

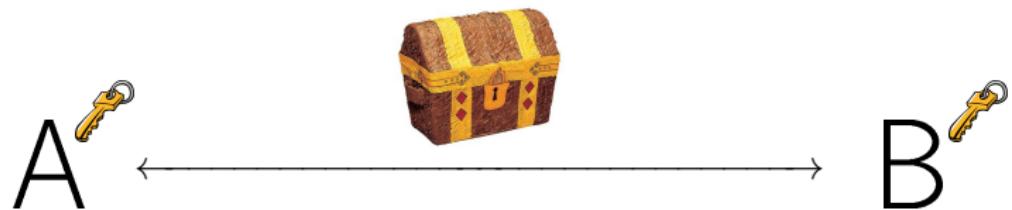
# Security of Authenticated Encryption Modes

Bart Mennink  
Radboud University (The Netherlands)

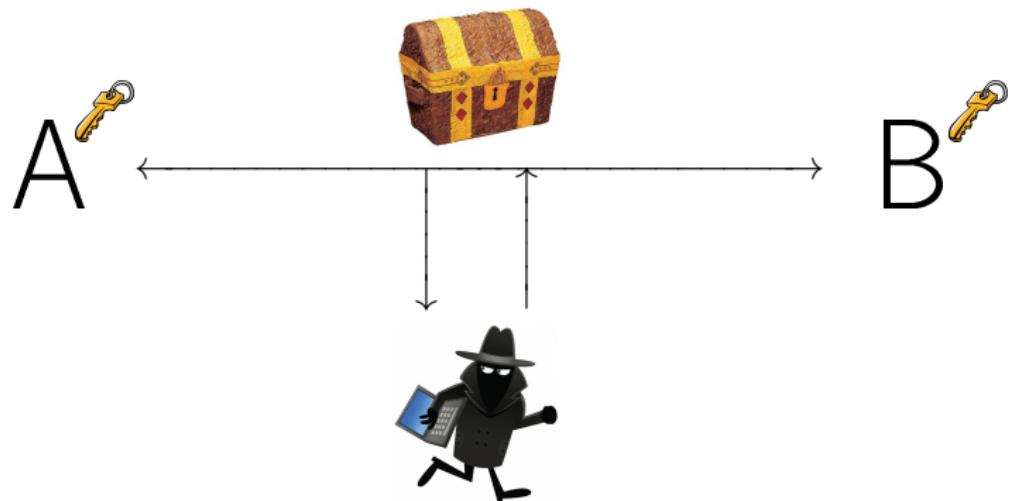
COST Training School on  
Symmetric Cryptography and Blockchain

February 22, 2018

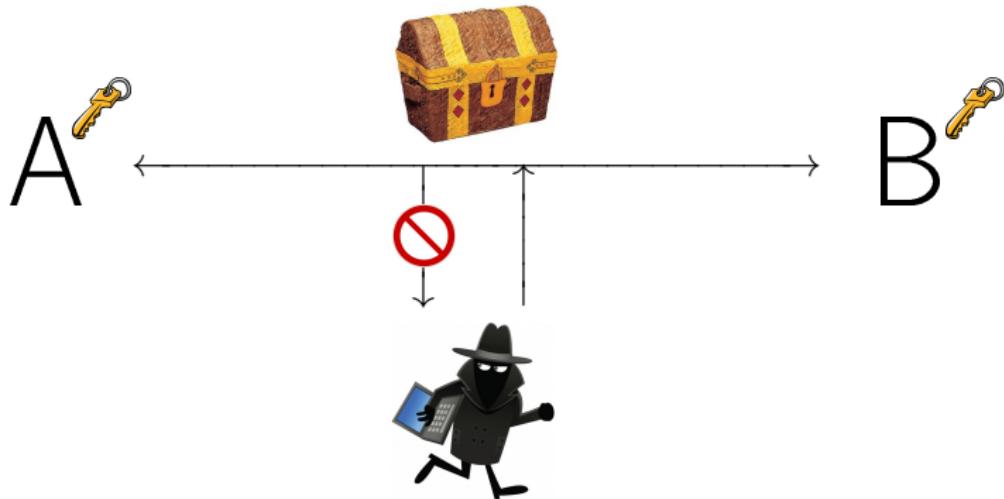
# Authenticated Encryption



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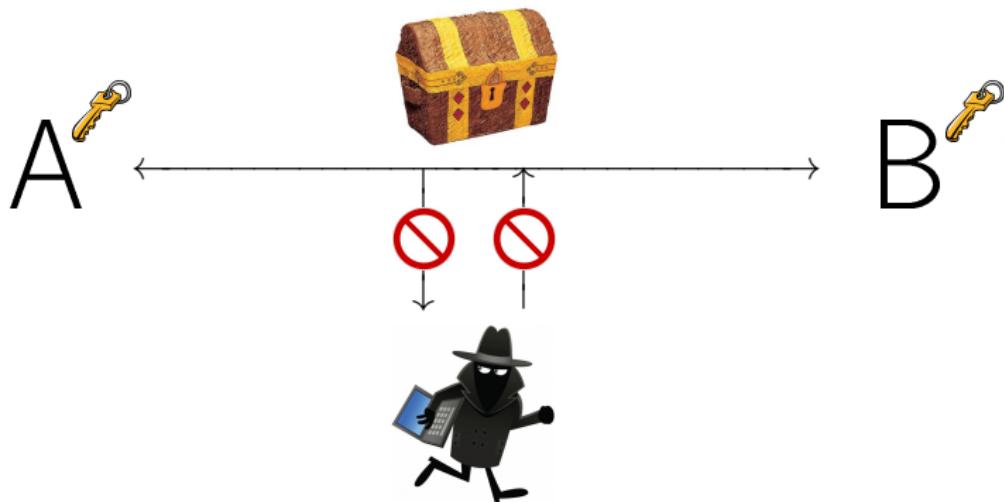
# Authenticated Encryption



## Encryption

- No outsider can learn anything about data

# Authenticated Encryption



## Encryption

- No outsider can learn anything about data

## Authentication

- No outsider can manipulate data

# CAESAR Competition

ALL HAIL CAESAR,  
THE KING OF SALADS!  
ET TU, HOUSTON?

## CAESAR SALAD COMPETITION

THURSDAY, OCTOBER 6  
5:30 – 8 P.M.  
HILTON UNIVERSITY OF HOUSTON  
4450 UNIVERSITY DRIVE

TASTY! YES.  
GARLIC BREATH? INEVITABLE.  
FUN? ABSOLUTELY! FREE ADMISSION TO  
THE FIRST 10 GUESTS WHO WEAR A TOGA!

PURCHASE YOUR TICKETS

\$40 IN ADVANCE • \$45 AT THE DOOR  
COMPLIMENTARY UNDERGROUND GARAGE PARKING

[www.caesarsaladcompetitionhouston.com](http://www.caesarsaladcompetitionhouston.com)

PROCEEDS FROM THE EVENT BENEFIT THE FOOD & BEVERAGE MANAGERS ASSOCIATION EDUCATIONAL ENDOWMENTS.

"LETTUCE" DAZZLE YOU WITH BOTH THE CLASSIC AND THE CREATIVELY CULINARY ITERATIONS OF CAESAR SALADS AS CHEFS FROM THE HOUSTON AREA'S FINEST RESTAURANTS COMPETE FOR FOUR COVETED AWARDS—AND YOUR VOTE!

• CONSUMERS' CHOICE • MOST CREATIVE • BEST CLASSIC

UNIVERSITY of HOUSTON  
CONRAD N. HILTON COLLEGE

HOUSTON'S DINING MAGAZINE  
**MY TABLE**

FOOD & BEVERAGE  
MANAGERS  
ASSOCIATION  
EDUCATIONAL ENDOWMENTS

DESIGNED BY BATIE GORDON

# CAESAR Competition

## Competition for Authenticated Encryption: Security, Applicability, and Robustness

**Goal:** portfolio of authenticated encryption schemes

Mar 15, 2014: 57 first round candidates

Jul 7, 2015: 29.5 second round candidates

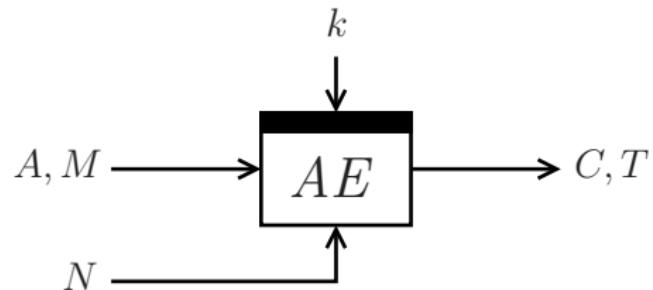
Aug 15, 2016: 16 third round candidates

?: announcement of finalists

?: announcement of final portfolio

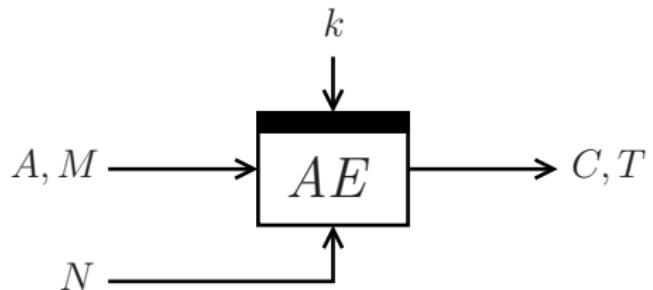


# Authenticated Encryption



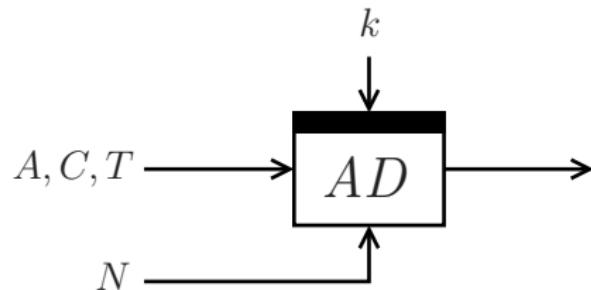
- Ciphertext  $C$  encryption of message  $M$
- Tag  $T$  authenticates associated data  $A$  and message  $M$

# Authenticated Encryption



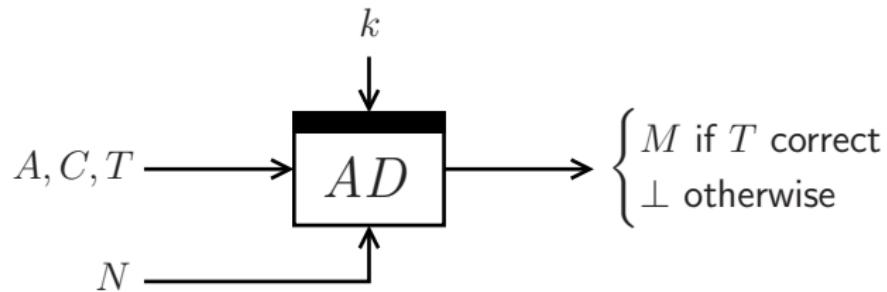
- Ciphertext  $C$  encryption of message  $M$
- Tag  $T$  authenticates associated data  $A$  and message  $M$
- Nonce  $N$  randomizes the scheme

## Authenticated Decryption



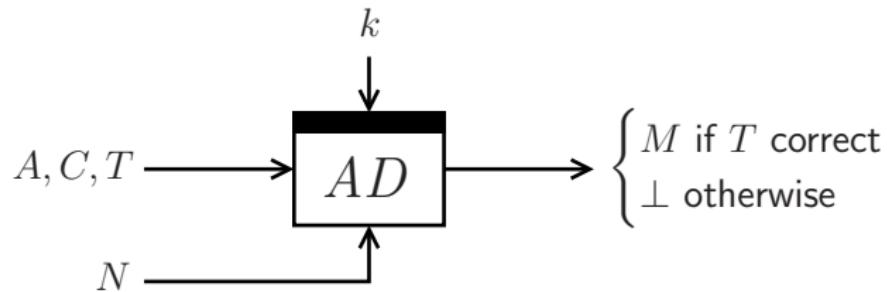
- Authenticated decryption needs to satisfy that
  - Message disclosed if tag is **correct**
  - Message is not leaked if tag is **incorrect**

## Authenticated Decryption



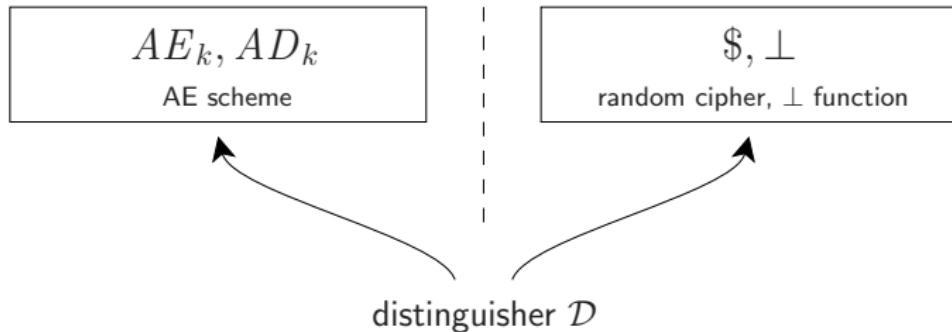
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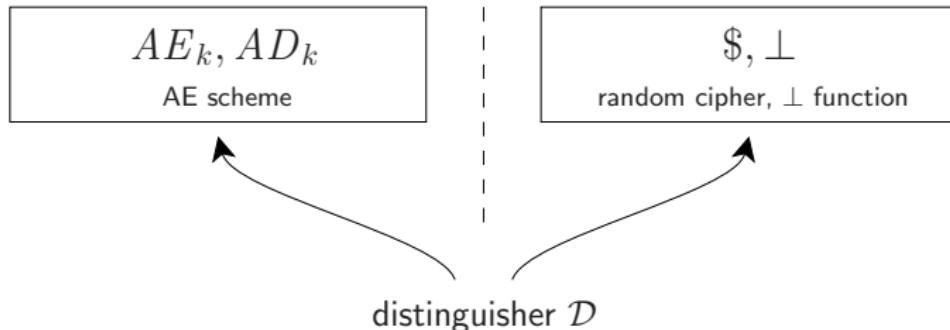
- Authenticated decryption needs to satisfy that
  - Message disclosed if tag is **correct**
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- Correctness:  $AD_k(N, A, AE_k(N, A, M)) = M$

# Authenticated Encryption Security



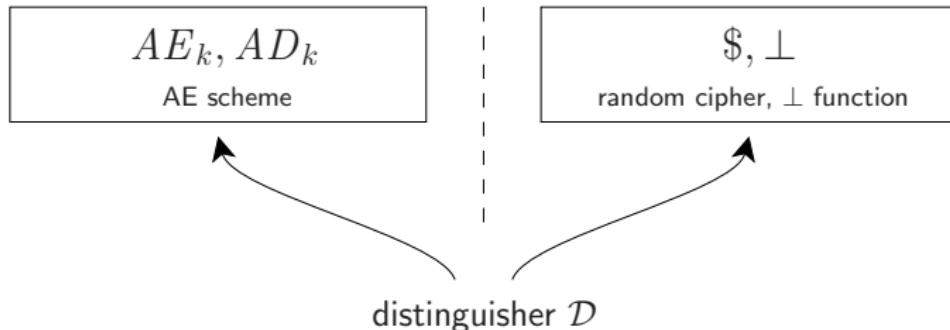
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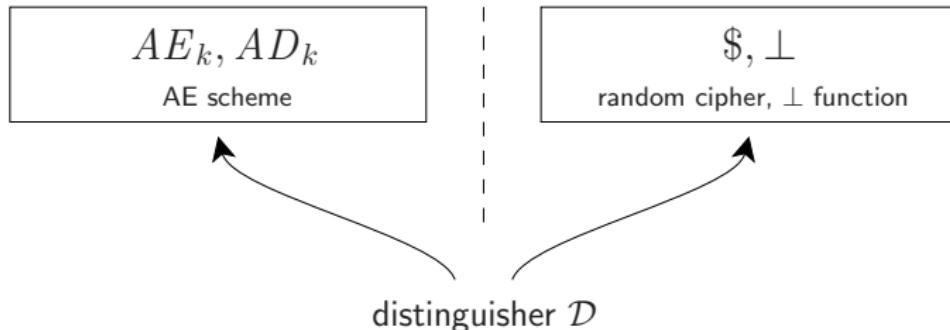
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→ unique nonce for each encryption query

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$$\mathbf{Adv}_{AE}^{\text{ae}}(\mathcal{D}) = \left| \Pr[\mathcal{D}^{AE_k, AD_k} = 1] - \Pr[\mathcal{D}^{\$, \perp} = 1] \right|$$

# 100% Security is Impractical

SARENZA  
SERIOUS ABOUT SHOES

ACCOUNT    ALERTS    WISH LIST    BASKET

✓ Delivery Hermes	FREE
<input type="checkbox"/> Enter a promo code <i>i</i>	
Total	£112.50

