate Systems

- Multirate Systems
- Building blocks
- Resampling Cascades
- Noble Identities
- Noble Identities Proof
- Upsampled z-transform
- Downsampled z-transform
- Downsampled Spectrum
- Power Spectral Density
- Perfect Reconstruction
- Commutators
- Summary
- MATLAB routines

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Multirate systems include more than one sample rate

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Why bother?:

- May need to **change the sample rate**
e.g. Audio sample rates include 32, 44.1, 48, 96 kHz

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e.g. Audio sample rates include 32, 44.1, 48, 96 kHz
- Can **relax** analog or digital **filter requirements**
e.g. Audio DAC increases sample rate so that the reconstruction filter can have a more gradual cutoff

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e.g. Audio sample rates include 32, 44.1, 48, 96 kHz
- Can **relax** analog or digital **filter requirements**
e.g. Audio DAC increases sample rate so that the reconstruction filter can have a more gradual cutoff
- **Reduce computational complexity**
FIR filter length $\propto \frac{f_s}{\Delta f}$ where Δf is width of transition band
Lower $f_s \Rightarrow$ shorter filter + fewer samples \Rightarrow computation $\propto f_s^2$

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Downsample $x[n] \xrightarrow{K:1} y[m] \quad y[m] = x[Km]$

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Downsample

$$\underline{x[n]} \boxed{K:1} \underline{y[m]}$$

$$y[m] = x[Km]$$

Upsample

$$\underline{u[m]} \boxed{1:K} \underline{v[n]}$$

$$v[n] = \begin{cases} u\left[\frac{n}{K}\right] & K \mid n \\ 0 & \text{else} \end{cases}$$

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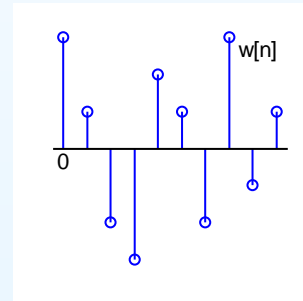
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Example:

Downsample by 3 then upsample by 4

$w[n] \xrightarrow{3:1} x[m] \xrightarrow{1:4} y[r]$



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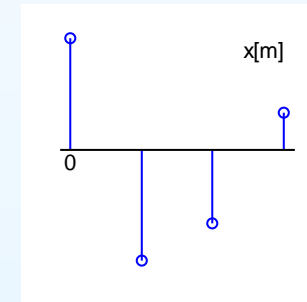
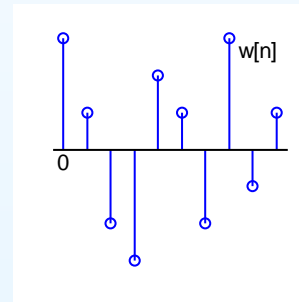
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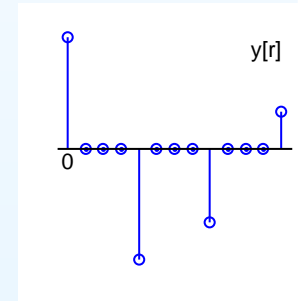
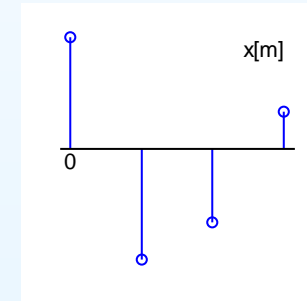
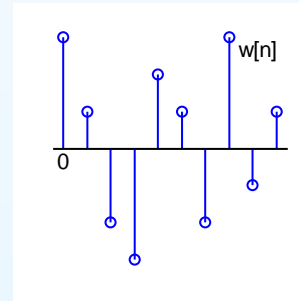
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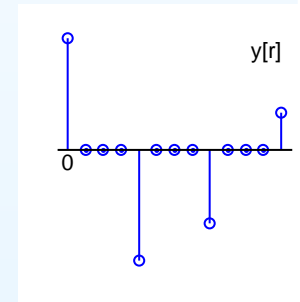
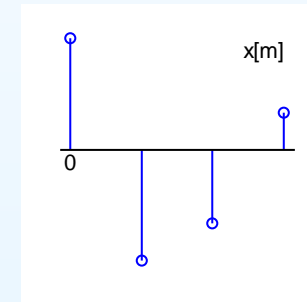
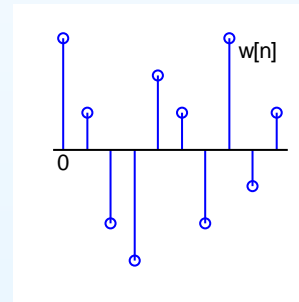
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- We use different index variables (n , m , r) for different sample rates

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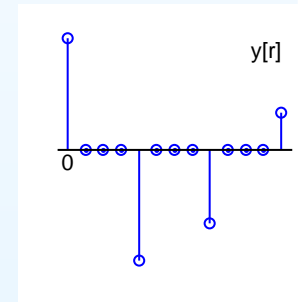
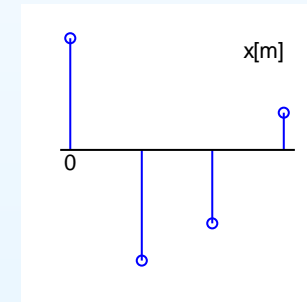
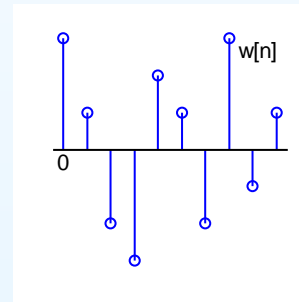
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- Use different colours for signals at different rates (sometimes)

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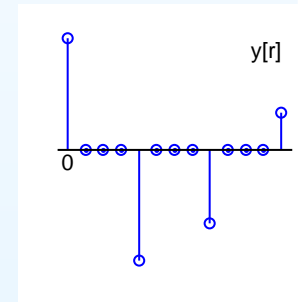
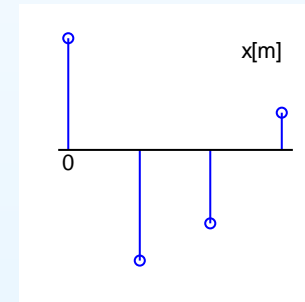
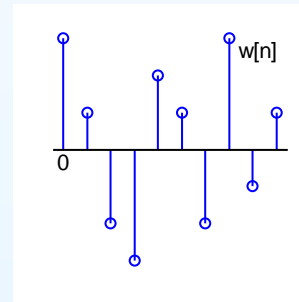
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- We use different index variables (n, m, r) for different sample rates
- Use different colours for signals at different rates (sometimes)
- **Synchronization:** all signals have a sample at $n = 0$.

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Successive downsamplers or upsamplers can be combined

$$\begin{array}{c} \boxed{P:1} \text{---} \boxed{Q:1} = \text{---} \boxed{PQ:1} \\ \boxed{1:P} \text{---} \boxed{1:Q} = \text{---} \boxed{1:PQ} \end{array}$$

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Downsampling **destroys information permanently** \Rightarrow uninvertible

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Resampling can be interchanged
iff **P and Q are coprime** (surprising!)

$$\text{---} \boxed{P:1} \text{---} \boxed{1:Q} \text{---} = \text{---} \boxed{1:Q} \text{---} \boxed{P:1} \text{---}$$

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Proof: Left side: $y[n] = x \left[\frac{P}{Q}n \right]$ if $Q \mid n$ else $y[n] = 0$.

[Note: $a \mid b$ means “ b is a multiple of a ”]

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Right side: $y[n] = x \left[\frac{P}{Q}n \right]$ if $Q \mid Pn$.

But $\{Q \mid Pn \Rightarrow Q \mid n\}$ iff P and Q are coprime.

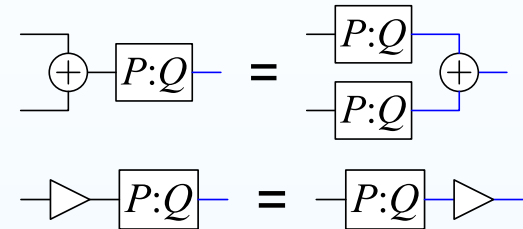
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Noble Identities

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Resamplers commute with addition and multiplication



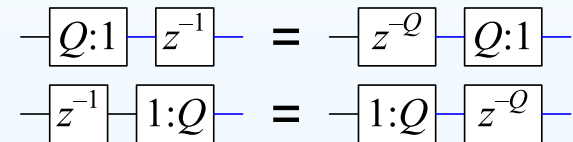
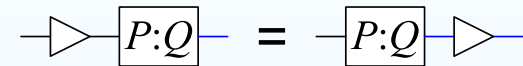
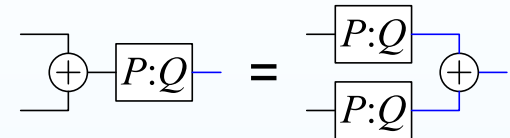
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Delays must be multiplied by the resampling ratio

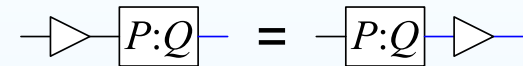
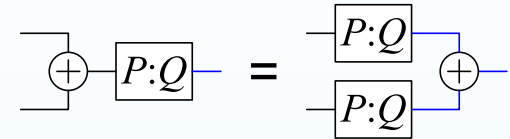


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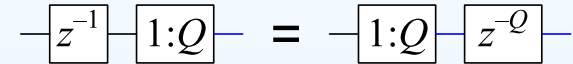
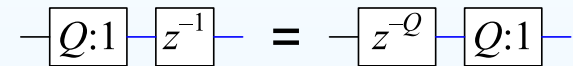
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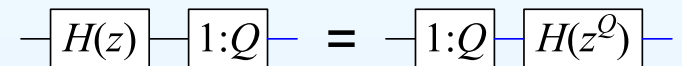
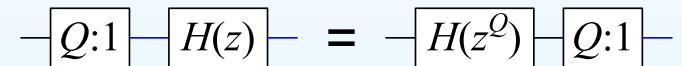
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Noble identities:
Exchange resamplers and filters

