# Time Series Analysis of COVID-19: SARIMA vs. LSTM Neural Networks

By Ben Mauss

#### Overview

Data Description

Compare and
Contrast the
Performance of
SARIMA Models to
LSTM Neural
Networks

COVID-19 Trends and Exogenous Factors Contributing to Spread

### The Data

#### The Data

### Data was collected from all over the internet including:

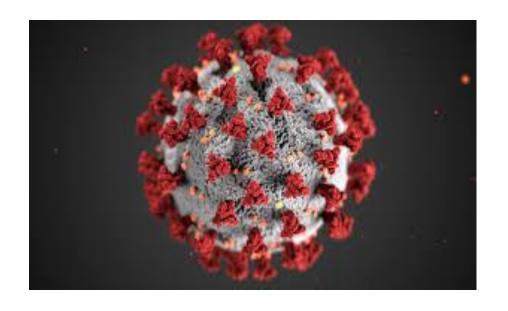
- Johns Hopkins University
- US Census
- Amazon Web Services
- Center for Disease Control

Data included details such as:

- State's Daily Reported Confirmed and Probable COVID-19 Cases (County Level)
- Locational Data
- Number of Hospitalized and in ICU
- Number of Deaths per Day/ Total
- Number of COVID-19 Tests Administered per Day
- 2019 County Population Estimates

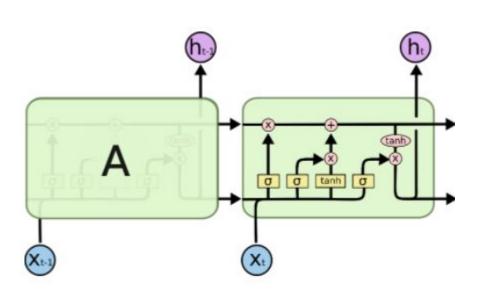
#### COVID-19

- Highly contagious respiratory infection
- Symptoms vary in severity by age and immune health; mostly flu-like
- "The primary and most important mode of transmission for COVID-19 is through close contact from person-to-person" -CDC.gov



### Long Short-Term Memory Neural Networks

#### LSTM



#### **Quick Facts**

- LSTMs are traditionally used in NLP (such as Text prediction) and Speech Recognition
- Recurrent Neural Network that uses the context of previous inputs to inform its predictions
- Can be applied to Time Series
   Analysis with frighteningly high accuracy.

