# Image Classification of Omnivores and Herbivores using the CIFAR-100 Dataset

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This project aims to classify images into two categories: omnivores and herbivores, utilizing the CIFAR-100 dataset. The CIFAR-100 dataset contains 60,000 32x32 color images in 100 classes, with each class containing 600 images. Here, we focus on distinguishing between animals that primarily consume plants (herbivores) and those that consume both plants and animals (omnivores). Through deep learning techniques and convolutional neural networks (CNNs), we seek to build a model capable of accurate classification among diverse species.

### Import modules

```
import tensorflow as tf
from tensorflow.keras.layers import Conv2D, MaxPooling2D, Dropout, Flatten, Dense, BatchNormalization
from tensorflow.keras.utils import to_categorical
from tensorflow.keras import layers
import matplotlib.pyplot as plt
import seaborn as sns
import numpy as np
import pandas as pd
```

### Import the dataset

Downloading data from https://www.cs.toronto.edu/~kriz/cifar-100-python.tar.gz 169001437/169001437 [===========] - 4s 0us/step

### **Data Preprocessing**

We will be extracting only the large omnivores and herbivores coarse class from the dataset along with their fine classes.

```
In []: # Extracting images from coarse dataset

coarse_train_index = (y_coarse_train == 11).reshape(X_coarse_train.shape[0]) # Returns the indices where y_coarse_train = X_coarse_train[coarse_train_index] # Extracts the images from X_train with indices that match the class coarse_test_index = (y_coarse_test == 11).reshape(X_coarse_test.shape[0])
X_test = X_coarse_test[coarse_test_index]
```

Since Tensorflow returns CIFAR-100 as a tuple of NumPy arrays, we are able to index the X\_coarse\_train and X\_coarse\_test arrays with a boolean mask. coarse\_train\_index amd coarse\_test\_index creates a boolean mask where y\_coarse\_train == 11 . It checks if each element in the array y\_coarse\_train is equal to 11, resulting in a boolean array.

reshape(X\_coarse\_train.shape[0]) reshapes the boolean array to match the shape of X\_coarse\_train, ensuring compatibility for indexing.

```
labels_of_herbi_omni.append(i)

Fine labels of Herbivores and Omnivores:
15: camel
19: cattle
21: chimpanzee
31: elephant
```

38: kangaroo

From the documentation of CIFAR-100, we were able to gather all possible fine classes of the entire dataset. The index of fine labels we needed were based on their position when all fine labels are arranged in alphabetical order. Here, we just extracted their indices and stored them in a dictionary called <code>label\_mapping</code>. As for the labels themselves, they are stored in <code>labels\_herbi\_omni</code> for ease of use later in showing the images and their corresponding label.

```
In []: # Extracting fine labels of images from coarse indices
    fine_train_index = np.isin(y_fine_train, labels_of_herbi_omni).reshape(X_fine_train.shape[0])
    y_train = y_fine_train[fine_train_index]
    fine_test_index = np.isin(y_fine_test, labels_of_herbi_omni).reshape(X_fine_test.shape[0])
    y_test = y_fine_test[fine_test_index]
```

Since we're checking for more than 1 value for fine labels unlike coarse labels, we made use of the NumPy function <code>isin</code>. This returns a NumPy array of boolean values where it returns <code>True</code> if it is found in the <code>labels\_of\_herbi\_omni</code> list. This in turn gives us as NumPy array back in <code>y test</code> and <code>y train</code> with our intended fine labels.

```
In []: # Convert fine labels from their original numbers to arbitrary categorical numbers from 0 - 4

y_train = np.array([label_mapping[label[0]] for label in y_train]).reshape(-1, 1)

y_test = np.array([label_mapping[label[0]] for label in y_test]).reshape(-1, 1)

# Convert training and test labels to categorical variables. This does one-hot encoding

y_train = tf.keras.utils.to_categorical(y_train, num_classes=5)

y_test = tf.keras.utils.to_categorical(y_test, num_classes=5)
```

As shown in an earlier cell, the indices of the fine labels were all over the place and not in order. We converted these to fine labels' indices from 0 - 4 in order to make it easier to identify from the labels\_of\_herbi\_omni list. These labels also went through one-hot encoding, which is a technique used in machine learning and data processing to represent categorical variables as binary vectors.

One advantage of one-hot encoding is its facilitation of effective model learning. With each input value represented as a binary vector, containing only one '1' and the rest '0's, the model can readily discern between different inputs. This distinction aids in learning the relationship between input values and target outputs, enhancing the model's effectiveness and ease of learning (Crêteur, 2022).

```
In []: def count_samples():
    # Count samples per class in training set
    train_counts = {label: np.sum(np.argmax(y_train, axis=1) == label) for label in range(y_train.shape[1])}

# Count samples per class in testing set
    test_counts = {label: np.sum(np.argmax(y_test, axis=1) == label) for label in range(y_test.shape[1])}

print("\nNumber of samples per class in training set: ")
    for label, count in train_counts.items():
        print(f"{herbi_omni[label]} ({label}): {count}")

print("\nNumber of samples per class in testing set: ")
    for label, count in test_counts.items():
        print(f"{herbi_omni[label]} ({label}): {count}")

print(f"\nTotal number of training samples: {sum(train_counts.values())}")

print(f"Total number of test samples: {sum(test_counts.values())}")

count_samples()
```

```
Number of samples per class in training set:
camel (0): 500
cattle (1): 500
chimpanzee (2): 500
elephant (3): 500
kangaroo (4): 500

Number of samples per class in testing set:
camel (0): 100
cattle (1): 100
chimpanzee (2): 100
elephant (3): 100
kangaroo (4): 100

Total number of training samples: 2500
Total number of test samples: 500
```