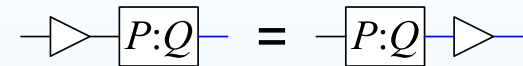
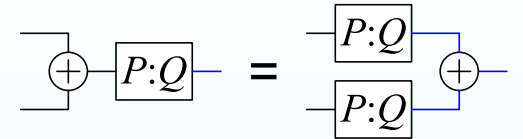


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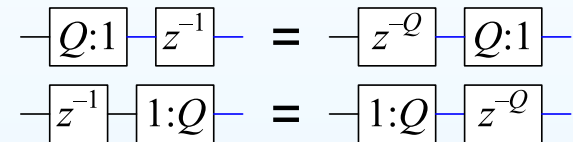
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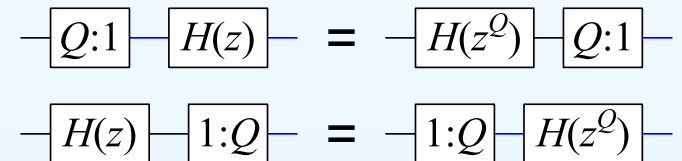
Resamplers commute with addition and multiplication



Delays must be multiplied by the resampling ratio



Noble identities:
Exchange resamplers and filters



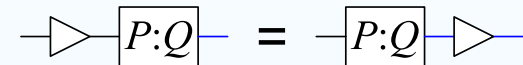
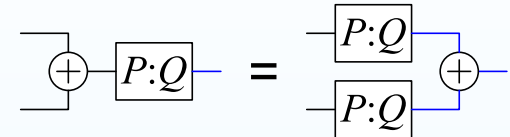
Example: $H(z) = h[0] + h[1]z^{-1} + h[2]z^{-2} + \dots$
 $H(z^3) = h[0] + h[1]z^{-3} + h[2]z^{-6} + \dots$

Noble Identities

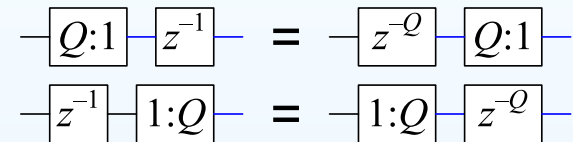
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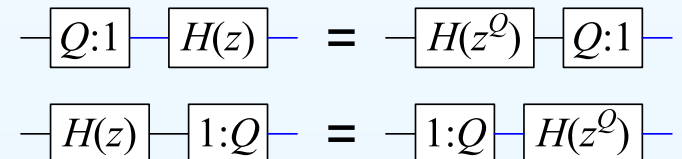
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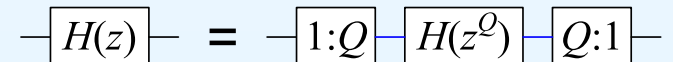
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Corollary



Example: $H(z) = h[0] + h[1]z^{-1} + h[2]z^{-2} + \dots$
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Define $h_Q[n]$ to be the impulse response of $H(z^Q)$.

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$$w[r] = v[Qr]$$

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$$\boxed{x[r]} \xrightarrow{H(z)} \boxed{u[r]} \xrightarrow{1:Q} \boxed{y[n]} = \boxed{x[r]} \xrightarrow{1:Q} \boxed{v[n]} \xrightarrow{H(z^Q)} \boxed{w[n]}$$

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If $Q \mid n = Qr$, then $w[Qr] = \sum_{m=0}^M h[m] v[Qr - Qm]$

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$$\boxed{x[n]} \boxed{Q:1} \boxed{u[r]} \boxed{H(z)} \boxed{y[r]} = \boxed{x[n]} \boxed{H(z^Q)} \boxed{v[n]} \boxed{Q:1} \boxed{w[r]}$$

Assume that $h[r]$ is of length $M + 1$ so that $h_Q[n]$ is of length $QM + 1$. We know that $h_Q[n] = 0$ except when $Q \mid n$ and that $h[r] = h_Q[Qr]$.

$$\begin{aligned} w[r] &= v[Qr] = \sum_{s=0}^{QM} h_Q[s] x[Qr - s] \\ &= \sum_{m=0}^M h_Q[Qm] x[Qr - Qm] = \sum_{m=0}^M h[m] x[Q(r - m)] \\ &= \sum_{m=0}^M h[m] u[r - m] = y[r] \quad \text{☺} \end{aligned}$$

Upsampled Noble Identity:

$$\boxed{x[r]} \boxed{H(z)} \boxed{u[r]} \boxed{1:Q} \boxed{y[n]} = \boxed{x[r]} \boxed{1:Q} \boxed{v[n]} \boxed{H(z^Q)} \boxed{w[n]}$$

We know that $v[n] = 0$ except when $Q \mid n$ and that $v[Qr] = x[r]$.

$$\begin{aligned} w[n] &= \sum_{s=0}^{QM} h_Q[s] v[n - s] = \sum_{m=0}^M h_Q[Qm] v[n - Qm] \\ &= \sum_{m=0}^M h[m] v[n - Qm] \end{aligned}$$

If $Q \nmid n$, then $v[n - Qm] = 0 \forall m$ so $w[n] = 0 = y[n]$

$$\begin{aligned} \text{If } Q \mid n = Qr, \text{ then } w[Qr] &= \sum_{m=0}^M h[m] v[Qr - Qm] \\ &= \sum_{m=0}^M h[m] x[r - m] = u[r] \end{aligned}$$

Noble Identities Proof

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Define $h_Q[n]$ to be the impulse response of $H(z^Q)$.

$$\boxed{x[n]} \boxed{Q:1} \boxed{u[r]} \boxed{H(z)} \boxed{y[r]} = \boxed{x[n]} \boxed{H(z^Q)} \boxed{v[n]} \boxed{Q:1} \boxed{w[r]}$$

Assume that $h[r]$ is of length $M + 1$ so that $h_Q[n]$ is of length $QM + 1$. We know that $h_Q[n] = 0$ except when $Q \mid n$ and that $h[r] = h_Q[Qr]$.

$$\begin{aligned} w[r] &= v[Qr] = \sum_{s=0}^{QM} h_Q[s] x[Qr - s] \\ &= \sum_{m=0}^M h_Q[Qm] x[Qr - Qm] = \sum_{m=0}^M h[m] x[Q(r - m)] \\ &= \sum_{m=0}^M h[m] u[r - m] = y[r] \quad \text{☺} \end{aligned}$$

Upsampled Noble Identity:

$$\boxed{x[r]} \boxed{H(z)} \boxed{u[r]} \boxed{1:Q} \boxed{y[n]} = \boxed{x[r]} \boxed{1:Q} \boxed{v[n]} \boxed{H(z^Q)} \boxed{w[n]}$$

We know that $v[n] = 0$ except when $Q \mid n$ and that $v[Qr] = x[r]$.

$$\begin{aligned} w[n] &= \sum_{s=0}^{QM} h_Q[s] v[n - s] = \sum_{m=0}^M h_Q[Qm] v[n - Qm] \\ &= \sum_{m=0}^M h[m] v[n - Qm] \end{aligned}$$

If $Q \nmid n$, then $v[n - Qm] = 0 \forall m$ so $w[n] = 0 = y[n]$

$$\begin{aligned} \text{If } Q \mid n = Qr, \text{ then } w[Qr] &= \sum_{m=0}^M h[m] v[Qr - Qm] \\ &= \sum_{m=0}^M h[m] x[r - m] = u[r] = y[Qr] \quad \text{☺} \end{aligned}$$

Upsampled z-transform

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$$V(z) = \sum_n v[n] z^{-n}$$

$$\underline{u[m]} \boxed{1:K} v[n]$$

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Upsampled z-transform

$$V(z) = \sum_n v[n] z^{-n} = \sum_{n:K|n} u\left[\frac{n}{K}\right] z^{-n}$$

$$\underline{u[m]} \boxed{1:K} \underline{v[n]}$$

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Upsampled z-transform

$$\begin{aligned} V(z) &= \sum_n v[n] z^{-n} = \sum_{n:K|n} u\left[\frac{n}{K}\right] z^{-n} \\ &= \sum_m u[m] z^{-Km} \end{aligned}$$

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Upsampled z-transform

$$\begin{aligned} V(z) &= \sum_n v[n] z^{-n} = \sum_{n:K|n} u\left[\frac{n}{K}\right] z^{-n} \\ &= \sum_m u[m] z^{-Km} = U(z^K) \end{aligned}$$

$$\underline{u[m]} \boxed{1:K} \underline{v[n]}$$

$$\underline{U(z)} \boxed{1:K} \underline{U(z^K)}$$

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Upsampled z-transform

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Spectrum: $V(e^{j\omega}) = U(e^{jK\omega})$

$$\underline{u[m]} \boxed{1:K} \underline{v[n]}$$

$$\underline{U(z)} \boxed{1:K} \underline{U(z^K)}$$

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$$\underline{u[m]} \boxed{1:K} \underline{v[n]}$$

$$\underline{U(z)} \boxed{1:K} \underline{U(z^K)}$$

Spectrum: $V(e^{j\omega}) = U(e^{jK\omega})$

Spectrum is horizontally shrunk and replicated K times.