### Top 5 States

### Top 5

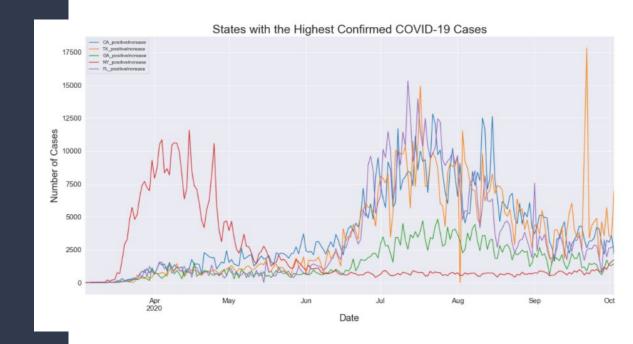
California

Florida

Georgia

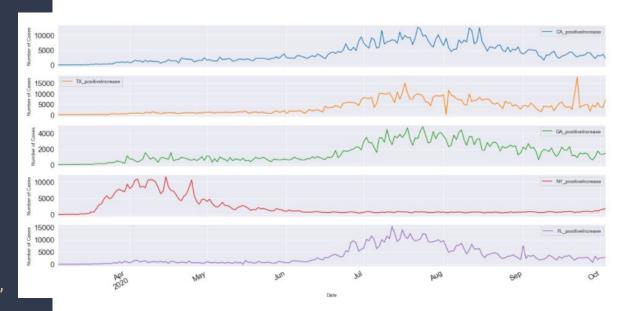
**New York** 

Texas

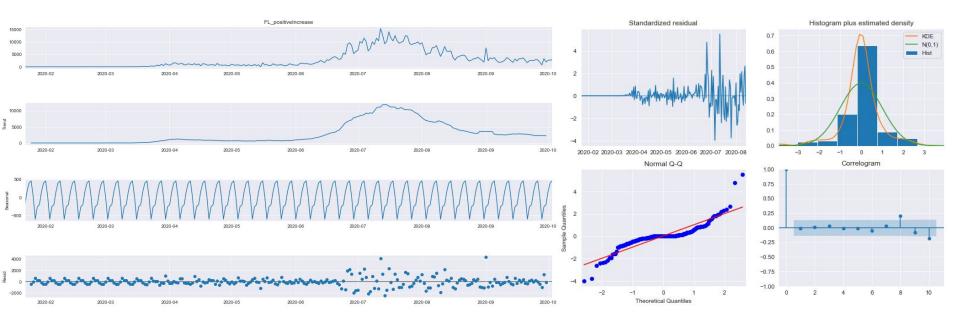


#### Summer Trend

- NY has a rough start and stabilizes
- All others experience exponential increase in midto late-June and peak in mid-July
- 11 other states follow this summer trend:
- Alabama, Arizona, Idaho,
   Louisiana, Mississippi, Nevada,
   Ohio, South Carolina,
   Tennessee, Utah, Washington,
   Oregon, Missouri

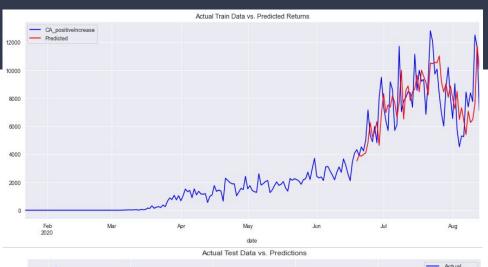


#### **Similarities**



### California

#### California SARIMA - Predictions



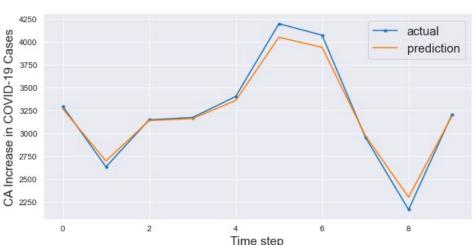


SARIMA Test RMSE: 1204.548

LSTM Train: 1252.697

LSTM Test: 630.178





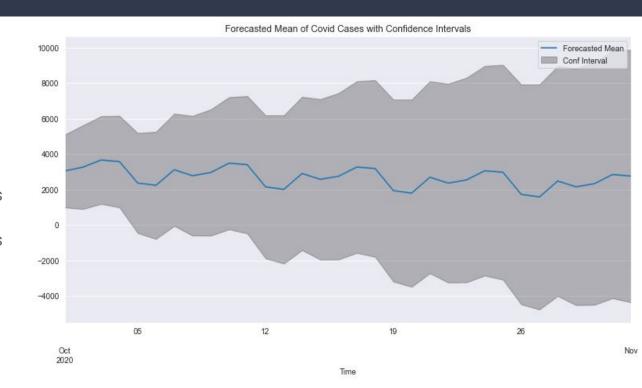
#### California Forecast

According to The New York Times:

