

# Data structures

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# Plan

- 1 Pairs
- 2 Tuples
- 3 Records
- 4 Sums
- 5 Variants

# Data structures

This lecture: extend the FUN language with **algebraic data structures**:

- pairs and tuples;
- generalized products: records;
- sums;
- generalized sums: variants.

Bibliography: Chapter 3 of *Programming languages*, Mike Grant and Scott Smith.

<http://pl.cs.jhu.edu/pl/book/book.pdf>

# Why extend the language?

We could encode data structures with just the  $\lambda$ -calculus (e.g. using Church encodings).

Problems:

- 1 maybe we want to **hide the implementation** details;
- 2 maybe we want to **static types** (the Church encodings are untyped);
- 3 the Church encodings may be **less efficient** than a specialized implementation.

Alternative: add data structures to the *language* but use Church encodings in the *implementation*.

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# Pairs

## Extensions to the Fun language

- Combination of two values
- Corresponds to the **cartesian product**
- One constructor and two eliminators (projections)

$e$	$::=$	$\dots$	
	<b>pair</b> $e_1$ $e_2$		<b>constructor</b>
	<b>fst</b> $e$		<b>first projection</b>
	<b>snd</b> $e$		<b>second projection</b>

# Pairs

## Extensions to the operational semantics

Augment the set of values with pairs:

$$v ::= \dots \mid (v_1, v_2)$$

Three new rules:

$$\frac{e_1 \Downarrow v_1 \quad e_2 \Downarrow v_2}{\mathbf{pair} \ e_1 \ e_2 \Downarrow (v_1, v_2)}$$

$$\frac{e \Downarrow (v_1, v_2)}{\mathbf{fst} \ e \Downarrow v_1} \qquad \frac{e \Downarrow (v_1, v_2)}{\mathbf{snd} \ e \Downarrow v_2}$$

Exercise: modify the Haskell interpreters.

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# Tuples

$$(e_1, e_2, \dots, e_n)$$

$n = 0$ : empty tuple (unit)

$n = 1$ : N/A

$n = 2$ : pairs

$n > 2$ : triples, quartets, etc.

# Tuples

- In a **strict language** tuples are semantically equivalent to nested pairs, e.g.:

$$(e_1, e_2, e_3) \equiv (e_1, (e_2, e_3))$$

- In a **lazy language** we need to be careful with undefined values:

$$(e_1, \perp) \neq \perp$$

- The **empty tuple** () is a special case:
  - only one possible value (not a composition)
  - behaves like a “unit value” for compositions
- In Haskell, different size tuples have distinct types
  - the standard requires constructors only for  $n \leq 15$
  - access using pattern matching
  - the Prelude defines projections only for pairs (*not* built-in)

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# Records

- Generalization of products with labelled fields, e.g.

$$\{name = "John", age = 30\}$$

instead of

$$("John", 30)$$

- Order of fields is not significant, e.g.

$$\{name = "John", age = 30\} \equiv \{age = 30, name = "John\}$$

- Projections using field names:

$$\{name = "John", age = 30\}.name \equiv "John"$$
$$\{name = "John", age = 30\}.age \equiv 30$$

# Records

## Extensions to the Fun language

$$\begin{array}{lcl} e & ::= & \dots \\ & | & \{\ell_1 = e_1; \dots; \ell_n = e_n\} \quad \text{construction} \\ & | & e.\ell \quad \text{selection} \end{array}$$

- Assume some fixed set of labels  $\ell_1, \ell_2$ , etc.
- Similar syntax to attributes and methods in objects
- Field names  $\ell$  are *not* first-class, i.e. you can write

*record. $\ell$*

but not

$\lambda x. \text{record}.x$

# Encoding records using tuples

- If the set of fields is known at compile time we can encode records as tuples
- Associates each field with a fixed position in the tuple
- Example:

$$\{x = 5; y = 7; z = 6\} \equiv \mathbf{pair\ 5\ (pair\ 7\ 6)}$$
$$e.x \equiv \mathbf{fst\ e}$$
$$e.y \equiv \mathbf{fst\ (snd\ e)}$$
$$e.z \equiv \mathbf{snd\ (snd\ e)}$$

- Disadvantage: requires the whole program
- Alternative: extend the operational semantics with record values