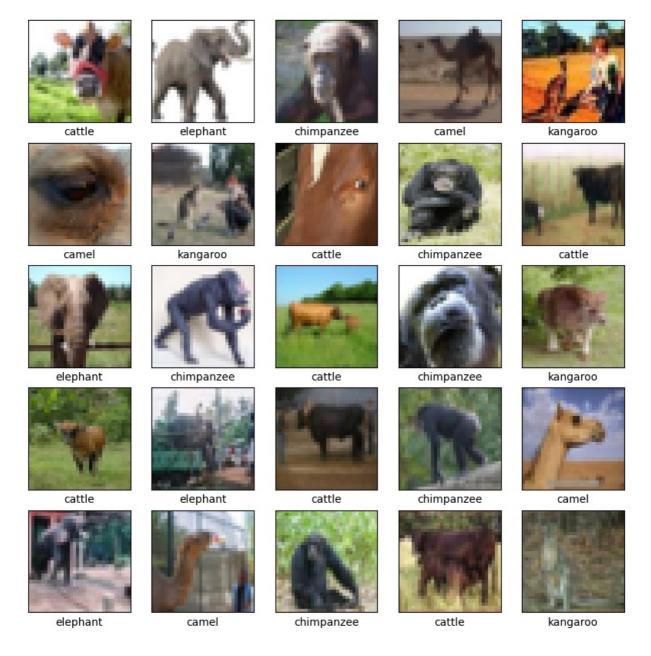
In order to verify if the samples were extracted correctly, the samples were counted and they matched the 500 samples per class in training and 100 samples per class in test specification of the CIFAR-100 dataset.

```
In []: # Normalize the pixels of the images from 0 to 1

X_train = X_train / 255
X_test = X_test / 255

In []: # Display 25 sample images with labels

def view_samples():
    plt.figure(figsize=(10,10))
    for i in range(25):
        plt.subplot(5,5,i+1)
        plt.xticks([])
        plt.yticks([])
        plt.grid(False)
        plt.imshow(X_train[i], cmap=plt.cm.binary)
        plt.xlabel(herbi_omni[np.argmax(y_train[i])])
        plt.show()
```



# **Data Augmentation**

The dataset is too small for accurate image processing. For a dataset to be adequate in size for image classification, around 1000 images per class is needed (Picsellia, 2022).

According to Sajid (2022), image data augmentation involves creating new transformed versions of images from an existing dataset to enhance its diversity. Images, essentially 2-dimensional arrays of pixel values, can be manipulated in various ways to generate augmented versions. These augmented images closely resemble those in the original dataset but provide additional information, aiding the machine learning algorithm's generalization capabilities.

```
In [ ]: data augmentation pipeline = tf.keras.Sequential([
          layers.RandomFlip("horizontal_and_vertical"),
          layers.RandomRotation(0.2),
          tf.keras.layers.RandomZoom(0.3),
          tf.keras.layers.RandomContrast(0.3)
        ])
        # Define the number of times to augment each image
        num_augmentations = 2
        # Initialize lists to store augmented images and labels
        augmented_training_set = []
        augmented_labels = []
        # Apply data augmentation to each image multiple times
        for image, label in zip(X train, y train):
                  in range(num augmentations):
                augmented image = data augmentation pipeline(image) # Apply data augmentation
                augmented_training_set.append(augmented_image)
                augmented labels.append(label)
        augmented_training_set = np.array(augmented_training_set)
```

```
augmented_labels = np.array(augmented_labels)

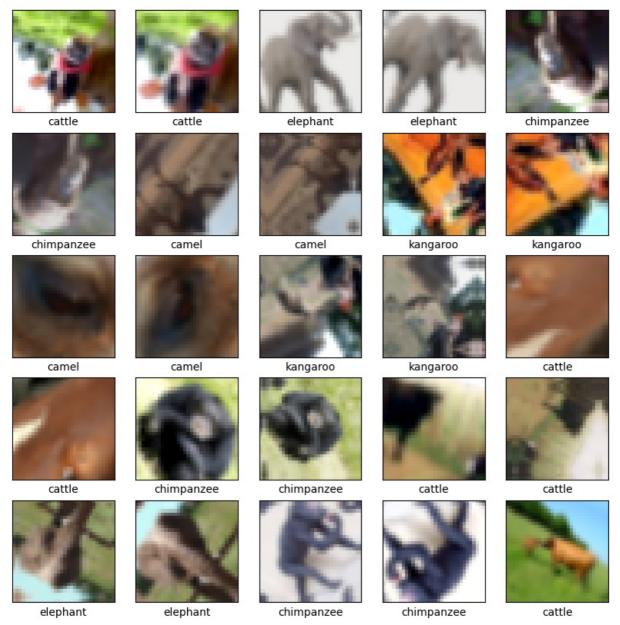
In [ ]: augmented_training_set.shape
```

```
Out[]: (5000, 32, 32, 3)
```

In order to facilitate data augmentation, we created a pipeline that applied RandomFlip, RandomRotation, RandomZoom, and RandomContrast to each image in the training set. For each image, 2 augmentations were also created. This led to the result of 5000 images just from augmentation alone.

```
In []: plt.figure(figsize=(10,10))
    for i in range(25):
        plt.subplot(5,5,i+1)
        plt.xticks([])
        plt.yticks([])
        plt.grid(False)
        plt.imshow(augmented_training_set[i], cmap=plt.cm.binary)
        plt.xlabel(herbi_omni[np.argmax(augmented_labels[i])])
plt.show()
```

WARNING:matplotlib.image:Clipping input data to the valid range for imshow with RGB data ([0..1] for floats or [ 0..255] for integers). WARNING:matplotlib.image:Clipping input data to the valid range for imshow with RGB data ([0..1] for floats or [ 0...255] for integers). WARNING:matplotlib.image:Clipping input data to the valid range for imshow with RGB data ([0..1] for floats or [ 0..255] for integers). WARNING:matplotlib.image:Clipping input data to the valid range for imshow with RGB data ([0..1] for floats or [ 0..255] for integers). WARNING:matplotlib.image:Clipping input data to the valid range for imshow with RGB data ([0..1] for floats or [ 0..255] for integers). WARNING: matplotlib.image: Clipping input data to the valid range for imshow with RGB data ([0..1] for floats or [0..255] for integers). WARNING: matplotlib.image: Clipping input data to the valid range for imshow with RGB data ([0..1] for floats or [0..255] for integers). WARNING: matplotlib.image: Clipping input data to the valid range for imshow with RGB data ([0..1] for floats or [0..255] for integers). WARNING:matplotlib.image:Clipping input data to the valid range for imshow with RGB data ([0..1] for floats or [ 0..255] for integers). WARNING:matplotlib.image:Clipping input data to the valid range for imshow with RGB data ([0..1] for floats or [ 0..255] for integers). WARNING:matplotlib.image:Clipping input data to the valid range for imshow with RGB data ([0..1] for floats or [ 0..255] for integers).



```
In []: # Merge original and augmented dataset, and shuffle to ensure randomness
X_train = np.concatenate((X_train, augmented_training_set), axis=0)
y_train = np.concatenate((y_train, augmented_labels), axis=0)

# Shuffle the merged dataset to maintain randomness
shuffle_indices = np.random.permutation(len(X_train))
X_train = X_train[shuffle_indices]
y_train = y_train[shuffle_indices]
view_samples()
```