Oct 5, 2020 - 3,273 Reported Cases

Oct 12, 2020 - 2,883 Reported Cases

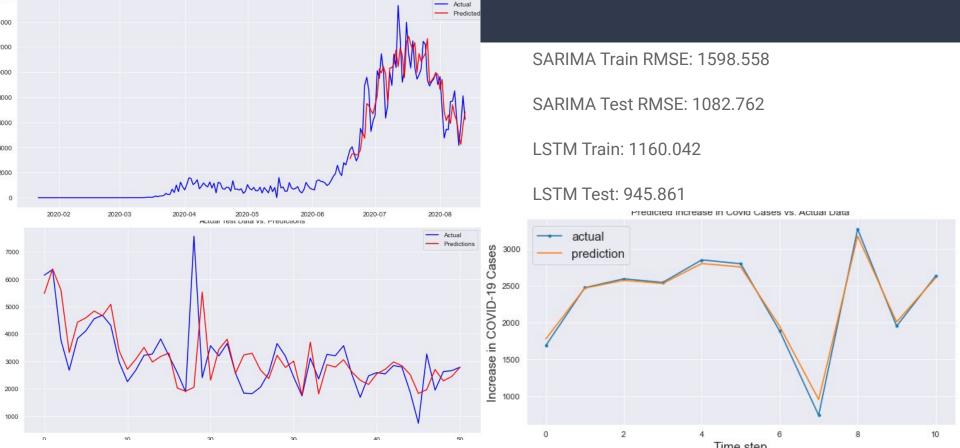
Oct 18, 2020 - 2,089 Reported Cases



### Florida

#### Florida - Predictions

Actual Train Data vs. Predictions



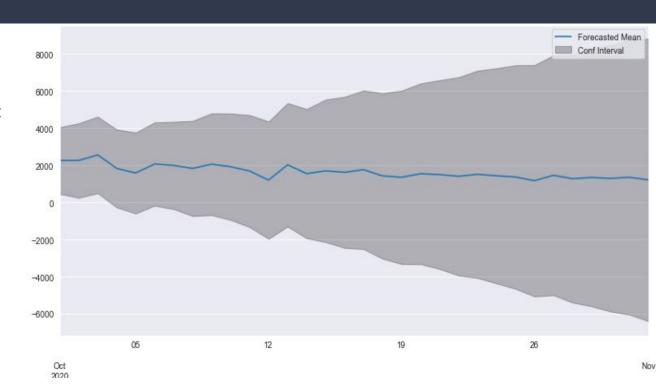
#### Florida Forecast

According to The New York Times:

Oct 5 - 1,415 Reported Cases

Oct 12 - 1,533 Reported Cases

Oct 18 - 2,539 Reported Cases



## Georgia

### Georgia - Predictions



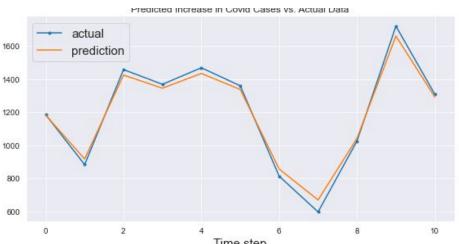


SARIMA Train RMSE: 582.002

SARIMA Test RMSE: 359.503

LSTM Train RMSE: 480.471

LSTM Test RMSE: 349.086



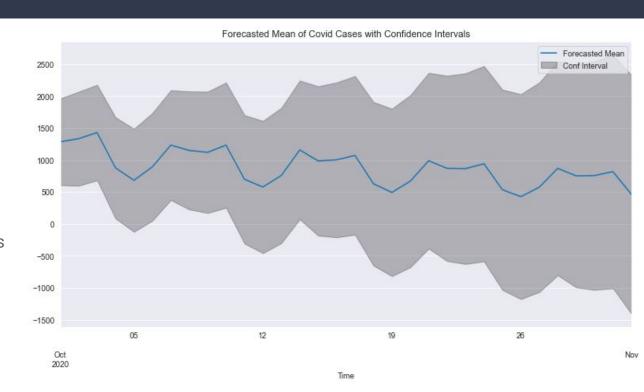
### Georgia Forecast

According to The New York Times:

Oct 5, 2020 - 741 Reported Cases

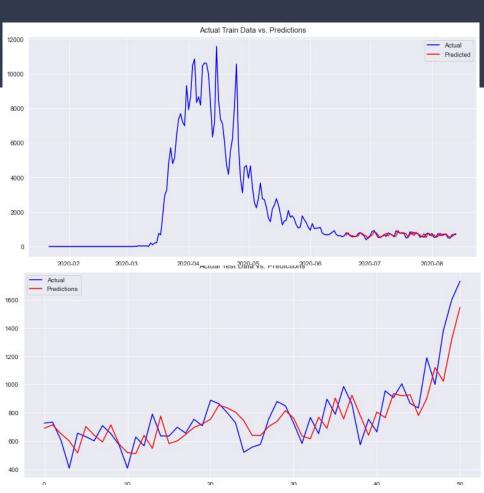
Oct 12, 2020 - 906 Reported Cases

Oct 18, 2020 - 1,305 Reported Cases



### New York

#### New York - Predictions

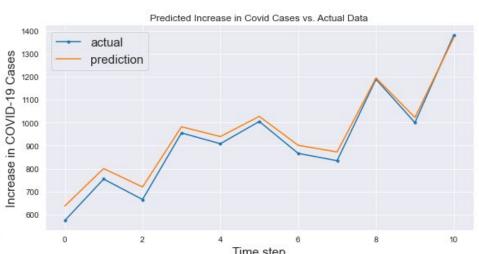


SARIMA Train RMSE: 116.436

SARIMA Test RMSE: 135.534

LSTM Train RMSE: 742.979

LSTM Test RMSE: 214.778



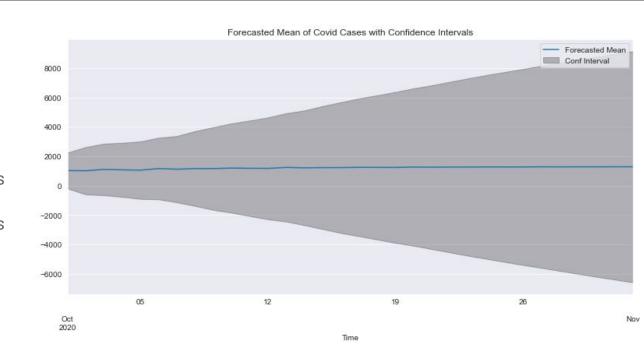
#### New York Forecast

According to The New York Times:

Oct 5, 2020 - 937 Reported Cases

Oct 12, 2020 - 1,032 Reported Cases

Oct 18, 2020 - 1,390 Reported Cases



### **Texas**

#### Texas - Predictions



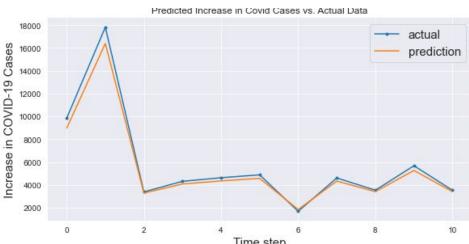


SARIMA Train RMSE: 2122.503

SARIMA Test RMSE: 2691.542

LSTM Train RMSE: 1512.816

LSTM Test RMSE: 5225.313



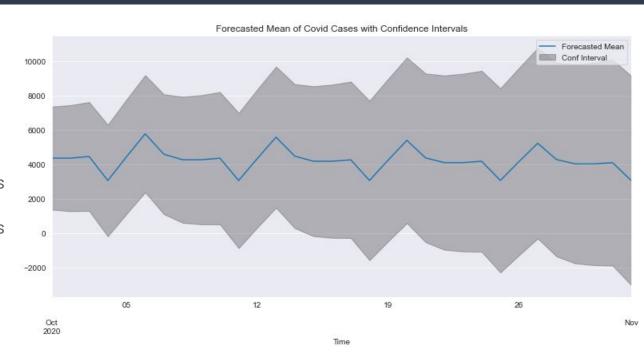
#### Texas Forecast

According to The New York Times:

Oct 5, 2020 - 3,977 Reported Cases

Oct 12, 2020 - 4,047 Reported Cases

Oct 18, 2020 - 5,383 Reported Cases

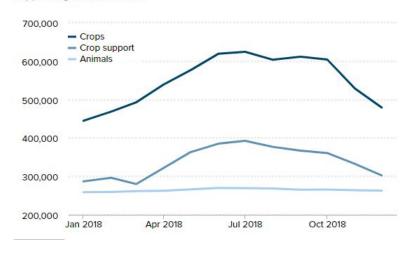


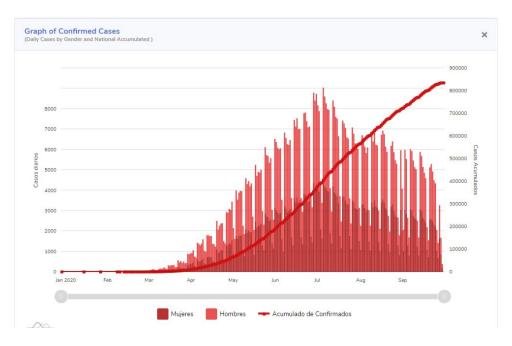
### **Exogenous Factors**

#### Summer Farming and Seasonal Farmworkers

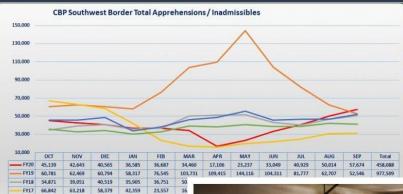
#### Farmworker employment increases in spring and peaks in the summer