ORDER (100% SECURED PAYMENT 

# Outline

Generic Composition

Link With Tweakable Blockciphers

Tweakable Blockciphers Based on Masking

Nonce-Reuse

Conclusion

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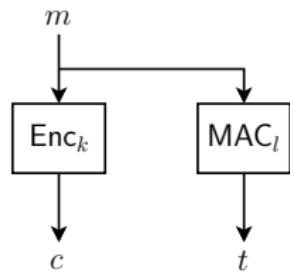
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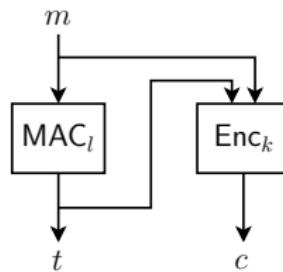
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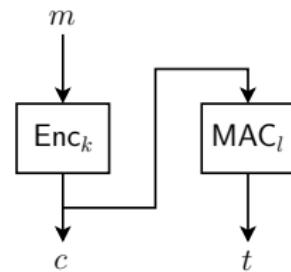
E&M



MtE



EtM



- Used in SSH

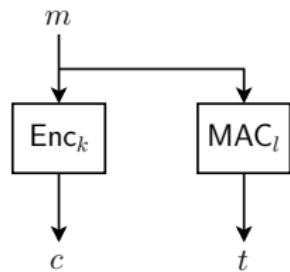
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- Used in IPsec

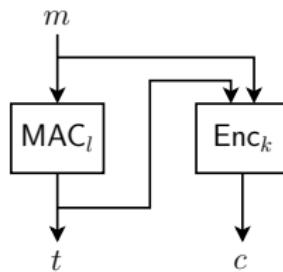
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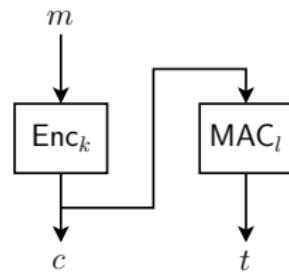
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- Used in SSH
- Generically insecure
  - $\text{MAC}_L(m) = m\|t$

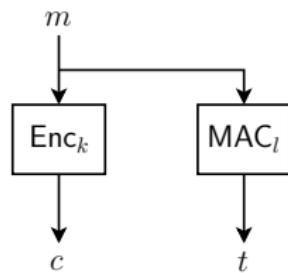
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- Used in IPSec

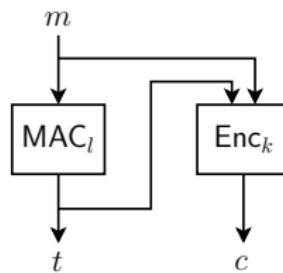
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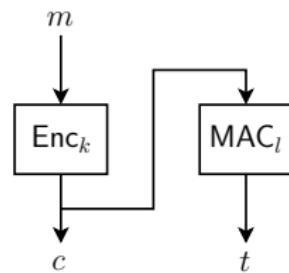
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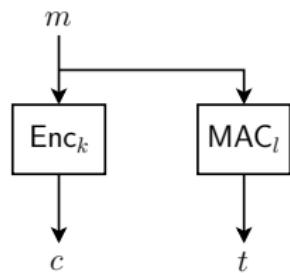
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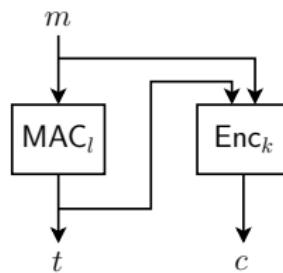
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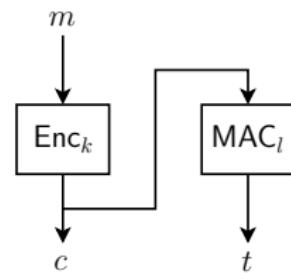
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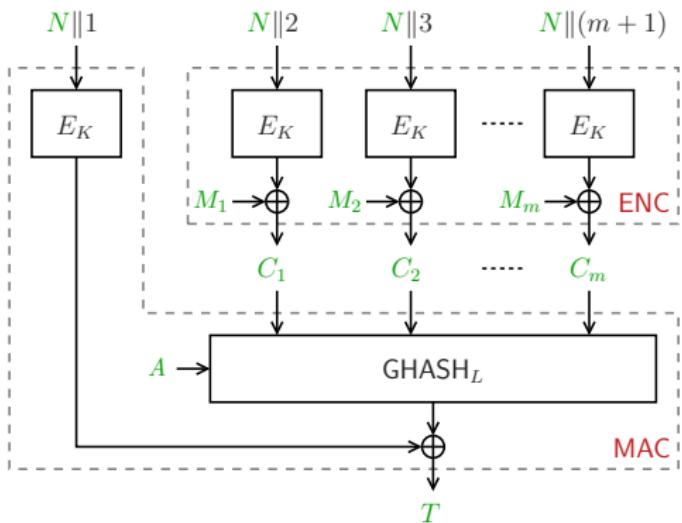


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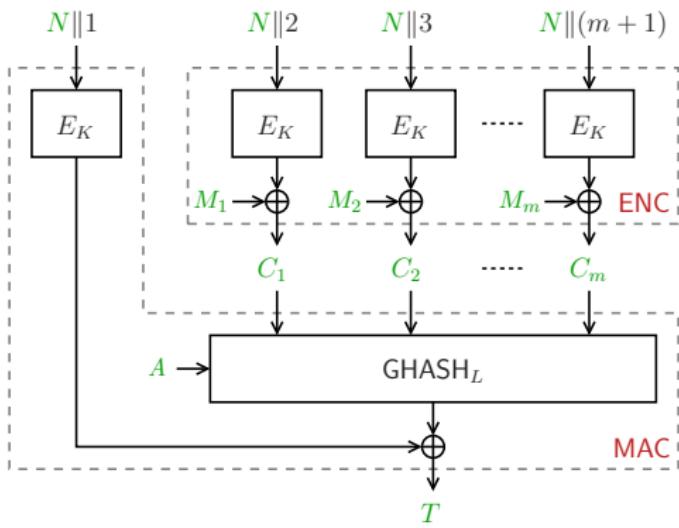
- Used in IPsec
- Most secure variant
- Ciphertext integrity

# GCM for 96-bit nonce $N$



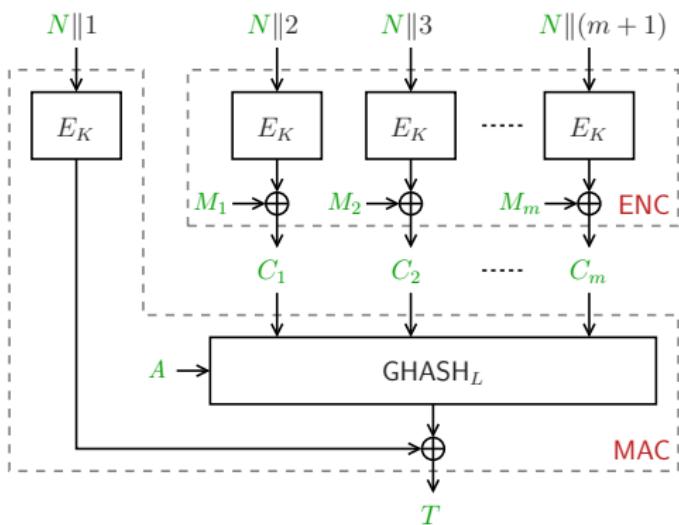
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- EtM design
- Widely used (TLS!)
- Patent-free

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- Parallelizable
- Evaluates  $E$  only (no  $E^{-1}$ )
- Provably secure  
(if  $E$  is PRP)
- Very efficient in HW
- Reasonably efficient in SW

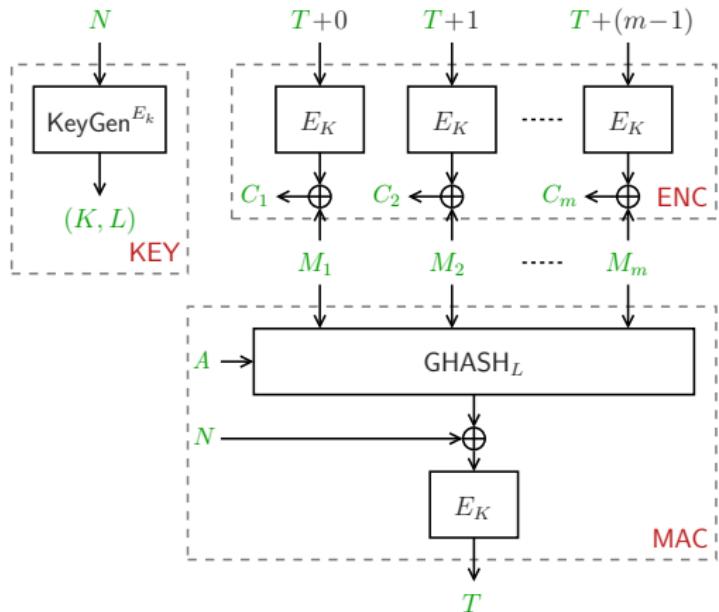
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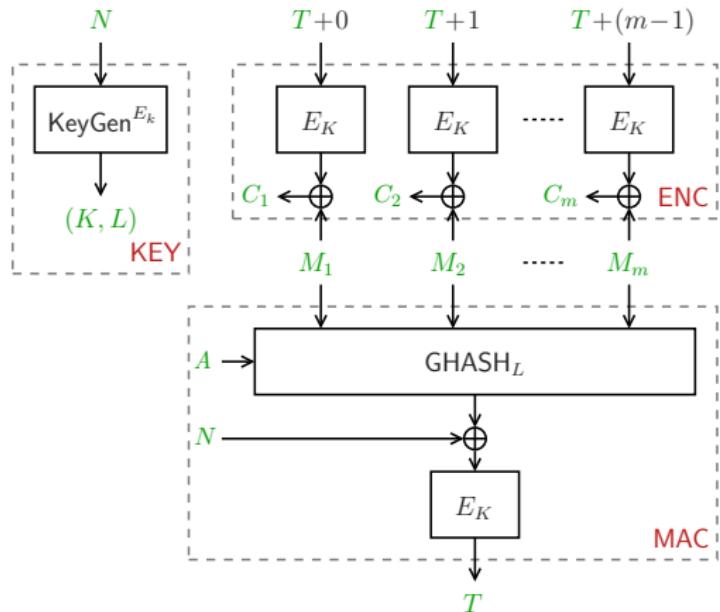
What happens if nonce is re-used?

# GCM-SIV



- Gueron and Lindell (2015)
- MtE design
- Ongoing standardization (IETF RFC)
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- Gueron and Lindell (2015)
- MtE design
- Ongoing standardization (IETF RFC)
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- Inherits GCM features
- Secure against nonce-reuse
- Proof: Iwata and Seurin (2017)

# Outline

Generic Composition

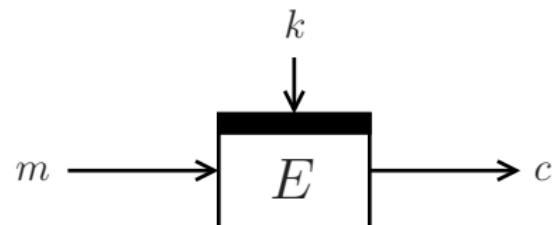
Link With Tweakable Blockciphers

Tweakable Blockciphers Based on Masking

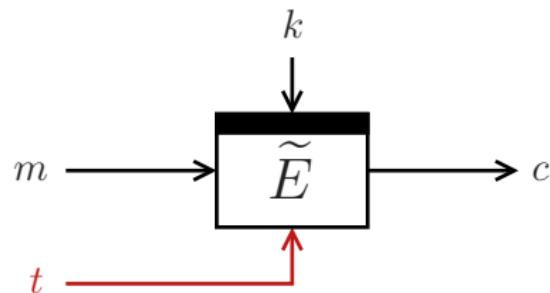
Nonce-Reuse

Conclusion

# Tweakable Blockciphers

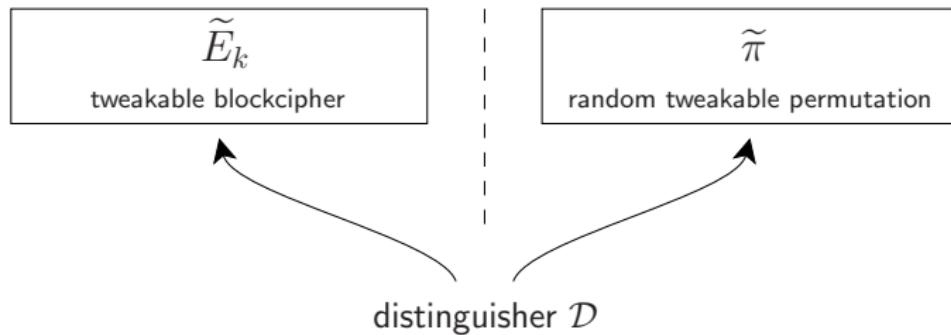


# Tweakable Blockciphers



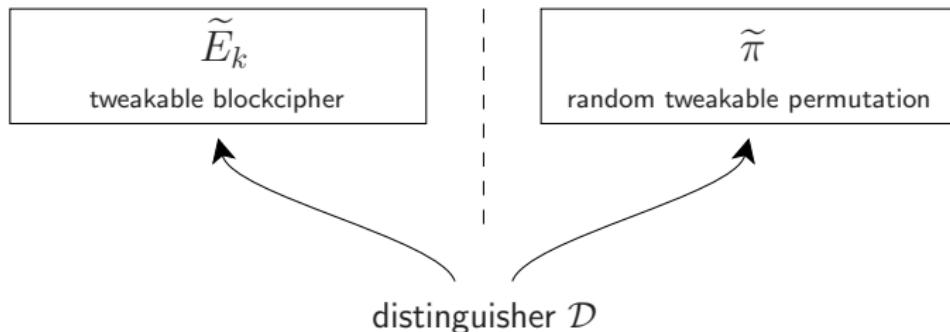
- Tweak: flexibility to the cipher
- Each tweak gives different permutation

# Tweakable Blockcipher Security



- $\tilde{E}_k$  should look like random permutation for every  $t$
- Different tweaks → pseudo-independent permutations

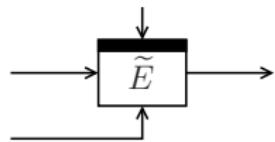
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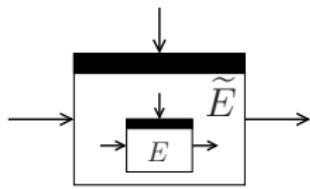
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$$\mathbf{Adv}_{\tilde{E}}^{\text{stprp}}(\mathcal{D}) = \left| \Pr \left[ \mathcal{D}^{\tilde{E}_k, \tilde{E}_k^{-1}} = 1 \right] - \Pr \left[ \mathcal{D}^{\tilde{\pi}, \tilde{\pi}^{-1}} = 1 \right] \right|$$

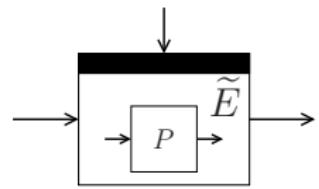
# Tweakable Blockcipher Designs



Dedicated

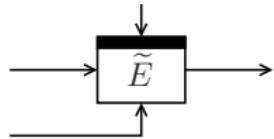


Blockcipher-Based



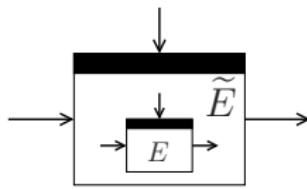
Permutation-Based

# Tweakable Blockcipher Designs in CAESAR



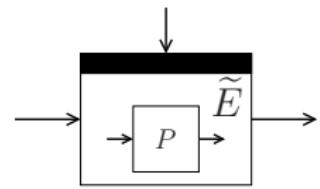
Dedicated

KIASU,  
Joltik,  
**SCREAM**,  
Deoxys



Blockcipher-Based

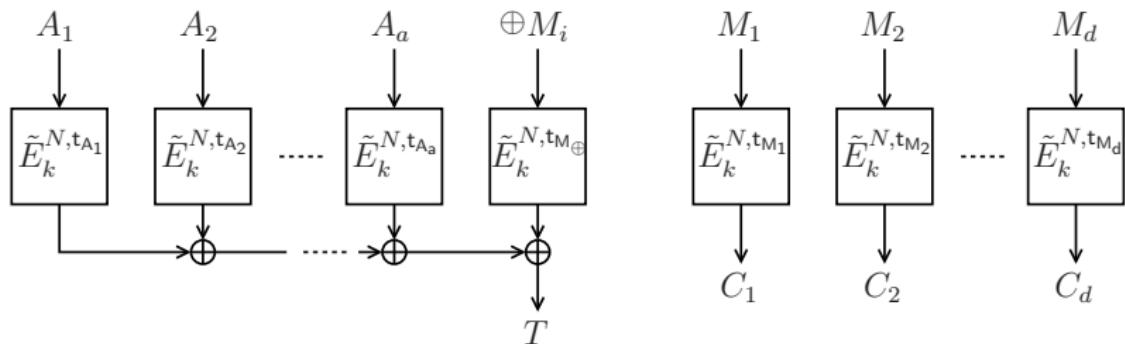
CBA, COBRA, iFeed,  
Marble, **OMD**, **POET**,  
**SHELL**, **AEZ**, **COPA**/  
**ELmD**, OCB, OTR