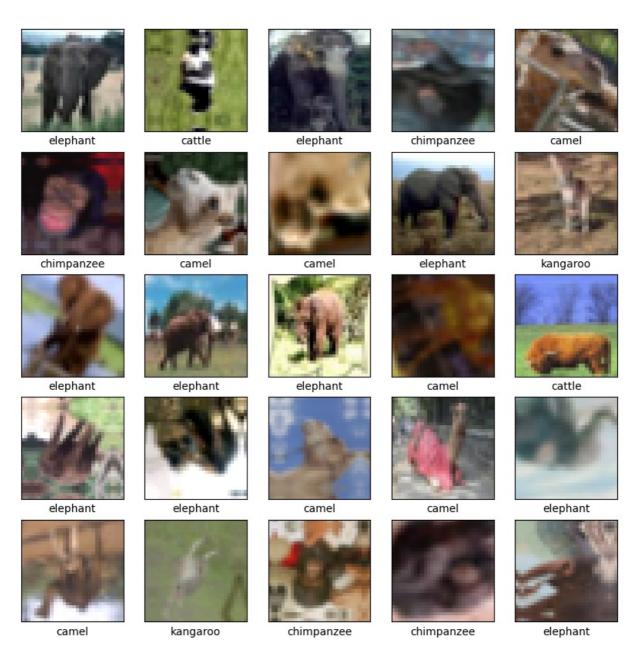
```
WARNING:matplotlib.image:Clipping input data to the valid range for imshow with RGB data ([0..1] for floats or [0..255] for integers).

WARNING:matplotlib.image:Clipping input data to the valid range for imshow with RGB data ([0..1] for floats or [0..255] for integers).

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WARNING:matplotlib.image:Clipping input data to the valid range for imshow with RGB data ([0..1] for floats or [0..255] for integers).
```



In this step, the augmented training set was merged to the original training set, and were also shuffled. Shuffling data is a crucial preprocessing method used to enhance model learning. It helps overcome problems associated with sequential patterns in training samples, reducing the risk of overfitting. Additionally, it addresses imbalances or differences in the distribution of objects or images between training and validation sets (Dupont, 2023).

```
Number of samples per class in training set:
camel (0): 1500
cattle (1): 1500
chimpanzee (2): 1500
elephant (3): 1500
kangaroo (4): 1500

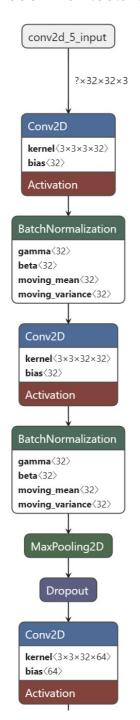
Number of samples per class in testing set:
camel (0): 100
cattle (1): 100
chimpanzee (2): 100
elephant (3): 100
kangaroo (4): 100

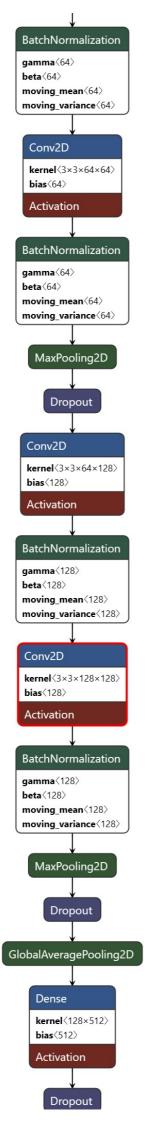
Total number of training samples: 7500
Total number of test samples: 500
```

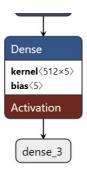
We have now satisfied the minimum 1000 as cited earlier. The dataset has now been expanded to 1500 images per class on training with a total of 7500 images for training alone. However, the test set was untouched. The test set should not be augmented because its purpose is to evaluate the model's performance on unseen data that reflects real-world scenarios. Augmenting the test set would introduce artificial variations that the model has not been trained to handle, thereby invalidating the assessment of its generalization ability (Barreto, 2022).

