

# Best Practices for Property Prediction from Molecular Simulations

## 1 Preliminaries

### Definitions

- $V$ : Volume
- $U$ : Total energy (including potential and kinetic, excluding external energy such as due to gravity, etc)
- $S$ : Entropy
- $N$ : Number of particles
- $T$ : Temperature
- $P$ : Pressure
- $k_B$ : Boltzmann constant
- $\beta$ :  $(k_B T)^{-1}$
- $M$ : Molar mass
- $\rho$ : Density ( $M/V$ )
- $H$ : Enthalpy
- $G$ : Gibbs Free Energy (free enthalpy)
- $A$ : Helmholtz Free Energy
- $\mu$ : Chemical potential
- $D$ : Total dipole moment
- $u$ : reduced energy
- $f$ : reduced free energy

Macroscopically, the quantities  $V$ ,  $U$ ,  $N$  are constants (assuming the system is not perturbed in any way), as we assume that the fluctuations are essentially zero, and any uncertainty comes from our inability to measure that constant precisely. For a mole of compound (about 18 mL for water), the relative uncertainty in any of these quantities is about  $10^{-12}$ , far lower than any thermodynamics experiment.

However, in a molecular simulation, these quantities are not necessarily constant. For example, in a  $NVT$  simulation,  $U$  is allowed to vary. For a long enough simulation (assuming ergodicity, which can pretty much always be assumed with correct simulations and simple fluids), then the ensemble average value of  $U = \langle U \rangle$  will be constant, and in the limit of large simulations/long time will converge to the macroscopic value  $U$ ; at least, the macroscopic value of that given model, though perhaps not the  $U$  for the real system.. In an  $NVT$  simulation, clearly  $V$  is constant. In a  $NPT$  simulation, however,  $V$  is a variable, and we must estimate what the macroscopic value would be with the ensemble estimate  $\langle V \rangle$ .

The quantities  $T$ ,  $P$ , and  $\mu$  are *always* constants in both simulation in experiment. There are a number of quantities that can be used to ESTIMATE these constants. For example,  $\langle \frac{1}{3Nk_B} \sum_i m_i |v_i|^2 \rangle$ , where  $m$  is the mass of each particle and  $|v_i|$  is the magnitude of the velocity of each particle, is an estimator of  $T$ , and its average will be equal to the temperature. But it is not the temperature. This quantity fluctuates, but the temperature remains constant; otherwise the simulation could not be at constant temperature.

Ensemble averages of some quantity  $X$  ( $\langle X \rangle$ ) are assumed to be averages over the appropriate Boltzmann weighting, i.e. in the  $NVT$  ensemble with classical statistical mechanics, they would be  $\int X(\vec{x}, \vec{p}) e^{-\beta U(\vec{x}, \vec{p})} d\vec{x} d\vec{p}$ . We note that in the limit of very large systems,  $\langle X \rangle_{NPT} = \langle X \rangle_{NVT} = \langle X \rangle_{\mu VT}$ .

Ensemble averages can be computed by one of two ways. First, they can be computed directly, by running a simulation that produces samples with the desired Boltzmann distribution. In that case ensemble averages can be computed as simple averages,  $\langle V \rangle = \frac{1}{N} \sum_i V_i$ , where the sum is over all observations. Uncertainties can be estimated in a number of different ways, but usually require estimating the number of uncorrelated samples. Secondly, they can be calculated as reweighted estimates from several different simulations, as  $\langle V \rangle = \frac{1}{\sum_i w_i} \sum_i V_i w_i$  where  $w_i$  is a reweighting factor that can be derived from importance sampling theory.

To simplify our discussion of reweighting, we use some additional notation. We define the reduced potential  $u = \beta U(\vec{x})$  in the canonical ( $NVT$ ) ensemble,  $u = \beta U + \beta PV$  in the isobaric-isothermal ( $NPT$ ) ensemble, and  $u = \beta U - \beta N\mu$  in the grand canonical ensemble (similar potentials can be

defined in other ensembles). We then define  $f = \int e^{-u} dx$ , where the integral is over all of the DOF of the system ( $x$  for NVT,  $x, V$  for NPT, and  $x, N$  for  $\mu VT$ ). For NPT, we then have  $f = \beta G$ , and for NVT we have  $f = \beta A$ , while for  $\mu VT$  we have  $f = -\beta \langle P \rangle V$ .