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Upsampled z-transform

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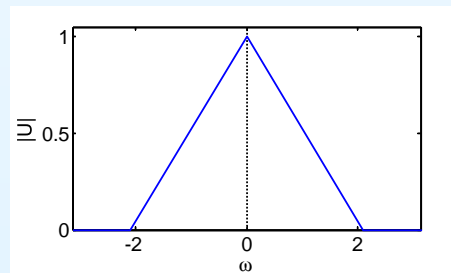
$$\underline{u[m]} \boxed{1:K} \underline{v[n]}$$

$$\underline{U(z)} \boxed{1:K} \underline{U(z^K)}$$

Spectrum: $V(e^{j\omega}) = U(e^{jK\omega})$

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Example:



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$$\begin{aligned} V(z) &= \sum_n v[n] z^{-n} = \sum_{n:K|n} u\left[\frac{n}{K}\right] z^{-n} \\ &= \sum_m u[m] z^{-Km} = U(z^K) \end{aligned}$$

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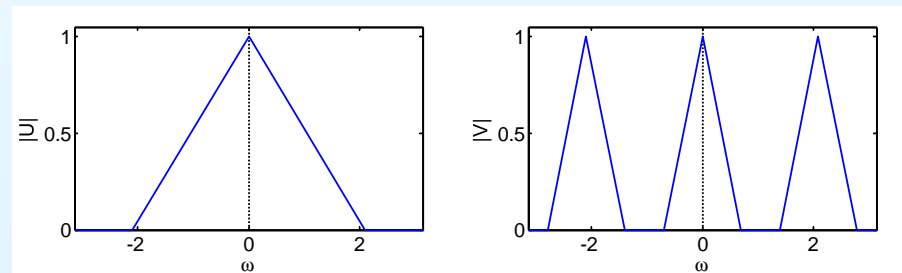
$$\underline{U(z)} \boxed{1:K} \underline{U(z^K)}$$

Spectrum: $V(e^{j\omega}) = U(e^{jK\omega})$

Spectrum is horizontally shrunk and replicated K times.

Example:

$K = 3$: three images of the original spectrum in all.



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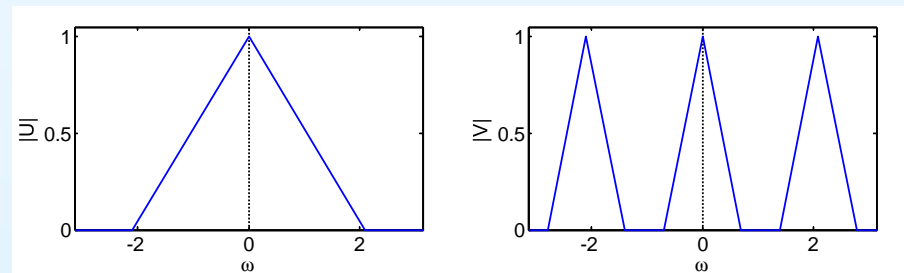
Spectrum: $V(e^{j\omega}) = U(e^{jK\omega})$

Spectrum is horizontally shrunk and replicated K times.

Total **energy** unchanged; **power** (= energy/sample) multiplied by $\frac{1}{K}$

Example:

$K = 3$: three images of the original spectrum in all.



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Spectrum: $V(e^{j\omega}) = U(e^{jK\omega})$

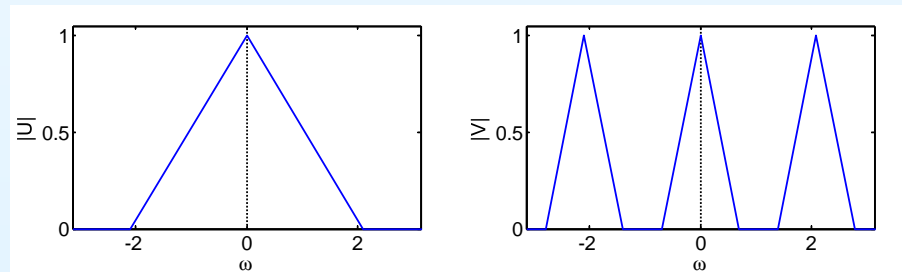
Spectrum is horizontally shrunk and replicated K times.

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Example:

$K = 3$: three images of the original spectrum in all.

Energy unchanged: $\frac{1}{2\pi} \int |U(e^{j\omega})|^2 d\omega = \frac{1}{2\pi} \int |V(e^{j\omega})|^2 d\omega$



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$$\begin{aligned} V(z) &= \sum_n v[n] z^{-n} = \sum_{n:K|n} u\left[\frac{n}{K}\right] z^{-n} \\ &= \sum_m u[m] z^{-Km} = U(z^K) \end{aligned}$$

$$\underline{u[m]} \boxed{1:K} v[n]$$

$$\underline{U(z)} \boxed{1:K} U(z^K)$$

Spectrum: $V(e^{j\omega}) = U(e^{jK\omega})$

Spectrum is horizontally shrunk and replicated K times.

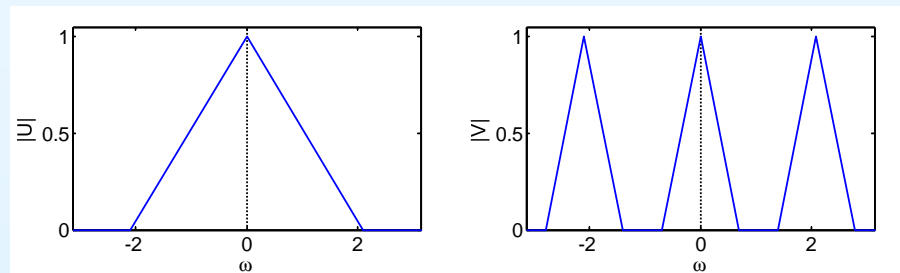
Total **energy** unchanged; **power** (= energy/sample) multiplied by $\frac{1}{K}$

Upsampling normally **followed** by a LP filter to remove images.

Example:

$K = 3$: three images of the original spectrum in all.

Energy unchanged: $\frac{1}{2\pi} \int |U(e^{j\omega})|^2 d\omega = \frac{1}{2\pi} \int |V(e^{j\omega})|^2 d\omega$



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Define $c_K[n] = \delta_{K|n}[n]$

$$\underline{x[n]} \boxed{K:1} \underline{y[m]} \boxed{1:K} \underline{x_K[n]}$$

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Define $c_K[n] = \delta_{K|n}[n] = \frac{1}{K} \sum_{k=0}^{K-1} e^{\frac{j2\pi kn}{K}}$

$$\underline{x[n]} \boxed{K:1} \underline{y[m]} \boxed{1:K} \underline{x_K[n]}$$

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Define $c_K[n] = \delta_{K|n}[n] = \frac{1}{K} \sum_{k=0}^{K-1} e^{j2\pi kn/K}$

Now define $x_K[n] = \begin{cases} x[n] & K \mid n \\ 0 & K \nmid n \end{cases}$

$$\underline{x[n]} \boxed{K:1} \underline{y[m]} \boxed{1:K} \underline{x_K[n]}$$

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Now define $x_K[n] = \begin{cases} x[n] & K | n \\ 0 & K \nmid n \end{cases} = c_K[n]x[n]$

$$\underline{x[n]} \boxed{K:1} \underline{y[m]} \boxed{1:K} \underline{x_K[n]}$$

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$$X_K(z) = \sum_n x_K[n] z^{-n}$$

$$\underline{x[n]} \boxed{K:1} \underline{y[m]} \boxed{1:K} \underline{x_K[n]}$$

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$$\begin{aligned} X_K(z) &= \sum_n x_K[n] z^{-n} = \frac{1}{K} \sum_n \sum_{k=0}^{K-1} e^{\frac{j2\pi kn}{K}} x[n] z^{-n} \\ &= \frac{1}{K} \sum_{k=0}^{K-1} \sum_n x[n] \left(e^{\frac{-j2\pi k}{K}} z \right)^{-n} \end{aligned}$$

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From previous slide:

$$X_K(z) = Y(z^K)$$

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From previous slide:

$$\begin{aligned} X_K(z) &= Y(z^K) \\ \Rightarrow Y(z) &= X_K(z^{\frac{1}{K}}) \end{aligned}$$

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Define $c_K[n] = \delta_{K|n}[n] = \frac{1}{K} \sum_{k=0}^{K-1} e^{j\frac{2\pi kn}{K}}$

$$\underline{x[n]} \boxed{K:1} \underline{y[m]} \boxed{1:K} \underline{x_K[n]}$$

Now define $x_K[n] = \begin{cases} x[n] & K | n \\ 0 & K \nmid n \end{cases} = c_K[n]x[n]$

$$\begin{aligned} X_K(z) &= \sum_n x_K[n] z^{-n} = \frac{1}{K} \sum_n \sum_{k=0}^{K-1} e^{j\frac{2\pi kn}{K}} x[n] z^{-n} \\ &= \frac{1}{K} \sum_{k=0}^{K-1} \sum_n x[n] \left(e^{-j\frac{2\pi k}{K}} z \right)^{-n} = \frac{1}{K} \sum_{k=0}^{K-1} X(e^{-j\frac{2\pi k}{K}} z) \end{aligned}$$

From previous slide:

$$\underline{X(z)} \boxed{K:1} \underline{\frac{1}{K} \sum_{k=0}^{K-1} X(e^{-j\frac{2\pi k}{K}} z^{\frac{1}{K}})}$$

$$\begin{aligned} X_K(z) &= Y(z^K) \\ \Rightarrow Y(z) &= X_K(z^{\frac{1}{K}}) = \frac{1}{K} \sum_{k=0}^{K-1} X(e^{-j\frac{2\pi k}{K}} z^{\frac{1}{K}}) \end{aligned}$$

Downsampled z-transform

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Define $c_K[n] = \delta_{K|n}[n] = \frac{1}{K} \sum_{k=0}^{K-1} e^{\frac{j2\pi kn}{K}}$

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Frequency Spectrum:

$$Y(e^{j\omega}) = \frac{1}{K} \sum_{k=0}^{K-1} X(e^{\frac{j(\omega - 2\pi k)}{K}})$$

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Downsampled z-transform

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Average of K aliased versions, each expanded in ω by a factor of K .

Downsampled z-transform

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Average of K aliased versions, each expanded in ω by a factor of K .

Downsampling is normally **preceded** by a LP filter to prevent aliasing.