Permutation-Based

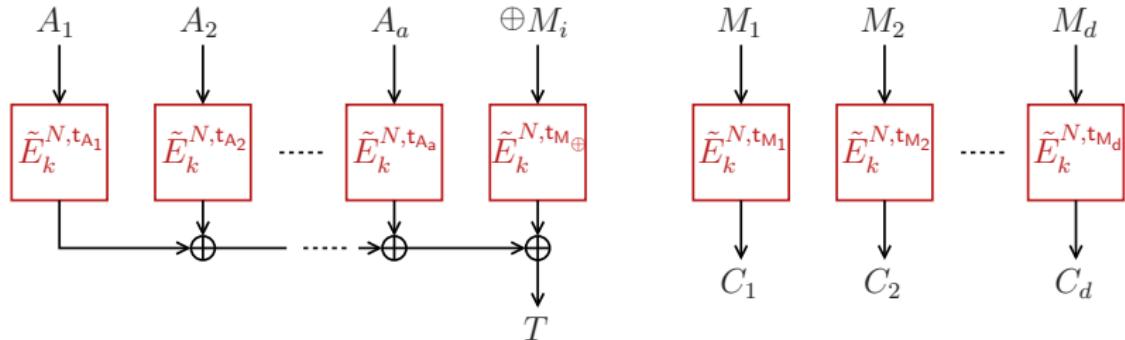
Prøst,  
**Minalpher**

## Example Use in OCBx (1/2)



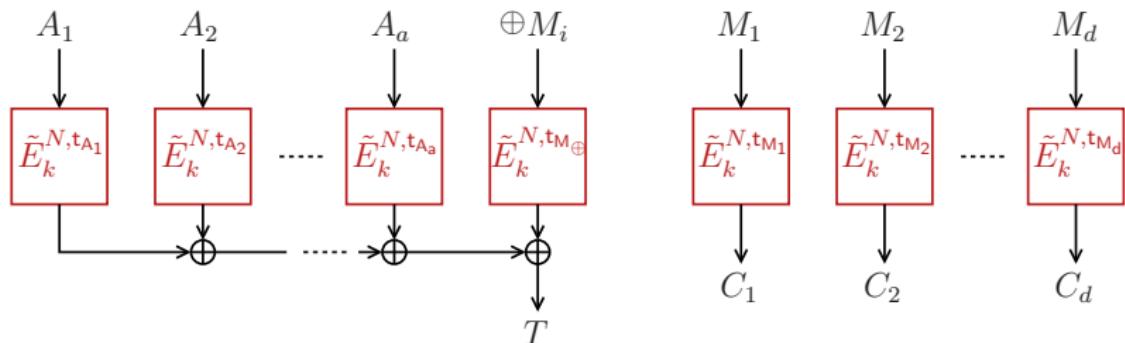
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  - Tweak  $(N, \text{tweak})$  is unique for **every** evaluation
  - Different blocks always transformed under different tweak

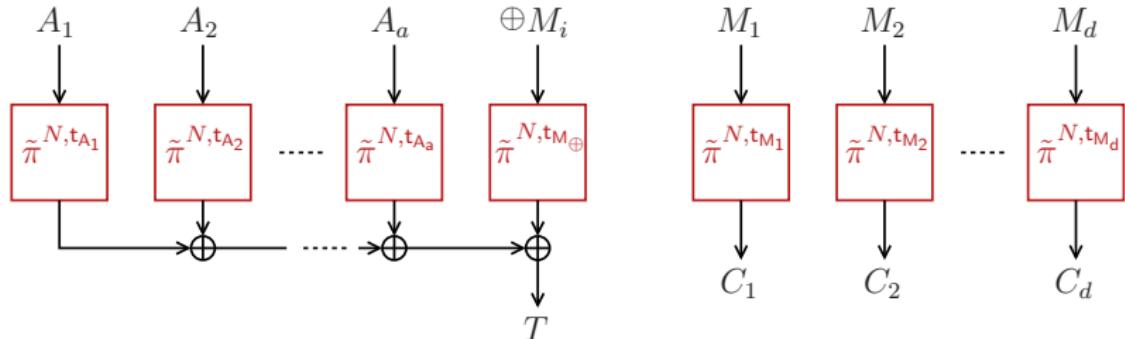
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$\mathbf{Adv}_{AE[\tilde{E}_k]}^{\text{ae}}(\sigma)$

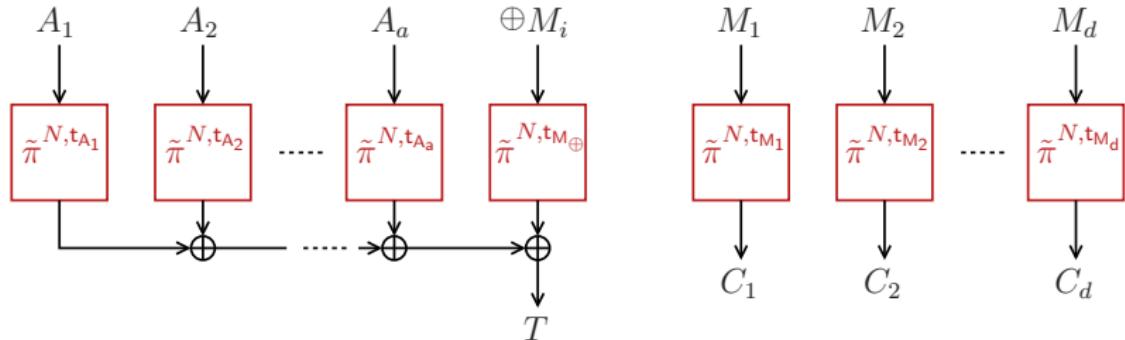
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$$\mathbf{Adv}_{AE[\tilde{E}_k]}^{\text{ae}}(\sigma) \leq \mathbf{Adv}_{AE[\tilde{\pi}]}^{\text{ae}}(\sigma)$$

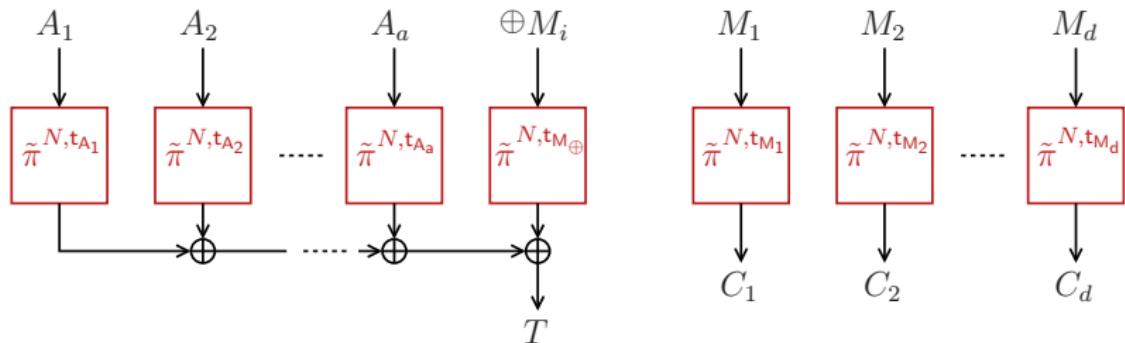
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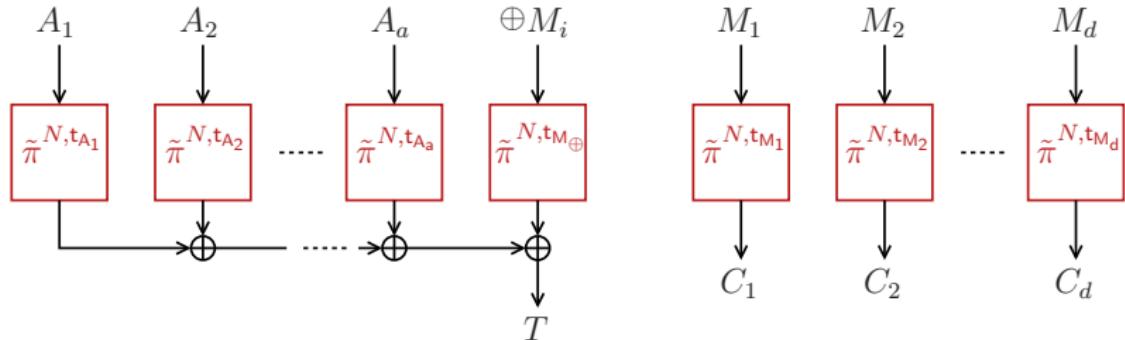
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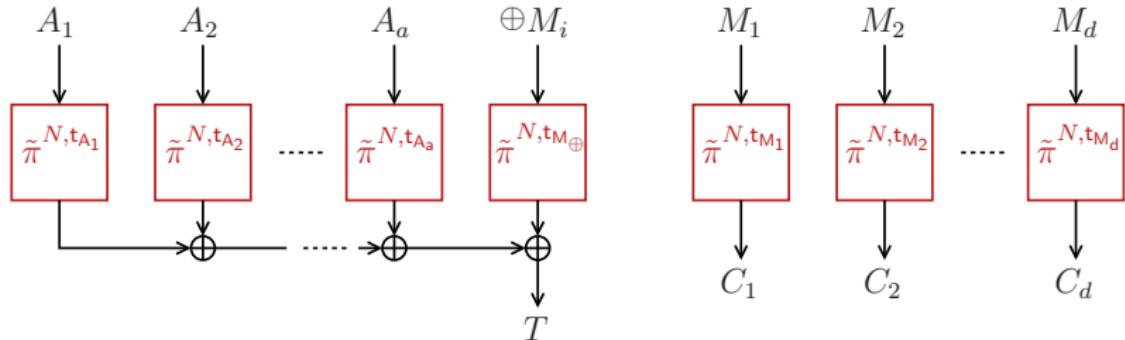


## Example Use in OCBx (2/2)



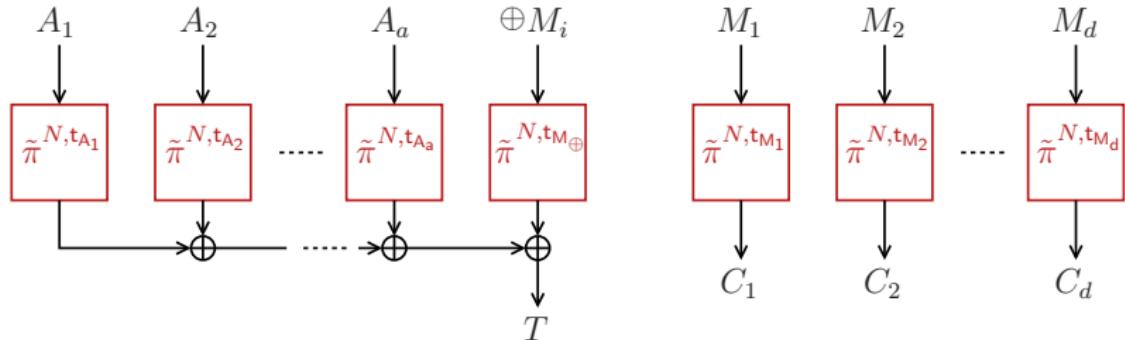
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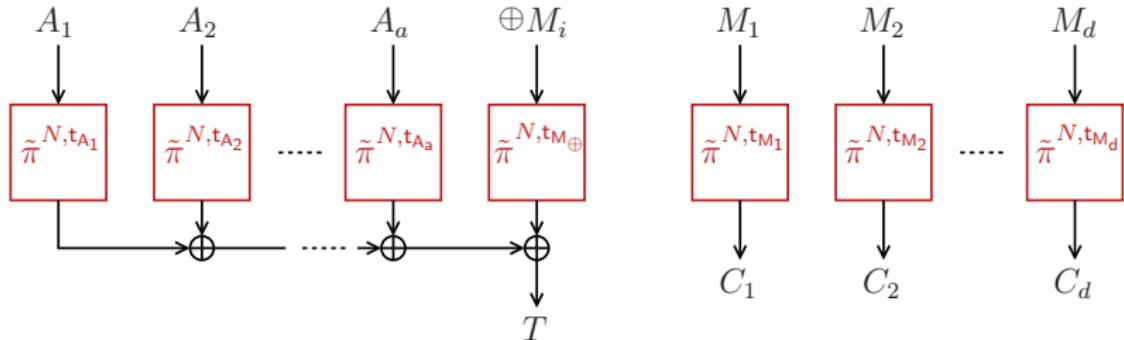
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## Example Use in OCBx (2/2)



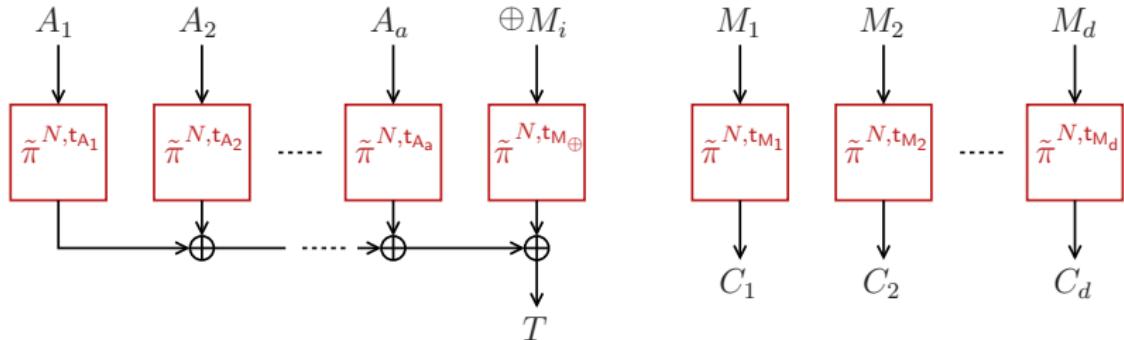
- Nonce uniqueness  $\Rightarrow$  tweak uniqueness
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## Example Use in OCBx (2/2)



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- Authentication behaves like random function
  - Tag forged with probability at most  $1/(2^n - 1)$

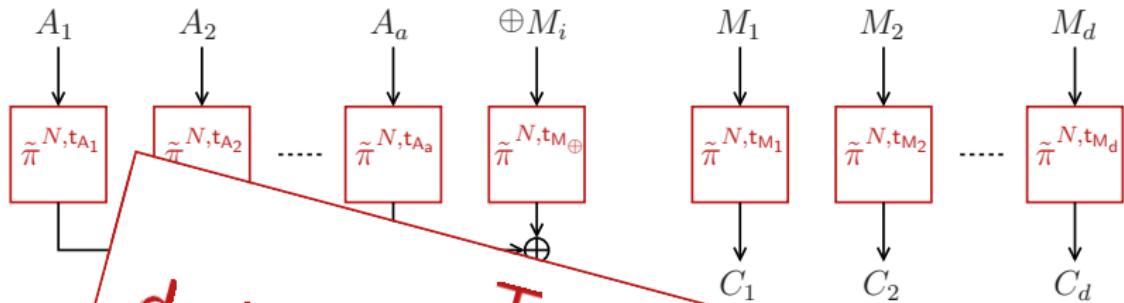
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- Authentication behaves like random function
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$$\mathbf{Adv}_{AE[\tilde{\pi}]}^{\text{ae}}(\sigma) \leq 1/(2^n - 1)$$

## Example Use in OCBx (2/2)



- design tweakable blockcipher* = \$
- Nonce uniqueness
  - Encryption calls behave like random functions
  - Authentication behaves like random functions
    - Tag forged with probability at most  $1/(2^n - 1)$

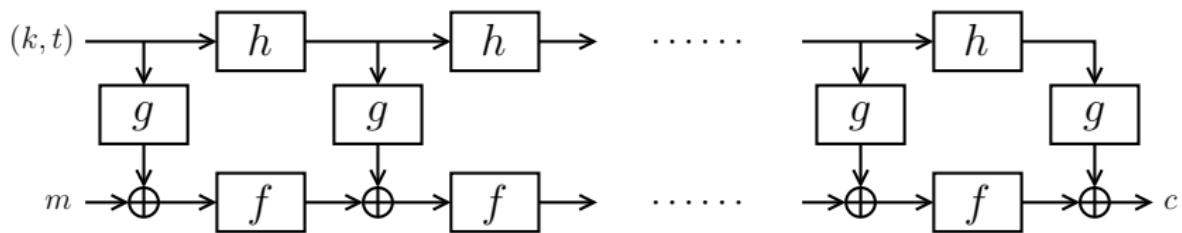
$$\mathbf{Adv}_{AE[\tilde{\pi}]}^{\text{ae}}(\sigma) \leq 1/(2^n - 1)$$