### **Model Training**

#### Model Architecture







The neural network to be created is a Convolutional Neural Network (CNN). The model architecture comprises multiple convolutional layers with rectified linear unit (ReLU) activation functions, which extract hierarchical features from input images. These convolutional layers are followed by batch normalization layers that normalize the inputs to each layer, improving the stability and convergence of the model during training. Max-pooling layers downsample the feature maps, reducing spatial dimensions and extracting dominant features. Dropout layers are incorporated to prevent overfitting by randomly deactivating neurons during training. The model employs global average pooling to reduce spatial dimensions and compute feature representations. Fully connected layers with ReLU activation functions further process the extracted features before the final softmax layer, which produces class probabilities for multi-class classification. The Adam optimizer with gradient clipping helps stabilize the training process by limiting the magnitude of gradients.

```
In [ ]: # Define the file path to save the model
        filepath = 'highest_performing_model.h5'
        # Define the ModelCheckpoint callback
        checkpoint = tf.keras.callbacks.ModelCheckpoint(filepath, monitor='val accuracy', save best only=True, mode='mag
        # Define the model architecture
        model = tf.keras.Sequential([
            layers.Conv2D(filters=32, kernel size=(3, 3), activation='relu', input shape=(32, 32, 3), padding='same'),
            layers.BatchNormalization()
            layers.Conv2D(filters=32, kernel_size=(3, 3), activation='relu', padding='same'),
            layers.BatchNormalization(),
            layers.MaxPooling2D((2, 2)),
            layers.Dropout(0.25),
            layers.Conv2D(filters=64, kernel_size=(3, 3), activation='relu', padding='same'),
            layers.BatchNormalization(),
            layers.Conv2D(filters=64, kernel_size=(3, 3), activation='relu', padding='same'),
            layers.BatchNormalization(),
            layers.MaxPooling2D((2, 2)),
            layers.Dropout(0.3),
            layers.Conv2D(filters=128, kernel_size=(3, 3), activation='relu', padding='same'),
            layers.BatchNormalization(),
            layers.Conv2D(filters=128, kernel_size=(3, 3), activation='relu', padding='same'),
            lavers BatchNormalization().
            layers.MaxPooling2D((2, 2)),
            layers.Dropout(0.35),
            layers.GlobalAveragePooling2D(), # Applying Global Average Pooling instead of Flatten
            layers.Dense(512, activation='relu'),
            layers.Dropout(0.5),
            layers.Dense(5, activation='softmax')
        1)
        # Applying Gradient Clipping
        optimizer = tf.keras.optimizers.Adam(clipvalue=0.5)
        model.compile(optimizer=optimizer,
                      loss='categorical crossentropy',
                      metrics=['accuracy'])
        model.summary()
        base history = model.fit(X train, y train, epochs=300, validation split=0.1, validation data=(X test[:1500], y
        model.load weights(filepath)
```

| Layer (type)  | Output Shape             | Param #  |
|---|--------------------------|--|
| conv2d (Conv2D)   | (None, 32, 32, 32)       | 896  |
| batch_normalization (Batch<br>Normalization)  | (None, 32, 32, 32)       | 128  |
| conv2d_1 (Conv2D)   | (None, 32, 32, 32)       | 9248   |
| batch_normalization_1 (Bat chNormalization)   | (None, 32, 32, 32)       | 128  |
| max_pooling2d (MaxPooling2<br>D)  | (None, 16, 16, 32)       | 0  |
| dropout (Dropout)   | (None, 16, 16, 32)       | 0  |
| conv2d_2 (Conv2D)   | (None, 16, 16, 64)       | 18496  |
| batch_normalization_2 (Bat<br>chNormalization)  | (None, 16, 16, 64)       | 256  |
| conv2d_3 (Conv2D)   | (None, 16, 16, 64)       | 36928  |
| batch_normalization_3 (Bat chNormalization)   | (None, 16, 16, 64)       | 256  |
| max_pooling2d_1 (MaxPoolin<br>g2D)  | (None, 8, 8, 64)         | 0  |
| dropout_1 (Dropout)   | (None, 8, 8, 64)         | Θ  |
| conv2d_4 (Conv2D)   | (None, 8, 8, 128)        | 73856  |
| batch_normalization_4 (Bat chNormalization)   | (None, 8, 8, 128)        | 512  |
| conv2d_5 (Conv2D)   | (None, 8, 8, 128)        | 147584   |
| batch_normalization_5 (Bat chNormalization)   | (None, 8, 8, 128)        | 512  |
| max_pooling2d_2 (MaxPoolin<br>g2D)  | (None, 4, 4, 128)        | Θ  |
| dropout_2 (Dropout)   | (None, 4, 4, 128)        | 0  |
| global_average_pooling2d (<br>GlobalAveragePooling2D)                                     | (None, 128)              | Θ  |
| dense (Dense)   | (None, 512)              | 66048  |
| dropout_3 (Dropout)   | (None, 512)              | 0  |
| dense_1 (Dense)   | (None, 5)                | 2565   |
| otal params: 357413 (1.36 M) rainable params: 356517 (1.36 n) on-trainable params: 896 (3 | B)<br>36 MB)<br>.50 KB)  |  |
| poch 1: val_accuracy improve<br>35/235 [====================================              | ed from -inf to 0.20000, | loss: 1.4595 - accuracy: 0.3864 saving model to highest_performing_model.h5 step - loss: 1.4595 - accuracy: 0.3864 - val_loss: 2.6172 -            |
| poch 2/300<br>8/235 [>  | ] - ETA: 1s -            | loss: 1.3252 - accuracy: 0.4570  |
|   | .save()`. This file form | gine/training.py:3103: UserWarning: You are saving your mode<br>at is considered legacy. We recommend using instead the nati                       |
| 34/235 [==========  | ed from 0.20000 to 0.370 | loss: 1.3190 - accuracy: 0.4606<br>90, saving model to highest_performing_model.h5<br>ep - loss: 1.3186 - accuracy: 0.4609 - val loss: 1.5012 - va |
|   | -=======] - 25 91115/510 | ep - 1055. 1.3100 - accuracy. 0.4009 - Vac_1055. 1.3012 - Va   |

```
Epoch 286: val accuracy did not improve from 0.75400
235/235 [===========] - 3s 13ms/step - loss: 0.0711 - accuracy: 0.9753 - val loss: 1.7838 - v
al accuracy: 0.7320
Epoch 287/300
Epoch 287: val accuracy did not improve from 0.75400
al accuracy: 0.7200
Epoch 288/300
235/235 [============= ] - ETA: 0s - loss: 0.0727 - accuracy: 0.9760
Epoch 288: val_accuracy did not improve from 0.75400
235/235 [===========] - 2s 10ms/step - loss: 0.0727 - accuracy: 0.9760 - val loss: 1.6293 - v
al_accuracy: 0.7220
Epoch 289/300
Epoch 289: val accuracy did not improve from 0.75400
l accuracy: 0.7200
Epoch 290/300
Epoch 290: val_accuracy did not improve from 0.75400
l accuracy: 0.7260
Epoch 291/300
Epoch 291: val accuracy did not improve from 0.75400
al accuracy: 0.7300
Epoch 292/300
Epoch 292: val_accuracy did not improve from 0.75400
al_accuracy: 0.7100
Epoch 293/300
Epoch 293: val accuracy did not improve from 0.75400
al accuracy: 0.7060
Epoch 294/300
Epoch 294: val_accuracy did not improve from 0.75400
l accuracy: 0.7040
Epoch 295/300
Epoch 295: val accuracy did not improve from 0.75400
al accuracy: 0.6660
Epoch 296/300
231/235 [===
      Epoch 296: val_accuracy did not improve from 0.75400
235/235 [===
        al accuracy: 0.7120
Epoch 297/300
Epoch 297: val_accuracy did not improve from 0.75400
235/235 [==========] - 3s 11ms/step - loss: 0.0649 - accuracy: 0.9784 - val loss: 1.6503 - v
al accuracy: 0.7140
Epoch 298/300
Epoch 298: val accuracy did not improve from 0.75400
al accuracy: 0.7040
Epoch 299/300
Epoch 299: val_accuracy did not improve from 0.75400
al_accuracy: 0.6940
Epoch 300/300
      235/235 [=====
Epoch 300: val accuracy did not improve from 0.75400
al accuracy: 0.6880
```