To calculate expectations at one set of parameters generated with parameters that give rise to a different set of probability distributions, we start with the definition of an ensemble average given a probability distribution  $p_i(x)$ .

$$\langle X \rangle_i = \int X(x) p_i(x) dx \quad (1)$$

We then multiply and divide by  $p_j(x)$ , to get

$$\langle X \rangle_i = \int X(x) p_i(x) \frac{p_j(x)}{p_j(x)} dx = \int X(x) p_j(x) \frac{p_i(x)}{p_j(x)} dx \quad (2)$$

We then note that this last integral can be estimated by the Monte Carlo estimate

$$\langle X \rangle_i = \int X(x) p_j(x) \frac{p_i(x)}{p_j(x)} dx = \frac{1}{N} \sum_{n=1}^N X(x_n) \frac{p_i(x_n)}{p_j(x_n)} \quad (3)$$

Where the  $x_k$  are sampled from probability distribution  $p_j(x)$

We now define the mixture distribution of  $K$  other distributions as:  $p_m(x) = \frac{1}{N} \sum_{i=1}^N N_k p_k(x)$ , where  $N = \sum_k N_k$ . We can construct a sample from the mixture distribution by simply pooling all the samples from  $k$  individual simulations. The formula for calculating ensemble averages in a distribution  $p_i(x)$  from samples from the mixture distribution is:

$$\langle X \rangle_i = \sum_{n=1}^N X(x_n) \frac{p_i(x_n)}{\sum_k N_k p_k(x_n)} \quad (4)$$

In the case of Boltzmann averages, then  $p_i(x) = e^{f_i - u_i(x)}$ , where the reduced free energy  $f$  is unknown. Reweighting from the mixture distribution becomes.

$$\langle X \rangle_i = \sum_{n=1}^N X(x_n) \frac{e^{f_i - u_i(x_n)}}{\sum_{k=1}^{N_k} e^{f_k - u_k(x_n)}} \quad (5)$$

which can be seen to be the same formula as the MBAR formula for expectations. The free energies can be obtained by setting  $X=1$ , and looking at the  $K$  equations obtained by reweighting to the  $K$  different distributions.

Finite differences at different temperatures and pressures can be calculated by including states with different reduced potentials. For example,

$u_j(x) = \beta_i U(x) + \beta_i (P_i + \Delta P) V$ , or  $u_j = \frac{1}{k_B(T_i + \Delta T)} U(x) + \frac{1}{k_B(T_i + \Delta T)} P_i V$ . However, the relationship between  $f$  and  $G$  can be problematic when looking at differences in free energy with respect to temperature, because  $G_2 - G_1 = \beta_2 f_2 - \beta_1 f_1$ . [MRS: needs to find notes on how this was dealt with last time]

Since with MBAR, one can make the differences as small as one would like (you don't have to actually carry out a simulation at those points), we can use the simplest formulas: central difference for first derivatives:

$$\frac{dA}{dx} \approx \frac{1}{2\Delta x} (A(x + \Delta x) - A(x - \Delta x))$$

And for 2nd derivatives:

$$\frac{d^2 A}{dx^2} \approx \frac{1}{\Delta x^2} (A(x + \Delta x) - 2A(x) + A(x - \Delta x))$$

Thus, only properties at two additional points need to be evaluated to calculate both first and 2nd derivatives.

Note that if the finite differences are reevaluated using reweighting approaches, it is important that the simulation used generates the correct Boltzmann distribution. If not, reweighted observables will be incorrect, and the results of the finite difference approach will have significant error.