Downsampled Spectrum

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$$Y(e^{j\omega}) = \frac{1}{K} \sum_{k=0}^{K-1} X(e^{j(\omega - 2\pi k)/K})$$

$$\underline{x[n]} \boxed{K:1} \underline{y[m]}$$

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Downsampled Spectrum

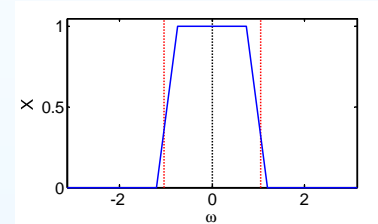
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$$x[n] \xrightarrow{K:1} y[m]$$

Example 1:

$$K = 3$$

Not quite limited to $\pm \frac{\pi}{K}$



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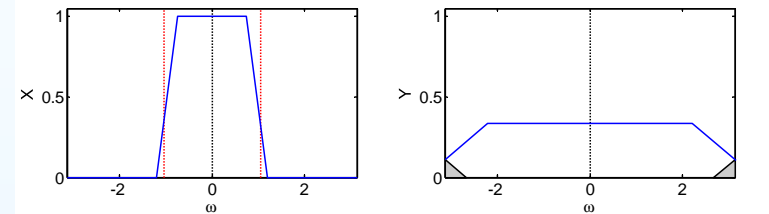
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Shaded region shows aliasing



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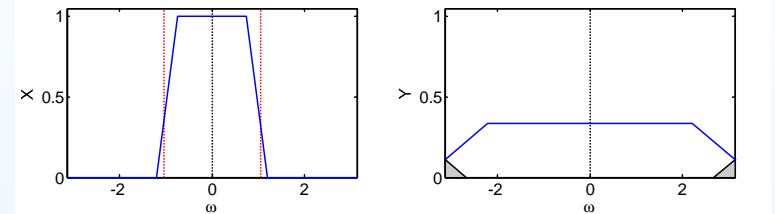
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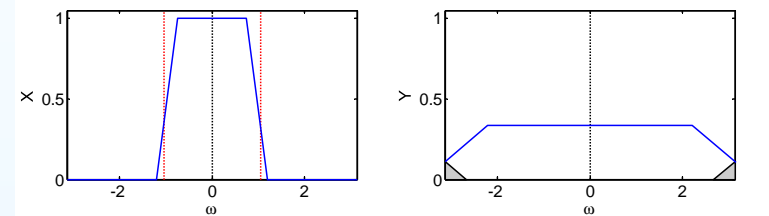
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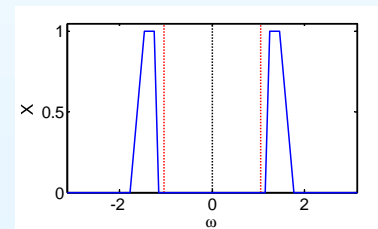
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Example 2:

$$K = 3$$

Energy all in $\frac{\pi}{K} \leq |\omega| < 2\frac{\pi}{K}$



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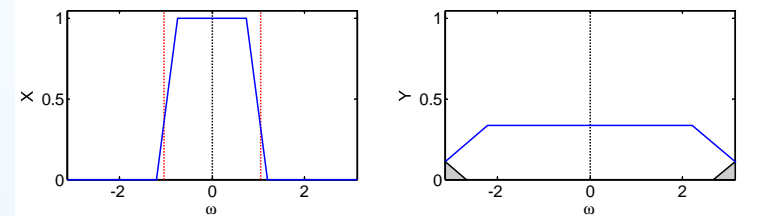
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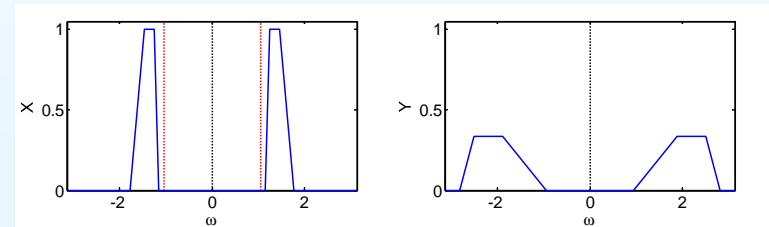


Example 2:

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No aliasing: ☺



Downsampled Spectrum

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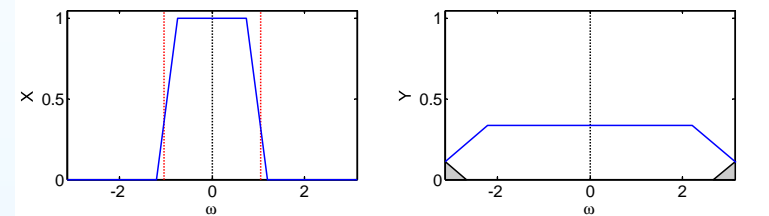
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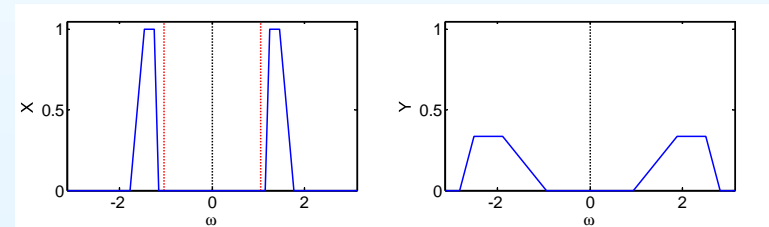


Example 2:

$$K = 3$$

Energy all in $\frac{\pi}{K} \leq |\omega| < 2\frac{\pi}{K}$

No aliasing: 😊



No aliasing: All energy is in $r\frac{\pi}{K} \leq |\omega| < (r+1)\frac{\pi}{K}$ for some integer r

Downsampled Spectrum

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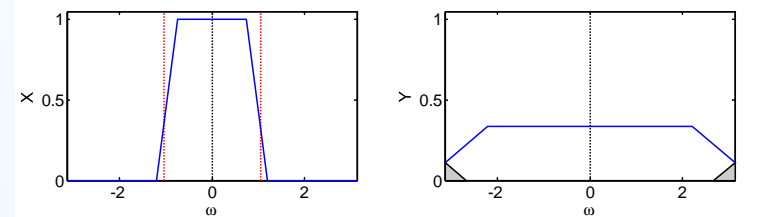
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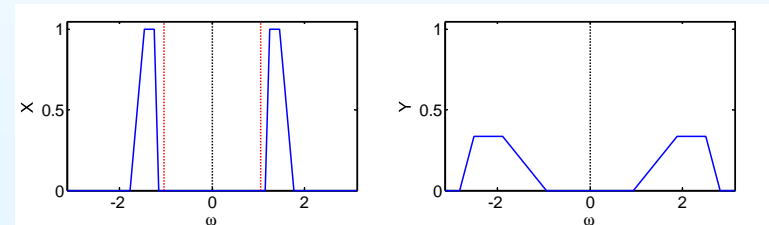


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No aliasing: All energy is in $r\frac{\pi}{K} \leq |\omega| < (r+1)\frac{\pi}{K}$ for some integer r

Normal case ($r = 0$): All energy in $0 \leq |\omega| \leq \frac{\pi}{K}$

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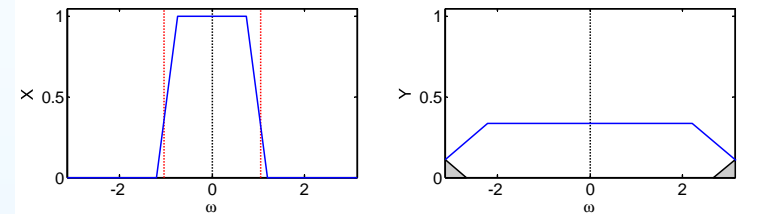
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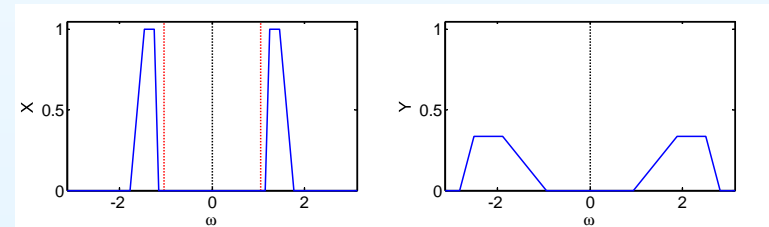


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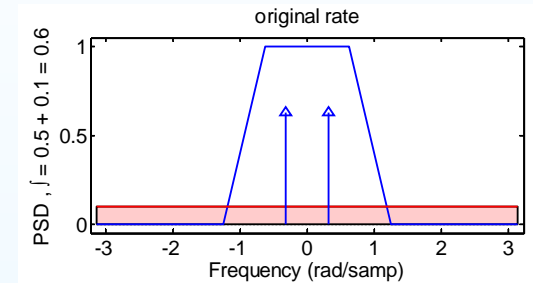
Downsampling: Total energy multiplied by $\frac{1}{K}$ if there is no aliasing
Average power (= energy/sample) \approx unchanged

Power Spectral Density

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Example: Signal in $\omega \in \pm 0.4\pi$ + Tones @ $\omega = \pm 0.1\pi$ + White noise



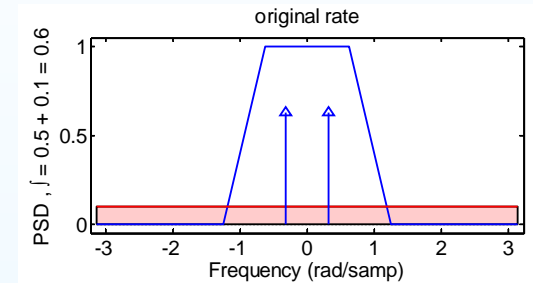
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Example: Signal in $\omega \in \pm 0.4\pi$ + Tones @ $\omega = \pm 0.1\pi$ + White noise