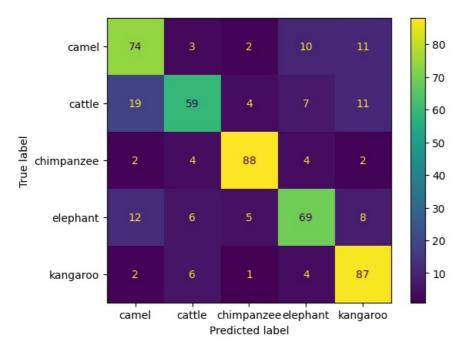
#### Metrics of Base Model

```
In [ ]: from sklearn.metrics import confusion_matrix, classification_report, ConfusionMatrixDisplay
model = tf.keras.models.load_model(filepath)

# Make predictions on the test set
y_pred = model.predict(X_test)
```

```
recall f1-score
                precision
                                                   support
                               0.74
                                          0.71
           0
                    0.68
                                                      100
           1
                    0.76
                               0.59
                                          0.66
                                                      100
           2
                    0.88
                               0.88
                                          0.88
                                                      100
           3
                    0.73
                               0.69
                                          0.71
                                                      100
           4
                    0.73
                               0.87
                                          0.79
                                                      100
                                          0.75
                                                      500
    accuracy
   macro avg
                    0.76
                               0.75
                                          0.75
                                                      500
weighted avg
                    0.76
                               0.75
                                          0.75
                                                      500
```

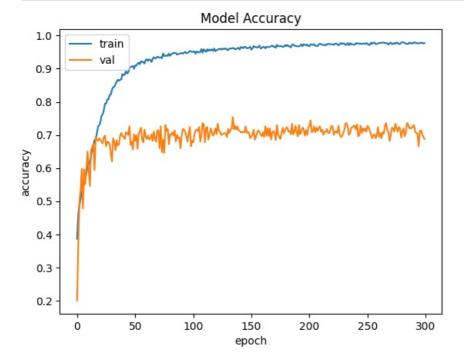
plt.legend(['train', 'val'], loc='upper left')



After 300 epochs of training, the model managed to hit a peak of 75.4% validation accuracy. It achieves a balance between precision and recall across different classes, with some classes exhibiting higher precision while others showcase superior recall rates. The chimpanzee class stands out with high precision, recall, and F1-score, indicating robust performance in classification. Despite slight variations in performance across classes, the model maintains a weighted average precision, recall, and F1-score of 0.76, reflecting its consistency across the dataset.

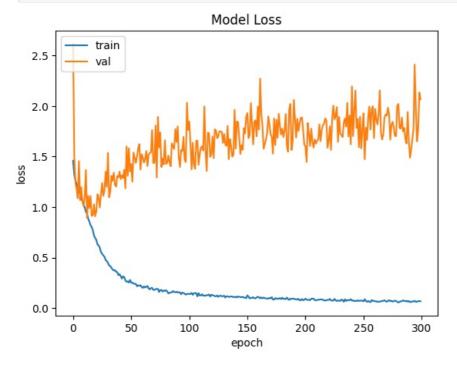
```
In [ ]: # Evaluate loss on the training set
        train loss = model.evaluate(X train, y train, verbose=0)
        print("Training Loss:", train_loss[0])
        print("Training Accuracy:", train_loss[1])
        # Evaluate loss on the testing set
        test_loss = model.evaluate(X_test, y_test, verbose=0)
        print("Testing Loss:", test_loss[0])
        print("Testing Accuracy:", test loss[1])
       Training Loss: 0.005873758811503649
       Training Accuracy: 0.9983999729156494
       Testing Loss: 1.4739247560501099
       Testing Accuracy: 0.7540000081062317
In [ ]: plt.plot(base history.history['accuracy'])
        plt.plot(base_history.history['val_accuracy'])
        plt.title('Model Accuracy')
        plt.ylabel('accuracy')
        plt.xlabel('epoch')
```





In terms of model accuracy, training accuracy converged or did not improve any further around the 150th epoch. For validation accuracy, it peak at around the 150th epoch also. Additional training did not improve both metrics any more.

```
In []: plt.plot(base_history.history['loss'])
    plt.plot(base_history.history['val_loss'])
    plt.title('Model Loss')
    plt.ylabel('loss')
    plt.xlabel('epoch')
    plt.legend(['train', 'val'], loc='upper left')
    plt.show()
```



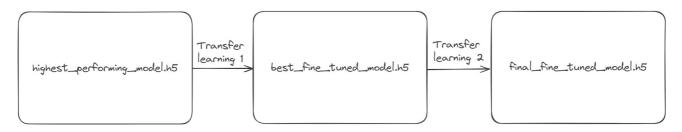
As for model loss, training loss converged at around the 150th epoch also. However for validation loss, the values are volatile and fluctuates heavily. The lack of convergence for validation loss could indicate overfitting on the training set and that there is simply not enough data to learn from.

In pursuit of better metrics, a new technique was implemented which is called transfer learning.