Unemployment-insurance-covered employment in crop, animal, and crop support agriculture, 2018





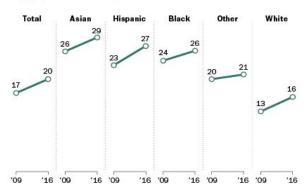
#### Undocumented Farmworkers



A garage converted to house farmworkers in Mendota, Calif.  ${\tt Max}$  Whittaker for The New York Times

#### Whites less likely than other racial and ethnic groups to live in multigenerational households

% of population in multigenerational households

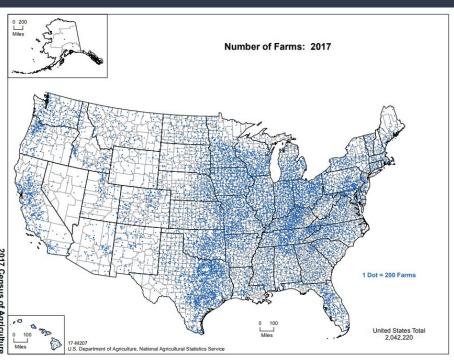


Note: Multigenerational households include at least two adult generations or grandparents and grandchildren younger than 25. Hispanics are of any race. Asians include Pacific Islanders. Whites, blacks and Asians are single-race only and include only non-Hispanics. "Other" includes non-Hispanics in remaining single-race groups or multiracial groups.

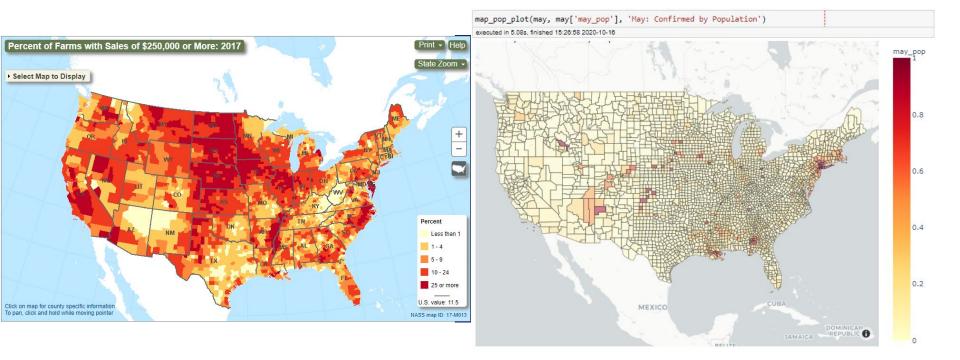
Source: Pew Research Center analysis of 2009 and 2016 American Community Surveys (IPUMS).