# Records

## Extensions to the operational semantics

Values:

$$v ::= \dots \mid \{\ell_1 = v_1; \dots \ell_n = v_n\}$$

Evaluation rules:

$$\frac{e_1 \Downarrow v_1 \quad \dots \quad e_n \Downarrow v_n}{\{\ell_1 = e_1; \dots; \ell_n = e_n\} \Downarrow \{\ell_1 = v_1; \dots; \ell_n = v_n\}}$$

$$\frac{e \Downarrow \{\ell_1 = v_1; \dots; \ell_i = v_i; \dots; \ell_n = v_n\}}{e.\ell_i \Downarrow v_i}$$

# Records in Haskell

- Records are *nominal*, not *structural*
- Special case of data declarations
- Field names can be used for projections
- Field names must be unique (in a given namespace)

```
data Person = Person { name :: String }
data Company = Company { companyName :: String,
                        owner :: Person }

-- name :: Person -> String
-- companyName :: Company -> String
-- owner :: Company -> Person

main = do
  let p = Person {name="Wile E. Coyote"}
  let c = Company {companyName = "Acme corp.", owner=p}
  print (companyName c ++ " is run by ++ name (owner c))
```



# Record update in Haskell

Haskell allows functional updates of records, i.e. creating a new record with some fields updated.

```
data Person = Person { name :: String }
data Company = Company { companyName :: String,
                        owner :: Person }

main = do
  let p = Person {name="Wile E. Coyote"}
  let c = Company {companyName = "Acme corp.", owner=p}
  let q = Person {name="Road Runner"}
  let c' = c { owner = q }
  print (companyName c' ++ " is run by ++ name (owner c'))
```

# Records extensions in Haskell

GHC extensions (since 9.2) allow using dot notation and re-using field names.

```
{-# LANGUAGE OverloadedRecordDot #-}  
{-# LANGUAGE DuplicateRecordFields #-}
```

```
data Person = Person { name :: String }  
data Company = Company { name :: String, owner :: Person }
```

```
main = do  
  let p = Person { name = "Wile E. Coyote" }  
  let c = Company { name = "Acme corp.", owner = p }  
  print $ c.name ++ " is run by " ++ c.owner.name
```

# Record polymorphism

A function

$$\lambda x. x.age$$

could be applied to any record with *age* field.

Examples:

- $\{name = "Mike", age = 20\}$
- $\{model = "Volvo", age = 5\}$
- General type allows ignoring other fields

$$\{age : \alpha\} \rightarrow \alpha$$

- This kind polymorphism is called *subtyping* (sometimes *record subtyping*)
- It is strongly related to object-oriented programming
- Haskell and OCaml do *not* support record polymorphism; the *age* projection can only be applied to a specific type

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# Sums

## Extensions to the Fun language

- Alternative between two values
- Corresponds to a **disjoint sum**
- Two constructors and one eliminator (case)

$$\begin{array}{lcl} e & ::= & \dots \\ & | & \mathbf{inl}\ e \\ & | & \mathbf{inr}\ e \\ & | & \mathbf{case}\ e_0\ \mathbf{of}\ \mathbf{inl}\ x \rightarrow e_1\ |\ \mathbf{inr}\ y \rightarrow e_2 \end{array}$$

# Sums

## Extensions to the operational semantics

Values:

$$v ::= \dots \mid \mathbf{inl} \ v \mid \mathbf{inr} \ v$$

Evaluation Rules:

$$\frac{e \Downarrow v}{\mathbf{inl} \ e \Downarrow \mathbf{inl} \ v} \qquad \frac{e \Downarrow v}{\mathbf{inr} \ e \Downarrow \mathbf{inr} \ v}$$

$$\frac{e_0 \Downarrow \mathbf{inl} \ v \quad e_1[v/x] \Downarrow u}{\mathbf{case} \ e_0 \ \mathbf{of} \ \mathbf{inl} \ x \rightarrow e_1 \mid \mathbf{inr} \ y \rightarrow e_2 \Downarrow u}$$

$$\frac{e_0 \Downarrow \mathbf{inr} \ v \quad e_2[v/y] \Downarrow u}{\mathbf{case} \ e_0 \ \mathbf{of} \ \mathbf{inl} \ x \rightarrow e_1 \mid \mathbf{inr} \ y \rightarrow e_2 \Downarrow u}$$

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# Variants

- Generalize sums to alternatives tagged by constructors
- Consider a fixed set of **constructor tags**  $c_1, c_2$ , etc.
- Selection using a *case* expressions (generalizes the sum case)



# Variants in Haskell I

- Introduced by data declarations
- Each constructor can different number of arguments
- Each argument can have a different type
- Construct names have to be unique (in a given name space)

```
data Weekday = Mon | Tue | Wed | Thu | Fri | Sat | Sun
-- Mon, Tue, ... :: Weekday
```

```
data Maybe a = Nothing | Just a
-- Nothing :: Maybe a
-- Just :: a -> Maybe a
```