Power = Energy/sample = Average PSD



Power Spectral Density

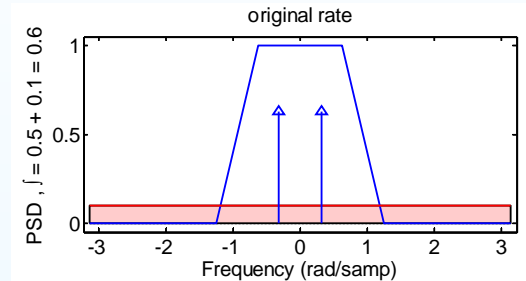
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Power = Energy/sample = Average PSD

$$= \frac{1}{2\pi} \int_{-\pi}^{\pi} \text{PSD}(\omega) d\omega$$



Power Spectral Density

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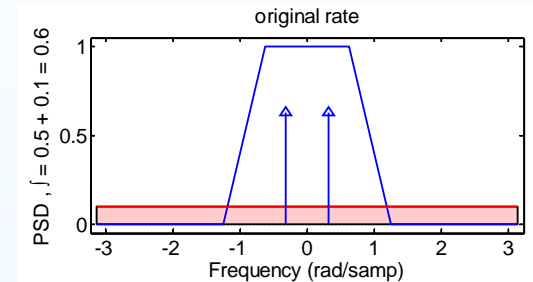
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Signal Power = 0.5, Noise Power = 0.1



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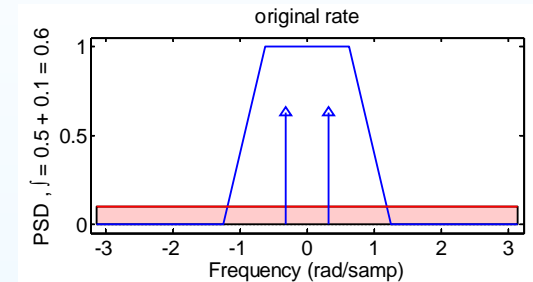
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Signal Power = 0.5, Noise Power = 0.1

Total Power = Energy/sample = 0.6



Power Spectral Density

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Example: Signal in $\omega \in \pm 0.4\pi$ + Tones @ $\omega = \pm 0.1\pi$ + White noise

Power = Energy/sample = Average PSD

$$= \frac{1}{2\pi} \int_{-\pi}^{\pi} \text{PSD}(\omega) d\omega$$

Signal Power = 0.5, Noise Power = 0.1

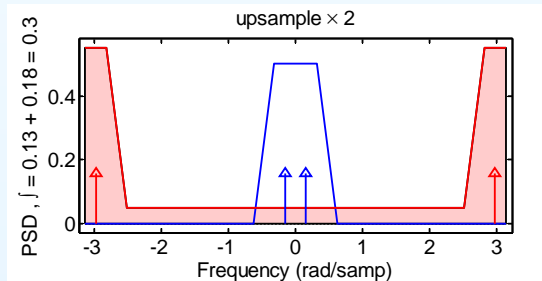
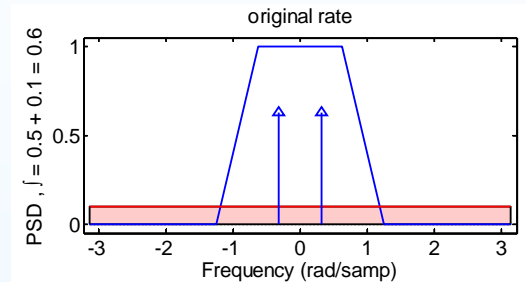
Total Power = Energy/sample = 0.6

Upsampling:

Same energy

per second

\Rightarrow Power is $\div K$



Power Spectral Density

11: Multirate Systems

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Example: Signal in $\omega \in \pm 0.4\pi$ + Tones @ $\omega = \pm 0.1\pi$ + White noise

Power = Energy/sample = Average PSD

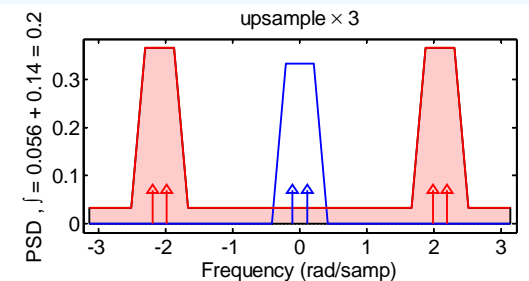
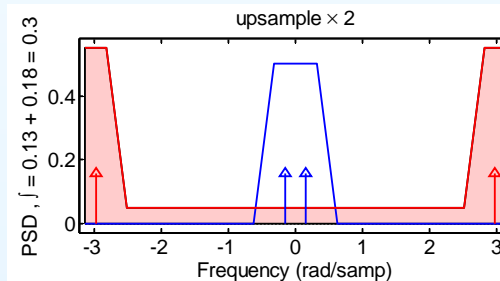
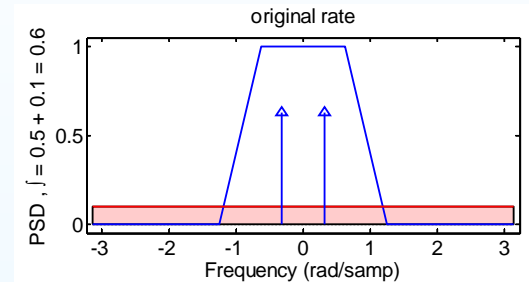
$$= \frac{1}{2\pi} \int_{-\pi}^{\pi} \text{PSD}(\omega) d\omega$$

Signal Power = 0.5, Noise Power = 0.1

Total Power = Energy/sample = 0.6

Upsampling:

Same energy
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Power Spectral Density

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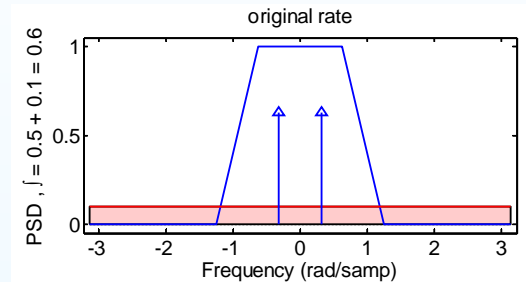
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Power = Energy/sample = Average PSD

$$= \frac{1}{2\pi} \int_{-\pi}^{\pi} \text{PSD}(\omega) d\omega$$

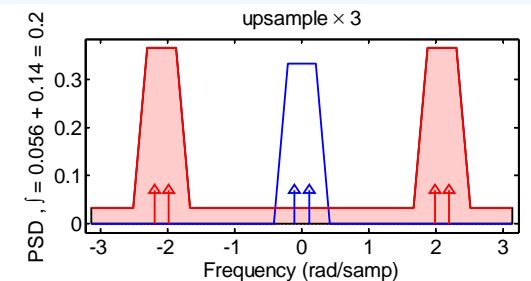
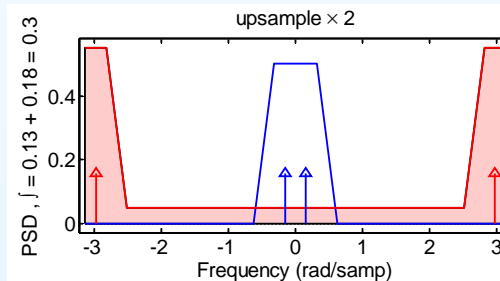
Signal Power = 0.5, Noise Power = 0.1

Total Power = Energy/sample = 0.6



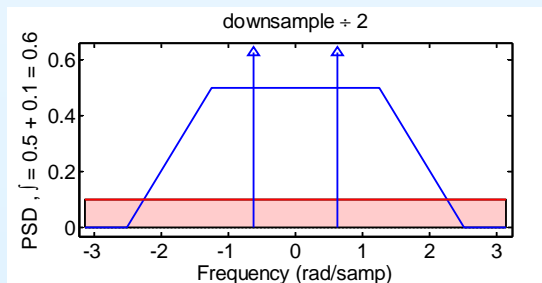
Upsampling:

Same energy
per second
 \Rightarrow Power is $\div K$



Downsampling:

Average power
is unchanged.



Power Spectral Density

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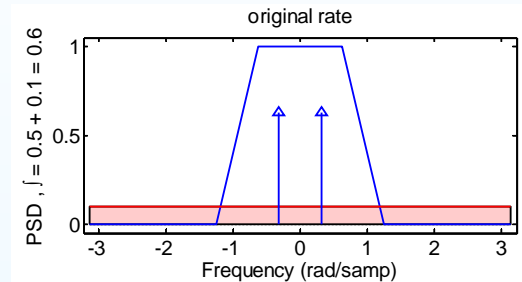
Example: Signal in $\omega \in \pm 0.4\pi$ + Tones @ $\omega = \pm 0.1\pi$ + White noise

Power = Energy/sample = Average PSD

$$= \frac{1}{2\pi} \int_{-\pi}^{\pi} \text{PSD}(\omega) d\omega$$

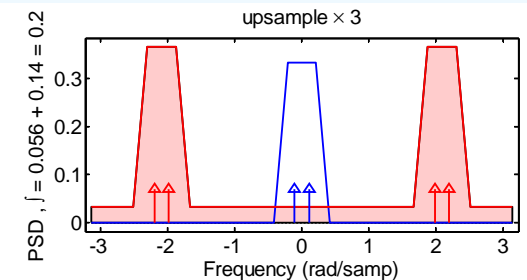
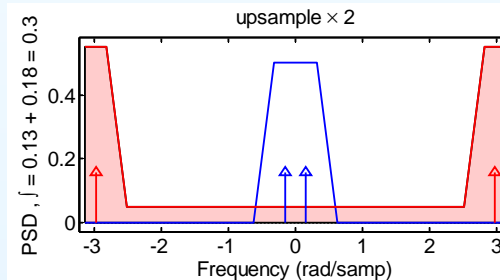
Signal Power = 0.5, Noise Power = 0.1

Total Power = Energy/sample = 0.6



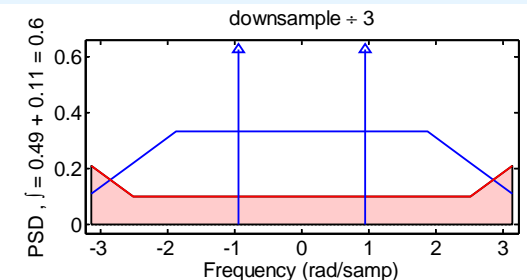
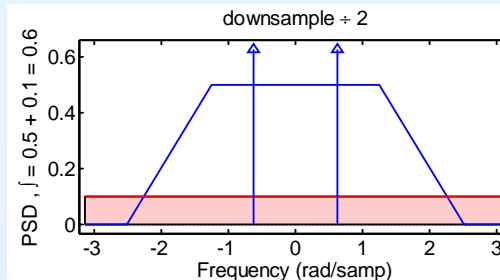
Upsampling:

Same energy
per second
 \Rightarrow Power is $\div K$



Downsampling:

Average power
is unchanged.
 \exists aliasing in
the $\div 3$ case.