# Dedicated Tweakable Blockciphers

- Hasty Pudding Cipher [Sch98]
  - AES submission, “first tweakable cipher”
- Mercy [Cro01]
  - Disk encryption
- Threefish [FLS+07]
  - SHA-3 submission Skein
- TWEAKEY framework [JNP14]
  - Four CAESAR submissions
  - SKINNY & MANTIS

# TWEAKY Framework

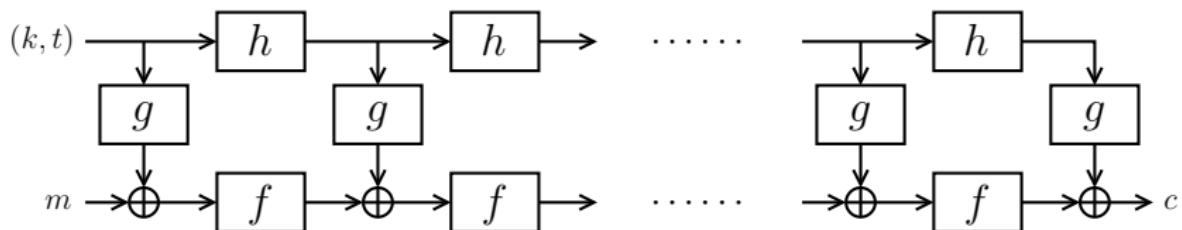
- TWEAKY by Jean et al. [JNP14]:



- $f$ : round function
- $g$ : subkey computation
- $h$ : transformation of  $(k, t)$

# TWEAKY Framework

- TWEAKY by Jean et al. [JNP14]:



- $f$ : round function
- $g$ : subkey computation
- $h$ : transformation of  $(k, t)$
- Security measured through cryptanalysis
- Our focus: modular design

# Outline

Generic Composition

Link With Tweakable Blockciphers

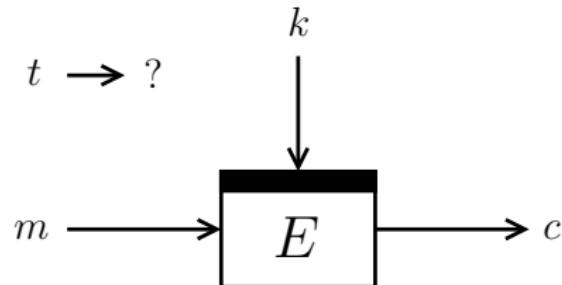
Tweakable Blockciphers Based on Masking

- Intuition
- State of the Art
- Improved Efficiency
- Improved Security

Nonce-Reuse

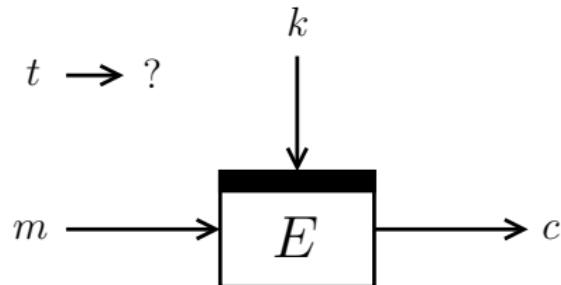
Conclusion

## Intuition: Design



- Consider a blockcipher  $E$  with  $\kappa$ -bit key and  $n$ -bit state  
How to mingle the tweak into the evaluation?

## Intuition: Design

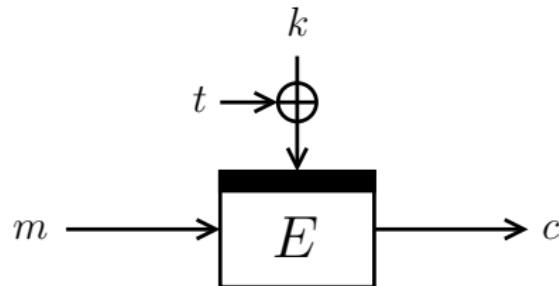


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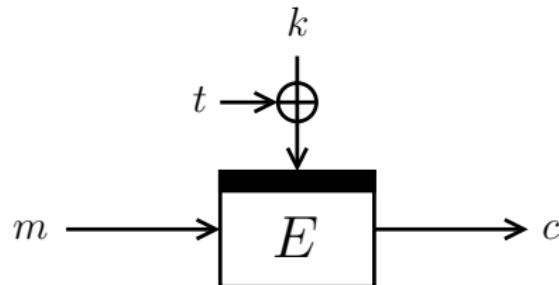
blend it with the key      blend it with the state

## Intuition: Design



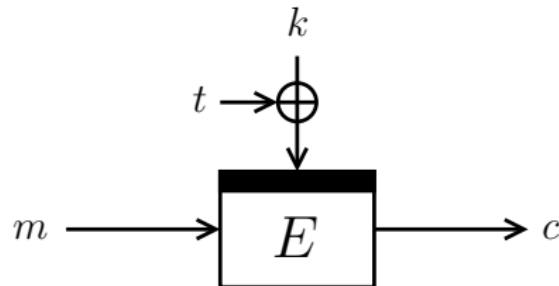
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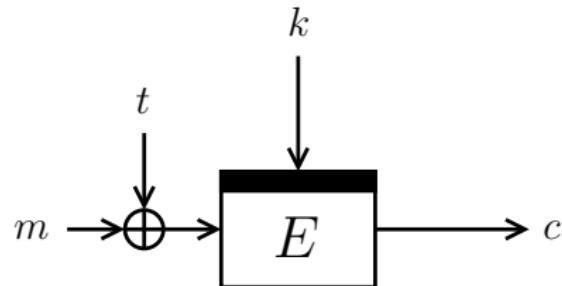
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- For  $\oplus$ -mixing, key can be recovered in  $2^{\kappa/2}$  evaluations
- Scheme is insecure if  $E$  is Even-Mansour

## Intuition: Design



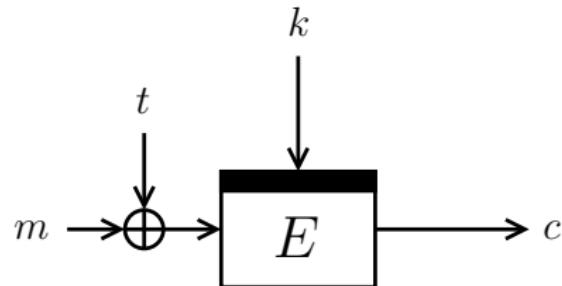
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- TWEAKY blending [JNP14] is more advanced

## Intuition: Design



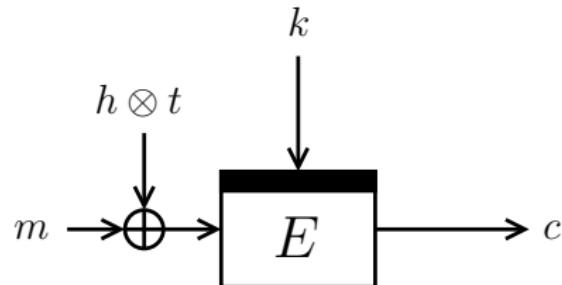
- Simple blending of tweak and state **does not work**

## Intuition: Design



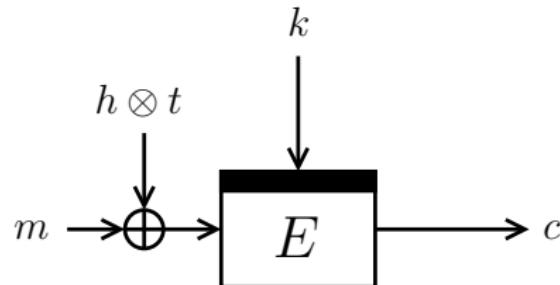
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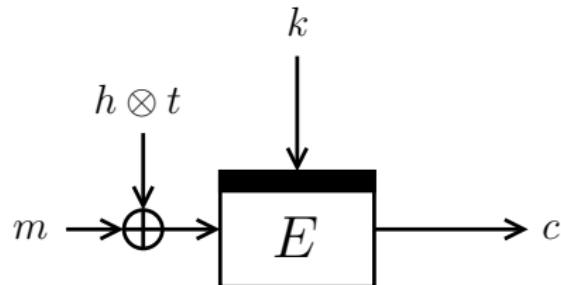
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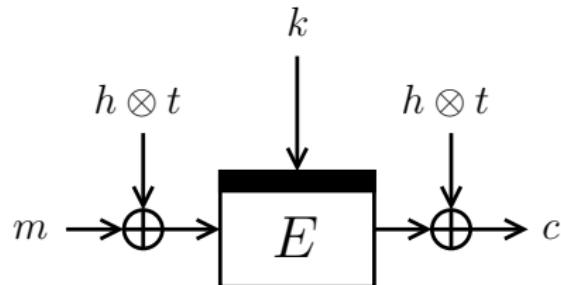
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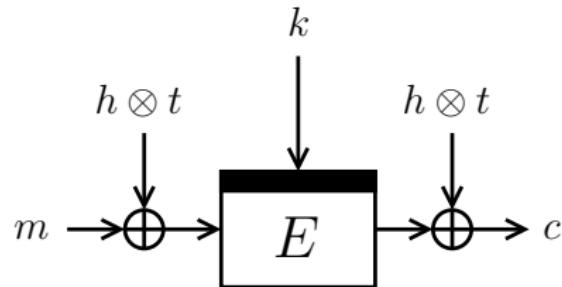
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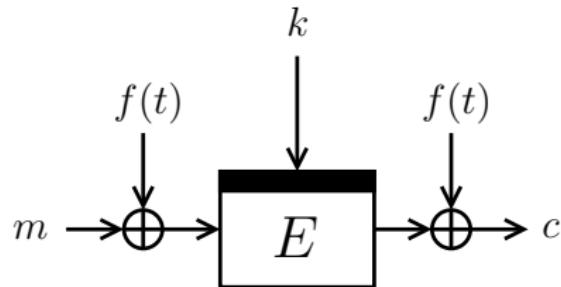
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## Intuition: Design



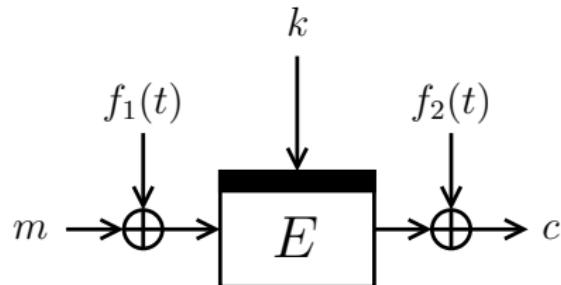
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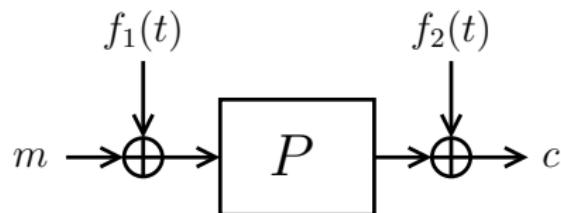
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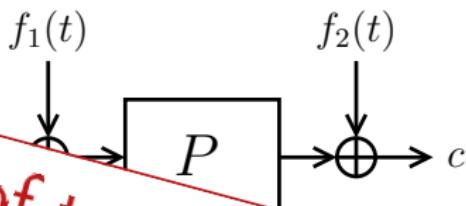
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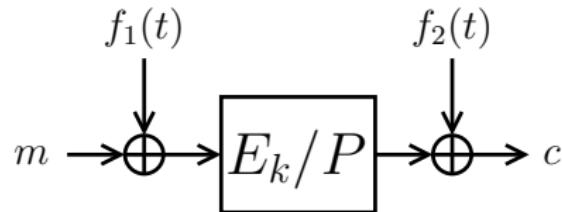
## Intuition: Design



*Majority of tweakable blockciphers follow mask- $E_k/P$ -mask principle*

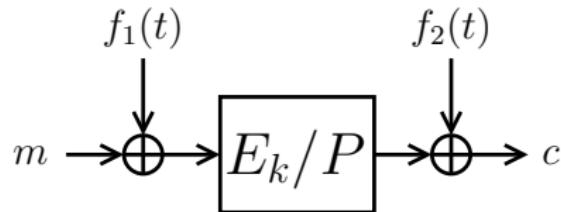
- Two-sided secrecy
- Can we generalize?
- Generalizing masking? Depends on function  $P$
- Variation in masking? Depends on functions  $f_1, f_2$
- Releasing secrecy in  $E$ ? Usually no problem

## Intuition: Analysis



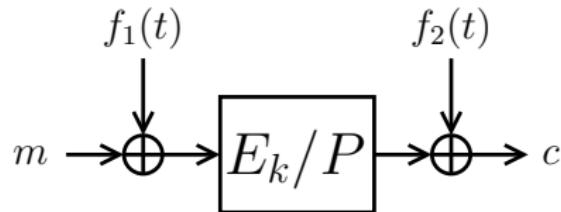
- $\tilde{E}_k$  should “look like” random permutation for every  $t$
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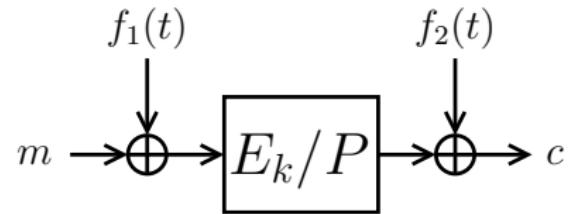
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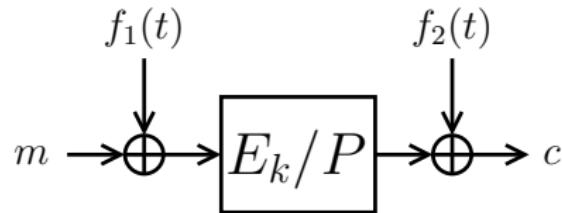


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## Intuition: Analysis



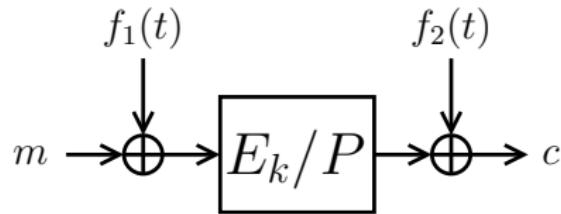
## Intuition: Analysis



- For any two queries  $(t, m, c), (t', m', c')$ :

$$m \oplus f_1(t) = m' \oplus f_1(t') \implies c \oplus f_2(t) = c' \oplus f_2(t')$$

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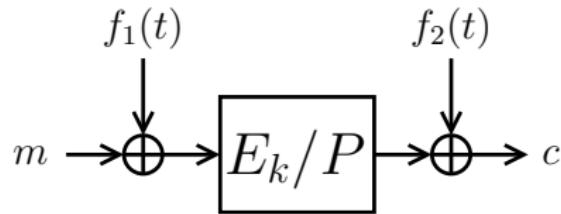


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- Unlikely to happen for random family of permutations

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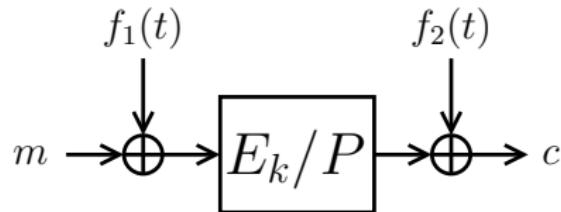


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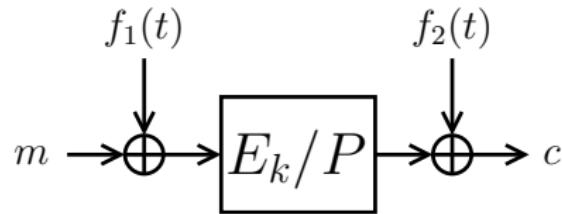
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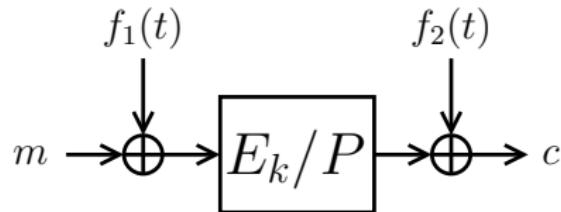
Scheme can be broken in  $\approx 2^{n/2}$  evaluations

## Intuition: Analysis



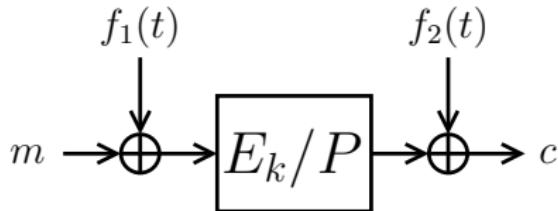
- The fun starts here!
- More technical and often more involved