## 2 Pure Solvent Properties

### 2.1 Density

#### 2.1.1 Direct calculation

Starting with the equation used to calculate the density experimentally,

$$\rho = \frac{M}{V} \quad (6)$$

We replace the average with the ensemble estimate (calculated either directly, or with reweighting) to obtain:

$$\rho = \frac{M}{\langle V \rangle} \quad (7)$$

#### 2.1.2 Derivative Estimate

From the differential definition of the Gibbs free energy  $dG = VdP - SdT + \sum_i \mu_i dN_i$  that  $V$  can be calculated from the Gibbs free energy as:

$$V = \left( \frac{\partial G}{\partial P} \right)_{T,N} \quad (8)$$

The density can therefore be estimated from the Gibbs free energy.

$$\rho = \frac{M}{\left(\frac{\partial G}{\partial P}\right)_{T,N}} \quad (9)$$

The derivative can be estimated using a central difference numerical method utilizing Gibbs free energies reweighted to different pressures.

$$\left(\frac{\partial G}{\partial P}\right)_{T,N} \approx \frac{G_{P+\Delta P} - G_{P-\Delta P}}{2\Delta p} \quad (10)$$

The density can then finally be estimated.

$$\rho \approx \frac{M}{\frac{G_{P+\Delta P} - G_{P-\Delta P}}{2\Delta P}} \quad (11)$$

This can be calculated from the reduced free energy  $f$  if desired by simply substituting:

$$\rho \approx \frac{\beta M}{\frac{f_{P+\Delta P} - f_{P-\Delta P}}{2\Delta P}} \quad (12)$$

## 2.2 Molar Enthalpy

Section on relation of enthalpy to Gibbs free energy (should we need it). This is not an experimental quantity, but will be helpful in calculating related properties of interest. The enthalpy,  $H$ , can be found from the Gibbs free energy,  $G$ , by the Gibbs-Helmholtz relation:

$$H = -T^2 \left( \frac{\partial \left( \frac{G}{T} \right)}{\partial T} \right)_{P,N} \quad (13)$$

Transforming the derivative in the Gibbs-Helmholtz relation to be in terms of  $\beta$  instead of  $T$  yields:

$$H = -T^2 \frac{\beta^2}{\beta^2} \left( \frac{\partial \left( \frac{G}{T} \right)}{\partial T} \frac{\partial T}{\partial \beta} \frac{\partial \beta}{\partial T} \right)_{P,N} \quad (14)$$

Recall that  $\beta = \frac{1}{k_B T}$ , therefore  $\frac{\partial \beta}{\partial T} = -\frac{1}{k_B T^2}$ . Substituting these values into the enthalpy equation gives:

$$H = \frac{1}{k_B^3 T^2 \beta^2} \left( \frac{\partial \left( \frac{G}{T} \right)}{\partial \beta} \right)_{P,N} = \frac{1}{k_B} \left( \frac{\partial \left( \frac{G}{T} \right)}{\partial \beta} \right)_{P,N} = \frac{\partial f}{\partial \beta}_{P,N} \quad (15)$$

## 2.3 Heat Capacity

The definition of the isobaric heat capacity is:

$$C_P = \left( \frac{\partial H}{\partial T} \right)_{P,N} \quad (16)$$

$$C_P = \frac{\partial \left( \frac{\partial f}{\partial \beta} \right)}{\partial T} \bigg|_{P,N} \quad (17)$$

$$C_P = k_B \beta^2 \frac{\partial^2 f}{\partial \beta^2} \quad (18)$$

This could be computed by finite differences approach or analytical derivation using MBAR

The enthalpy fluctuation formula can also be used to calculate  $C_P$ .<sup>1</sup>

$$C_P = \frac{\langle H^2 \rangle - \langle H \rangle^2}{N k_B \langle T \rangle^2} \quad (19)$$

The form is equivalent for isochoric heat capacity, but with derivatives at constant volume rather than pressure.

Horn et al suggest a number of vibrational corrections be applied to the calculation of  $C_P$  due to a number of approximations made during the simulation of the liquid.<sup>1</sup> The following terms were added as a correction.

$$\left( \frac{\partial E_{vib,l}}{\partial T} \right)_P = \left( \frac{\partial E_{vib,l,intra}^{QM}}{\partial T} \right)_P + \left( \frac{\partial E_{vib,l,inter}^{QM}}{\partial T} \right)_P - \left( \frac{\partial E_{vib,l,inter}^{CM}}{\partial T} \right)_P \quad (20)$$

Where:

$$\left( \frac{\partial E_{vib}^{CM}}{\partial T} \right)_P = k_B n_{vib} \quad (21)$$

$$\left( \frac{\partial E_{vib}^{QM}}{\partial T} \right)_P = \sum_{i=1}^{n_{vib}} \left( \frac{h^2 v_i^2 e^{\frac{h v_i}{k_B T}}}{k_B T^2 \left( e^{\frac{h v_i}{k_B T}} - 1 \right)^2} \right) \quad (22)$$

Above,  $n_{vib}$  is the number of vibrational modes,  $h$  is Planck's constant and  $v_i$  is the vibrational frequency of mode  $i$ .