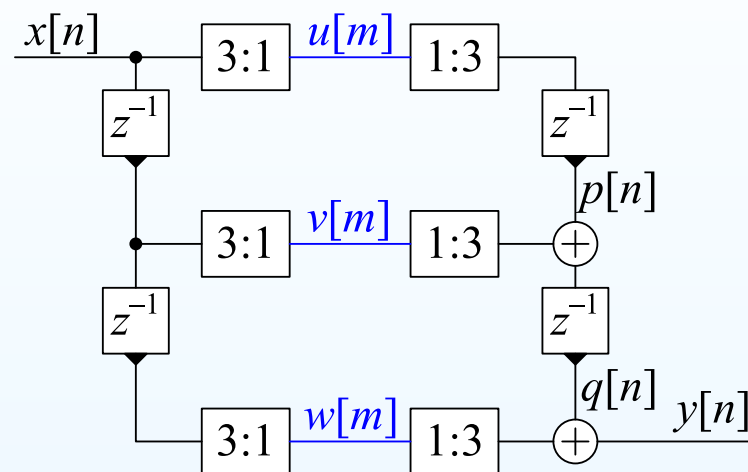


Perfect Reconstruction

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$x[n]$ cdefghijklmn

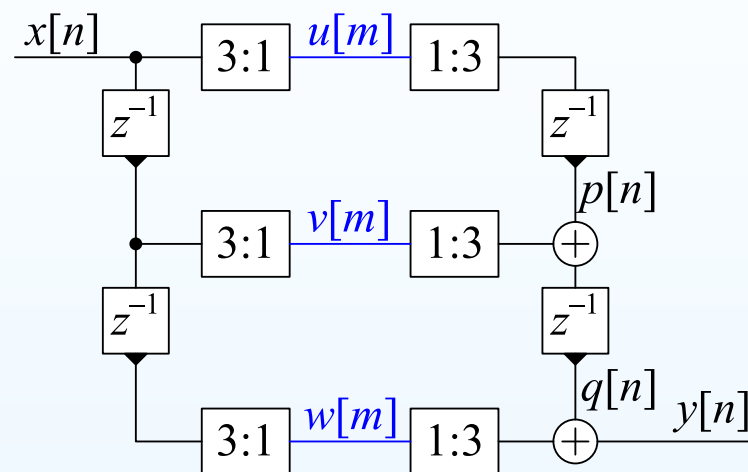


Perfect Reconstruction

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$x[n]$ c d e f g h i j k l m n
 $u[m]$ c f i l

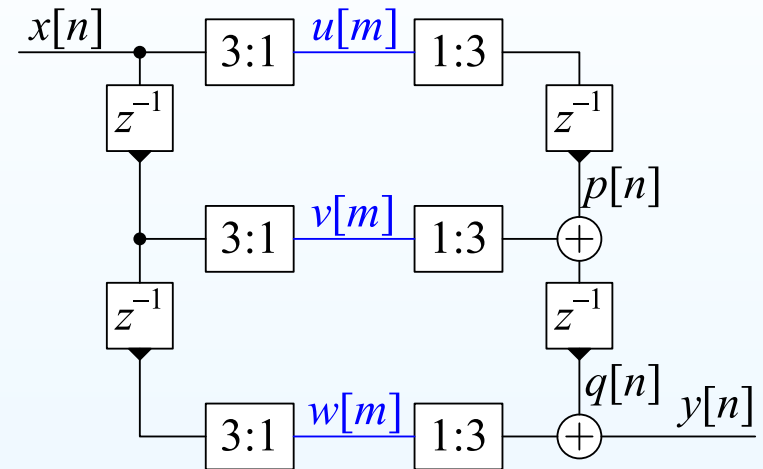


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$x[n]$	c d e f g h i j k l m n
$u[m]$	c f i l
$p[n]$	-c--f--i--l

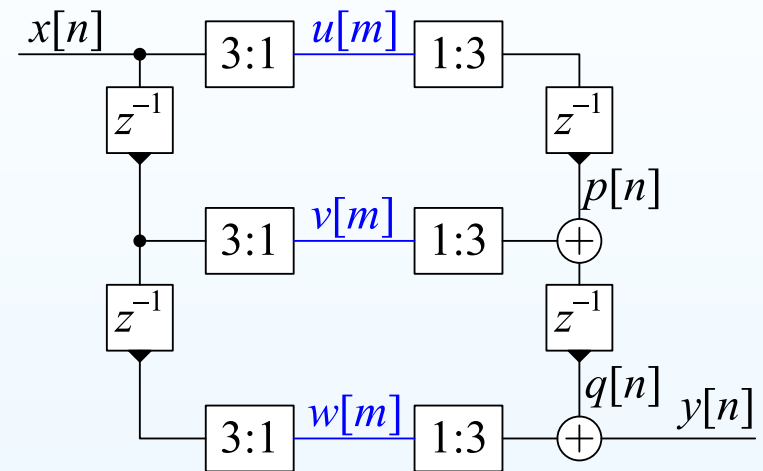


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$x[n]$	c d e f g h i j k l m n
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$v[m]$	b e h k

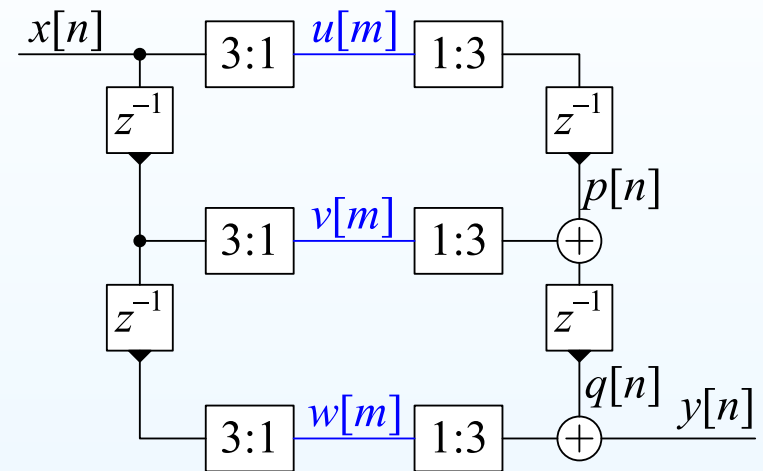


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$x[n]$	c d e f g h i j k l m n
$u[m]$	c f i l
$p[n]$	-c--f--i--l
$v[m]$	b e h k
$q[n]$	-b c - e f - h i - k l

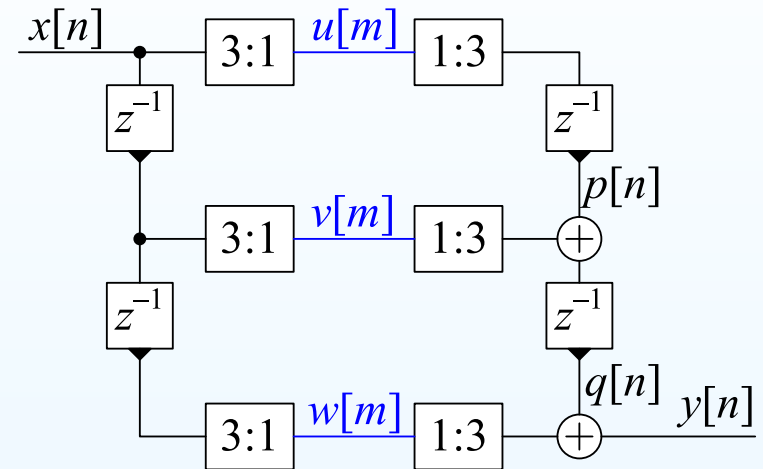


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$u[m]$	c f i l
$p[n]$	-c--f--i--l
$v[m]$	b e h k
$q[n]$	-bc-ef-hi-kl
$w[m]$	a d g j

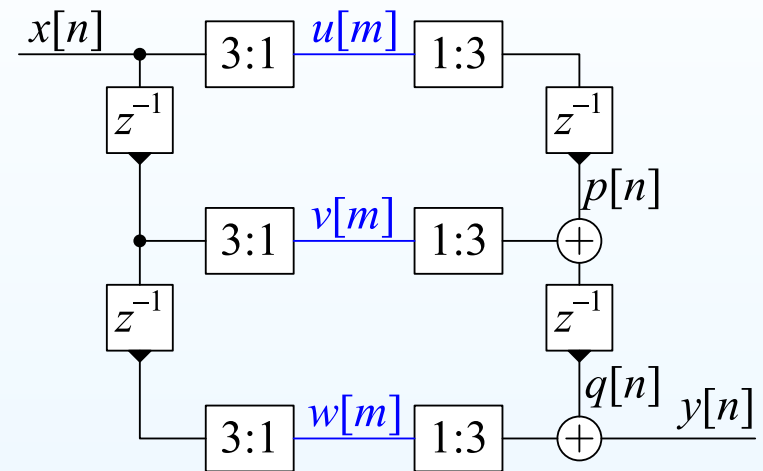


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$x[n]$	c d e f g h i j k l m n
$u[m]$	c f i l
$p[n]$	- c - - f - - i - - l
$v[m]$	b e h k
$q[n]$	- b c - e f - h i - k l
$w[m]$	a d g j
$y[n]$	a b c d e f g h i j k l