## Intuition: Analysis



- The fun starts here!
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- Typical approach:
  - Consider any transcript  $\tau$  an adversary may see
  - Most  $\tau$ 's should be equally likely in both worlds
  - Odd ones should happen with very small probability

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All constructions in this presentation: secure up to  $\approx 2^{n/2}$  evaluations

# Outline

Generic Composition

Link With Tweakable Blockciphers

Tweakable Blockciphers Based on Masking

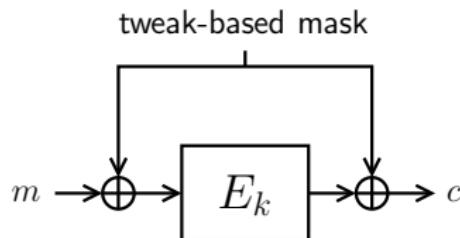
- Intuition
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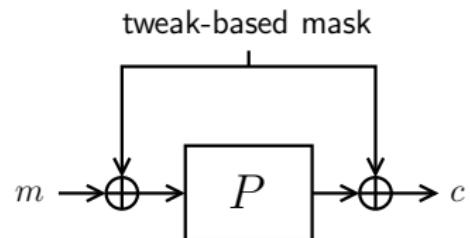
Conclusion

# Tweakable Blockciphers Based on Masking

Blockcipher-Based

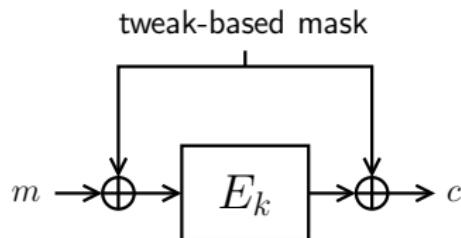


Permutation-Based



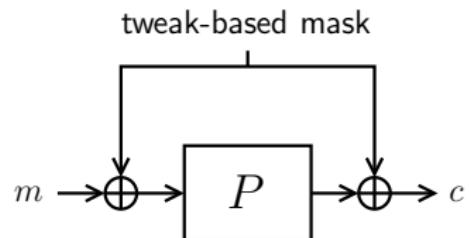
# Tweakable Blockciphers Based on Masking

Blockcipher-Based



typically 128 bits

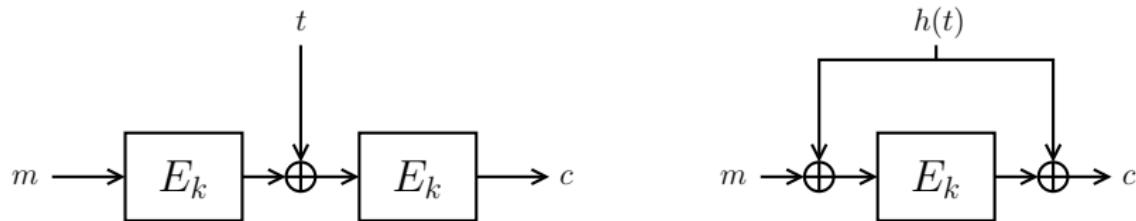
Permutation-Based



much larger: 256-1600 bits

## Original Constructions

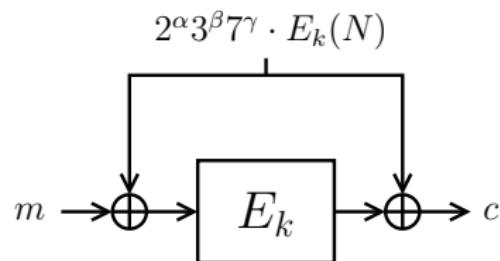
- LRW<sub>1</sub> and LRW<sub>2</sub> by Liskov et al. [LRW02]:



- $h$  is XOR-universal hash
  - E.g.,  $h(t) = h \otimes t$  for  $n$ -bit “key”  $h$

# Powering-Up Masking (XEX)

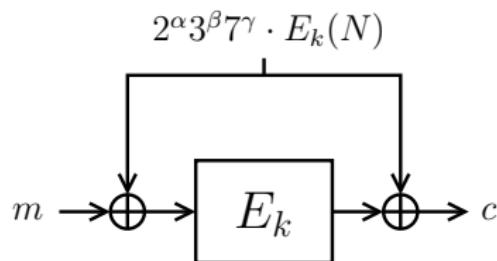
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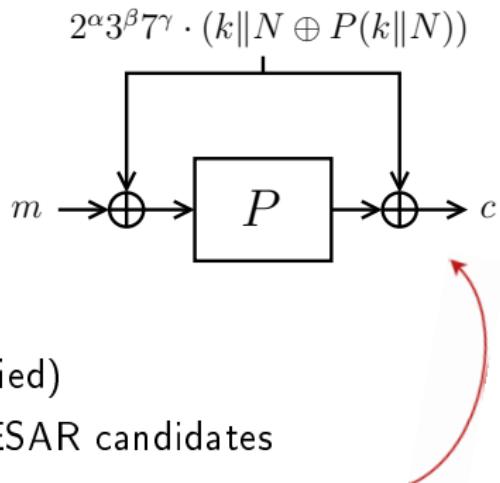
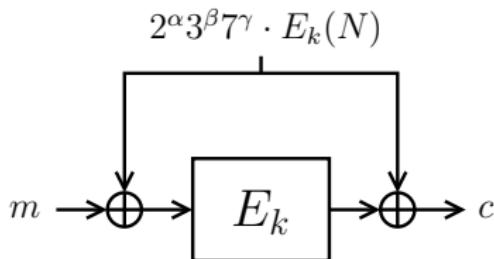
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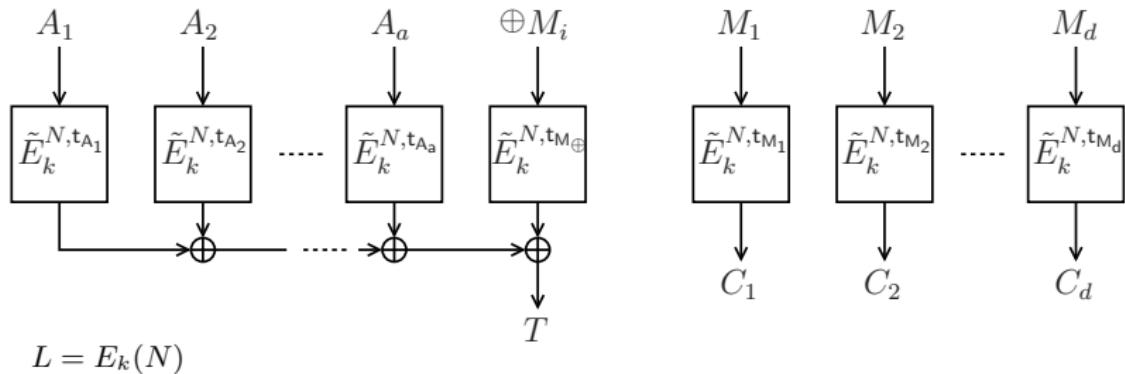
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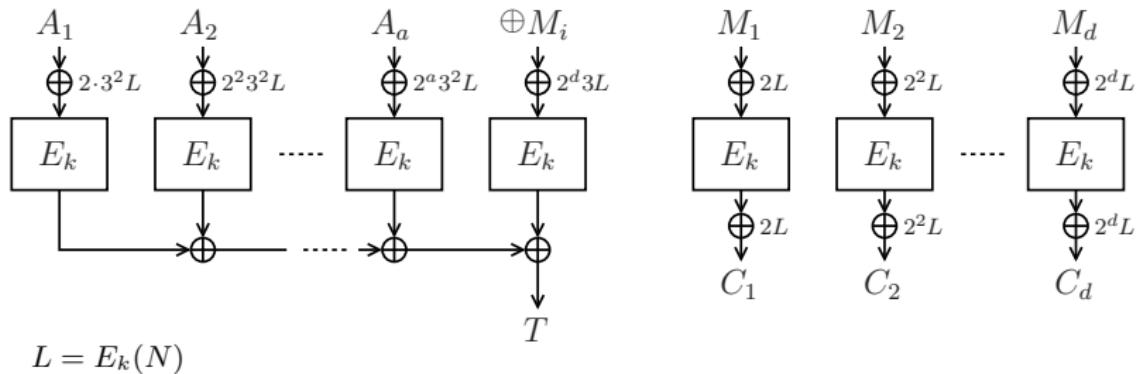


- $(\alpha, \beta, \gamma, N)$  is tweak (simplified)
- Used in OCB2 and ±14 CAESAR candidates
- Permutation-based variants in Minalpher and Prøst (generalized by Cogliati et al. [CLS15])

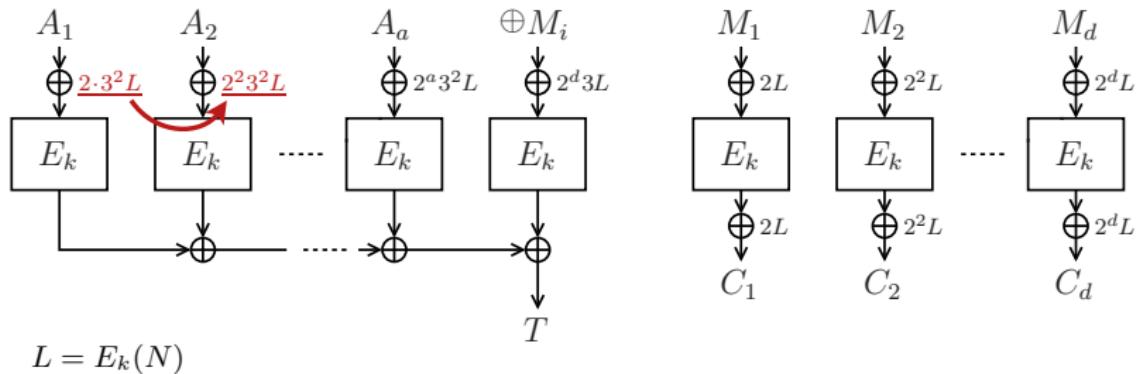
# Powering-Up Masking in OCB2



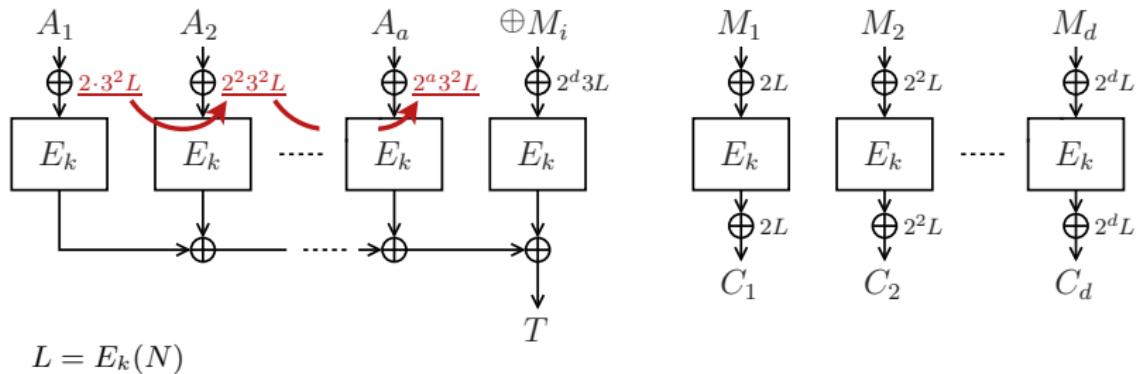
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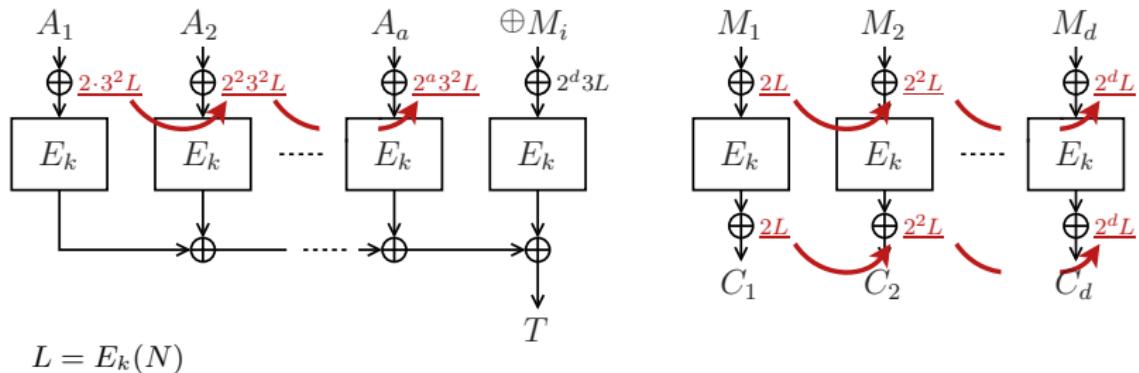
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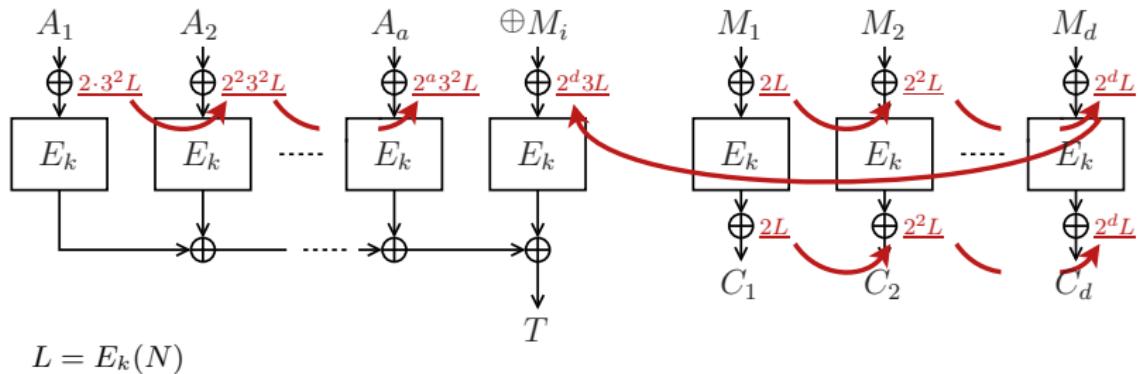
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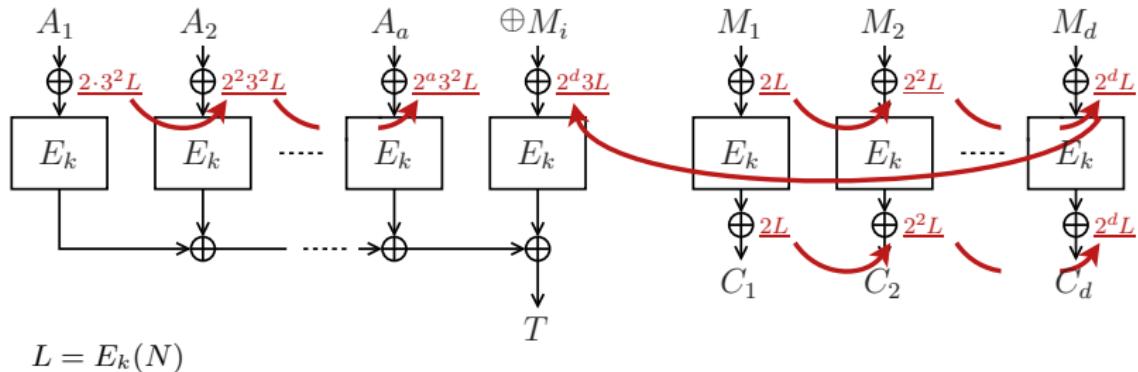
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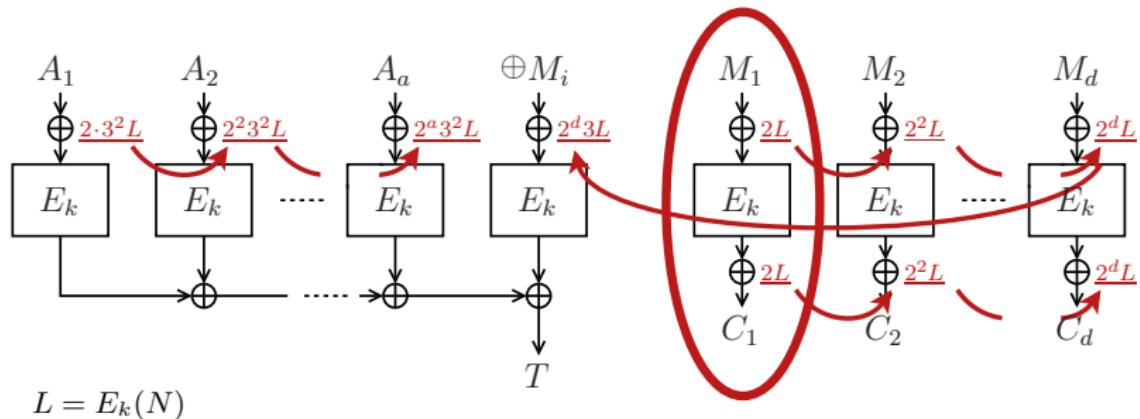


# Powering-Up Masking in OCB2



- Update of mask:
  - Shift and conditional XOR
- Variable time computation
- Expensive on certain platforms

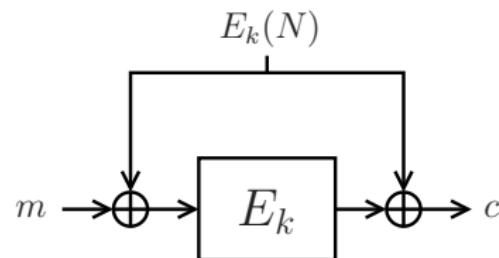
## Intermezzo: Why Start at $2 \cdot E_k(N)$ ?