# Transfer Learning

Transfer learning is a pivotal method in Deep Learning, enabling the reuse of pre-existing models and their insights on new tasks. This approach revolutionizes training deep neural networks, particularly in scenarios with scarce data. Transfer learning is particularly relevant in data science, where access to labeled data can be limited. In our case, we are using our previous model

#### The Process



Transfer learning was done 2 times in order to achieve the highest accuracy we possibly could. Both training phases used the same model as the original training however, with a lower learning rate for fine-tuning. A reduced learning rate is commonly favored as it promotes greater stability during the fine-tuning process. This helps maintain the model's previously acquired features with minimal disruption or significant modifications (Buhl, 2023).

```
In []: import tensorflow as tf
           from tensorflow.keras.callbacks import ModelCheckpoint
           # Load the pre-trained model
           model = tf.keras.models.load model('highest performing model.h5')
           # Unfreeze some layers
           for layer in model.layers:
                 layer.trainable = True
           # lower learning rate for fine-tuning
           {\tt optimizer = tf.keras.optimizers.Adam(lr=1e-5)} \quad \# \ \textit{Example: lr=1e-5}
           # Compile the model with the new optimizer and a lower learning rate
           model.compile(optimizer=optimizer,
                               loss='categorical_crossentropy',
                               metrics=['accuracy'])
           filepath = 'best_model.h5'
           checkpoint = ModelCheckpoint(filepath, monitor='val accuracy', save best only=True, mode='max', verbose=1)
           history = model.fit(X\_train, y\_train, epochs=300, validation\_data=(X\_test[:1500], y\_test[:1500]), callbacks=[chemotic formula of the context of the contex
           best_model = tf.keras.models.load_model(filepath)
           # Evaluate the best model on the test set
           test_loss, test_accuracy = best_model.evaluate(X_test, y_test)
           print("Test Loss:", test_loss)
           print("Test Accuracy:", test accuracy)
           best model.save('best fine tuned model.h5')
          WARNING:absl:`lr` is deprecated in Keras optimizer, please use `learning rate` or use the legacy optimizer, e.g.
          ,tf.keras.optimizers.legacy.Adam.
          Epoch 1/300
          232/235 [====
                            Epoch 1: val_accuracy improved from -inf to 0.72800, saving model to best_model.h5
          235/235 [======
                                           :=========] - 7s 11ms/step - loss: 0.9014 - accuracy: 0.7440 - val loss: 0.8516 - v
         al_accuracy: 0.7280
          Epoch 2/300
          Epoch 2: val accuracy improved from 0.72800 to 0.77000, saving model to best model.h5
          al accuracy: 0.7700
          Epoch 3/300
          Epoch 3: val accuracy did not improve from 0.77000
          al_accuracy: 0.7620
          Epoch 4/300
          Epoch 4: val accuracy did not improve from 0.77000
          235/235 [=========== ] - 2s 9ms/step - loss: 0.5765 - accuracy: 0.7899 - val_loss: 0.8477 - va
          l_accuracy: 0.7360
          Epoch 5/300
          Epoch 5: val accuracy did not improve from 0.77000
          235/235 [=========== ] - 2s 9ms/step - loss: 0.5323 - accuracy: 0.8056 - val loss: 0.9081 - va
         l_accuracy: 0.7700
          Epoch 6/300
          Epoch 6: val_accuracy did not improve from 0.77000
          235/235 [=========== ] - 2s 9ms/step - loss: 0.5094 - accuracy: 0.8095 - val loss: 0.9436 - va
          l_accuracy: 0.7360
          Epoch 7/300
```