## 2.4 Isothermal Compressibility

The definition of isothermal compressibility is:

$$\kappa_T = -\frac{1}{V} \left( \frac{\partial V}{\partial P} \right)_T \quad (23)$$

### 2.4.1 First Derivative

Thus, it can be estimated by the finite difference of  $\langle V \rangle$

$$\kappa_T = -\frac{1}{2V(T, P)^2} (\langle V(P + \Delta P, T) \rangle - \langle V(P - \Delta P) \rangle) \quad (24)$$

Or by the finite differences evaluation of:

$$\kappa_T = -\frac{\left( \frac{\partial^2 G}{\partial P^2} \right)_{T,N}}{\left( \frac{\partial G}{\partial P} \right)_{T,N}} \quad (25)$$

$\kappa_T$  can also be estimated from the ensemble average and fluctuation of volume (in the NPT ensemble) or particle number (in the  $\mu$ VT ensemble):<sup>2</sup>

$$\kappa_T = \beta \frac{\langle \Delta V^2 \rangle_{NTP}}{\langle V \rangle_{NTP}} = V \beta \frac{\langle \Delta N^2 \rangle_{VT}}{\langle N \rangle_{VT}} \quad (26)$$

## 2.5 Speed of Sound

The definition of the speed of sound is:<sup>3</sup>

$$c^2 = \left( \frac{\partial P}{\partial \rho} \right)_S = -\frac{V^2}{M} \left( \frac{\partial P}{\partial V} \right)_S \quad (27)$$

$$c^2 = \frac{V^2}{\beta M} \left[ \frac{\left( \frac{\gamma_V}{k_B} \right)^2}{\frac{C_V}{k_B}} + \frac{\beta}{V \kappa_T} \right] \quad (28)$$

Where:

$$\gamma_V = \left( \frac{\partial P}{\partial T} \right)_V \quad (29)$$

$\gamma_V$  is known as the isochoric pressure coefficient.  $\kappa_T$  is the same isothermal compressibility from section A.1.3

An alternate derivation, applying the triple product rule to  $\left(\frac{\partial P}{\partial V}\right)_S$  yields the following.

$$\left(\frac{\partial P}{\partial V}\right)_S = \frac{\left(\frac{\partial S}{\partial V}\right)_P}{\left(\frac{\partial S}{\partial P}\right)_V} \quad (30)$$

$$\left(\frac{\partial S}{\partial V}\right)_P = \left(\frac{\partial S}{\partial T}\right)_P \left(\frac{\partial T}{\partial V}\right)_P = \frac{C_P}{T} \left(\frac{\partial T}{\partial V}\right)_P = \frac{C_P}{TV\alpha} \quad (31)$$

Where  $\alpha = \frac{1}{V} \left(\frac{\partial V}{\partial T}\right)_P = \left(\frac{\partial \ln V}{\partial T}\right)_P$  is the coefficient of thermal expansion. The second term in our triple product rule  $\left(\frac{\partial S}{\partial P}\right)_V$  can be expressed as follows.

$$\left(\frac{\partial S}{\partial P}\right)_V = \left(\frac{\partial S}{\partial T}\right)_V \left(\frac{\partial T}{\partial P}\right)_V = \frac{C_V}{T} \left(\frac{\partial T}{\partial P}\right)_V = \frac{C_V}{T\gamma_V} \quad (32)$$

Thus our derivation yields:

$$\left(\frac{\partial P}{\partial V}\right)_S = \frac{C_P\gamma_V}{C_VV\alpha} \quad (33)$$

Horn et al set out several ways for calculating  $\alpha$ .<sup>1</sup>

### 2.5.1 Analytical derivative of density with respect to temperature

$$\alpha = -\frac{d\ln\langle\rho\rangle}{dT} \quad (34)$$

### 2.5.2 Numerical derivative of density over range of T of interest

Same finite differences approach on  $\rho$  can be used as was shown for isothermal compressibility.