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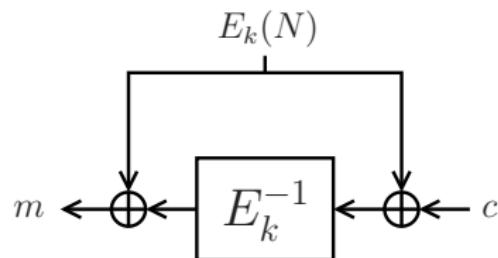
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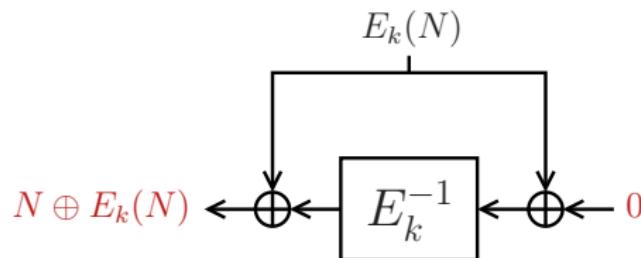
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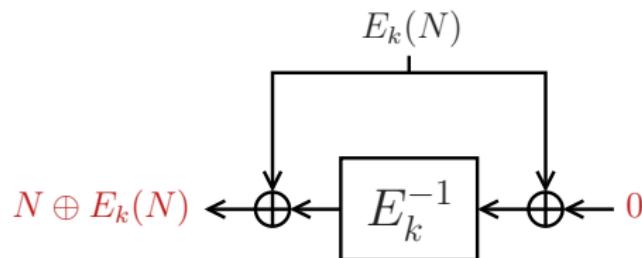
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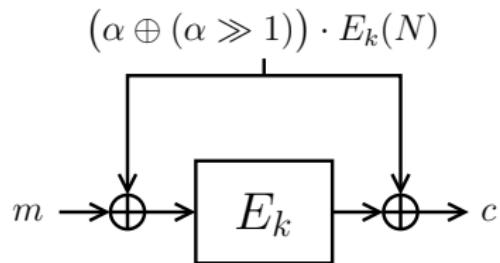
- Suppose we would mask with  $E_k(N)$ :



- Distinguisher can make inverse queries
- Putting  $c = 0$  gives  $m = N \oplus E_k(N)$
- Distinguisher knows  $N$  so learns “subkey”  $E_k(N)$

# Gray Code Masking

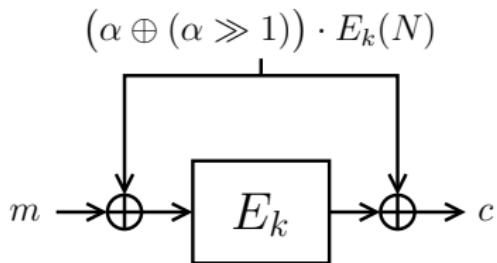
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- OCB1 and OCB3 use Gray Codes:



- $(\alpha, N)$  is tweak
- Updating:  $G(\alpha) = G(\alpha - 1) \oplus 2^{\text{ntz}(\alpha)}$ 
  - Single XOR
  - Logarithmic amount of field doublings (precomputed)
- More efficient than powering-up [KR11]

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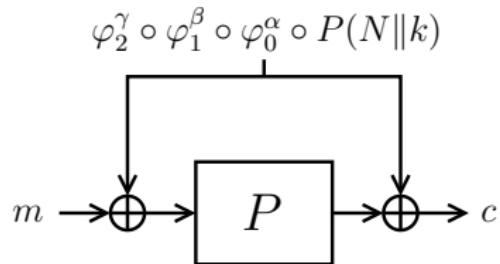
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# Masked Even-Mansour (MEM)

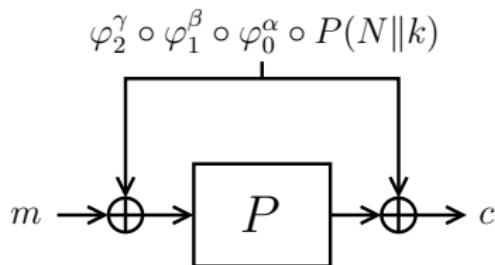
- MEM by Granger et al. [GJMN16]:



- $\varphi_i$  are fixed LFSRs,  $(\alpha, \beta, \gamma, N)$  is tweak (simplified)

# Masked Even-Mansour (MEM)

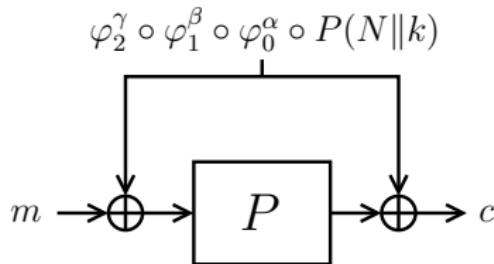
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  - Word-based LFSRs
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128	32	4	$(x_1, \dots, x_3, (x_0 \lll 5) \oplus x_1 \oplus (x_1 \lll 13))$
128	64	2	$(x_1, (x_0 \lll 11) \oplus x_1 \oplus (x_1 \lll 13))$
256	64	4	$(x_1, \dots, x_3, (x_0 \lll 3) \oplus (x_3 \ggg 5))$
512	32	16	$(x_1, \dots, x_{15}, (x_0 \lll 5) \oplus (x_3 \ggg 7))$
512	64	8	$(x_1, \dots, x_7, (x_0 \lll 29) \oplus (x_1 \lll 9))$
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- Work exceptionally well for ARX primitives

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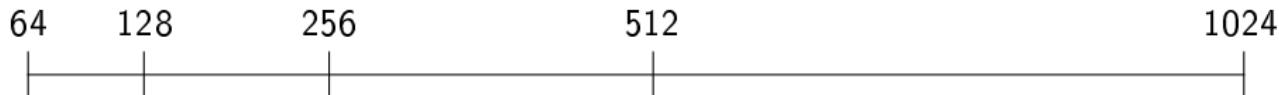
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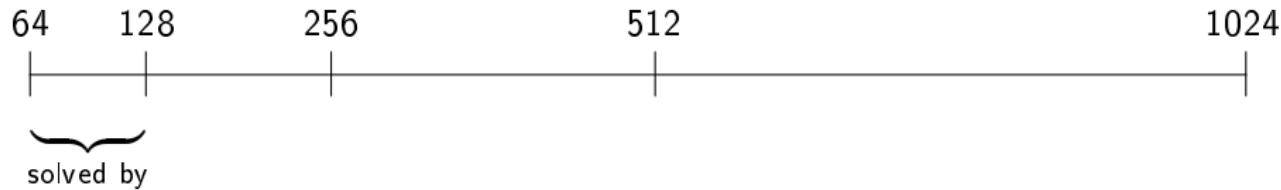
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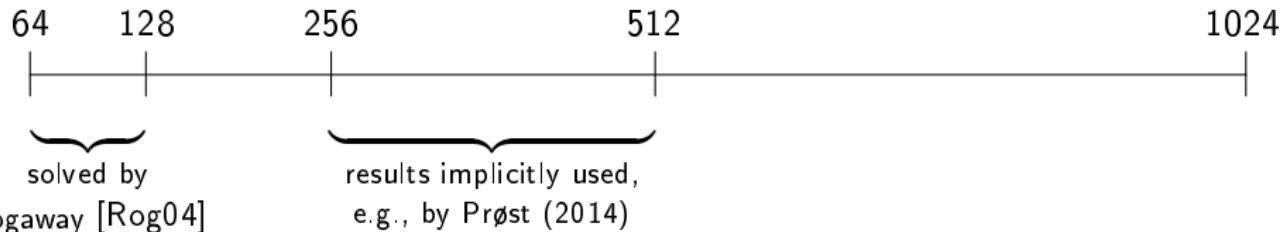
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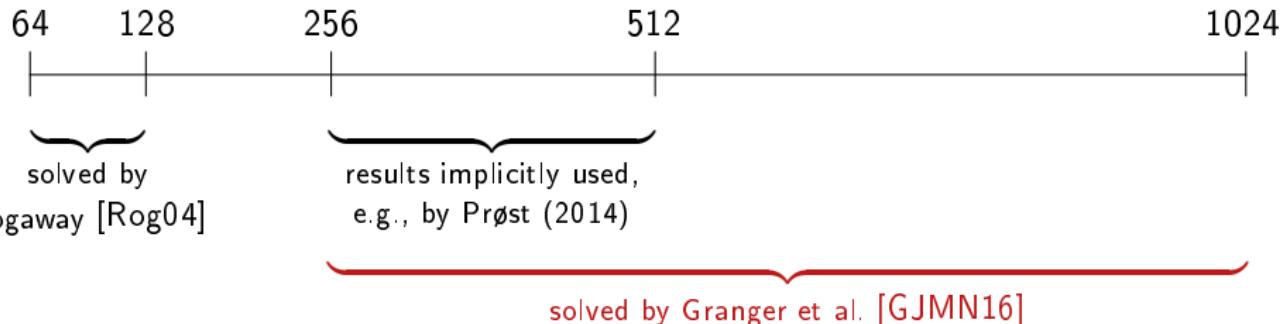
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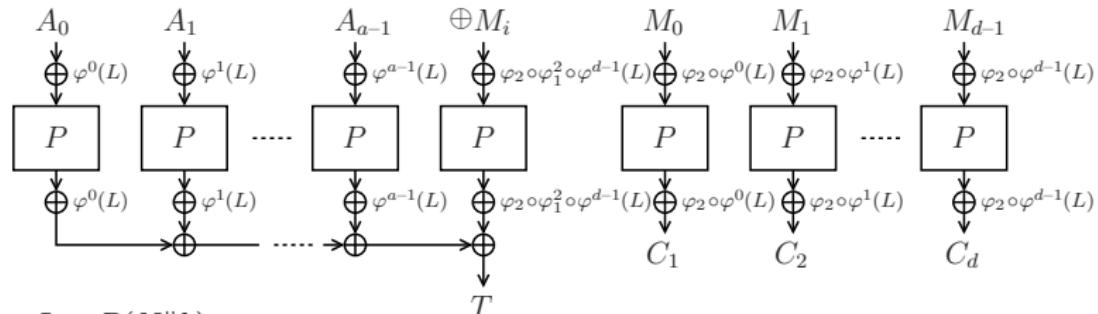
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# Application to AE: OPP

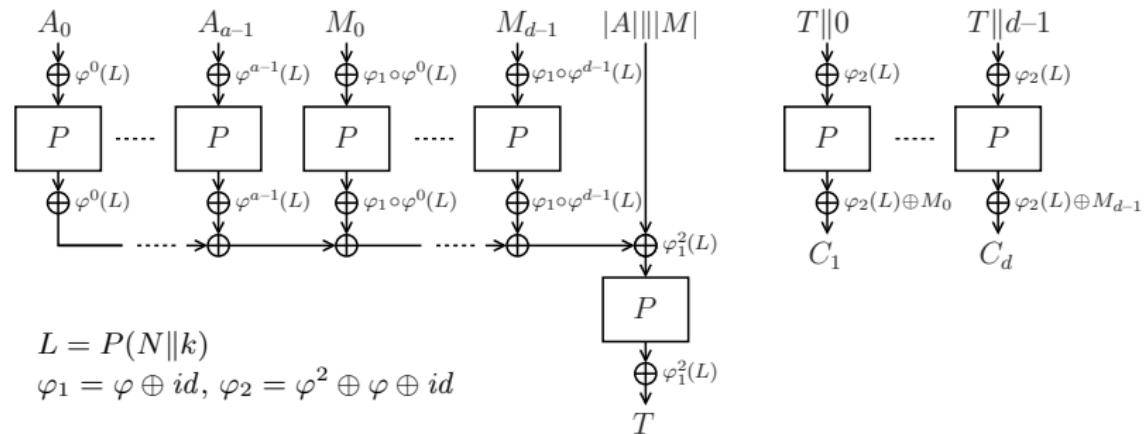


$$L = P(N\|k)$$

$$\varphi_1 = \varphi \oplus id, \varphi_2 = \varphi^2 \oplus \varphi \oplus id$$

- Offset Public Permutation (OPP)
- Generalization of OCB3:
  - Permutation-based
  - More efficient MEM masking
- Security against nonce-respecting adversaries
- 0.55 cpb with reduced-round BLAKE2b

# Application to AE: MRO



- Misuse-Resistant OPP (MRO)
- Fully nonce-misuse resistant version of OPP
- 1.06 cpb with reduced-round BLAKE2b

# Outline

Generic Composition

Link With Tweakable Blockciphers

Tweakable Blockciphers Based on Masking

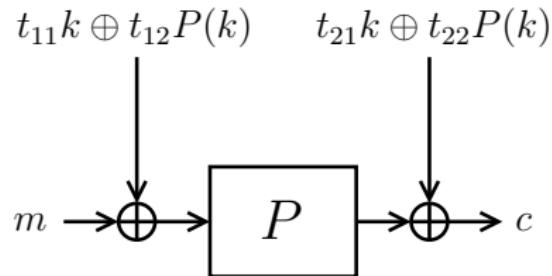
- Intuition
- State of the Art
- Improved Efficiency
- Improved Security

Nonce-Reuse

Conclusion

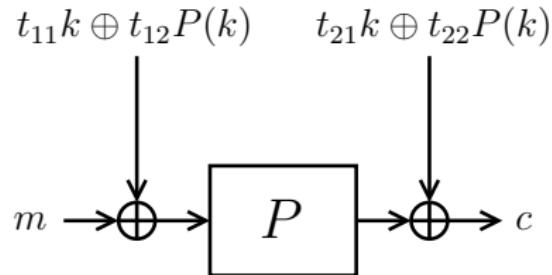
# XPX

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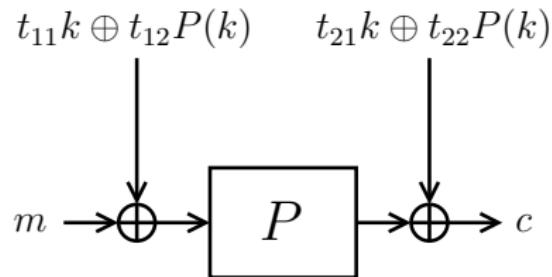
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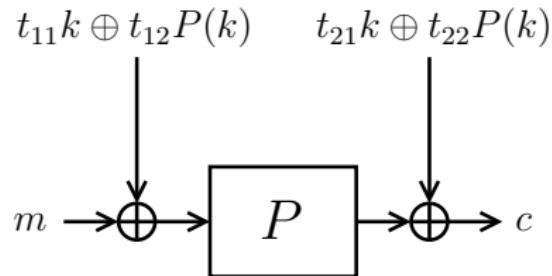
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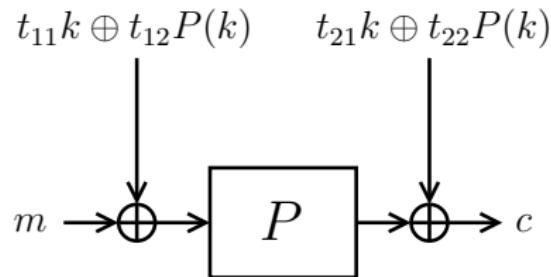
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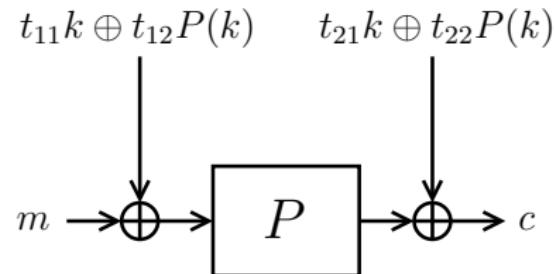
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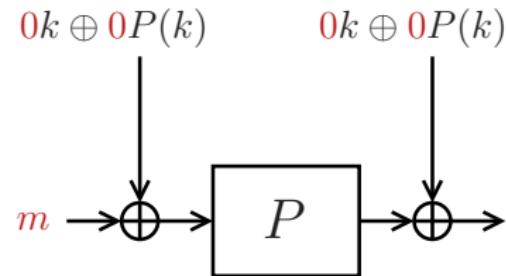