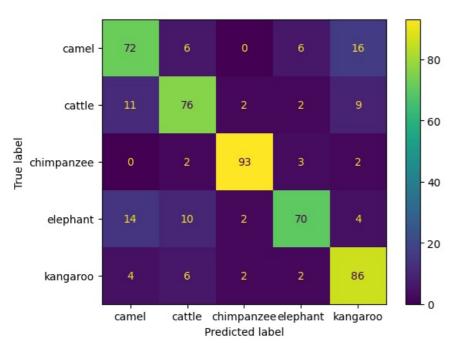
```
Epoch 289: val accuracy did not improve from 0.78800
       235/235 [============ ] - 2s 9ms/step - loss: 0.0726 - accuracy: 0.9769 - val loss: 1.7932 - va
       l accuracy: 0.7520
       Epoch 290/300
       233/235 [==
                         ===================>.] - ETA: Os - loss: 0.0571 - accuracy: 0.9819
       Epoch 290: val accuracy did not improve from 0.78800
       235/235 [====
                               l accuracy: 0.7620
       Epoch 291/300
       Epoch 291: val_accuracy did not improve from 0.78800
                                           :=====] - 2s 9ms/step - loss: 0.0490 - accuracy: 0.9823 - val_loss: 1.6108 - va
       l accuracy: 0.7740
       Epoch 292/300
       Epoch 292: val_accuracy did not improve from 0.78800
       235/235 [==========] - 3s 12ms/step - loss: 0.0530 - accuracy: 0.9817 - val loss: 1.7145 - v
       al_accuracy: 0.7380
       Epoch 293/300
       Epoch 293: val accuracy did not improve from 0.78800
                         235/235 [=====
       l accuracy: 0.7580
       Epoch 294/300
                        235/235 [=====
       Epoch 294: val_accuracy did not improve from 0.78800
       l_accuracy: 0.7700
       Epoch 295/300
       Epoch 295: val accuracy did not improve from 0.78800
       l accuracy: 0.7580
       Epoch 296/300
       232/235 [=====
                           ===============>.] - ETA: Os - loss: 0.0641 - accuracy: 0.9789
       Epoch 296: val_accuracy did not improve from 0.78800
       l_accuracy: 0.7620
       Epoch 297/300
       Epoch 297: val_accuracy did not improve from 0.78800
                               :==========] - 3s 11ms/step - loss: 0.0575 - accuracy: 0.9815 - val loss: 1.5185 - v
       235/235 [===
       al accuracy: 0.7440
       Epoch 298/300
       Epoch 298: val accuracy did not improve from 0.78800
       al accuracy: 0.7340
       Epoch 299/300
       Epoch 299: val_accuracy did not improve from 0.78800
                                           =====] - 2s 9ms/step - loss: 0.0537 - accuracy: 0.9816 - val loss: 1.5307 - va
       235/235 [==
       l accuracy: 0.7600
       Epoch 300/300
       Epoch 300: val accuracy did not improve from 0.78800
       l accuracy: 0.7420
       Test Loss: 1.3621013164520264
       Test Accuracy: 0.7879999876022339
In []: # Final Transfer Learning
        # Load the previous pre-trained model
        model = tf.keras.models.load_model('best_fine_tuned_model.h5')
        # Unfreeze some layers
        for layer in model.layers:
             layer.trainable = True
        # lower learning rate for fine-tuning
        optimizer = tf.keras.optimizers.Adam(lr=1e-5) # Example: lr=1e-5
        # Compile the model with the new optimizer and a lower learning rate
        model.compile(optimizer=optimizer,
                        loss='categorical_crossentropy',
                        metrics=['accuracy'])
        filepath = 'best model.h5'
        checkpoint = ModelCheckpoint(filepath, monitor='val_accuracy', save_best_only=True, mode='max', verbose=1)
        transfer\_history = model.fit(X\_train, y\_train, epochs = 300, validation\_data = (X\_test[:1500], y\_test[:1500]), call it is a function of the property of the 
        best_model = tf.keras.models.load_model(filepath)
```

```
# Evaluate the best model on the test set
test loss, test accuracy = best model.evaluate(X test, y test)
print("Test Loss:", test_loss)
print("Test Accuracy:", test_accuracy)
best model.save('final fine tuned model.h5')
WARNING:absl:`lr` is deprecated in Keras optimizer, please use `learning rate` or use the legacy optimizer, e.g.
,tf.keras.optimizers.legacy.Adam.
Epoch 1/300
Epoch 1: val accuracy improved from -inf to 0.75200, saving model to best model.h5
al accuracy: 0.7520
Epoch 2/300
8/235 [>.....] - ETA: 1s - loss: 0.6071 - accuracy: 0.7656
/usr/local/lib/python3.10/dist-packages/keras/src/engine/training.py:3103: UserWarning: You are saving your mode
l as an HDF5 file via `model.save()`. This file format is considered legacy. We recommend using instead the nati ve Keras format, e.g. `model.save('my_model.keras')`.
saving api.save model(
Epoch 2: val_accuracy improved from 0.75200 to 0.75800, saving model to best_model.h5
l_accuracy: 0.7580
Epoch 3/300
231/235 [===
      Epoch 3: val accuracy improved from 0.75800 to 0.76600, saving model to best model.h5
l accuracy: 0.7660
Epoch 4/300
Epoch 4: val_accuracy improved from 0.76600 to 0.78200, saving model to best_model.h5
l accuracy: 0.7820
Epoch 5/300
233/235 [===
      Epoch 5: val accuracy did not improve from 0.78200
l accuracy: 0.7640
Epoch 6/300
Epoch 6: val_accuracy did not improve from 0.78200
al accuracy: 0.7660
Epoch 7/300
Epoch 7: val accuracy did not improve from 0.78200
al accuracy: 0.7640
Epoch 8/300
Epoch 8: val_accuracy did not improve from 0.78200
l_accuracy: 0.7460
Epoch 9/300
Epoch 9: val accuracy did not improve from 0.78200
l accuracy: 0.7520
Epoch 10/300
Epoch 10: val accuracy did not improve from 0.78200
l_accuracy: 0.7380
Epoch 11/300
Epoch 11: val accuracy did not improve from 0.78200
l_accuracy: 0.7580
Epoch 12/300
Epoch 12: val_accuracy did not improve from 0.78200
al accuracy: 0.7680
Epoch 13/300
Epoch 13: val accuracy did not improve from 0.78200
l accuracy: 0.7600
Epoch 14/300
Epoch 14: val_accuracy did not improve from 0.78200
235/235 [=====
      l accuracy: 0.7620
```

```
Epoch 297: val accuracy did not improve from 0.79400
235/235 [============ ] - 2s 9ms/step - loss: 0.0465 - accuracy: 0.9856 - val loss: 1.5183 - va
l accuracy: 0.7760
Epoch 298/300
234/235 [===
                ========>.] - ETA: Os - loss: 0.0484 - accuracy: 0.9852
Epoch 298: val_accuracy did not improve from 0.79400
235/235 [============] - 2s 9ms/step - loss: 0.0484 - accuracy: 0.9852 - val loss: 1.5018 - va
l accuracy: 0.7620
Epoch 299/300
231/235 [=====
           =====================>.] - ETA: 0s - loss: 0.0566 - accuracy: 0.9815
Epoch 299: val_accuracy did not improve from 0.79400
235/235 [=========== ] - 2s 9ms/step - loss: 0.0563 - accuracy: 0.9816 - val loss: 1.5062 - va
l accuracy: 0.7540
Epoch 300/300
Epoch 300: val accuracy did not improve from 0.79400
235/235 [===========] - 3s 11ms/step - loss: 0.0578 - accuracy: 0.9801 - val_loss: 1.4687 - v
al accuracy: 0.7880
             16/16 [=====
Test Loss: 1.5348057746887207
Test Accuracy: 0.7940000295639038
```

#### Metrics of Transfer Learned Model

Classification Report: precision recall f1-score support 0 0.71 0.72 0.72 100 1 0.76 0.76 0.76 100 2 0.94 0.93 0.93 100 3 0.84 0.70 0.77 100 4 0.74 0.86 0.79 100 0.79 500 accuracy 0.79 macro avg 0.80 0.79 500 weighted avg 0.80 0.79 0.79 500