#### PEW RESEARCH CENTER

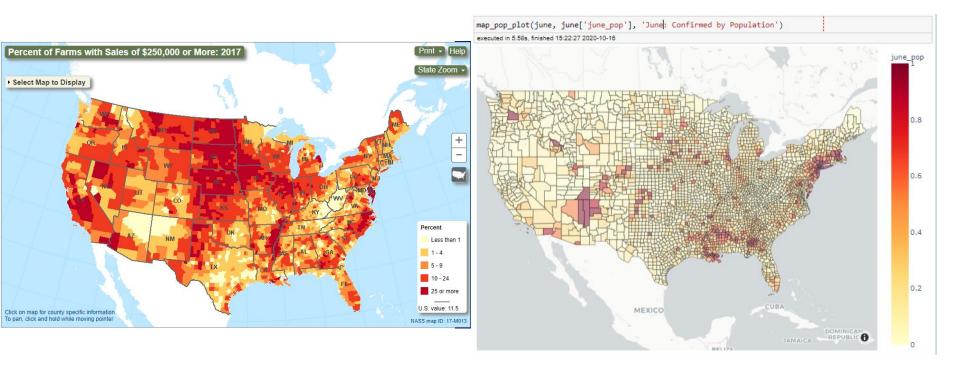
#### Farm Locations



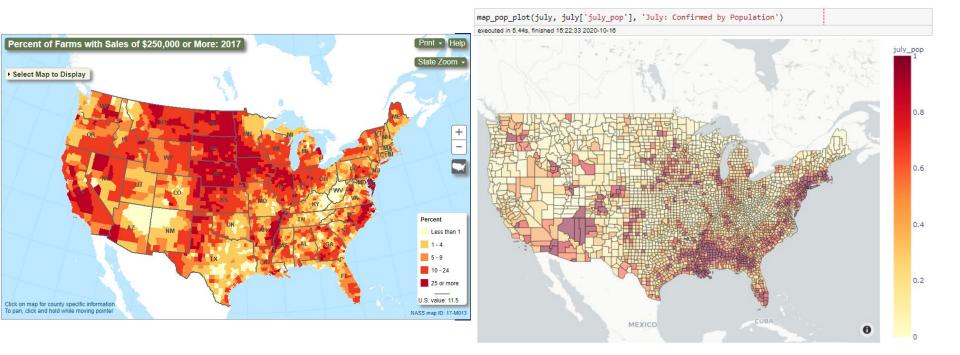




### May

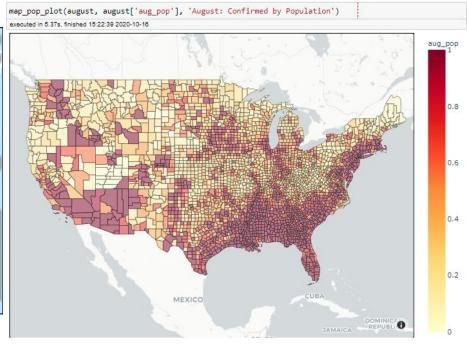


#### June

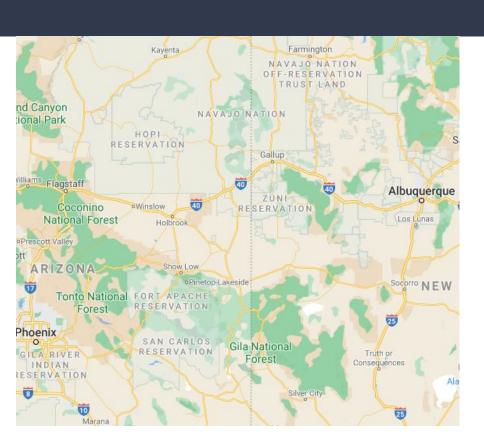


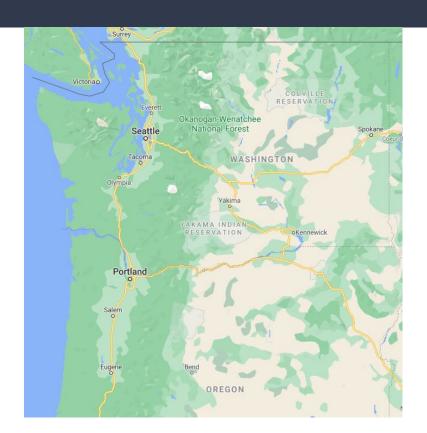
### July





#### Native American Reservations





### Socioeconomic Disparity

Rate ratios compared to	American Indian or Alaska	Asian, Non-	Black or African	Hispanic or
White, Non-Hispanic	Native, Non-Hispanic	Hispanic	American, Non-	Latino
Persons	persons	persons	Hispanic persons	persons
Cases <sup>1</sup>	2.8x	1.1x	2.6x	2.8x
	higher	higher	higher	higher
Hospitalization <sup>2</sup>	5.3x	1.3x	4.7x	4.6x
	higher	higher	higher	higher
Death <sup>3</sup>	1.4x	No	2.1x	1.1x
	higher	Increase	higher	higher

# Conclusions and Recommendations

- There is a correlation between Seasonal Farm work and the increase in confirmed cases over the summer season.
- Recommend:
  - Provisions taken to protect ALL Seasonal Farmworkers, regardless of citizenship
  - Work with Tribe Leaders and Contractors to employ Native residents in the construction of housing, providing plumbing and other amenities to improve living conditions
  - Contact your state representatives and tell them that the improvement of socioeconomic conditions for people of color should be a top priority.