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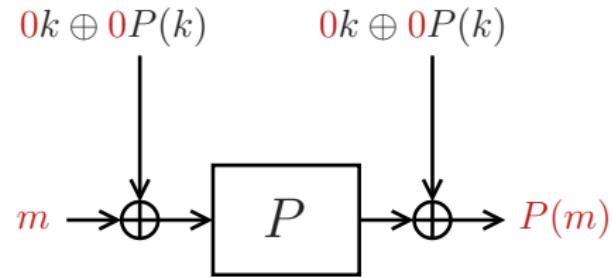


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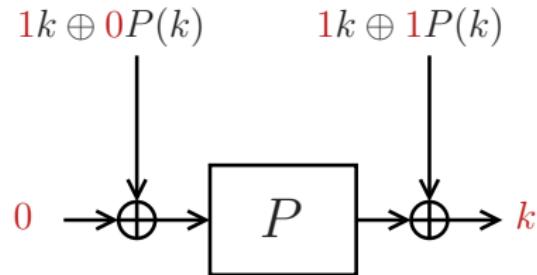
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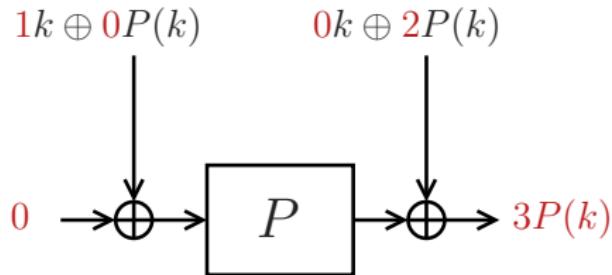
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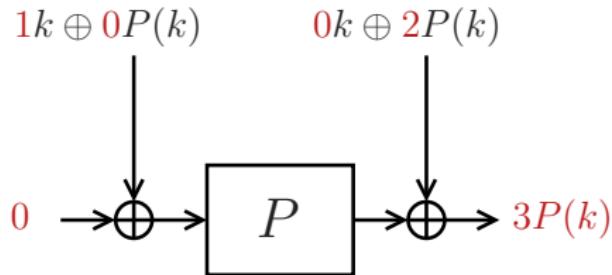


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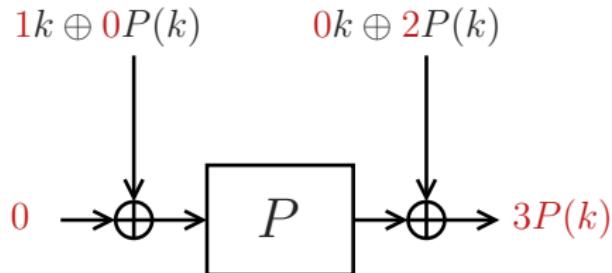
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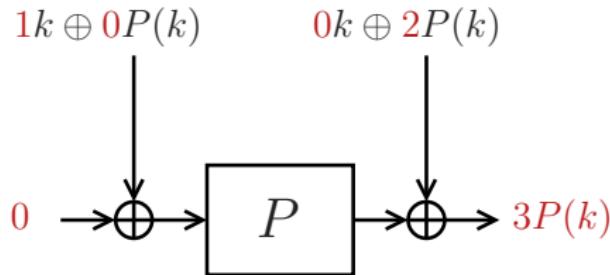
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### “Valid” Tweak Sets

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### “Valid” Tweak Sets

- Technical definition to eliminate weak cases
- $\mathcal{T}$  invalid  $\iff$  XPX insecure
- $\mathcal{T}$  valid  $\iff$  XPX single- or related-key secure

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for  $\mathcal{T} = \{(1, 0, 1, 0)\}$

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- Generally, if  $|\mathcal{T}| = 1$ , XPX is a normal blockcipher

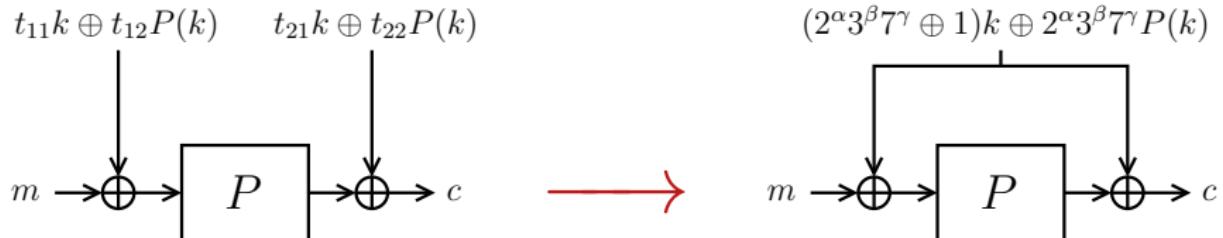
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$$\text{for } \mathcal{T} = \left\{ \begin{array}{l} (2^{\alpha}3^{\beta}7^{\gamma} \oplus 1, 2^{\alpha}3^{\beta}7^{\gamma}, \\ 2^{\alpha}3^{\beta}7^{\gamma} \oplus 1, 2^{\alpha}3^{\beta}7^{\gamma}) \end{array} \mid (\alpha, \beta, \gamma) \in \{\text{XEX-tweaks}\} \right\}$$

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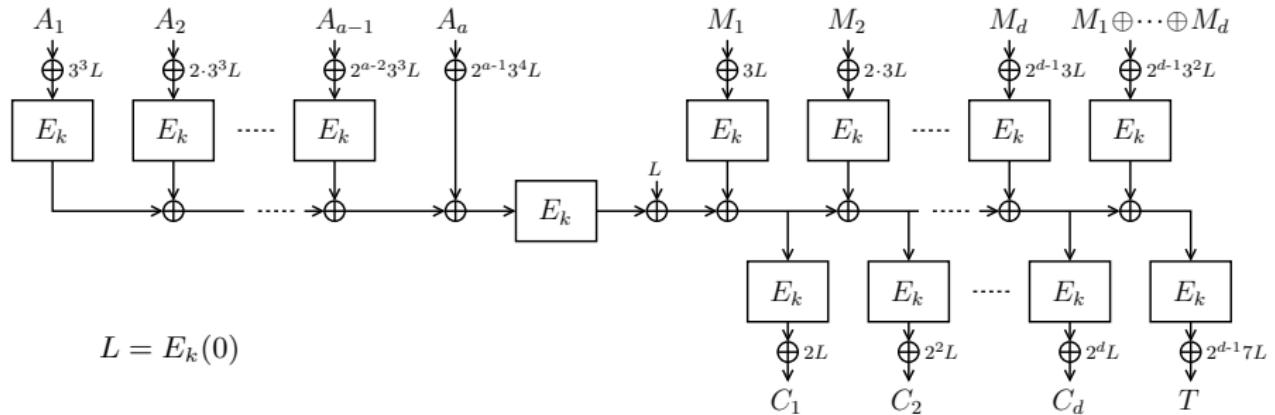
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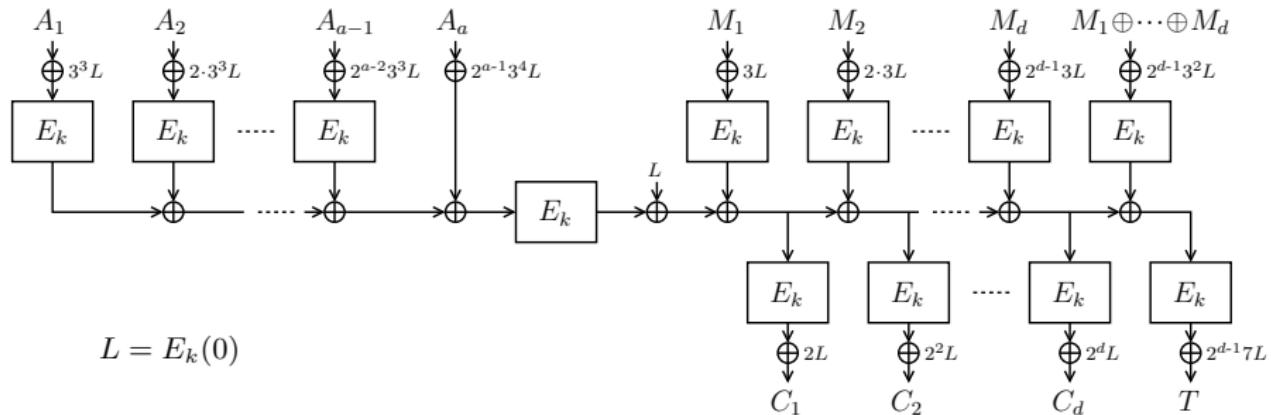
- $(\alpha, \beta, \gamma)$  is in fact the “real” tweak
- Related-key STPRP secure (if  $2^\alpha 3^\beta 7^\gamma \neq 1$ )

# Application to AE: COPA and Prøst-COPA



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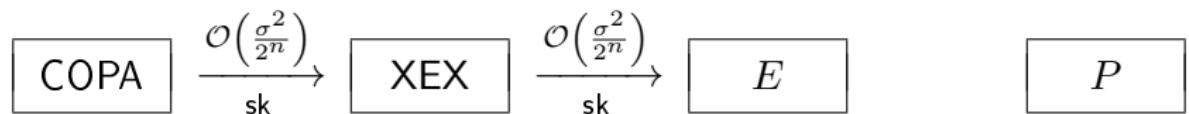
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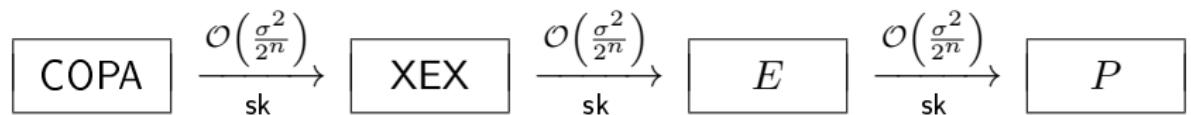
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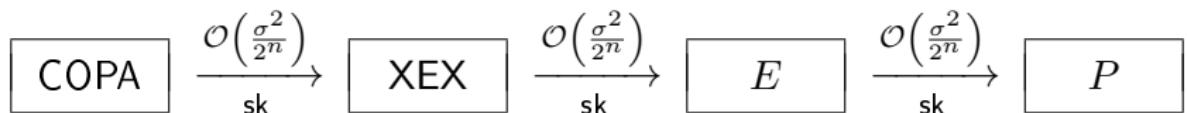
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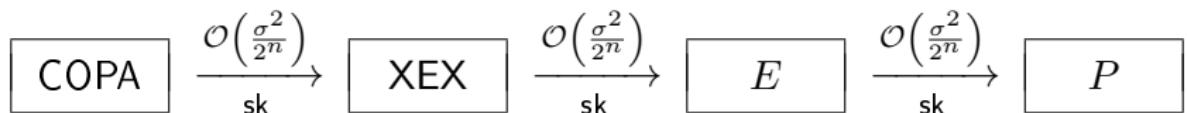
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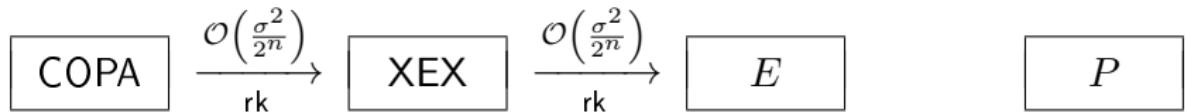
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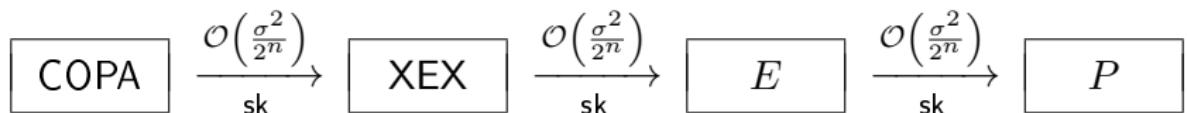
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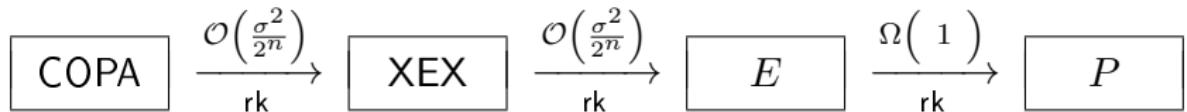
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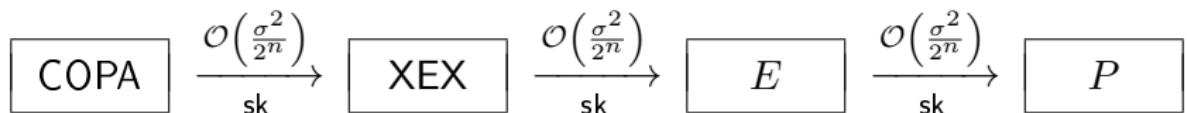
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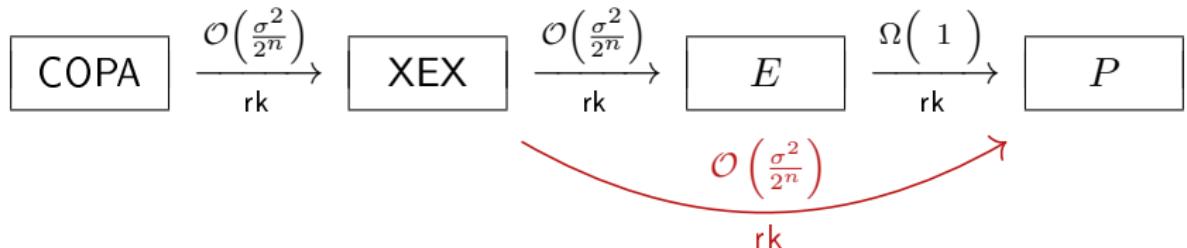
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# Outline

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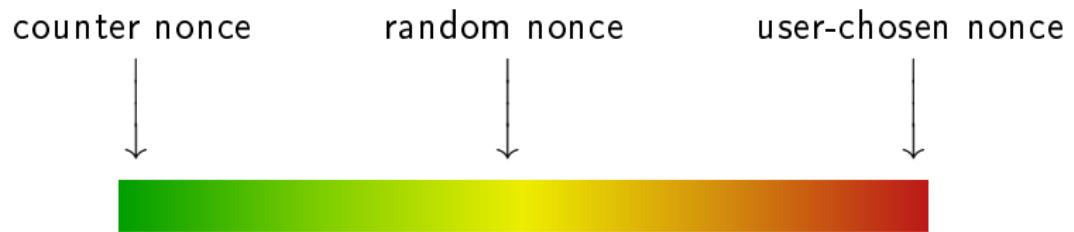
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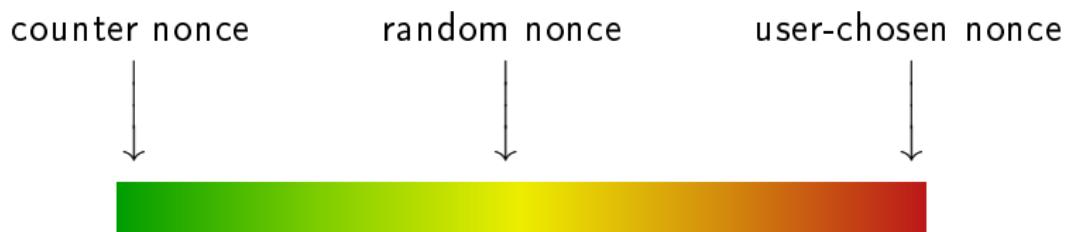
Nonce-Reuse

Conclusion

# Guaranteeing Uniqueness of Nonce

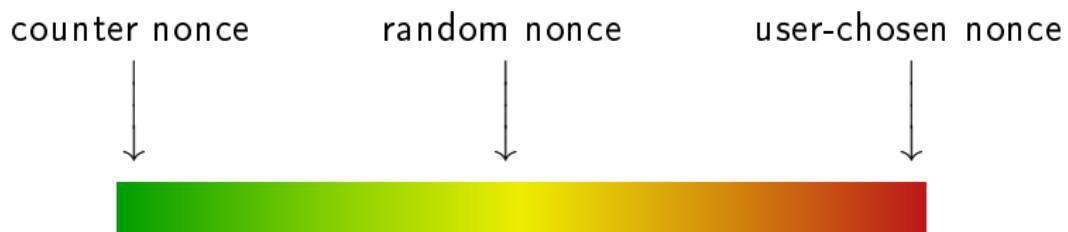


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- Issues with nonce generation:
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- Issues with nonce generation:
  - Counter needs storage
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  - Efficiency cost
  - Laziness or mistake of implementor
  - ...
- Sometimes, attacker can use same nonce multiple times