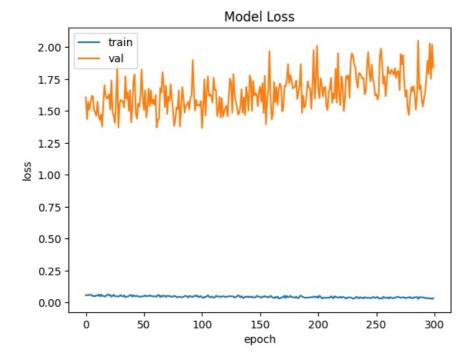


Significantly, classes camel, cattle, elephant, and kangaroo demonstrate improved precision, recall, and F1-scores, suggesting enhanced performance in classification. Class chimpanzee still retains its notably high precision, recall, and F1-score, implying superior accuracy and dependability in predictions. Additionally, the model's overall accuracy has risen to 0.79, indicating improved predictive abilities.

```
In [ ]:
       # Evaluate loss on the training set
        train_loss = model.evaluate(X_train, y_train, verbose=0)
        print("Training Loss:", train_loss[0])
        print("Training Accuracy:", train loss[1])
        # Evaluate loss on the testing set
        test_loss = model.evaluate(X_test, y_test, verbose=0)
        print("Testing Loss:", test_loss[0])
        print("Testing Accuracy:", test loss[1])
       Training Loss: 0.00021732655295636505
       Training Accuracy: 1.0
       Testing Loss: 1.5348057746887207
       Testing Accuracy: 0.7940000295639038
In [ ]: plt.plot(transfer_history.history['accuracy'])
        plt.plot(transfer_history.history['val_accuracy'])
        plt.title('Model Accuracy')
        plt.ylabel('accuracy')
        plt.xlabel('epoch')
        plt.legend(['train', 'val'], loc='upper left')
        plt.show()
```

### Model Accuracy 1.00 train val 0.95 0.90 0.85 0.80 0.75 0.70 50 100 0 150 200 250 300 epoch

```
In []: plt.plot(transfer_history.history['loss'])
   plt.plot(transfer_history.history['val_loss'])
   plt.title('Model Loss')
   plt.ylabel('loss')
   plt.xlabel('epoch')
   plt.legend(['train', 'val'], loc='upper left')
   plt.show()
```



In general, model validation loss and accuracy still struggles to achieve convergence which is an issue of overfit and lack of data.

# **Exporting the model**

```
import datetime
file_name = input("Enter a file name: ")
current_date = datetime.datetime.now().strftime("%Y-%m-%d")
model_path = f'{file_name}_{current_date}.h5'
model.save(model_path)
model.save_weights(f'{file_name}_{current_date}_weights.h5')

Enter a file name: 79VAC_FINALMODEL_

/usr/local/lib/python3.10/dist-packages/keras/src/engine/training.py:3103: UserWarning: You are saving your mode
l as an HDF5 file via `model.save()`. This file format is considered legacy. We recommend using instead the nati
ve Keras format, e.g. `model.save('my_model.keras')`.
saving api.save model(
```

# **Challenges Encountered**

The final result took around 2 weeks of trial and error with testing multiple parameters and architectures across multiple machines. Some challenges the authors encountered were:

- 1. Lack of Reproducibility: Due to the random nature of augmentation, and shuffling, recreating previous results proved to be difficult for the authors. To mitigate this, we created a repository on GitHub which kept track of the best models so far.
- 2. **Model Architecture**: As the authors were new to deep learning, we had a difficulty formulating and planning the architecture of the neural network considering the time constraints of the project. As a way to lessen our load on architecture without prior knowledge, we tried to model the CNN after state-of-the-art neural network models used for Image Classification.
- 3. **Image Quality**: The images from CIFAR-100 are only 32x32. According to Dodge and Karam (2016), neural networks are highly susceptible to quality distortions such as blur and noise. In order to make the model adapt to these types of images, we implemented random augmentations which did help training the model to 79% validation accuracy.
- 4. **Training Time**: As we were training on 300 epochs, training on cloud services such as Colab took too long with an average epoch running time of around a minute each on our current architecture. This pushed us to use Windows Subsystem for Linux or WSL to take advantage of our GPUs on our local machines. Using Ubuntu and the Conda environment allowed us to leverage training with CUDA which lessened epoch time from 1 minute to around 1 3 seconds each on average.

# Recommendations

The model performed satisfactorily on testing however, overfitting still remains prevalent after transfer learning and data augmentation. These are some points that were taken note by the authors in order to improve the model:

 More Data Augmentation: Expanding the dataset with more samples and varying techniques could reduce overfit and improve the generalization ability of the model.

- 2. **Regularization Techniques**: Implement regularization techniques like L2 regularization to prevent overfitting during training. These methods can help the model generalize better to unseen data by reducing reliance on specific features.
- Hyperparameter Tuning: Perform systematic hyperparameter tuning to optimize the model's architecture and training parameters.
   This includes adjusting learning rates, batch sizes, and optimizer algorithms to find the combination that yields the best performance on the testing set.
- 4. **Model Architecture Exploration**: Experiment with different neural network architectures, such as increasing the depth or width of the network, adding more layers, or trying different activation functions. A more complex model may capture intricate patterns in the data more effectively.
- 5. **Transfer Learning Refinement**: Fine-tune the transfer learning process by adjusting the layers frozen during pre-training and the learning rate schedule. This allows the model to adapt more specifically to the target task while still benefiting from pre-existing knowledge.
- 6. **Ensemble Methods**: If allowed to use pre-trained models like VGG-16 or Y0L0v8, these models could be ensembled through bagging or boosting in order to derive a more accurate result.

# **Conclusions and Key Takeaways**

After 300 epochs of initial training and transfer learning, the model yielded promising results. With a training loss of 0.0002 and a training accuracy of 1.0, it demonstrated a high level of proficiency in learning the training data. The testing phase revealed a slightly lower but still respectable testing accuracy of 0.79, accompanied by a testing loss of 1.53. These metrics suggest that the model was able to generalize reasonably well to unseen data, indicating the effectiveness of transfer learning in this context.

The exercise underscores the potential of AI in various sectors. In business and industry, AI-driven models can enhance decision-making processes, optimize operations, and personalize user experiences. By leveraging pre-existing knowledge and adapting it to new tasks, AI can improve efficiency and accuracy in tasks such as image recognition, sentiment analysis, and customer behavior prediction. Such advancements not only benefit businesses by increasing productivity and reducing costs but also enhance consumer experiences through tailored recommendations, improved search results, and better customer service interactions. Thus, even from this simple exercise, the far-reaching implications of AI in both business and consumer realms become apparent, signaling a transformative potential for numerous industries.

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