### 2.5.3 Using the enthalpy-volume fluctuation formula

$$\alpha = \frac{\langle VH \rangle - \langle V \rangle \langle H \rangle}{k_B \langle T \rangle^2 \langle V \rangle} \quad (35)$$

Finite differences approximations and/or analytical derivation can also be used to calculate  $\gamma_V$ .

## 2.6 Enthalpy of Vaporization

The definition of the enthalpy of vaporization is:<sup>4</sup>

$$\Delta H_{vap} = H_{gas} - H_{liq} = E_{gas} - E_{liq} + P(V_{gas} - V_{liq}) \quad (36)$$

If we assume that  $V_{gas} \gg V_{liq}$  and that the gas is ideal (and therefore kinetic energy terms cancel):

$$\Delta H_{vap} = E_{gas,potential} - E_{liq,potential} + RT \quad (37)$$

An alternate, but similar, method is recommended by Horn et al.<sup>1</sup>

$$\Delta H_{vap} = -\frac{E_{liq,potential}}{N} + RT - PV_{liq} + C \quad (38)$$

In the above equation  $C$  is a correction factor for vibrational energies, polarizability, non-ideality of the gas and pressure. It can be calculated as follows.

$$C_{vib} = C_{vib,intra} + C_{vib,inter} = (E_{vib,QM,gas,intra} - E_{vib,QM,liq,intra}) + (E_{vib,QM,liq,inter} - E_{vib,CM,liq,inter}) \quad (39)$$

The  $QM$  and  $CM$  subscripts stand for quantum and classical mechanics, respectively.

$$C_{pol} = \frac{N}{2} \frac{(d_{gas} - d_{liq})^2}{\alpha_{p,gas}} \quad (40)$$

Where  $d_i$  is the dipole moment of a molecule in phase  $i$  and  $\alpha_{p,gas}$  is the mean polarizability of a molecule in the gas phase.

$$C_{ni} = P_{vap} \left( B - T \frac{dB}{dT} \right) \quad (41)$$

Where  $B$  is the second virial coefficient.

$$C_x = \int_{P_{ext}}^{P_{vap}} [V(P_{ext}) [1 - (P - P_{ext}) \kappa_T] - TV\alpha] dP \quad (42)$$

Where  $P_{ext}$  is the external pressure and  $V(P_{ext})$  is the volume at  $P_{ext}$ .

This is frequently done as a single simulation calculation by assuming the average intramolecular energies remains constant during the phase change, which is rigorously correct for something like a rigid water molecule (intramolecular energies are zero), but less true for something with structural rearrangement between gas and liquid phases.

As discussed by myself and MRS, we have decided to not initially begin the parametrization process using enthalpy of vaporization data. While force field parametrization is commonly done using said property we have ample reason to not follow classical practice. First of all, the enthalpy data is usually not collected at standard temperature and pressure, but at the saturation conditions of the liquid being vaporized.<sup>5</sup> This would require corrections to be made to get the property at STP (the process will be explained below) using fitted equations for heat capacity. Not only is this inconvenient, but it adds an unknown complexity to correcting uncertainties in the experimental data. Often times the uncertainties of these "experimental" enthalpies are unrecorded because they are estimated from fitted Antoine equation coefficients.<sup>5</sup>

An additional issue is the necessity of having to use gas phase simulation data in order to validate a parametrization process meant for small organic liquids and their mixtures. Following an example of Wang et al.<sup>6</sup> we plan to instead use enthalpy of vaporization calculations as an unbiased means of testing the success of the parametrization. If the parametrization procedure is expanded to use enthalpy of vaporization, corrections can be made to the experimental heat of vaporization in order to get a value at STP using the

following equation.

$$\Delta H_{vap}(T) = \Delta H_{vap}^{ref} + \int_{T_{ref}}^T (C_{P,gas} - C_{P,liq}) dT \quad (43)$$

### 2.6.1 Dielectric Constant

This equation was provided by a literature reference authored by CJ Fennell.<sup>7</sup> Below,  $\epsilon(0)$  is the zero frequency dielectric constant,  $V$  is the system volume and  $D$  is the total system dipole moment.

$$\epsilon(0) = 1 + \frac{4\pi}{3k_B T \langle V \rangle} (\langle D^2 \rangle - \langle D \rangle^2) \quad (44)$$

## 3 Binary Mixture Properties

### 3.1 Mass Density, Speed of Sound and Dielectric Constant

The methods for these calculations are the same for a multicomponent system.