#### Future Work

- Redo study using latest Census data
- Investigate how broad the definition of "Probable Cases" is per state and how many of those cases actually turn positive.
- Study the occupations of people who have contracted COVID-19

#### Sources

NYC Coronavirus Updates-

https://www.nycgo.com/coronavirus-information-and-resources-for-travelers/

ABC News, As Florida begins to reopen state businesses, data shows no significant drop in COVID-19 case numbershttps://abcnews.go.com/US/florida-begins-reopen-state-businesses-data-shows-significant/story?id=70747130

NY.gov, *Metrics to Guide Reopening New York*, <a href="https://forward.ny.gov/metrics-guide-reopening-new-york">https://forward.ny.gov/metrics-guide-reopening-new-york</a>

ABC News, Timeline: The first 100 days of New York Gov. Andrew Cuomo's COVID-19 response,

https://abcnews.go.com/US/News/timeline-100-days-york-gov-andrew-cuomos-covid/story?id=71292880

NBC New York, NYC Orders Hotels to Ban Some Hotspot Tourists; 2 More States Land on Quarantine List

https://www.nbcnewyork.com/news/local/cuomo-to-update-quarantine-list-as-new-york-virus-numbers-remain-in-check/2572422/

NPR.org, Welcome To New York, Traveler. Now Please Begin Your Quarantine

https://www.npr.org/2020/08/08/900432094/nyc-expands-enforcement-of-state-travel-restrictions

Forbes, Will New York City Survive The Covid Pandemic And Recession?

https://www.forbes.com/sites/richardmcgahey/2020/08/14/will-new-york-city-survive-the-covid-pandemic-and-recession/#aff3c6266d8d

Student Action with Farmworkers, *United States Farmworker Factsheet*.

https://saf-unite.org/content/united-states-farmworker-factsheet

Washington Post, Suspension of visa processing for Mexican seasonal workers hits U.S. farms, fisheries,

https://www.washingtonpost.com/business/2020/03/18/seasonal-far mworkers-visas-food-supply-chain-coronavirus/

The Conversation, *How coronavirus threatens the seasonal farmworkers at the heart of the American food supply*, https://theconversation.com/how-coronavirus-threatens-the-season

#### More Sources

The Conversation, *How coronavirus threatens the seasonal farmworkers at the heart of the American food supply*, <a href="https://theconversation.com/how-coronavirus-threatens-the-seasonal-farmworkers-at-the-heart-of-the-american-food-supply-135252">https://theconversation.com/how-coronavirus-threatens-the-seasonal-farmworkers-at-the-heart-of-the-american-food-supply-135252</a>

U.S. Customs and Border Protection, *Southwest Border Migration FY 2020*,

https://www.cbp.gov/newsroom/stats/sw-border-migration

The New York Times, Farmworkers, Mostly Undocumented, Become 'Essential' During Pandemic,

https://www.nytimes.com/2020/04/02/us/coronavirus-undocumented-immigrant-farmworkers-agriculture.html

Economic Policy Institute, *Coronavirus and farmworkers: Farm* employment, safety issues, and the H-2A guestworker program, <a href="https://www.epi.org/publication/coronavirus-and-farmworkers-h-2a/">https://www.epi.org/publication/coronavirus-and-farmworkers-h-2a/</a>

American Progress, *Protecting Farmworkers From Coronavirus and Securing the Food Supply*,

https://www.americanprogress.org/issues/economy/reports/2020/04 /23/483488/protecting-farmworkers-coronavirus-securing-food-suppl y/

United States Department of Agriculture, *Number of farms 2017* <a href="https://www.nass.usda.gov/Publications/AgCensus/2017/Online\_Resources/Ag\_Atlas\_Maps/17-M207-RGBDot1-largetext.pdf">https://www.nass.usda.gov/Publications/AgCensus/2017/Online\_Resources/Ag\_Atlas\_Maps/17-M207-RGBDot1-largetext.pdf</a>

Instituciones del Gobierno de México, <a href="https://datos.covid-19.conacyt.mx/">https://datos.covid-19.conacyt.mx/</a>

Navajo Relief Fund, *Living Conditions*, <a href="http://www.nativepartnership.org/site/PageServer?pagename=nrf\_livingconditions">http://www.nativepartnership.org/site/PageServer?pagename=nrf\_livingconditions</a>

## Thank you