# Nonce-Reuse in Practice

## Nonce-Disrespecting Adversaries: Practical Forgery Attacks on GCM in TLS

Böck et al., USENIX WOOT 2016

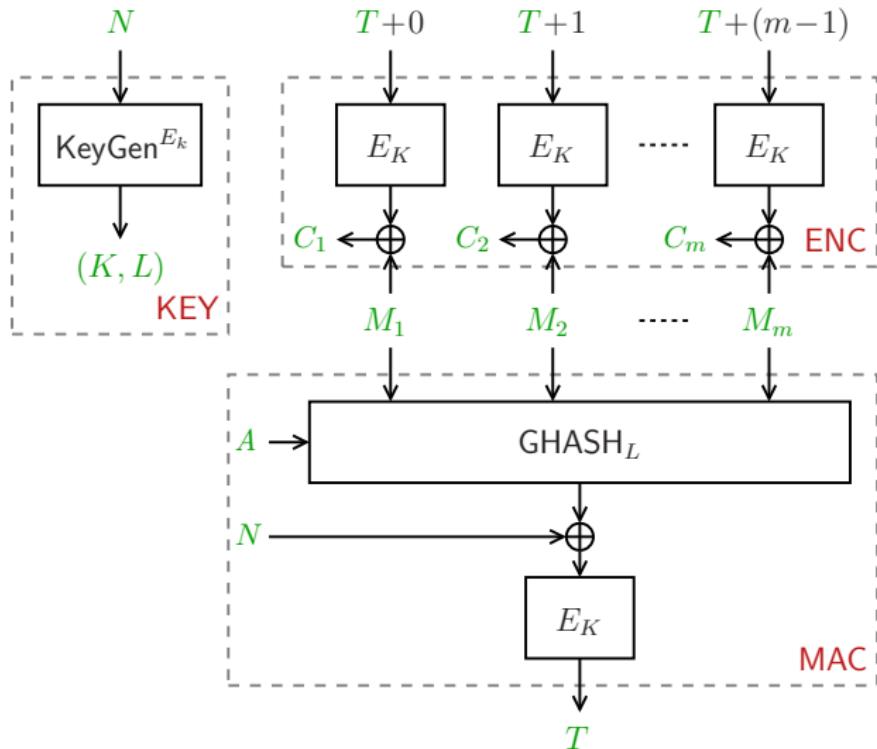
- GCM is widely used authenticated encryption scheme
- Used in TLS (“https”)
- Internet-wide scan for GCM implementations
- 184 devices with duplicated nonces
  - VISA, Polish bank, German stock exchange, ...
- $\approx 70.000$  devices with random nonce

# Resistance Against Nonce-Reuse

## Intuition

- All input should be cryptographically transformed
- Any change in  $(N, A, M) \longrightarrow$  unpredictable  $(C, T)$
- Often comes at a price:
  - Efficiency
  - Security
  - Parallelizability
  - ...

## Back to GCM-SIV



# Outline

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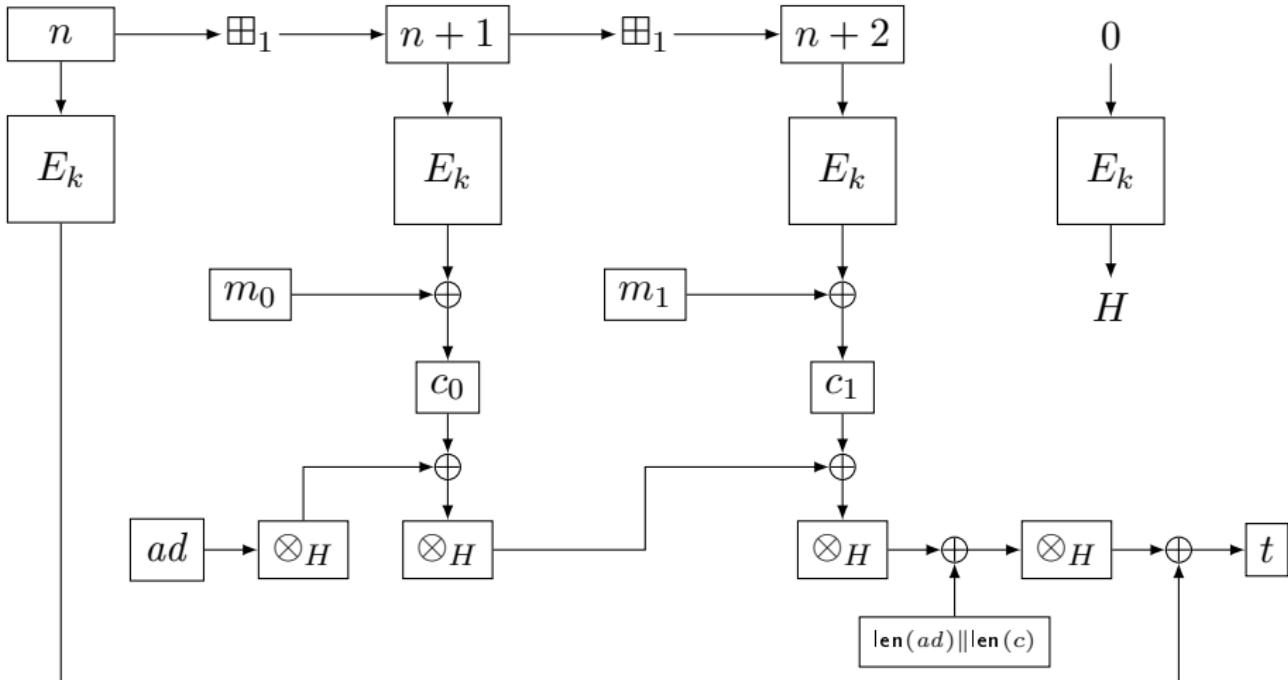
## Tweakable Blockciphers

- Allow for modular and compact proofs
- Birthday-bound secure TBCs: simple and efficient
- Security beyond the birthday bound?

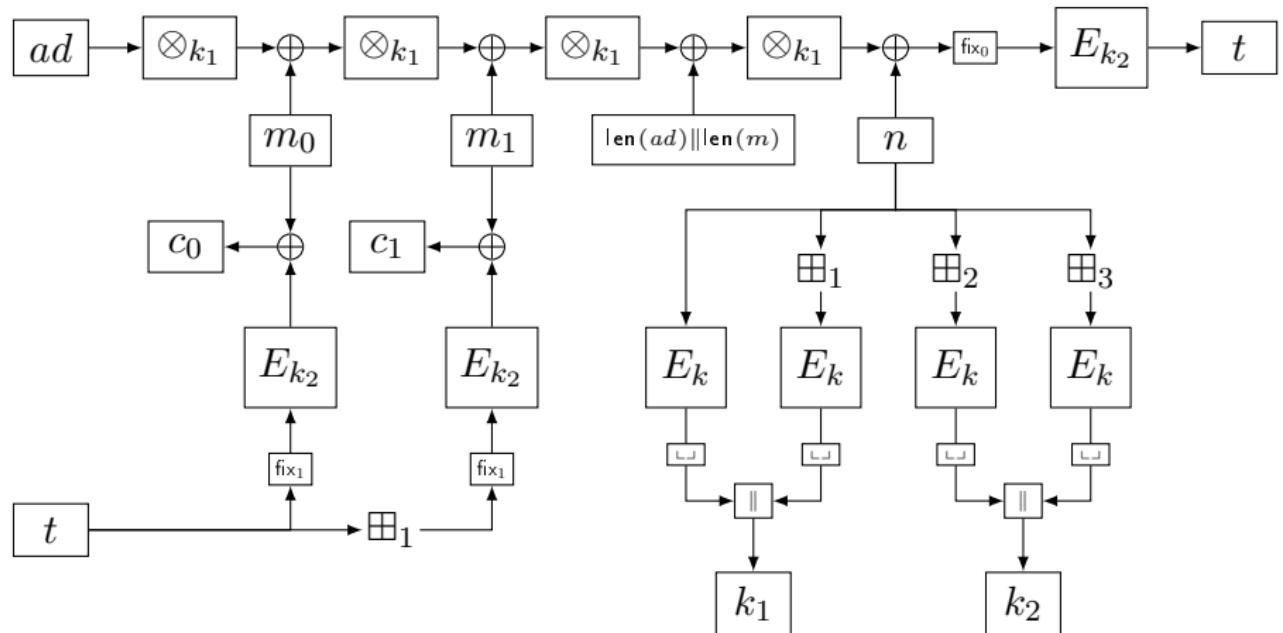
**Thank you for your attention!**

# SUPPORTING SLIDES

# Detailed Picture of GCM



# Detailed Picture of GCM-SIV



## MEM: Implementation

- State size  $b = 1024$
- LFSR on 16 words of 64 bits:

$$\varphi(x_0, \dots, x_{15}) = (x_1, \dots, x_{15}, (x_0 \lll 53) \oplus (x_5 \lll 13))$$

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Platform	nonce-respecting					misuse-resistant
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Cortex-A8	38.6	28.9	-	<b>4.26</b>	<b>5.91</b>	
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Sandy Bridge	2.55	0.98	1.29	1.24	1.91	-	$\approx 2.58$	2.41	3.58
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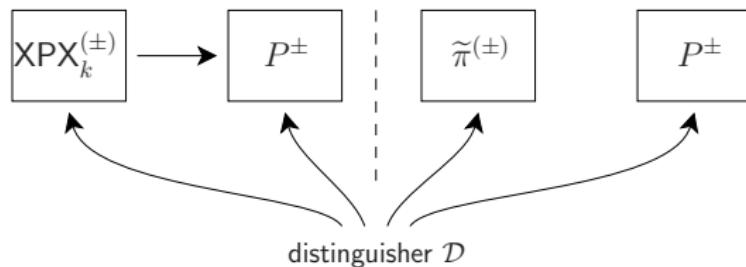
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- Parallelizable (AVX2) and word-sliceable

# XPX: Single-Key Security

## (Strong) Tweakable PRP

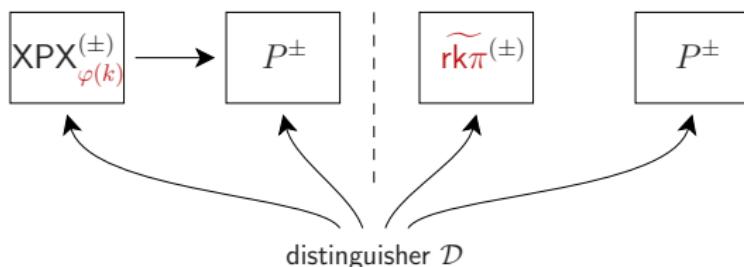


- Information-theoretic indistinguishability
  - $\tilde{\pi}$  ideal tweakable permutation
  - $P$  ideal permutation
  - $k$  secret key

$\mathcal{T}$  is valid  $\implies$  XPX is (S)TPRP up to  $\mathcal{O}\left(\frac{q^2 + qr}{2^n}\right)$

# XPX: Related-Key Security

## Related-Key (Strong) Tweakable PRP



- Information-theoretic indistinguishability
  - $\widetilde{\text{rk}\pi}$  ideal tweakable related-key permutation
  - $P$  ideal permutation
  - $k$  secret key
- $\mathcal{D}$  restricted to some set of key-deriving functions  $\Phi$

# XPX: Related-Key Security

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## Results

if $\mathcal{T}$ is valid, and for all tweaks:	security	$\Phi$
$t_{12} \neq 0$	TPRP	$\Phi_{\oplus}$
$t_{12}, t_{22} \neq 0$ and $(t_{21}, t_{22}) \neq (0, 1)$	STPRP	$\Phi_{\oplus}$

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$t_{11}, t_{12} \neq 0$	TPRP	$\Phi_{P\oplus}$
$t_{11}, t_{12}, t_{21}, t_{22} \neq 0$	STPRP	$\Phi_{P\oplus}$

# XPX: Security Proof Techniques

## Patarin's H-coefficient Technique

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- Trade-off: define **bad** transcripts smartly!

# XPX: Security Proof Techniques

## Before the Interaction

- Reveal “dedicated” oracle queries

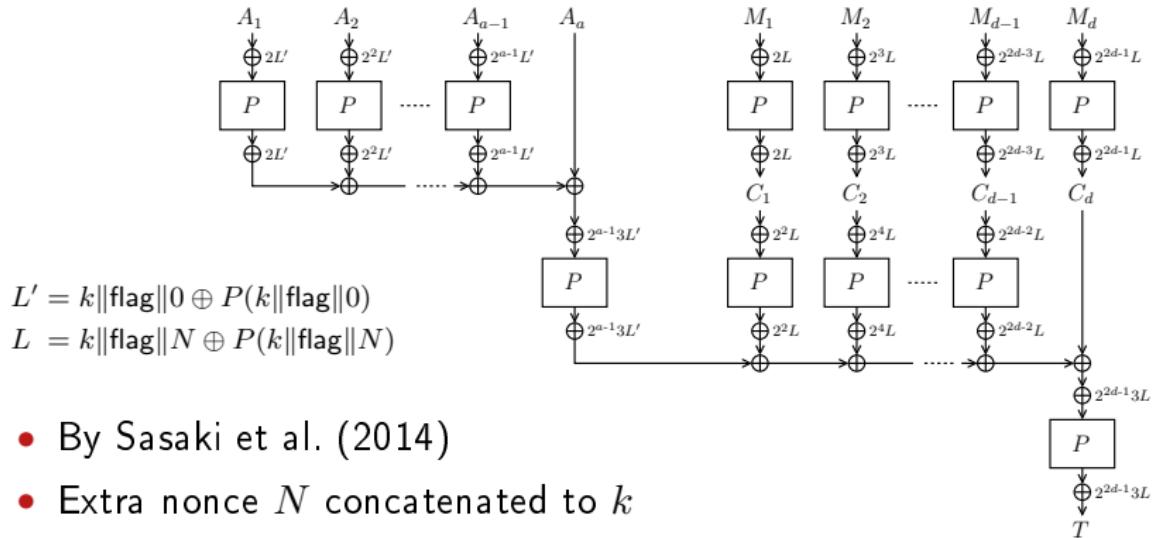
## After the Interaction

- Reveal key information
  - Single-key:  $k$  and  $P(k)$
  - $\Phi_{\oplus}$ -related-key:  $k$  and  $P(k \oplus \delta)$
  - $\Phi_{P\oplus}$ -related-key:  $k$  and  $P(k \oplus \delta)$  and  $P^{-1}(P(k) \oplus \varepsilon)$

## Bounding the Advantage

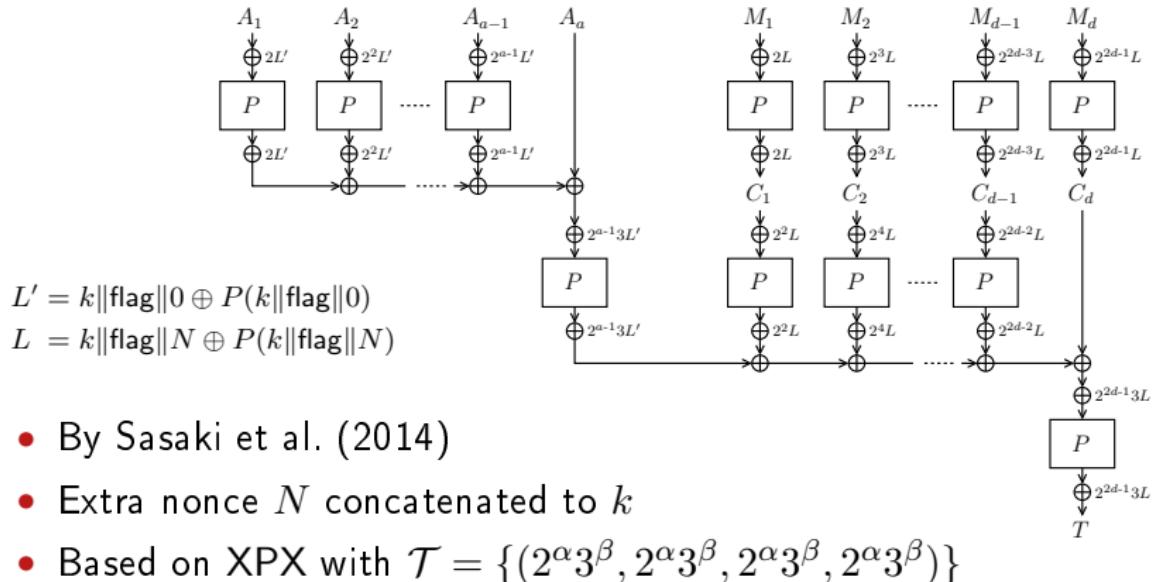
- Smart definition of **bad** transcripts

# XPK: Application to AE: Minalpher



- By Sasaki et al. (2014)
- Extra nonce  $N$  concatenated to  $k$

# XPX: Application to AE: Minalpher



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