## Variants in Haskell II

Case and pattern matching to scrutinize constructed values:

```
isWeekend :: Weekday -> Bool
isWeekend w = case w of
    Sat -> True
    Sun -> True
    _   -> False
```

```
fromMaybe :: Maybe a -> a
fromMaybe def opt
    = case opt of
        Nothing -> def
        Just v   -> v
```

# Variants

## Extensions to the Fun language

$$\begin{array}{lcl} e & ::= & \dots \\ & | & c(e) \\ & | & \textbf{case } e_0 \textbf{ of} \\ & & \quad c_1(x_1) \rightarrow e_1 \\ & & \quad c_2(x_2) \rightarrow e_2 \\ & & \quad \vdots \\ & & \quad c_n(x_n) \rightarrow e_n \end{array} \quad \begin{array}{l} \\ \\ \text{constructor} \\ \text{selection} \end{array}$$

- Patterns *bind* variables  $x_i$  inside  $e_i$
- Simple patterns: the order does not matter
- Alternatives don't have to be exhaustive

# Variants

## Extensions to the operational semantics

$$v ::= \dots \mid c(v)$$

$$\frac{e \Downarrow v}{c(e) \Downarrow c(v)}$$

$$\frac{e \Downarrow c_j(v_j) \quad e_j[v_j/x_j] \Downarrow v}{\left( \begin{array}{l} \mathbf{case\ } e \mathbf{ of} \\ c_1(x_1) \rightarrow e_1 \\ \vdots \\ c_j(x_j) \rightarrow e_j \\ \vdots \\ c_n(x_n) \rightarrow e_n \end{array} \right) \Downarrow v}$$

# Encoding lists using variants and tuples

- Two constructors: *nil* for the empty list and *cons* for non-empty lists
- The argument of *cons* is a pair (head and tail)
- The argument of *nil* is not relevant (i.e. empty tuple)

$$[] \equiv \text{nil}()$$
$$(:) \equiv \lambda h. \lambda t. \text{cons } (\mathbf{pair} \ h \ t)$$
$$\text{null} \equiv \lambda x. \mathbf{case} \ x \ \mathbf{of} \ \text{nil}(x) \rightarrow \text{True} \\ \text{cons}(p) \rightarrow \text{False}$$
$$\text{head} \equiv \lambda x. \mathbf{case} \ x \ \mathbf{of} \ \text{cons}(p) \rightarrow \mathbf{fst} \ p$$
$$\text{tail} \equiv \lambda x. \mathbf{case} \ x \ \mathbf{of} \ \text{cons}(p) \rightarrow \mathbf{snd} \ p$$

# Projections vs. case expressions

Example: recursive function for the length of a list.

```
length xs = if null xs then 0 else 1 + length (tail xs)
```

```
length xs = case xs of  
    [] -> 0  
    (x:xs') -> 1 + length xs'
```

The case expression:

- make **structural recursion** explicit
- avoids the need to build an intermediate boolean value (**more efficient**)

# General pattern matching

- Multiple equations with patterns can be converted into a single definition with case expressions
- Nested patterns can be converted into nested case expressions with *simple* patterns

*Efficient Compilation of Pattern-Matching*, P. Wadler. Chapter 5 of *The Implementation of Functional Programming Languages*, S. L. Peyton Jones.

# Example

Determine the last element of a list.

```
last [x] = x
```

```
last (x:xs) = last xs
```

1st transformation:

```
last xs = case xs of
    (x:[]) -> x
    (x:xs') -> last xs'
```

2nd transformation:

```
last xs = case xs of
    (x:xs') -> case xs' of
        [] -> x
        (x':xs'') -> last xs''
```



# Example

Determine the last element of a list.

```
last [x] = x
```

```
last (x:xs) = last xs
```

1st transformation:

```
last xs = case xs of
    (x:[]) -> x
    (x:xs') -> last xs'
```

2nd transformation:

```
last xs = case xs of
    (x:xs') -> case xs' of
        [] -> x
        (x':xs'') -> last xs'
```

## Example

Determine the last element of a list.

```
last [x] = x
last (x:xs) = last xs
```

1st transformation:

```
last xs = case xs of
    (x:[]) -> x
    (x:xs') -> last xs'
```

2nd transformation:

```
last xs = case xs of
    (x:xs') -> case xs' of
        [] -> x
        (x':xs'') -> last xs'
```