#### 3.1.1 Activity Coefficient

The definition of chemical potential in a pure substance is:

$$\mu(T, P) = \left( \frac{\partial G}{\partial N} \right)_{T, P} \quad (45)$$

which is a function of only temperature and pressure.

Then the definition of the chemical potential  $\mu_i$  of compound  $i$  in a mixture is:

$$\mu_i(T, P, \vec{N}) = \left( \frac{\partial G}{\partial N_i} \right)_{T, P, N_{j \neq i}} \quad (46)$$

$N_i$  refers to a molecule of component  $i$  and  $N_{j \neq i}$  refers to all molecules other than component  $i$ , with  $\vec{N}$  the vector of all component numbers. Since  $\mu_i$  is intensive, this is equivalently a function of the vector of mole fractions  $\vec{x}_i$  instead of simply of  $N_i$ .

For an ideal solution, the chemical potential  $\mu_i$  can be related to the pure chemical potential by

$$\mu_i(T, P, \vec{x}_i) = \mu(T, P) + k_B T \ln(\gamma_i) \quad (47)$$

By analogy to this form, we can

$$\mu_i(T, P, \vec{x}_i) = \mu(T, P) + k_B T \ln(x_i \gamma_i) \quad (48)$$

Where  $\gamma_i$  is the activity coefficient of component  $i$ , and is a function of  $T, P$ , and  $\vec{x}_i$ . Rearrangement of the previous equation yields:

$$\gamma_i = \frac{e^{\left(\frac{\mu_i(T, P, \vec{x}_i) - \mu(T, P)}{k_B T}\right)}}{x_i} \quad (49)$$

Although chemical potentials cannot be directly calculated from simulation, chemical potential differences can. We can calculate the difference  $\mu_i(T, P, \vec{x}_i) - \mu(T, P)$  by calculating  $\Delta\mu(T, P)_{liquid} - \Delta\mu(T, P)_{gas}$  using a standard alchemical simulation of the pure substance, followed by the calculation of  $\mu_i(T, P, \vec{x}_i)_{liquid} - \Delta\mu(T, P, \vec{x}_i)_{gas}$ , and assuming that  $\Delta\mu(T, P, \vec{x}_i)_{gas} = \Delta\mu(T, P)_{gas}$  (note: there are a few subtleties here relating to the  $\ln x_i$  factor, but it appears that with alchemical simulations with a only one particle that is allowed to change, this will cancel out (need to follow up)).

### 3.1.2 Excess Molar Properties

The general definition of an excess molar property can be stated as follows:

$$y^E = y^M - \sum_i x_i y_i \quad (50)$$

Where  $y^E$  is the excess molar quantity,  $y^M$  is the mixture quantity,  $x_i$  is the mole fraction of component  $i$  in the mixture and  $y_i$  is the pure solvent quantity. In general, the simplest methods for calculating excess molar properties for binary mixtures will require three simulations. One simulation is run for each pure component and a third will be run for the specific mixture of interest. We note that only one set of pure simulations are needed to calculate excess properties at all compositions.

### 3.1.3 Excess Molar Heat Capacity and Volume

Excess molar heat capacities and volume will be calculated using the methods for the pure quantities in section A.1 in combination with the general method for excess property calculation above.

### 3.1.4 Excess Molar Enthalpy

Excess molar enthalpy can be calculated using the general relation of molar enthalpy as it relates to Gibbs Free Energy from section A.1 and the generalized method of excess molar property calculation above or by the following:<sup>8</sup>

$$H^E = \langle E^M \rangle + PV^E - \sum_i x_i \langle E_i \rangle \quad (51)$$

Where  $\langle \rangle$  denotes an ensemble average and  $V^E$  is calculated using the general method of excess molar properties.

## References

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