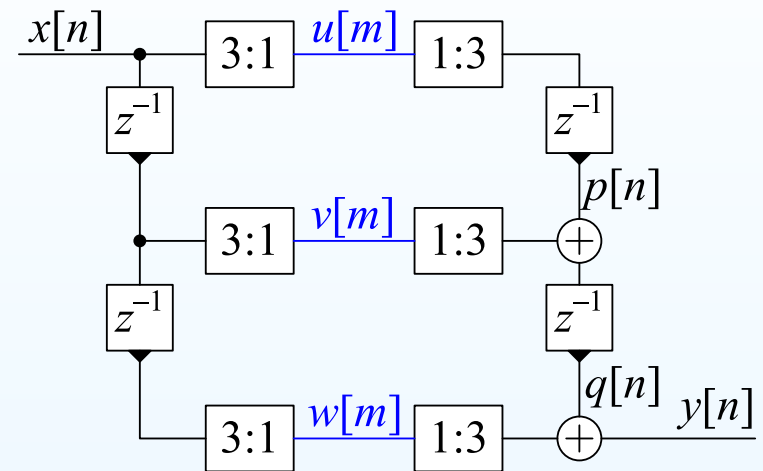


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$v[m]$	b e h k
$q[n]$	-bc-ef-hi-kl
$w[m]$	a d g j
$y[n]$	a b c d e f g h i j k l



Input sequence $x[n]$ is split into three streams at $\frac{1}{3}$ the sample rate:

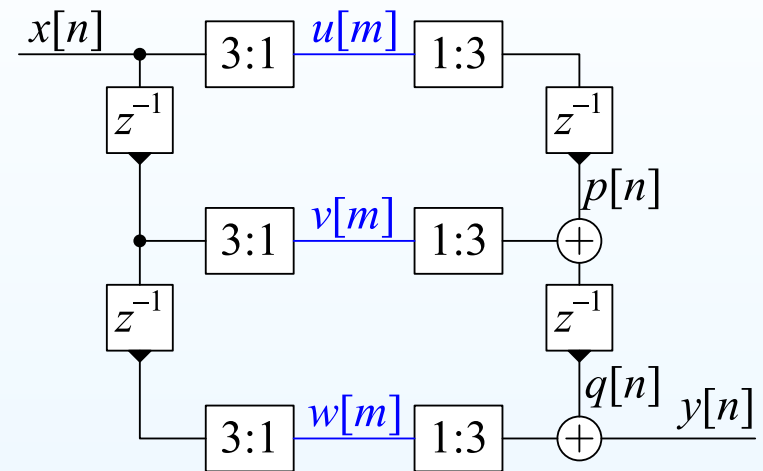
$$u[m] = x[3m], v[m] = x[3m - 1], w[m] = x[3m - 2]$$

Perfect Reconstruction

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$x[n]$	c d e f g h i j k l m n
$u[m]$	c f i l
$p[n]$	- c - - f - - i - - l
$v[m]$	b e h k
$q[n]$	- b c - e f - h i - k l
$w[m]$	a d g j
$y[n]$	a b c d e f g h i j k l



Input sequence $x[n]$ is split into three streams at $\frac{1}{3}$ the sample rate:

$$u[m] = x[3m], v[m] = x[3m - 1], w[m] = x[3m - 2]$$

Following upsampling, the streams are aligned by the delays and then added to give:

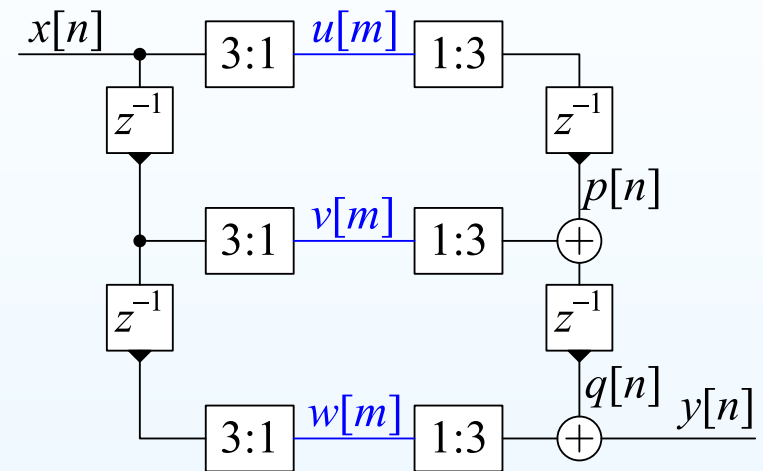
$$y[n] = x[n - 2]$$

Perfect Reconstruction

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$x[n]$	c d e f g h i j k l m n
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$p[n]$	- c - - f - - i - - l
$v[m]$	b e h k
$q[n]$	- b c - e f - h i - k l
$w[m]$	a d g j
$y[n]$	a b c d e f g h i j k l



Input sequence $x[n]$ is split into three streams at $\frac{1}{3}$ the sample rate:

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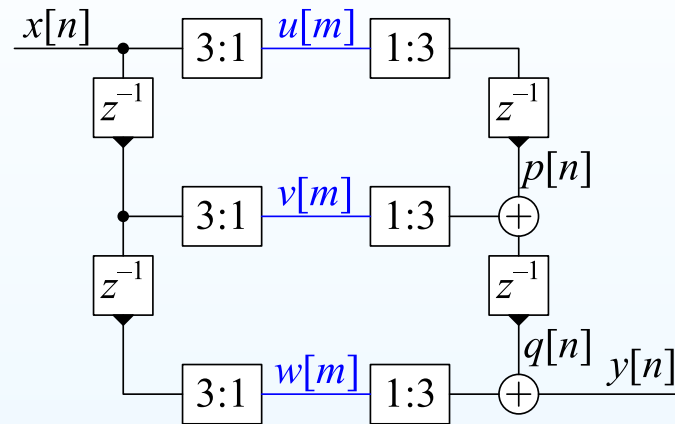
$$y[n] = x[n - 2]$$

Perfect Reconstruction: output is a delayed scaled replica of the input

Commutators

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$x[n]$ cdefghijklmn

$u[m]$ c f i l

$v[m]$ b e h k

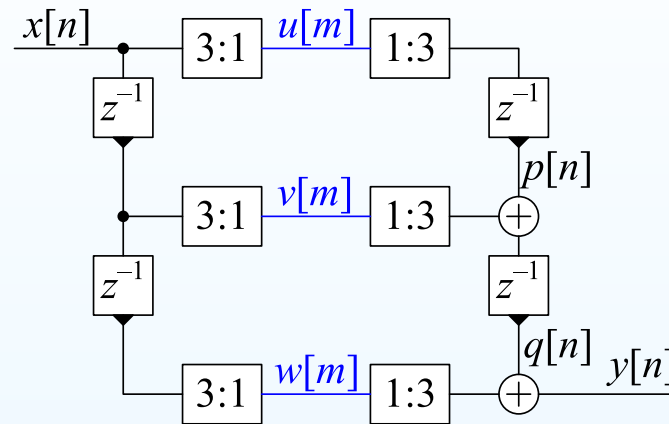
$w[m]$ a d g j

$y[n]$ abcdefghijkl

Commutators

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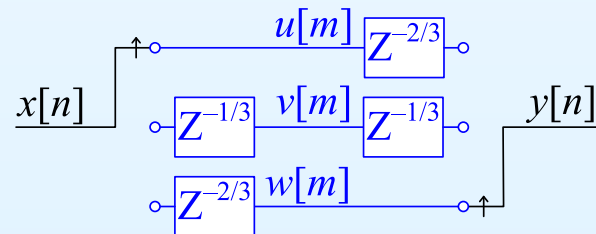
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$x[n]$	c d e f g h i j k l m n			
$u[m]$	c	f	i	l
$v[m]$	b	e	h	k
$w[m]$	a	d	g	j

$y[n]$ a b c d e f g h i j k l

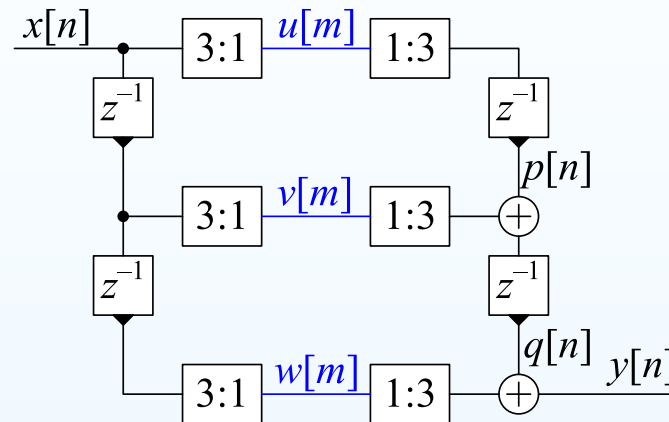
The combination of delays and downsamplers can be regarded as a commutator that distributes values in sequence to u , w and v .



