## Homework Set 4, CPSC 6430/4430

 $LastName,\ FirstName$ 

Due 04/03/2024, 11:59PM EST

## Implementation and Application

Please refer to Jupyter Notebook.

## K-NN and Decision Boundary

The table below provides a training dataset containing six observations, three predictors and one qualitative response variable.

Obs.	$X_1$	$X_2$	$X_3$	Y
1	0	3	0	Red
2	2	0	0	Red
3	0	1	3	Red
4	0	1	2	Green
5	-1	0	1	Green
6	1	1	1	Red

Suppose we wish to use this data set to make a prediction for Y when  $X_1 = X_2 = X_3 = 0$  using K-nearest neighbors (K-NN).

- 1. Compute the Euclidean distance between each observation and the test point  $X_1 = X_2 = X_3 = 0$ .
- 2. What is our prediction with K = 1 and why?
- 3. What is our prediction with K=3 and why? Please determine the probability assigning to each class (Red or Green) by using uniform weight or distance weight (where each neighbor's weight is determined by  $w_i = \frac{exp\{-d_i^2\}}{\sum_{j=1}^K exp\{-d_j^2\}}$  and  $d_i$  denotes the Euclidean distance of testing data to *i*-th nearest neighbor data) respectively.
- 4. Now if we only use the first two features  $X_1$  and  $X_2$ , please scatter plot the six observation points and plot the contour for decision boundary by referring to here.

## Objective for k-means

Given the objective of k-means:

$$\min_{\mu_1, \mu_2, \dots, \mu_k} \sum_{i=1}^k \sum_{x \in \mathcal{C}_i} \|x - \mu_i\|_2^2. \tag{1}$$

Please prove that k-means algorithm will monotonically make the above objective non-increasing. If we change the objective to:

$$\min_{\mu_1, \mu_2, \dots, \mu_k} \sum_{i=1}^k \sum_{x \in \mathcal{C}_i} \|x - \mu_i\|_1,$$
(2)

please design an algorithm (similar to k-means) to make the objective non-increasing and prove it.