Commutators

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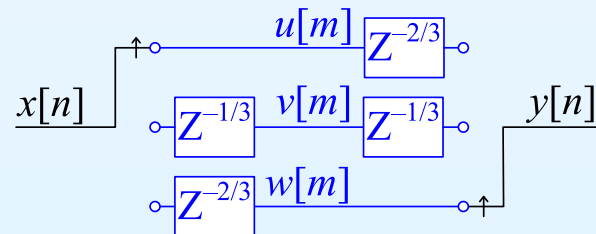
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$x[n]$	c d e f g h i j k l m n			
$u[m]$	c	f	i	l
$v[m]$	b	e	h	k
$w[m]$	a	d	g	j

$y[n]$ a b c d e f g h i j k l

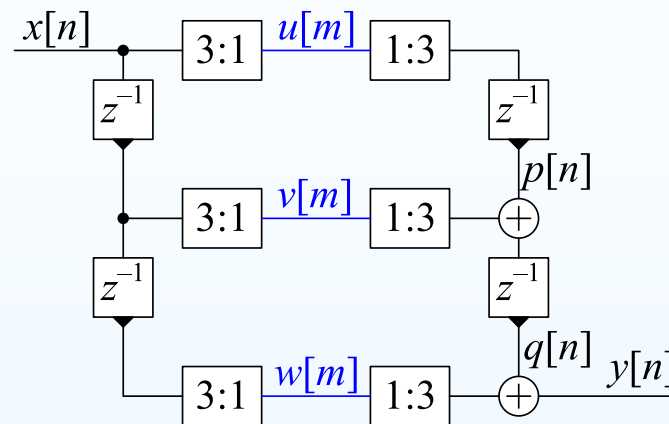
The combination of delays and downsamplers can be regarded as a **commutator** that **distributes values in sequence** to u , w and v . Fractional delays, $z^{-\frac{1}{3}}$ and $z^{-\frac{2}{3}}$ are needed to synchronize the streams.



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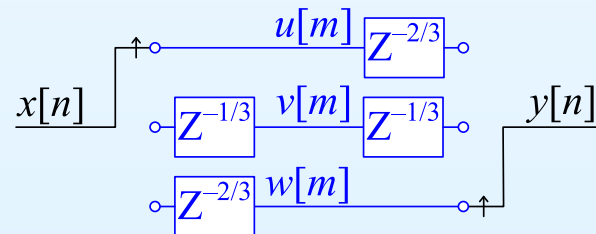


$x[n]$	c d e f g h i j k l m n			
$u[m]$	c	f	i	l
$v[m]$	b	e	h	k
$w[m]$	a	d	g	j

$y[n]$ a b c d e f g h i j k l

The combination of delays and downsamplers can be regarded as a **commutator** that **distributes values in sequence** to u , w and v .

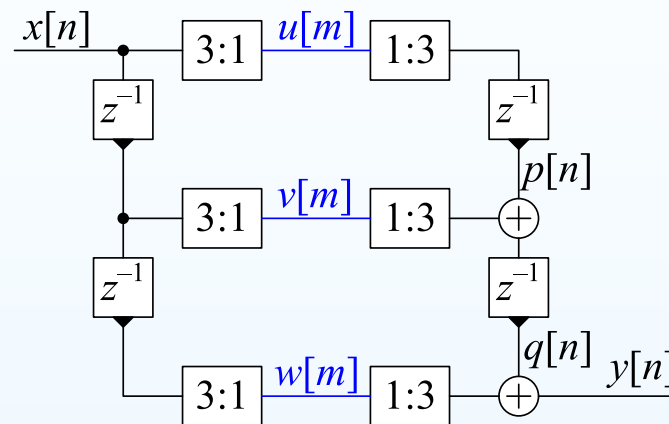
Fractional delays, $z^{-\frac{1}{3}}$ and $z^{-\frac{2}{3}}$ are needed to synchronize the streams. The **output commutator** takes values from the streams in sequence.



Commutators

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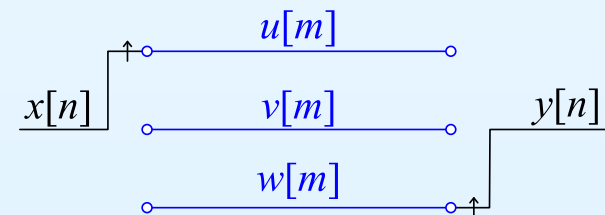
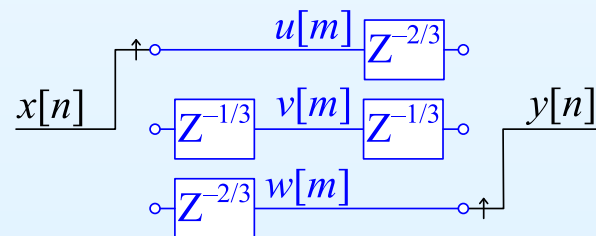
$x[n]$	c d e f g h i j k l m n			
$u[m]$	c	f	i	l
$v[m]$	b	e	h	k
$w[m]$	a	d	g	j
$v[m + \frac{1}{3}]$		e	h	k l
$w[m + \frac{2}{3}]$		d	g	j m
$y[n]$	a b c d e f g h i j k l			

The combination of delays and downsamplers can be regarded as a **commutator** that **distributes values in sequence** to u , w and v .

Fractional delays, $z^{-\frac{1}{3}}$ and $z^{-\frac{2}{3}}$ are needed to synchronize the streams.

The **output commutator** takes values from the streams in sequence.

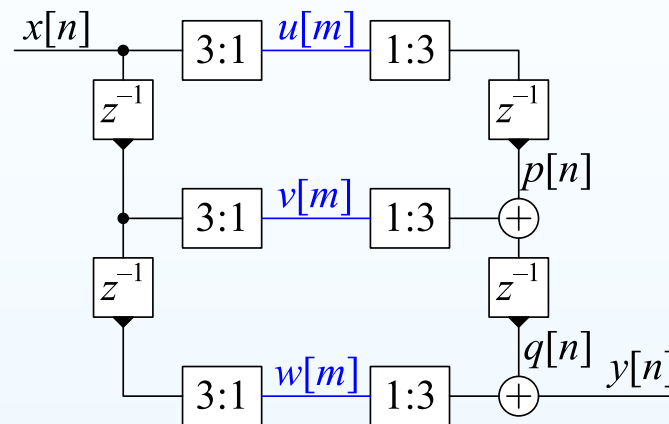
For clarity, we omit the fractional delays and regard each terminal, \circ , as holding its value until needed.



Commutators

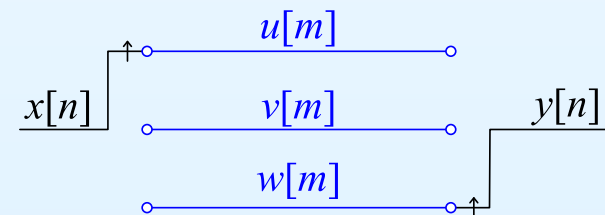
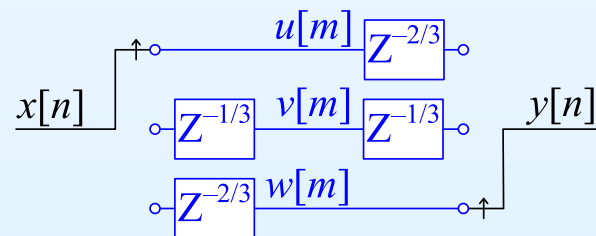
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$x[n]$	c d e f g h i j k l m n			
$u[m]$	c	f	i	l
$v[m]$	b	e	h	k
$w[m]$	a	d	g	j
$v[m + \frac{1}{3}]$		e	h	k l
$w[m + \frac{2}{3}]$		d	g	j m
$y[n]$	a b c d e f g h i j k l			

The combination of delays and downsamplers can be regarded as a **commutator** that **distributes values in sequence** to u , w and v . Fractional delays, $z^{-\frac{1}{3}}$ and $z^{-\frac{2}{3}}$ are needed to synchronize the streams. The **output commutator** takes values from the streams in sequence. For clarity, we omit the fractional delays and regard each terminal, \circ , as holding its value until needed. **Initial commutator position has zero delay.**



The commutator direction is **against the direction** of the z^{-1} delays.

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- Multirate Building Blocks

- **Upsample:** $X(z) \xrightarrow{1:K} X(z^K)$

Invertible, Inserts $K - 1$ zeros between samples

Shrinks and replicates spectrum

Follow by LP filter to remove images

Summary

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- Multirate Building Blocks

- **Upsample:** $X(z) \xrightarrow{1:K} X(z^K)$

Invertible, Inserts $K - 1$ zeros between samples

Shrinks and replicates spectrum

Follow by LP filter to remove images

- **Downsample:** $X(z) \xrightarrow{K:1} \frac{1}{K} \sum_{k=0}^{K-1} X(e^{-j\frac{2\pi k}{K}} z^{\frac{1}{K}})$

Destroys information and energy, keeps every K^{th} sample

Expands and aliases spectrum

Precede by LP filter to prevent aliases

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- Multirate Building Blocks

- **Upsample:** $X(z) \xrightarrow{1:K} X(z^K)$

Invertible, Inserts $K - 1$ zeros between samples

Shrinks and replicates spectrum

Follow by LP filter to remove images

- **Downsample:** $X(z) \xrightarrow{K:1} \frac{1}{K} \sum_{k=0}^{K-1} X(e^{-j\frac{2\pi k}{K}} z^{\frac{1}{K}})$

Destroys information and energy, keeps every K^{th} sample

Expands and aliases spectrum

Precede by LP filter to prevent aliases

- Equivalences

- Noble Identities: $H(z) \longleftrightarrow H(z^K)$
- Interchange $P : 1$ and $1 : Q$ iff P and Q coprime

Summary

11: Multirate Systems

- Multirate Systems
- Building blocks
- Resampling Cascades
- Noble Identities
- Noble Identities Proof
- Upsampled z-transform
- Downsampled z-transform
- Downsampled Spectrum
- Power Spectral Density
- Perfect Reconstruction
- Commutators
- Summary
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For further details see Mitra: 13.

MATLAB routines

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resample

change sampling rate